

# INCORPORATING BERT INTO NEURAL MACHINE TRANSLATION

**Anonymous authors**

Paper under double-blind review

## ABSTRACT

The recently proposed BERT (Devlin et al., 2019) has shown great power on a variety of natural language understanding tasks, such as text classification, reading comprehension, etc. However, how to effectively apply BERT to neural machine translation (NMT) lacks enough exploration. While BERT is more commonly used as fine-tuning instead of contextual embedding for downstream language understanding tasks, in NMT, our preliminary exploration of using BERT as contextual embedding is better than using for fine-tuning. This motivates us to think how to better leverage BERT for NMT along this direction. We propose a new algorithm named BERT-fused NMT, in which we first use BERT to extract representations for an input sequence, and then the representations are fused with each layer of the encoder and decoder of the NMT model through attention mechanisms. We conduct experiments on supervised (including sentence-level and document-level translations), semi-supervised and unsupervised machine translation, and achieve state-of-the-art results on seven benchmark datasets. Our code is available at an anonymous Github page <https://github.com/bert-nmt/bert-nmt>.

## 1 INTRODUCTION

Recently, pre-training techniques, like ELMo (Peters et al., 2018), GPT/GPT-2 (Radford et al., 2018; 2019), BERT (Devlin et al., 2019), cross-lingual language model (briefly, XLM) (Lample & Conneau, 2019), XLNet (Yang et al., 2019) and RoBERTa (Liu et al., 2019) have attracted more and more attention in machine learning and natural language processing communities. The models are first pre-trained on large amount of unlabeled data to capture rich representations of the input, and then applied to the downstream tasks by either providing context-aware embeddings of an input sequence (Peters et al., 2018), or initializing the parameters of the downstream model (Devlin et al., 2019) for fine-tuning. Such pre-training approaches lead to significant improvements on natural language understanding tasks. Among them, BERT is one of the most powerful techniques that inspires lots of variants like XLNet, XLM, RoBERTa and achieves state-of-the-art results for many language understanding tasks including reading comprehension, text classification, etc (Devlin et al., 2019).

Neural Machine Translation (NMT) aims to translate an input sequence from a source language to a target language. An NMT model usually consists of an encoder to map an input sequence to hidden representations, and a decoder to decode hidden representations to generate a sentence in the target language. Given that BERT has achieved great success in language understanding tasks, a question worthy studying is how to incorporate BERT to improve NMT. Due to the computation resource limitation, training a BERT model from scratch is unaffordable for many researchers. Thus, we focus on the setting of leveraging a pre-trained BERT model (instead of training a BERT model from scratch) for NMT.

Given that there is few work leveraging BERT for NMT, our first attempt is to try two previous strategies: (1) using BERT to initialize downstream models and then fine-tuning the models, and (2) using BERT as context-aware embeddings for downstream models. For the first strategy, following Devlin et al. (2019), we initialize the encoder of an NMT model with a pre-trained BERT model, and then finetune the NMT model on the downstream datasets. Unfortunately, we did not observe significant improvement. Using a pre-trained XLM (Lample & Conneau, 2019) model, a variant of BERT for machine translation, to warm up an NMT model is another choice. XLM has been verified to be helpful for WMT’16 Romanian-to-English translation. But when applied to a language

domain beyond the corpus for training XLM (such as IWSLT dataset (Cettolo et al., 2014), which is about spoken languages) or when large bilingual data is available for downstream tasks, no significant improvement is observed neither. For the second strategy, following the practice of (Peters et al., 2018), we use BERT to provide context-aware embeddings for the NMT model. We find that this strategy outperforms the first one (please refer to Section 3 for more details). This motivates us to go along this direction and design more effective algorithms.

We propose a new algorithm, *BERT-fused NMT*, in which we exploit the representation from BERT by feeding it into all layers rather than served as input embeddings only. We use the attention mechanism to adaptively control how each layer interacts with the representations, and deal with the case that BERT module and NMT module might use different word segmentation rules, resulting in different sequence (i.e., representation) lengths. Compared to standard NMT, in addition to BERT, there are two extra attention modules, the BERT-encoder attention and BERT-decoder attention. An input sequence is first transformed into representations processed by BERT. Then, by the BERT-encoder attention module, each NMT encoder layer interacts with the representations obtained from BERT and eventually outputs fused representations leveraging both BERT and the NMT encoder. The decoder works similarly and fuses BERT representations and NMT encoder representations.

We conduct 14 experiments on various NMT tasks to verify our approach, including supervised, semi-supervised and unsupervised settings. For supervised NMT, we work on five tasks of IWSLT datasets and two WMT datasets. Specifically, we achieve 36.11 BLEU score on IWSLT’14 German-to-English translation, setting a new record on this task. We also work on two document-level translations of IWSLT, and further boost the BLEU score of German-to-English translation to 36.69. On WMT’14 datasets, we achieve 30.75 BLEU score on English-to-German translation and 43.78 on English-to-French translation, significantly better over the baselines. For semi-supervised NMT, we boost BLEU scores of WMT’16 Romanian-to-English translation with back translation (Sennrich et al., 2016b), a classic semi-supervised algorithm, from 37.73 to 39.10, achieving the best result on this task. Finally, we verify our algorithm on unsupervised English $\leftrightarrow$ French and unsupervised English $\leftrightarrow$ Romanian translations and also achieve state-of-the-art results. The remaining part is organized as follows. Background and related work are introduced in Section 2. The preliminary exploration is reported in Section 3. Then we describe our proposed method in Section 4. The experiments of supervised, semi-supervised and unsupervised settings are reported in Section 5-7. Conclusions are given in the last section.

## 2 BACKGROUND AND RELATED WORK

We briefly introduce the background of NMT and review current pre-training techniques.

**NMT** aims to translate an input sentence from the source language to the target one. An NMT model usually consists of an encoder, a decoder and an attention module. The encoder maps the input sequence to hidden representations and the decoder maps the hidden representations to the target sequence. The attention module is first introduced by Bahdanau et al. (2015), which is used to better align source words and target words. The encoder and decoder can be specialized as LSTM (Wu et al., 2016), CNN (Gehring et al., 2017) and Transformer (Vaswani et al., 2017). A Transformer layer consists of three sub-layers, a self-attention layer that processes sequential data taking the context of each timestep into consideration, an optional encoder-decoder attention layer that bridges the input sequence and target sequence which exists in decoder only), and a feed-forward layer for non-linear transformation. Transformer achieves the state-of-the-art results for NMT (Barrault et al., 2019). In this work, we will use Transformer as the basic architecture of our model.

