

516 Supplemental Material for
 517 “Towards Packing: 2x NLP BERT Acceleration”
 518
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520 **A Packing SQUaD 1.1**

521 We tokenized SQUaD [19] for BERT [5] with maximum sequence length 384 and visualized the
 522 histogram over the sequence length (Figure 5). The distribution looks similar to the Wikipedia dataset
 523 but is slightly less skewed. However, the maximum sequence length only had an occurrence of 1.2%
 524 compared to 23.5%. Hence, the theoretical un-padding speedup is 2.232. In Table 2, we can see
 525 that SPFHP does not concatenate more than 3 samples and obtains 97.54% efficiency in contrast
 526 to a maximally used depth of 16 with 99.60% efficiency on Wikipedia, because of the less skewed
 527 distribution. Note that we have less than 90'000 samples. Hence, NNLSHP is less efficient because
 528 the rounding in the residuals has a much larger impact compared to more than 16 million sequences
 529 in the Wikipedia dataset.

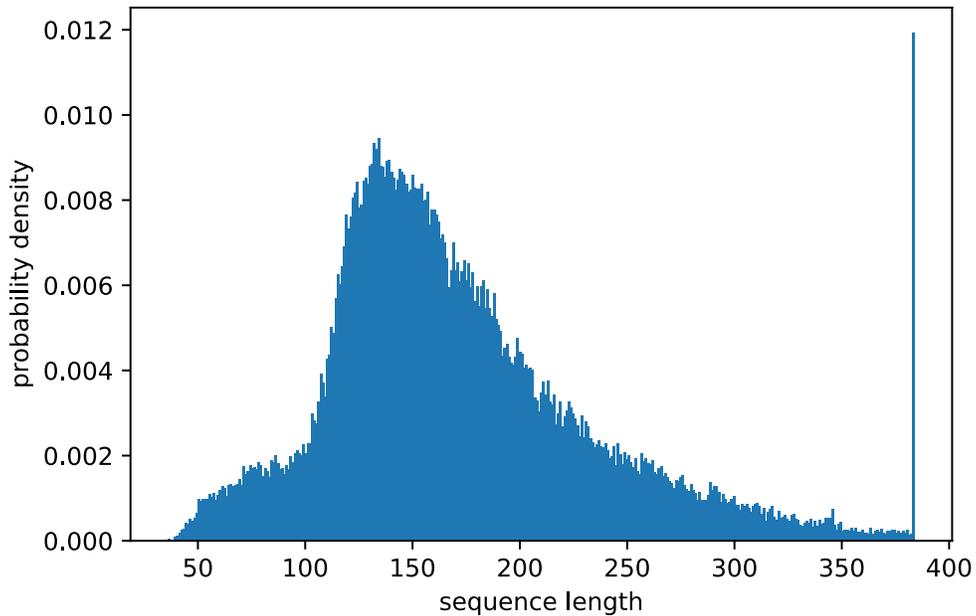


Figure 5: SQUaD 1.1 BERT pre-training dataset sequence length histogram for maximum sequence length of 384.

Table 2: Performance results of proposed packing algorithms for SQUaD 1.1 BERT pre-training.

packing depth	packing algorithm	# strategies used	# packs	# tokens	# padding tokens	efficiency (%)	packing factor
1	none	348	88641	34038144	18788665	44.801	1.000
2	SPFHP	348	45335	17408640	2159161	87.597	1.955
3	NNLSHP	398	40808	15670272	420793	97.310	2.172
3/max	SPFHP	344	40711	15633024	383545	97.547	2.177

530 **B Packing GLUE**

531 To explore a variety of datasets and emphasize that skewed distributions are common, we explored all
 532 datasets in the GLUE benchmark [23, 22] that came with training data. We loaded the datasets using
 533 the HuggingFace dataset loading API [36]. For preprocessing, we followed the implementation in the
 534 HuggingFace transformers repository [35] and extracted the respective data processing snippets
 535 to obtain tokenized data with a maximum sequence length of 128. The histogram of the sequence
 536 length for each of the included datasets is displayed in Figure 6 and the packing results are given in
 537 Table 3. Each dataset benefits from packing. The lower the mean, the higher the packing factors are
 538 that can be reached but with a higher packing depth.

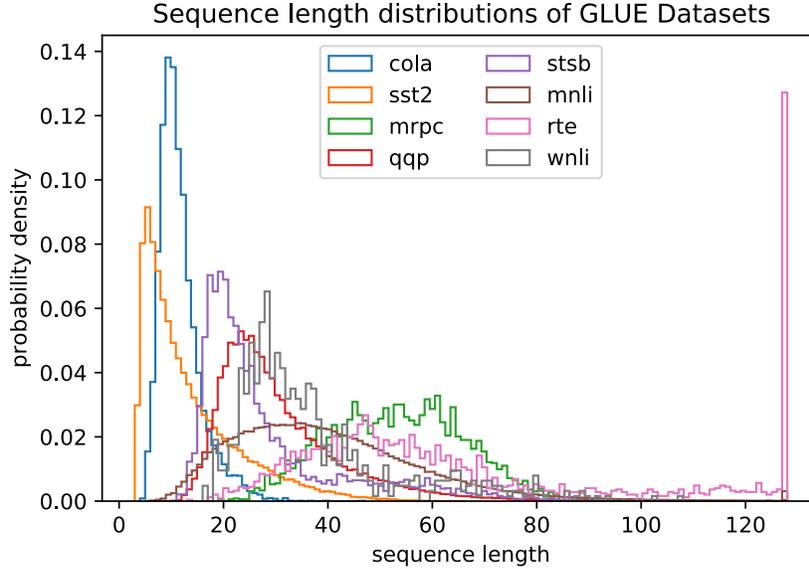


Figure 6: GLUE dataset sequence length histograms for maximum sequence length of 128.

Table 3: Performance results of proposed packing algorithms for the GLUE dataset. Only the baseline and the SPFHP packing results without limiting the packing depth are displayed.

data name	packing depth	# strategies used	# packs	# tokens	# padding tokens	efficiency (%)	packing factor
cola	1	34	8551	1094528	997669	8.849	1.000
cola	13/max	29	913	116864	20005	82.882	9.366
sst2	1	64	67349	8620672	7723633	10.406	1.000
sst2	15/max	64	7691	984448	87409	91.121	8.757
mrpc	1	77	3668	469504	274214	41.595	1.000
mrpc	4/max	74	1606	205568	10278	95.000	2.284
qqp	1	123	363846	46572288	35448844	23.884	1.000
qqp	5/max	123	97204	12442112	1318668	89.402	3.743
stsb	1	85	5749	735872	575993	21.726	1.000
stsb	6/max	83	1367	174976	15097	91.372	4.206
mnli	1	124	392702	50265856	34636487	31.093	1.000
mnli	8/max	124	123980	15869440	240071	98.487	3.167
rte	1	112	2490	318720	152980	52.002	1.000
rte	4/max	108	1330	170240	4500	97.357	1.872
wnli	1	72	635	81280	57741	28.960	1.000
wnli	6/max	63	192	24576	1037	95.780	3.307

²https://github.com/huggingface/transformers/blob/master/examples/text-classification/run_glue.py

539 **C Further learning curves**

540 This section provides further learning curves related to Section [4.2](#)

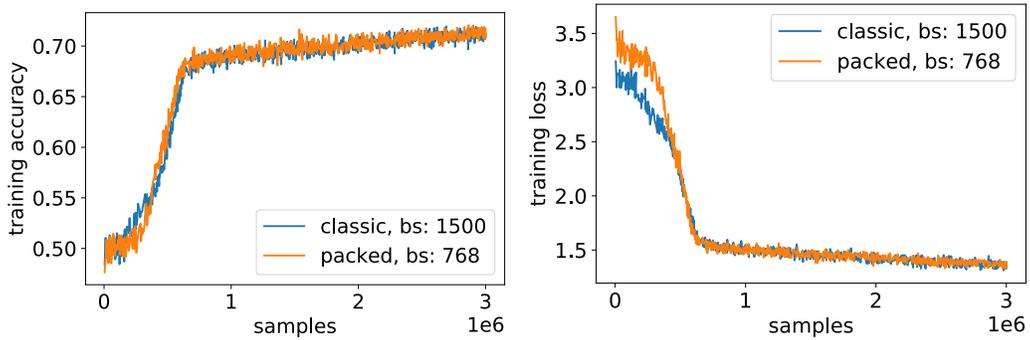


Figure 7: Comparison of learning curves for packed and unpacked processing with **reduced batch size** for the packed approach.

