#### **000 001 002 003** COMPRESS GUIDANCE IN CONDITIONAL DIFFUSION SAMPLING

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# ABSTRACT

We found that enforcing guidance throughout the sampling process is often counterproductive due to the model-fitting issue, where samples are 'tuned' to match the classifier's parameters rather than generalizing the expected condition. This work identifies and quantifies the problem, demonstrating that reducing or excluding guidance at numerous timesteps can mitigate this issue. By distributing a small amount of guidance over a large number of sampling timesteps, we observe a significant improvement in image quality and diversity while also reducing the required guidance timesteps by nearly 40%. This approach addresses a major challenge in applying guidance effectively to generative tasks. Consequently, our proposed method, termed Compress Guidance, allows for the exclusion of a substantial number of guidance timesteps while still surpassing baseline models in image quality. We validate our approach through benchmarks on label-conditional and text-to-image generative tasks across various datasets and models.

1 INTRODUCTION

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**027 028 029 030 031 032** Guidance in diffusion models is mainly divided into classifier-free guidance in [Ho & Salimans](#page-10-0) [\(2022\)](#page-10-0), and classifier guidance in [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1). Although both of these methods significantly improve the performance of the diffusion samples [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1); [Ho &](#page-10-0) [Salimans](#page-10-0) [\(2022\)](#page-10-0); [Bansal et al.](#page-10-2) [\(2023\)](#page-10-2); [Liu et al.](#page-10-3) [\(2023\)](#page-10-3); [Epstein et al.](#page-10-4) [\(2023\)](#page-10-4), they both suffer from large computation time. For classifier guidance, the act of gradients calculation backwards through a classifier is costly. On the other hand, forwarding through a diffusion model twice at every timestep also costs significant computation in classifier-free guidance.

**033 034 035 036 037 038 039 040 041 042 043** This work challenges the necessity of the current complex process based on several key observations. First, we find that the guidance loss is predominantly active during the early stages of the sampling process, when the image lacks a well-defined structure. As the model progresses and shifts its focus to refining image details, the guidance loss tends to approach zero. Additionally, when evaluating intermediate samples with an additional classifier not used for guidance, we observe that the loss from this external classifier does not decrease in the same way as it does for the guidance-specific classifier. This suggests that the generated samples are tailored to fit the features of the guiding classifier rather than producing generalized features applicable to different classifiers. We define this issue as *model-fitting*, where the generated image pixels are optimized to satisfy the guiding classifier's criteria rather than generalizing to the intended conditions. The problem is validated by three pieces of evidence in section [3.1.](#page-3-0)

**044 045 046 047 048 049** These observations prompt us to question whether guidance is necessary at every timestep and how reducing the frequency of guidance could enhance generative quality. In Section [3.2,](#page-5-0) we further explore the properties of guidance in ensuring sample quality. Based on this analysis, we propose a simple yet effective method called Compress Guidance (CompG), which mitigates the issue by reducing the number of timesteps that invoke gradient calculation. This approach not only improves sample quality but also significantly accelerates the overall process as shown in Fig[.1.](#page-1-0)

**050 051 052 053** Overall, the contributions of our works are three-fold: (1) Explore and quantify the model-fitting problem in guidance and the redundant computation resulting from current guidance methods. (2) Propose a simple but effective method to contain the model-fitting problem and improve computational time. (3) Extensive analysis and experimental results for different datasets and generative tasks on both classifier and classifier-free guidance perspectives.

<span id="page-1-0"></span>

**078 079 080 081 082** Figure 1: *Stable Diffusion with classifier-free guidance. The left figure is the vanilla classifier-free guidance with application on all 50 timesteps. Our proposed Compress Guidance method is the right figure, where we only apply guidance on 10 over 50 steps. The output shows our methods' superiority over classifier-free guidance regarding image quality, quantitative performance and efficiency. The efficiency is counted based on the time to generate 30000 images with 1 GPU.*

#### **083** 2 BACKGROUND

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**084 085 086 087 088 089 Diffusion Models** [Ho et al.](#page-10-5) [\(2020\)](#page-10-5) has the form of:  $p_{\theta} := p(\mathbf{x}_T) \prod_{t=1}^T p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t)$  where  $p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) := \mathcal{N}(\mathbf{x}_{t-1}; \mu_{\theta}(x_t, t), \Sigma_{\theta}(x_t, t))$  supporting the reverse process from  $\mathbf{x}_T$  to  $\mathbf{x}_0$ . This process is denoising process where starting from the  $x_T \sim \mathcal{N}(x_T; 0, I)$  to gradually move to  $x_0 \sim q(\mathbf{x}_0)$ . This process is trained to be matched with the forward diffusion process  $q(\mathbf{x}_{1:T}|\mathbf{x}_0) := \nabla^T$  $\prod_{t=1}^T q(\mathbf{x}_t|\mathbf{x}_{t-1})$  given  $q(\mathbf{x}_t|\mathbf{x}_{t-1})$  as  $q(\mathbf{x}_t|\mathbf{x}_{t-1}) := \mathcal{N}(\mathbf{x}_t;\sqrt{1-\beta_t}\mathbf{x}_{t-1},\beta \mathbf{I})$  or we can write the conditional distribution of  $x_t$  given  $x_0$  as below:

<span id="page-1-1"></span>
$$
q(\mathbf{x}_t|\mathbf{x}_0) := \mathcal{N}(\mathbf{x}_t; \sqrt{\bar{\alpha}_t} \mathbf{x}_0, (1 - \bar{\alpha})\mathbf{I})
$$
\n(1)

 $\beta_t$  is the fixed variance scheduled before the process starts, [Ho et al.](#page-10-5) [\(2020\)](#page-10-5) denotes  $\alpha_t := 1 - \beta_t$ and  $\bar{\alpha}_t := \prod_{s=1}^t \alpha_s$  used in Eq[.1.](#page-1-1) We have the  $\mathbf{x}_{t-1}$  conditioned on  $\mathbf{x}_0$  and  $\mathbf{x}_t$  as:

<span id="page-1-4"></span>
$$
q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_{t-1};\tilde{\boldsymbol{\mu}}_t(\mathbf{x}_t,\mathbf{x}_0),\tilde{\beta}_t\mathbf{I})
$$
\n(2)

**095 097** where  $\tilde{\mu}_t(\mathbf{x}_t, \mathbf{x}_0) := \frac{\sqrt{\tilde{\alpha}_{t-1}} \beta_t}{1 - \tilde{\alpha}_t}$  $\frac{\overline{\alpha}_{t-1}\beta_t}{1-\bar\alpha_t}\mathbf{x}_0 + \frac{\sqrt{\alpha_t}(1-\bar\alpha_{t-1})}{1-\bar\alpha_t}$  $\frac{\tilde{E}(1-\bar{\alpha}_{t-1})}{1-\bar{\alpha}_t}\mathbf{x}_t$  and  $\tilde{B}_t := \frac{1-\bar{\alpha}_{t-1}}{1-\bar{\alpha}_t}$  $\frac{-\alpha_{t-1}}{1-\bar{\alpha}_t}$   $\beta_t$ . To train the diffusion model, the lower bound loss is utilized as below:

<span id="page-1-3"></span><span id="page-1-2"></span>
$$
\mathbb{E}[-\log p_{\theta}(\mathbf{x}_0)] \leq \mathbb{E}[-\log p(\mathbf{x}_T) - \Sigma_{t\geq 1}\log \frac{p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t)}{q(\mathbf{x}_t|\mathbf{x}_{t-1})}]
$$
(3)

**101 102 103 104 105 106** Rewrite Eq. [3](#page-1-2) as  $\mathbb{E}_q[D_{KL}(q(\mathbf{x}_T | \mathbf{x}_0)||p(\mathbf{x}_T)) + \sum_{t>1} D_{KL}(q(\mathbf{x}_{t-1} | \mathbf{x}_t, \mathbf{x}_0)||p(\mathbf{x}_{t-1} | \mathbf{x}_t))$  –  $\sum_{t>1} D_{KL}(q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0)||p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t))$  where the diffusion model try to match the distri- $\log p_{\theta}(\mathbf{x}_0|\mathbf{x}_1)$  The training process actually optimize the bution of  $x_{t-1}$  by using only  $x_t$ . There are several implementations for optimising the [3.](#page-1-2) However, the  $\theta$  as parameters of the noise predictor  $\epsilon_{\theta}(\mathbf{x}_t, t)$  is the most popular choice. After the  $\theta$  are trained using Eq. [3,](#page-1-2) the sampling equation:

$$
\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} (\mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \epsilon_\theta(\mathbf{x}_t, t)) + \sigma_t \mathbf{z}
$$
(4)

**108 109 110** Guidance in the Diffusion model offers conditional information and image quality enhancement. Given a classifier  $p_{\phi}(y|\mathbf{x}_t)$  that match with the labels distribution conditioned on images  $\mathbf{x}_t$ , we have the sampling equation with guidance as:

<span id="page-2-0"></span>
$$
\mathbf{x}_{t-1} \sim \mathcal{N}(\mu_t + s\sigma_t^2 \nabla_{\mathbf{x}_t} \log p_{\phi}(y|\mathbf{x}_t), \sigma_t)
$$
 (5)

with s is the guidance scale. Beside the classifier guidance as Eq[.5,](#page-2-0) [Ho & Salimans](#page-10-0) [\(2022\)](#page-10-0) proposes another version named classifier-free guidance. This guidance method does not base the information on a classifier. Instead, the guidance depends on the conditional information from a conditional diffusion model. The sampling equation has the form:

$$
\mathbf{x}_{t-1} \sim \mathcal{N}(\tilde{\boldsymbol{\mu}}_t(\mathbf{x}_t, \frac{\mathbf{x}_t - \sqrt{1 - \bar{\alpha}}\tilde{\epsilon}_t}{\sqrt{\bar{\alpha}_t}}), \sigma_t)
$$
(6)

given  $\tilde{\epsilon} = (1+w)\epsilon_{\theta}(\mathbf{x}_t, c) - w\epsilon_{\theta}(\mathbf{x}_t)$  with w is the guidance scale.

