UWGAN: UNDERWATER GAN FOR REAL-WORLD UNDERWATER COLOR RESTORATION AND DEHAZING

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ABSTRACT

In real-world underwater environment, exploration of seabed resources, underwater archaeology, and underwater fishing rely on a variety of sensors, vision sensor is the most important one due to its high information content, non-intrusive, and passive nature. However, wavelength-dependent light attenuation and back-scattering result in color distortion and haze effect, which degrade the visibility of images. To address this problem, firstly, we proposed an unsupervised generative adversarial network (GAN) for generating realistic underwater images (color distortion and haze effect simulation) from in-air image and depth map pairs. Secondly, U-Net, which is trained efficiently using synthetic underwater dataset, is adopted for color restoration and de-hazing. Our model directly reconstructs underwater clear images using end-to-end autoencoder networks, while maintaining scene content structural similarity. The results obtained by our method were compared with existing methods qualitatively and quantitatively. Experimental results on open real-world underwater scenes, and the processing speed can reach up to 125FPS on images running on one NVIDIA 1060 GPU.

1 INTRODUCTION

In recent years, underwater vision plays an important role in a lot of different applications, such as autonomous underwater vehicles (AUVs), underwater fishing, underwater archaeology, intelligent underwater weapons, etc. Therefore, underwater image processing has received extensive attention and research due to the poor underwater imaging environment and image quality. The main reason is the scattering and attenuation of light, the forward scatter leads to low contrast of the image, and the backscattering results in haze effect. Besides, the underwater image usually has a blue or green hue due to different attenuation levels of light with different wavelengths.

So far many image enhancement algorithms have been proposed, such as white balance algorithm (Liu Y C, 1995), gray world algorithm (Rizzi A, 2002), histogram equalization (Pizer S M, 1987) and fusion algorithm (Ancuti C, 2012), however, these methods are not based on the physical model of underwater imaging, so it is challenging and ineffective to apply these algorithms to different underwater scenes directly.

A simplified underwater optical imaging model illustrated in Figure 1 is used to describe an underwater scene. I(x) is the observed intensity at pixel x, which consists of the scene radiance J(x) blended with the global Ambient light (B) according to the transmission map T(x). T(x) describes the percentage of the scene radiance captured by the camera and the radiance which is not scattered or absorbed, which means that a closer scene point has a larger value of T(x).

Many underwater image enhancement algorithms based on above imaging models have been proposed. For instance, He et al (He K, 2010) proposed a dark channel prior (Dark channel prior, DCP) dehazing algorithm based on many experiments. Chiang et al (Chiang J Y, 2011) apply DCP model on underwater image dehazing problem. These traditional methods have to derive the transmission map according to the imaging model and complex formulas, then the restored image is obtained according to the inverse imaging

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model and complex formulas, then the restored image is obtained according to the inverse imaging model. These traditional methods are not intelligent, it is very time-consuming to calculate the characteristics of the image.

In these years, the deep learning network developed rapidly, especially the convolutional neural network (CNN), which is used in image classification (Krizhevsky A, 2012), object detection (Redmon J, 2016), and motion recognition (Kuehne H, 2011), the performance is much better than traditional methods. However, the current research on underwater image enhancement using CNN is limited due to lack of underwater datasets. It is difficult to obtain the image without water in real-world underwater scene at the same position using same imaging parameters. In order to solve this problem, some model based on generative adversarial network (GAN (Goodfellow I, 2014)) are used to generating realistic underwater images. For instance, CycleGAN (Zhu J Y, 2017) generates realistic underwater images through style transfer. WaterGAN (Li J, 2017) takes the in-air image, depth map and noise vector as input, and then a camera model is applied to generate underwater style images. Based on our experimental results, the image generated by WaterGAN has color noise due to noise vector as well as no haze effect, and the camera model is not suitable for real underwater scenes.

Therefore, we proposed an improved unsupervised GAN to generate realistic underwater images from clear in-air images based on underwater imaging model, which can simulate underwater image formation process. Then, U-Net (Ronneberger O, 2015) is trained to learn the mapping function between underwater images and clear images through synthetic datasets. Finally, the performance of the proposed algorithm is validated on the real underwater datasets. The experimental results show that the proposed method can recover the underwater image while maintaining structural similarities. Apart from this, the effects of different loss functions in U-net are compared, the most suitable loss function for underwater image restoration is suggested based on the comparison (This part can be found in <u>APPENDIX</u>), which provides a new idea for underwater image enhancement.



