

415 A Appendix

416 A.1 Example from PRONTOQA-OOD

Q: Everything that is a lorpus, a brimpus, or a jompus is a shumpus. Every wumpus is a vumpus and a sterpus and a brimpus. Everything that is a vumpus, a grimpus, or a brimpus is a lempus. Everything that is a lempus or a jompus or a lorpus is a dumpus. Vumpuses are rompuses. Every sterpus is a gorpus. Everything that is a vumpus, a grimpus, or a brimpus is a dumpus. Wumpuses are shumpuses. Polly is a rompus. Polly is a wumpus. Prove: Polly is a lempus or an impus or a yumpus.

A: Polly is a wumpus. Every wumpus is a vumpus and a sterpus and a brimpus. Polly is a vumpus and a sterpus and a brimpus. Polly is a brimpus. Polly is a vumpus, a grimpus, or a brimpus. Everything that is a vumpus, a grimpus, or a brimpus is a lempus. Polly is a lempus. Polly is a lempus, an impus, or a yumpus.

FIGURE 11: An example from PRONTOQA-OOD. This is a compositional example with a min depth of 4 and 3 rule types. The given answer is the expected chain-of-thought. The question is shown in blue, the query in red, and the chain-of-thought/answer in green.

417 A.2 Generative process details

418 In this section, we describe the process to generate examples of each deduction rule.

419 **Implication elimination** (i.e. *modus ponens*) Given $f(c)$ and $\forall x(f(x) \rightarrow g(x))$, prove $g(c)$. These
420 are the examples in the original PRONTOQA. We follow the same process here:

- 421 1. Generate an ontology. For simplicity, we generate linear ontologies, consisting of a collection
422 of concepts, as well as subtype-supertype relations between those concepts (i.e. concept f is a
423 subtype of the supertype g if every instance of f is an instance of g). For simplicity, we limit
424 each type to have at most one supertype.
- 425 2. Perform a random walk of length k from a randomly selected start vertex, where k is the desired
426 proof depth.
- 427 3. Traverse the edges of the ontology and convert each into a sentence of the question.
- 428 4. Convert each step of the random walk into a sentence of the gold chain-of-thought.

429 Note that this process allows us to generate proofs of any depth, but the width is fixed to 1.

430 **Conjunction introduction** Given A and B , prove $A \wedge B$. The generative process is a modified
431 version of that for implication elimination. Instead of generating rules of the form $\forall x(f(x) \rightarrow g(x))$,
432 we generate rules of the form $\forall x(f_1(x) \wedge \dots \wedge f_n(x) \rightarrow g(x))$, where n is the proof width. Given,
433 $f_1(c)$, \dots , and $f_n(c)$, the model must first prove $f_1(c) \wedge \dots \wedge f_n(c)$ before applying implication
434 elimination to prove $g(c)$. To increase the depth of the proof, $g(c)$ itself can be part of a conjunct in
435 the antecedent of another rule.

436 **Conjunction elimination** Given $A \wedge B$, prove A . These examples are identical to those in conjunc-
437 tion introduction, except the conjunction appears in the consequent of each rule, rather than in the
438 antecedent: $\forall x(f(x) \rightarrow g_1(x) \wedge \dots \wedge g_n(x))$ where n is the proof width.

439 **Disjunction introduction** Given A , prove $A \vee B$. These examples are identical to those in conjunc-
440 tion introduction, except the conjunction is replaced with disjunction: $\forall x(f_1(x) \vee \dots \vee f_n(x) \rightarrow g(x))$
441 where n is the proof width. But note that grounded axioms are not necessary for every disjunct: To
442 apply the rule $\forall x(f_1(x) \vee \dots \vee f_n(x) \rightarrow g(x))$, knowing $f_n(c)$ is sufficient, and we do not need to
443 generate grounded axioms for the other disjuncts $f_i(c)$ for $i < n$.

444 **Disjunction elimination** (i.e. *proof by cases*) Given $A_1 \vee \dots \vee A_n$, and $A_i \vdash C$ for all i , prove
445 C . Here, n is the proof width. While it is possible to construct proofs containing multiple nested

446 applications of disjunction elimination, such proofs are quite complex, even for humans to understand,
 447 and so we fix the depth of these examples to 1. To generate an example, we first generate the
 448 disjunction: $f_1(c) \vee \dots \vee f_n(c)$. Next, generate the rules for each case: $\forall x(f_i(x) \rightarrow g(x))$ for all i .
 449 The goal is to prove $g(c)$.