## 3 MODEL-FITTING IN GUIDANCE

We begin by modelling the sampling equation as two distinct optimization objectives, illustrating that the sampling process functions as a form of "training", where parameters  $x_t$  are optimized over T timesteps. We then analyze the "training" of  $x_t$  in light of these objectives, highlighting the model-fitting problem that arises in the current guidance-driven sampling process. To address this issue, we propose a simple method called Compress Guidance, which helps mitigate the observed model-fitting problem. From Eq[.4,](#page-1-3) we have:

$$
\begin{array}{c}\n130 \\
131\n\end{array}
$$

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$$
\mathbf{x}_{t-1} = \frac{(1 - \alpha_t)\sqrt{\bar{\alpha}_{t-1}}}{1 - \bar{\alpha}_t} \frac{\mathbf{x}_t - \sqrt{1 - \bar{\alpha}_t} \epsilon_\theta(\mathbf{x}_t, t)}{\sqrt{\bar{\alpha}_t}} + \frac{(1 - \bar{\alpha}_{t-1})\sqrt{\alpha_t}}{1 - \bar{\alpha}_t} \mathbf{x}_t + \sigma_t z \tag{7}
$$

**133 134 135 136 137 Distribution matching objective:** Assuming that  $\epsilon_{\theta}(\mathbf{x}_t, t)$  is learned perfectly to match random noise  $\epsilon$  at timestep t, we have  $\frac{\mathbf{x}_t - \sqrt{1-\bar{\alpha}_t}\epsilon_\theta(\mathbf{x}_t,t)}{\sqrt{\bar{\alpha}_t}} = \mathbf{x}_0$  is the exact prediction of  $\mathbf{x}_0$  at timestep t according to Eq[.1.](#page-1-1) With  $\tilde{\mathbf{x}}_0$  is the prediction of  $\mathbf{x}_0$  at timestep t, we can re-write the equation as bellow: √ √

<span id="page-2-1"></span>
$$
\mathbf{x}_{t-1} = \frac{(1 - \alpha_t)\sqrt{\bar{\alpha}_{t-1}}}{1 - \bar{\alpha}_t}\tilde{\mathbf{x}}_0 + \frac{(1 - \bar{\alpha}_{t-1})\sqrt{\alpha_t}}{1 - \bar{\alpha}_t}\mathbf{x}_t + \sigma_t z
$$
(8)

**140 141 142 143 144 145 146 147** This equation [8](#page-2-1) can be derived from  $q(\mathbf{x}_{t-1}|\mathbf{x}_t, \mathbf{x}_0)$  in Eq. [2](#page-1-4) with parameterized trick for Gaussian Distribution. Thus, the first aim of the sampling process is to match the distribution  $q(\mathbf{x}_{t-1}|\mathbf{x}_t, \mathbf{x}_0)$ . Nevertheless, the Eq[.8](#page-2-1) is based on the assumption that  $\tilde{\mathbf{x}}_0 \sim \mathbf{x}_0$ , which often does not hold when  $t \to T$ . Given  $\tilde{\mathbf{x}}_0 = \frac{\mathbf{x}_t - \sqrt{1-\bar{\alpha}_t} \epsilon_\theta(\mathbf{x}_t,t)}{\sqrt{\bar{\alpha}_t}}$ , this formulation is rooted from  $\tilde{\mathbf{x}}_0 \sim \mathcal{N}(\frac{1}{\sqrt{2}})$  $\frac{1}{\sqrt{\alpha}}\mathbf{x}_t$ ;  $\frac{\bar{\alpha}-1}{\bar{\alpha}}\mathbf{I}$ ) with assumption that  $\epsilon_\theta(\mathbf{x}_t, t) \sim \epsilon$ . However,  $\epsilon_\theta(\mathbf{x}_t, t)$  is trained to minimize  $D_{KL}[q(\mathbf{x}_{t-1}|\mathbf{x}_t,\mathbf{x}_0)||p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t)]$  as in [Ho et al.](#page-10-5) [\(2020\)](#page-10-5) which actually causes a significantly distorted information if  $\epsilon_\theta(\mathbf{x}_t, t)$  is utilized to sample  $\tilde{\mathbf{x}}_0$  from  $\mathbf{x}_t$  if  $t \to T$ . A smaller t would result in a better prediction of  $x_0$  and with  $t = 0$ , we have  $\bar{\alpha} = 1$  resulting in  $\tilde{x}_0 = x_t$ .

<span id="page-2-2"></span>**148 149 150 151 Theorem 1.** Assume that  $\epsilon_{\theta}$  is trained to converge and the real data density function  $q(\mathbf{x}_0)$  satisfies *a form of Gaussian distribution. The process of recurrent sampling*  $\mathbf{x}_{t-1} \sim q(\mathbf{x}_{t-1}|\mathbf{x}_t, \tilde{\mathbf{x}}_0)$  *from* T *to* 0 *is equivalent to minimization process of*  $D_{KL}[q(\mathbf{x}_0)||p_\theta(\tilde{\mathbf{x}_0}|\mathbf{x}_t)]$  *wrt.*  $\mathbf{x}_t$ *.* 

**152 153 154 155 156 157 158 159 160 161** *Proof.* Given real data  $x_0$ , two latent samples are sampled at two timestep  $t_1 < t_2$ . We have, *Proof.* Given real data  $\mathbf{x}_0$ , two latent samples are sampled at two timestep  $t_1 < t_2$ . We have,  $\mathbf{x}_{t_1} = \sqrt{\overline{\alpha}_{t_1}} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_{t_1}} \epsilon$  and  $\mathbf{x}_{t_2} = \sqrt{\overline{\alpha}_{t_2}} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_{t_2}} \epsilon$ . From  $\mathbf{x}_{t_1}$  $\mathbf{x}_{t_1} = \sqrt{\alpha_{t_1}} \mathbf{x}_0 + \sqrt{1 - \alpha_{t_1}} \epsilon$  and  $\mathbf{x}_{t_2} = \sqrt{\alpha_{t_2}} \mathbf{x}_0 + \sqrt{1 - \alpha_{t_2}} \epsilon$ . From  $\mathbf{x}_{t_1}$  and  $\mathbf{x}_{t_2}$ , real data prediction has the form of  $\tilde{\mathbf{x}}_0^{(t_1)} = \frac{\mathbf{x}_{t_1} - \sqrt{1 - \overline{\alpha}_{t_1}} \epsilon_{\theta}(\mathbf{x}_{t_1$ respondingly. Replace  $\mathbf{x}_{t_1}$  and  $\mathbf{x}_{t_2}$  with  $\mathbf{x}_0$  and  $\epsilon$ , we have  $\tilde{\mathbf{x}}_0^{(t_1)} = \mathbf{x}_0 + \frac{\sqrt{\alpha_{t_2}}}{\sqrt{\alpha_{t_1}}} (\epsilon - \epsilon_\theta(\mathbf{x}_{t_1}, t_1))}$ and  $\tilde{\mathbf{x}}_0^{(t_2)} = \mathbf{x}_0 + \frac{\sqrt{1-\bar{\alpha}_{t_2}}(\epsilon-\epsilon_{\theta}(\mathbf{x}_{t_2},t_2))}{\sqrt{\bar{\alpha}_{t_2}}}.$  Thus  $||\tilde{\mathbf{x}_0}^{(t_1)} - \mathbf{x}_0|| = \frac{1-\bar{\alpha}_{t_1}||\epsilon-\epsilon_{\theta}(\mathbf{x}_{t_1},t_1)||}{\bar{\alpha}_{t_1}}$  $\frac{-\epsilon_{\theta}(\mathbf{x}_{t_1}, \iota_1)|}{\bar{\alpha}_{t_1}}$  and  $||\tilde{\mathbf{x}_0}^{(t_2)} - \mathbf{x}_0|| = \frac{1 - \bar{\alpha}_{t_2} ||\epsilon - \epsilon_{\theta}(\mathbf{x}_{t_2}, t_2)||}{\bar{\alpha}_{t_2}}$  $\frac{-\epsilon_{\theta}(\mathbf{x}_{t_2}, t_2) ||}{\bar{\alpha}_{t_2}}$ . Since  $\epsilon_{\theta}(\mathbf{x}_{t_1}, t_1) \sim \epsilon_{\theta}(\mathbf{x}_{t_2}, t_2) \sim \epsilon$ ,  $||\epsilon - \epsilon_{\theta}(\mathbf{x}_{t_1}, t_1)|| \approx$  $||\epsilon - \epsilon_{\theta}(\mathbf{x}_{t_2}, t_2)|| \approx \Delta$ . This results in  $||\tilde{\mathbf{x}_0}^{(t_1)} - \mathbf{x}_0|| = \frac{1 - \bar{\alpha}_{t_1}}{\bar{\alpha}_{t_2}}$  $-\frac{\bar{\alpha}_{t_1}}{\bar{\alpha}_{t_1}}\Delta$  and  $||\tilde{\mathbf{x}_0}^{(t_2)} - \mathbf{x}_0|| = \frac{1-\bar{\alpha}_{t_2}}{\bar{\alpha}_{t_2}}$  $\frac{-\alpha_{t_2}}{\bar{\alpha}_{t_2}}\Delta.$ 