**Pre-training** has a long history in machine learning and natural language processing (Erhan et al., 2009; 2010). Mikolov et al. (2013) and Pennington et al. (2014) proposed to use distributional representations (i.e., word embeddings) for individual words. Dai & Le (2015) proposed to train a language model or an auto-encoder with unlabeled data and then leveraged the obtained model to finetune downstream tasks. Pre-training has attracted more and more attention in recent years and achieved great improvements when the data scale becomes large and deep neural networks are employed. ELMo was proposed in Peters et al. (2018) based on bidirectional LSTMs and its pre-trained models are fed into downstream tasks as context-aware inputs. In GPT (Radford et al., 2018), a Transformer based language model is pre-trained on unlabeled dataset and then finetuned on downstream tasks. BERT (Devlin et al., 2019) is one of the widely adopted pre-training approach

for model initialization. The architecture of BERT is the encoder of Transformer (Vaswani et al., 2017). Two kinds of objective functions are used in BERT training: (1) *Masked language modeling (MLM)*, where 15% words in a sentence are masked and BERT is trained to predict them with their surrounding words. (2) *Next sentence prediction (NSP)*: Another task of pre-training BERT is to predict whether two input sequences are adjacent. For this purpose, the training corpus consists of tuples (`[cls]`, `input 1`, `[sep]`, `input 2`, `[sep]`), with learnable special tokens `[cls]` to classify whether `input 1` and `input 2` are adjacent and `[sep]` to segment two sentences, and with probability 50%, the second input is replaced with a random input. Variants of BERT have been proposed: In RoBERTa (Liu et al., 2019), more unlabeled data is leveraged and *NSP* task is removed; In XLNet (Yang et al., 2019), a permutation based modeling is introduced; In XLM (Lample & Conneau, 2019), the model is pre-trained based on multiple languages.

### 3 A PRELIMINARY EXPLORATION

While a few pieces of work (Lample & Conneau, 2019; Song et al., 2019) design specific pre-training methods for NMT, they are time and resource consuming given that they need to pre-train large models from scratch using large-scale data, and even one model for each language pair. In this work, we focus on the setting of using a pre-trained BERT model<sup>1</sup>. Detailed model download links can be found in Appendix D.

Considering that pre-trained models have been utilized in two different ways for other natural language tasks, it is straightforward to try them for NMT. Following previous practice, we make the following attempts.

(I) Use pre-trained models to initialize the NMT model. There are different implementations for this approach. (1) Following (Devlin et al., 2019), we initialize the encoder of an NMT model with a pre-trained BERT. (2) Following (Lample & Conneau, 2019), we initialize the encoder and/or decoder of an NMT model with XLM.

(II) Use pre-trained models as inputs to the NMT model. Inspired from (Peters et al., 2018), we feed the outputs of the last layer of BERT to an NMT model as its inputs.

We conduct experiments on the IWSLT’14 English→German translation, a widely adopted dataset for machine translation consisting of 160k labeled sentence pairs. We choose Transformer (Vaswani et al., 2017) as the basic model architecture with `transformer_iwslt_de_en` configuration (a six-layer model with 36.7M parameters). The translation quality is evaluated by BLEU (Papineni et al., 2002) score; the larger, the better. Both BERT<sub>base</sub> and XLM models are pre-trained and we get them from the Web. More details about the experimental settings are included in Appendix A.2.

Table 1: Preliminary explorations on IWSLT’14 English→German translation.

Algorithm	BLEU score
Standard Transformer	28.57
Use BERT to initialize the encoder of NMT	27.14
Use XLM to initialize the encoder of NMT	28.22
Use XLM to initialize the decoder of NMT	26.13
Use XLM to initialize both the encoder and decoder of NMT	28.99
Leveraging the output of BERT as embeddings	29.67

The results are shown in Table 1. We have several observations: (1) Using BERT to initialize the encoder of NMT can only achieve 27.14 BLEU score, which is even worse than standard Transformer without using BERT. That is, simply using BERT to warm up an NMT model is not a good choice. (2) Using XLM to initialize the encoder or decoder respectively, we get 28.22 or 26.13 BLEU score, which does not outperform the baseline. If both modules are initialized with XLM, the BLEU score is boosted to 28.99, slightly outperforming the baseline. Although XLM achieved great success on WMT’16 Romanian-to-English, we get limited improvement here. Our conjecture is that the XLM model is pre-trained on news data, which is out-of-domain for IWSLT dataset mainly about

<sup>1</sup>pre-trained models from: <https://github.com/huggingface/pytorch-transformers>.

spoken languages and thus, leading to limited improvement. (3) When using the output of BERT as context-aware embeddings of the encoder, we achieve 29.67 BLEU, much better than using pre-trained models for initialization. This shows that leveraging BERT as a feature provider is more effective in NMT. This motivates us to take one step further and study how to fully exploit such features provided by pre-trained BERT models.

## 4 ALGORITHM

In this section, we first define the necessary notations, then introduce our proposed BERT-fused NMT and finally provide discussions with existing works.

### 4.1 NOTATIONS

Let  $\mathcal{X}$  and  $\mathcal{Y}$  denote the source language domain and target language domain respectively, which are the collections of sentences with corresponding languages. For any sentence  $x \in \mathcal{X}$  and  $y \in \mathcal{Y}$ , let  $l_x$  and  $l_y$  denote the number of units (e.g., word or wordpiece) in  $x$  and  $y$ . The  $i$ -th unit in  $x/y$  is denoted as  $x_i/y_i$ .

Denote the encoder, decoder and BERT as  $\text{ENC}$ ,  $\text{DEC}$  and  $\text{BERT}$  respectively. W.l.o.g., we assume both the encoder and decoder consists of  $L$  layers. Let  $\text{attn}(q, K, V)$  denote the attention model, where  $q$ ,  $K$  and  $V$  indicate query, key and value respectively. Here  $q$  is a  $d$ -dimensional vector ( $d \in \mathbb{Z}$ ),  $K$  and  $V$  are two sets with  $|K| = |V|$ . Each  $k_i \in K$  and  $v_i \in V$  are also  $d$ -dimension vectors,  $i \in [|K|]$ . The attention model works as follows:

$$\text{attn}(q, K, V) = \sum_{i=1}^{|V|} \alpha_i W_v v_i, \quad \alpha_i = \frac{\exp((W_q q)^T (W_k k_i))}{Z}, \quad Z = \sum_{i=1}^{|K|} \exp((W_q q)^T (W_k k_i)), \quad (1)$$

where  $W_q$ ,  $W_k$  and  $W_v$  are the parameters to be learned. In Vaswani et al. (2017),  $\text{attn}$  is implemented as a multi-head attention model and we omit the details here to increase readability. Following Vaswani et al. (2017), we define the non-linear transformation layer as

$$\text{FFN}(x) = W_2 \max(W_1 x + b_1, 0) + b_2, \quad (2)$$

where  $x$  is the input;  $W_1$ ,  $W_2$ ,  $b_1$ ,  $b_2$  are the parameters to be learned;  $\max$  is an element-wise operator. Layer normalization is also applied following Transformer (Vaswani et al., 2017).

### 4.2 BERT-FUSED NMT

An illustration of our algorithm is shown in Figure 1. Any input  $x \in \mathcal{X}$  is progressively processed by the BERT, encoder and decoder.

*Step-1:* Given any input  $x \in \mathcal{X}$ , BERT first encodes it into representation  $H_B = \text{BERT}(x)$ .  $H_B$  is the output of the last layer in BERT. The  $h_{B,i} \in H_B$  is the representation of the  $i$ -th wordpiece in  $x$ .

