000 LOCAL: LATENT ORTHONORMAL CONTRASTIVE LEARNING FOR PAIRED IMAGES

Anonymous authors

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ABSTRACT

Classification with comparative paired inputs, such as pre- and post-disaster satellite images, distinguishes classes of samples by encompassing dual feature sets that individually characterize a sample. Representation learning from comparative nature of the inputs calls for not only recognizing invariant patterns shared across all inputs but also effectively differentiating the contrastive attributes present between each pair of inputs. Supervised Contrastive Learning (SCL) aims to learn representation that maximally separates different classes and condenses within individual classes, thereby attaining an adversarial equilibrium. However, this equilibrium typically relies on the assumption of balanced data and large batch sizes for sufficient negative sampling. These issues are exacerbated when applied to paired satellite images due to increased computational load, high-resolution data, and severe class imbalance. To address these challenges, we introduce Latent Orthonormal Contrastive Learning (LOCAL), an approach that optimizes class representations in an orthonormal fashion. By learning each class to a unique, orthogonal plane in the embedding space, LOCAL is efficient with smaller batch sizes, provably effective regardless of class size imbalance, and yields more discriminative information between pairs of inputs via a feature correlation module. Experimental results on paired image data demonstrate superior performance of LOCAL over SCL, offering a powerful alternative approach for paired input analysis.

INTRODUCTION 1

Comparative paired input datasets consists of two paired inputs for each sample that are compared against each other. These pairs are distinguished by dual feature sets that individually characterize each sample. This form of data representation is particularly significant in fields that require the comparison of two related but distinct sets of data. One notable example is pre- and post-disaster damage assessment, where paired satellite images captured before and after a natural disaster (such as a hurricane, flooding, or earthquake) are compared, as shown in Fig. 1. Such comparative analysis 040 enhances the effectiveness of damage classification, as the side-by-side analysis helps detect subtle changes and assess the extent of the damage more accurately Kamari & Ham (2022); Ma (2021); Cheng et al. (2021); Berezina & Liu (2022). This principle is similarly applied in fields like natural language inference (NLI), where models aim to understand the relationship between sentence pairs (e.g., premise and hypothesis)MacCartney & Manning (2008); Shen et al. (2022), and in medical imaging, where paired scans (such as pre- and post-treatment MRIs) are compared to track changes over time Kooi & Karssemeijer (2017); Bai et al. (2024); Perek et al. (2019), etc.



Figure 1: Comparative damage classification using pre- and post-disaster satellite imagery.





054 In recent years, self-supervised contrastive learning has emerged as a powerful technique across various domains, especially in computer vision Chen et al. (2020); He et al. (2020); Caron et al. 056 (2020); Grill et al. (2020), yielding superior performance in representation learning. The general idea of contrastive learning is to train network models to pull together an anchor sample and a "positive" 058 sample in the embedding space, while simultaneously pushing the anchor away from multiple "negative" samples. SimCLR Chen et al. (2020) is such an example that learns visual representations by constructing positive and negative samples without actual labels, leveraging data augmentations 060 Cubuk et al. (2019; 2020). Additionally, Khosla et al. Khosla et al. (2020) extended it to the fully-061 supervised setting, proposing a supervised contrastive loss (SCL) that uses label information to align 062 samples from the same class while separating those from different classes. Further theoretical analysis 063 by Graf et al. Graf et al. (2021) examined SCL and the cross-entropy loss, showing that while both 064 losses aim for a similar geometric solution in the embedding space, SCL converges much closer 065 to the optimal target, leading to a better generalization performance. Graf et al.'s analysis showed 066 that the optimal embeddings, when minimizing the loss, result in a single embedding for all points 067 within a class, with per-class embeddings forming a *regular simplex* inscribed in the hypersphere, 068 representing a highly efficient and well-separated geometric arrangement of the embeddings.

However, despite its advantages, SCL faces two critical and interconnected challenges: imbalanced classes and requirement of large batch sizes.

- 071 • First, the theoretical guarantee of SCL, as discussed by Graf et al. (2021), is contingent upon a critical assumption of balanced data, which is often unrealistic in 073 real-world applications. When SCL is applied to imbalanced datasets, the resulting poor uniformity can significantly degrade model performance Cui et al. (2021); Kang et al. (2020); 075 Li et al. (2022); Zhu et al. (2022); Wang et al. (2021). Classes of higher frequency have 076 a greater lower bound on misclassification loss Cui et al. (2021), which skews the model toward these dominant classes and leads to biased representations. Then, SCL fails to form a 077 regular simplex Zhu et al. (2022) in the embedding space. Instead, it forms an asymmetrical 078 structure where high-frequency classes are more widely scattered, while low-frequency 079 classes are drawn closer together, making it difficult for the model to learn robust and discriminative features for minority classes. 081
- Second, the effectiveness of contrastive learning relies on a rich set of negative samples to adequately separate representations in the embedding space. Ensuring sufficient negative diversity typically requires large batch sizes. Both theoretical insights and empirical evidence have shown that increasing the number of negative samples can significantly improve contrastive learning performance Bachman et al. (2019); Tian et al. (2020); Chuang et al. (2020); Wang & Isola (2020). However, this improvement comes at the cost of increased memory consumption, which poses significant challenges for resource-constrained computing environments. As a result, SCL often suffers from performance degradation when batch sizes are small.

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The above challenges inherent to SCL are further exacerbated when applied to comparative paired 091 input datasets, particularly in the context of damage assessment using satellite images, due to 092 several key factors. First, paired inputs require the model to process both images for each sample simultaneously. This effectively doubles the computational workload, thus thus necessitating the use 094 of smaller batch sizes due to memory constraints. Second, the high-resolution nature intensifies the 095 issue. These images often contain thousands of pixels, significantly larger than the low-resolution 096 images typically found in benchmarks like CIFAR-10 or CIFAR-100, further limiting batch sizes and 097 reducing the diversity of negative samples in SCL. While resizing images can ease computational 098 constraints issue, this sacrifices important details crucial for accurate analysis, ultimately degrading 099 model performance. Third, the imbalance inherent in these datasets, where categories like "no damage" dominate, but minority classes like "severe structual damage" extremely underrepresented, 100 adds considerable complexity. The smaller batch sizes required for processing paired, high-resolution 101 images reduce the model's exposure to minority class examples, making it even harder to learn 102 efficient representations for these underrepresented categories. 103

To overcome the aforementioned challenges as a whole, we introduce LOCAL (Latent Orthonormal
 Contrastive Learning) for paired images, optimizing the representations of distinct classes in an
 orthonormal fashion. In LOCAL, each class occupies a unique plane, and these planes are orthogonal
 to one another, enhancing class separation. We theoretically justify that this approach bypasses the
 need to construct a regular simplex, as required in SCL, and alleviates the assumption of balanced

data without relying on large batch sizes. Additionally, we incorporate a feature correlation module to capture hierarchical features from intermediate layers, further improving joint representation learning between paired inputs. The key contributions of this work are as follows:

- We propose a novel approach to construct novel orthonormal embeddings for different classes, rather than mapping distinct classes to vertices of a regular simplex inscribed in a hypersphere as in SCL. This enhances the discriminative power between classes and requires only minor adjustments to standard SCL code.
- By eliminating the dependency on adversarial equilibrium, our method allows for the use of smaller mini-batches, which is crucial when working with paired input settings and high-resolution images, effectively addressing computational constraints.
 - Our theoretical analysis proves a lower bound for the proposed new contrastive loss function and shows that minimizing this new loss reaches the lower-bound regardless of the level of class balance.
 - We incorporate a feature correlation module that utilizes latent hierarchical features derived from intermediate layers to enhance joint representation learning between paired inputs. This is integrated into a broader framework that combines representation learning with classification.