<span id="page-3-4"></span>

Figure 2: *(left) OADM-C, (right) Resnet152 off-sampling loss. The Onsampling loss converges very early while leaving the off-sampling loss converges at the end of the process after the conclusion of the denoising process.*

**174**  $||\tilde{x_0}^{(t_1)} - x_0|| < ||\tilde{x_0}^{(t_1)} - x_0||$  since  $\frac{1 - \bar{\alpha}_{t_2}}{\bar{\alpha}_{t_2}} > \frac{1 - \bar{\alpha}_{t_1}}{\bar{\alpha}_{t_1}}$  $\frac{-\alpha_{t_1}}{\bar{\alpha}_{t_1}} \geq 0$ ,  $\forall t_2 > t_1$ . Consequently, the sampling of **175 176**  $\mathbf{x}_{t-1} \sim q(\mathbf{x}_{t-1}|\mathbf{x}_t, \tilde{\mathbf{x}}_0)$  from timesteps T to 0 would mean the minimization of  $||\tilde{\mathbf{x}_0}^{(t)} - \mathbf{x}_0||$ . Since **177**  $q(\mathbf{x}_0)$  is a normal distribution, the final objective can be written as  $\min_{\mathbf{x}_t} D_{KL}[q(\mathbf{x}_0)||p_\theta(\tilde{\mathbf{x}_0}|\mathbf{x}_t)].$ (Full proof can be found in the appendix). **178** П

**180 181** If we consider  $x_t$  of the Eq[.8](#page-2-1) as the set of optimization parameters, the sampling process will have the objective function:

<span id="page-3-2"></span>
$$
\min_{\mathbf{x}_t} D_{KL}[q(\mathbf{x}_0)||p_\theta(\tilde{\mathbf{x}_0}|\mathbf{x}_t)] \tag{9}
$$

We re-write the Eq[.8](#page-2-1) as:

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<span id="page-3-1"></span>
$$
\mathbf{x}_{t-1} = \mathbf{x}_t - \underbrace{(\frac{\sqrt{\alpha_t} - 1}{\sqrt{\alpha_t}} \mathbf{x}_t + \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t} \sqrt{\alpha_t}} \epsilon_\theta(\mathbf{x}_t, t) - \sigma_t \mathbf{z})}_{\gamma_1 \nabla \text{D}_{\text{KL}}[q(\mathbf{x}_0)||p_\theta(\mathbf{x}_0|\mathbf{x}_t)]}
$$
(10)

**188 189 190** Eq[.10](#page-3-1) turns the sampling process into a stochastic gradient descent process where the  $x_t$  is the parameter of the model at the timestep t, the updated direction into  $x_t$  aims to satisfy the objective function Eq[.9.](#page-3-2)

**191 192 193 194 195 Classification objective**: From Eq[.5,](#page-2-0) we have the term  $s\sigma_t^2 \nabla_{\mathbf{x}_t} \log p_{\phi}(y|\mathbf{x}_t)$  is added to the sampling equation for guidance. This term can be written in full form as  $s\sigma_t^2 \nabla_{\mathbf{x}_t}(q(y) \log q(y)$  $q(y)$  log  $p_{\phi}(y|\mathbf{x}_t)$ ) which is equivalent to  $-s\sigma_t^2 \nabla D_{KL}[q(y)||p_{\phi}(\hat{y}|\mathbf{x}_t)]$ . Combine Eq[.10](#page-3-1) with guidance information in Eq[.5,](#page-2-0) we have: √

<span id="page-3-3"></span>
$$
\mathbf{x}_{t-1} = \mathbf{x}_t - \underbrace{(\frac{\sqrt{\alpha_t} - 1}{\sqrt{\alpha_t}} \mathbf{x}_t + \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t} \sqrt{\alpha_t}} \epsilon_\theta(\mathbf{x}_t, t) - \sigma_t \mathbf{z}) - (\underbrace{-s \sigma_t^2 \nabla_{\mathbf{x}_t} \log p_\phi(y|\mathbf{x}_t))}_{\gamma_2 \nabla D_{KL}[q(y)||p_\phi(\hat{y}|\mathbf{x}_t)]}
$$
(11)

**200 201 202 203 204** As a result, the process of updating  $x_t$  to  $x_{t-1}$  is a "training" step to optimize to objective functions  $D_{KL}[q(\mathbf{x}_0)||p_\theta(\tilde{\mathbf{x}_0}|\mathbf{x}_t)]$  and  $D_{KL}[q(y)||p_\phi(\hat{y}|\mathbf{x}_t)]$  with two gradients respecting to  $\mathbf{x}_t$  as Eq[.11.](#page-3-3) Since this is similar to the training process, it is expected to face some problems in training deep neural networks. In this work, the problem of model fitting is detected by observing the losses given by the classification objective during the sampling process.

<span id="page-3-0"></span>3.1 MODEL-FITTING

**207 208** Based on the optimization problem from the sampling process in the previous section, we first define *on-sampling loss* and *off-sampling loss* for observation.

**209 210 211 212** Definition 1. *On-sampling loss/accuracy refers to the loss or accuracy evaluated on the generated* samples  $x_t$  at timestep t during the diffusion sampling process, which consists of  $T$  timesteps. This *loss is defined as*  $-\log p_{\phi}(\hat{y}|\mathbf{x}_t)$  *by the classifier parameters*  $\phi$  *that provides guidance throughout the sampling process.*

**213 214 215** Definition 2. *Off-sampling loss/accuracy refers to the loss or accuracy evaluated on the generated* samples  $x_t$  at timestep t during the diffusion sampling process, which consists of  $T$  timesteps. This *loss is defined as*  $-\log p_{\phi'}(\hat{y}|\mathbf{x}_t)$  *by the classifier parameters*  $\phi'$  *that does not provides guidance throughout the sampling process.*

<span id="page-4-0"></span>

**231 232 233 234** Figure 3: *ImageNet256x256 samled by ADM-G in [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1). The top row is the vanilla guidance, where all the timesteps got the guidance information. The second and third rows are our proposed method, which only applies 35 time steps. The second row distributes the timesteps uniformly, while the third row distributes the timesteps toward the early stage of the sampling process. The Compress Guidance performs significantly better than the original guidance method. One blue stick means one guidance step.*

**235 236 237 238 239 240 241 242 243** we visualize the *on-sampling* loss obtained from the noise-aware ADM classifier in [Dhariwal &](#page-10-1) [Nichol](#page-10-1) [\(2021\)](#page-10-1) as in Figure [2.](#page-3-4) We found out that the classification information is mainly active during the early stage of the process, as it converges very early in the first 120 timesteps. However, a different trend is observed for the *off-sampling* loss. We set up an off-sampling classifier with the same architecture and performance as the on-sampling classifier used for guidance or in *on-sampling* loss. The only difference between the two models is the parameters. The details on obtaining this off-sampling classifier are in Appendix [B.](#page-12-0) We name this off-sampling classifier as OADM-C. To avoid bias, we also use an off-the-shelf model ResNet152 [He et al.](#page-10-6) [\(2015\)](#page-10-6) to be another off-sampling classifier.