Figure 1: The simplified underwater optical imaging model. The reflected light of the scene (J) propagates the distance of scene range to the camera (I) in water, and the scattered light generated by the suspended particles in the water reaches the camera (I). The irradiance perceived by the camera is suffered from two factors: light attenuation and light scattering.

2 OUR PROPOSED METHOD

To generate the realistic underwater images (color casts, low contrast and haze-like effects), we proposed an improved underwater generative adversarial network (UWGAN), which takes in-air RGB-D images and a sample set of underwater images of a specific survey site as input to train a generative network adversarially. These synthetic underwater images, which were used to train a restoration network based on U-Net (Ronneberger O, 2015) that can compensate for water property effects in a specific location in real-time.

2.1 Dataset

The in-air datasets we used are images of indoor scenes that has been labeled in the NYU Depth dataset V1 (Silberman N, 2011) and V2 (Silberman N, 2012), which contain a total of 3733 RGB images and corresponding depth maps. The underwater dataset contains real-world underwater images collected from marine organisms' farms (including scallops, sea cucumbers, sea urchins, etc.), which can be roughly divided into two categories, one contains near-field green hued images (RealA), and the other contains blue-green hued images of far-field scenes (RealB). We also use underwater open datasets (Li C, 2019) (RealC) as testing sets, where RealA contains 2069 underwater images, RealB contains 2173 underwater images, and RealC contains 890 underwater images. Several typical images of the datasets are shown in Figure 2.



Figure 2: Typical images of datasets. (a)-(b) are color images and depth maps of NYU-Depth datasets, (c) are sample images of RealA dataset, (d) are sample images of RealB dataset, (e) are sample images of RealC dataset.

2.2 NETWORK ARCHITECTURE

Firstly, underwater style images are generated based on underwater imaging model, whose parameters are estimated through adversarial learning using GAN, as shown in Figure 3. Based on the underwater imaging model, underwater images can be viewed as consisting of two parts, one is the direct attenuation image and the other is the back-scattering image. Real-world underwater images of different water types are input into the model, then synthetic underwater images of the corresponding style are obtained as output. Secondly, U-Net is used as a restoration network to enhance underwater images.

2.2.1 UWGAN FOR GENERATING REALISTIC UNDERWATER IMAGES

The underwater optical imaging process can be mathematically described using the underwater imaging model (Jerlov N G, 1976), which is widely accepted by recent underwater image enhancement approaches.

$$I(x) = D(x) + B(x)$$

where, I(x) is the light intensity of each pixel x. D(x) and B(x) respectively represent the intensity of each pixel x in the direct attenuation image and the back-scattering image. Based on this model, a standard GAN including a generator G and a discriminator D is trained to generated realistic underwater style images. The first item of underwater imaging model accounts for range-dependent attenuation of light, whose mathematical form is as follows:

$$D(x) = J(x)T(x)$$

$$T(x) = e^{-\beta(\lambda)d(x)}$$

where, J(x) is the input in-air image, or the initial irradiance that not propagating through the water, T(x) is the transmission map of the scene, and D(x) is the light reached camera sensor subjected to attenuation in the water. β is attenuation coefficient estimated by the network based on the wavelength of light, λ represents three color channels of RGB image, and d(x) is the range between the scene and the camera. The attenuation coefficient depends on water type and depth. We limit β to greater than 0, all depth maps and images are resized to 256x256 before feed into the network.

The direct attenuation image appears similar color style compared to real-world underwater images, but without haze effect. As shown in Figure 2, obvious haze effect can be observed on real underwater images. The deeper the scene, the more obvious the haze effect. Back scattering creates a characteristic haze effect in underwater images and is modeled by:

$$B(x) = A_{\infty}[1 - T'(x)]$$
$$A_{\infty} = AT(x)$$

where, A_{∞} is a constant parameter dependent on wavelength of light, A is the atmospheric ambient light estimated by the network, and B(x) represents the light scattered into the camera sensor, which creates haze effect in underwater image. In order to distinguish from direct attenuation item, the transmission map here is T'(x). For each image, the transmission map is estimated based on equation above using the depth d and the medium attenuation coefficient β . β is set by default to 1, which corresponds to moderate and homogenous haze. To make the back-scattering image appear similar hue of real-world underwater images, we multiply A by the T(x). Moreover, we initialize the atmospheric ambient light A to a certain gray value [220, 220, 220], so that we obtain back-scattering image of RGB channels respectively, each of the three outputs are concatenated together, and finally generate a back-scattered image with dimensions of 256x256x3. Finally, we add this image, B(x), to D(x) to get the final output I(x).