*Step-2:* Let  $H_E^l$  denote the hidden representation of  $l$ -th layer in the encoder with embedding layer  $H_E^0 = (x_1^0, x_2^0, \dots, x_{l_x}^0)$ , where  $x_i^0$  refers to the word embedding of the  $i$ -th unit in  $x$ . Denote the  $i$ -th element in  $H_E^l$  as  $h_i^l$  for any  $i \in [l_x]$ . In the  $l$ -th layer,  $l \in [L]$ ,

$$\tilde{h}_i^l = \frac{1}{2} (\text{attn}_S(h_i^{l-1}, H_E^{l-1}, H_E^{l-1}) + \text{attn}_B(h_i^{l-1}, H_B, H_B)), \quad \forall i \in [l_x], \quad (3)$$

where  $\text{attn}_S$  and  $\text{attn}_B$  are attention models (see Eqn.(1)) with different parameters. Then each  $\tilde{h}_i^l$  is further processed by  $\text{FFN}(\cdot)$  defined in Eqn.(2) and we get the output of the  $l$ -th layer:  $H_E^l = (\text{FFN}(\tilde{h}_1^l), \dots, \text{FFN}(\tilde{h}_{l_x}^l))$ . The encoder will eventually output  $H_E^L$  from the last layer.

*Step-3:* Let  $S_{<t}^l$  denote the hidden state of  $l$ -th layer in the decoder preceding time step  $t$ , i.e.,  $S_{<t}^l = (s_1^l, \dots, s_{t-1}^l)$ . Note  $s_1^0$  is a special token indicating the start of a sequence, and  $s_t^0$  is the embedding of the predicted word at time-step  $t - 1$ . At the  $l$ -th layer, we have

$$\begin{aligned} \hat{s}_t^l &= \text{attn}_S(s_t^{l-1}, S_{<t+1}^{l-1}, S_{<t+1}^{l-1}); \\ \tilde{s}_t^l &= \frac{1}{2} (\text{attn}_B(\hat{s}_t^l, H_B, H_B) + \text{attn}_E(\hat{s}_t^l, H_E^l, H_E^l)), \quad s_t^l = \text{FFN}(\tilde{s}_t^l). \end{aligned} \quad (4)$$

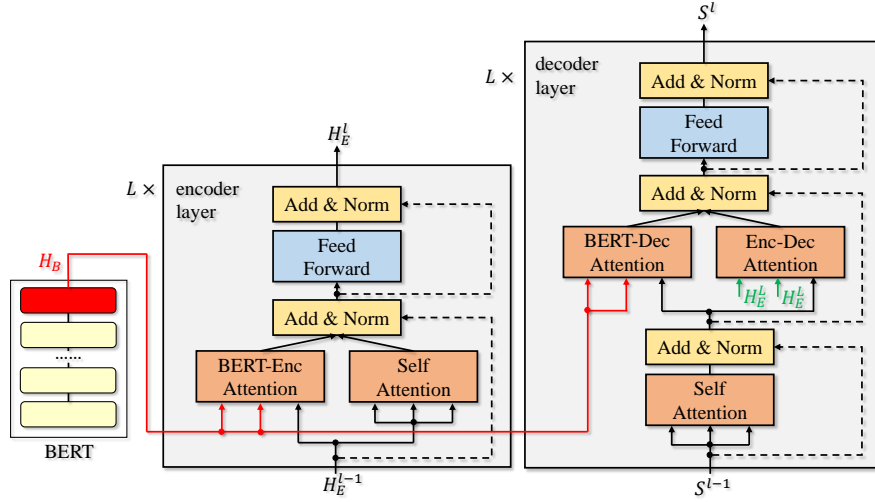


Figure 1: The algorithm of BERT-fused NMT. The left and right figures represent the BERT, encoder and decoder respectively. Dash lines denote residual connections.  $H_B$  (red part) and  $H_E^L$  (green part) denote the output of BERT and encoder.

The  $\text{attn}_S$ ,  $\text{attn}_B$  and  $\text{attn}_E$  represent self-attention model, BERT-decoder attention model and encoder-decoder attention model respectively. Eqn.(4) iterates over layers and we can eventually obtain  $s_t^L$ . Finally  $s_t^L$  is mapped via a linear transformation and softmax to get the  $t$ -th predicted word  $\hat{y}_t$ . The decoding process continues until meeting the special token indicates end of sentence.

### 4.3 DROP-NET TRICK

Inspired by dropout (Srivastava et al., 2014), which can regularize the network training, we propose a drop-net trick to ensure that the features output by BERT and the conventional encoder are fully utilized. The drop-net will effect Eqn.(3) and Eqn.(4). Denote the drop-net rate as  $p_{\text{net}} \in [0, 1]$ . We uniformly sample a random variable  $U$  from  $[0, 1]$ . Then, during training all the  $\tilde{h}_i^l$  in Eqn.(3), they are calculated in the following way:

$$\begin{aligned} \tilde{h}_{i,\text{drop-net}}^l &= \mathbb{I}(U < \frac{p_{\text{net}}}{2}) \cdot \text{attn}_S(h_i^{l-1}, H_E^{l-1}, H_E^{l-1}) + \mathbb{I}(U > 1 - \frac{p_{\text{net}}}{2}) \cdot \text{attn}_B(h_i^{l-1}, H_B, H_B) \\ &+ \frac{1}{2} \mathbb{I}(\frac{p_{\text{net}}}{2} \leq U \leq 1 - \frac{p_{\text{net}}}{2}) \cdot (\text{attn}_S(h_i^{l-1}, H_E^{l-1}, H_E^{l-1}) + \text{attn}_B(h_i^{l-1}, H_B, H_B)), \end{aligned} \quad (5)$$

where  $\mathbb{I}(\cdot)$  is the indicator function. In this way, with probability  $p_{\text{net}}/2$ , either the BERT-encoder attention or self-attention is used only; w.p.  $(1 - p_{\text{net}})$ , both the two attention models are used. During inference time, the expected output of each attention model is used, which is  $\mathbb{E}_{U \sim \text{uniform}[0,1]}(\tilde{h}_{i,\text{drop-net}}^l)$ . The expectation is exactly Eqn.(3).

Similarly, for training of the decoder, with the drop-net trick, we have

$$\begin{aligned} \hat{s}_{t,\text{drop-net}}^l &= \mathbb{I}(U < \frac{p_{\text{net}}}{2}) \cdot \text{attn}_B(\hat{s}_t^l, H_B, H_B) + \mathbb{I}(U > 1 - \frac{p_{\text{net}}}{2}) \cdot \text{attn}_E(\hat{s}_t^l, H_E^L, H_E^L) \\ &+ \frac{1}{2} \mathbb{I}(\frac{p_{\text{net}}}{2} \leq U \leq 1 - \frac{p_{\text{net}}}{2}) \cdot (\text{attn}_B(\hat{s}_t^l, H_B, H_B) + \text{attn}_E(\hat{s}_t^l, H_E^L, H_E^L)). \end{aligned} \quad (6)$$

For inference, it is calculated in the same way as Eqn.(4). Using this technique can prevent network from overfitting. Please refer to Section 6.2 for more details.