**244 245 Definition 3.** *Model-fitting occurs* when sampled *images*  $x_t$  *at timestep* t *is updated to maximize*  $p_{\phi}(y|\mathbf{x}_t)$  *or to satisfy the parameters of the*  $\phi$  *only instead of the real distribution*  $q(y|\mathbf{x}_t)$ *.* 

**246 247 248 249** In practice, a pretrained  $p_{\phi}(y|\mathbf{x}_t)$  is only able to capture part of the  $q(y|\mathbf{x}_t)$ . Fitting solely with  $p_{\phi}(y|\mathbf{x}_t)$  limits the sample's generalisation ability, leading to incorrect features or overemphasising certain details due to misclassification or overfocusing of the guidance classifier. Three pieces of evidence support that the vanilla guidance suffers from model-fitting problem.

**250 251 252 253 254 255 256** Evidence 1: From the figures in Table [2,](#page-3-4) we see that while the on-sampling loss converges around the  $120<sup>th</sup>$  timestep, the off-sampling loss remains high until the diffusion model converges later. This indicates that samples  $x_t$  at timestep t satisfy only the on-sampling classifier but not the offsampling classifier, despite their identical performance and architecture. Although the off-sampling loss decreases by the end, a significant gap between the off-sampling and on-sampling losses persists. This supports our hypothesis that the guidance sampling process produces features that fit only the guidance classifier, not the conditional information.

**257 258 259 260 261** Evidence 2: Table [2](#page-3-4) illustrates the model-fitting problem through accuracy metrics. With vanilla guidance, the accuracy is about 90.80% for the on-sampling classifier. However, the same samples evaluated by the off-sampling classifier or Resnet152 achieve only around 62.5% and 34.2% accuracy, respectively. This indicates that many features generated by the model are specific to the guidance classifier and do not generalize to other models.

**262 263 264 265** Evidence 3: Figure [3](#page-4-0) (first row) shows samples from vanilla guidance, where every sampling step receives guidance information. Applying guidance at all timesteps forces the model to fit the onsampling classifier's perception. Often, this makes the model colour-sensitive, focusing on generating the "orange" feature for Goldfish and ignoring other details.

**266 267 268** From the three pieces of evidence we can observe, we can conclude that the vanilla guidance scheme has suffered from the model-fitting problem.

**269 Analogy to overfitting:** In neural network training, we have a dataset x and a classifier  $f_{\theta}(\mathbf{x})$  to approximate the posterior distribution  $p(y|x)$ . Let  $\mathbf{x}_{train}$  be the training data and  $\mathbf{x}_{test}$  the testing data.

**270 271 272** Overfitting occurs when  $f_\theta$  is tailored to fit  $\mathbf{x}_{\text{train}}$  but fails to generalize to the entire dataset x. This is observed by the gap between training loss/accuracy and testing loss/accuracy on  $\mathbf{x}_{train}$  and  $\mathbf{x}_{test}$ .

Table 2: *Overfitting vs. Model-Fitting*



In the diffusion model's sampling process, the classifier  $f_{\theta}$  is pretrained or fixed. The aim is to adjust the samples x to match the trained posterior  $p_{\theta}(y|\mathbf{x})$ . This process also uses Stochastic Gradient Descent with different roles:  $f_{\phi_g}$  acts as the fixed data, and x are the trainable parameters. The model-fitting problem arises when x is

adjusted to fit only the specific  $f_{\theta}$  instead of generalizing well. Here,  $f_{\phi_g}$  is the on-sampling "data", and we use an off-sampling "data"  $f_{\phi_o}$  to observe the model-fitting where the gap between them is large, analogous to using training and testing data to check for overfitting.

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<span id="page-5-0"></span>3.2 ANALYSIS

**284 285 286 287 288** Gradient over-calculation is the main reason for model-fitting. Thus, **gradient balance**, which is to call not too many times of gradient calculation, is required. A straightforward solution is to eliminate the gradient calculations for the later timesteps, which have been found to be less active, as shown in Figure [2.](#page-3-4) This approach is referred to as Early Stopping (ES), where guidance is halted from the  $200^{t\bar{h}}$  timestep onwards, continuing until the  $0^{t\bar{h}}$  timestep.

**289 290 291 292** Early Stopping: Figure [4](#page-5-1) demonstrates that ES suffers from the *forgetting* problem, where onsampling classification loss increases during the remaining sampling process, negatively impacting the generative outputs. This suggests that the guidance requires the property of **continuity**, meaning the gap between consecutive guidance steps must not be too large to prevent the *forgetting* problem.

**293 294 295 296 297 298 299 300** Uniform skipping guidance: We try an alternative approach which is called Uniform Skipping Guidance (UG). In UG, 50 guidance steps are evenly distributed across 250 sampling steps, with guidance applied every five steps. This ensures continuity throughout the sampling process, mitigating the *forgetting* problem. However, as shown in Figure [2,](#page-3-4) UG encounters the issue of *non-convergence*, where the classification magnitude is too weak and becomes overshadowed by the denoising signals from the diffusion models, leading to poor conditional information. Thus, a guidance must require another property, which is **magnitude sufficiency**.

**301 302 303 304 305 306** In summary, vanilla guidance faces the issue of *modelfitting*, while ES and UG fail due to the *forgetting* and *non-convergence* problems, respectively. Therefore, the primary goal of our proposed method is to meet three key conditions which are gradient balance, guidance continuity and magnitude sufficiency.

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#### **308** 3.3 COMPRESS GUIDANCE

**310 311 312 313 314 315 316 317 318** To avoid calculating too much gradient, we propose to utilize the gradient from the previous guidance step at several next sampling steps, given that the gradient magnitude difference between two consecutive sampling steps is not too significant. By doing this, we can satisfy **mag**nitude sufficiency without re-calculating the gradient at every sampling step. Note that the gradient directions have not been updated since the last guidance step, resulting in the **gradient balance**. Since all the sampling

<span id="page-5-1"></span>

Figure 4: *G is denoted for vanilla guidance, UG is the uniform skipping scheme, and ES is the early stopping scheme. The graph shows that UG suffers from the nonconvergence problem, and ES suffers from the forgetting problem.*

**319 320** step receives a guidance signal, the **continuity** is guaranteed. Start with the Eq. [11,](#page-3-3) we have the sampling scheme as below:

- **321**
- **322 323**

<span id="page-5-2"></span>
$$
\mathbf{x}_{t-1} = \begin{cases} \mathbf{x}_t - \gamma_1 \nabla D_{KL}[q(\tilde{\mathbf{x}_0}|\mathbf{x}_t)||q(\mathbf{x}_0)] - \gamma_2 \nabla D_{KL}[q(\hat{y}|\mathbf{x}_t)||q(y)], & \text{if } t \in G\\ \mathbf{x}_t - \gamma_1 \nabla D_{KL}[q(\tilde{\mathbf{x}_0}|\mathbf{x}_t)||q(\mathbf{x}_0)] - \gamma_2 \Gamma_t, & \text{otherwise} \end{cases}
$$
(12)

<span id="page-6-3"></span>

Figure 5: *Qualitative results on ImageNet256x256. Left: Vanilla guidance applied at all timesteps. Right: Compress Guidance applied at 50 out of 250 timesteps. Compress Guidance reduces over-emphasized features, correcting weird and incorrect details. Further results are in Appendi[xH](#page-15-0)*

The set G is the set of time-steps for which the gradient will be calculated.  $\Gamma$  is a variable used to store the calculated gradient from the previous sampling step,  $\Gamma_t$  is updated as:

$$
\Gamma_{t-1} = \begin{cases} \nabla D_{KL}[q(\hat{y}|\mathbf{x}_t)||q(y)], & \text{if } t \in G\\ \Gamma_t & \text{otherwise} \end{cases}
$$
\n(13)

In practice, we find out that instead of duplicating gradients as in Eq. [12,](#page-5-2) we can slightly improve the performance by compressing the duplicated gradients into one guidance step instead of providing guidance to all sampling as in Eq[.12.](#page-5-2) We name this method as *Compress Guidance*.We modify the sampling equation as below:

$$
\mathbf{x}_{t-1} = \begin{cases} \mathbf{x}_t - \gamma_1 \nabla D_{KL}[q(\tilde{\mathbf{x}_0}|\mathbf{x}_t)||q(\mathbf{x}_0)] - \gamma_2 \sum_{t=G_i}^{G_{i+1}} \Gamma_t, & \text{if } t = a_i \\ \mathbf{x}_t - \gamma_1 \nabla D_{KL}[q(\tilde{\mathbf{x}_0}|\mathbf{x}_t)||q(\mathbf{x}_0)], & \text{otherwise} \end{cases}
$$
(14)

One of the algorithm's assumptions is that the magnitude is mostly the same for two consecutive sampling steps. From Appendix [G,](#page-15-1) we observe that the classification gradient magnitude difference between two consecutive sampling steps is often larger in the early stage of the sampling process. Thus, we propose a method that distributes more guidance toward the early sampling stage and sparely at the end of the process. This will help to avoid the significant accumulation of magnitude differences in the early stage and helps to deliver better performance as well as reducing the number of guidance steps. The scheme is defined as Eq. [15.](#page-6-0)

<span id="page-6-0"></span>
$$
G_i = T - \lfloor \frac{T}{|G|^k} i^k \rfloor \quad \forall 0 \le i \le l, k \in [0; +\infty]
$$
\n
$$
(15)
$$

<span id="page-6-1"></span>**363 364 Theorem 2.** When  $k \to +\infty$ , the guidance timesteps will be distributed more toward the early stage *of the sampling process.*

<span id="page-6-2"></span>**365 366 Theorem 3.** When  $k < 1$  and  $k \to 0$ , the guidance timesteps will be distributed more toward the *late stage of the sampling process.*

The proposed solution to select the timesteps for guidance as Eq[.15](#page-6-0) allows us to choose the number of timesteps we will do guidance and how to distribute these timesteps along the sampling process by adjusting the k values. The full proof of Theorem [2](#page-6-1) and [3](#page-6-2) is written in the appendix.

