504 A IMPLEMENTATION DETAILS

505 A.1 DT-MEM NETWORK ARCHITECTURE

Table 3 summarizes the different model configurations used for evaluation. In this section, we describe these model configurations in detail. While Table 3 provides a summary, we will also provide additional information here. DT-Mem, PDT and HDT are all share the same transformer architectures. However, for task-adaptation, HDT utilizes a pre-trained 2.3M hyper-network, while DT-Mem introduces 147K LoRA parameters. To compare with MDT, we use the same parameter size as reported in Lee et al. (2022).

Model	Layers	Hidden size (d)	Heads	Params	Memory Size	Memory Module Params
HDT	4	512	8	13M	N.A.	N.A.
MDT-200M	10	1280	20	200M	N.A.	N.A.
DT-Mem	4	512	8	13M	559K	7M

Table 3: Detailed Model Sizes

512 A.2 HYPER-PARAMETERS

In this section, we will delve into the specifics of the model parameters. Understanding these

parameters is key to understanding the workings of the model. It is worth noting that the

source code for this model is publicly available at https://anonymous.4open.science/r/

516 DT-Mem-Submission277/README.md. This allows for a deeper understanding of the model's

⁵¹⁷ inner workings and may facilitate the replication of its results.

Hyperparameters	Value
K (length of context)	28
dropout rate	0.1
maximum epochs	1000
steps for each epoch	1000
optimizer learning rate	1e-4
weight decay	1e-4
gradient norm clip	1.
data points for each dataset	500,000
batch size	64
memory slots	1290
activation	GELU
optimizer	AdamW
scheduler	LambdaLR

Table 4: Hyperparameters for DT-Mem training

518 A.3 TRAINING AND FINE-TUNING ALGORITHM

In this section, we present the pre-training DT-Memin Appendix A.3 and fine-tuning DT-Mem with LoRA in Appendix 5.5

⁵²¹ We pre-train DT-Mem on multiple offline datasets. Each gradient update of the DT-Memmodel ⁵²² considers information from each training task.

⁵²³ We fine-tune the memory module to adapt to each downstream task. To achieve this, we fix the ⁵²⁴ pre-trained DT-Mem model parameters and add additional LoRA parameters for the memory module

⁵²⁵ feed-forward neural networks. The fine-tuning dataset is used to update these LoRA parameters only.

Algorithm 1 Pre-train DT-Mem

1: for T episodes do

- 2: for Task $\mathcal{T}_i \in T^{train}$ do
- 3: Sample trajectories $\tau = (s_0, a_0, r_0, \dots, s_H, a_H, r_H)$ from the dataset \mathcal{D}_i .
- 4: Split trajectories into different segments with length K and calculate return-to-go in the input sequence.
- 5: Given $\hat{\tau}_{t+1:t+K}$, compute the sequence embedding e_{seq} .
- 6: Update the memory module and retrieve the relative information as E_{out}
- 7: Given E_{out} , predict actions \tilde{a}_t , reward \tilde{r}_t , and return-to-go \tilde{R}_t .
- 8: Compute the loss according to Eqn. 1.
- 9: Update all module parameters.
- 10: **end for**
- 11: end for

Algorithm 2 Fine-tuning DT-Mem

Require: Fine-tuning dataset $\mathcal{T}^i \in T^{test}$ dataset \mathcal{D}^i for \mathcal{T}^i . Initialize LoRA parameters $\hat{B}^q, \hat{B}^k, \hat{B}^v, \hat{A}^q, \hat{A}^k, \hat{A}^v, B^q, A^q, B^k, A^k$.