#### 4.4 DISCUSSION

We discuss the relationship between our proposed BERT-fused NMT and ELMo, and point out the limitations of our approach. In this section, for ease of reference, we call the encoder and decoder in our algorithm as NMT module.

**Comparison with ELMo** As introduced in Section 2, ELMo (Peters et al., 2018) provides a context-aware embeddings for the NMT module in order to capture richer information of the input sequence. Our approach is a more effective way of leveraging the features from the pre-trained model: (1) The output features of the pre-trained model are fused in all layers of the NMT module, ensuring the well-pre-trained features are fully exploited; (2) We use the attention model to bridge the NMT module and the pre-trained features of BERT, in which the NMT module can adaptively determine how to leverage the features from BERT.

**Limitations** We are aware that our approach has several limitations. (1) Additional storage cost: our approach leverages a BERT model, which results in additional storage cost. However, considering the BLEU improvement and the fact that we do not need additional training of BERT, we believe that the additional storage is acceptable. (2) Additional inference time: We use BERT to encode the input sequence, which takes about 45% additional time (see Appendix C for details). We will leave the improvement of the above two limitations as future work.

## 5 APPLICATION TO SUPERVISED NMT AND SEMI-SUPERVISED NMT

We first verify our BERT-fused NMT on the supervised setting, including low-resource and rich-resource scenarios. Then we conduct experiments on document-level translation to verify our approach. Finally, we combine BERT-fused NMT with back translation (Sennrich et al., 2016b) to verify the effectiveness of our method on semi-supervised NMT.

### 5.1 SETTINGS

**Dataset** For the low-resource scenario, we choose IWSLT’14 English $\leftrightarrow$ German (En $\leftrightarrow$ De), English $\rightarrow$ Spanish (En $\rightarrow$ Es), IWSLT’17 English $\rightarrow$ French (En $\rightarrow$ Fr) and English $\rightarrow$ Chinese (En $\rightarrow$ Zh) translation. There are 160k, 183k, 236k, 235k bilingual sentence pairs for En $\leftrightarrow$ De, En $\rightarrow$ Es, En $\rightarrow$ Fr and En $\rightarrow$ Zh tasks. Following the common practice (Edunov et al., 2018), for En $\leftrightarrow$ De, we lowercase all words. All sentences are preprocessed by BPE (Sennrich et al., 2016c). The model configuration is `transformer_iwslt_de_en`, representing a six-layer model with embedding size 512 and FFN layer dimension 1024. For the rich-resource scenario, we work on WMT’14 En $\rightarrow$ De and En $\rightarrow$ Fr, whose corpus sizes are 4.5M and 36M respectively. We concatenate newstest2012 and newstest2013 as the validation set and use newstest2014 as the test set. The model configuration is `transformer_big`, another six-layer network with embedding size 1024 and FFN layer dimension 4096. More details about data and model are left in Appendix A.1.

We choose BERT<sub>base</sub> for IWSLT tasks and BERT<sub>large</sub> for WMT tasks, which can ensure that the dimension of the BERT and NMT model almost match. The BERT models are fixed during training. The drop-net rate  $p_{net}$  is set as 1.0.

**Training Strategy** We first train an NMT model until convergence and then initialize BERT-fused NMT model with the obtained model. The BERT-encoder attention and BERT-decoder attention are randomly initialized. Experiments on IWSLT and WMT tasks are conducted on 1 and 8 M40 GPUs respectively. The batchsize is 4k tokens per GPU. Following (Ott et al., 2018), for WMT tasks, we accumulate the gradient for 16 iterations and then update to simulate a 128-GPU environment. It takes 1, 8 and 14 days to obtain the pre-trained NMT models, and additional 1, 7 and 10 days to finish the whole training process. The optimization algorithm is Adam (Kingma & Ba, 2014) with initial learning rate 0.0005 and `inverse_sqrt` learning rate scheduler (Vaswani et al., 2017). For WMT’14 En $\rightarrow$ De, we use beam search with width 4 and length penalty 0.6 for inference following (Vaswani et al., 2017). For other tasks, we use width 5 and length penalty 1.0.

**Evaluation** We use `multi-bleu.perl` to evaluate IWSLT’14 En $\leftrightarrow$ De and WMT translation tasks for fair comparison with previous work. For the remaining tasks, we use a more advance implementation of BLEU score, `sacreBLEU` for evaluation. Script urls are in Appendix A.1.

## 5.2 RESULTS

The results of IWSLT translation tasks are reported in Table 2. We implemented standard Transformer as baseline. Obviously, our proposed BERT-fused NMT can improve the BLEU scores of the five tasks by 1.88, 1.47, 2.4, 1.9 and 2.8 points respectively, demonstrating the effectiveness of our method. The consistent improvements on various tasks shows that our method works well for low-resource translations. We achieved state-of-the-art results on IWSLT’14 De→En translation, a widely investigated baseline in machine translation. The comparison with previous methods are shown in Appendix B.3 due to space limitation.

The results of WMT’14 En→De and En→Fr are shown in Table 3. Our reproduced Transformer matches the results reported in Ott et al. (2018), and we can see that our BERT-fused NMT can improve these two numbers to 30.75 and 43.78, achieving 1.63 and 0.82 points improvement. Our approach also outperforms the well-designed model DynamicConv (Wu et al., 2019) and a model obtained through neural architecture search (So et al., 2019).

Table 2: BLEU scores of IWSLT translation tasks.

	Transformer	BERT-fused
En→De	28.57	30.45
De→En	34.64	36.11
En→Es	39.0	41.4
En→Zh	26.3	28.2
En→Fr	35.9	38.7

Table 3: BLEU scores of WMT’14 translation.

Algorithm	En→De	En→Fr
DynamicConv (Wu et al., 2019)	29.7	43.2
Evolved Transformer (So et al., 2019)	29.8	41.3
Transformer + Large Batch (Ott et al., 2018)	29.3	43.0
Our Reproduced Transformer	29.12	42.96
Our BERT-fused NMT	30.75	43.78

## 5.3 TRANSLATION WITH DOCUMENT-LEVEL CONTEXTUAL INFORMATION

BERT is able to capture the relation between two sentences, since the *next sentence prediction (NSP)* task is to predict whether two sentences are adjacent. We can leverage this property to improve translation with document-level contextual information (Miculicich et al., 2018), which is briefly denoted as document-level translation. The inputs are a couple of sentences extracted from a paragraph/document,  $x_1^d, x_2^d, \dots, x_T^d$ , where the  $T$   $x$ ’s are contextually correlated. We want to translate them into target language by considering the contextual information.

**Algorithm** In our implementation, to translate a sentence  $x$  to target domain, we leverage the contextual information by taking both  $x$  and its preceding sentence  $x_{\text{prev}}$  as inputs.  $x$  is fed into ENC, which is the same as sentence-level translation. For the input of BERT, it is the concatenation of two sequences: (`[cls]`,  `$x_{\text{prev}}$` , `[sep]`,  `$x$` , `[sep]`), where both `[cls]` and `[sep]` are special tokens of BERT.

