# Appendix - Compression with Bayesian Implicit Neural Representations

Anonymous Author(s) Affiliation Address email

1 In addition to the four appendix sections mentioned in our main paper, we would like to draw atten-

2 tion to two additional experiments: one evaluating the practical training and coding time, and the

3 other investigating the impact of the number of training samples. These two experiments, especially

4 the later one, offer **crucial** insights and are detailed in Appendix E1 and Appendix E2, respectively.

# **5** A Relative Entropy Coding with A\* Coding

Algorithm 1 A\* encoding

**Require:** Proposal distribution  $p_{w}$  and target distribution  $q_{w}$ .

6 Recall that we would like to communicate a sample from the variational posterior distribution  $q_w$ 7 using the proposal distribution  $p_w$ . In our experiments, we used *global-bound depth-limited A*\* 8 *coding* to achieve this [1]. We describe the encoding procedure in Algorithm 1 and the decoding 9 procedure in Algorithm 2. For brevity, we refer to this particular variant of the algorithm as  $A^*$ 10 *coding* for the rest of the appendix.

11 A\* coding is an importance sampler that draws N samples  $w_1, \ldots, w_N \sim p_w$  from the pro-12 posal distribution  $p_w$ , where N is a parameter we pick. Then, it computes the importance weights 13  $r(w_n) = q_w(w_n)/p_w(w_n)$ , and sequentially perturbs them with truncated Gumbel<sup>1</sup> noise:

$$\tilde{r}_n = r(\boldsymbol{w}_n) + G_n, \quad G_n \sim \text{TruncGumbel}(G_{n-1}), G_0 = \infty$$
 (1)

14 Then, it can be shown that by setting

$$N^* = \underset{n \in [1:N]}{\arg\max \tilde{r}_n},\tag{2}$$

Submitted to 37th Conference on Neural Information Processing Systems (NeurIPS 2023). Do not distribute.

<sup>&</sup>lt;sup>1</sup>The PDF of a standard Gumbel random variable truncated to  $(-\infty, b)$  is given by TruncGumbel $(x \mid b) = \mathbf{1}[x \leq b] \cdot \exp(-x - \exp(-x) + \exp(-b)).$ 

#### Algorithm 2 A\* decoding

Simulate  $\{w_i\} = \{w_1, \cdots, w_N\}$   $\triangleright$  Simulate N samples from  $p_w$  with the shared seed Receive  $N^*$ 

**return**  $w^* \leftarrow w_{N^*}$   $\triangleright$  Receive the approximate posterior sample

we have that  $w_{N*} \sim \tilde{q}_{\mathbf{w}}$  is approximately distributed according to the target, i.e.  $\tilde{q}_{\mathbf{w}} \approx q_{\mathbf{w}}$ . More preciesly, we have the following result:

17 **Lemma A.1** (Bound on the total variation between  $\tilde{q}_{\mathbf{w}}$  and  $q_{\mathbf{w}}$  (Lemma D.1 in [2])). Let us set the 18 number of proposal samples simulated by Algorithm 1 to  $N = 2^{D_{\mathrm{KL}}[q_{\mathbf{w}}||p_{\mathbf{w}}]+t}$  for some parameter 19  $t \ge 0$ . Let  $\tilde{q}_{\mathbf{w}}$  denote the approximate distribution of the algorithm's output for this choice of N.

20 Then,

$$D_{TV}(q_{\mathbf{w}}, \tilde{q}_{\mathbf{w}}) \leqslant 4\epsilon, \tag{3}$$

21 where

$$\epsilon = \left(2^{-t/4} + 2\sqrt{\mathbb{P}_{Z \sim q_{\mathbf{w}}}[\log_2 r(Z) \ge D_{\mathrm{KL}}[Q\|P] + t]}\right)^{1/2}.$$
(4)

This result essentially tells us that we should draw at least around  $2^{D_{\text{KL}}[q_{\mathbf{w}}||p_{\mathbf{w}}]}$  samples to ensure low sample bias, and beyond this, the bias decreases exponentially quickly as  $t \to \infty$ . However, note that the number of samples we need also increases exponentially quickly with t. In practice, we observed that when  $D_{\text{KL}}[q_{\mathbf{w}}||p_{\mathbf{w}}]$  is sufficiently large (around 16-20 bits), setting t = 0 already gave good results. To encode  $N^*$ , we built an empirical distribution over indices using our training datasets and used it for entropy coding to find the optimal variable-length code for the index.