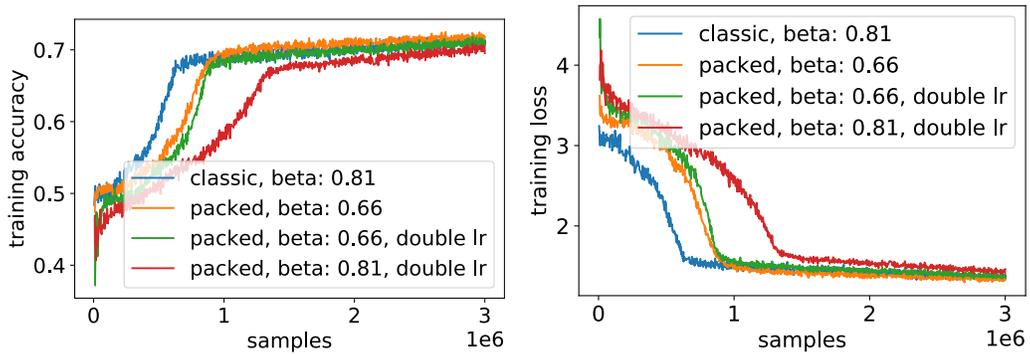


Figure 8: Comparison of learning curves for packed and unpacked processing with **heuristics** applied.

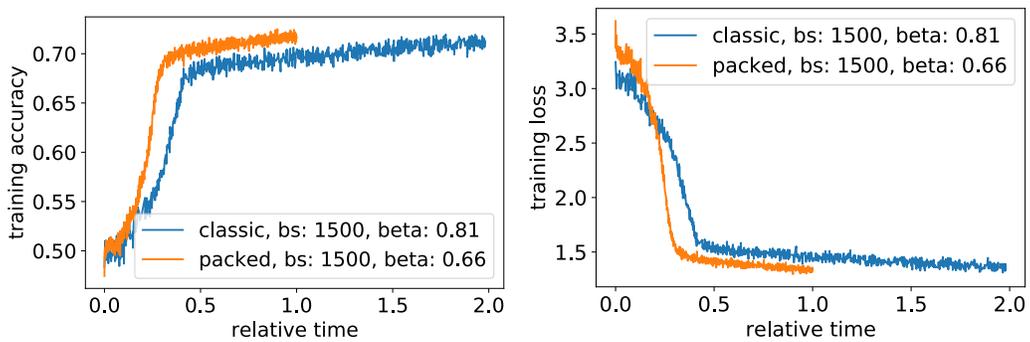


Figure 9: Comparison of learning curves for packed and unpacked processing in the **optimized setup**.

541 **D Technical background on packing**

542 **D.1 Canonical packing problem**

543 The bin-packing problem deals with the assignment of items into bins of a fixed capacity such that
 544 the number of utilized bins is minimized. In the canonical formulation of the packing problem a
 545 vector $s(i)$ of length n is used to represent the items being packed, where $s(i)$ denotes the length of
 546 the i -th sequence/item. The allocation of items into bins is tracked through the assignment matrix B ,
 547 where $B_{ij} \in \{0, 1\}$ states whether the i -th sequence should be placed into the j -th bin. In the worst
 548 case scenario, every item is assigned to its own bin, thus $B \in \mathbb{R}^{n \times n}$. Notably, s grows linearly in the
 549 number of sequences/items being packed and B grows with the square. To mask out unused bins
 550 $y_j \in \{0, 1\}$, denotes whether the j -th bin is being used. The optimization objective is to minimize the
 551 sum of y_j while making sure to assign each s_i to exactly one bin and not exceeding the maximum
 552 bin capacity s_m for each bin. This problem formulation is well known as bin-packing [13].

$$\begin{aligned}
 \min_{y \in \{0,1\}^n, B \in \{0,1\}^{n \times n}} \quad & \sum_{j=1}^n y_j && \text{Minimize the number of bins.} \\
 \text{s.t.} \quad & \sum_{j=1}^n b_{ij} = 1 \quad \forall i && \text{Assign each length/sequence to only one bin.} \\
 & \sum_{i=1}^n s(i)b_{ij} \leq s_m y_j \quad \forall j && \text{Cumulative length cannot exceed capacity.}
 \end{aligned} \tag{1}$$

553 Bin-packing is a strongly NP-complete [13] problem. Producing an exact and optimal solution
 554 is possible with a variety of existing algorithms, for example with the branch-and-cut-and-price
 555 algorithm [27]. However, given that we want to apply it for very large n (16M for the Wikipedia
 556 dataset) an approximate approach is required.

557 **D.2 Approximate bin-packing problem**

558 Approximate packing approaches are divided into online and offline algorithms [11]. Online algo-
 559 rithms process incoming sequences one-by-one in a streaming fashion, whereas offline algorithms
 560 have a holistic view of all samples to be packed but typically still operate on a per sample basis.
 561 This results in best case time and memory complexities of at least $O(n \log(n))$ and solutions that
 562 can sometimes be far from optimal, especially for the online algorithms which do not have access
 563 to a holistic view of the datasets. The simplest online approach (next-fit) would be to keep a single
 564 open bin at any given time. An incoming sequence is added to this open bin if it fits, otherwise the
 565 bin is closed (can never be appended to again) and a new one is opened to accommodate the new
 566 sequence [11]. In the case of the Wikipedia pre-training dataset almost 25% of the sequences are of
 567 length 512, which makes this approach very inefficient since bins would frequently be closed because
 568 the incoming sequence did not fit. More specifically, this approach is not able to efficiently combine
 569 one long sequence with one shorter sequence, when the number of long sequences is large. The
 570 algorithms that come closest to the approaches proposed in this paper are the online harmonic-k algo-
 571 rithm [31], which creates harmonic sized bins for the assignment decision, and the offline Modified
 572 First Fit Decreasing method [12, 26], which sorts the data, groups it into 4 size categories and defines
 573 a strategy adjusted to these sizes.

574 In our approaches, we make three major simplifications. We make the problem of bin packing less
 575 dependent on n by operating on the histogram of sequence lengths with bin size 1. Hence, we replace
 576 $s(i)$ by its histogram b and the bin assignment y, B by a mixture of strategies x , where the set of all
 577 available packing strategies is modeled as the matrix A (see also Section D.4.2).

578 Then, we do not solve the full packing problem but focus on a fixed packing depth (in other words
 579 the well known 3-partition problem). Last but not least, we solve the limited depth packing problem
 580 only approximately either with a non-negativity-constrained linear least squares [2] (NNLS) followed
 581 by rounding to nearest integer solution or by applying Worst-Fit [12, 26] to the histogram, sorted
 582 from largest to smallest (in contrast to using an unsorted dataset). An exact solution would not be
 583 appropriate, since the 3-partition problem is strongly NP-complete [28] as well.

584 D.3 Definitions

585 In this section, we standardize the terms used throughout our methods. Firstly, the terms *pack* and *bin*
586 may be used interchangeably. Secondly, the presented packing schemes impose a limit on how many
587 sequences can be packed into any given bin. This limit is referred to as the maximum *packing depth*.
588 For simplicity, we require the different sequence lengths in a pack to always add up exactly to the
589 bin capacity s_m (we can always generate a padding sequence of just the right length to fill-up the
590 bin). A *packing strategy* is a sorted list of sequence lengths, for example [5, 7, 500], such that the
591 total sequence length is no more than s_m and the number of sequences in the pack does not exceed
592 the maximum *packing depth*. The output of a packing scheme is typically as set of *packing strategies*
593 and the corresponding *repeat count* for each strategy stating how many times each strategy should
594 be repeated in order to cover the entire dataset. The strategy *repeat count* is also referred to as the
595 *mixture* of strategies. The objective of the packing algorithm is to jointly design a set of packing
596 strategies and their repeat counts, such that the amount of *padding* is (approximately) minimized.
597 The presence of *padding* in the packs can either be implicit or explicit. For instance for $s_m = 512$
598 the strategy [2, 508] has an implicit padding of 2 (needed to fill the pack up to the s_m). Alternatively,
599 the strategy repeat count may over-subscribe a particular sequence length leading to explicit packing.
600 For instance constructing a pack of [4, 508] may require a new *padding* sequence of length 4 be
601 constructed, if there are not enough sequences of that length in the dataset. The packing algorithms,
602 we present, use both representations.

603 D.4 Non-negative least squares histogram-packing

604 The first algorithm proposed in this paper is suitable for settings where it is desirable to achieve a
605 high packing efficiency with a limited packing depth. The algorithm is deterministic and has three
606 major components described in Sections [D.4.1](#), [D.4.2](#) and [D.4.3](#).

