

ACTIVE LEARNING FOR GRAPH NEURAL NETWORKS VIA NODE FEATURE PROPAGATION

Anonymous authors

Paper under double-blind review

ABSTRACT

Graph Neural Networks (GNNs) for prediction tasks like node classification or edge prediction have received increasing attention in recent machine learning from graphically structured data. However, a large quantity of labeled graphs is difficult to obtain, which significantly limits the true success of GNNs. Although active learning has been widely studied for addressing label-sparse issues with other data types like text, images, etc., how to make it effective over graphs is an open question for research. In this paper, we present an investigation on active learning with GNNs for node classification tasks. Specifically, we propose a new method, which uses node feature propagation followed by K-Medoids clustering of the nodes for instance selection in active learning. With a theoretical bound analysis we justify the design choice of our approach. In our experiments on four benchmark dataset, the proposed method outperforms other representative baseline methods consistently and significantly.

1 INTRODUCTION

Graph Neural Networks (GNN) (Kipf & Welling, 2016; Veličković et al., 2017; Hamilton et al., 2017; Wu et al., 2019) have been widely applied in many supervised and semi-supervised learning scenarios such as node classifications, edge predictions and graph classifications over the past few years. Though GNN frameworks are effective at fusing both the feature representations of nodes and the connectivity information, people are longing for enhancing the learning efficiency of such frameworks using limited annotated nodes. This property is in constant need as the budget for labeling is usually far less than the total number of nodes. For example, in biological problems where a graph represents the chemical structure (Gilmer et al., 2017; Jin et al., 2018) of a certain drug assembled through atoms, it is not easy to obtain a detailed analysis of the function for each atom since getting expert labeling advice is very expensive. On the other hand, people can carefully design a small “seeding pool” so that by selecting “representative” nodes or atoms as the training set, a GNN can be trained to get an automatic estimation of the functions for all the remaining unlabeled ones.

Active Learning (AL) (Settles, 2009; Bodó et al., 2011), following this lead, provides solutions that select “informative” examples as the initial training set. While people have proposed various methods for active learning on graphs (Bilgic et al., 2010; Kuwadekar & Neville, 2011; Moore et al., 2011; Rattigan et al., 2007), active learning for GNN has received relatively few attention in this area. Cai et al. (2017) and Gao et al. (2018) are two major works that study active learning for GNN. The two papers both use three kinds of metrics to evaluate the training samples, namely uncertainty, information density, and graph centrality. The first two metrics make use of the GNN representations learnt using both node features and the graph; while they might be reasonable with a good (well-trained) GNN model, the metrics are not informative when the label budget is limited and/or the network weights are under-trained so that the learned representation is not good. On the other hand, graph centrality ignores the node features and might not get the real informative nodes. Further, methods proposed in Cai et al. (2017); Gao et al. (2018) only combine the scores using simple linear weighted-sum, which do not solve these problems principally.

We propose a method specifically designed for GNN that naturally avoids the problems of methods above¹. Our method select the nodes based on node features propagated through the graph structure, making it less sensitive to inaccuracies of representation learnt by under-trained models. Then we cluster the nodes using K-Medoids clustering; K-Medoids is similar to the conventional K-Means,

¹Our code will be released upon acceptance.

but constrains the centers to be real nodes in the graph. Theoretical results and practical experiments prove the strength of our algorithm.

- We perform a theoretical analysis for our method and study the relation between its classification loss and the geometry of the propagated node features.
- We show the advantage of our method over Coreset (Sener & Savarese, 2017) by comparing the bounds. We also conjecture that similar bounds are not achievable if we use raw unpropagated node features.
- We compare our method with several AL methods and obtain the best performance over all benchmark datasets.

2 RELATED WORKS

Active learning (AL) aims at interactively choosing data points from the training pool to maximize model performances, and has been widely studied both in theory (Beygelzimer et al., 2008; Hanneke, 2014) and practice (Settles, 2009; Shen et al., 2017). Recently, Sener & Savarese (2017) proposes to compute a Coreset over the last-layer activation of a convolutional neural network. The method is designed for general-purpose neural networks, and does not take the graph structure into account.

Early works on AL with graph-structured data (Dasarathy et al., 2015; Mac Aodha et al., 2014) study non-parametric classification models with graph regularization. More recent works analyze active sampling under the graph signal processing framework (Ortega et al., 2018; Chen et al., 2016). However, most of these works have focused on the denoising setting where the signal is smooth over the graphs and labels are noisy versions of node features. Similarly, optimal experimental design (Pukelsheim, 2006; Allen-Zhu et al., 2017) can also apply to graph data but primarily deals with linear regression problems, instead of nonlinear classification with discrete labels.

Graph Neural Networks (GNNs) (Hamilton et al., 2017; Veličković et al., 2017; Kipf & Welling, 2016) are the emerging frameworks in the recent years when people try to model graph-structured data. Most of the GNN variants follow a multi-layer paradigm. In each layer, the network performs a message passing scheme, so that the feature representation of a node in the next layer could be some neighborhood aggregation from its previous layer. The final feature of a single node thus comprises of the information from a multi-hop neighborhood, and is usually universal and “informative” to be used for multiple tasks. Recent works show the effectiveness of using GNNs in the AL setting. Cai et al. (2017), for instance, proposes to linearly combine uncertainty, graph centrality and information density scores and obtains the optimal performance. Gao et al. (2018) further improves the result by using learnable combination of weights with multi-armed bandit techniques. Instead of combining different metrics, in this paper, we approach the problem by clustering propagated node features. We show that our one-step active design outperforms existing methods based on learnt network representations, in the small label setting, while not degrading in performance for larger amounts of labeled data.

3 PRELIMINARIES

In this section, we describe a formal definition for the problem of graph-based active learning under the node classification setting and introduce a uniform set of notations for the rest of the paper.

We are given a large graph $G = (V, E)$, where each node $v \in V$ is associated with a feature vector $x_v \in \mathcal{X} \subseteq \mathbb{R}^d$, and a label $y_v \in \mathcal{Y} = \{1, 2, \dots, C\}$. Let $V = \{1, 2, \dots, n\}$, we denote the input features as a matrix $X \in \mathbb{R}^{n \times d}$, where each row represents a node, and the labels as a vector $Y = (y_1, \dots, y_n)$. We also consider a loss function $l(\mathcal{M}|G, X, Y)$ that computes the loss over the inputs (G, X, Y) for a model \mathcal{M} that maps G, X to a prediction vector $\hat{Y} \in \mathcal{Y}^n$.