In short, on the encoder side, N random samples are obtained from the proposal distribution  $p_w$ , and we select the sample  $w_i$  and transmit its index  $N^*$  that has the greatest perturbed importance weight. On the decoder side, those N random samples can be simulated with the same seed held by the encoder. The decoder only needs to find the sample with the index  $N^*$ . Therefore, the decoding process of our method is very fast. We also provide the specific coding time in Appendix E1.

# **B** Closed-Form Solution for Updating Model Prior

In this section, we derive the analytic expressions for the prior parameter updates in our iterative prior learning procedure when both the prior and the posterior are Gaussian distributions. Given a set of training data  $\{\mathcal{D}_i\} = \{\mathcal{D}_1, \mathcal{D}_2, ..., \mathcal{D}_M\}$ , we fit a variational distribution  $q_{\mathbf{w}}^{(i)}$  to represent each of the  $\mathcal{D}_i$ s. To do this, we minimize the loss (abbreviated as  $\mathcal{L}$  later)

$$\bar{\mathcal{L}}_{\beta}(\boldsymbol{\theta}_{p}, \{q_{\mathbf{w}}^{(i)}\}) = \frac{1}{M} \sum_{i=1}^{M} \mathcal{L}_{\beta}(\mathcal{D}_{i}, q_{\mathbf{w}}^{(i)}, p_{\mathbf{w};\boldsymbol{\theta}_{p}})$$
(5)

$$= \frac{1}{M} \sum_{i=1}^{M} \{ \sum_{(\boldsymbol{x}, \boldsymbol{y}) \in \mathcal{D}} \mathbb{E}_{\boldsymbol{w} \sim q_{\mathbf{w}}} [\Delta(\boldsymbol{y}, f(\boldsymbol{x} \mid \boldsymbol{w})] + \beta \cdot D_{\mathrm{KL}} [q_{\mathbf{w}} \| p_{\mathbf{w}; \boldsymbol{\theta}_{p}}] \}.$$
(6)

Now calculate the derivative w.r.t. the prior distribution parameter  $p_{\mathbf{w};\boldsymbol{\theta}_{n}}$ ,

$$\frac{\partial \mathcal{L}}{\partial \boldsymbol{\theta}_p} = \frac{1}{M} \sum_{i=1}^{M} \frac{\partial D_{\mathrm{KL}}[\boldsymbol{q}_{\mathbf{w}} \| \boldsymbol{p}_{\mathbf{w}, \boldsymbol{\theta}_p}]}{\partial \boldsymbol{\theta}_p}$$
(7)

39 Considering we choose factorized Gaussian as variational distributions, the KL divergence is

$$D_{\mathrm{KL}}[q_{\mathbf{w}}^{(i)} \| p_{\mathbf{w}, \boldsymbol{\theta}_p}] = D_{\mathrm{KL}}[\mathcal{N}(\boldsymbol{\mu}_i, \mathrm{diag}(\boldsymbol{\sigma}_i)) \| \mathcal{N}(\boldsymbol{\mu}_i, \mathrm{diag}(\boldsymbol{\sigma}_i))]$$
(8)

$$= \frac{1}{2}\log\frac{\boldsymbol{\sigma}_p}{\boldsymbol{\sigma}_q^{(i)}} + \frac{\boldsymbol{\sigma}_q^{(i)} + (\boldsymbol{\mu}_q^{(i)} - \boldsymbol{\mu}_p)^2}{\boldsymbol{\sigma}_p} - \frac{1}{2}$$
(9)

40 To compute the analytical solution, let

$$\frac{\partial \mathcal{L}}{\partial \boldsymbol{\theta}_p} = \frac{1}{M} \sum_{i=1}^{M} \frac{\partial D_{\mathrm{KL}}[q_{\mathbf{w}} \| p_{\mathbf{w}, \boldsymbol{\theta}_p}]}{\partial \boldsymbol{\theta}_p} = 0.$$
(10)