2 PRELIMINARIES AND MOTIVATIONS

Our approach has been particularly motivated by the situation of large sample of paired inputs but with extremely skewed class distribution where we observe notable challenges for the application of SCL, particularly in the context of damage assessment using high-resolution satellite imagery,

Regualr Simplex in SCL. The su-

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133 pervised contrastive loss can be 134 minimized to train an encoder, 135 which is designed to attract pairs 136 of samples from the same class 137 (referred to as *positives*) and push 138 away pairs of samples from dif-139 ferent classes (referred to as negatives), spatially separating bal-140 anced classes to the maximal ex-141



(a) 1-simplex, K=2 (b) 2-simplex, K=3 (c) 3-simplex, K=4Figure 2: Origin-centered regular simplices inscribed in $S_{\rho=1}^2$

tent, thereby attaining an adversarial equilibrium. Graf et al. (2021) have shown that the 142 distribution of optimal embeddings obtained by minimizing the loss has only a single embedding for 143 points in a class, with the per-class embeddings collectively forming a *regular simplex* inscribed in 144 the hypersphere, denoted as S_{ρ}^{h-1} in h-dimensional space with radius ρ . Let K denote the number of 145 classes, it is proved that supervised contrastive learning (SCL) attains its minimum if and only if the 146 representations of each class collapse to the vertices of an origin-centered regular K - 1 simplex. Let $\zeta_1, \ldots, \zeta_K \in \mathbb{R}^h$ be the vertices of a regular simplex, satisfying 1) $\sum_{i \in [K]} \zeta_i = 0$; 2) $\|\zeta_i\| = 1$ 147 148 for $i \in [K]$; 3) $\exists d \in \mathbb{R} : d = \langle \zeta_i, \zeta_j \rangle$ for $i \neq j$. Fig. 2 demonstrates the vertices for K = 2, 3, 4 on 149 the unit hypersphere $S_{a=1}^2$ in three dimensional space. 150

Batch Size Limitations and Memory Bottlenecks in 151 Paired Input Learning. One of the key challenges in 152 SCL is is the preference for large batch sizes to stabilize 153 optimization during training. This becomes a significant 154 issue when working with paired inputs, particularly for 155 high-resolution images which typically involve larger data 156 samples. The need to process two images per sample ef-157 fectively doubles the data load, while the higher resolution 158 further limits the feasible batch sizes that can be used due to memory constraints. On resource-constrained hardware, 159

such as edge devices or GPUs with limited memory capac-

Dataset	BS	InputSize	GPU Memory Usage
HRA	8 16	512×512 512×512	9753 (9.5 G) 17495 (17 G)
	8 16	256×256 256×256	4303 (4.2 G) 6153 (6 G)

Table 1: GPU memory usage (MB) comparison for batch sizes (BS) and downsized input resolutions (paired)

161 ity, managing these batch sizes with larger data samples becomes increasingly difficult, creating a bottleneck for efficient training. For instance, as shown in Table 1, the memory footprint for process-



189 Imbalanced Data. It has been proved that SCL reaches its ideal geometry configuration for represen-190 tation learning when it achieves its minimum on a *balanced* data batch Graf et al. (2021). This is illustrated in Fig. 4(a), where the angles between the embeddings of distinct classes are equal and 191 maximized, e.g., $\theta_1 = \theta_2 = \theta_3 = 2\pi/3$ for K = 3. However, optimizing the SCL may fail to form a 192 regular simplex for imbalanced long-tailed data Zhu et al. (2022). In such scenarios, high frequency 193 classes dominate the learning process Cui et al. (2021); Kang et al. (2020), leading to unequal angles 194 between embeddings, as depicted in Fig. 4(b), where $\theta_1 \approx \theta_2 \gg \theta_3$. This imbalance can bias the 195 model towards majority classes, potentially resulting in suboptimal performance for the minority 196 classes. Existing strategies include balancing the number of positive samples across all classes within 197 each batch Kang et al. (2020), introducing a set of class-wise learnable centers to rebalance from an optimization perspective Cui et al. (2021), incorporating a classifier branch to eliminate the bias of 199 the classifier towards head classes Wang et al. (2021), assigning pre-computed uniformly distributed 200 targets to each class prior to training Li et al. (2022), or optimizing all classes to a balanced feature spaceZhu et al. (2022). 201

In real-world scenarios such as paired inputs for high-resolution satellite imagery, these imbalances can be even more pronounced. The need for limited batch sizes makes it even harder to properly handle minority classes, increasing the importance of developing more robust contrastive learning frameworks. While the existing methods aim to maintain the regular simplex in the SCL framework under imbalanced data conditions, this simplex requirement may constrain the model's flexibility. We argue that alternative geometric approaches may offer more effective solutions for handling imbalanced data in such complex scenarios.

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3 LOCAL: <u>Latent Orthonormal Contrastive Learning Framework</u>

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- 3.1 DECOUPLING POSITIVES AND NEGATIVES FROM SCL
- The SCL loss is derived first in Khosla et al. (2020) by extending the self-supervised contrastive loss to take label information. In a mini-batch *B* consisting fraining samples $\{\mathbf{x}_i, y_i\}_{i=1}^{|B|}$, each sample

x_i is represented as a pair of inputs $(\mathbf{x}_i^{pre}, \mathbf{x}_i^{post})$, corresponding to pre- and post-event images, with y_i being the associated class label. *Positives* are defined as those samples with the same class label as **x**_i, while *negatives* belong to different classes. Let $\{\mathbf{z}_i\}^{|B|}i = 1$ denote the set of embedding features, where \mathbf{z}_i contains the embeddings of both elements in the paired input \mathbf{x}_i . SCL is formulated as:

$$\mathcal{L}_{SCL} = \frac{1}{|B|} \sum_{i \in B} \frac{-1}{|B_{y_i}| - 1} \sum_{p \in B_{y_i} \setminus \{i\}} \mathcal{L}^{SCL}(\mathbf{z}_i), \text{ where } \mathcal{L}^{SCL}(\mathbf{z}_i) = \log \frac{\exp(\langle \mathbf{z}_i, \mathbf{z}_p \rangle / \tau)}{\sum_{a \in B \setminus \{i\}} \exp(\langle \mathbf{z}_i, \mathbf{z}_a \rangle / \tau)}$$

Here, $\langle \cdot, \cdot \rangle$ denotes the inner product, $\tau \in \mathbb{R}^+$ is the scalar temperature parameter and we omit τ in the subsequent sections for simplicity. Without loss of generality, $B_{y_i} \equiv \{p \in B : y_p = y_i\}$ denote the set of indices in the batch B with label equal to y_i , and $|B_{y_i}|$ is its cardinality. We decouple the *positives* and *negatives* in the denominator and the term $\mathcal{L}^{SCL}(\mathbf{z}_i)$ can be re-written as:

$$\mathcal{L}^{SCL}(\mathbf{z}_i) = \log \frac{\exp(\langle \mathbf{z}_i, \mathbf{z}_p \rangle)}{\sum_{p \in B_{y_i} \setminus \{i\}} \exp(\langle \mathbf{z}_i, \mathbf{z}_p \rangle) + \sum_{n \in B_{y_i}^C} \exp(\langle \mathbf{z}_i, \mathbf{z}_n \rangle)}$$
(1)

where $B_{y_i}^C$ is the complementary set of B_{y_i} such that $B_{y_i}+B_{y_i}^C=B$, including indices of all negatives in the batch B. Eq.(1) encourages the feature representations from positive pairs to be similar but negative pairs to be dissimilar. The loss attains its minimum once the representations of each class collapse to the vertices of a regular simplex, inscribed in a unit hypersphere Graf et al. (2021)...

3.2 ORTHONORMAL CONTRASTIVE LOSS

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265 266 267 To alleviate the issues described in Section 2, we propose the new orthonormal contrastive loss (OCL) with the following loss function:

$$\mathcal{L}^{OCL}(\mathbf{z}_i) = \log \frac{\exp(\langle \mathbf{z}_i, \mathbf{z}_p \rangle)}{\sum_{p \in B_{y_i} \setminus \{i\}} \exp(\langle \mathbf{z}_i, \mathbf{z}_p \rangle) + \sum_{n \in B_{y_i}^C} \exp(|\langle \mathbf{z}_i, \mathbf{z}_n \rangle|)}$$
(2)

243 The key difference between Eq. (equation ??) and Eq. (equation 1) is that, in OCL, negatives 244 are not simply pushed way from the anchor z_i , but instead are made perpendicular to the anchor's 245 embedding. While SCL maps per-class embeddings to the vertices of a regular simplex, OCL 246 aims to learn pairwise perpendicular subspaces for each class. OCL follows a similar logic as 247 SCL-attraction and repulsion-but decouples the intra-class and inter-class forces differently, more explicitly. The similarity between z_i and z_j is commonly measured using the *cosine* similarity: 248 $S_{i,j} = \frac{\langle \mathbf{z}_i, \mathbf{z}_j \rangle}{\|\mathbf{z}_i\| \|\mathbf{z}_j\|} = \langle \mathbf{z}_i, \mathbf{z}_j \rangle$ if the embeddings are normalized to unit vectors. In this context, OCL 249 250 optimizes the attraction within a class by maximizing cosine similarity, $S_{i,p} = \langle \mathbf{z}_i, \mathbf{z}_p \rangle \in [-1, 1]$, 251 ensuring that embeddings of positive samples are pulled closer together. Simultaneously, it enforces 252 orthogonality between classes by minimizing $S_{i,n} = |\langle \mathbf{z}_i, \mathbf{z}_n \rangle| \in [0, 1]$ for negative samples, driving 253 them toward perpendicularity. Through this decoupling of intra-class attraction and inter-class 254 repulsion, OCL provides an alternative geometric solution for supervised contrastive learning.