**371 372 373**

# 4 EXPERIMENTAL RESULTS

**374 375 376 377** Setup Experiments are conducted on pretrained Diffusion models on *ImageNet 64x64*, *ImageNet 128x128*, *ImageNet 256x256* and *MSCOCO*. The base Diffusion models utilized for label condition sampling task are ADM [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1) and CADM [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1) for classifier guidance, Di[TPeebles & Xie](#page-10-7) [\(2023\)](#page-10-7) for classifier-free guidance (CFG) [Ho & Salimans](#page-10-0) [\(2022\)](#page-10-0), GLID[ENichol et al.](#page-10-8) [\(2021\)](#page-10-8) for CLIP text-to-image guidance and Stable Diffusion [Rombach](#page-10-9)

<span id="page-7-0"></span>

Figure 6: *Qualitative results on ImageNet256x256. Left: Vanilla guidance applied at all timesteps. Right: Compress Guidance applied at 50 of 250 timesteps. Compress Guidance corrects misclassification by the onsampling classifier, preventing out-of-class image generation and restoring accurate class information. More qualitative results are shown in Appendi[xH](#page-15-0)*

[et al.](#page-10-9) [\(2022\)](#page-10-9) for text-to-image classifier-free guidance. Other baselines we also do comparison is BigGAN [Brock et al.](#page-10-10) [\(2018\)](#page-10-10), VAQ-VAE-2 [Zhao et al.](#page-11-0) [\(2020\)](#page-11-0), LOGAN [Wu et al.](#page-11-1) [\(2019\)](#page-11-1), DCTransformers [Nash et al.](#page-10-11) [\(2021\)](#page-10-11). FID/sFID, Precision and Recall are utilized to evaluate image quality and diversity measurements. We denote Compress Guidance as "-CompG" and "-G" as vanilla guidance, "-CFG" is the CFG, and "-CompCFG" is our proposed Compress Guidance applying on CFG. Full results with details of the experimental set up are discussed in Appendix [B](#page-12-0) and [C.](#page-12-1)

## **399 400 401 402**

# 4.1 CLASSIFIER GUIDANCE

**403 404 405** For classifier guidance, we distinguish this guidance scheme into two types due to its behaviour discrepancy when applying the guidance. The first type is classifier guidance on the unconditional diffusion model, and the second is classifier guidance on the conditional diffusion model.

**406 407 408 409 410 411 412 413** Guidance with unconditional diffusion model Guidance with unconditional model provides diffusion model both conditional information and image quality improvement [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1). Table [3](#page-8-0) shows the improvement using CompactGuidance (CG). The results show three main improvements. First, there is an improvement in the quantitative results of FID, sFID, and Recall values, indicating an improvement in generated image qualities and diversity. Second, we further validate the image quality and diversity improvement in Figure [5](#page-6-3) and [6.](#page-7-0) Third, the proposed method offered a significant improvement in running time where we reduced the number of guidance steps by 5 times and reduced the running time by 42% on ImageNet64x64 and 23% on ImageNet256x256.

**414 415 416 417 418 419** Guidance with conditional diffusion model Unlike the unconditional diffusion model, guidance in the conditional diffusion model does not aim to provide conditional information. Therefore, the effect of guidance is less significant than guidance on the unconditional diffusion model. Table [4](#page-8-1) shows the diversity improvement based on Recall values compared to vanilla guidance. Furthermore, CompG reduced the guidance steps by 5 times and reduced the sampling time by  $39.79\%$ ,  $29.63\%$ , and 22% on ImageNet64x64, 128x128 and 256x256, respectively.

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4.2 CLASSIFIER-FREE GUIDANCE

**422 423 424 425 426** Classifier-free guidance is a different form of guidance from classifier guidance. Although classifierfree guidance does not use an explicit classifier for guidance, the diffusion model serves as an implicit classifier inside the model as discussed in Appendix [E.](#page-14-0) We hypothesize that classifier-free guidance also suffers from a similar problem with classifier guidance. We apply the Compress Guidance technique on classifier-free guidance (CompCFG) and demonstrate the results in Table [4.](#page-8-1)

- **427**
- **428** 4.3 TEXT-TO-IMAGE GUIDANCE
- **429**

**430 431** Besides using labels for conditional generation, text-to-image allows users to input text conditions and generate images with similar meanings. This task has recently become one of the most popular tasks in generative models. We apply the CompactGuidance on this task with two types of guidances,

<span id="page-8-0"></span>**432 433 434 435** Table 3: *Applying CompG to classifier guidance on unconditional diffusion model. ADM-CompG reduces the number of guidance timesteps by fivefold and increases the sampling process's running time by approximately 42% on ImageNet64x64 and 23% on ImageNet256x256. Notably, on ImageNet256x256, the running time of ADM-CompG is only 5% higher compared to the unguided sampling process. In terms of performance, ADM-CompG significantly outperforms ADM and ADM-G across most metrics.*



<span id="page-8-1"></span>Table 4: *Applying CompG to classifier guidance in conditional diffusion models and classifier-free guidance significantly improves performance. CADM-CompG outperforms CADM and slightly surpasses CADM-G, as CADM-G depends on both the classifier and conditional diffusion model. CompG reduces the number of guidance timesteps by fivefold and significantly increases the sampling process's running time across all three ImageNet resolutions. CompG for classifier-free guidance also reduces the number of guidance steps by tenfold and achieves significantly better results.*



<span id="page-8-2"></span>which are CLIP-based guidance (GLIDE) [Nichol et al.](#page-10-8) [\(2021\)](#page-10-8) and classifier-free guidance (Stable Diffusion) [Rombach et al.](#page-10-9) [\(2022\)](#page-10-9). The results are shown in Table [5](#page-8-2) and [6](#page-8-2) and Figure [1.](#page-1-0)

**472 473 474** Table 5: *Applying CompG on text-to-image GLIDE classifier-based guidance on MSCoco datasets.*

Model  $|G| (\downarrow)$  GPU hrs  $(\downarrow)$  ZFID  $(\downarrow)$ 

Table 6: *Applying CompG on Stable Diffusion classifier-free guidance on MSCoco256x256 dataset. CompG significantly improve both qualitative results, as in Figure [1,](#page-1-0) and quantitative results, as below.*



4.4 ABLATION STUDY

 $MSCOCO$   $64x64$ 

**485** Solving the model-fitting problem One of the main contributions of the proposed method is its help in alleviating the model-fitting problem. Due to the closeness be-

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<span id="page-9-0"></span>

Figure 7: *CompG reduces the gap between off-sampling and on-sampling loss, mitigating the model-fitting issue compared to other schemes. The ES scheme concludes guidance after 50 steps and suffers from forgetting problems where the on-sampling loss increases along with the sampling process.*

tween the model-fitting problem and overfitting problems, we use an Early stopping scheme for comparison. For CompG, we utilize 50 guidance steps. Thus, we also turn off guidance for the ES scheme after 50 guidance calls. Figure [7](#page-9-0) for details.

<span id="page-9-2"></span>Table 7: *Model-fitting on ImageNet64x64 samples. ES suffers from the forgetting problem and has low performance. CompG achieves higher both on on-sampling and off-sampling acc.*



Distribution guidance timesteps toward the early stage of the process: According to the Theorem [2,](#page-6-1) by adjusting  $k$ , we can distribute the timesteps toward the early stage or the late stage of the sampling process. Table [8](#page-9-1) shows the comparison between  $k$ values. With  $k = 1.0$ , guidance steps are distributed uniformly. Larger  $k$  results in comparable performance but more fruitful running time and the number of guidance steps.