<sup>41</sup> Note here  $\sigma$  refers to variance rather than standard deviation. The above equation is equivalent to

$$\frac{\partial \mathcal{L}}{\partial \boldsymbol{\mu}_p} = \sum_{i=1}^{M} \frac{\boldsymbol{\mu}_p - \boldsymbol{\mu}_q^{(i)}}{\boldsymbol{\sigma}_p} = 0,$$

$$\frac{\partial \mathcal{L}}{\partial \boldsymbol{\sigma}_p} = \sum_{i=1}^{M} \left[\frac{1}{\boldsymbol{\sigma}_p} - \frac{\boldsymbol{\sigma}_q^{(i)} + (\boldsymbol{\mu}_q^{(i)} - \boldsymbol{\mu}_p)^2}{\boldsymbol{\sigma}_p^2}\right] = 0.$$
(11)

<sup>42</sup> We finally can solve these equations and get

$$\boldsymbol{\mu}_{p} = \frac{1}{M} \sum_{i=1}^{M} \boldsymbol{\mu}_{q}^{(i)}, \quad \boldsymbol{\sigma}_{p} = \frac{1}{M} \sum_{i=1}^{M} [\boldsymbol{\sigma}_{q}^{(i)} + (\boldsymbol{\mu}_{q}^{(i)} - \boldsymbol{\mu}_{p})^{2}]$$
(12)

as the result of Equation (5) in our main text. In short, this closed-form solution provides an efficient
 way to update the model prior from a bunch of variational posteriors. It makes our method simple

<sup>45</sup> in practice, unlike some previous methods [3, 4] that require expensive meta-learning loops.

# <sup>46</sup> C Dynamic Adjustment of $\beta$

When learning the model prior, the value of  $\beta$  that controlling the rate-distortion trade-off is defined in advance to train the model prior at a specific bitrate point. After obtaining the model prior, we will first partition the network parameters into K groups  $\mathbf{w}_{1:K} = {\mathbf{w}_1, \dots, \mathbf{w}_K}$  according to the average approximate coding cost of training data, as described in Section 3.3 of the main text. Now for training the variational posterior for a given test datum, to ensure the coding cost of each group is close to  $\kappa = 16$  bits, we adjust the value of  $\beta$  dynamically when optimizing the posteriors. The detailed algorithm is illustrated here in Algorithm 3.

Algorithm 3 Dynamic  $\beta$  adjustment for optimizing the posteriors

**Require:**  $\beta$ ,  $\mathbf{w}_{1:K} = {\mathbf{w}_1, \dots, \mathbf{w}_K}$  **Initialize:**  $\lambda_k = \beta, k = 1, \dots, K$ **Initialize:** variational posterior  $q_{\mathbf{w}_k}, k = 1, \dots, K$ 

for  $i \leftarrow$ NumberIter do

$$\begin{split} \delta_k &= D_{\mathrm{KL}}[q_{\mathbf{w}_k} \| p_{\mathbf{w}_k}], k = 1, \cdots, K \\ q_{\mathbf{w}_{1:K}} &\leftarrow \mathrm{VariationalUpdate}(\mathcal{L}_{\lambda_{1:K}}) \qquad \rhd \mathcal{L}_{\lambda_{1:K}} \text{ is defined in Equation 8 in the main text} \\ & \mathbf{if} \ (i \mod 15) = 0 \ \mathbf{then} \\ & \mathbf{if} \ \delta_k > \kappa \ \mathbf{then} \ \lambda_k = \lambda_k \cdot 1.05 \\ & \mathbf{end} \ \mathbf{if} \\ & \mathbf{if} \ \delta_k < \kappa - 0.4 \ \mathbf{then} \ \lambda_k = \lambda_k / 1.05 \\ & \mathbf{end} \ \mathbf{if} \\ & \mathbf{if} \ \mathbf{if$$

The algorithm is improved from Havasi et al. [5] to stabilize training, in the way that we set an interval  $[\kappa - 0.4, \kappa]$  as buffer area where we do not change the value of  $\lambda_k$ . Here we only adjust  $\lambda_k$ every 15 iterations to avoid frequent changes at the initial training stage.