3.3 THEORETICAL ANALYSIS

We establish a lower-bound for the proposed OCL to construct orthonormal learned embeddings without contingency on data balance constraints. Assuming that the encoder has sufficient expressive capability, a lower bound on the SCL loss is derived as follows in Graf et al. (2021). Let N be the total number of examples, K the total number of different classes, and D_E the embedding dimension.

Theorem 3.1. Let $Z = S^{D_E-1} = \{ \mathbf{z} \in \mathbb{R}^{D_E} : \|\mathbf{z}\| = 1 \}$, and $Z = \{ \mathbf{z}_i \mid \forall i \in [N], \mathbf{z}_i \in Z \}$ be an *N* point configuration with labels $Y = \{ y_i \mid \forall i \in [N], y \in [K] \}$. If the label configuration Y is balanced, for any class y and any batch B, the class-specific batch-wise loss is bounded by

$$\mathcal{L}_{SCL}(Z;Y) \ge \sum_{l=2}^{|B|} l M_l \log \left(l - 1 + (|B| - l) \exp(\frac{-K}{K - 1}) \right).$$
(3)

where $M_l = \sum_{y \in [K]} \{B \in \mathcal{B} | |B_y| = l\}$, $\mathcal{B} = \{\{n_1, n_2, \dots, n_{|B|}\}\} | n_i \in [N], \forall i \in [B]\}$ is the set of all index multi-sets of size |B|, and the set B_y consists of all samples with label y in the batch B. Equality is attained if and only if the following conditions are satisfied. There are 270 $\zeta_1, \zeta_2, \dots, \zeta_K \in \mathbb{R}^{D_E}$ with a large $D_E, s.t. K < D_E + 1$ such that: CI $\forall n \in [N]: \mathbf{z}_n = \zeta_{y_n};$ C2 $\{\zeta_u\}_u$ form a regular simplex.

Theorem 3.1 implicitly suggests |B| to be large so as to achieve the adversarial equilibrium, where each class stays away from other classes to the maximal extent. It is also critical to have balanced data for SCL. SCL can fail with long-tailed data due to intra-class feature collapse and inter-class uniformity issues dominated by classes with higher frequencies Zhu et al. (2022). Our OCL loss function can mitigate the impact of data imbalance on the repulsion term, by allowing more options than simplex vertices. A lower bound of the OCL loss is characterized by Theorem 3.2.

Theorem 3.2. Let Z and Y be defined as in **Theorem 3.1**, without the assumption that the label configuration Y is balanced. We have

$$\mathcal{L}_{OCL}(Z;Y) \ge \sum_{l=2}^{|B|} lM_l \log\left(l - 1 + \frac{|B| - l}{e}\right).$$
(4)

Equality is attained if and only if there are K orthonormal vectors $\xi_1, \xi_2, \ldots, \xi_K \in \mathbb{R}^{D_E}$ with a value of D_E , s.t. $K < D_E$ can be obtained under the condition that:

 $287 C1) \forall n \in [N] : \mathbf{z}_n = \xi_{y_n}.$

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288 Proof. See the Supplementary Material A.1.

289 Discussion. As shown in Fig. 5, ac-290 cording to **Theorem 3.2**, optimizing 291 the OCL loss would not make models 292 trap in the settings described in Sec-293 tion 2. For $B_1 = {\mathbf{x}_{c_1}, \mathbf{x}_{c_2}}$, rather 294 than having only one single option for 295 ζ_2 in SCL, which seeks to form a 1simplex with ζ_1 , the OCL loss auto-296 matically expands the search space for 297 its optimal solution ξ_2 , from a deter-298



(a) $B_1 = \{\mathbf{x}_{c_1}, \mathbf{x}_{c_2}\}$ (b) $B_2 = \{\mathbf{x}_{c_1}, \mathbf{x}_{c_3}\}$ (c) $B_3 = \{\mathbf{x}_{c_2}, \mathbf{x}_{c_3}\}$ Figure 5: OCL with the batch size of 2.

mined goal to unlimited options within the plane (in green color) perpendicular to ξ_1 (e.g., ξ_2, ξ'_2, ξ''_2). 299 When it comes to $B_2 = {x_{c_1}, x_{c_3}}, \xi_3$ will also be projected to be orthogonal to ξ_1 and falls in the 300 same plane, while it is highly unlikely that ξ_3 will collapse with ξ_2 . When B_3 arrives, ξ_3 and ξ_2 are 301 repelled to be orthogonal via the OCL loss, with minimal effect on ξ_1 . Therefore, our method offers 302 an advantage on memory consumption - during OCL training, it reaches the lower bound as long as 303 the representation from different classes become orthogonal, so it does not rely on large batch size 304 to include samples from all classes so as to achieve stable adversarial equilibrium between all classes 305 as shown in standard SCL. It is also noteworthy that Theorem 3.2 suggests that our loss function can 306 reach the lower-bound without being constrained to the level of data balance unlike Theorem 3.1. 307 With this theorem, we justify that minimizing this new loss would not force embeddings of different minority classes to collapse to the same vertices. The OCL method assumes that the angle between a 308 dominant class and other classes is orthogonal, corresponding to independent bases in the hyperspace. 309

311 3.4 END-TO-END LEARNING OF PAIRED INPUTS

Fig. 6 shows the overview framework for learning both representation and classification based on paired inputs. It includes two parts: the first part learns a feature mapping with the property of intra-class compactness and inter-class separability; whereas the second part is expected to learn a less biased classifier based on the orthonormal representations produced by the first part. We take the damage detection task as an example where pre- and post-disaster image pair is denote by $(\mathbf{x}^{pre}, \mathbf{x}^{post})$.

Encoder Network, $Enc(\cdot)$, can employ any suitable backbone network, e.g., ResNetHe et al. (2016), and maps either image \mathbf{x}^{pre} and \mathbf{x}^{post} in the pair to a vector representation, $\mathbf{r}^{pre} = Enc(\mathbf{x}^{pre}) \in \mathbb{R}^{D_R}$ and $\mathbf{r}^{post} = Enc(\mathbf{x}^{post}) \in \mathbb{R}^{D_R}$, whereas \mathbf{r}^{pre} and \mathbf{r}^{post} are normalized to be on the unit hypersphere in \mathbb{R}^{D_R} .