Following previous works on GNN (Cai et al., 2017; Hamilton et al., 2017), we consider the inductive learning setting; i.e., a small part of Y is revealed to the algorithm, and we wish to minimize the loss on the whole graph $l(\mathcal{M}|G, X, Y)$. Specifically, an active learning algorithm \mathcal{A} is initially given the graph G and feature matrix X . In step t of operation, it selects a subset $s^t \subseteq [n] = \{1, 2, \dots, n\}$, and obtains y_i for every $i \in s^t$. We assume y_i is drawn randomly according to a distribution $\mathbb{P}_{y|x_i}$ supported on \mathcal{Y} ; we use $\eta_c(v) = \Pr[y = c|v]$ to denote the probability that $y = c$ given node v . Then \mathcal{A} uses G, X and y_i for $i \in s^0 \cup s^1 \cup \dots \cup s^t$ as the training set to train a model, using training

algorithm \mathcal{M} . The trained model is denoted as $\mathcal{M}_{\mathcal{A}_t}$. If \mathcal{M} is the same for all active learning strategies, we can slightly abuse the notation $\mathcal{A}_t = \mathcal{M}_{\mathcal{A}_t}$ to emphasize the focus of active learning algorithms. A general goal of active learning is then to minimize the loss under a given budget b :

$$\min_{s^0 \cup \dots \cup s^t} \mathbb{E}[l(\mathcal{A}_t|G, X, Y)] \quad (1)$$

where the randomness is over the random choices of Y and \mathcal{A} . We focus on \mathcal{M} being the Graph Neural Networks and their variants elaborated in detail in the following part.

3.1 GRAPH NEURAL NETWORK FRAMEWORK

Graph Neural Networks define a multi-layer feature propagation process similar to Multi-Layer Perceptrons (MLPs). Denote the k -th layer representation matrix of all nodes as $X^{(k)}$, and $X^{(0)} \in \mathbb{R}^{n \times d}$ are the input node features. Graph Neural Networks (GNNs) differ in their ways of defining the recursive function f for the next-layer representation:

$$X^{(k+1)} \leftarrow f(X^{(k)}; G, \Theta_k), \quad (2)$$

where Θ_k is the parameter for the k -th layer. Naturally, the input X satisfies $X^{(0)} = X$ by definition. **Graph Convolution Network (GCN)**. A GCN (Kipf & Welling, 2016) has a specific form of the function f as:

$$X^{(k+1)} \leftarrow \text{ReLU}(SX^{(k)}\Theta_k), \quad (3)$$

where ReLU is the element-wise rectified-linear unit activation function (Nair & Hinton, 2010), Θ_k is the parameter matrix used for transforming the size of feature representations to a different dimension and S is the normalized adjacency matrix. Specifically, S is defined as:

$$S = (I + D)^{-\frac{1}{2}}(A + I)(I + D)^{-\frac{1}{2}}, \quad (4)$$

where A is the original adjacency matrix associated with graph G and D is the degree matrix of A . Intuitively, this operation updates node embeddings by the aggregation of their neighbors. The added identity matrix I (equivalent to adding self-loops to G) acts in a similar spirit to the residual links (He et al., 2016) in MLPs that bypasses shallow-layer representations to deep layers. By applying this operation in a multi-layer fashion, a GCN encourages nodes that are locally related to share similar deep-layer embeddings and prediction results thereafter.

For the classification task, it is normal to stack a linear transformation along with a softmax function to the representation in the final layer, so that each class could have a prediction score. That is,

$$\hat{Y} = \text{softmax}(X^{(K)}\Theta_K), \quad (5)$$

where $\text{softmax}(\mathbf{x}) = \exp(\mathbf{x}) / \sum_{c=1}^C \exp(x_c)$ which makes the prediction scores have unit sum of 1 for all classes, and K is the total number of layers. We use the GCN structure as the fixed unified model \mathcal{M} for all the following discussed AL strategies \mathcal{A} .

4 ACTIVE LEARNING STRATEGY & THEORETICAL ANALYSIS

Traditionally, active learning algorithms choose one instance at a time for labeling, i.e., with $|s^t| = 1$. However, for modern datasets where the numbers of training instances are very large, it would be extremely costly if we re-train the entire system each time when a new label is obtained. Hence we focus on the ‘‘batched’’ one-step active learning setting (Contardo et al., 2017), and select the informative nodes once and for all when the algorithm starts. This is also called the *optimal experimental design* in the literature (Pukelsheim, 2006; Allen-Zhu et al., 2017). Aiming to select the b most representative nodes as the batch, our target (1) becomes:

$$\min_{|s^0| \leq b} \mathbb{E}[l(\mathcal{A}_0|G, X, Y)]. \quad (6)$$

The node selection algorithm is described in Section 4.1, followed by the loss bound analysis in Section 4.2, and the comparison with a closely related algorithm (K-Center in Coreset (Sener & Savarese, 2017)) in Section 4.3.

Algorithm 1 Active Learning with Distance-based Clustering**Input:** Node representation matrix X , graph structure matrix G and budget b

- 1: Compute a distance function $d_{X,G}(\cdot, \cdot) : V \times V \rightarrow \mathbb{R}$ # for FeatProp: use Eqn. (7)
- 2: Perform clustering using $d_{X,G}$ with b centers # for FeatProp: use K-Medoids
- 3: Select s to be the centers
- 4: Obtain labels for $v \in s$ and train model \mathcal{M}

Output: Model \mathcal{M}

4.1 NODE SELECTION VIA FEATURE PROPAGATION AND K-MEDOIDS CLUSTERING

We describe a generic active learning framework using distance-based clustering in Algorithm 1. It acts in two major steps: 1) computing a distance matrix or function $d_{X,G}$ using the node feature representations X and the graph structure G ; 2) applying clustering with b centers over this distance matrix, and from each cluster select the node closest to the center of the cluster. After receiving the labels (given by matrix Y) of the selected nodes, we train a graph neural network, specifically GCN, based on X, G and Y for the node classification task. Generally speaking, different options for the two steps above would yield different performance in the down-stream prediction tasks; we detail and justify our choices below and in subsequent sections.