Figure 3: UnderwaterGAN architecture. UWGAN takes color image and its depth map as input, then it synthesizes underwater realistic images based on underwater optical imaging model by learning parameters through generative adversarial training.

2.2.2 UNDERWATER IMAGE RESTORATION BASED ON U-NET



Figure 4: Proposed U-net Architecture for underwater image restoration and enhancement.

U-Net is used for color restoration and haze removal of underwater images. A detailed description of U-Net architecture proposed in the paper is shown in Figure 4. Firstly, a degraded underwater RGB image is resized to 256x256 and then fed into the encoder part of U-net. In the encoder, the image is finally downsampled into a 32x32x256-dimensional latent vector through a series of convolution and max-pooling operations. In each

downsampling stage, 3x3 convolution with a stride of 1 followed by a rectified linear unit (ReLU) activation function are conducted twice, then a 2x2 max pooling with a stride of 2 is used. The number of feature maps are doubled at each stage. In the decoder part, upsampling is done from the latent high dimensional vector back to the original input size sequentially. After each upsampling operation, output tensor is concatenated to the corresponding symmetric layer in the encoder side, then followed by two consecutive convolution layers and a rectified linear activation layer. The number of feature maps is gradually reduced to three channels.

3 EXPERIMENTAL SETUP

The training settings of our proposed method are presented in details in this section. Our models are trained in the computer with the following configurations: Intel i7 HQ 8700 processor, 16GB RAM, NVIDIA TITAN X 12GB graphics card.

Firstly, UWGAN is trained to synthesize underwater-style images using the NYU-Depth Dataset, RealA and RealB datasets. Our model was trained for 30 epochs, using Adam optimizer with a learning rate of 0.0001, and the momentum term was set to 0.5. The batch size was set to 64, the input image size 480x640, and we resize the output image size to 256x256, which is to facilitate the following U-net restoration network training. Secondly, U-net is trained as an image restoration network using image pairs synthesized above. The batch size was set to 32 and the output image size is 256x256. The learning rate is set to 0.0001 according to Adam optimizer, our model is trained for 200 epochs.

4 RESULT AND DISCUSSION

In this section, we quantitatively and qualitatively compare our proposed method with several representative underwater image enhancement algorithms, including Unsupervised Color Correction Method (UCM) (Iqbal K, 2010), Histogram equalization (HE) (Hummel R, 1975), Multi-Scale Retinex with Color Restoration (MSRCR) (Rahman Z, 1996), Fusion (Ancuti C, 2012), Underwater Dark Channel Prior (UDCP) (Drews F, 2013), Image Blurriness and Light Absorption (IBLA) (Peng Y T, 2017), Underwater Color Correction using GAN (UGAN) (Fabbri C, 2018), WaterGAN-color-correction (WaterGAN) (Li J, 2017).

We employ a non-reference metric, UIQM (Panetta K, 2015), for the quantitative assessment of underwater image quality on RealA, RealB, and RealC datasets as no ground truth scenes are available as the reference for real-world underwater images. Besides, we employ three full-reference metrics, namely MSE, PSNR (Hore A, 2010), SSIM, for assessment image quality on synthetic datasets. To reasonably assess the time spent on various algorithms, we resize all images to 256x256, which provides a stable output for enhancements in later experiments.

Firstly, we compare the capabilities of different methods to improve the image visibility on the RealA, RealB, and RealC datasets. The qualitative comparison is shown in Figure 5 and 6. Most methods can improve the quality of the image with a slight haze effect to some extent. UCM, HE, and Fusion can enhance the brightness and contrast of the image, but are less uniform for color restoration and seem to be over-enhanced in some areas of the image. The results of MSRCR appear to have a suitable hue but lack sufficient saturation and contrast. UDCP and IBLA do not recover well for green-toned images, they make the image darker but enhance the contrast of the image. UGAN, WaterGAN can enhance the contrast of the image but share the problem of uneven color restoration as well as introduce some artifacts, which destroys the structural information of the image. The proposed method can restore the color of the image as well as a proper brightness and contrast.