Latent Hierarchical Feature Correlation Module, $Lat(\cdot)$, is a module injected into the backbone network to learn latent hierarchical joint representation between \mathbf{x}^{pre} and \mathbf{x}^{post} . Specifically, from



Figure 6: The end-to-end learning of the LOCAL model.

the backbone network, we firstly extract the multi-scale outputs of each block (e.g., four blocks for 342 ResNet) and then the feature correlation between the pre- and post-event outputs from each block. 343 Denote the output from each block as $Enc'_i(\cdot)$, $i \in [1, ..., 4]$. Our feature correlation module can 344 be computed as $Enc'_i(\mathbf{x}^{pre}), Enc'_i(\mathbf{x}^{post}))) = \mathbf{W}_i([Enc'_i(\mathbf{x}^{pre}), Enc'_i(\mathbf{x}^{post})])$ where the matrix 345 $\mathbf{W}_i \in \mathbb{R}^{d_i \times 2d_i}$ denotes the correlation parameters, and $[Enc'_i(\mathbf{x}^{pre}), Enc'_i(\mathbf{x}^{post})] \in \mathbb{R}^{2d_i}$ is the 346 concatenated vector of $Enc'_i(\mathbf{x}^{pre}), Enc'_i(\mathbf{x}^{post}) \in \mathbb{R}^{d_i}$. Practically, \mathbf{W}_i is set to $[\mathbf{I}_{d_i}, -\mathbf{I}_{d_i}]$ to 347 compute the difference between pre- and post-event outputs, yielding satisfactory results. Then 348 the feature correlation maps (lower left part of Fig. 6) illustrate the variation in dual images. 349 These maps are resized to the same size via average pooling and up-sampling, and concatenated 350 to form a hierarchical latent feature maps. Then, averaging over all channels produces a single 351 channel feature map, which is then flattened and normalized to give a latent feature embedding 352 $\mathbf{l} = Lat(\mathbf{x}^{pre}, \mathbf{x}^{post}) \in \mathbb{R}^{D_L}$. This embedding incorporates latent supervision from the backbone 353 network, and then contributes to the computation of latent orthonormal contrastive loss $\mathcal{L}_{OCL}(1)$.

354 **Projection Network with Feature Correlation Module**, $Proj(\cdot)$ maps \mathbf{r}^{pre} and \mathbf{r}^{post} to the 355 corresponding embedding vectors $\mathbf{e}^{pre} = Proj(\mathbf{r}^{pre}) \in \mathbb{R}^{D_E}$ and $\mathbf{e}^{post} = Proj(\mathbf{r}^{post}) \in \mathbb{R}^{D_E}$. 356 This network is a multi-layer perceptron (MLP) with a hidden layer and an output layer of size D_E . 357 It has been shown that such a projection module improves the quality of the embeddings of the layers 358 preceding it Khosla et al. (2020); Chen et al. (2020). We apply an ℓ_2 normalization to e^{pre} and e^{post} 359 to ensure that the inner product can be used as the *cosine* similarity measure. A similar feature correlation module is incorporated to learn the variation between the pre- and post- outputs of the 360 projection network. $\mathbf{z} = \mathbf{W}([\mathbf{e}^{pre}, \mathbf{e}^{post}])$ is used to compute the OCL loss $\mathcal{L}_{OCL}(\mathbf{z})$. 361

In our framework, the proposed OCL takes effect on both the latent hierarchical feature embedding l
 and the joint embbedding of the paired inputs z, leading to the latent OCL loss:

$$\mathcal{L}_{OCL}(\mathbf{z}, \mathbf{l}) = \mathcal{L}_{OCL}(\mathbf{z}) + \beta \mathcal{L}_{OCL}(\mathbf{l})$$
(5)

where $\beta \ge 0$ is a hyperparameter for tuning and $\mathcal{L}_{OCL}(\mathbf{l})$ can be regarded as a regularizer which regularizes the orthonormality class representations of lower-level features (with high contrast) that are extracted by the deep neural network. Imposing this regularizer helps learn the final inter-class orthonormal embeddings.

Classification Network, $Clas(\cdot)$, takes in the concatenated representation, $concat(\mathbf{r}^{pre}, \mathbf{r}^{post})$, from the *Encoder Network*. A non-linear MLP with a hidden layer and an output layer of the class size is employed to predict the class-wise logit values $\mathbf{c} \in \mathbb{R}^{D_C}$ of the input image pair, which are used to compute the weighted cross-entropy (WCE) loss \mathcal{L}_{WCE} . Combining with the WCE loss for classifier learning where the weight is the reciprocal of the appearance frequency of each class, we arrive at our final loss function of our proposed LOCAL: Latent Orthonormal Contrastive Learning Framework:

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$$Total \ loss = \alpha \mathcal{L}_{OCL}(\mathbf{z}, \mathbf{l}) + (1 - \alpha) \mathcal{L}_{WCE}$$
(6)

where $0 \le \alpha \le 1$ is a weighting coefficient inversely proportional to the number of epochs.

378 4 EXPERIMENTS

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4.1 HIGH-RESOLUTION PAIRED SATELLITE IMAGERY ANALYSIS

382 4.1.1 EXPERIMENTAL SETUP

Datasets. We evaluate the performance and effectiveness of LOCAL on two remote sensing datasets
 with paired satellite images: the HRA dataset, a smaller-scale dataset containing 3,389 image pairs
 across 5 classes, and the large-scale public xBD dataset Gupta et al. (2019) encompassing 67,782
 image pairs distributed across 4 classes. Both datasets include pre-disaster and post-disaster paired
 satellite images, allowing us to assess the model's capability in accurately predicting different types
 of damage across diverse geographical and disaster scenarios.

Baselines. To ensure fair comparison in our paired input setting, we extend original Supervised 390 Contrastive Learning (SCL) into two variants: (1) the first variant combines SCL with WCE, and we 391 refer to this as SCL for simplicity by omitting WCE; and (2) variant builds upon SCL by adding the 392 latent hierarchical feature correlation module, refered to as L-SCL. Please refer to Appendix A.2 for 393 detailed implementations to compare with our proposed method illustrated in Fig. 6. We compare 394 these two variants with our proposed method, LOCAL. The main distinction between SCL and 395 L-SCL lies in the inclusion of the latent hierarchical feature correlation module, represented as the 396 yellow block in Fig. 6. The key difference between *L-SCL* and our method *LOCAL* is the use of the 397 OCL loss instead of the SCL loss, which demonstrates the effectiveness of our proposed OCL loss.

Working with Small Batch Sizes. All algorithms are implemented in PyTorch and tested on servers equipped with NVIDIA A10 Tensor Core GPU with 24GB of GPU memory. According to Table 1, using a batch size of 16 and resizing the original high-resolution images to 512×512 occupied 17GB of GPU memory for the HRA dataset. To optimize memory usage, we carefully balanced the batch size and image downsizing to work within this limit.

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4.1.2 EVALUATION RESULTS

406 Robustness to the Im-

407 pact of Batch Size and Image Resizing. Table 2 408 compares the three meth-409 ods using a ResNet-50 as 410 the backbone encoder. We 411 conducted multiple 5-fold 412 cross-validations for each 413 method. Given the Lim-414 ited GPU memory, we ad-415 justed the batch sizes and 416 image downsizing scales to ensure similar GPU 417 memory usage across dif-418 ferent experimental con-419

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Dataset	BS	InputSize	SCL	L-SCL	LOCAL
	8	512×512	76.47 (74.01-79.00)	79.07 (77.15-81.55)	82.78 (81.90-84.57)
	16	512×512	78.49 (76.80-80.16)	77.98 (76.57-80.28)	83.64 (81.90-84.69)
HRA	8	256×256	75.87 (74.36-77.03)	78.40 (77.03-79.58)	81.76 (80.63-83.29)
	16	$256{ imes}256$	77.26 (77.03-77.61)	79.58 (78.18-81.90)	81.20 (79.46-82.83)
	8	224×224	80.08 (79.57-80.50)	80.98 (79.56-81.45)	81.52 (80.26-83.41)
	16	224×224	82.20 (81.48-83.88)	81.09 (79.19-82.63)	82.83 (81.83-83.18)
xBD	64	224×224	81.64 (81.17-82.07)	79.05 (78.04-79.56)	82.87 (81.88-84.32)
	8	128×128	80.83 (80.24-81.73)	81.01 (79.91-81.76)	82.00 (81.42-83.08)
	16	128×128	81.44 (81.02-81.78)	80.56 (79.13-82.18)	82.29 (80.46-83.28)
	64	128×128	82.08 (80.93-82.68)	79.45 (78.45-80.16)	82.78 (82.11-83.59)
	128	$128{\times}128$	81.23 (80.86-81.75)	79.57 (78.52-80.98)	82.82 (82.06-83.94)

Table 2: Performance comparison under variant batch sizes and image resizing scales

figurations. We observe that the available batch sizes are relatively small, typically around 8 or 16 for
 HAR. The general trend observed across varying batch sizes and image resizing scales shown in the
 column of *LOCAL* supports our hypothesis: larger batch sizes outperform smaller ones at the same
 downsizing scale, and larger image sizes perform better as they preserve more critical information.