607 D.4.1 Enumerating packing strategies of fixed packing depth

608 Listing all unique ways of packing up to a maximum *packing depth* can be achieved through dynamic
609 programming. We only consider packing at most up to 3 sequences per pack. This is the smallest
610 packing depth that can eliminate the need for most padding on the Wikipedia dataset. Increasing the
611 depth to 4, increases the size of the packing problem drastically and yields no throughput benefit³.
612 With only two sequences, packing would be not as efficient since the distribution on sequence length
613 is not symmetric. We use dynamic programming to enumerate all feasible ways/strategies that up
614 to M sequences of length $1 - 512$ can be packed into a bin of length 512. For example, a packing
615 strategy may be [512] or [6, 506] or [95, 184, 233]. To avoid listing the same strategy multiple times,
616 we enforce the sequence lengths within a pack to occur in sorted order, for example, [95, 184, 233] is
617 equivalent to [184, 95, 233] and should only be listed once. This reduces the search space as well as
618 the space of potential solutions by a factor of 6 approximately and thus significantly accelerates the
619 optimization process. If you had the same strategy repeated 6 times instead of having just one instance
620 of that strategy with weight X , you will have six instances with weight $x/6$ (for example, or any
621 other distribution). This would conflict with integer rounding of the solutions and with convergence
622 of optimization algorithms.

623 D.4.2 Constructing the packing matrix

624 The number of rows in the packing matrix is equal to the number of different sequence length
625 categories. For instance, if we are using a granularity of 1 token to distinguish between different
626 sequence lengths, then there are “maximum sequence length” rows. Each column of the matrix
627 corresponds to a valid packing strategy (given the depth of packing). An example packing matrix
628 for fitting up to 3 sequences into sequence length 8 is given in Table [4](#). Each column of the matrix
629 represents a packing strategy. For instance, the first column represents the strategy [1, 1, 6] of
630 packing two length-1 sequences and one length-6 sequence together to form a pack of length 8. The
631 number of strategies (and columns in the matrix) is discussed in Section [D.5](#). For a packing depth
632 of 3 and maximum sequence length, we obtain around $\frac{s_m^2 + 6s_m + 12}{12}$ strategies. For depth 4, around
633 $\frac{s_m(s_m+4)(2s_m+1)}{288}$ more get added.

³For data distributions that are more skewed than Wikipedia this might look different.

Table 4: Example packing matrix for sequence length 8. Columns represent different kinds of packs. Rows represent the number of sequences in these packs with a certain length. The last column represents a pack with only a single sequence of length six.

2	1	1	1	0	0	0	0	0	0
0	1	0	0	2	1	1	0	0	0
0	0	1	0	0	2	0	1	0	0
0	0	1	0	1	0	0	0	2	0
0	1	0	0	0	0	0	1	0	0
1	0	0	0	0	0	1	0	0	0
0	0	0	1	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	1

634 D.4.3 Solution of the NNLS approximate packing problem

635 A solution of the packing problem is the mixture of packing strategies x that minimizes the amount of
 636 padding in the packed dataset. We solve directly for the mixture (positive real numbers) and recover
 637 the padding as the negative portion of the residual (see Section [D.4.4](#)).

$$\begin{aligned} \min_{x \in \mathbb{R}^m} \quad & \|A \cdot x - b\|^2 \\ \text{s.t.} \quad & x \geq 0 \end{aligned} \tag{2}$$

638 The solution vector x will represent the mixture of the columns of A , in other words the mixture
 639 of valid packing strategies such that $A \cdot x$ is as close as possible (in the least squares sense) to the
 640 histogram of sequence lengths b . We obtain a solution with a non-negative least squares implemen-
 641 tation [\[30, 34\]](#) Interestingly in the case of sequence length 512 only 634 out of the 22102 available
 642 packing strategies of depth up to 3 are used (3%).

643 D.4.4 Padding as the residuals of the packing problem

644 We compute the residuals of the least squares solution (after rounding the mixture to integer) as:

$$r = b - A \cdot \text{round}(x) \tag{3}$$

645 The negative portion of the residuals represents sequences that we are “short”. That is, there is a
 646 deficit of those sequences and we are over-subscribing to them. The positive portion of the residuals
 647 represents sequences which have failed to be packed. Typically, there is a deficit of short sequences
 648 and a surplus of long sequences as demonstrated by the following plot.

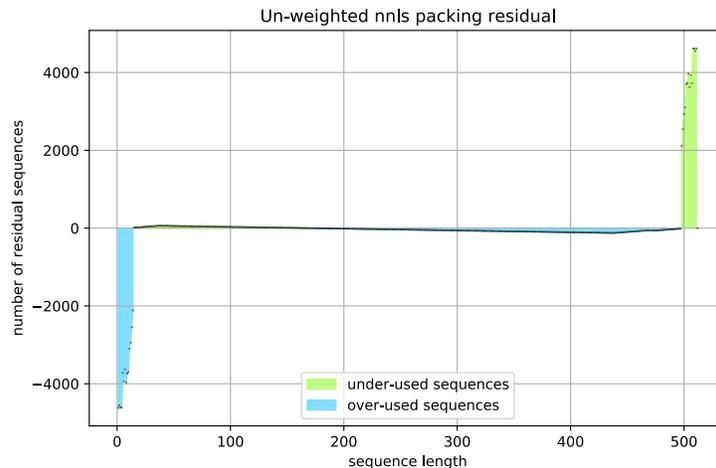


Figure 10: Visualization of the residual of the NNLS packing problem

649 In total, there are $n = 16 \cdot 279 \cdot 552$ sequences in the Wikipedia pre-training dataset. After
 650 the non-negative least squares packing (and rounding to integer solution) there are 56'799 un-
 651 packed sequences left un-packed (about 0.352%). The residual on sequence lengths 1 to 8 are

652 $[-4620, -4553, -4612, -4614, -3723, -3936, -3628, -3970]$. These negative residuals imply
 653 that we need to add this many sequences of their corresponding sequence length to realize the mixture
 654 of packing strategies. In total the first iteration introduces 7.9410^6 tokens of padding. In contrast
 655 large sequence lengths have a positive residual (a surplus of unused sequences). For sequence lengths
 656 504 to 512 the values are $[3628, 3936, 3724, 4613, 4612, 4553, 4619, 0]$. Note that sequence length
 657 512 has a residual of 0 since they do not need packing. Intermediate sequence lengths typically have
 658 non-zero (but much smaller) residuals.

659 The detailed code for the algorithm is provided in Listing 3.

660 D.4.5 Residual weighting

661 A natural extension of the non-negative least squares problem introduced in Section D.4.3 is to weight
 662 the residuals on different sequence length differently.

$$\begin{aligned} \min_{x \in \mathbb{R}^m} \quad & \|(wA) \cdot x - (wb)\|^2 \\ \text{s.t.} \quad & x \geq 0 \end{aligned} \tag{4}$$

663 We should not significantly penalize a deficit in short sequence lengths (smaller than 8 tokens) as
 664 adding up to 8 tokens of padding is not much overhead. Similarly, a surplus in long sequences is
 665 not worrisome because the amount of padding needed to achieve a sequence length of 512 is small.
 666 Reducing the weight of the residual on the first 8 tokens to 0.09 leads to the following residual plot
 667 shown on the right in Figure 11. In this case the residual is almost entirely shifted to the shorter
 668 sequences and the positive residual on the longer sequences has virtual disappeared.

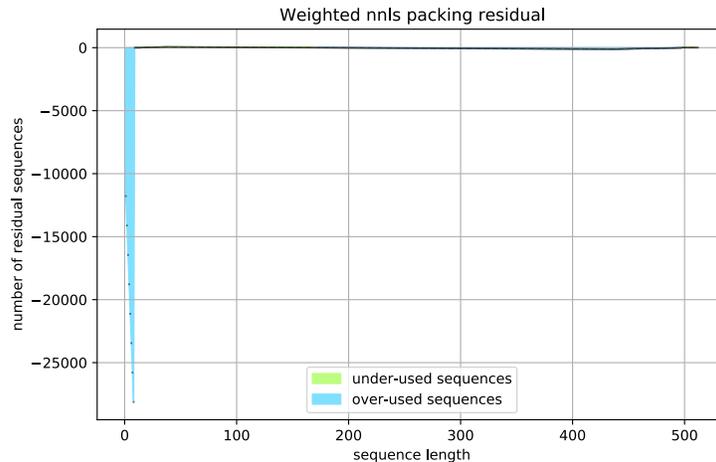


Figure 11: Visualization of the weighted residual of the NNLS packing problem

669 D.5 Complexity analysis of the proposed packing approaches

670 Since approximate packing algorithms have a complexity of at least $O(n \log(n))$ and we would like
 671 to be able to tackle datasets with 2K million samples, we will discuss the complexity of our packing
 672 algorithms in this section. The complexity depends on the maximum sequence length s_m , the number
 673 of samples n , and the packing depth d .