Distance Function. Previous methods (Sener & Savarese, 2017; Cai et al., 2017; Gao et al., 2018) commonly use last-layer network representations to compute the distance, i.e., $d_{X,G}(v_i, v_j) = \|(X^{(K)})_i - (X^{(K)})_j\|_2$. While this can be helpful in a well-trained network, the representations are quite inaccurate in initial stages of training and such distance function might not select the representative nodes. Differently, we define the pairwise node distance using the L_2 norm of the difference between the corresponding propagated node features:

$$d_{X,G}(v_i, v_j) = \|(S^K X)_i - (S^K X)_j\|_2, \quad (7)$$

where $(M)_i$ denotes the i -th row of matrix M . Intuitively, this removes the effect of untrained parameters on the distance, while still taking the graph structure into account.

Clustering method. Two commonly used methods are K-Means (Cai et al., 2017; Gao et al., 2018) and K-Center (Sener & Savarese, 2017)². We propose to apply the K-Medoids clustering. K-Medoids problem is similar to K-Means, but the center it selects must be real sample nodes from the dataset. This is critical for active learning, since we cannot try to label the unreal cluster centers produced by K-Means. Also, we show in Section 4.3 that K-Medoids can obtain a more favorable loss bound than K-Center. Note that in our definition K is the number of layers in the GCN, and we use K-Medoids (here the ‘‘K’’ is just for the algorithm names) to cluster the nodes with b centers.

We call our method *FeatProp*, to emphasize the active learning strategy via node feature propagation over the input graph, which is the major difference from other node selection methods.

4.2 THEORETICAL ANALYSIS OF CLASSIFICATION LOSS BOUND

Recall that we use $\|(S^K X)_i - (S^K X)_j\|_2$ to approximate the pairwise distances between the hidden representations of nodes in GCN. Intuitively, representation $S^K X$ resembles the output of a simplified GCN (Wu et al., 2019) by dropping all activation functions and layer-related parameters in the original structure, which introduces a strong inductive bias. In other words, the selected nodes could possibly contribute to the stabilization of model parameters during the training phase of GCN. The following theorem formally shows that using K-Medoids with propagated features can lead to a low classification loss:

Theorem 1 (informal). *Suppose that the label vector Y is sampled independently from the distribution $y_i \sim \eta(i)$, and the loss function l is bounded by $[-L, L]$. Then under mild assumptions, there exists a constant c_0 such that with probability $1 - \delta$ the expected classification loss of \mathcal{A}_t satisfies*

$$\frac{1}{n} l(\mathcal{A}_t | G, X, Y) \leq \frac{c_0}{n} \sum_{i=1}^n \min_{j \in s^0} \|(S^K X)_i - (S^K X)_j\|_2 + \sqrt{\frac{L \log(1/\delta)}{2n}} \quad (8)$$

²For a group of nodes, K-Center problem aims to find a δ -cover with at most k nodes for smallest possible δ .

To understand Theorem 1, notice that the first term $\sum_{i=1}^n \min_{j \in \mathbf{s}^0} \|(S^K X)_i - (S^K X)_j\|_2$ is exactly the target loss of K-Medoids (sum of point-center distances), and the second term $\sqrt{\frac{L \log(1/\delta)}{2n}}$ quickly decays with n , where n is the total number of nodes in graph G . Therefore the classification loss of \mathcal{A}_t on the entire graph G is mostly dependent on the K-Medoids loss. In practice, we can utilize existing robust initialization algorithms such as Partitioning Around Medoids (PAM) to approximate the optimal solution for K-Medoids clustering.

The assumptions we made in Theorem 1 are pretty standard in the literature, and we illustrate the details in the appendix. While our results share some common characteristics with Sener et al. (Sener & Savarese, 2017), our proof is more involved in the sense that it relates to the translated features $\|(S^K X)_i - (S^K X)_j\|_2$ instead of the raw features $\|(X)_i - (X)_j\|_2$. In fact, we conjecture that using raw feature clustering selection for GCN will not result in a similar bound as in (8): this is because GCN uses the matrix S to diffuse the raw features across all nodes in V , and the final predictions of node i will also depend on its neighbors as well as the raw feature $(X)_i$. We could see a clearer comparison in practice in Section 5.2.

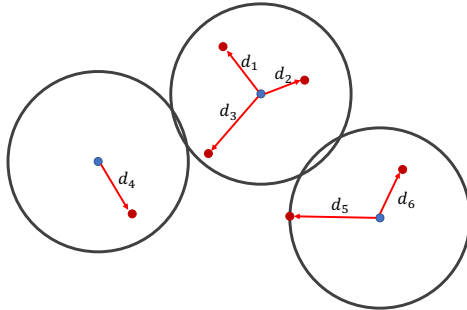


Figure 1: Visualization of Theorem 1. Consider the set of selected points \mathbf{s} and the remaining points in the dataset $[n] \setminus \mathbf{s}$. K-Medoids corresponds to the mean of all red segments in the figure, whereas K-Center corresponds to the max of all red segments in the figure.

4.3 WHY NOT K-CENTER

In this subsection we provide justifications on using the K-Medoids clustering method as opposed to Coreset (Sener & Savarese, 2017). The Coreset approach aims to find a δ -cover of the training set. In the context of using propagated features, this means solving

$$\delta = \min_{|\mathbf{s}^0| \leq b} \max_i \min_{j \in \mathbf{s}^0} d_{X,G}(v_i, v_j) = \min_{|\mathbf{s}^0| \leq b} \max_i \min_{j \in \mathbf{s}^0} \|(S^K X)_i - (S^K X)_j\|_2 \quad (9)$$

We can show a similar theorem as Theorem 1 for the Coreset approach:

Theorem 2. *Under the same assumptions as in Theorem 1, with probability $1 - \delta$ the expected classification loss of \mathcal{A}_t satisfies*

$$\frac{1}{n} l(\mathcal{A}_t | G, X, Y) \leq c_0 \max_i \min_{j \in \mathbf{s}^0} \|(S^K X)_i - (S^K X)_j\|_2 + \sqrt{\frac{L \log(1/\delta)}{2n}} \quad (10)$$

Let $d_i = \min_{j \in \mathbf{s}^0} \|(S^K X)_i - (S^K X)_j\|_2$. It is easy to see that RHS of Eqn. (8) is smaller than RHS of Eqn. (9), since $\frac{1}{n} \sum_{i=1}^n d_i \leq \max_i d_i$. In other words, K-Medoids can obtain a better bound than the K-Center method (see Figure 1 for a graphical illustration). We observe superior performance of K-Medoid clustering over K-Center clustering in our experiments as well (see Section 5.2).