Table 1 and Table 2 quantitively show the scores of sample images in Figure 5 and Figure 6 respectively. It can be seen that our proposed method has achieved a higher score. In addition, the average quantized scores evaluated on RealA, RealB, and RealC datasets are shown in Table 3. It can be seen that our proposed method achieves the best score in terms of color restoration. On all datasets, we can achieve higher scores on other metrics.



Figure 5: Qualitative comparisons for samples from the real-world underwater image dataset RealC. (a)-(j) represent the samples selected from RealC.

Table 1: Quantitative UIQM values of samples in Figure 5. The greater the UIQM values, the better the enhanced results, with blue representing the maximum and green representing the minimum.

Assessments	s Methods	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
	Input	5.475	4.995	4.171	3.523	4.554	4.842	4.868	4.459	4.195	4.619
	UČM	5.253	5.320	5.200	4.447	4.870	5.219	5.181	5.130	4.672	5.158
	HE	5.080	5.369	4.814	4.779	4.907	4.925	5.174	5.215	4.493	5.247
	MSRCR	4.047	4.636	5.229	4.135	4.516	4.528	4.465	4.259	4.022	4.684
IIIOM	Fusion	5.329	5.460	5.095	4.546	4.970	5.181	5.295	5.220	4.544	5.145
UIQM	UDCP	4.820	4.704	4.727	4.836	5.255	4.440	4.435	3.830	3.757	5.385
	IBLA	5.468	5.302	3.867	3.559	20.606	4.861	4.941	3.537	3.659	4.999
	UGAN	5.326	5.287	5.325	4.204	4.846	5.022	5.126	4.947	4.353	5.122
	WaterGAN	5.024	4.934	4.833	2.763	4.594	4.414	4.547	4.879	3.953	4.700
	Ours	5.602	5.387	5.379	4.219	4.820	5.327	4.868	5.110	3.922	5.018

UIQM is the non-reference assessment metric whose quantitative results depend largely on the value of scale factors. Context and structural information of the image is not considered in these kinds of non-reference evaluation metrics. Although some enhanced images can get higher score, the visual quality is poor, the reason is that the metric is calculated from the pixels. Therefore, we also employ three full-reference assessment metrics MSE, PSNR, and SSIM to evaluate the performance of different methods on synthetic datasets without training. The comparison results in Table 4 demonstrate that our proposed method achieves the best results in terms of MSE, PSNR, and SSIM.

For the processing speed performance of algorithms, the average testing time of different methods are compared on the computer with the same configuration: Intel i7-8750H CPU, 16GB RAM, and GTX1060

6G GPU, and the results are shown in Table 5. The method we proposed has the fastest processing speed compared to other methods. Moreover, the method proposed in this paper has the fewest parameters compared to other deep-learning-based methods. UGAN employs many convolution layers with 512 kernels, which causes that there are too many network parameters. WaterGAN employs multiple networks, resulting in slow processing speed.



Figure 6: Qualitative comparisons for samples from real-world underwater image dataset RealA and RealB. (a)-(j) represents the samples selected from RealA and RealB.

Table 2: Quantitative UIQM values of samples in Figure	6. The greater the UIQM values, the better the	ıe
enhanced results, with blue representing the maximum and	green representing the minimum.	

Assessments	Methods	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
	Input	4.865	4.316	4.923	4.516	3.854	4.837	3.740	4.320	4.819	3.468
	UCM	5.127	5.093	4.999	5.165	4.558	5.056	4.028	4.925	4.773	4.634
	HE	4.942	5.189	5.320	5.016	4.809	5.153	4.608	4.910	4.892	4.618
	MSRCR	4.747	4.700	4.096	4.908	4.426	4.039	3.906	4.067	3.606	4.318
UIOM	Fusion	5.280	5.131	5.063	5.184	4.485	5.030	4.023	4.811	4.961	4.557
UIQM	UDCP	5.389	5.256	4.932	4.868	4.731	5.406	4.722	5.180	4.962	5.131
	IBLA	5.158	4.796	4.560	4.626	3.978	4.858	3.873	4.494	3.965	4.139
	UGAN	5.249	5.185	5.040	4.800	4.832	5.026	4.561	5.601	4.934	4.675
	WaterGAN	5.003	4.537	4.756	4.636	4.212	4.524	3.801	4.323	4.846	4.223
	Ours	5.391	5.058	4.979	4.891	4.834	5.015	4.034	4.936	5.140	4.377