674 To create the histogram, we have to iterate over the data once ($O(n)$). Our histograms will be binned
 675 by size 1, meaning one bin for each sequence length. Hence, a dictionary can be generated ($O(s_m)$)
 676 and used for the sorting ($O(1)$). The respective histogram vector has dimension s_m .

677 D.5.1 Analysis of non-negative least-squares histogram-packing

678 For a packing depth of one, there is only the strategy $[s_m]$. For a packing depth of two, we add
 679 the strategies $[1, s_m - 1], \dots, [s_m - \lfloor \frac{s_m}{2} \rfloor]$ which results in an additional $\lfloor \frac{s_m}{2} \rfloor$ potential strategies.

680 Following the dynamic programming approach, the number of possible additional strategies of depth
 681 three can be calculated with

$$\begin{aligned}
 \# \text{ potential strategies} &= \sum_{j=1}^{\lfloor \frac{s_m}{3} \rfloor} \sum_{i=j}^{\lfloor \frac{s_m-j}{2} \rfloor} 1 = \sum_{j=1}^{\lfloor \frac{s_m}{3} \rfloor} \left\lfloor \frac{s_m-j}{2} \right\rfloor - (j-1) \\
 &\approx \sum_{j=1}^{\lfloor \frac{s_m}{3} \rfloor} \frac{s_m}{2} - \frac{3}{2}j \approx \frac{s_m}{2} \frac{s_m}{3} - \frac{3}{2} \frac{s_m/3(s_m/3+1)}{2} \\
 &\approx \left\lfloor \frac{s_m^2}{12} \right\rfloor
 \end{aligned} \tag{5}$$

682 Note that for $s_m = 512$ the approximation is exact. This means that our strategy matrix A has
 683 the dimensions $s_m \times \left(\left\lfloor \frac{s_m^2}{12} \right\rfloor + \left\lfloor \frac{s_m}{2} \right\rfloor + 1 \right)$. So it contains 11'316'224 numbers which is still much
 684 smaller than n . Note that the original data matrix B had n^2 entries, which all needed to be optimized
 685 together with the n bin assignments y . We now have only $\left\lfloor \frac{s_m^2}{12} \right\rfloor + \left\lfloor \frac{s_m}{2} \right\rfloor$ free variables in the strategy
 686 vector x . Also note that A can be precomputed when s_m is known and is independent of the number
 687 of samples. Given a problem matrix with dimension $i \times j$, Luo et al. [32] indicate that the asymptotic
 688 complexity of most solution approaches is $O(ij^2)$, whereas they propose an $O(ij)$ solution. Since
 689 we use the standard SciPy implementation [30], our estimated total complexity for NNLSHP is
 690 $O(n + s_m^5)$.

691 For $s_m = 2048$, the estimate would be 350'540 potential strategies which is still far less than the
 692 number of samples.

693 For packing depth 4, we calculate [37]:

$$\begin{aligned}
 &\sum_{k=1}^{\lfloor \frac{s_m}{4} \rfloor} \sum_{j=k}^{\lfloor \frac{s_m-k}{3} \rfloor} \sum_{i=j}^{\lfloor \frac{s_m-j-k}{2} \rfloor} 1 \\
 &\approx \sum_{k=1}^{\lfloor \frac{s_m}{4} \rfloor} \sum_{j=k}^{\lfloor \frac{s_m-k}{3} \rfloor} \frac{s_m - k + 2 - 3j}{2} \\
 &\approx \sum_{k=1}^{\lfloor \frac{s_m}{4} \rfloor} \frac{1}{12} (s + 4 - 4k)(s + 3 - 4k) \\
 &\approx \frac{1}{288} s(2s^2 + 9s + 4) \\
 &= \frac{1}{288} s(s + 4)(2s + 1)
 \end{aligned} \tag{6}$$

694 So with $s_m = 512$, there would be around 940K strategies. In our implementation, this number of
 695 strategies would be too high to create the problem matrix. One alternatives to simplify would be to
 696 not use the exact length of sequences but to only consider even numbers for the sequence length and
 697 round up. That way arbitrary sequence length could also be handled and the limiting factor would be
 698 the complexity of the attention layer in BERT which does not scale well with the sequence length.

699 D.5.2 Analysis of shortest-pack-first histogram-packing

700 The complexity calculation of SPFHP is straightforward. We go over the whole data once for the
 701 histogram sorting. Next, we iterate over each of the s_m bins in the histogram. Lastly, we iterate over
 702 all strategies that were encountered so far. It can be proven that, at each iteration, the number of
 703 strategies can be maximally increased by one. In each step, we potentially add a sequence to existing
 704 strategies but a new strategy is opened up only in the final step, when we either create a new strategy
 705 or we split one of the existing strategies into two. Hence, the number of strategies is bounded by s_m
 706 and the overall complexity is bounded by $O(n + s_m^2)$.

707 **E Theorem on LAMB hyperparameter correction heuristic**

708 With packing, the effective batch size changes and hence hyperparameters of the LAMB optimizer [25]
 709 need to be adjusted. For a packed dataset with a packing factor p , we update the decay parameters as:
 710 $\bar{\beta}_1 := \beta_1^p$, $\bar{\beta}_2 := \beta_2^p$. For instance if $\beta_1 = 0.81$ for the un-packed dataset, then for a packed dataset
 711 with an average of 2 sequences per sample one should use a value of $0.81^2 \approx 0.66$ instead. Assuming
 712 no or only minor changes in gradients and p being a natural number, we can prove that this heuristic
 713 is the exact solution to make sure that momentum and velocity in LAMB are unaffected by packing.
 714 This can be proven by mathematical induction. Note that $p \geq 1$ by definition.

715 **Theorem 1.** *For any $p \in \mathbb{N}$ and assuming that respective gradients on a batch of b random samples*
 716 *are (approximately) the same, choosing*

$$\bar{\beta}_1 := \beta_1^p \quad (7)$$

$$\bar{\beta}_2 := \beta_2^p. \quad (8)$$

717 *as hyperparameters in the LAMB optimizer ensures that the momentum and velocity after p separate*
 718 *update steps are the same as with one packed update step with $p \times b$ samples.*

719 *Proof.*

- 720 • *Base Case:*
 721 For $p = 1$ the left and right side of the equation are the same which matches exactly the
 722 unpacked case. Hence, the theorem holds for $p = 1$.
- 723 • *Inductive hypothesis:* Suppose the theorem holds for all values of p up to some k , $k \geq 1$.
- 724 • *Inductive proposition:* The theorem holds for $p = k + 1$.
- 725 • *Proof of the inductive step:* Let l be the loss function, w_t the weight vector after t updates,
 726 and x_1^t, \dots, x_b^t the respective underlying data to calculate the gradient g_t . For a single
 727 update step in LAMB with batch size b samples, we compute the gradient

$$g_t = \frac{1}{b} \sum_{i=1}^b \frac{\partial l}{\partial w}(x_i^t, w^t). \quad (9)$$

728 Since $g_1 \approx g_2 \approx \dots \approx g_{k+1}$, We have with the inductive hypothesis and the definitions in
 729 LAMB:

$$m_k = \beta_1^k m_0 + (1 - \beta_1^k) g_1 \quad (10)$$

$$v_k = \beta_2^k v_0 + (1 - \beta_2^k) g_1^2 \quad (11)$$

730 Now we can calculate (with $g_1 \approx g_{k+1}$)

$$m_{k+1} = \beta_1 m_k + (1 - \beta_1) g_{k+1} \quad (12)$$

$$\approx \beta_1 (\beta_1^k m_0 + (1 - \beta_1^k) g_1) + (1 - \beta_1) g_1 \quad (13)$$

$$= \beta_1^{k+1} m_0 + (1 - \beta_1^{k+1}) g_1 \quad (14)$$

731 The calculation for v_k is the same. As reference for a packed update with $p = k + 1$ with $\bar{\beta}_1$
 732 and $\bar{\beta}_2$, we would get

$$g = \frac{1}{pb} \sum_{j=1}^p \sum_{i=1}^b \frac{\partial l}{\partial w}(x_i^j, w^1) = \frac{1}{p} \sum_{j=1}^p \left(\frac{1}{b} \sum_{i=1}^b \frac{\partial l}{\partial w}(x_i^j, w^1) \right) \approx \frac{1}{p} \sum_{j=1}^p g_1 = g_1 \quad (15)$$

733 since we are calculating gradients over b samples which are assumed to be approximately
 734 the same. Consequently, the updates for momentum and velocity would be

$$\bar{m}_k = \bar{\beta}_1 m_0 + (1 - \bar{\beta}_1) g_1 \quad (16)$$

$$\bar{v}_k = \bar{\beta}_2 v_0 + (1 - \bar{\beta}_2) g_1^2. \quad (17)$$

735 Hence, $\bar{\beta}_1 = \beta_1^{k+1}$ and $\bar{\beta}_2 = \beta_2^{k+1}$ is required to map to the formula with the consecutive
 736 updates (for the same amount of data).