<span id="page-9-1"></span>Table 8: *ImageNet64x64. Experimental results with increasing* k*. According to Theorem [2,](#page-6-1) increasing* k *guides distribution towards early timesteps, resulting in comparable performance comparable to full guidance and better than without guidance. This scheme leads to fewer guidance steps and lower running costs.*

Model		$ G  \left( \downarrow \right)$	GPU hours $( \downarrow )$	$FID(\downarrow)$	$sFID(\downarrow)$	Prec $(\uparrow)$	$Rec$ $(\uparrow)$
CADM (No guidance)	$\overline{\phantom{0}}$		26.64	2.07	4.29	0.73	0.63
CADM-ComptG	1.0	50	32.22	1.91	4.38	0.77	0.61
CADM-ComptG	2.0	47	31.18	1.95	4.40	0.76	0.62
CADM-ComptG	3.0	41	30.54	1.94	4.42	0.76	0.62
CADM-ComptG	4.0	36	30.02	1.89	4.35	0.76	0.62
CADM-ComptG	5.0	32	29.81	1.82	4.31	0.76	0.62
CADM-ComptG	6.0	28	29.12	1.93	4.35	0.75	0.62

Trade-off between computation and image quality Compact rate is the total number of sampling steps over the number of guidance steps  $\frac{T}{|G|}$ . The larger the compact rate, the lower the model's guidance, hence the lower running time. Figure [9](#page-15-2) shows the effect of using fewer timesteps on IS, FID and Recall as in Figure [9a, 9b](#page-15-2) and [9c](#page-15-2) in Appendix.

## 5 CONCLUSION

**533 534**

**535 536 537 538 539** The paper quantifies the problem of model-fitting, an analogy to the problem of overfitting in training deep neural networks by observing on-sampling loss and off-sampling loss. Compress Guidance is proposed to alleviate the situation and significantly boost the Diffusion Model's performance in qualitative and quantitative results. Furthermore, applying Compress Guidance can reduce the number of guidance steps by at least five times and reduce the running time by around 40%. Broader Impacts and Safeguards will be discussed in the Appendix.

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# A BROADER IMPACT AND SAFEGUARD

The work does not have concerns about safeguarding since it does not utilize the training data. The paper only utilizes the pre-trained models from DiT [Peebles & Xie](#page-10-7) [\(2023\)](#page-10-7), AD[MDhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1), GLIDE [Nichol et al.](#page-10-8) [\(2021\)](#page-10-8) and Stable Diffusion [Rombach et al.](#page-10-9) [\(2022\)](#page-10-9). The work fastens the sampling process of the diffusion model and contributes to the population of the diffusion model in reality. However, the negative impact might be on the research on a generative model where bad people use that to fake videos or images.

# <span id="page-12-0"></span>B EXPERIMENTAL SETUP

<span id="page-12-2"></span>Off-sampling classifier: Off-sampling classifier is initialized as the parameters of the on-sampling classifier. We fine-tune the model with 10000 timesteps with the same loss for training the onsampling classifier. The testing accuracy between the off-sampling classifier and the on-sampling classifier is shown in Table [9](#page-12-2)



Table 9: Evaluation of On-sampling classifier and Off-sampling classifier on ground-truth images.

**670 671 672 673** Figure [11](#page-16-0) shows all the hyperparameters used for all experiments in the paper. Normally, since we skip a lot of timesteps that do guidance, the process will fall into the case of forgetting. To avoid this situation, we would increase the guidance scale significantly. The value of the guidance scale is often based on the compact rate  $\frac{T}{|G|}$ . A larger compact rate also indicates a larger guidance scale.

**674 675 676 677 678 679 680** In Table [7](#page-9-2) and Figure [7,](#page-9-0) to achieve a fair comparison, we tune the guidance scale of CompG to achieve a similar Recall value with vanilla guidance. The reason is that the higher the level of diversity, the harder features can be recognized resulting higher loss and lower accuracy. If we don't configure similar diversity between two schemes, the one with higher diversity will always achieve lower accuracy and higher loss value. We want to avoid the case that the model only samples one good image for all.

**681** For all the tables, the models which are in bold are the proposed.

**682 683** GPU hours: All the GPU hours are calculated based on the time for sampling 50000 samples in ImageNet or 30000 samples in MSCoco.

**684 685** All experiments are run on a cluster with 4 V100 GPUs.

<span id="page-12-1"></span>C FULL COMPARISION

Table [10](#page-13-0) shows full comparison with different famous baselines.

# D MATHEMATICAL DETAILS

# Proof of Theorem [1](#page-2-2)

**695 696 697 698 699 700 701** *Proof.* Given real data  $x_0$ , we sample two latent samples at two timestep  $t_1 < t_2$ . As a result *Proof.* Given real data  $\mathbf{x}_0$ , we sample two latent samples at two timestep  $t_1 < t_2$ . As a result  $\mathbf{x}_{t_1} = \sqrt{\overline{\alpha}_{t_1}} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_{t_1}} \epsilon$  and  $\mathbf{x}_{t_2} = \sqrt{\overline{\alpha}_{t_2}} \mathbf{x}_0 + \sqrt{1 - \overline{\alpha}_{t_2}} \epsilon$ . From  $\mathbf{x}_{t_1}$  $\mathbf{x}_{t_1} = \sqrt{\alpha_{t_1}} \mathbf{x}_0 + \sqrt{1 - \alpha_{t_1}} \epsilon$  and  $\mathbf{x}_{t_2} = \sqrt{\alpha_{t_2}} \mathbf{x}_0 + \sqrt{1 - \alpha_{t_2}} \epsilon$ . From  $\mathbf{x}_{t_1}$  and  $\mathbf{x}_{t_2}$ , the prediction<br>of real data has the form of  $\tilde{\mathbf{x}}_0^{(t_1)} = \frac{\mathbf{x}_{t_1} - \sqrt{1 - \alpha_{t_1}} \epsilon_{\theta}(\mathbf{x$ respondingly. Replace  $\mathbf{x}_{t_1}$  and  $\mathbf{x}_{t_2}$  with  $\mathbf{x}_0$  and  $\epsilon$ , we have  $\tilde{\mathbf{x}}_0^{(t_1)} = \mathbf{x}_0 + \frac{\sqrt{\frac{V(t_{t_2}}{1-\alpha_{t_1}}(\epsilon-\epsilon_\theta(\mathbf{x}_{t_1},t_1))}}{\sqrt{\alpha_{t_1}}}}$ and  $\tilde{\mathbf{x}}_0^{(t_2)} = \mathbf{x}_0 + \frac{\sqrt{1-\bar{\alpha}_{t_2}}(\epsilon-\epsilon_{\theta}(\mathbf{x}_{t_2},t_2))}{\sqrt{\bar{\alpha}_{t_2}}}.$  Thus  $||\tilde{\mathbf{x}_0}^{(t_1)} - \mathbf{x}_0|| = \frac{1-\bar{\alpha}_{t_1}||\epsilon-\epsilon_{\theta}(\mathbf{x}_{t_1},t_1)||}{\bar{\alpha}_{t_1}}$  $\frac{-\epsilon_{\theta}(\mathbf{x}_{t_1}, \iota_1)|}{\bar{\alpha}_{t_1}}$  and

Model	$ G (\downarrow)$	GPU hours $(\downarrow)$	$FID(\downarrow)$	$sFID(\downarrow)$	Prec $(\uparrow)$	$Rec$ $(\uparrow)$
ImageNet 64x64						
<b>BigGAN</b>			4.06	3.96	0.79	0.48
<b>IDDPM</b>	$\theta$	28.32	2.90	3.78	0.73	0.62
CADM (No guidance)	$\overline{0}$	26.64	2.07	4.29	0.73	0.63
$\overline{CADM}$ -G	250	$\overline{53.52}$	$2.\overline{47}$	$\sqrt{4.88}$	$\overline{0}.\overline{8}0$	$\overline{0}$ .57
<b>CADM-CompG</b>	50	32.22	1.91	4.57	0.77	0.61
CĀDM-CFG	$\overline{2}5\overline{0}$	34.97	$\overline{1.89}$	$\overline{4.45}$	$\overline{0}.\overline{7}7$	$\overline{0}$ .60
<b>CADM-CompCFG</b>	25	29.29	1.84	4.38	0.77	0.61
ImageNet 128x128						
BigGAN			6.02	7.18	0.86	0.35
<b>LOGAN</b>			3.36			
CADM (No guidance)	$\Omega$	61.60	6.14	4.96	0.69	0.65
$\bar{C}\bar{A}\bar{D}\bar{M}\bar{G}$	$\overline{2}5\overline{0}$	$\overline{94.06}$	2.95	$\bar{5.45}$	$\overline{0}.\overline{8}1$	$\overline{0}$ .54
<b>CADM-CompG</b>	50	66.19	2.86	5.29	0.79	0.58
ImageNet 256x256						
BigGAN			7.03	7.29	0.87	0.27
<b>DCTrans</b>			36.51	8.24	0.36	0.67
VQ-VAE-2			31.11	17.38	0.36	0.57
<b>IDDPM</b>			12.26	5.42	0.70	0.62
CADM (No guidance)	$\Omega$	240.33	10.94	6.02	0.69	0.63
$\overline{CADM}$ -G	250	336.05	$\sqrt{4.58}$	$\bar{5.21}$	$\overline{0}.\overline{8}1$	$\overline{0}.\overline{51}$
<b>CADM-CompG</b>	50	259.25	4.52	5.29	0.82	0.51
Di <sub>T</sub> -CFG	$\overline{2}5\overline{0}$	$\overline{75.04}$	2.25	$\bar{4.56}$	$\overline{0}.\overline{8}2$	$\overline{0.58}$
DiT-CompCFG	22	42.20	2.19	4.74	0.82	0.60