The results demonstrate that *LOCAL* consistently outperforms the extended variants of *SCL* for
paired input on both datasets. By comparing *L-SCL* with *SCL*, we validate the effectiveness of
our proposed Latent Hierarchical Feature Correlation Module at the smallest batch sizes, where *L-SCL* consistently surpasses *SCL* in all batch size 8 configurations for both datasets. Additionally,
comparing *LOCAL* with *L-SCL* highlights the impact of the proposed OCL loss illustrated in
Eq. (2), which enforces orthonormality among negatives, while all other factors remain unchanged
between the two methods.

Furthermore, we observe greater stability of our proposed method compared to *SCL*. Using the HRA dataset as an example, the stability is evident in two aspects: (a) Our method shows minimal variation

432 (within 1%) between batch sizes of 16 and 8 (e.g., 83.64% to 82.78% for 512×512 input), while 433 SCL experiences a larger drop of around 2% (78.49% to 76.47%). (b) For 5-fold cross-validation, our 434 method has lower variance (around 3%, ranging from 81.90% to 84.57%), compared to SCL's higher 435 variance, which reaches up to 5% (74.01% to 79.00%) in the batch size 8, 512×512 configuration.

436 Performance Comparison for Distinct 437 Classes with Smallest Batch Sizes and 438 Smallest Resized Images. Table 3 shows 439 the categorical performance of each class un-440 der the most constrained configuration, with 441 the smallest batch size and image resolution 442 to simulate limited GPU memory conditions. For the HRA dataset, this configuration is 8 443 image pairs per batch with a resolution of 444 256×256 , and for xBD, it is 8 pairs with a 445 resolution of 128×128 . LOCAL consistently 446 outperforms SCL across almost all metrics. 447 effectively handles data imbalance, especially 448 in minority categories like "severe-damage" in 449 HRA. Additionally, LOCAL excels at identi-450 fying tree-caused damage, a particularly chal-

Dataset	Class	Prevalence	SCL	L-SCL	LOCAL
-	no-damage	52.09%	81.40	83.09	87.36
	light-damage	19.14%	65.20	66.77	68.61
	tarp-damage	14.6%	81.99	83.78	82.54
HRA	tree-damage	9.16%	66.44	69.85	74.43
	severe-damage	4.99%	66.88	87.48	87.55
		f1-macro	72.38	78.19	80.10
		accuracy	75.87	78.40	81.76
	no-damage	69.79%	89.54	89.79	90.48
xBD	minor-damage	11.0%	50.40	49.68	51.68
	major-damage	12.97%	68.65	67.34	69.48
	destroyed	6.24%	79.01	80.05	78.90
		f1-macro	71.90	71.72	72.53
		accuracy	80.83	81.01	82.00

Table 3: Categorical performance of each class with smallest batch size and image down-scaling setting

451 lenging task where trees entangled with roofs can be mistaken for "no-damage." 452



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Visualization of Learned Embeddings.



Figure 7: The t-SNE visualization on HRA test split

465 Fig. 7 displays the t-SNE visualization Van der Maaten & Hinton (2008) of the embedding z from the paired images, as well as epost from post-disaster images in HRA. Colors indicate classes, with 466 class numbers 0-4 representing the 5 categories of damage types, respectively. LOCAL leads to 467 more separated and compact clusters compared to the embedding learned by SCL The "tarp-damage" 468 (green) and "severe-damage" (red) show more purified color, indicating higher precision than SCL. 469 Similar observations in Fig. 7(c) and 7(d) suggest the post-event image embedding could potentially 470 replace the input of the classification network $Clas(\cdot)$. 471

Ablation Study in Backbone Network. Table. 4 shows BackBone SCL Dataset the results using ResNet18, ResNet 18 77.80 (75.64-79.81) ResNet34, and ResNet50 as ResNet 34 78.23 (75.78-79.67) HRA 78.49 (76.80-80.16) ResNet 50 the backbone network $Enc(\cdot)$. ResNet 18 80.81 (79.67-81.76) LOCAL consistently outper-ResNet 34 80 41 (79 94-80 87) xBD forms the other two on both ResNet 50 81.23 (80.86-81.75) datasets. The proposed LO-

CAL steadily increases in per-480 formance as the backbone net-

L-SCL LOCAL 76.87 (75.17-80.05) 80.18 (79.58-81.21) 78.00 (76.45-79.58) 81.37 (80.97-82.37) 83.64 (81.90-84.69) 77.98 (76.57-80.28) 79.72 (78.68-80.33) 81.88 (81.29-83.27) 79 87 (79 39-80 13) 82.07 (78 54-83 98) 79.57 (78.52-80.98) 82.82 (82.06-83.94)

Table 4: Performance of under different backbones

481 work capability increases, while the other two do not follow a similar pattern, possibly due to 482 embedding oscillations caused by small batches, as discussed in Section 2.

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486 Ablation Study for Latent Contrastive Fea-487 tures. Table 5 shows the performance with 488 latent hierarchical features from LOCAL and 489 L-SCL . LOCAL consistently outperforms L-490 SCL, indicating that the proposed loss function that encourages orthonomality contributes to a 491 better representation. While latent regularizer to 492 all blocks enhances the LOCAL's performance, 493 but there are no clear patterns for *L*-SCL due <u>191</u> to the oscillation caused by the small batch size, 495 as discussed in Fig. 3. 496

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4.2 GENERALIZABILITY ON BENCHMARK DATASETS WITH SINGLE IMAGE AS INPUT

501 Additionally, we have performed experi-502 ments on benchmark datasets with single image as sample such as CIFAR-10-LT 504 and CIFAR-10-LT and iNatualist-LT, to ver-505 ify the effectiveness of OCL over SCL, 506 as shown in Table 6. The obtained results suggest that encouraging orthonormal-507 ity leads to improved performance, espe-508 cially with small batch sizes: employing rel-509 atively small batch sizes for training: (4, 8, 510 12), (32, 64, 80) and (4, 8, 16) for each of 511 the corresponding datasets. 512

We also conduct experiments on ImageNet-513 LT with limited epochs (thus not fully 514 trained). We test different small batch sizes 515 such as 4, 8, and 16 to simulate memory 516 constraints and evaluate how OCL performs 517 under such conditions. OCL is expected to 518 show better performance over SCL, partic-519 ularly in small batch sizes and under short 520

Dataset	Blocks	SCL	LOCAL	
HRA	1-2-3-4	79.07 (76.57-80.28)	83.64 (81.90-84.69)	
	2-3-4	78.10 (76.33-79.35)	82.27 (81.55-83.64)	
	3-4	78.86 (77.38-79.81)	82.04 (81.09-84.22)	
	4	77.84 (76.33-79.35)	82.92 (80.97-84.45)	
xBD	1-2-3-4	79.57 (78.52-80.16)	82.82 (82.06-83.94)	
	2-3-4	79.42 (78.73-79.83)	83.01 (82.54-83.58)	
	3-4	80.46(78.55-81.28)	82.40 (81.82-82.77)	
	4	79.10 (77.85-80.88)	82.96 (82.34-84.01)	

 Table 5: Performance of latent contrastive features

 extracted from different layers of encoder

Detect	BS	SCL		OCL	
Dataset		Accuracy	F1-macro	Accuracy	F1-macro
	4	88.25	67.32	88.79	71.25
CIFAR-10-LT	8	92.29	80.48	92.58	80.70
	12	92.67	80.90	93.25	81.42
	32	74.90	49.21	75.30	50.85
CIFAR-100-LT	64	77.95	53.43	78.20	53.55
	80	78.35	54.20	78.65	53.77
iNatualist-LT	4	87.51	65.09	87.73	70.42
	8	93.1	86.77	93.51	87.07
	16	93.93	88.99	94.25	90.02

Table 6: Performance on benchmark datasets

Dataset	BS	SCL		OCL	
		Accuracy	F1-macro	Accuracy	F1-macro
ImageNet-LT	4	5.74	4.35	7.12	5.66
	8	15.58	13.55	17.55	15.29
	16	26.71	23.65	29.32	26.06

Table 7: Performance on ImageNet-LT

training durations, as OCL optimizes representation more efficiently by encouraging orthonormality,
 which can help even under limited epochs.