737

• *Conclusion:* The theorem holds for any $p \in \mathbb{N}$.

738

□

739

Since we proved that the formulas $\beta_1 := \beta_1^p$, $\beta_2 := \beta_2^p$ hold for all $p \in \mathbb{N}$, $p \geq 1$, it is safe to assume

740

that it is an appropriate heuristic for all $p \in \mathbb{R}$, $p \geq 1$.

741 **F Un-padding scaling estimate**

742 Firstly, we retrieve the per-batch processing time for an un-padding implementation running pre-
 743 training on the Wikipedia dataset from [17]. These processing times were obtained using 8 GPUs
 744 each with a per-device batch size of 32. We also retrieve the throughput numbers for the same system
 745 running with padding from [33] and use that as the baseline to compare the un-padded throughput
 746 against.

747 The throughput on the 8 GPU system is effectively limited by the slowest of the eight batches being
 748 processed in parallel. The Gumbel distribution is particularly suited to modelling the maximum or
 749 minimum value of a fixed size collection of i.i.d. samples (in this case batches). We observe that on
 750 8 GPUs the throughput (i.e. speed-up) distribution indeed closely resembles a Gumbel distribution
 751 with $\alpha_1 = 1.6$ and $\beta_8 = 0.13$ as shown in Figure 12.

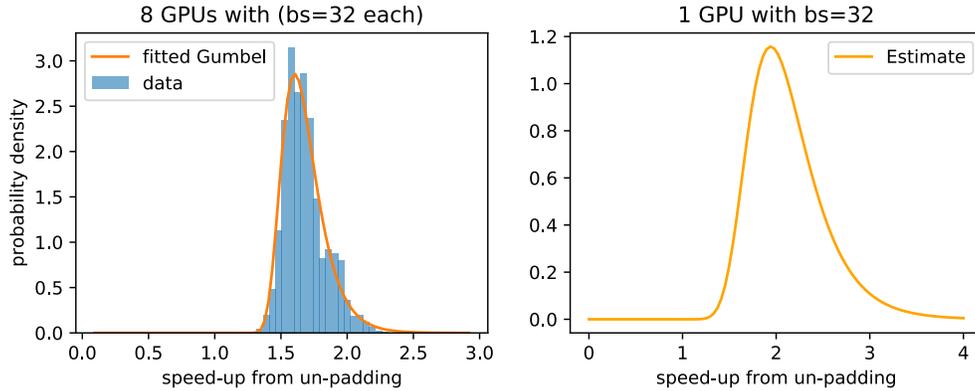


Figure 12: Left: Speed-up from un-padding on 8 GPUs closely resembles a Gumbel distribution. Right: statistical estimate of speed-up distribution on a 1 GPU system running un-padding

752 We can extrapolate the performance on the 8 GPU system to larger clusters by recognizing that
 753 the processing time for each cluster is effectively determined by the slowest batch being processed.
 754 Specifically, we could randomly sample (without replacement) two processing times for the 8 GPU
 755 system, and record the max of the two as the processing time for a system of 16 GPUs. However,
 756 this simple approach is too sensitive to outliers in the data and would result in an under-estimate
 757 of the performance of un-padding on large systems. We mitigate the effect of outliers in the data
 758 by avoiding directly sampling the processing times. Instead, we fit a Gumbel distribution to the
 759 processing times of a single batch of size 32 running on one GPU. To perform the fit, we observe that
 760 the cdf on one GPU (P_1) is related to the cdf on 8 GPUs (P_8) through [29](section 1.3):

$$(1 - P_8(s)) = (1 - P_1(s))^8 \tag{18}$$

761 In other words, if the speed-up on the cluster is larger than s , this implies that the speed-up on
 762 every GPUs in the cluster was at least s . Assuming P_1 is Gumbel and given the 8 GPU Gumbel
 763 parameters α_8 and β_8 , we need to fit two parameters, α_1 and β_1 . Consequently for the median
 764 ($s = \alpha_8 - \beta_8 \ln(\ln(2))$, $P_8(s) = 0.5$), we have:

$$0.5 = (1 - P_1(\alpha_8 - \beta_8 \ln(\ln(2))))^8. \tag{19}$$

765 And since P_8 is Gumbel, we also have an equation for the mode ($s = \alpha_8$, $P_8(s) = e^{-1}$):

$$(1 - e^{-1}) = (1 - P_1(\alpha_8))^8. \tag{20}$$

766 We solve these two non-linear equations simultaneously using the standard SciPy optimization
 767 package.

Listing 2: Infer Gumbel distribution parameters.

```
768 1 import numpy as np
769 2 from scipy import stats, optimize
770 3 alpha_8 = 1.6038
771 4 beta_8 = 0.1288
```

```

772 5 def g(x):
773 6     alpha_1, beta_1 = x
774 7     dist = stats.gumbel_r(loc=alpha_1, scale=beta_1)
775 8     # Equations for median and mode
776 9     median = alpha_8 - beta_8*np.log(np.log(2))
777 10    equation1 = 0.5 - dist.sf(median)**n_gpu
778 11    mode = alpha_8
779 12    equation2 = (1-np.exp(-1)) - dist.sf(mode)**n_gpu
780 13    return (equation1**2 + equation2**2)
781 14
782 15 res = optimize.minimize(g, [alpha_8, beta_8], method="Nelder-Mead")
783 16 alpha_1, beta_1 = res.x

```

784 The resulting estimated speed-up Gumbel distribution for a single device has $\alpha = 1.94$, $\beta = 0.108$
785 and is shown in Figure 12 [right]. To simulate the performance of a cluster of size n with a batch
786 size of 32 per device, we take the minimum over n samples from this distribution. Repeating this
787 process to generate many samples allows us to estimate the expected speed-up for any given cluster
788 size. Unfortunately, we cannot make any statistical inference about the processing times of individual
789 sequences since the data is only provided at the granularity of 32 sequences per batch, and it is not
790 clear how much of the computation is done in parallel and how much in serial.

791 **G Fine-tuned longest-pack-first histogram-packing**

792 In the main paper, we focused on SPFHP due its simplicity. In this section, we analyse the effect of
 793 applying the “Best-Fit” algorithm [11]. Here, the longest pack that still fits the sequence is chosen
 794 instead of the shortest one. In contrast to SPFHP, we additionally consider splitting the histogram
 795 count, if it can fit multiple times. A simple example is sequence length 256, where we divide the
 796 respective histogram count by 2 to create the optimal pack with strategy [256, 256] instead of the
 797 strategy [256]. This latter strategy would be complemented by other sequences but would probably
 798 not result in an optimal packing. The implementation of this approach is much more complex than
 799 the SPFHP implementation. The code is provided in Listing 8 and the results in Table 5.

pack. depth	# strat. used	# packs	# tokens	# padding tokens	efficiency (%)	pack. factor
1	508	16279552	8335130624	4170334451	49.967	1.000
2	634	10099081	5170729472	1005933299	80.546	1.612
3	648	9090154	4654158848	489362675	89.485	1.791
4	671	8657119	4432444928	267648755	93.962	1.880
8	670	8207569	4202275328	37479155	99.108	1.983
16	670	8140006	4167683072	2886899	99.931	2.000
29/max	670	8138483	4166903296	2107123	99.949	2.000

Table 5: Performance results of longest-pack-first histogram-packing for Wikipedia BERT pre-training with maximum sequence length 512.

800 We can see that longest-pack-first histogram-packing (LPFHP) uses a much higher packing depth
 801 when no limit is set (29 instead of 16). Splitting the histogram counts results in slightly higher
 802 numbers of used strategies compared to SPFHP where the number of used strategies is limited by the
 803 maximum sequence length. The best efficiency of LPFHP is 99.949% with packing factor of 2 which
 804 is slightly higher than the 99.75% (1.996 packing factor) for NNLShp and 99.6% for SPFHP (1.993
 805 packing factor). All algorithms are very close to the upper limit.