**Setting** We use IWSLT’14 En↔De dataset as introduced in Section 5.1. The data is a collection of TED talks, where each talk consists of several sequences. We can extract the adjacent sentences for training, validation and test sets. The training strategy, hyperparameter selection and evaluation metric are the same for sentence-level translation.

**Baselines** We use two baselines here. (1) To demonstrate how BERT works in our model, we replace BERT by a Transformer with configuration `transformer_iwslt_de_en`, which is randomly initialized and jointly trained. (2) Another baseline is proposed by Miculicich et al. (2018), where multiple preceding sentences in a document are leveraged using a hierarchical attention network.

Table 4: BLEU scores of IWSLT document-level translation.

	En→De	De→En
Sentence-level	28.57	34.64
Our Document-level	28.90	34.95
Miculicich et al. (2018)	27.94	33.97
Sentence-level + BERT	30.45	36.11
Document-level + BERT	31.02	36.69

**Results** The results are shown in Table 4. We can see that introducing contextual information from an additional encoder can boost the sentence-level baselines, but the improvement is limited (0.33 for En→De and 0.31 for De→En). For Miculicich et al. (2018), the best results we obtain are 27.94 and 33.97 respectively, which are worse than the sentence-level baselines. Combining BERT-fused NMT and document-level information, we can eventually achieve 31.02 for En→De and 36.69 for De→En. We perform significant test<sup>2</sup> between sentence-level and document-level translation. Our document-level BERT-fused NMT significantly outperforms sentence-level baseline with  $p$ -value less than 0.01. This shows that our approach not only works for sentence-level translation, but can also be generalized to document-level translation.

#### 5.4 APPLICATION TO SEMI-SUPERVISED NMT

We work on WMT’16 Romanian→English (Ro→En) translation to verify whether our approach can still make improvement over back translation (Sennrich et al., 2016b), the standard and powerful semi-supervised way to leverage monolingual data in NMT.

The number of bilingual sentence pairs for Ro→En is  $0.6M$ . Sennrich et al. (2016a) provided  $2M$  back translated data<sup>3</sup>. We use newsdev2016 as validation set and newstest2016 as test set. Sentences were encoded using BPE with a shared source-target vocabulary of about  $32k$  tokens. We use `transformer_big` configuration. Considering there is no Romanian BERT, we use the cased multilingual BERT (please refer to Appendix D) to encode inputs. The drop-net rate  $p_{net}$  is set as 1.0. The translation quality is evaluated by `multi-bleu.perl`.

The results are shown in Table 5. The Transformer baseline achieves 33.12 BLEU score. With back-translation, the performance is boosted to 37.73. We use the model obtained with back-translation to initialize BERT-fused NMT, and eventually reach 39.10 BLEU. Such a score surpasses the previous best result 38.5 achieved by XLM (Lample & Conneau, 2019) and sets a new record. This demonstrates that our proposed approach is effective and can still achieve improvement over strong baselines.

Table 5: BLEU scores of WMT’16 Ro→En.

Methods	BLEU
Sennrich et al. (2016a)	33.9
XLM (Lample & Conneau, 2019)	38.5
Standard Transformer	33.12
+ back translation	37.73
+ BERT-fused NMT	39.10

## 6 ABLATION STUDY

To further understand how our method works, we conduct two groups of ablation studies: One for the training strategy and network architecture for BERT-fused NMT; the other for the study of the drop-net trick. The experiments are mainly conducted on IWSLT’14 En→De translation.

### 6.1 STUDY FOR TRAINING STRATEGY AND NETWORK ARCHITECTURE

We conduct the following studies and the corresponding results can be found in Table 6:

**(I)** We train the NMT model from a randomly initialized model instead of using a warm-start model. The BLEU score is 27.03, which cannot catch up with the baseline. We also jointly train BERT model with the NMT module. Although it can also boost the baseline from 28.57 to 28.87, it is not as good as fixing the BERT part, whose BLEU is 30.45.

**(II)** We feed the output of BERT into all layers of the encoder without attention models. That is, the Eqn.(3) is revised to  $\tilde{h}_i^l = \frac{1}{2}(\text{attn}_S(h_i^{l-1}, H_E^{l-1}, H_E^{l-1}) + W_B^l h_i^{l-1})$ , where  $W_B^l$  is learnable. In this case, the encoder and BERT have to share the same vocabulary. The BLEU score is 29.61, which is better than the standard Transformer but slightly worse than leveraging the output of BERT as embedding. This shows that the output of BERT should not be fused into each layer directly, and using the attention model to bridge the relation is better than using simple transformation. More results on different languages are included in Appendix B.2.

<sup>2</sup><https://github.com/moses-smt/mosesdecoder/blob/master/scripts/analysis/bootstrap-hypothesis-difference-significance.pl>

<sup>3</sup>Data at [http://data.statmt.org/rsennrich/wmt16\\_backtranslations/ro-en/](http://data.statmt.org/rsennrich/wmt16_backtranslations/ro-en/).



Table 6: Ablation study on IWSLT’14 En→De.

Standard Transformer	28.57
BERT-fused NMT	30.45
Training NMT module from scratch	27.03
Jointly tune BERT and NMT	28.87
Feed BERT feature into all layers without attention	29.61
Remove BERT-encoder attention	29.87
Remove BERT-decoder attention	29.90
Stack attention (Junczys-Dowmunt & Grundkiewicz, 2018)	29.35
12-layer encoder	29.27
18-layer encoder	28.92

**(III)** We then verify whether each component in our BERT-fused NMT is essential. (1) We remove the BERT-encoder attention (i.e.,  $\text{attn}_B$  in Eqn.(3)) and remove the BERT-decoder attention (i.e.,  $\text{attn}_B$  in Eqn.(4)). The BLEU score drops from 30.45 to 29.87 and 29.90 respectively, which shows that the attention models are important for the better performance. (2) Junczys-Dowmunt & Grundkiewicz (2018) proposed a new way to handle multiple attention models. That is, in Eqn.(4), the three attention models work as  $\text{attn}_B \circ \text{attn}_E \circ \text{attn}_S$ , where  $\circ$  represents function cascade. The BLEU score is 29.35 for this setting, not as good as our proposed method.

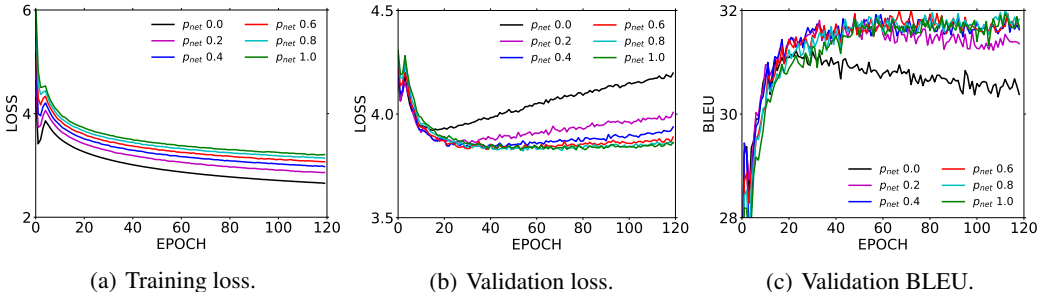
**(IV)** Considering the  $\text{Enc} \circ \text{BERT}$  architecture can be seen as a deeper model, we also train another two NMT models with deeper encoders, one with 18 layers (since  $\text{BERT}_{\text{base}}$  consists of 12 layers) and the other with 12 layers (which achieved best validation performance ranging from 6 to 18 layers). The BLEU scores are not as good as our proposed BERT-fused NMT. This shows that the improvement of BERT-fused NMT is not simply brought by using more layers.