- 2: Split trajectories into different segments with length K and calculate return-to-go in the input sequence.
- 3: Given $\hat{\tau}_{t+1:t+K}$, compute the sequence embedding e_{seq} .
- 4: Update memory module using $\hat{Q} = M(\hat{W}^q + \hat{B}^q \hat{A}^q), \ \hat{K} = M(\hat{W}^k + \hat{B}^k \hat{A}^k), \ \hat{V} = M(\hat{W}^v + \hat{B}^v \hat{A}^v), \ Q = M(W^q + B^q A^q), \ K = M(W^k + B^k A^k)$
- 5: Retrieve the relative information as E_{out}
- 6: Given E_{out} , predict actions \tilde{a}_t , reward \tilde{r}_t , and return-to-go \tilde{R}_t .
- 7: Compute the loss according to Eqn. 1.
- 8: Update LoRA parameters only.
- 9: end for

^{1:} for T steps do

Algorithm 3 Memory Operations

1: Step 0: Memory Module Initialization

- 2: Initialize memory as a random matrix M where each row $m_i \in \mathbb{R}^d$ and $i \in [0, N]$.
- 3:
- 4: Step 1: Input Sequence Organizing
- 5: Restructure input sequence into format $\langle \hat{r}_t, s_t, a_t \rangle$.
- 6: Define embedding functions $g_s(s) = e_s$, $g_a(a) = e_a$, $g_r(\hat{r}) = e_{\hat{r}}$.
- 7: Concatenate embeddings to form input sequence $E = [\cdots; e_{s_t}, e_{a_t}, e_{\hat{r}_t}; \cdots]$.
- 8:

9: Step 2: Content-based Address

10: Use attention to locate memory slot for new input.

- 11: Calculate position address $\boldsymbol{w} = \operatorname{softmax}\left(\frac{\boldsymbol{Q}\boldsymbol{K}^{\bar{T}}}{\sqrt{d}}\right)$.
- 12: Define $Q = MW^q$ and $K = EW^k$.

13:

- 14: for N Times memory operations do do
- 15: Step 3: Memory Update
- 16: Calculate erasing vector ϵ^e and adding vector ϵ^a .
- 17: Define $\hat{Q} = M\hat{W}^q$, $\hat{K} = E\hat{W}^k$, $\hat{V} = E\hat{W}^v$.
- 18: Compute writing strength $\beta = \operatorname{softmax}\left(\frac{\hat{Q}\hat{\kappa}^{T}}{\sqrt{d}}\right)$.
- 19: Calculate $\boldsymbol{\epsilon}^e = \boldsymbol{w} \odot (1 \beta)$.
- 20: Calculate $\boldsymbol{\epsilon}^a = (\boldsymbol{w} \odot \boldsymbol{\beta}) \boldsymbol{\hat{W}}^v \boldsymbol{x}$.
- 21: Update memory $M_n = M_{n-1} \odot (1 \epsilon^e) + \epsilon^a$.

22:

23: Step 4: Memory Retrieve

- 24: Retrieve information from memory for decision-making.
- 25: Compute read position vector using content-based address.
- 26: Retrieve memory $E_{out} = w \odot M_n$.
- 27: $E = E_{out}$
- 28: end for
- 29: output E for action decoder.



Figure 7: This graph shows the prediction accuracy during training. Each curve represents three runs with different random seeds. For better visualization, MDT-200M is displayed in a separate figure.

526 **B** ADDITIONAL EXPERIMENTS

527 B.1 EVALUATION PARAMETERS

To evaluate the performance of our model on Atari games, we randomly selected 16 different random seeds for evaluation. We chose the random seed by multiplying the number of runs by 100. For example, the random seed for run 6 is $6 \times 100 = 600$.

531 B.2 TRAINING EFFICIENCIES

To demonstrate training efficiency, we illustrate the model training curve in Figure 7. For the training curve, it is reasonable to report the prediction loss on the training dataset since we use a supervised loss. Here, the prediction accuracy consists of three parts: action prediction accuracy, reward prediction accuracy and return prediction accuracy. The y-axis shows the average value of these three predictions, and the x-axis is the relative walltime based on same computing resources.

537 B.3 THE ANALYSIS OF MEMORY SIZE

In this section, we investigate the impact of the memory module size on the performance of DT-Mem. We employ the Bayes optimization strategy to tune the parameters. It's worth noting that the memory



Figure 8: The parameter tuning results for the number of memory slots. The blue curve shows the like from left to right over the x axis and plots the running average y value.