806 Note that for NNLShp, we only fill up the unpacked samples with padding. Applying best-fit on
 807 the remains, similar results can be expected. Although the benefits of the improved algorithm are
 808 negligible, we share the concept and code below in case packing is applied to other data with a
 809 different distribution that would benefit more from it, or for applications where only perfectly packed
 810 sequences without padding are of interest.

811 **G.1 Extended NNLS with padding token weighting**

812 In Section [D.4.4](#), we defined the residual as

$$r = b - A \cdot \text{round}(x) \quad (21)$$

813 and discovered that a positive residual corresponds to sequences that we did not pack at all and
 814 should be avoided. Negative residuals correspond to padding and should be minimized. Due to
 815 this discrepancy, we decided to set small weights for very short sequences (that don't occur in the
 816 data). However, it was not possible to directly optimize the amount of padding. A negative residual
 817 component for length i , r_i , results in $|r_i| \cdot i$ padding tokens, however a positive residual actually
 818 results into $(512 - r_i) \cdot i$ padding tokens. This cannot be addressed by our weighting approach in

$$\begin{aligned} \min_{x \in \mathbb{R}^m} \quad & \|(wA) \cdot x - (wb)\|^2 \\ \text{s.t.} \quad & x \geq 0 \end{aligned} \quad (22)$$

819 Working within the NNLS approach, we can strictly enforce a non-positive residual r (before rounding
 820 to integer). To that end, we define a new auxiliary variable $\bar{r} \approx -(b - Ax)$ which is the negative of
 821 the residual, r . This will allow us to reformulate the objective $r \leq 0$ to the non-negative constraint:
 822 $\bar{r} \geq 0$.

$$\begin{aligned} \min_{x \in \mathbb{R}^m} \quad & \|(wA) \cdot x - (wb)\|^2 + \|\bar{w} \cdot A \cdot x - \bar{w} \cdot b - \bar{w} \cdot \bar{r}\|^2 \\ \text{s.t.} \quad & x \geq 0 \\ & \bar{r} \geq 0 \end{aligned} \quad (23)$$

823 This will enforce $\bar{r} = Ax - b \geq 0$ due to the large weight, $\bar{w} := 10^6$, and no upper limits on \bar{r} . Now,
 824 we can set $w_i := i$ to optimize for the padding tokens. Due to the use of the squared error, we would
 825 however optimize the squared sum of padding tokens instead of the preferred sum of padding tokens.
 826 To accomplish the latter, we would have to replace the L2-norm problem by an L1-norm problem
 827 which would be too complex to solve. Note that due to rounding, the unwanted positive residuals r
 828 ($\bar{r} < 0$) might still occur. This could be avoided by rounding up x instead of normal rounding of x .
 829 To put the new formulation into a solver, we replace

$$b \text{ by } \begin{pmatrix} b \\ b \end{pmatrix}, x \text{ by } \begin{pmatrix} x \\ \bar{r} \end{pmatrix}, w \text{ by } \begin{pmatrix} w \\ \bar{w} \end{pmatrix}, \text{ and } A \text{ by } \begin{pmatrix} A & 0_m \\ A & -D_m \end{pmatrix}, \quad (24)$$

830 where 0_m is an $m \times m$ matrix with m being the maximum sequence length, 512, and D_m is a unit
 831 matrix of the same dimensions as 0_m . Since, we are already close to optimum especially on the
 832 Wikipedia dataset, the results are only a little bit better. The processing time however increases from
 833 30 to 415 seconds without considering the increased time for constructing the processing matrix.
 834 Since the slightly improved algorithm might be nevertheless relevant for other applications, we share
 835 it in Listing [9](#).

836 H Packing source code

837 File links are currently non-functional for anonymous review but will be replaced in final version.

Listing 3: Non-negative least squares histogram-packing

```

1 # Copyright (c) 2021 Graphcore Ltd. All rights reserved.
2 """Non-Negative least squares histogram-packing algorithm."""
3 import time
4 import numpy as np
5 from scipy import optimize, stats
6 from functools import lru_cache
7
8 def get_packing_matrix(strategy_set, max_sequence_length):
9     num_strategies = len(strategy_set)
10    A = np.zeros((max_sequence_length, num_strategies), dtype=np.int32)
11    for i, strategy in enumerate(strategy_set):
12        for seq_len in strategy:
13            A[seq_len - 1, i] += 1
14    return A
15
16 @lru_cache(maxsize=None)
17 def get_packing_strategies(start_length, minimum_increment, target_length, depth):
18     gap = target_length - start_length
19     strategies = []
20     # Complete the packing with exactly 1 number
21     if depth == 1:
22         if gap >= minimum_increment:
23             strategies.append([gap])
24     # Complete the sample in "depth" steps, recursively
25     else:
26         for new in range(minimum_increment, gap + 1):
27             new_gap = target_length - start_length - new
28             if new_gap == 0:
29                 strategies.append([new])
30             else:
31                 options = get_packing_strategies(start_length + new, new, target_length, depth - 1)
32                 for option in options:
33                     if len(option) > 0:
34                         strategies.append([new] + option)
35     return strategies
36
37 def pack_using_nnlsnp(histogram, max_sequence_length, max_sequences_per_pack):
38     # List all unique ways of packing to the desired maximum sequence length
39     strategy_set = get_packing_strategies(0, 1, max_sequence_length, max_sequences_per_pack)
40     print(f"Packing will involve {len(strategy_set)} unique packing strategies.")
41     # Get the packing matrix corresponding to this list of packing strategies
42     A = get_packing_matrix(strategy_set, max_sequence_length)
43     # Weights that penalize the residual on short sequences less.
44     penalization_cutoff = 8
45     w0 = np.ones([max_sequence_length])
46     w0[:penalization_cutoff] = 0.09
47     # Solve the packing problem
48     print(f"Sequences to pack: ", histogram.sum())
49     start = time.time()
50     strategy_repeat_count, rnorm = optimize.nnls(np.expand_dims(w0, -1) * A, w0 * histogram)
51     print(f"Solving non-negative least squares took {time.time() - start:3.2f} seconds.")
52     # Round the floating point solution to nearest integer
53     strategy_repeat_count = np rint(strategy_repeat_count).astype(np.int64)
54     # Compute the residuals, shape: [max_sequence_length]
55     residual = histogram - A @ strategy_repeat_count
56     # Handle the left-over sequences i.e. positive part of residual
57     unpacked_seq_len = np.arange(1, max_sequence_length + 1)[residual > 0]
58     for l in unpacked_seq_len:
59         strategy = sorted([l, max_sequence_length - l]) # the depth 1 strategy
60         strategy_index = strategy_set.index(strategy)
61         strategy_repeat_count[strategy_index] += residual[l-1]
62     # Re-compute the residual with the updated strategy_repeat_count
63     # This should now be strictly < 0
64     residual = histogram - A @ strategy_repeat_count
65     # Add padding based on deficit (negative residual portion of residual)
66     padding = np.where(residual < 0, -residual, 0)
67     # Calculate some basic statistics
68     sequence_lengths = np.arange(1, max_sequence_length + 1)
69     old_number_of_samples = histogram.sum()
70     new_number_of_samples = int(strategy_repeat_count.sum())
71     speedup_upper_bound = 1.0/(1 - (histogram*(1 - sequence_lengths / max_sequence_length)).sum()/
72         old_number_of_samples)
73     num_padding_tokens_packed = (sequence_lengths * padding).sum()
74     efficiency = 1 - num_padding_tokens_packed/(new_number_of_samples*max_sequence_length)
75     print(f"Packing efficiency (fraction of real tokens): {efficiency:3.4f}\n",
76         f"Speed-up theoretical limit: {speedup_upper_bound:3.4f}\n",
77         f"Achieved speed-up over un-packed dataset: {old_number_of_samples/new_number_of_samples:3.5f
78         }")
79     return strategy_set, strategy_repeat_count