5 CONCLUSION

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In this paper, we have addressed the challenge of SCL, to avoid the model drift (class embeddings 527 fail to form a simplex) commonly encountered when batch size is small and class distribution is 528 highly skewed. We introduced Latent Orthonormal Contrastive Learning (LOCAL) as a solution 529 for classification tasks involving paired data. Instead of learning the representations of distinct 530 classes as vertices of a regular simplex inscribed in a hypersphere, the proposed approach learns 531 orthonormal embeddings for different classes where per-class examples are mapped to unit vectors and 532 perpenticular to the embeddings of all examples in other classes. By a simple change to the original 533 SCL loss function (adding an absolute value to the inner products of negatives in the denominator of 534 Eq.(2)), we are able to completely revamp the embeddings of different classes to be in orthogonal subspaces. The resultant embeddings, as tested on high resolution remote sensing imagery and natural 536 language inference, show more discriminative power for classification. Our theoretical analysis 537 shows that the proposed loss function has a lower bound and can actually attain its minimum without contingency on data balance unlike the standard contrastive learning. Furthermore, by incorporating 538 the latent hierarchical correlated features via a backbone network, it allows us to further operate on small batches of paired inputs, thereby reducing memory burden.

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648 A APPENDIX

650 A.1 DETAILED PROOFS 651

In this section, we provide the detailed proofs of the manuscript.

Theorem A.1. Let $Z = \{\mathbf{z}_i \mid \forall i \in [N], \mathbf{z}_i \in \mathcal{R}^{D_E}\}$ be set of embedding features of N points, and the corresponding label set is given as $Y = \{y_i \mid \forall i \in [N], y \in [K]\}$. For a fixed batch size |B|, we define a set of sub-sampling index sets of size |B| as \mathcal{B} such that

$$\mathcal{B} = \{\{n_1, n_2, \dots, n_B\} | n_i \in [N], \forall i \in [B]\}.$$

We have

$$\mathcal{L}_{OCL}(Z;Y) \ge \sum_{l=2}^{|B|} lM_l \log\left(l - 1 + \frac{|B| - 1}{e}\right) \tag{7}$$

where $M_l = \sum_{y \in [K]} |\{B \in \mathcal{B} | | B_y| = l\}|$, and the set B_y consists of all samples with label y in the batch B. Equality is attained if and only if there are K orthonormal vectors $\xi_1, \xi_2, \ldots, \xi_K \in \mathbb{R}^{D_E}$ with a large D_E , s.t. $K < D_E$ can be obtained under the condition that $\forall n \in [N] : \mathbf{z}_n = \xi_{y_n}$.

Several steps are presented in order to prove Theorem A.1 as follows.

667 Step 1: First let us define B_y^C to be the complementary set of B_y such that $B_y + B_y^C = B$. For any class y and any batch $B \in \mathcal{B}$, the class-specific loss $\mathcal{L}_{OCL}(Z; Y, B, y)$ can be bounded by

$$\frac{\mathcal{L}_{OCL}(Z;Y,B,y)}{\geq |B_y| \log(|B_y| - 1 + |B_y^C| \exp(S(Z;Y,B,y)))}$$
(8)

where function S can be defined as

$$S(Z; Y, B, y) = S_{att}(Z; Y, B, y) + S_{rep}(Z; Y, B, y)$$
(9)

In Eq. equation 10, we further introduce the two functions $S_{att}()$ and $S_{rep}()$ respectively below

$$S_{att}(Z;Y,B,y) = -\frac{1}{|B_y|(|B_y|-1)} \sum_{i \in B_y} \sum_{j \in B_y \setminus \{\{i\}\}} \langle \mathbf{z}_i, \mathbf{z}_j \rangle$$

$$S_{rep}(Z;Y,B,y) = \begin{cases} \frac{1}{|B_y||B_y^C|} \sum_{i \in B_y} \sum_{j \in B_y^C} |\langle \mathbf{z}_i, \mathbf{z}_j \rangle|, & if|B_y| \neq |B| \\ 0, & if|B_y| = |B| \end{cases}$$
(10)

Lemma A.2. For any class y and any batch $B \in \mathcal{B}$, the class-specific loss $\mathcal{L}_{OCL}(Z; Y, B, y)$ can be bounded by

$$\mathcal{L}_{OCL}(Z;Y,B,y) \geq |B_y| \log(|B_y| - 1 + |B_y^C| \exp(S(Z;Y,B,y)))$$

$$(11)$$

(12)

where equality holds iff all of the following hold:

$$(A1) \forall i \in B \text{ there is a } C_i(B, y) \text{ such that } \forall j \in B_y \setminus \{\{i\}\}, \langle \mathbf{z}_i, \mathbf{z}_j \rangle = C_i(B, y)$$

690 (A2) $\forall i \in B$ there is a $D_i(B, y)$ such that $\forall j \in B_y^C$, $|\langle \mathbf{z}_i, \mathbf{z}_j \rangle| = D_i(B, y)$.

Proof.

$$\mathcal{L}_{OCL}(Z;Y,B,y)$$

$$= -\sum_{i \in B_y} \frac{1}{|B_{y_i}| - 1} \sum_{j \in B_{y_i} \setminus \{\{i\}\}} \log(\frac{\exp(\langle \mathbf{z}_i, \mathbf{z}_j \rangle)}{\sum_{k \in B \setminus \{\{i\}\}} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle|)})$$

$$= \sum_{i \in B} \log \left(\frac{\sum_{k \in B \setminus \{\{i\}} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle |)}{\prod_{j \in B_{y_i} \setminus \{\{i\}\}} \exp(|\langle \mathbf{z}_i, \mathbf{z}_j \rangle |)^{1/(|B_{y_i}| - 1)}} \right)$$

$$i \in B_y \qquad \left(\prod_{j \in B_{y_i} \setminus \{\{i\}\}} \exp(|\langle \mathbf{Z}_i, \mathbf{Z}_j \rangle|) \right) + 1 = 0$$

$$= \sum_{i \in B_y} \log \left(\frac{\sum_{k \in B \setminus \{\{i\}\}} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle |)}{\exp((|B_{y_i}| - 1)^{-1} \sum_{j \in B_{y_i} \setminus \{\{i\}\}} |\langle \mathbf{z}_i, \mathbf{z}_j \rangle |)} \right)$$

⁷⁰² In Eq. equation 12, we can further reorganize the numerator below.

$$\sum_{k \in B \setminus \{\{i\}\}} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle|) = \sum_{k \in B_y \setminus \{\{i\}\}} \exp(\langle \mathbf{z}_i, \mathbf{z}_k \rangle) + \sum_{k \in B_y^C} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle|)$$
(13)

Using Jensen's inequality on both sums, one can attain In Eq. equation 12, we can further reorganize the numerator below.

$$0.8 \sum_{k \in B_y \setminus \{\{i\}\}} \exp(\langle \mathbf{z}_i, \mathbf{z}_k \rangle) \stackrel{(A1)}{\geq} |B_y \setminus \{\{i\}\}| \exp\left(\frac{\sum_{k \in B_y \setminus \{\{i\}\}} \langle \mathbf{z}_i, \mathbf{z}_k \rangle|}{|B_y \setminus \{\{i\}\}|}\right)$$

$$0.8 \sum_{k \in B_y^C} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle|) \stackrel{(A2)}{\geq} |B_y^C| \exp\left(\frac{\sum_{k \in B_y \setminus \{\{i\}\}} |\langle \mathbf{z}_i, \mathbf{z}_k \rangle|}{|B_y^C|}\right)$$
(14)

716 where the the equality holds if and only if

(A1)
$$\exists C_i(B, y)$$
 such that $\forall j \in B_y \setminus \{\{i\}\}, |\langle \mathbf{z}_i, \mathbf{z}_j \rangle| = C_i(B, y).$

(A2) $\exists D_i(B, y)$ such that $\forall j \in B_y^C$, $|\langle \mathbf{z}_i, \mathbf{z}_j \rangle| = D_i(B, y)$.