#### 57 **D** Experiment Details

<sup>58</sup> We introduce the experimental settings here and summarize the settings in Table 1.

	CIFAR-10	Kodak		LibriSpeech
	CIFAR-10	Smaller Model	Larger Model	Librispeech
	Netwo	ork Structure		
number of MLP layer	4	6	7	6
hidden unit	16	48	56	48
Fourier embedding	32	64	96	64
number of parameters	1123	12675	21563	12675
	Learning Model I	Prior from Training Data		
number of training data	2048	512	512	1024
epoch number	128	96	96	128
learning rate	0.0002	0.0001	0.0001	0.0002
iteration / epoch (except the first epoch)	100	200	200	100
iteration number in the first epoch	250	500	500	250
initialization of posterior variance	$9 \times 10^{-6}$	$4 \times 10^{-6}$	$4\times 10^{-6}, 4\times 10^{-10}$	$4\times 10^{-9}$
β	$\begin{array}{c} 2\times10^{-5}, 5\times10^{-6}, 2\times10^{-6}\\ 1\times10^{-6}, 5\times10^{-7} \end{array}$	$10^{-7}, 10^{-8}, 4 \times 10^{-8}$	$4\times 10^{-6}$	$\begin{array}{c} 10^{-7}, 3 \times 10^{-7} \\ 10^{-8}, 10^{-9} \end{array}$
	Optimize the Po	sterior of a Test Datum		
iteration number	25000	25000	25000	25000
learning rate	0.0002	0.0001	0.0001	0.0002
training with 1/4 the points (pixels)	×	1	1	×
number of group (KL budget = 16 bits / group)	(58, 89, 146, 224, 285)	(1729, 2962, 3264)	(5503, 7176)	(1005, 2924, 4575, 6289)
bitrate, (bpp for images, Kbps for audios)	(0.91, 1.39, 2.28, 3.50, 4.45)	(0.070, 0.110, 0.132)	(0.224, 0.293)	(5.36, 15.59, 24.40, 33.54)
PSNR, dB	(0.91, 1.39, 2.28, 3.50, 4.45)	(0.070, 0.110, 0.132)	(0.224, 0.293)	(5.36, 15.59, 24.40, 33.54)

Table 1: Hyper parameters in our experiments.

## 59 D.1 CIFAR-10

We use a 4-layer MLP with 16 hidden units and 32 Fourier embeddings for the CIFAR-10 dataset. The model prior is trained with 128 epochs to ensure convergence. Here, the term "epoch" is used to refer to optimizing the posteriors and updating the prior in the Algorithm 1 in the main text. For each epoch, the posteriors of all 2048 training data are optimized for 100 iterations using the local reparameterization trick [6], except the first epoch that contains 250 iterations. We use the Adam optimizer with learning rate 0.0002. The posterior variances are initialized as  $9 \times 10^{-6}$ .

After obtaining the model prior, given a specific test CIFAR-10 image to be compressed, the posterior of this image is optimized for 25000 iterations, with the same optimizer. When we finally progressively compress and finetune the posterior, the posteriors of the uncompressed parameter groups are finetuned for 15 iterations with the same optimizer once a previous group is compressed.

#### 70 D.2 Kodak

For Kodak dataset, since training on high-resolution image takes much longer time, the model prior
is learned using fewer training data, i.e., only 512 cropped CLIC images [7]. We also reduce the
learning rate of the Adam optimizer to 0.0001 to stabilize training. In each epoch, the posterior of
each image is trained for 200 iterations, except the first epoch that contains 500 iterations. We also
reduce the total epoch number to 96 which is empirically enough to learn the model prior.
We use two models with different capacity for compressing high-resolution Kodak images. The