Table 5: Testing time and parameters of generator of different enhancement methods

	UCM	HE	MSRCR	Fusion	UDCP	IBLA	UGAN	WaterGAN	Ours
Testing time (s)	1.284	0.009	0.076	0.118	2.051	4.561	0.022	10.347	0.008
Params (M)	-	-	-	-	-	-	54.41	28.62	1.93

According to above analysis, among all competitive methods we tested, our proposed method performs a better appearance across all assessments, demonstrating its effectiveness and robustness. We also found that testing results on real-world datasets are not as good as on the synthetic datasets, which can be improved by adding more training data.

Table 3: Average quantitative UICM, UISM, UIConM and UIQM values on real-world underwater image datasets RealA, RealB and RealC. The greater the values, the better the enhanced results, with blue representing the maximum

Datasets	Assessments	Input	UCM	HE	MSRCR	Fusion	UDCP	IBLA	UGAN	WaterGAN	Ours
	UICM	-0.332	-0.059	0.003	-0.006	-0.127	-0.300	-0.233	-0.074	-0.079	0.006
DealA	UISM	7.151	7.092	7.194	6.934	7.000	7.073	7.148	7.045	6.820	7.096
RealA	UIConM	0.593	0.694	0.812	0.537	0.716	0.739	0.679	0.770	0.634	0.675
	UIQM	4.22	4.574	5.027	3.967	4.622	4.721	4.533	4.832	4.280	4.508
D 1D	UICM	-0.273	0.029	0.016	-0.006	-0.0350	-0.051	-0.193	-0.120	-0.151	0.091
	UISM	7.169	7.053	7.120	6.944	6.910	7.080	7.049	6.957	6.821	6.992
RealD	UIConM	0.506	0.730	0.772	0.654	0.737	0.837	0.703	0.804	0.643	0.708
	UIQM	3.920	4.695	4.864	4.387	4.675	5.080	4.590	4.927	4.309	4.598
	UICM	-0.223	-0.023	-0.010	0.006	-0.110	-0.085	-0.136	-0.089	-0.121	0.044
DealC	UISM	7.310	7.309	7.312	7.348	7.318	7.428	7.305	7.117	6.895	7.282
RealC	UIConM	0.674	0.740	0.743	0.493	0.764	0.964	1.207	0.810	0.824	0.780
	UIQM	4.561	4.803	4.816	3.932	4.891	5.636	6.469	4.996	4.979	4.942

Table 4: Quantitative results evaluation on synthetic dataset by full-reference metrics: MSE, PSNR, SSIM values. The smaller the MSE values, the greater the PSNR and SSIM values, the better the enhanced results, with blue representing the best results

Datasets	Assessments	Input	UCM	HE	MSRCR	Fusion	UDCP	IBLA	UGAN	WaterGAN	Ours
	MSE	0.042	0.029	0.045	0.059	0.027	0.072	0.058	0.026	0.014	0.002
Synthesis	PSNR	20.68	23.46	18.315	13.25	23.13	17.37	19.10	20.63	20.25	30.31
•	SSIM	0.869	0.944	0.845	0.580	0.933	0.847	0.832	0.779	0.842	0.966

5 CONCLUSION

Based on the underwater optical imaging formation model, a generative adversarial network for synthesizing realistic underwater-style images using NYU-Depth dataset is presented in this paper. Then, U-net with a plurality of combined loss function is used for underwater image restoration and enhancement. The method we proposed can correct color effectively and produce visually pleasing enhanced results, which demonstrate its effectiveness and robustness. An ablation study is conducted to demonstrate the effect of different loss functions on underwater image restoration and enhancement, which is helpful for future research on underwater image restoration based on deep learning algorithms.