size is calculated by multiplying the number of memory slots by the size of each slot, which is fixed 540 at 512 dimensions for the sake of evaluation simplicity. To expedite the hyper-parameter tuning 541 process, we present the evaluation results based on 100k training steps of the StarGunner game. We 542 assess various configurations of memory slots and calculate their corresponding average rewards 543 over 16 runs. Figure 8 reveals several key findings: (1)Increasing the size of memory slots leads to 544 a higher reward accumulation. Notably, there is a significant performance boost when the number 545 exceeds 1200. (2)In summary, when the number of memory slots exceeds 1800, the performance of 546 the system decreases. This decline occurs because there is a trade-off between the number of memory 547 slots and the training steps. With a larger number of memory slots, it becomes necessary to allocate 548 more training time. 549

550 B.4 ABLATION STUDY OF LORA ADAPTOR

	Meta-World ML45 Performances			Data size	Model	
	Train	Test (no-FT)	Test (FT)		Adap.	Per.
DT-Mem (hyper-net)	0.92 ± 0.01	0.23 ± 0.10	0.81 ± 0.15	30	5.7M	43.8%
DT-Mem	0.92 ± 0.00	0.20 ± 0.01	0.95 ± 0.10	10	147K	0.7%

Table 5: Ablation study results on Meta-World ML45 benchmarks. DT-Mem (hyper-net) denotes the variation of DT-Mem, which substitute LoRA adaptation module with hyper-networks. Adap. stands for adaptation parameters, and Per. stands for percentage of original model.

In this section, we conduct an ablation study of LoRA-based memory adaptor. We substitute LoRA adaptor with hyper-networks. Specifically, the parameters of the memory module are generated from hyper-networks. This approach is based on von Oswald et al. (2020), where hyper-networks take task-related information as input and generate the corresponding networks for the downstream MLP. We use the same approach and generate parameters that are conditioned on two types of inputs: the task embedding from the task encoder and the sequence embeddings from the Transformer module. To generate task embeddings, we adopt the same idea from PDT (Xu et al.) (2022), which demonstrates

To generate task embeddings, we adopt the same idea from PDT (Xu et al.) [2022), which demonstrates that a small part of trajectories can represent the task-related information. We further extend this idea to fully extract the task information. To achieve this goal, we use a Neural Networks (NNs) as a task encoder. Specifically, this task encoder is implemented as a transformer encoderlike structure Vaswani et al. (2017). We first formulate the first *i* steps of collected trajectories $\tau_{0:i} = (s_0, a_0, r_0, \cdots, s_i, a_i, r_i)$ as a task specific information. The task trajectory $\tau_{0:i}$ is treated as a sequence of inputs to the task encoder. The output of the task encoder is a task embedding $\epsilon_{task} \in \mathbb{R}^d$, where d is the dimension of the embedding.

Then, we concatenate the task embedding and sequence embedding $e = [e_{task}; e_{seq}]$ and input them to the hyper-networks. Specifically, we define the hyper-network as a function of $f_{\omega}(\cdot)$ parameterized by ω . The output $\Theta = f_{\omega}(e)$ is a set of parameters for the memory module.

According to the evaluation results in Table 5, the inclusion of a hyper-network in the DT-Memmodel 568 improves generalization without the need for fine-tuning. However, it is worth noting that the 569 hyper-network variant of DT-Mem(hyper-net) exhibits higher variance compared to DT-Mem. The 570 primary reason for this higher variance is the uncertainty arising from the task information. In each 571 run, different task-related sequences are collected, resulting in varying generated parameters for 572 the memory module. Regarding the task fine-tuning results, we observe that the LoRA module 573 outperforms other methods. This finding indicates that fine-tuning with LoRA enhances the model's 574 adaptability. We hypothesize that the size of the hyper-networks model plays a role in these results. 575 Fine-tuning a large model size (5.7M) with a small step-size (100k steps in our case) becomes 576 challenging. In an effort to improve hyper-networks fine-tuning performance, we increased the 577 fine-tuning dataset from 10k episodes to 30k episodes. These findings suggest that LoRA-based 578 fine-tuning demonstrates better data efficiency. 579

The motivations for using LoRA to fine-tune the model can be concluded in the following two reasons:

Hu et al. (2022) suggests that the LoRA method, in contrast to other adapters, maintains model quality 582 without introducing inference latency or shortening input sequence length. Furthermore, it facilitates 583 rapid task-switching in service deployments by sharing most model parameters. Parameter-efficient 584 fine-tuning (PEFT) refines a limited number of model parameters, preserving most of the pre-trained 585 LLM parameters, which reduces computational and storage demands (Hu et al., 2022). This approach 586 also addresses catastrophic forgetting [4] and has outperformed standard fine-tuning in low-data and 587 out-of-domain situations [5]. Besides, the results of full parameter fine-tuning vs. PEFT are shown in 588 Table 6 589

Game	PEFT	FFT-Single	FFT-All
Alien	127.4%	116.8%	113.9%
MsPacman	130.8%	122.8	77.1%
Pong	97.8%	93.7%	90.5%
SpaceInvaders	100.8%	86.8%	73.4%
StarGunner	158.3%	55.7%	40.6%

Table 6: Performance comparison of PEFT across various games

where PEFT stands for LoRA fine-tuning for all games together, FFT-single means full-parameter fine-tuning on a single game only, FFT-All stands for full-tine-tuning on all games together. Results are DQN-normalized score.

593 B.5 LORA HYPER-PARAMETERS TUNING

In this section, we explore the impact of LoRA hyper-parameters on the final fine-tuning results. 594 LoRA employs three hyper-parameters: rank, lora_dropout, and lora_alpha. The rank parameter, 595 denoted as m, determines the low-rank of adaptation matrices $B \in \mathbb{R}^{n \times m}$ and $A \in \mathbb{R}^{m \times d}$, as 596 described in Section 4.4. The lora_dropout refers to the dropout rate applied to the LoRA neural 597 networks, while lora_alpha controls the scaling factor of the LoRA outputs. Figure 9 presents the 598 fine-tuning results, with the last column (eval/rew_mean/StarGur) specifically showcasing the 599 fine-tuning results for the StarGunner game. To obtain the optimal set of parameters, we employ the 600 Bayesian optimization method for parameter tuning, which suggests various parameter combinations 601 that maximize the fine-tuning results. 602



Figure 9: LoRA hyper-parameters tuning results.

Parameter	Importance score	Correlation score
rank	0.486	-0.132
lora_dropout	0.285	-0.561
lora_alpha	0.229	0.550

Table 7: Analysis of LoRA hyper-parameters

⁶⁰³ We further analyze these parameters and present the findings in Table 7. To gain insights, we utilize ⁶⁰⁴ two widely used metrics in the MLOps platform Weights&Biases¹.

Regarding the **importance score**, we train a random forest model with the hyper-parameters as inputs and the metric as the target output. We report the feature importance values derived from the random forest. This hyper-parameter importance panel disentangles complex interactions among highly correlated hyper-parameters. It facilitates fine-tuning of hyper-parameter searches by highlighting the hyper-parameters that significantly impact the prediction of model performance.

The **correlation score** represents the linear correlation between each hyper-parameter and the chosen metric (in this case, val_loss). A high correlation indicates that when the hyper-parameter has a higher value, the metric also tends to have higher values, and vice versa. Correlation is a useful metric, but it does not capture second-order interactions between inputs and can be challenging to compare when inputs have widely different ranges.

As shown in Table 7, rank emerges as the most important hyper-parameter that requires careful tuning. The correlation score of rank is -0.132, indicating that a smaller rank number leads to better fine-tuning results. Based on our findings, a rank value of 4 yields the best outcome. Lora_dropout and lora_alpha exhibit similar importance scores, suggesting that these two parameters can be treated equally. The correlation score reveals that a smaller lora_dropout value and a larger lora_alpha value result in improved performance.

621 B.6 ABLATION STUDIES ON DIFFERENT INPUT SEQUENCE ORGANIZING CHOICES

We examine two distinct approaches to input organization. The first approach is adopted from the trajectory transformer as outlined in (Janner et al.) 2021), which organizes the inputs as $(s_1, \ldots, s_t, a_1, \ldots, a_t, r_1, \ldots, r_t)$, grouping states, actions, and rewards accordingly. The second approach is derived from the decision transformer as described in (Chen et al.) 2021), and is the method utilized in this study.