5 EXPERIMENT

We evaluate the node classification performance of our selection method on the Cora, Citeseer, and PubMed network datasets (Yang et al., 2016). We further supplement our experiment with an even

denser network dataset CoraFull (Bojchevski & Günnemann, 2017) to illustrate the performance differences of the comparing approaches on a large-scale setting. Table 1 summarizes the dataset statistics.

| Data | # Nodes | # Edges | # Classes | Feature size |
|----------|---------|---------|-----------|--------------|
| Cora | 2,708 | 5,429 | 7 | 3,703 |
| Citeseer | 3,327 | 4,732 | 6 | 1,433 |
| PubMed | 19,717 | 44,338 | 3 | 500 |
| CoraFull | 19,793 | 126,842 | 70 | 8,710 |

Table 1: Dataset statistics of different networks.

| | Cora | Citeseer | PubMed | CoraFull |
|----------------|--------|----------|--------|----------|
| FeatProp | 239 | 622 | 1,506 | 13,059 |
| CoresetMIP | 12,260 | 13,257 | OOT | OOT |
| Coreset-greedy | 44 | 46 | 509 | 636 |

Table 2: Comparison of running time of 5 different runs in seconds between our algorithm (FeatProp) and Coreset. OOT denotes out-of-time. Note in order to get a more accurate solution, CoresetMIP costs much more time than Coreset-greedy.

| | Cora | Citeseer | PubMed | CoraFull |
|----------------|---------------------|---------------------|---------------------|---------------------|
| Random | 59.83 ± 5.77 | 48.79 ± 4.03 | 71.66 ± 4.50 | 10.75 ± 0.92 |
| Degree | 63.30 ± 0.55 | 35.50 ± 0.82 | 60.54 ± 0.38 | 10.85 ± 0.30 |
| Uncertainty | 48.14 ± 8.18 | 39.14 ± 4.52 | 64.80 ± 8.21 | 6.76 ± 0.72 |
| Coreset-greedy | 59.99 ± 4.59 | 48.21 ± 3.78 | 68.41 ± 4.50 | 10.83 ± 1.28 |
| CoresetMIP | 55.86 ± 6.89 | 46.76 ± 3.99 | — | — |
| AGE | 65.01 ± 2.43 | 49.65 ± 5.19 | 67.96 ± 2.73 | 13.52 ± 0.81 |
| ANRMAB | 63.71 ± 4.34 | 47.29 ± 3.33 | 71.06 ± 4.82 | 11.40 ± 0.98 |
| FeatProp | 74.89 ± 2.63 | 51.03 ± 2.80 | 73.20 ± 1.81 | 14.86 ± 0.70 |

Table 3: Comparison of Macro-F1 ± standard deviation averaged over different number of labeled nodes for training. Bold fonts represent the best methods. CoresetMIP does not scale up for PubMed and CoraFull datasets.

We evaluate the Macro-F1 of the methods over the full set of nodes. The sizes of the budgets are fixed for all benchmark datasets. Specifically, we choose to select 10, 20, 40, 80 and 160 nodes as the budget sizes. After selecting the nodes, a two-layer GCN³, with 16 hidden neurons, is trained as the prediction model. We use the Adam (Kingma & Ba, 2014) optimizer with a learning rate of 0.01 and weight decay of 5×10^{-4} . All the other hyperparameters are kept as in the default setting ($\beta_1 = 0.9, \beta_2 = 0.999$). To guarantee the convergence of the GCN, the model trained after 200 epochs is used to evaluate the metric on the whole set.

5.1 BASELINES

We compared the following methods:

- **Random:** Choosing the nodes uniformly from the whole vertex set.
- **Degree:** Choosing the nodes with the largest degrees. Note that this method does not consider the information of node features.
- **Uncertainty:** Similar to the methods in Joshi et al. (2009), we put the nodes with max-entropy into the pool of instances.

³In the past semi-supervised setting of citation networks, a two-layer GCN is the optimal structure for the node classification task (Kipf & Welling, 2016).

- **Coreset (Sener & Savarese, 2017):** This method performs a K-Center clustering over the last hidden representations in the network. If time allows (on Cora and Citeseer), a robust mixture integer programming method as in Sener & Savarese (2017) (dubbed CoresetMIP) is adopted. We also apply a time-efficient approximation version (Coreset-greedy) for all of the datasets. The center nodes are then selected into the pool.
- **AGE (Cai et al., 2017):** This method linearly combines three metrics – graph centrality, information density, and uncertainty and select nodes with the highest scores.
- **ANRMAB (Gao et al., 2018):** This method enhances AGE by learning the combination weights of metrics through an exponential multi-arm-bandit updating rule.
- **FeatProp:** This is our method. We perform a K-Medoids clustering to the propagated features (Eqn. (7)), where X is the input node features. In the experiment, we adopts an efficient approximated K-Medoids algorithm which performs K-Means until convergence and select nodes closest to centers into the pool. We find this method can obtain a smaller target loss than the PAM algorithm Kaufmann & Rousseeuw (1987) for K-Medoids, while also being much faster.

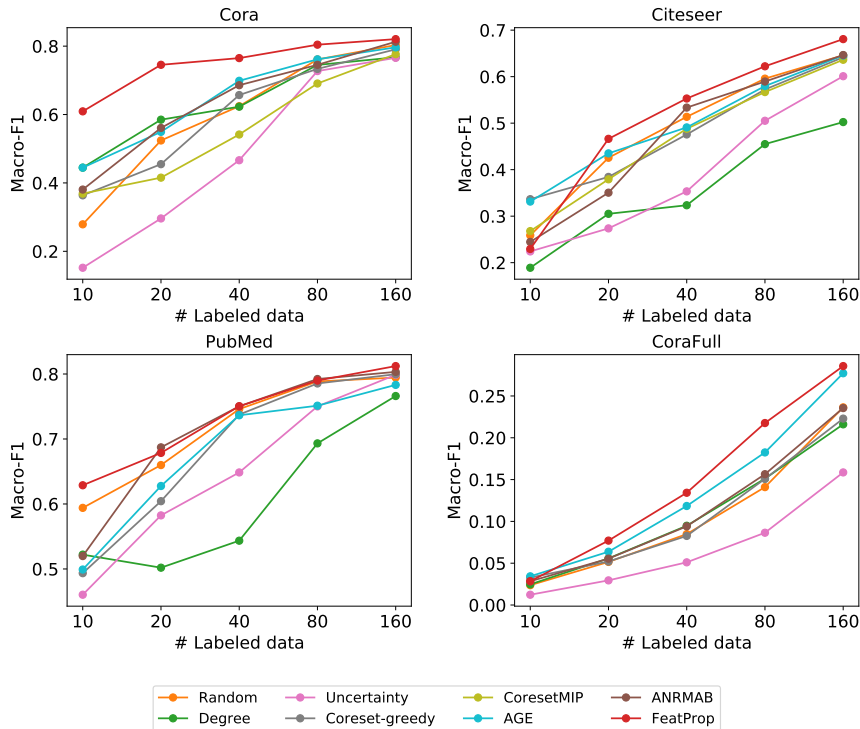


Figure 2: Results of different approaches over benchmark datasets averaged from 5 different runs.

