

396 Appendix

397 A ADROIT Hand Experimental Details

398 A.1 Task State Space Design

399 **Door opening.** Given a randomized door position, undo the latch and drag the door open. In this
400 task, $x_r(t) \in \mathcal{X}_r \subset \mathbb{R}^{28}$ (24-DoF hand + 3-DoF wrist rotation + 1-DoF wrist motion) as the floating
401 wrist base can only move along the direction that is perpendicular to the door plane but rotate freely.
402 Regarding the object states, $x_o(t) = [p_t^{\text{handle}}, v_t, p^{\text{door}}] \in \mathcal{X}_o \subset \mathbb{R}^7$, containing the door position
403 p^{door} , handle position p^{handle} and the angular velocity of the door opening angle v_t .

404 **Tool use.** Pick up the hammer to drive the nail into the board placed at a randomized height. In
405 this task, $x_r(t) \in \mathcal{X}_r \subset \mathbb{R}^{26}$ (24-DoF hand + 2-DoF wrist rotation) as the floating wrist base can
406 only rotate along the x and y axis. $x_o(t) = [p_t^{\text{tool}}, o_t^{\text{tool}}, p^{\text{nail}}]$ containing the nail goal position p^{nail} ,
407 hammer positions p_t^{tool} and orientations o_t^{tool} .

408 **Object relocation.** Move the blue ball to a randomized target location (green sphere). In this
409 task, $x_r(t) \in \mathcal{X}_r \subset \mathbb{R}^{30}$ (24-DoF hand + 6-DoF floating wrist base) as the ADROIT hand is fully
410 actuated. $x_o(t) = [p_t^{\text{ball}}, o_t^{\text{ball}}]$ containing the target positions p^{target} and current positions p_t^{ball} .

411 **In-hand reorientation.** Reorient the blue pen to a randomized goal orientation (green pen). In
412 this task, $x_r(t) \in \mathcal{X}_r \subset \mathbb{R}^{24}$ (24-DoF hand) as floating wrist base is fixed. $x_o(t) = [p_t^{\text{pen}}, o_t^{\text{pen}}]$
413 containing the goal orientations o^{goal} and current pen orientations o_t^{pen} , which are both unit direction
414 vectors.

415 The task success criteria is the same as defined in [6].

416 A.2 Policy Design and Training

417 **Koopman Operator** The lifting functions of Koopman Operator are taken from [6]. The represen-
418 tation of the system is given as: $x_r = [x_r^1, x_r^2, \dots, x_r^n]$ and $x_o = [x_o^1, x_o^2, \dots, x_o^m]$ and superscript
419 is used to index states. In experiments, the vector-valued lifting functions ψ_r and ψ_o in (3) were
420 defined as polynomial basis functions:

$$\begin{aligned} \psi_r &= \{x_r^i x_r^j\} \cup \{(x_r^i)^2\} \cup \{(x_r^i)^3\} \text{ for } i, j = 1, \dots, n \\ \psi_o &= \{x_o^i x_o^j\} \cup \{(x_o^i)^2\} \cup \{(x_o^i)^2 (x_o^j)\} \text{ for } i, j = 1, \dots, m \end{aligned} \quad (7)$$

421 Note that $x_r^i x_r^j / x_r^j x_r^i$ and $x_o^i x_o^j / x_o^j x_o^i$ each appear only once in the lifting functions. t is ignored here
422 as the lifting functions are the same across the time horizon. Thus, the dimension of the Koopman
423 Operator $\mathbf{K} \in \mathbb{R}^{p \times p}$, where $p = 3n + 2m + m^2 + \frac{n(n-1)}{2} + \frac{m(m-1)}{2}$.

424 **KOROL Training** In *Door opening* and *Tool use* tasks, the feature extractor is trained solely using
425 RGBD images. While in *Relocation* and *Reorientation* tasks, the feature extractor is additionally
426 provided with the desired goal locations p^{target} and goal orientations o^{goal} . The full list of training
427 hyperparameters can be found in Table 4.

428 A.3 Baselines

429 We ran BC and NDP based on the implementation in [6]

430 <https://github.com/GT-STAR-Lab/KODex>.

431 For Diffusion Policy, we used the author’s original implementation [16]

432 https://github.com/real-stanford/diffusion_policy.