```

Listing 4: Shortest-pack-first histogram-packing

```

1 # Copyright (c) 2021 Graphcore Ltd. All rights reserved.
2 """Shortest-pack-first histogram-packing."""
3 from collections import defaultdict
4 import numpy as np
5
6 def add_pack(pack, count, tmp, final, limit, offset):
7     """Filter out packs that reached maximum length or number of sequences."""
8     if len(pack) == limit or offset == 0:
9         final[offset].append((count, pack))
10    else:
11        tmp[offset].append((count, pack))
12
13 def pack_using_spfhp(histogram, max_sequence_length, max_sequences_per_pack):
14    """Shortest-pack-first histogram-packing algorithm."""
15    reversed_histogram = np.flip(histogram)
16    # Initialize main strategy data dictionary.
17    # The key indicates how many tokens are left for full length.
18    # The value is a list of tuples, consisting of counts and respective packs.
19    # A pack is a (sorted) list of sequence length values that get concatenated.
20    tmp_strategies_per_length = defaultdict(list)
21    strategies_per_length = defaultdict(list)
22    # Index i indicates here, how much space is left, due to reversed histogram
23    for i in range(max_sequence_length):
24        n_sequences_to_bin = reversed_histogram[i]
25        length_to_bin = max_sequence_length - i
26        offset = i + 1 # largest possible offset
27        while n_sequences_to_bin > 0:
28            if (length_to_bin + offset) in tmp_strategies_per_length:
29                # extract shortest pack that will get modified
30                n_sequences_to_pack, pack = tmp_strategies_per_length[
31                    length_to_bin + offset].pop()
32                new_pack = pack + [length_to_bin]
33                count = min(n_sequences_to_pack, n_sequences_to_bin)
34                if n_sequences_to_pack > n_sequences_to_bin:
35                    # old pack gets reduced
36                    n_sequences_to_pack -= n_sequences_to_bin
37                    tmp_strategies_per_length[length_to_bin + offset].append(
38                        (n_sequences_to_pack, pack))
39                    n_sequences_to_bin = 0
40                else:
41                    n_sequences_to_bin -= n_sequences_to_pack
42                add_pack(new_pack, count,
43                        tmp_strategies_per_length, strategies_per_length,
44                        max_sequences_per_pack, offset)
45                # clean up to speed up main key search
46                if not tmp_strategies_per_length[length_to_bin + offset]:
47                    tmp_strategies_per_length.pop(length_to_bin + offset)
48            else:
49                offset -= 1
50            # Does not fit anywhere. Create new pack.
51            if offset < 0:
52                add_pack([length_to_bin], n_sequences_to_bin,
53                        tmp_strategies_per_length, strategies_per_length,
54                        max_sequences_per_pack, i)
55                n_sequences_to_bin = 0
56    # merge all strategies
57    for key in tmp_strategies_per_length:
58        strategies_per_length[key].extend(tmp_strategies_per_length[key])
59    # flatten strategies dictionary
60    strategy_set = []
61    strategy_repeat_count = []
62    for key in strategies_per_length:
63        for count, pack in strategies_per_length[key]:
64            pack.reverse()
65            strategy_set.append(pack)
66            strategy_repeat_count.append(count)
67    return strategy_set, np.array(strategy_repeat_count)

```

Listing 5: Evaluation function of shortest-pack-first histogram-packing

```

1 # Copyright (c) 2021 Graphcore Ltd. All rights reserved.
2 """Max depth analysis of shortest-pack-first histogram-packing."""
3 from collections import defaultdict
4 import tabulate
5 import time
6 import numpy as np
7
8 def evaluate_spfhp(histogram, max_sequence_length):
9     """Evaluate shortest-pack-first histogram-packing algorithm."""
10    stats_data = [{"pack.depth", "# strat. used", "# packs", "# tokens",
11                  "# padding tok.", "efficiency (%)", "pack.factor", "time"}]
12    for max_sequences_per_pack in [1, 2, 3, 4, 8, 16, "max"]:
13        start = time.time()
14        strategy_set, strategy_repeat_count = pack_using_spfhp(
15            histogram, max_sequence_length, max_sequences_per_pack)
8406        duration = time.time() - start
17
18        # Performance Evaluation of packing approach
19        n_strategies = int(len(strategy_set))
20        packs = int(sum(strategy_repeat_count))
21        sequences = sum([count*len(pack) for count, pack in
22                        zip(strategy_repeat_count, strategy_set)])
23        total_tokens = int(max_sequence_length * packs)
24        empty_tokens = int(sum([
25            count*(max_sequence_length-sum(pack)) for count, pack in
26            zip(strategy_repeat_count, strategy_set)]))
27        token_efficiency = 100 - empty_tokens / total_tokens * 100
28        if max_sequences_per_pack == "max":
29            m_length = max([len(pack) for pack in strategy_set])
30            max_sequences_per_pack = "max {}".format(m_length)
31        stats_data.append([
32            max_sequences_per_pack, n_strategies, packs, total_tokens,
33            empty_tokens, token_efficiency, sequences / packs, duration])
34    print(tabulate.tabulate(stats_data, headers="firstrow", floatfmt=".3f"))

```


Listing 7: Histogram creation for GLUE training datasets

```

1 # Copyright (c) 2021 Graphcore Ltd. All rights reserved.
2 # Copyright 2020 The HuggingFace Inc. team. All rights reserved.
3 #
4 # Licensed under the Apache License, Version 2.0 (the "License");
5 # you may not use this file except in compliance with the License.
6 # You may obtain a copy of the License at
7 #
8 #     http://www.apache.org/licenses/LICENSE-2.0
9 #
10 # Unless required by applicable law or agreed to in writing, software
11 # distributed under the License is distributed on an "AS IS" BASIS,
12 # WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied.
13 # See the License for the specific language governing permissions and
14 # limitations under the License.
15 """GLUE data loading and histogram creation.
16
17 Some code snippets were taken from
18 https://github.com/huggingface/transformers/blob/master/examples/text-classification/run_glue.py
19 Most is original code.
20 """
21 from transformers import AutoTokenizer
22 import datasets
23 import numpy as np
24
25 # constants
26 max_sequence_length = 128
27 task_to_keys = {
28     "cola": ("sentence", None),
29     "mnli": ("premise", "hypothesis"),
30     "mrpc": ("sentence1", "sentence2"),
31     "qnli": ("question", "sentence"),
32     "qqp": ("question1", "question2"),
33     "rte": ("sentence1", "sentence2"),
34     "sst2": ("sentence", None),
35     "stsb": ("sentence1", "sentence2"),
36     "wnli": ("sentence1", "sentence2"),
37 }
842 glue_keys = ['cola', 'sst2', 'mrpc', 'qqp', 'stsb', 'mnli', 'rte', 'wnli']
39 # unused datasets due to missing training data
40 unglue_keys = ['mnli_matched', 'mnli_mismatched', 'qnli', 'ax']
41
42 # load data
43 dataset_loads = {}
44 for key in glue_keys:
45     dataset_loads[key] = datasets.load_dataset("glue", key, split='train')
46
47 # tokenize data
48 tokenizer = AutoTokenizer.from_pretrained('bert-base-uncased')
49 tokenized_data = {}
50 for key in dataset_loads:
51     sentence1_key, sentence2_key = task_to_keys[key]
52
53     def preprocess_function(examples):
54         """Tokenize the texts"""
55         args = (
56             examples[sentence1_key],) if sentence2_key is None
57             else (examples[sentence1_key], examples[sentence2_key])
58         )
59         result = tokenizer(*args, padding=False, max_length=max_sequence_length, truncation=True)
60         return result
61
62     tokenized_data[key] = dataset_loads[key].map(preprocess_function, batched=True)
63
64 # extract length information (for histogram plots)
65 histogram_length = {}
66 for key in tokenized_data:
67     histogram_length[key] = []
68 for number, key in enumerate(tokenized_data.keys()):
69     for raw_record in tokenized_data[key]["input_ids"]:
70         histogram_length[key].append(len([x for x in raw_record if x!=0]))
71
72 # create histogram for packing
73 glue_histogram = {}
74 for data_key in histogram_length:
75     glue_histogram[data_key] = np.array([0] * max_sequence_length, dtype=np.int64)
76     for entry in histogram_length[data_key]:
77         glue_histogram[data_key][entry-1] += 1