5.2 EXPERIMENT RESULTS

In our experiments, we start with a small set of nodes (10 nodes) sampled uniformly at random from the dataset as the initial pool. We run all experiments with 5 different random seeds and report the averaged classification accuracy as the metric. We plot the accuracy vs the number of labeled points. For approaches (Uncertainty, Coreset, AGE and ANRMAB) that require the current status/hidden representations from the classification model, a fully-trained model built from the previous budget pool is returned. For example, if the current budget is 40, the model trained from 20 examples selected by the same AL method is used.

Main results. As is shown in Figure 2, our method outperforms all the other baseline methods in most of the compared settings. It is noticeable that AGE and ANRMAB which use uncertainty score as their sub-component can achieve better performances than Uncertainty and are the second best methods in most of the cases. We also show an averaged Macro-F1 with standard deviation across

different number of labeled nodes in Table 3. It is interesting to find that our method has the second smallest standard deviation (Degree is deterministic in terms of node selection and the variance only comes from the training process) among all methods. We conjecture that this is due to the fact that other methods building upon uncertainty may suffer from highly variant model parameters at the beginning phase with very limited labeled nodes.

Efficiency. We also compare the time expenses between our method and Coreset, which also involves a clustering sub-routine (K-Center), in Table 2. It is noticeable that in order to make Coreset more stable, CoresetMIP uses an extreme excess of time comparing to Coreset-greedy in the same setting. An interesting fact we could observe in Figure 2 is that CoresetMIP and Coreset-greedy do not have too much performance difference on Citeseer, and Coreset-greedy is even better than CoresetMIP on Cora. This is quite different from the result in image classification tasks with CNNs (Sener & Savarese, 2017). This phenomenon distinguishes the difference between graph node classification with traditional classification problems. We conjecture that this is partially due to the fact that the nodes no longer preserve independent embeddings after the GCN structure, which makes the original analysis of Coreset not applicable.

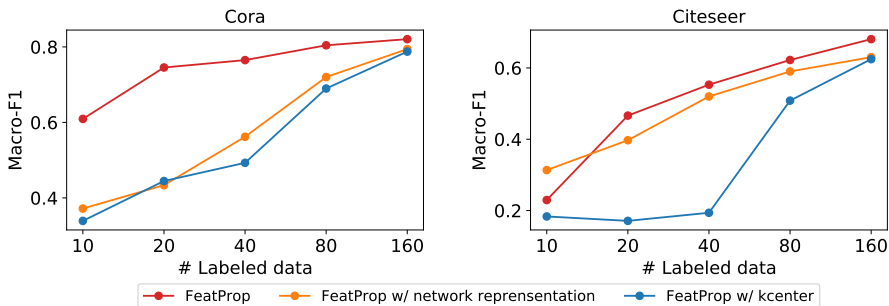


Figure 3: Results of different approaches over benchmark datasets averaged from 5 different runs. Similar to Coreset, the orange line denotes replacing the original distance function in Eqn. (7) with L2 distance from the final GCN layer. The blue line denotes the algorithm replacing the K-Medoids module with K-Center clustering.

Ablation study. It is crucial to select the proper distance function and clustering subroutine for FeatProp (Line 1 and Line 2 in Algorithm 1). As is discussed in Section 4.3, we test the differences with the variant of using the L2 distance from the final layer of GCN as the distance function and the one by setting K-Medoids choice with a K-Center replacement. We compare these algorithms in Figure 3. As is demonstrated in the figure, the K-Center version (blue line) has a lower accuracy than the original FeatProp approach. This observation is compatible with our analysis in Section 4.3 as K-Medoids comes with a tighter bound than K-Center in terms of the classification loss. Furthermore, as final layer representations are very sensitive to the small budget case, we observe that the network representation version (orange line) also generally shows a much deteriorated performance at the beginning stage.

Though FeatProp is tailored for GCNs, we could also test the effectiveness of our algorithm over other GNN frameworks. Specifically, we compare the methods over a Simplified Graph Convolution (SGC) (Wu et al., 2019) and obtain similar observations. Due to the space limit, we put the detailed results in the appendix.

6 CONCLUSION

We study the active learning problem in the node classification task for Graph Convolution Networks (GCNs). We propose a propagated node feature selection approach (FeatProp) to comply with the specific structure of GCNs and give a theoretical result characterizing the relation between its classification loss and the geometry of the propagated node features. Our empirical experiments also show that FeatProp outperforms the state-of-the-art AL methods consistently on most benchmark datasets. Note that FeatProp only focuses on sampling representative points in a meaningful (graph) representation, while uncertainty-based methods select the active nodes from a different criterion guided by labels, how to combine that category of methods with FeatProp in a principled way remains an open and yet interesting problem for us to explore.