We use two models with different capacity for compressing high-resolution Kodak images. The smaller model is a 6-layer SIREN with 48 hidden units and 64 Fourier embeddings. This model is

used to get the three low-bitrate points in Figure 2b in our main text, where the corresponding beta 78 is set as  $\{10^{-7}, 10^{-8}, 4 \times 10^{-8}\}$ . Another larger model comprises a 7-layer MLP with 56 hidden 79 units and 96 Fourier embeddings, which is used for evaluation at the two relatively higher bitrate 80 points in Figure 2b in our main text. The betas of these two models have the same value  $2 \times 10^{-9}$ . 81 We empirically adjust the variance initialization from the set  $\{4 \times 10^{-6}, 4 \times 10^{-10}\}$  and find they 82 can affect the converged bitrate and achieve good performance. In particular, the posterior variance 83 is initialized as  $4 \times 10^{-10}$  to reach the highest bitrate point in the rate-distortion curve. The posterior 84 variance of other bitrate-points on Kodak dataset are all initialized as  $4 \times 10^{-6}$ . 85 **Important note:** It required significant empirical effort to find the optimal parameter settings we

Important note: It required significant empirical effort to find the optimal parameter settings we
 described above, hence our note in the Conclusion and Limitations section that Bayesian neural
 networks are inherently sensitive to initialization [8].

#### 89 D.3 LibriSpeech

We randomly crop 1024 audio samples from LibriSpeech "train-clean-100" set [9] for learning the model prior and randomly crop 24 test samples from "test-clean" set for evaluation. The model structure is the same as the small model used for compressing Kodak images. We evaluate on four bitrate points by setting  $\beta = \{10^{-7}, 3 \times 10^{-8}, 10^{-8}, 10^{-9}\}$ . There are 128 epochs, and each epoch has 100 iterations with learning rate as 0.0002. The first epoch has 250 iterations. In addition, the posterior variance is initialized as  $4 \times 10^{-9}$ . The settings for optimizing and finetuning posterior of a test datum are the same as the experiments on Kodak dataset.

# 97 E Supplementary Experimental Results

#### 98 E.1 Evaluation of Training and Practical Coding Time

**Training Time.** The most time-consuming part of setting up COMBINER for a data modality is 99 running the iterative prior learning algorithm we propose in the main text. Furthermore, optimizing 100 the variational posteriors takes up the bulk of the learning process since updating the prior parameters 101 given the variational posteriors can be done efficiently using the formulae we derive in Appendix B. 102 However, such posterior optimization can be done in parallel, especially given that our INRs have 103 very few parameters. To train the model prior on the CIFAR-10 dataset, we can train the posteriors 104 of 2048 images together in a single V100 GPU. It only takes around 20 minutes to train for 128 105 epochs with almost 100 iterations per epoch. For training the model prior with 512 cropped CLIC 106 images, due to the limit of GPU memory, we run multiple processes simultaneously on 4 GTX 107 1080 GPUs, where each process runs for a single image. The entire training time on CLIC dataset 108 consumes around 30 hours. We note that the training time on CLIC dataset could be significantly 109 reduced with additional engineering effort, but we have not had the opportunity to do so due to time 110 constraints. 111

Coding Time. To compress a test datum, we first optimize its INR's variational posterior for 25,000 112 iterations. Such optimization process should also be included as a part of encoding time, similar 113 to COIN [10]. In addition, the progressive posterior refinement process also takes a long time. 114 Therefore, the encoding time of our method is very long. Note that the encoding time of relative 115 entropy coding is negligible compared with the optimization process because our model is very 116 small, and there are not so many parameter groups. As a result, we are able to evaluate all the 117 10,000 images from the CIFAR-10 test set in parallel using a CPU cluster. To decode the network 118 parameters, the decoder only needs to search for the sample according to the received index, which 119 is very fast. The practical encoding and decoding time is shown in Table 2. 120

	CIFAR, $bpp = 0.91$	CIFAR, $bpp = 4.45$	Kodak, bpp = 0.070	Kodak, bpp = 0.293
encoding time	$\sim 10$ minutes	$\sim 20$ minutes	$\sim 2$ hours	~4 hours
decoding time	0.051 second	0.075 second	0.410 second	0.542 second

Table 2: Practical encoding and decoding time of a specific image on 1080Ti GPU.

We show both the encoding and decoding time of our method on different datasets at different bitrates. In fact, the decoding time is mainly consumed for relative entropy decoding and inference



Figure 1: Impact of the number of training data.

Figure 2: Compressing audios.

of the received MLP network. Usually, if there are more parameter groups, the coding time will be longer. Therefore, decoding a Kodak image at our highest bitrate (0.293 bpp) consumes the most decoding time (0.542 second), but is still very fast.