¹For better understanding, please refer to https://docs.wandb.ai/guides/app/features/panels/parameter-importance?_gl=1*4s7cuj*_

Game	Choice one	Choice two (Ours)
Alien	211.9	239.6
MsPacman	637.1	713.4
Pong	19.0	19.1
SpaceInvaders	165.7	171.2
StarGunner	620.7	709.3

Table 8: Ablation studies on different choices of organizing. Each value represents raw scores in Atari games.

From the table above, we observe minor differences between the two sets of inputs. However, the 627 variance in outcomes between the two methodologies is not significant. Therefore, in this paper, we 628 empirically adopt the second approach for our design. 629

	DT-Mem (Ave)	DT-Mem FT (Ave)	DT-20M (Ave)
10k	-	-	10.1%
20k	-	-	9.8%
30k	-	-	15.3%
40k	-	-	22.6%
50k	51.0%	127.4%	41.8%
100k	-	-	83.1%
200k	-	-	120.3%
500k	-	-	170.7%

B.7 ABLATION STUDIES WITH DT 630

Table 9: Comparison with DT in different fine-tuning datasets

As shown in Table 9, the left-most column represents the size of the dataset used for training. As 631 seen in the table above, the generalized agent DT-Mem outperforms when compared to training on 632 the DT-20M 50k datasets. Fine-tuning DT-Mem on 50k datasets yields better results than training 633 DT-20M on 200k datasets. 634

B.8 FULL FINE-TUNING VS. LORA

Full Fine-tuning (FFT) vs. LoRA: To assess whether the use of LoRA adversely affects 636

performance, we conducted experiments contrasting Full Fine-Tuning (FFT) of memory parameters 637 with LoRA. In this context, FFT-single refers to fine-tuning all parameters exclusively on a single 638

game, whereas FFT-All represents fine-tuning on the entire set of games simultaneously. Results are 639 DQN-normalized score. Based on above results, we conclude the following observations:

Game	PEFT	FFT-Single	FFT-All
Alien	127.4%	116.8%	113.9%
MsPacman	130.8%	122.8	77.1%
Pong	0%	0%	0%
SpaceInvaders	100.8%	86.8%	73.4%
StarGunner	158.3%	55.7%	40.6%

640

- LoRA appears to be the most consistently effective strategy across the games provided. - While 641

FFT-Single occasionally outperforms PEFT (like in Alien), **FFT-All** consistently trails 642 behind the other two. 643

The reason full fine-tuning is not comparable to PEFT comes from the following parts: 1. Fine-tuning 644

dataset size. Note that we only use 50k data in LoRA and full fine-tuning compares on 500k used in 645 MDT paper 2. The benefits of LoRA is: "This approach also addresses catastrophic forgetting and

646

has outperformed standard fine-tuning in low-data and out-of-domain situations' 647

648 B.9 ANALYZE OF INPUT MISLEADING

we conducted an experiment to assess the robustness of the proposed method against input distortion.

⁶⁵⁰ This involved adding Gaussian noise to the input frames of Atari games. Specifically, we set the

mean to 0 and experimented with various standard deviation values. The results are detailed in the table below:

	Alien	MsPacman	SpaceInvaders	StarGunner
MDT	3.8%	13.2%	8.6%	2.3%
DT-Mem	51.0%	69.3%	53.6%	62.2%
DT-Mem (std=0.5)	55.3%	67.6%	53.0%	57.8%
DT-Mem (std=1)	35.6%	56.1%	40.0%	34.6%
DT-Mem (std=2)	25.9%	35.6%	30.5%	21.1%

From the results above, we conclude that the proposed DT-Mem demonstrates greater robustness to
 noisy inputs compared to the MDT method. This is evident as the DT-Mem consistently outperforms
 MDT under various levels of Gaussian noise. Notably, the performance with a standard deviation of
 0.5 shows minimal difference compared to the no-noise scenario, illustrating DT-Mem's effectiveness

⁶⁵⁷ in mitigating the impact of varying input distortions.

658 C MEMORY MODULE VISUALIZATION

Figure 10 illustrates the visualization of the memory module. Since memory operations are trained in conjunction with the transformer module, we select a later training episode at random to mitigate uncertainties regarding operational parameters. Due to time constraints, we trained on only two games simultaneously. In the revised version of the paper, we intend to provide visualizations for all games. For clearer visualization, we opted for a memory module of a smaller size, containing 128 memory slots.