REFERENCES

- Zeyuan Allen-Zhu, Yuanzhi Li, Aarti Singh, and Yining Wang. Near-optimal discrete optimization for experimental design: A regret minimization approach. *CoRR*, abs/1711.05174, 2017. URL <http://arxiv.org/abs/1711.05174>.
- Alina Beygelzimer, Sanjoy Dasgupta, and John Langford. Importance weighted active learning. *arXiv preprint arXiv:0812.4952*, 2008.
- Mustafa Bilgic, Lilyana Mihalkova, and Lise Getoor. Active learning for networked data. In *Proceedings of the 27th international conference on machine learning (ICML-10)*, pp. 79–86, 2010.
- Zalán Bodó, Zsolt Minier, and Lehel Csató. Active learning with clustering. In *Active Learning and Experimental Design workshop In conjunction with AISTATS 2010*, pp. 127–139, 2011.
- Aleksandar Bojchevski and Stephan Günnemann. Deep gaussian embedding of graphs: Unsupervised inductive learning via ranking. *arXiv preprint arXiv:1707.03815*, 2017.
- Hongyun Cai, Vincent W Zheng, and Kevin Chen-Chuan Chang. Active learning for graph embedding. *arXiv preprint arXiv:1705.05085*, 2017.
- S. Chen, R. Varma, A. Singh, and J. Kovacevic. Signal recovery on graphs: Random versus experimentally designed sampling. *IEEE Transactions on Signal and Information Processing over Networks, special issue on Inference and Learning over Networks*, 2(4):539–554, 2016.
- Anna Choromanska, Yann LeCun, and Gérard Ben Arous. Open problem: The landscape of the loss surfaces of multilayer networks. In *Conference on Learning Theory*, pp. 1756–1760, 2015.
- Gabriella Contardo, Ludovic Denoyer, and Thierry Artières. A meta-learning approach to one-step active learning. *arXiv preprint arXiv:1706.08334*, 2017.
- Gautam Dasarathy, Robert D. Nowak, and Xiaojin Zhu. S2: an efficient graph based active learning algorithm with application to nonparametric classification. In Peter Grünwald, Elad Hazan, and Satyen Kale (eds.), *Proceedings of The 28th Conference on Learning Theory, COLT 2015, Paris, France, July 3-6, 2015*, volume 40 of *JMLR Workshop and Conference Proceedings*, pp. 503–522. JMLR.org, 2015. URL <http://jmlr.org/proceedings/papers/v40/Dasarathy15.html>.
- Simon S Du, Jason D Lee, Haochuan Li, Liwei Wang, and Xiyu Zhai. Gradient descent finds global minima of deep neural networks. *arXiv preprint arXiv:1811.03804*, 2018.
- Li Gao, Hong Yang, Chuan Zhou, Jia Wu, Shirui Pan, and Yue Hu. Active discriminative network representation learning. In *Proceedings of the 27th International Joint Conference on Artificial Intelligence*, pp. 2142–2148. AAAI Press, 2018.
- Justin Gilmer, Samuel S Schoenholz, Patrick F Riley, Oriol Vinyals, and George E Dahl. Neural message passing for quantum chemistry. In *Proceedings of the 34th International Conference on Machine Learning-Volume 70*, pp. 1263–1272. JMLR. org, 2017.
- Will Hamilton, Zhitao Ying, and Jure Leskovec. Inductive representation learning on large graphs. In *Advances in Neural Information Processing Systems*, pp. 1024–1034, 2017.
- Steve Hanneke. Theory of active learning. *Foundations and Trends in Machine Learning*, 7(2-3), 2014.
- Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recognition. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pp. 770–778, 2016.
- Wengong Jin, Regina Barzilay, and Tommi Jaakkola. Junction tree variational autoencoder for molecular graph generation. *arXiv preprint arXiv:1802.04364*, 2018.
- Ajay J Joshi, Fatih Porikli, and Nikolaos Papanikolopoulos. Multi-class active learning for image classification. In *2009 IEEE Conference on Computer Vision and Pattern Recognition*, pp. 2372–2379. IEEE, 2009.

- Leonard Kaufmann and Peter Rousseeuw. Clustering by means of medoids. *Data Analysis based on the L1-Norm and Related Methods*, pp. 405–416, 01 1987.
- Kenji Kawaguchi. Deep learning without poor local minima. In *Advances in neural information processing systems*, pp. 586–594, 2016.
- Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. *arXiv preprint arXiv:1412.6980*, 2014.
- Thomas N Kipf and Max Welling. Semi-supervised classification with graph convolutional networks. *arXiv preprint arXiv:1609.02907*, 2016.
- Ankit Kuwadekar and Jennifer Neville. Relational active learning for joint collective classification models. In *Proceedings of the 28th international conference on machine learning (icml-11)*, pp. 385–392. Citeseer, 2011.
- Oisín Mac Aodha, Neill D. F. Campbell, Jan Kautz, and Gabriel J. Brostow. Hierarchical subquery evaluation for active learning on a graph. In *2014 IEEE Conference on Computer Vision and Pattern Recognition, CVPR 2014, Columbus, OH, USA, June 23-28, 2014*, pp. 564–571. IEEE Computer Society, 2014. ISBN 978-1-4799-5118-5. doi: 10.1109/CVPR.2014.79. URL <https://doi.org/10.1109/CVPR.2014.79>.
- Cristopher Moore, Xiaoran Yan, Yaojia Zhu, Jean-Baptiste Rouquier, and Terran Lane. Active learning for node classification in assortative and disassortative networks. In *Proceedings of the 17th ACM SIGKDD international conference on Knowledge discovery and data mining*, pp. 841–849. ACM, 2011.
- Vinod Nair and Geoffrey E Hinton. Rectified linear units improve restricted boltzmann machines. In *Proceedings of the 27th international conference on machine learning (ICML-10)*, pp. 807–814, 2010.
- A. Ortega, P. Frossard, J. Kovaevi, J. M. F. Moura, and P. Vandergheynst. Graph signal processing: Overview, challenges, and applications. *Proceedings of the IEEE*, 106(5):808–828, May 2018. ISSN 0018-9219. doi: 10.1109/JPROC.2018.2820126.
- Friedrich Pukelsheim. *Optimal design of experiments*. SIAM, 2006.
- Matthew J Rattigan, Marc Maier, and David Jensen. Exploiting network structure for active inference in collective classification. In *Seventh IEEE International Conference on Data Mining Workshops (ICDMW 2007)*, pp. 429–434. IEEE, 2007.
- Ozan Sener and Silvio Savarese. Active learning for convolutional neural networks: A core-set approach. *arXiv preprint arXiv:1708.00489*, 2017.
- Burr Settles. Active learning literature survey. Technical report, University of Wisconsin-Madison Department of Computer Sciences, 2009.
- Yanyao Shen, Hyokun Yun, Zachary Lipton, Yakov Kronrod, and Animashree Anandkumar. Deep active learning for named entity recognition. *Proceedings of the 2nd Workshop on Representation Learning for NLP*, 2017. doi: 10.18653/v1/w17-2630. URL <http://dx.doi.org/10.18653/v1/w17-2630>.
- Petar Veličković, Guillem Cucurull, Arantxa Casanova, Adriana Romero, Pietro Lio, and Yoshua Bengio. Graph attention networks. *arXiv preprint arXiv:1710.10903*, 2017.
- Felix Wu, Tianyi Zhang, Amauri Holanda de Souza Jr, Christopher Fifty, Tao Yu, and Kilian Q Weinberger. Simplifying graph convolutional networks. *arXiv preprint arXiv:1902.07153*, 2019.
- Keyulu Xu, Chengtao Li, Yonglong Tian, Tomohiro Sonobe, Ken-ichi Kawarabayashi, and Stefanie Jegelka. Representation learning on graphs with jumping knowledge networks. *arXiv preprint arXiv:1806.03536*, 2018.
- Zhilin Yang, William W Cohen, and Ruslan Salakhutdinov. Revisiting semi-supervised learning with graph embeddings. *arXiv preprint arXiv:1603.08861*, 2016.