The above analyses can clearly show how our approach works and demonstrate the effectiveness of each component in our architecture.

## 6.2 STUDY ON DROP-NET

To investigate the effect of drop-net, we conduct experiments on IWSLT’14 En→De dataset with different drop-net probability,  $p_{\text{net}} \in \{0, 0.2, 0.4, 0.6, 0.8, 1.0\}$ . The training loss, validation loss and validation BLEU are visualized in Figure 2.

According to Figure 2, as we increase  $p_{\text{net}}$ , the training loss becomes larger but the validation loss becomes smaller. This shows that the drop-net trick can indeed improve the generalization ability of the network. The BLEU score also increases w.r.t.  $p_{\text{net}}$ . Specially, when  $p_{\text{net}} = 1.0$ , during each training iteration, either  $\text{Enc}$  or BERT is leveraged while the other not. This can ensure that both the encoder and the BERT are utilized with a balanced training and contribution. We fix  $p_{\text{net}} = 1.0$  unless specially mentioned.

Figure 2: Training/validation curves with different  $p_{\text{net}}$ 's.

## 7 APPLICATION TO UNSUPERVISED NMT

We work on unsupervised En $\leftrightarrow$ Fr and En $\leftrightarrow$ Ro translation. The data processing, architecture selection and training strategy is the same as Lample & Conneau (2019).

### 7.1 SETTINGS

For En $\leftrightarrow$ Fr, we use 190M monolingual English sentences and 62M monolingual French sentences from WMT News Crawl datasets. For unsupervised En $\leftrightarrow$ Ro translation, we use 50M English sentences from News Crawl and collect 2.9M sentences for Romanian by concatenating News Crawl data sets and WMT’16 Romanian monolingual data following Lample et al. (2018). The data is preprocessed in the same way as Lample & Conneau (2019).

We use the same model configuration as Lample & Conneau (2019), with details in Appendix A.3. The BERT is the pre-trained XLM model (see Appendix D). We first train an unsupervised NMT model following Lample & Conneau (2019) until convergence. Then we initialize our BERT-fused NMT model with the obtained model and continue training. We train models on 8 M40 GPUs, and the batchsize is 2000 tokens per GPU. We use the same optimization hyper-parameters as that described in Lample & Conneau (2019).

### 7.2 RESULTS

Table 7: BLEU scores of unsupervised NMT.

	En $\rightarrow$ Fr	Fr $\rightarrow$ En	En $\rightarrow$ Ro	Ro $\rightarrow$ En
Lample et al. (2018)	27.6	27.7	25.1	23.9
XLM (Lample & Conneau, 2019)	33.4	33.3	33.3	31.8
XLM (Our reproduced on new data)	37.57	34.64	35.33	32.85
+ BERT-fused NMT	38.27	35.62	36.02	33.20

The results of unsupervised NMT are shown in Table 7. Our reproduced baseline numbers of the four translation tasks are 37.57, 34.64, 35.33 and 32.85 respectively, which are significant better than the results reported in Lample & Conneau (2019). This is because that we use better training data<sup>4</sup>. After combined with BERT-fused NMT, we can reach 38.27, 35.62, 36.02 and 33.20 BLEU scores on the four tasks, setting state-of-the-art results on these tasks. Therefore, our BERT-fused NMT also benefits unsupervised NMT.

## 8 CONCLUSION AND FUTURE WORK

In this work, we propose an effective approach, BERT-fused NMT, to combine BERT and NMT, where the BERT is leveraged by the encoder and decoder through attention models. Experiments on supervised NMT (including sentence-level and document-level translations), semi-supervised NMT and unsupervised NMT demonstrate the effectiveness of our method.

For future work, there are many interesting directions. First, we will study how to speed up inference time. Second, we can apply such an algorithm to more applications, like questioning and answering. Third, how to compress BERT-fused NMT into a light version is another interesting direction.

## REFERENCES

Dzmitry Bahdanau, Kyunghyun Cho, and Yoshua Bengio. Neural machine translation by jointly learning to align and translate. In *6th International Conference on Learning Representations*, 2015. URL <https://arxiv.org/pdf/1409.0473v7.pdf>.

<sup>4</sup>Data download from <https://modelrelease.blob.core.windows.net/mass/en-fr.tar.gz>, which is provided at <https://github.com/microsoft/MASS/issues/5>

- Loïc Barrault, Ondřej Bojar, Marta R. Costa-jussá, Christian Federmann, Mark Fishel, Yvette Graham, Barry Haddow, Matthias Huck, Philipp Koehn, Shervin Malmasi, Christof Monz, Mathias Müller, Santanu Pal, Matt Post, and Marcos Zampieri. Findings of the 2019 conference on machine translation (wmt19). In *Proceedings of the Fourth Conference on Machine Translation (Volume 2: Shared Task Papers, Day 1)*, pp. 1–61, Florence, Italy, August 2019. Association for Computational Linguistics. URL <http://www.aclweb.org/anthology/W19-5301>.
- Mauro Cettolo, Jan Niehues, Sebastian Stüker, Luisa Bentivogli, and Marcello Federico. Report on the iwslt 2014 evaluation campaign. 2014. URL [http://workshop2014.iwslt.org/downloads/IWSLT\\_Evaluation.pdf](http://workshop2014.iwslt.org/downloads/IWSLT_Evaluation.pdf).
- Andrew M Dai and Quoc V Le. Semi-supervised sequence learning. In *Advances in neural information processing systems*, pp. 3079–3087, 2015.
- Yuntian Deng, Yoon Kim, Justin Chiu, Demi Guo, and Alexander Rush. Latent alignment and variational attention. In *Advances in Neural Information Processing Systems*, pp. 9712–9724, 2018.
- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. *NAACL*, 2019. URL <https://arxiv.org/pdf/1810.04805.pdf>.
- Sergey Edunov, Myle Ott, Michael Auli, David Grangier, and Marcaurelio Ranzato. Classical structured prediction losses for sequence to sequence learning. *NAACL*, 2018.
- Dumitru Erhan, Pierre-Antoine Manzagol, Yoshua Bengio, Samy Bengio, and Pascal Vincent. The difficulty of training deep architectures and the effect of unsupervised pre-training. In *Artificial Intelligence and Statistics*, pp. 153–160, 2009.
- Dumitru Erhan, Yoshua Bengio, Aaron Courville, Pierre-Antoine Manzagol, Pascal Vincent, and Samy Bengio. Why does unsupervised pre-training help deep learning? *Journal of Machine Learning Research*, 11(Feb):625–660, 2010.
- Jonas Gehring, Michael Auli, David Grangier, Denis Yarats, and Yann N Dauphin. Convolutional sequence to sequence learning. In *Proceedings of the 34th International Conference on Machine Learning-Volume 70*, pp. 1243–1252. JMLR. org, 2017.
- Marcin Junczys-Dowmunt and Roman Grundkiewicz. Ms-uedin submission to the wmt2018 ape shared task: Dual-source transformer for automatic post-editing. *EMNLP 2018 THIRD CONFERENCE ON MACHINE TRANSLATION (WMT18)*, 2018.
- Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. *arXiv preprint arXiv:1412.6980*, 2014.
- Guillaume Lample and Alexis Conneau. Cross-lingual language model pretraining. *NeurIPS*, 2019.
- Guillaume Lample, Myle Ott, Alexis Conneau, Ludovic Denoyer, and Marc’Aurelio Ranzato. Phrase-based & neural unsupervised machine translation. *arXiv preprint arXiv:1804.07755*, 2018.
- Yinhan Liu, Myle Ott, Naman Goyal, Jingfei Du, Mandar Joshi, Danqi Chen, Omer Levy, Mike Lewis, Luke Zettlemoyer, and Veselin Stoyanov. Roberta: A robustly optimized bert pretraining approach. *arXiv preprint arXiv:1907.11692*, 2019.
- Lesly Miculicich, Dhananjay Ram, Nikolaos Pappas, and James Henderson. Document-level neural machine translation with hierarchical attention networks. *arXiv preprint arXiv:1809.01576*, 2018.
- Tomas Mikolov, Ilya Sutskever, Kai Chen, Greg S Corrado, and Jeff Dean. Distributed representations of words and phrases and their compositionality. In *Advances in neural information processing systems*, pp. 3111–3119, 2013.
- Myle Ott, Sergey Edunov, David Grangier, and Michael Auli. Scaling neural machine translation. *EMNLP 2018 third conference on machine translation (WMT18)*, 2018.