Let's first discuss how memory modules update within the same game. As observed in the figure, for 665 the Amidar game, the actively updated memory slots concentrate around rows 18, 84, and 117. This 666 pattern is consistent across episodes, albeit with reduced activity. Such a trend indicates that during 667 each training iteration, the transformer agent tends to overwrite the same memory slot contents. We 668 note a similar observation in the Assault game. Furthermore, we observe that the memory module's 669 activity diminishes in later episodes. For instance, in the Assault game, the active memory slot in 670 row 12 during episode 200k becomes less active by episode 201k. We hypothesize that as training 671 progresses, the accumulated knowledge becomes sufficiently robust for retrieval, reducing the need 672 673 for updates.

Moving on, when comparing the activity of memory slots across different games, there are intriguing overlaps. For instance, comparing Amidar 200k and Assault 200k reveals that memory slots around row 120 are active in both games. We surmise that this region retains cross-task knowledge shared between games. Additionally, the varying attention across other memory slots demonstrates how these slots assist the agent in decision-making across diverse games.

679 D LIMITATIONS AND SOCIETAL IMPACT

Limitations The first limitation of our work is the sample efficiency of memory fine-tuning. The 680 10% fine-tuning dataset is still sizeable, and we plan to explore more sample-efficient methods in the 681 future. We could, for instance, consider a setting with more tasks, each one with less data, so that the 682 inter-task generalization would be even more crucial to its performance. Additionally, this work does 683 not propose a control strategy for collecting data on a new task. For future work, we plan to investigate 684 online data collection methods, which include the design and learning of exploration strategies for an 685 efficient fine-tuning on new tasks. Finally, the approach has been intuitively motivated, but it would 686 687 be valuable to have a theoretical grounding that would show the structural limits of large models and how equipping them with a memory component overcomes them. 688

Societal Impact We do not foresee any significant societal impact resulting from our proposed method. The current algorithm is not designed to interact with humans or any realistic environment



Figure 10: This visualization represents the memory module. In the figure, each row is derived from the mean of a vector that signifies a memory slot. Each depiction calculates the variation between two write operations in a single episode for each memory slot. Lighter shades indicate memory slots that have been actively updated post-write operations. The encircled areas highlight the comparison of active memory slots across different episodes.

yet. If one chooses to extend our methods to such situations, caution should be exercised to ensure 691 692 that any safety and ethical concerns are appropriately addressed. As our work is categorized in the offline-RL domain, it is feasible to supplement its training with a dataset that aligns with human 693 intents and values. However, one must be wary that the way our architecture generalizes across tasks 694 is still not well understood, and as a consequence, we cannot guarantee the generalization of its 695 desirable features: performance, robustness, fairness, etc. By working towards methods that improve 696 the computational efficiency of large models, we contribute to increasing their access and reducing 697 their ecological impact. 698

E COMPARISON OF DT-MEM AND NEURAL EPISODIC CONTROL (NEC) IN WRITING AND READING MEMORY

701 MEMORY MECHANISM

- NEC: Utilizes a Differentiable Neural Dictionary (DND) for storing experiences with
 separate memories for each action, containing state representations (keys) and value function
 estimates (values).
- **DT-Mem:** Integrates an internal memory module within a transformer framework, focusing on storing, blending, and retrieving information for improving training efficiency and generalization.

708 WRITING TO MEMORY

- NEC: Continuously adds new experiences and rapidly updates value function estimates in memory.
- **DT-Mem:** Modifies or replaces existing information in the memory matrix using an attention mechanism to calculate correlations and update memory with the attended weight of the input sequence.

714 READING FROM MEMORY

- **NEC:** Implements context-based lookups in the DND to retrieve values, outputting a weighted sum based on the similarity between the current state and stored keys.
- **DT-Mem:** Employs content-based addressing for memory retrieval, using attention mechanisms to read from the updated memory and inform decision-making.

719 DISTINCTIVE FEATURES AND ADVANTAGES

- **NEC:** Designed for rapid assimilation and action upon new experiences with specialized and swift updates for each action.
- **DT-Mem:** Aims to enhance generalization across tasks and reduce catastrophic forgetting by integrating memory with the transformer's sequential data handling capabilities.