<span id="page-13-0"></span>Table 10: *We show full results of the model compared to other models not related to guidance.*

**728 729 730 731 732 733 734 735 736 737**  $||\tilde{\mathbf{x}_0}^{(t_2)} - \mathbf{x}_0|| = \frac{1-\bar{\alpha}_{t_2}||\epsilon - \epsilon_{\theta}(\mathbf{x}_{t_2},t_2)||}{\bar{\alpha}_{t_2}}$  $\frac{-\epsilon_{\theta}(\mathbf{x}_{t_2}, t_2) ||}{\bar{\alpha}_{t_2}}$ . Since  $\epsilon_{\theta}(\mathbf{x}_{t_1}, t_1) \sim \epsilon_{\theta}(\mathbf{x}_{t_2}, t_2) \sim \epsilon$ ,  $||\epsilon - \epsilon_{\theta}(\mathbf{x}_{t_1}, t_1)|| \approx$  $||\epsilon - \epsilon_{\theta}(\mathbf{x}_{t_2}, t_2)|| \approx \Delta$ . This results in  $||\tilde{\mathbf{x}_0}^{(t_1)} - \mathbf{x}_0|| = \frac{1 - \bar{\alpha}_{t_1}}{\bar{\alpha}_{t_1}}$  $-\frac{\bar{\alpha}_{t_1}}{\bar{\alpha}_{t_1}}\Delta$  and  $||\tilde{\mathbf{x}_0}^{(t_2)} - \mathbf{x}_0|| = \frac{1 - \bar{\alpha}_{t_2}}{\bar{\alpha}_{t_2}}$  $\frac{-\alpha_{t_2}}{\bar{\alpha}_{t_2}}\Delta.$  $||\tilde{\mathbf{x}_0}^{(t_1)} - \mathbf{x}_0|| < ||\tilde{\mathbf{x}_0}^{(t_1)} - \mathbf{x}_0||$  since  $\frac{1 - \bar{\alpha}_{t_2}}{\bar{\alpha}_{t_2}} > \frac{1 - \bar{\alpha}_{t_1}}{\bar{\alpha}_{t_1}}$  $\frac{-\alpha_{t_1}}{\bar{\alpha}_{t_1}} \geq 0$ ,  $\forall t_2 > t_1$ . As a result, the sampling of  $\mathbf{x}_{t-1} \sim q(\mathbf{x}_{t-1}|\mathbf{x}_t, \tilde{\mathbf{x}}_0)$  from timesteps T to 0 would result in the minimization of  $||\tilde{\mathbf{x}_0}^{(t)} - \mathbf{x}_0||$ . Since  $q(\mathbf{x}_0)$  has the form of Gaussian, we can have the minimization of  $||\tilde{\mathbf{x}_0}^{(t)} - \mathbf{x}_0||$  would result in the minimization of  $||q(\tilde{\mathbf{x}}_0) - q(\mathbf{x}_0)|| = ||\frac{q(\tilde{\mathbf{x}}_0)q(\mathbf{x}_t|\tilde{\mathbf{x}}_0)}{q(\mathbf{x}_t)} - q(\mathbf{x}_0)||$  since  $\tilde{\mathbf{x}}_0 \sim p_\theta(\tilde{\mathbf{x}}_0|\mathbf{x}_t)$  with a deterministic forward of  $\mathbf{x}_t$  to  $\epsilon_\theta$ , we have  $q(\tilde{\mathbf{x}}_0) \approx \frac{q(\tilde{\mathbf{x}}_0)q(\mathbf{x}_t|\tilde{\mathbf{x}}_0)}{q(\mathbf{x}_t)} = p_\theta(\tilde{\mathbf{x}}_0|\mathbf{x}_t)$ .

**738 739** Assume we have two density function  $p(x)$  and  $q(x)$ . The KL divergence between these two has the form:

$$
\int_0^1 p(\mathbf{x}) \log \frac{p(\mathbf{x})}{q(\mathbf{x})} = \int_0^1 p(\mathbf{x}) \log (p(\mathbf{x})) - p(\mathbf{x}) \log (q(\mathbf{x})) d\mathbf{x}
$$
\n(16)

$$
= \int_0^1 p(\mathbf{x}) \log(p(\mathbf{x})) d\mathbf{x} - \int_0^1 p(\mathbf{x}) \log(p(\mathbf{x})) + p(\mathbf{x}) \log((\frac{p(\mathbf{x})}{q(\mathbf{x})} - 1) + 1) d\mathbf{x}
$$
\n(17)

$$
= \int_0^1 -p(\mathbf{x})\log((\frac{q(\mathbf{x})}{p(\mathbf{x})}-1)+1)d\mathbf{x}
$$
\n(18)

$$
= \int_0^1 -(q(\mathbf{x}) - p(\mathbf{x})) + (q(\mathbf{x}) - p(\mathbf{x}))^2 \left(\frac{1}{p(\mathbf{x})} - \frac{1}{q(\mathbf{x})}\right) d\mathbf{x}
$$
\n(19)

$$
\leq \int_0^1 (q(\mathbf{x}) - p(\mathbf{x}))^2 \left(\frac{1}{p(\mathbf{x})} - \frac{1}{q(\mathbf{x})}\right) d\mathbf{x} \tag{20}
$$

$$
\leq \int_0^1 (q(\mathbf{x}) - p(\mathbf{x}))^2 \left(\frac{1}{a} - \frac{1}{b}\right) d\mathbf{x} = \frac{b - a}{ab} ||p - q|| \tag{21}
$$

Thus  $D_{KL}(p(\mathbf{x})||q(\mathbf{x})) \leq \frac{b-a}{ab}||p-q||$ 

**756** Base on this bound we would have the minimization of  $||p_\theta(\tilde{\mathbf{x}}_0|\mathbf{x}_t) - q(\mathbf{x}_0)||$  is equivalent to the **757** minimization of  $D_{KL}(q(\mathbf{x}_0)||p_\theta(\tilde{\mathbf{x}}_0|\mathbf{x}_t)).$ □ **758**

### Proof of Theorem [2](#page-6-1)

*Proof.* Let  $k_1 < k_2$  and  $k_1, k_2 \in [1; +\infty]$ , with  $\frac{T}{|G|^k} i^k = T\left(\frac{i}{|G|}\right)^k$  and  $\frac{i}{|G|} < 1$ , we have:

 $\frac{i}{\sqrt{2}}$  $\frac{i}{|G|}\big)^{k_1} \geq \big(\frac{i}{|G|}\big)$  $\frac{\iota}{|G|})^{k_2}$ (22)

$$
\Leftrightarrow T(\frac{i}{|G|})^{k_1} \ge T(\frac{i}{|G|})^{k_2} \tag{23}
$$

$$
\Leftrightarrow \lfloor T(\frac{i}{|G|})^{k_1} \rfloor \ge \lfloor T(\frac{i}{|G|})^{k_2} \rfloor \tag{24}
$$

$$
\Leftrightarrow T - \lfloor T(\frac{i}{|G|})^{k_1} \rfloor \le T - \lfloor T(\frac{i}{|G|})^{k_2} \rfloor \tag{25}
$$

**772** As a result,  $G_i^{(k_1)} \le G_i^{(k_2)} \forall k_1, k_2 \ge 1$  and  $k_1 < k_2$ . With  $k_2 \to +\infty$ ,  $G_i^{(k_2)}$  is bounded by T. This **773** means that larger  $k$  values would result in the distribution of the timesteps toward the early stage of **774** the sampling process.  $\Box$ **775**