Plugging Eq. equation 15 in Eq. equation 13, we obtain the bound of each addend as

$$0.8 \frac{\sum_{k \in B \setminus \{\{i\}\}} \exp(|\langle \mathbf{z}_i, \mathbf{z}_k \rangle |)}{\exp((|B_{y_i}| - 1)^{-1} \sum_{j \in B_{y_i} \setminus \{\{i\}\}} |\langle \mathbf{z}_i, \mathbf{z}_j \rangle |)}$$

$$0.8 \ge |B \setminus \{\{i\}\}| + |B_y^C| \exp\left(\frac{\sum_{k \in B_y^C} |\langle \mathbf{z}_i, \mathbf{z}_k \rangle |}{|B_y^C|} - \frac{\sum_{k \in B \setminus \{\{i\}\}} |\langle \mathbf{z}_i, \mathbf{z}_k \rangle |}{|B \setminus \{\{i\}\}|}\right)$$
(15)

So with the definition of S(Z; Y, B, y), we can obtain the claimed bound

$$\mathcal{L}_{OCL}(Z;Y,B,y) \ge |B_y| \log(|B_y| - 1 + |B_y^C| \exp(S(Z;Y,B,y)))$$

$$(16)$$

Lemma A.3. Let $l \in \{2, ..., |B|\}$. For $Y \in [K]$ and Z, we have $L_{LOCL}(Z, Y) = \sum_{B \in \mathcal{B}} \sum_{y \in [K]} \mathcal{L}_{OCL}(Z; Y, B, y)$, we have

$$\frac{1}{M_l} \sum_{B \in \mathcal{B}} \sum_{y \in [K]} \log(l - 1 + (|B| - l) \exp(S(Z; Y, B, y)))$$

$$\geq \log\left(l - 1 + (|B| - l) \exp\left(\frac{1}{M_l}S(Z; Y, B, y)\right)\right)$$
(17)

where $M_l = \sum_{y \in [K]} |\mathcal{B}_{y,l}|$ and $\mathcal{B}_{y,l}$ is an auxiliary partition of \mathcal{B} such that $\mathcal{B}_{y,l} = \{B_{y_i} | |B_{y_i}| = l, \forall i \in [K]\}$. The equality holds if and only if

(A3) l = |B| or there exists D(l) such that for every $y \in [K]$ and $B \in \mathcal{B}_{y,l}$ the values of S(Z;Y,B,y) = D(l) agree.

747 Proof. Since $f(x) = \log(l - 1 + (|B| - l) \exp(|x|))$ is a convex function, using Jensen's inequality, 748 for every $y \in [K]$ and $B \in \mathcal{B}_{y,l}$, we have

$$0.8\frac{1}{|\mathcal{B}_{y,l}|}\sum_{B\in\mathcal{B}}\sum_{y\in[K]}f(S(Z;Y,B,y)) \stackrel{(A3)}{\geq} f\left(\frac{1}{|\mathcal{B}_{y,l}|}\sum_{B\in\mathcal{B}}\sum_{y\in[K]}S(Z;Y,B,y)\right)$$
(18)

where the equality can be obtained if and only if A3 holds.

755 Step 2: Next, we use the bound of $\mathcal{L}_{OCL}(Z; Y, B, y)$ derived from Lemma A.2 and Lemma A.3 to get the bound for $\mathcal{L}_{OCL}(Z, Y)$.

Lemma A.4. For every Y and Z the orthonormal contrastive loss \mathcal{L}_{OCL} is bounded by

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$$0.85\mathcal{L}_{OCL} \ge \sum_{l=2}^{|B|} lM_l \log\left(l - 1 + (|B| - l) \exp\left(\frac{1}{M_l}S(Z; Y, B, y)\right)\right)$$
(19)

⁷⁶¹ where the equality holds if and only if

762 763 (B1) $\forall n, m \in [N]$, if $y_n = y_m$, it implies $\langle z_n, z_m \rangle \equiv \eta$.

(B2) $\forall n, m \in [N]$, if $y_n \neq y_m$, it implies $|\langle z_n, z_m \rangle| \equiv \gamma$.

Proof.

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$$\mathcal{L}_{OCL}(Z,Y) = \sum_{B \in \mathcal{B}} \sum_{y \in [K]} \mathcal{L}_{OCL}(Z;Y,B,y)$$

$$= \sum_{l=2}^{|B|} \sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} \mathcal{L}_{OCL}(Z;Y,B,y)$$

$$\geq \sum_{l=2}^{|B|} \sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} l \log(l-1+(|B|-l)\exp(S(Z;Y,B,y)))$$

$$\geq \sum_{l=2}^{|B|} l M_l \log \left(l-1+(|B|-l)\exp\left(\frac{1}{M_l} \sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S(Z;Y,B,y)\right)\right)$$
(20)

The first and second inequality can be attained via Lemma A.2 and Lemma A.3. The equality can be achieved if and only if (A1), (A2), and (A3) are true. It can be further proved that $(A1)\&(A2)\&(A3) \Leftrightarrow (B1)\&(B2).$

 $\begin{array}{l} \textbf{783} \\ \textbf{784} \end{array} \qquad \text{We first prove "} \Leftarrow ".$

(A1) For an arbitrary $l \in \{2, \ldots, |B|\}$, $y \in Y$, $B \in \mathcal{B}_{y,l}$ and $i \in B$, we let $j \in B_y \setminus \{\{i\}\}$, i.e., $y_j = y_i = y$. Then we have $\langle z_i, z_j \rangle = \eta = C_i(B, y)$.

(A2) For an arbitrary $l \in \{2, \dots, |B|\}, y \in Y, B \in \mathcal{B}_{y,l}$ and $i \in B$, we let $j \in B_y^C$, i.e., $y_j = y_i = y$. Then we have $|\langle z_i, z_j \rangle| = \gamma = D_i(B, y)$.

(A3) For an arbitrary $l \in \{2, \ldots, |B| - 1\}$, $y \in Y$, and $B \in \mathcal{B}_{y,l}$, with condition (B1), Satt(Z; Y, B, y) = $-\eta$, and by condition (A2), $S_{rep}(Z; Y, B, y) = -\gamma$. So we have $S(Z; Y, B, y) = S_{att}(Z; Y, B, y) + S_{rep}(Z; Y, B, y) = \gamma - \eta = D(l)$.

793 Next, we prove " \Rightarrow ".

(B1) We aim to prove that given y, y' and $m, n, m', n' \in [N]$ with $y_m = y_n = y$ and $y_{m'} = y_{n'} = y'$, we can induce that $|\langle z_n, z_m \rangle| = |\langle z_{n'}, z_{m'} \rangle|$.

797 Case I:

If $y \neq y'$, we choose l = 2 and we specify the batch $B' = \{\{n, m, n', \dots, n'\}\}$ with the size b. We can get

$$S(Z, Y, B', y) = S_{att}(Z; Y, B, y) + S_{rep}(Z; Y, B, y)$$

$$= -\langle z_n, z_m \rangle + \frac{|\langle z_n, z_n' \rangle|}{2} + \frac{|\langle z_{n'}, z_m \rangle|}{2}$$
(21)

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With (A2), we can further get $S(Z;Y,B,y) = -|\langle z_n, z_m \rangle| + |\langle z_{n'}, z_n \rangle|$. Similarly, we can specify the batch $B'' = \{\{m', n', n, \dots, n\}\}$ with the size *b* and we can get $S(Z,Y,B'',y) = -|\langle z_{n'}, z_{m'} \rangle| + |\langle z_{n'}, z_n \rangle|$. Combining these two equations with condition (A3), one can deduce that $|\langle z_n, z_m \rangle| = |\langle z_{n'}, z_{m'} \rangle|$.

Case II: If y = y', we choose l = 2 and we specify the batch $B' = \{\{m, n, p, \dots, p\}\}$ with the size b. Following the similar procedure in Case I, with (A2), we can further get S(Z, Y, B', y) =

⁸¹⁰ ⁸¹¹ ⁸¹² $-|\langle z_m, z_n \rangle| + |\langle z_n, z_p \rangle|$. Similarly, we can specify the batch $B'' = \{\{m', n', p, \dots, p\}\}$ with the size *b* and we can get $S(Z, Y, B', y = -\langle z_{n'}, z_{m'} \rangle + \langle z_{n'}, z_p \rangle)$. Combining these two equations with condition (A3), one can deduce that $-|\langle z_n, z_m \rangle| + |\langle z_n, z_p \rangle| = |\langle z_{n'}, z_{m'} \rangle| + |\langle z_{n'}, z_p \rangle|$.