```

Listing 8: Longest-pack-first histogram-packing

```

1 # Copyright (c) 2021 Graphcore Ltd. All rights reserved.
2 """Longest-pack-first histogram-packing."""
3 from collections import defaultdict
4 import numpy as np
5
6 def add_pack(pack, count, tmp, final, limit, offset, max_sequence_length=512):
7     """Filter out packs that reached maximum length or number of components."""
8     # sanity checks
9     assert(max_sequence_length - sum(pack) == offset), "Incorrect offset."
10    assert(offset >= 0), "Too small offset."
11    assert(offset < max_sequence_length), "Too large offset."
12    if len(pack) == limit or offset == 0:
13        final[offset].append((count, pack))
14    else:
15        tmp[offset].append((count, pack))
16
17 def pack_using_lpfhp(histogram, max_sequence_length, max_sequences_per_pack, distribute=True):
18     """Longest-pack-first histogram-packing algorithm."""
19     reversed_histogram = np.flip(histogram)
20     # Initialize main strategy data dictionary.
21     # The key indicates how many tokens are left for full length.
22     # The value is a list of tuples, consisting of counts and respective packs.
23     # A pack is a (sorted) list of sequence length values that get concatenated.
24     tmp_strategies_per_length = defaultdict(list)
25     strategies_per_length = defaultdict(list)
26     if max_sequences_per_pack is "max":
27         max_sequences_per_pack = max_sequence_length
28     # Index i indicates here, how much space is left, due to reversed histogram
29     for i in range(max_sequence_length):
30         if (length_to_bin + offset) in tmp_strategies_per_length:
31             # extract worst pack that will get modified
32             n_sequences_to_pack, pack = tmp_strategies_per_length[
33                 length_to_bin + offset].pop()
34             # calculate how often the current sequence maximally fits in
35             repeat = min(1 + offset // length_to_bin, max_sequences_per_pack - len(pack))
36             # correct dependent on count
37             while n_sequences_to_bin // repeat == 0:
38                 repeat -= 1
39             if not distribute:
40                 repeat = 1
41             new_pack = pack + [length_to_bin]*repeat
42             count = min(n_sequences_to_pack, n_sequences_to_bin // repeat)
43             if n_sequences_to_pack > count:
44                 # old pack gets reduced
45                 n_sequences_to_pack -= count
46                 tmp_strategies_per_length[length_to_bin + offset].append(
47                     (n_sequences_to_pack, pack))
48                 n_sequences_to_bin -= count * repeat
49             else:
50                 n_sequences_to_bin -= n_sequences_to_pack * repeat
51             add_pack(new_pack, count,
52                     tmp_strategies_per_length, strategies_per_length,
53                     max_sequences_per_pack, offset - (repeat-1)*length_to_bin,
54                     max_sequence_length)
55             # clean up to speed up main key search
56             if not tmp_strategies_per_length[length_to_bin + offset]:
57                 tmp_strategies_per_length.pop(length_to_bin + offset)
58             # reset offset in case best fit changed
59             offset = 0
60         else:
61             offset += 1
62         # Does not fit anywhere. Create new pack.
63         if offset >= max_sequence_length - length_to_bin + 1:
64             # similar repetition but no dependence on pack.
65             repeat = min(max_sequence_length // length_to_bin, max_sequences_per_pack)
66             while n_sequences_to_bin // repeat == 0:
67                 repeat -= 1
68             if not distribute:
69                 repeat = 1
70             add_pack([length_to_bin]*repeat, n_sequences_to_bin // repeat,
71                     tmp_strategies_per_length, strategies_per_length,
72                     max_sequences_per_pack, max_sequence_length - length_to_bin*repeat,
73                     max_sequence_length)
74             n_sequences_to_bin -= n_sequences_to_bin // repeat * repeat
75     # merge all strategies
76     for key in tmp_strategies_per_length:
77         strategies_per_length[key].extend(tmp_strategies_per_length[key])
78     # flatten strategies dictionary
79     strategy_set = []
80     strategy_repeat_count = []
81     for key in strategies_per_length:
82         for count, pack in strategies_per_length[key]:
83             pack.reverse()
84             strategy_set.append(pack)
85             strategy_repeat_count.append(count)
86     return strategy_set, np.array(strategy_repeat_count)

```

Listing 9: Extended non-negative least squares histogram-packing

```

1 # Copyright (c) 2021 Graphcore Ltd. All rights reserved.
2 """Extended Non-Negative least squares histogram-packing algorithm."""
3 import time
4 import numpy as np
5 from scipy import optimize, stats
6 from functools import lru_cache
7
8 def get_packing_matrix(strategy_set, max_sequence_length):
9     num_strategies = len(strategy_set)
10    A = np.zeros((max_sequence_length, num_strategies), dtype=np.int32)
11    for i, strategy in enumerate(strategy_set):
12        for seq_len in strategy:
13            A[seq_len - 1, i] += 1
14    return A
15
16 @lru_cache(maxsize=None)
17 def get_packing_strategies(start_length, minimum_increment, target_length, depth):
18     gap = target_length - start_length
19     strategies = []
20     # Complete the packing with exactly 1 number
21     if depth == 1:
22         if gap >= minimum_increment:
23             strategies.append([gap])
24     # Complete the sample in "depth" steps, recursively
25     else:
26         for new in range(minimum_increment, gap + 1):
27             new_gap = target_length - start_length - new
28             if new_gap == 0:
29                 strategies.append([new])
30             else:
31                 options = get_packing_strategies(start_length + new, new, target_length, depth - 1)
32                 for option in options:
33                     if len(option) > 0:
34                         strategies.append([new] + option)
35     return strategies
36
37 def pack_using_ennlshp(histogram, max_sequence_length, max_sequences_per_pack):
38     # List all unique ways of packing to the desired maximum sequence length
39     strategy_set = get_packing_strategies(0, 1, max_sequence_length, max_sequences_per_pack)
40     print(f"Packing will involve {len(strategy_set)} unique packing strategies.")
41     # Get the packing matrix corresponding to this list of packing strategies
42     A = get_packing_matrix(strategy_set, max_sequence_length)
43     # Weights that penalize the residual by the number of resulting padding tokens.
44     w0 = np.array([x+1 for x in range(max_sequence_length)])
45 # construct the packing matrix
46 A_bar = np.zeros((2*max_sequence_length, len(strategy_set) + max_sequence_length), 'd')
47 # Base weighted matrix
48 A_bar[:max_sequence_length, :len(strategy_set)] = np.expand_dims(w0, -1) * A
49 # Higher weight to avoid positive residual
50 A_bar[max_sequence_length:, :len(strategy_set)] = np.expand_dims(
51     10**6*np.ones([max_sequence_length]), -1) * A
52 # negative diagonal unity matrix for mapping to residual
53 A_bar[max_sequence_length:, len(strategy_set):] = np.expand_dims(
54     10**6*np.ones([max_sequence_length]), -1)*np.ones((max_sequence_length, max_sequence_length))
55 b_bar = np.zeros(2*max_sequence_length)
56 # Apply weighting to histogram vector
57 b_bar[:max_sequence_length] = w0 * histogram
58 b_bar[max_sequence_length:] = 10**6*np.ones([max_sequence_length]) * histogram
59 # Solve the packing problem
60 print(f"Sequences to pack: ", histogram.sum())
61 start = time.time()
62 strategy_residual, rnorm = optimize.nnls(A_bar, b_bar)
63 strategy_repeat_count = strategy_residual[:len(strategy_set)]
64 print(f"Solving non-negative least squares took {time.time() - start:3.2f} seconds.")
65 # Round the floating point solution to nearest integer
66 strategy_repeat_count = np rint(strategy_repeat_count).astype(np.int64)
67 # Compute the residuals, shape: [max_sequence_length]
68 residual = histogram - A @ strategy_repeat_count
69 # Handle the left-over sequences i.e. positive part of residual
70 unpacked_seqlen = np.arange(1, max_sequence_length + 1)[residual > 0]
71 for l in unpacked_seqlen:
72     strategy = sorted([1, max_sequence_length - l]) # the depth 1 strategy
73     strategy_index = strategy_set.index(strategy)
74     strategy_repeat_count[strategy_index] += residual[l-1]
75 # Re-compute the residual with the updated strategy_repeat_count
76 # This should now be strictly < 0
77 residual = histogram - A @ strategy_repeat_count
78 # Add padding based on deficit (negative residual portion of residual)
79 padding = np.where(residual < 0, -residual, 0)
80 # Calculate some basic statistics
81 sequence_lengths = np.arange(1, max_sequence_length + 1)
82 old_number_of_samples = histogram.sum()
83 new_number_of_samples = int(strategy_repeat_count.sum())
84 speedup_upper_bound = 1.0/(1 - (histogram*(1 - sequence_lengths / max_sequence_length)).sum()/
85     old_number_of_samples)
86 num_padding_tokens_packed = (sequence_lengths * padding).sum()
87 efficiency = 1 - num_padding_tokens_packed/(new_number_of_samples*max_sequence_length)
88 print(f"Packing efficiency (fraction of real tokens): {efficiency:3.4f}\n",
89     f"Speed-up theoretical limit: {speedup_upper_bound:3.4f}\n",
90     f"Achieved speed-up over un-packed dataset: {old_number_of_samples/new_number_of_samples:3.5f
91     }")
92 return strategy_set, strategy_repeat_count

```

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