## 126 E.2 Number of Training Samples

Since the model prior is learned from a few training data, the number of training data may influence 127 the quality of the learned model prior. We train the model prior with a different number of training 128 images from the CIFAR-10 training set and evaluate the performance on 100 randomly selected test 129 images from the CIFAR-10 test set. Surprisingly, as shown in Figure 1, we found that even merely 130 16 training images can help to learn a good model prior. Considering the randomness of training 131 and testing, the performance on this test subset is almost the same when the number of training data 132 133 exceeds 16. This demonstrates that the model prior is quite robust and generalizes well to test data. In our final experiments, the number of training samples is set to 2048 (on CIFAR-10 dataset) to 134 ensure the prior converges to a good optimum. 135

## 136 E.3 Compressing Audios with Small Chunks

The proposed approach does not need to compute the second-order gradient during training, which 137 helps to learn the model prior of the entire datum. Hence, compression with a single Bayesian INR 138 network helps to fully capture the global dependencies of a datum. That is the reason for our strong 139 performance on Kodak and LibriSpeech datasets. Here, we also conduct a group of experiment to 140 compare the influence of cropping audios into chunks. Unlike the experimental setting in our main 141 text that compresses every 3-second audio  $(1 \times 48000)$  with a single MLP network, here we try to 142 crop all the 24 audios into small chunks, each of the chunk has the shape of  $1 \times 200$ . We use the same 143 144 network used for compressing CIFAR-10 images for our experiments here. As shown in Figure 2, 145 if we do not compress the audio as an entire entity, the performance will drops for around 5 dB. It demonstrates the importance of compressing with a single MLP network to capture the inherent 146 redundancies within the entire data. 147

## 148 E.4 Additional Figures

<sup>149</sup> We provide some examples of the decoded Kodak images in Figure 3.



Ground Truth

0.0703 bpp, 29.73 dB

0.2928 bpp, 33.59 dB

# Figure 3: Decoded Kodak images.

## 150 **References**

- [1] Gergely Flamich, Stratis Markou, and José Miguel Hernández-Lobato. Fast relative entropy coding with
   a\* coding. In *International Conference on Machine Learning*, pages 6548–6577. PMLR, 2022. 1
- [2] L. Theis and E. Agustsson. On the advantages of stochastic encoders. In *Neural Compression Workshop* at *ICLR*, 2021. URL https://arxiv.org/abs/2102.09270. 2
- [3] Emilien Dupont, Hrushikesh Loya, Milad Alizadeh, Adam Golinski, Y Whye Teh, and Arnaud Doucet.
   Coin++: Neural compression across modalities. *Transactions on Machine Learning Research*, 2022(11),
   2022. 3
- [4] Jonathan Richard Schwarz and Yee Whye Teh. Meta-learning sparse compression networks. *Transactions on Machine Learning Research*, 2022. ISSN 2835-8856. 3
- [5] Marton Havasi, Robert Peharz, and José Miguel Hernández-Lobato. Minimal random code learning:
   Getting bits back from compressed model parameters. In *7th International Conference on Learning Representations, ICLR 2019*, 2019. 3
- [6] Diederik P. Kingma, Tim Salimans, and Max Welling. In *Variational Dropout and the Local Reparameterization Trick*, volume 28, 2015.
- 165 [7] 5th Workshop and Challenge on Learned Image Compression. http://compression.cc, 2022. 4
- [8] Charles Blundell, Julien Cornebise, Koray Kavukcuoglu, and Daan Wierstra. Weight uncertainty in neural
   network. In *International Conference on Machine Learning*, pages 1613–1622. PMLR, 2015. 5
- [9] Vassil Panayotov, Guoguo Chen, Daniel Povey, and Sanjeev Khudanpur. Librispeech: An ASR corpus
   based on public domain audio books. In *ICASSP*, pages 5206–5210. IEEE, 2015. 5
- [10] Emilien Dupont, Adam Golinski, Milad Alizadeh, Yee Whye Teh, and Arnaud Doucet. COIN: compression with implicit neural representations. *arXiv preprint arXiv:2103.03123*, 2021. 5