Hyperparameter	Value
Feature Extractor	ResNet18
Input RGBD Image Dimension	$256 \times 256 \times 4$
Input Desired Position and Orientation Encoder	HarmonicEmbedding
Input Desired Position and Orientation Dimension	3
Output Desired Position and Orientation Embedding Dimension	15
Output Object Feature Dimension	8
Batch Size	8
Prediction Horizon	40
Learning rate	$1 * 10^{-4}$
Adam betas	(0.9, 0.999)
Learning rate decay	Linear decay (see code for details)
Max Training Epoch	300
Max Execution Step Num	100

Table 4: Hyperparameters of KOROL Training for ADROIT Hand Experiments.

433 A.4 Inverse Dynamic Controller

434 We employ a pre-trained inverse dynamics controller C , specific to each task, as detailed in [6].
 435 Each controller C is trained to output actions corresponding to the dimensionality of the robot state
 436 defined for its specific task.

437 B Real-World Experimental Details

438 B.1 Robot State Space and Task Definition

439 In the physical robot experiment, we employ a Kinova robotic arm. The configuration space of the
 440 robot $x_r(t) \in \mathcal{X}_r \subset \mathbb{R}^7$ includes three degrees of freedom (DOF) for the end-effector’s position,
 441 three DOF for its orientation (ranging from 0 to 360 degrees), and one DOF for the gripper’s position
 442 (ranging from 0 to 1). The task definition and success criteria are discussed in Section 5.2.

443 B.2 Experiment Details

444 The Koopman Operator design, KOROL and baselines training are the same as in our simulation.
 445 The only difference is that we no longer need to use an inverse dynamic controller to compute torque
 446 for each joint. Instead, we publish the predicted end-effector position and gripper position through
 447 Kinova API to control robot.

Model	Door opening		Tool use		Relocation		Reorientation	
	10	200	10	200	10	200	10	200
KOROL w/o transformation	93.2%	99.9%	84.5%	100%	45.5%	100%	17.4%	87.0%
KOROL	98.6%	99.9%	94.3%	100%	99.8%	100%	55.6%	86.4%

Table 5: KOROL Performance in ADROIT Hand with and w/o Frequency Domain Image.

Task	Relocation	Pickup	Insertion
KOROL w/o transformation	19/20	17/20	6/20
KOROL	20/20	19/20	11/20

Table 6: KOROL Performance in Real-World Manipulation with and w/o Frequency Domain Images.

Task	KOROL w/o transformation			KOROL		
	ResNet18	ResNet34	ResNet50	ResNet18	ResNet34	ResNet50
Door opening	99.9%	96.0%	0%	99.9%	100%	100%
Tool use	75.3%	48.9%	0%	100%	99.9%	100%
Relocation	49.1%	91.6%	0%	78.2%	93.8%	81.3%
Reorientation	86.6%	85.3%	23.8%	85.9%	86.8%	85.9%

Table 7: KOROL Performance in Multi-tasking Tasks with and w/o Frequency Domain Images.

448 C Multi-tasking Experimental Details

449 As discussed in Section A, the robot state space in the Mujoco environment varies slightly across
450 different tasks. To standardize this, we augment the state space to \mathbb{R}^{30} , which includes a 24-DoF
451 hand and a 6-DoF floating wrist base, by padding zeros to the missing robot states. For instance, in
452 *Door opening* task, we pad zeros to the T_x and T_y motion directions.

453 For multi-tasking controllers, it is necessary to remove the padding from the robot state and select
454 the appropriate elements to compute the action accordingly. When evaluating the unified Koopman
455 operator \mathbf{K} and the feature extractor f_θ , we continue to use a specific controller C for each task due
456 to time constraints. However, we believe it is entirely feasible to train a single, unified controller C
457 for all tasks with dimensionally-aligned demonstrations.

458 D Ablation of Using Image Transformation

459 Because of the enhanced performance observed in prior works [42, 43] using frequency domain
460 images, this section evaluates the impact of employing transformed images in the frequency do-
461 main across various settings: simulation, real-world manipulation, and multi-tasking. The model
462 denoted as KOROL utilizes both spatial and frequency-domain images as inputs, whereas KOROL
463 w/o transformation uses only spatial images. The results in Table 5, Table 6 and Table 7 demonstrate
464 significant improvements achieved by incorporating transformed images in all tasks, corroborating
465 the findings in [42, 43].