A ADDENDUM TO EXPERIMENTS

We also evaluate the methods using the metric of Micro-F1 in Table 4.

| | Cora | Citeseer | PubMed | CoraFull |
|----------------|---------------------|---------------------|---------------------|---------------------|
| Random | 65.19 ± 4.39 | 57.04 ± 3.39 | 73.11 ± 2.61 | 21.78 ± 1.97 |
| Degree | 68.61 ± 0.50 | 46.13 ± 0.77 | 68.44 ± 0.32 | 25.12 ± 0.53 |
| Uncertainty | 58.88 ± 6.07 | 46.08 ± 4.44 | 68.49 ± 5.55 | 14.66 ± 1.80 |
| Coreset-greedy | 66.94 ± 2.87 | 55.00 ± 3.09 | 70.74 ± 3.15 | 24.61 ± 2.35 |
| CoresetMIP | 63.98 ± 5.40 | 55.68 ± 3.43 | — | — |
| AGE | 70.07 ± 1.36 | 57.27 ± 4.68 | 73.80 ± 1.91 | 28.35 ± 1.16 |
| ANRMAB | 68.62 ± 3.66 | 54.90 ± 3.61 | 73.98 ± 3.37 | 23.14 ± 1.79 |
| FeatProp | 77.68 ± 1.81 | 59.36 ± 1.98 | 74.64 ± 1.49 | 28.86 ± 1.22 |

Table 4: Comparison of Micro-F1±standard_deviation averaged over different number of labeled nodes for training. Bold fonts represent the best methods. CorsetMIP does not scale up for PubMed and CoraFull datasets.

We evaluate the performances of different active learning methods on a 2-layer SGC (Simplified Graph Convolution) framework. The results can be seen in Figure 4.

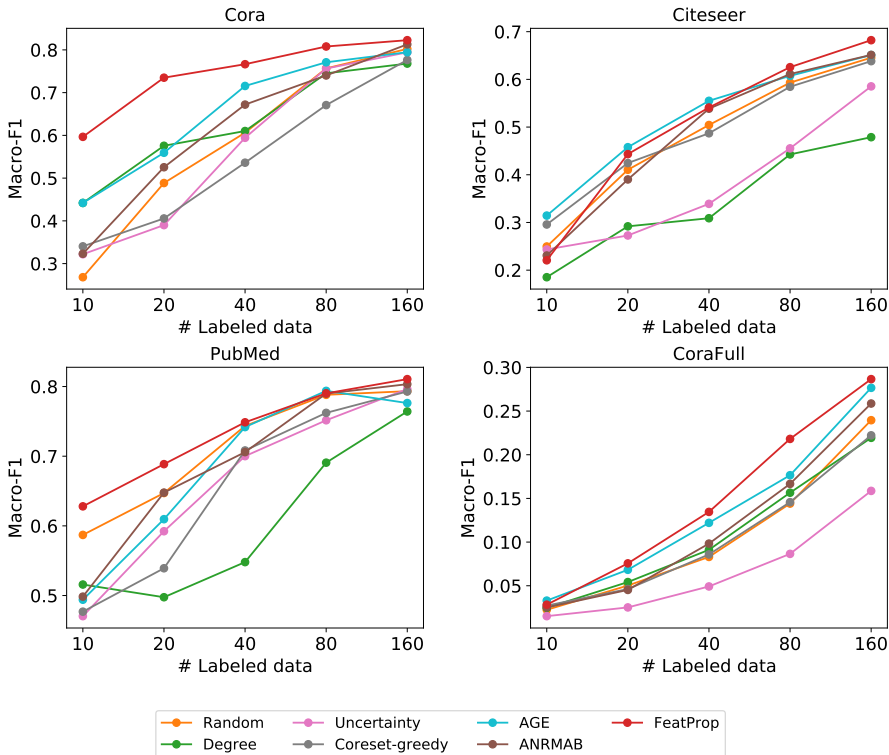


Figure 4: Results of different approaches over benchmark datasets averaged from 5 different runs on an SGC framework.

Figure 5 shows the results of FeatProp on different GNN frameworks. We see that SGC has a slightly inferior performance to GCN since it drops all the activation functions and in-layer parameters, but not too much.

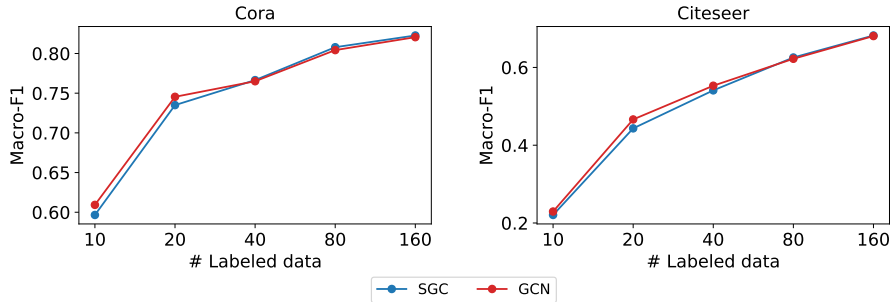


Figure 5: Results of SGC vs GCN over benchmark datasets averaged from 5 different runs by using FeatProp.

B PROOF OF THEOREM 1

In order to show Theorem 1, we make the following assumptions:

Assumption 1. We assume \mathcal{A}_t has zero training loss on \mathbf{s}^0 . We also assume that there exists a ground truth GCN \mathcal{M}^* that achieves zero loss on the entire training set. This is a common assumption in literature, and (Du et al., 2018) shows that gradient descent provably achieves zero training loss in polynomial time.

Assumption 2. We assume l is Lipschitz with constant λ and bounded in $[-L, L]$.

Assumption 3. We assume that there exists a constant α such that the sum of input weights of every neuron is less than α . Namely, we assume $\sum_i |(\Theta_K)_{i,j}| \leq \alpha$. This assumption is also present in (Sener & Savarese, 2017). We note that one can make $\sum_i |(\Theta_K)_{i,j}|$ arbitrarily small without changing the network prediction.

Assumption 4. We assume that ReLU function activates with probability 1/2. This is a common assumption in analyzing the loss surface of neural networks, and is also used in (Choromanska et al., 2015; Kawaguchi, 2016; Xu et al., 2018).