450 **Proof by contradiction** Given $A \vdash B$ and $\neg B$, prove $\neg A$. Note that this is a rule composed
 451 of two natural deduction rules: negation elimination and introduction. But since those individual
 452 rules do not lend themselves to a natural text representation, we choose to study their composition.
 453 Similar to disjunction elimination, it is possible to construct proofs containing multiple nested
 454 applications of proof by contradiction, but such proofs are unnaturally complex. So we fix the
 455 depth to 1. To generate an example, we first generate an axiom $\neg g(c)$. Next, for each subproof, we
 456 generate a rule $\forall x(f_1(x) \vee \dots \vee f_n(x) \rightarrow g(x))$, where n is the proof width. The goal is to prove
 457 $\neg f_1(c) \wedge \dots \wedge \neg f_n(c)$. Note that in addition to proof by contradiction, this proof requires disjunction
 458 introduction, implication elimination, and conjunction introduction.

459 Note that the above list constitutes a complete set of deduction rules from propositional natural
 460 deduction, save for one rule: implication introduction. However, it is unclear how to construct an
 461 example with this deduction rule where its difficulty can be controlled by increasing the width or
 462 depth of the proof (e.g. how can a statement of the form $A_1 \rightarrow A_2 \rightarrow \dots \rightarrow A_n$ be expressed in
 463 natural language?).

464 A.3 Generating compositional proofs

465 We use a simple recursive procedure to generate compositional proofs: (1) select a deduction
 466 rule uniformly at random, (2) select the premises for the selected rule, (3) recursively generate a
 467 subproof for each premise. A consistency checking step is required to make sure we avoid generating
 468 contradictory axioms.⁶ In addition, we avoid generating an elimination rule directly following an
 469 introduction rule (or vice versa).⁷ See Algorithm 1 in the appendix for pseudocode of this procedure.
 470 To test compositional proofs of various sizes, we implement a parameter that controls the minimum
 471 depth of the proof tree, and another parameter that controls the number of distinct rule types in each
 472 proof.

473 A.4 Further details on evaluation of CoT

474 We aim to test whether LLMs are able to use deduction rules OOD, where the rules do not appear
 475 in the in-context examples, and we take care not to be overly strict. For example, we wish to avoid
 476 penalizing the model for formatting differences, so long as the reasoning is correct. To this end, in
 477 determining whether a logical form follows from previous logical forms, we consider any deduction
 478 rule listed in Table 2. We also allow for two additional rules: (1) given $\forall x(f(x) \rightarrow g(x))$ and
 479 $\forall x(g(x) \rightarrow h(x))$ conclude $\forall x(f(x) \rightarrow h(x))$, and (2) given $\forall x(f(x) \rightarrow g(x))$ and $\neg g(c)$ conclude
 480 $\neg f(c)$ (i.e. modus tollens).⁸ Additionally, we are flexible with respect to the ordering of conjuncts
 481 and disjuncts. For example, given the previous steps $f(a) \wedge g(a)$ and $\forall x(g(x) \wedge f(x) \rightarrow u(x) \vee v(x))$,
 482 we consider $v(a) \vee u(a)$ to be valid.

483 A.5 Generating distractors

484 **Implication elimination** For any rule $\forall x(f(x) \rightarrow g(x))$ in the gold proof, we generate a distractor
 485 rule $\forall x(f(x) \rightarrow h(x))$ where the concept h is a distractor and is not helpful in completing the
 486 proof. In addition, for any ground logical form in the gold proof $f(c)$, we generate a distractor
 487 logical form $h(c)$ as well as a rule $\forall x(h(x) \rightarrow h'(x))$. Note that the original PRONTOQA only
 488 adds a single distractor, whereas we add multiple, one for each hop in the proof.

489 **Conjunction introduction** Similar to those in implication elimination. For any rule $\forall x(f_1(x) \wedge \dots \wedge$
 490 $f_n(x) \rightarrow g(x))$, we generate a rule of the form $\forall x(h_1(x) \wedge \dots \wedge h_{n-1}(x) \wedge f_n(x) \rightarrow g(x))$ where

⁶An example is: Suppose we select conjunction introduction as the first rule; next, we recursively generate the proof of each conjunct; suppose for each of these, we choose to generate the axioms `cat(alex)` and `¬cat(alex)`.