- Kishore Papineni, Salim Roukos, Todd Ward, and Weijing Zhu. Bleu: a method for automatic evaluation of machine translation. pp. 311–318, 2002.
- Jeffrey Pennington, Richard Socher, and Christopher Manning. Glove: Global vectors for word representation. In *Proceedings of the 2014 conference on empirical methods in natural language processing (EMNLP)*, pp. 1532–1543, 2014.
- Matthew E Peters, Mark Neumann, Mohit Iyyer, Matt Gardner, Christopher Clark, Kenton Lee, and Luke Zettlemoyer. Deep contextualized word representations. *arXiv preprint arXiv:1802.05365*, 2018.
- Alec Radford, Karthik Narasimhan, Tim Salimans, and Ilya Sutskever. Improving language understanding by generative pre-training. URL [https://s3-us-west-2.amazonaws.com/openai-assets/research-covers/languageunsupervised/language\\_understanding\\_paper.pdf](https://s3-us-west-2.amazonaws.com/openai-assets/research-covers/languageunsupervised/language_understanding_paper.pdf), 2018.
- Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever. Language models are unsupervised multitask learners. *OpenAI Blog*, 1(8), 2019.
- Rico Sennrich, Barry Haddow, and Alexandra Birch. Edinburgh neural machine translation systems for wmt 16. In *Proceedings of the First Conference on Machine Translation*, volume 2, pp. 371–376, 2016a. URL <http://www.statmt.org/wmt16/pdf/W16-2323.pdf>.
- Rico Sennrich, Barry Haddow, and Alexandra Birch. Improving neural machine translation models with monolingual data. *ACL*, 2016b. URL <https://aclweb.org/anthology/P16-1009>.
- Rico Sennrich, Barry Haddow, and Alexandra Birch. Neural machine translation of rare words with subword units. *ACL*, 2016c.
- David So, Quoc Le, and Chen Liang. The evolved transformer. In Kamalika Chaudhuri and Ruslan Salakhutdinov (eds.), *Proceedings of the 36th International Conference on Machine Learning*, volume 97 of *Proceedings of Machine Learning Research*, pp. 5877–5886, Long Beach, California, USA, 09–15 Jun 2019. PMLR. URL <http://proceedings.mlr.press/v97/so19a.html>.
- Kaitao Song, Xu Tan, Tao Qin, Jianfeng Lu, and Tie-Yan Liu. MASS: Masked sequence to sequence pre-training for language generation. In Kamalika Chaudhuri and Ruslan Salakhutdinov (eds.), *Proceedings of the 36th International Conference on Machine Learning*, volume 97 of *Proceedings of Machine Learning Research*, pp. 5926–5936, Long Beach, California, USA, 09–15 Jun 2019. PMLR. URL <http://proceedings.mlr.press/v97/song19d.html>.
- Nitish Srivastava, Geoffrey Hinton, Alex Krizhevsky, Ilya Sutskever, and Ruslan Salakhutdinov. Dropout: a simple way to prevent neural networks from overfitting. *The journal of machine learning research*, 15(1):1929–1958, 2014.
- Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. In *Advances in neural information processing systems*, pp. 5998–6008, 2017.
- Yiren Wang, Yingce Xia, Tianyu He, Fei Tian, Tao Qin, ChengXiang Zhai, and Tie-Yan Liu. Multi-agent dual learning. 2018.
- Dirk Weissenborn, Douwe Kiela, Jason Weston, and Kyunghyun Cho. Contextualized role interaction for neural machine translation. 2018.
- Felix Wu, Angela Fan, Alexei Baevski, Yann Dauphin, and Michael Auli. Pay less attention with lightweight and dynamic convolutions. In *International Conference on Learning Representations*, 2019. URL <https://openreview.net/forum?id=SkVh1h09tX>.
- Lijun Wu, Fei Tian, Yingce Xia, Yang Fan, Tao Qin, Lai Jian-Huang, and Tie-Yan Liu. Learning to teach with dynamic loss functions. In *Advances in Neural Information Processing Systems*, pp. 6466–6477, 2018.

Yonghui Wu, Mike Schuster, Zhifeng Chen, Quoc V Le, Mohammad Norouzi, Wolfgang Macherey, Maxim Krikun, Yuan Cao, Qin Gao, Klaus Macherey, et al. Google’s neural machine translation system: Bridging the gap between human and machine translation. *arXiv preprint arXiv:1609.08144*, 2016.

Yingce Xia, Tianyu He, Xu Tan, Fei Tian, Di He, and Tao Qin. Tied transformers: Neural machine translation with shared encoder and decoder. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 33, pp. 5466–5473, 2019.

Zhilin Yang, Zihang Dai, Yiming Yang, Jaime Carbonell, Ruslan Salakhutdinov, and Quoc V Le. Xlnet: Generalized autoregressive pretraining for language understanding. *arXiv preprint arXiv:1906.08237*, 2019.