With these assumptions in place, we are able to prove Theorem 1.

Theorem 1 (restated). Suppose Assumptions 1-4 hold, and the label vector Y is sampled independently from the distribution $y_v \sim \eta(v)$ for every $v \in V$. Then with probability $1 - \delta$ the expected classification loss of \mathcal{A}_t satisfies

$$\frac{1}{n} l(\mathcal{A}_t | G, X, Y) \leq \frac{(\lambda + L)\alpha^K}{n} \sum_{i=1}^n \min_{j \in \mathbf{s}^0} \|(S^K X)_i - (S^K X)_j\|_2 + \sqrt{\frac{L \log(1/\delta)}{2n}}.$$

Proof. For simplicity, for any model \mathcal{M} let $(\mathcal{M})_i = (\mathcal{M}(G, X))_i \in \mathbb{R}^C$ be the prediction for node i under input G, X , and $(\mathcal{M})_{i,c}$ be the c -th element of $(\mathcal{M})_i$ (i.e., the prediction for class c). Let $i \in V$ be any node and $j \in \mathbf{s}^0$. We have

$$\begin{aligned} \mathbb{E}_{y \sim \eta(i)} [l((\mathcal{A}_t)_i, y)] &= \sum_{c=1}^C \Pr[Y_i = c] l((\mathcal{A}_t)_{i,c}, c) \\ &= \sum_{c=1}^C \Pr[Y_j = c] l((\mathcal{A}_t)_{i,c}, c) + \sum_{c=1}^C (\Pr[Y_i = c] - \Pr[Y_j = c]) l((\mathcal{A}_t)_{i,c}, c). \end{aligned} \tag{11}$$

For the first term we have

$$\begin{aligned}
l((\mathcal{A}_t)_{i,c}, c) - l((\mathcal{A}_t)_{j,c}, c) &\leq \lambda |(\mathcal{A}_t)_{i,c} - (\mathcal{A}_t)_{j,c}| \\
&= \lambda \left| \sigma((SX^{(K-1)})_i \Theta_K^c) - \sigma((SX^{(K-1)})_j \Theta_K^c) \right| \\
&\leq \lambda \left| (SX^{(K-1)})_i \Theta_K^c - (SX^{(K-1)})_j \Theta_K^c \right| \\
&\leq \lambda \alpha \left\| (SX^{(K-1)})_i - (SX^{(K-1)})_j \right\| \\
&\leq \lambda \alpha^2 \left\| (S^2 X^{(K-2)})_i - (S^2 X^{(K-2)})_j \right\| \\
&\leq \dots \leq \lambda \alpha^K \left\| (S^K X)_i - (S^K X)_j \right\|.
\end{aligned}$$

Here $(M)_i$ is the i -th row of matrix M , Θ_K^c is the c -th column of Θ_K , σ is the ReLU activation function. The first inequality follows from Lipschitz property of l ; the second inequality follows from that ReLU is 1-Lipschitz; the third inequality follows from the definition of α ; the next few inequalities follows the same process as above.

Therefore

$$\begin{aligned}
\sum_{c=1}^C \Pr[Y_j = c] l((\mathcal{A}_t)_{i,c}, c) &= \sum_{c=1}^C \Pr[Y_j = c] [l((\mathcal{A}_t)_{i,c}, c) - l((\mathcal{A}_t)_{j,c}, c)] \\
&\quad + \sum_{c=1}^C \Pr[Y_j = c] l((\mathcal{A}_t)_{j,c}, c) \\
&= \sum_{c=1}^C \Pr[Y_j = c] [l((\mathcal{A}_t)_{i,c}, c) - l((\mathcal{A}_t)_{j,c}, c)] \\
&\leq \lambda \alpha^K \left\| (S^K X)_i - (S^K X)_j \right\|
\end{aligned}$$

The second equality follows from the fact that \mathcal{A}_t has zero training loss.

Now for the second loss in (11) we use the property that \mathcal{M}^* computes the ground truth:

$$(\Pr[Y_i = c] - \Pr[Y_j = c]) l((\mathcal{A}_t)_{i,c}, c) = ((\mathcal{M}^*)_{i,c} - (\mathcal{M}^*)_{j,c}) l((\mathcal{A}_t)_{i,c}, c)$$

We now use the fact that ReLU activates with probability 1/2, and compute the expectation:

$$\begin{aligned}
\mathbb{E}_\sigma [((\mathcal{M}^*)_{i,c} - (\mathcal{M}^*)_{j,c}) l((\mathcal{A}_t)_{i,c}, c)] &= \mathbb{E}_\sigma [((\mathcal{M}^*)_{i,c} - (\mathcal{M}^*)_{j,c}) l((\mathcal{A}_t)_{i,c}, c)] \\
&= (\mathbb{E}_\sigma [(\mathcal{M}^*)_{i,c}] - \mathbb{E}_\sigma [(\mathcal{M}^*)_{j,c}]) l((\mathcal{A}_t)_{i,c}, c) \\
&= \frac{1}{2^K} ((S^K X)_i - (S^K X)_j) \Theta_1 \Theta_2 \dots \Theta_K^c l((\mathcal{A}_t)_{i,c}, c) \\
&\leq L \left(\frac{\alpha}{2}\right)^K \left\| (S^K X)_i - (S^K X)_j \right\|.
\end{aligned}$$

Here \mathbb{E}_σ means that we compute the expectation w.r.t randomness in σ (ReLU) in \mathcal{M}^* . The last inequality follows from definition of α , and that $l \in [-L, L]$.

Combining the two parts to (11) and we obtain

$$\mathbb{E}_{y \sim \eta(i)} [l((\mathcal{A}_t)_i, y)] \leq (\lambda + L) \alpha^K \left\| (S^K X)_i - (S^K X)_j \right\|.$$

Further let $j = \operatorname{argmin} \left\| (S^K X)_i - (S^K X)_j \right\|$, and use Hoeffding's inequality based on $l \in [-L, L]$ gives

$$\frac{1}{n} l(\mathcal{A}_t | G, X, Y) \leq \frac{(\lambda + L) \alpha^K}{n} \sum_{i=1}^j \min_j \left\| (S^2 X)_i - (S^2 X)_j \right\|_2 + \sqrt{\frac{L \log(1/\delta)}{2n}}$$

□

C PROOF OF THEOREM 2

The same proof as Theorem 1 applies for Theorem 2 using the max of distances instead of averaging. We therefore omit the details here.