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A Loss Functions

The most common loss function for image restoration is L2 error. However, which loss function is suitable for underwater image enhancement has not been studied. Inspired by a related article, the effect of different loss functions in U-net is studied in this paper. Table 6 shows the loss functions we used.

In mathematical formula, x is an index of pixels in region X, g(x) is pixel value in region X of the image reconstructed by U-net and r(x) is the pixel value of corresponding ground truth. \overline{x} is the central pixel value of region X. $\nabla g(x)$, $\nabla r(x)$ respectively represent the gradient of reconstructed images and clear images. After several experiments and observations of the best reconstruction results, we set α to 0.8 in this paper.

Table 6: Different loss functions for underwater image restoration. Including some basic loss functions and their combinations.

Name	Mathematical formula
The $L1$ loss error	$\mathcal{L}^{l1}(X) = \frac{1}{N} \sum_{x \in X} g(x) - r(x) $
The $L2$ loss error	$\mathcal{L}^{l2}(X) = \frac{1}{N} \sum_{x \in X} (g(x) - r(x))^2$
The SSIM loss error	$\mathcal{L}^{SSIM}(X) = \frac{1}{N} \sum_{x \in X} 1 - SSIM(x)$
The MSSSIM loss error	$\mathcal{L}^{MS-SSIM}(X) = 1 - MS_SSIM(\overline{x})$
The GDL error	$\mathcal{L}^{gdl}(X) = \frac{1}{N} \sum_{x \in X} \nabla g(x) - \nabla r(x) $
L1 + L2	$\mathcal{L}^{l_1 l_2}(X) = \alpha \cdot \mathcal{L}^{l_2} + (1 - \alpha) \cdot \mathcal{L}^{l_1}$
L1 + SSIM	$\mathcal{L}^{l1_SSIM}(X) = \alpha \cdot \mathcal{L}^{SSIM} + (1 - \alpha) \cdot \mathcal{L}^{l1}$
L1 + MSSSIM	$\mathcal{L}^{l1_MS-SSIM}(X) = \alpha \cdot \mathcal{L}^{MS-SSIM} + (1-\alpha) \cdot \mathcal{L}^{l1}$
L1 + GDL	$\mathcal{L}^{l1_gdl}(X) = \alpha \cdot \mathcal{L}^{gdl} + (1-\alpha) \cdot \mathcal{L}^{l1}$

B ABLATION STUDY

Ablation study is mainly to reveal the effects of different loss functions. We use different loss functions to train the network and test it on RealC, and synthetic datasets.



Figure 7: The visual quality of the sample image in RealC dataset with different loss functions. From (a) to (i) are respectively enhanced results of the loss function L1, L2, SSIM, MSSSIM, GDL, L1 + L2, L1 + SSIM, L1 + MSSIM, and L1 + GDL.

The sample image is selected from the RealC dataset, as shown in Figure 7, the enhanced results range from (a)~(i) are obtained with different loss functions, and images in the second row show the details in the red box area of the image. As can be seen from the results in the second row, (a), (b), (f) appear striped artifacts. (c), (d), (g) cause color unevenness. The details of (e) are natural but it lacks sufficient saturation. (h), (i) show proper enhanced results, the color in (h) is more vivid but with slightly striped artifacts.

The enhanced result using the L1 or L2 loss function appears stripe-like artifacts while the *SSIM* or *MSSSIM* loss function causes color unevenness. The enhanced result of the *GDL* loss function is natural but lacks sufficient saturation. We calculated the MSE, PSNR, and SSIM metrics on the synthetic dataset. The quantitative scores in Table 7 demonstrate that a combination of multiple loss functions can achieve better enhancement results.

Table 7: Quantitative results of different loss functions evaluation on synthetic dataset by full-reference metrics: MSE, PSNR, SSIM values.

Datasets	Assessments	Input	L1	L2	SSIM	MSSSIM	GDL	L1+L2	L1+SSIM	Ll+MSSSIM	L1+GDL
	MSE	0.0417	0.002	0.002	0.002	0.002	0.009	0.001	0.001	0.002	0.002
Synthesis	PSNR	20.68	29.91	28.72	29.99	28.27	25.21	32.97	32.82	30.31	30.81
-	SSIM	0.867	0.962	0.959	0.974	0.960	0.944	0.971	0.979	0.966	0.968