#### **776 777** Proof of Theorem [3](#page-6-2)

**778** *Proof.* Similar to previous proof we have  $G_i^{(k_1)} \le G_i^{(k_2)} \forall k_1, k_2 \ge 1$  and  $k_1 < k_2$ . This also mean **779** that  $G_i^{(k_1)} > G_i^{(1)}, \,\forall 0 \leq k_1 < 1$  and if  $k_1 \to 0$  then  $G_i^{(k_1)} \to 0$ , hence all the  $g_i \in G^{(k_1)_i}$  is bounded **780** by 0. As a result, by adjusting  $k$  toward 0, we would have the distribution of guidance steps toward **781** the later stage of the sampling process П **782**

### <span id="page-14-0"></span>E COMPG AND CLASSIFIER-FREE GUIDANCE

We start from the noise sampling equation of the classifier-free guidance as:

$$
\tilde{\epsilon} = (1+w)\epsilon_{\theta}(\mathbf{x}_t, c, t) - w\epsilon_{\theta}(\mathbf{x}_t, t) \tag{26}
$$

$$
= \epsilon_{\theta}(\mathbf{x}_t, c, t) + w(\epsilon_{\theta}(\mathbf{x}_t, c, t) - \epsilon_{\theta}(\mathbf{x}_t, t))
$$
\n(27)

$$
= \epsilon_{\theta}(\mathbf{x}_t, c, t) + wC \tag{28}
$$

We can clearly see that  $C$  stands for classification information as mentioned in [Dinh et al.](#page-10-12) [\(2023\)](#page-10-12). Replace the  $\tilde{\epsilon}$  to Eq[.10,](#page-3-1) we have:

$$
\mathbf{x}_{t-1} = \mathbf{x}_t - \underbrace{(\frac{\sqrt{\alpha_t} - 1}{\sqrt{\alpha_t}} \mathbf{x}_t + \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}\sqrt{\alpha_t}} \epsilon_\theta(\mathbf{x}_t, c, t) - \sigma_t \mathbf{z}) - \underbrace{\frac{\alpha_t - 1}{\sqrt{1 - \bar{\alpha}_t}} \mathbf{w} C}_{\text{Original denoising framework}}
$$
(29)

From this derivation, we can further apply the technique from CompG to the classification term in classifier-free guidance.

**798 799 800**

**801**

# F RELATED WORK

**802 803 804 805 806 807 808 809** Diffusion Generative Models (DGMs) [Ho et al.](#page-10-5) [\(2020\)](#page-10-5); [Song et al.](#page-11-2) [\(2020b\)](#page-11-2); [Vahdat et al.](#page-11-3) [\(2021\)](#page-11-3); [Song & Ermon](#page-11-4) [\(2020\)](#page-11-4) have recently become one of the most popular generative models in many tasks such as image editin[gKawar et al.](#page-10-13) [\(2023\)](#page-10-13); [Huang et al.](#page-10-14) [\(2024\)](#page-10-14), text-to-image sampling [Rom](#page-10-9)[bach et al.](#page-10-9) [\(2022\)](#page-10-9) or image generation. Guidance is often utilized to improve the performance of DGMs [Dhariwal & Nichol](#page-10-1) [\(2021\)](#page-10-1); [Ho & Salimans](#page-10-0) [\(2022\)](#page-10-0); [Bansal et al.](#page-10-2) [\(2023\)](#page-10-2); [Liu et al.](#page-10-3) [\(2023\)](#page-10-3); [Epstein et al.](#page-10-4) [\(2023\)](#page-10-4). Besides improving the performance, the guidance also offers a trade-off between image quality and diversity [], which helps users tune their sampling process up to their expectations. Although guidance is beneficial in many forms, it faces extremely serious drawbacks of running time. For classifier guidance, the running time is around 80% higher compared to the

**810 811 812 813** original diffusion model sampling time due to the evaluation of gradients at every sampling step. In contrast, classifier-free guidance requires the process to forward to the expensive diffusion model twice at every timestep.

**814 815**

<span id="page-15-3"></span>

Figure 8: *Gradient magnitude difference measured at two consecutive steps*

Previous works on improving the running time of DGMs involve the reduction of sampling steps [Song et al.](#page-11-5) [\(2020a\)](#page-11-5); [Zhang & Chen](#page-11-6) [\(2022\)](#page-11-6) and latent-based diffusion models [Rombach et al.](#page-10-9) [\(2022\)](#page-10-9); [Peebles & Xie](#page-10-7) [\(2023\)](#page-10-7). Recently, the research community has focused on distilling from a large number of timesteps to a smaller number of timesteps [Salimans & Ho](#page-10-15) [\(2022\)](#page-10-15); [Sauer et al.](#page-10-16) [\(2023\)](#page-10-16); [Li et al.](#page-10-17) [\(2024\)](#page-10-17) or reducing the architectures of diffusion models [Li et al.](#page-10-17) [\(2024\)](#page-10-17). However, most of these works mainly solve the problem of the expensive sampling of diffusion models. As far as we notice, none of the works have dealt with the exorbitant cost resulting from guidance.

# <span id="page-15-1"></span>G GRADIENT MAGNITUDE DIFFERENCE BETWEEN TWO CONSECUTIVE SAMPLING STEPS

In this section, we observe that the classification gradient will likely vary significantly in the early stage of the sampling process. We sample 32 images of ImageNet64 using ADM-G [\(Dhariwal](#page-10-1) [& Nichol](#page-10-1) [\(2021\)](#page-10-1)) with guidance classifier is the noise-aware trained classifier from ADM-G. The observation is shown in Fig [8.](#page-15-3)

### <span id="page-15-0"></span>H ADDITIONAL QUALITATIVE RESULTS

<span id="page-15-2"></span>

Figure 9: Trade-off: Running time versus performance. We measure the compact rate as  $\frac{T}{|G|}$ . In (a), IS decreases with increasing compact rate, while FID and Recall improve. However, when the rate exceeds 10, FID begins to rise. This suggests that increased diversity from more features initially enhances Recall and FID, but excessive diversity degrades image quality.

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<span id="page-16-0"></span>

	rable 11. All hyper-parameters required for reproducing the results.				
<b>MODEL</b>	<b>DATASET</b>	$\boldsymbol{k}$	$\boldsymbol{s}$	G	TIME-STEPS
TABLE <sub>2</sub>					
<b>ADM</b>	IMAGENET 64X64	1.0	0.0	$\mathbf{0}$	250
$ADM-G$	IMAGENET 64X64	1.0	4.0	250	250
ADM-COMPG	IMAGENET 64X64	1.0	4.0	50	250
<b>ADM</b>	IMAGENET 256X256	1.0	0.0	$\overline{0}$	250
$ADM-G$	IMAGENET 256X256	1.0	4.0	250	250
ADM-COMPG	IMAGENET 256X256	1.0	4.0	50	250
TABLE 3					
<b>CADM</b>	IMAGENET 64X64	1.0	0.0	$\overline{0}$	250
CADM-G	IMAGENET 64X64	1.0	0.5	250	250
CADM-COMPG	IMAGENET 64X64	1.0	2.0	50	250
CADM-CFG	IMAGENET 64X64	1.0	0.1	250	250
CADM-COMPCFG	IMAGENET 64X64	1.0	0.1	25	250
CADM	IMAGENET 128x128	0.9	0.0	$\theta$	250
CADM-G	IMAGENET 128X128	1.0	0.5	250	250
CADM-CFG	IMAGENET 128X128	1.0	0.5	250	250
CADM	IMAGENET 256X256	1.0	0.0	$\overline{0}$	250
CADM-G	IMAGENET 256X256	1.0	0.5	250	250
CADM-COMPG	IMAGENET 256X256	1.0	0.5	50	250
DIT-CFG	IMAGENET 256X256	1.0	1.5	250	250
DIT-COMPCFG	IMAGENET 256x256	1.0	1.5	22	250
TABLE 4					
GLIDE-G	MSCoco 64x64	1.0	0.0	250	250
<b>GLIDE-COMPG</b>	MSCoco 64x64	1.0	8.0	25	250
GLIDE-G	MSCoco 256x256	1.0	0.0	250	250
<b>GLIDE-COMPG</b>	MSCoco 256x256	1.0	5.5	35	250
TABLE 4					
<b>SDIFF-CFG</b>	MSCoco 64x64			250	250
<b>SDIFF-COMPCFG</b>	MSCoco 64x64	1.0 1.0	2.0 2.0	8	250

Table  $11<sup>i</sup>$  All hyper-parameters required for reproducing the results.





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 Figure 13: *Qualitiative comparison between ADM-G and ADM-CompG.The image generated by ADM-G and ADM-CompG are put side by side. On the left side is ADM-G and on the right side is ADM-CompG.*

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Under review as a conference paper at ICLR 2025

 Figure 14: *Images generated by DiT-CompCFG. From top to bottom classes goldfish, Welsh springer spaniel, Pembroke Welsh corgi, Cardigan Welsh corgi.*

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Figure 15: *Images generated by DiT-CompCFG. From top to bottom classes redfox, kitfox, Arctic fox, tabby cat.*

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