Now, pick the batch $B_3 = \{\{z_m, z_m, p, \dots, p\}\}$. With condition (A2), we have $|\langle z_n, p \rangle| = |\langle z_m, p \rangle|$ and thus $|\langle z_{n'}, z_{m'} \rangle| = |\langle z_n, z_m \rangle|$.

(B2) We aim to prove that given $y \neq y'$, $|\langle z_n, z_{n'} \rangle| = |\langle z_m, z_{m'} \rangle|$.

S(Z, Y, B', y)

We still choose l = 2 and we specify two batches as $B' = \{\{n, n, n', \dots, n'\}\}$ with the size |B| and $B'' = \{\{m, m, m', \dots, m'\}\}$ with the size |B|. Assuming $S_{att}(Z; Y, B, y) = -\eta$ and thus

823 824 $= -\eta + S_{rep}(Z, Y, B', y)$ $= -\eta + \frac{1}{2(|B| - 2)} \sum_{i \in B'_{y}} \sum_{j \in B'_{y}^{C}} |\langle z_{i}, z_{j} \rangle|$ $= -\eta + |\langle z_{n}, z_{n'} \rangle|$ (22)

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855 856 Similar to Eq. 22, we have $S(Z, Y, B'', y) = -\eta + |\langle z_m, z_{m'} \rangle|$. With (A3), we have S(Z, Y, B'', y) = S(Z, Y, B', y) so that $|\langle z_n, z_{n'} \rangle| = |\langle z_m, z_{m'} \rangle|$.

With (A2), we can further get $S(Z;Y,B,y) = -|\langle z_n, z_m \rangle| + |\langle z_{n'}, z_n \rangle|$. Similarly, we can specify the batch $B'' = \{\{m', n', n, \dots, n\}\}$ with the size *b* and we can get $S(Z,Y,B'',y) = -|\langle z_{n'}, z_{m'} \rangle| + |\langle z_{n'}, z_n \rangle|$. Combining these two equations with condition (A3), one can deduce that $|\langle z_n, z_m \rangle| = |\langle z_{n'}, z_{m'} \rangle|$.

Step 3:

Now we will partition the bounding problem into two components which characterize the intra-class
bound and the inter-class bound respectively. Mathematically, a decomposition can be written as

$$\sum_{y \in Y} \sum_{B \in \mathcal{B}_{y,l}} S(Z;Y,B,y)$$

$$= \sum_{y \in Y} \sum_{B \in \mathcal{B}_{y,l}} S_{att}(Z;Y,B,y) + \sum_{y \in Y} \sum_{B \in \mathcal{B}_{y,l}} S_{rep}(Z;Y,B,y)$$
(23)

We first address the first addend in Eq. 24 in the following lemma. And the rest of the lemmas focus on the second addend.

Lemma A.5. Let $l \in \{2, ..., |B|\}$ and let Z to be the unit vector on a unit sphere. For every Y and Z, it holds that

$$\sum_{y \in Y} \sum_{B \in \mathcal{B}_{y,l}} S_{att}(Z;Y,B,y) \ge -\left(\sum_{y \in Y} |B_{y,l}|\right)$$
(24)

where the equality is attained if and only if: (A4) $\forall m, n \in [N]$, $y_m = y_n$ implies $z_m = z_n$.

Proof.

$$S_{att}(Z;Y,B,y) = -\frac{1}{|B_y||B_y \setminus \{\{i\}\}|} \sum_{i \in B_y} \sum_{j \in \mathcal{B}_y \setminus \{\{i\}\}} \langle z_i, z_j \rangle$$

$$\geq -\frac{1}{|B_y||B_y \setminus \{\{i\}\}|} \sum_{i \in B_y} \sum_{j \in \mathcal{B}_y \setminus \{\{i\}\}} z_i z_j$$
(25)

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which can be obtained by using Cauchy-Schwarz inequality. The equality holds if and only if z_i and z_j are identical since the z_i and z_j are unit vectors. So the equality condition can be written as (A4) $\forall m, n \in [N], y_m = y_n$ implies $z_m = z_n$.

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Now, we use Lemma 3 and Lemma 4 to prove the bound for our orthonormal supervised contrastive loss.
 Instantia Contrastive Lemma 4 to prove the bound for our orthonormal supervised contrastive loss.

Lemma A.6. The orthonormal contrastive loss $\mathcal{L}_{OCL}(Z, Y)$ is bounded from below by

$$\mathcal{L}_{OCL}(Z,Y) \ge \sum_{l=2}^{|B|} lM_l \log\left(l - 1 + \frac{|B| - 1}{e}\right)$$
(26)

where equality is achieved if and only if there exists $\{\xi_1, \ldots, \xi_Y\}$ such that the following conditions hold:

874 (C1) $\forall n \in [N], z_n = \xi_{y_n}.$

875 (C2) $\{\xi_1, \ldots, \xi_Y\}$ are pairwise orthonormal.

Proof. Utilizing the lower bound of S_{att} in Lemma A.5, we can bound the exponential term in Lemma A.4 first below

$$\sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S(Z;Y,B,y)$$

$$\geq \sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S_{att}(Z;Y,B,y) + \sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S_{rep}(Z;Y,B,y)$$

$$\geq \sum_{y \in Y} |B_{y,l}| \times (-1) + 0$$

$$= -|Y| \sum_{y \in Y} |B_{y,l}|$$
(27)

where the second term $\sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S_{rep}(Z;Y,B,y) \ge 0$ and $\sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S_{rep}(Z;Y,B,y) = 0$ if and only if $\{\xi_1, \ldots, \xi_Y\}$ are pairwise orthonormal and $\forall n \in [N], z_n = \xi_{y_n}$. So we can further derive the bound for \mathcal{L}_{OCL} as follows.

$$\mathcal{L}_{OCL}(Z,Y) \\
\geq \sum_{y \in [K]} \sum_{B \in \mathcal{B}_{y,l}} S(Z;Y,B,y) \\
\geq \sum_{l=2}^{|B|} lM_l \log \left(l - 1 + (|B| - l) \exp \left(\frac{1}{M_l} S(Z;Y,B,y) \right) \right) \\
\geq \sum_{l=2}^{|B|} lM_l \log \left(l - 1 + (|B| - l) \exp \left(-\frac{\sum_{y \in Y} |B_{y,l}|}{M_l} \right) \right) \\
\geq \sum_{l=2}^{|B|} lM_l \log \left(l - 1 + (|B| - l) \exp \left(-\frac{\sum_{y \in Y} |B_{y,l}|}{\sum_{y \in Y} |B_{y,l}|} \right) \right) \\
\geq \sum_{l=2}^{|B|} lM_l \log \left(l - 1 + (|B| - l) \exp \left(-\frac{\sum_{y \in Y} |B_{y,l}|}{\sum_{y \in Y} |B_{y,l}|} \right) \right) \\
\geq \sum_{l=2}^{|B|} lM_l \log \left(l - 1 + \frac{|B| - l}{e} \right) \qquad \Box$$

With Lemma 5, Theorem 1 is readily attained.

914 A.2 IMPLEMENTATION DETAILS OF SCL AND L-SCL

Fig. 8 shows the detailed architecture of *SCL* model, where $\mathcal{L}_{SCL} = \alpha \mathcal{L}_{SCL}(\mathbf{z}) + (1 - \alpha) \mathcal{L}_{WCE}$. Fig. 9 shows the detailed architecture of *SCL* model, where $\mathcal{L}_{L-SCL} = \alpha \mathcal{L}_{SCL}(\mathbf{z}, \mathbf{l}) + (1 - \alpha) \mathcal{L}_{WCE}$. Fig. 10 is our proposed LOCAL method.


Figure 8: The end-to-end learning of the SCL model, $\mathcal{L}_{SCL} = \alpha \mathcal{L}_{SCL}(\mathbf{z}) + (1 - \alpha) \mathcal{L}_{WCE}$.



Figure 9: The end-to-end learning of the *L*-SCL model, $\mathcal{L}_{L-SCL} = \alpha \mathcal{L}_{SCL}(\mathbf{z}, \mathbf{l}) + (1 - \alpha) \mathcal{L}_{WCE}$.