## A EXPERIMENT SETUP

### A.1 IWSLT'14 & WMT'14 SETTINGS

We mainly follow the scripts below to preprocess the data: <https://github.com/pytorch/fairseq/tree/master/examples/translation>

**Dataset** For the low-resource scenario, we choose IWSLT'14 English↔German (En↔De), English→Spanish (En→Es), IWSLT'17 English→French (En→Fr) and English→Chinese (En→Zh) translation. There are 160k, 183k, 236k, 235k bilingual sentence pairs for En↔De, En→Es, En→Fr and En→Zh tasks. Following the common practice (Edunov et al., 2018), for En↔De, we lowercase all words, split 7k sentence pairs from the training dataset for validation and concatenate *dev2010*, *dev2012*, *tst2010*, *tst2011*, *tst2012* as the test set. For other tasks, we do not lowercase the words and use the official validation/test sets of the corresponding years.

For rich-resource scenario, we work on WMT'14 En→De and En→Fr, whose corpus sizes are 4.5M and 36M respectively. We concatenate newstest2012 and newstest2013 as the validation set and use newstest2014 as the test set.

We apply BPE (Sennrich et al., 2016c) to split words into sub-units. The numbers of BPE merge operation for IWSLT tasks, WMT'14 En→De and En→Fr are 10k, 32k and 40k respectively. We merge the source and target language sentences for all tasks to build the vocabulary except En→Zh.

**Model Configuration** For IWSLT tasks, we use the `transformer.iwslt_de_en` setting with dropout ratio 0.3. In this setting, the embedding dimension, FFN layer dimension and number of layers are 512, 1024 and 6. For WMT'14 En→De and En→Fr, we use `transformer.big` setting (short for `transformer.vaswani_wmt_en_de_big`) with dropout 0.3 and 0.1 respectively. In this setting, the aforementioned three parameters are 1024, 4096 and 6 respectively.

**Evaluation** We use `multi-bleu.perl`<sup>5</sup> to evaluate IWSLT'14 En↔De and WMT translation tasks for fair comparison with previous work. For the remaining tasks, we use a more advance implementation of BLEU score, `detokenized sacreBLEU` for evaluation<sup>6</sup>.

### A.2 DETAILED EXPERIMENT SETTING IN SECTION 3

The IWSLT'14 English-to-German data and model configuration is introduced in Section A.1.

For the training strategy, we use Adam (Kingma & Ba, 2014) to optimize the network with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.98$  and weight-decay = 0.0001. The learning rate scheduler is `inverse_sqrt`, where `warmup-init-lr = 10-7`, `warmup-updates = 4000` and `max-lr = 0.0005`.

### A.3 DETAILED MODEL CONFIGURATION IN UNSUPERVISED NMT

We leverage one Transformer model with GELU activation function to work on translations of two directions, where each language is associated with a language tag. The embedding dimension, FFN layer dimension and number of layer are 1024, 4096 and 6. The BERT is initialized by the pre-trained XLM model provided by (Lample & Conneau, 2019).

## B MORE EXPERIMENT RESULTS

### B.1 MORE RESULTS ON PRELIMINARY EXPLORATION OF LEVERAGING BERT

We use XLM to initialize the model for WMT'14 English→German translation task, whose training corpus is relative large. We eventually obtain 28.09 after 90 epochs, which is still underperform the baseline, 29.12 as we got. Similar problem is also reported in <https://github.com/facebookresearch/XLM/issues/32>. We leave the improvement of supervised NMT with XLM as future work.

<sup>5</sup><https://github.com/moses-smt/mosesdecoder/blob/master/scripts/generic/multi-bleu.perl>

<sup>6</sup><https://github.com/mjpost/sacreBLEU>.

## B.2 MORE RESULTS ON FEEDING BERT OUTPUT TO NMT MODULE

The ablation study on more languages on shown in Table 8. Our method achieves the best results compared to all baselines.

Table 8: BLEU scores of IWSLT translation tasks.

Algorithm	En→De	De→En	En→Es	En→Zh	En→Fr
Standard Transformer	28.57	34.64	39.0	26.3	35.9
Feed BERT feature into embedding	29.67	34.90	39.5	28.1	37.3
Feed BERT feature into all layers of encoder	29.61	34.84	39.9	28.1	37.4
Our BERT-fused NMT	30.45	36.11	41.4	28.2	38.7

## B.3 MORE BASELINES OF IWSLT’ 14 DE→EN TRANSLATION

We summarize the BLEU scores on IWSLT’ 14 De→En of existed works and our BERT-fused NMT approach in Table 9.

Table 9: Previous results of IWSLT’ 14 De→En.

Approach	BLEU
Multi-agent dual learning (Wang et al., 2018)	35.56
Tied-Transformer (Xia et al., 2019)	35.52
Loss to teach (Wu et al., 2018)	34.80
Role-interactive layer (Weissenborn et al., 2018)	34.74
Variational attention (Deng et al., 2018)	33.68
Our BERT-fused NMT	36.11

## C COMPARISON OF INFERENCE TIME

Table 10: Comparisons on inference time (seconds), ‘+’ is the increased ratio of inference time.

Dataset	Transformer	Ours	(+)
IWSLT’ 14 En→De	70	97	38.6%
IWSLT’ 14 De→En	69	103	49.3%
WMT’ 14 En→De	67	99	47.8%
WMT’ 14 En→Fr	89	128	43.8%

We compare the inference time of our approach to the baselines. The results are shown in Table 10, where from the second column to the last column, the numbers are the inference time of standard Transformer, BERT-fused NMT, and the increase of inference time.

Indeed, introducing BERT to encode the input brings additional inference time, resulting in about 40% to 49% increase. But considering the significant improvement of BLEU score, it is acceptable of such extra cost. We will study how to reduce inference time in the future.

## D DOWNLOAD LINK OF PRE-TRAINED MODELS

We leverage the pre-trained models provided by PyTorch-Transformers<sup>7</sup>, and the download links are summarized as following.

<sup>7</sup><https://github.com/huggingface/pytorch-transformers>

- **bert-base-uncased:** <https://s3.amazonaws.com/models.huggingface.co/bert/bert-base-uncased.tar.gz>.
- **bert-large-uncased:** <https://s3.amazonaws.com/models.huggingface.co/bert/bert-large-uncased.tar.gz>.
- **bert-base-multilingual-cased:** <https://s3.amazonaws.com/models.huggingface.co/bert/bert-base-multilingual-cased.tar.gz>.
- **bert-base-german-cased:** <https://int-deepset-models-bert.s3.eu-central-1.amazonaws.com/pytorch/bert-base-german-cased.tar.gz>.
- **xlm-mlm-enfr1024:** [https://s3.amazonaws.com/models.huggingface.co/bert/xlm-mlm-enfr-1024-pytorch\\_model.bin](https://s3.amazonaws.com/models.huggingface.co/bert/xlm-mlm-enfr-1024-pytorch_model.bin).
- **xlm-mlm-enro1024:** [https://s3.amazonaws.com/models.huggingface.co/bert/xlm-mlm-enro-1024-pytorch\\_model.bin](https://s3.amazonaws.com/models.huggingface.co/bert/xlm-mlm-enro-1024-pytorch_model.bin).