⁷In the following example, a conjunction introduction step immediately follows a conjunction elimination step: “Jay is a cat and orange. Jay is a cat. Jay is orange. Jay is a cat and orange.”

⁸Analogous to the *broadly-valid* steps in PRONTOQA.

Algorithm 1: Pseudocode for generating examples of compositional proofs in PRONTOQA-OOD. In this algorithm, Ω denotes the set of all logical forms. The function `generate_compositional_proof` is initially called with parameters Ω, \emptyset, d, e , and `false`, where d is the requested depth and e is a randomly selected entity name (e.g. `alex, fae`, etc). `sample` is a helper function that, given an input set of logical forms S and an entity e , returns `sample_uniform`({set of logical forms in S with minimal depth where all atoms are of the form $t(e)$ where t is a predicate}).

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1 function generate_compositional_proof (set of possible conclusions (logical forms) C,
                                     disallowed deduction rules R,
                                     requested depth d,
                                     ground entity e,
                                     is proof hypothetical h)
2 | initialize  $A$  as the set of all deduction rules excluding those in  $R$ 
   /* filter deduction rules such that: (1) an element of  $C$  can be a conclusion
     of the rule, (2) for which we have sufficient depth, and (3) we don't create
     overly complex logical forms */
3 | if  $C$  does not contain a conjunction
4 |   set  $A = A \setminus \{\text{conjunction\_introduction}\}$ 
5 | if  $C$  does not contain a disjunction
6 |   set  $A = A \setminus \{\text{disjunction\_introduction}\}$ 
7 | if  $h = \text{true}$  or  $d = 1$  or  $C$  does not contain a negation
8 |   set  $A = A \setminus \{\text{proof\_by\_contradiction}\}$ 
9 | if  $h = \text{true}$  or  $d = 1$  or  $C$  contains only conjunctions or only disjunctions
10 |   set  $A = A \setminus \{\text{disjunction\_elimination}\}$ 
11 | if  $C$  contains only conjunctions or only disjunctions
12 |   set  $A = A \setminus \{\text{conjunction\_elimination}\}$ 
13 | if  $C$  contains only conjunctions or only disjunctions and any operand is negated
14 |   set  $A = A \setminus \{\text{implication\_elimination}\}$ 
15 | if  $d = 0$  or  $C$  contains a singleton logical form or  $A = \emptyset$ 
16 |   return axiom step with conclusion given by sample(C, e)
17 |    $r = \text{sample\_uniform}(A)$ 
18 |   if  $r = \text{implication\_elimination}$ 
19 |     do
20 |       for any  $c \in C$ ,  $a$  and  $c$  share any operands or negations of operands
21 |       while  $a = \text{generate\_compositional\_proof}(\Omega, \emptyset, d - 1, e, h)$ 
22 |       do
23 |          $a$  and  $s$  do not share any operands or negations of operands
24 |         while  $s = \text{sample}(C, e)$ 
25 |         return implication\_elimination with premises  $a$  and  $\forall x(a[e \rightarrow x] \rightarrow s[e \rightarrow x])$ 
26 |   else if  $r = \text{conjunction\_introduction}$ 
27 |     initialize  $P$  as an empty list, and  $i = 0$ 
28 |      $L = |C|$  if  $C$  contains only conjunctions, else  $L = 3$ 
29 |     do
30 |       let  $C_i = i^{\text{th}}$  operand of  $C$  if  $C$  contains only conjunctions, else  $C_i = \Omega$ 
31 |        $a = \text{generate\_compositional\_proof}(C_i, \{\text{conjunction\_elimination}\}, d - 1, e, h)$ 
32 |       if  $a$  is atomic and  $a$  is not any other operand of  $C$ 
33 |         append  $a$  to  $P$ 
34 |          $i = i + 1$ 
35 |     while  $i < L$ 
36 |     return conjunction\_introduction with premises  $P$ 
37 |   else if  $r = \text{conjunction\_elimination}$ 
38 |     let  $C'$  be the set of conjunctions of length 3,  $i = \text{sample\_uniform}(\{1, 2, 3\})$ 
39 |      $C' = \{c \in C' : \text{the } i^{\text{th}} \text{ operand of } c' \text{ is in } C\}$ 
40 |     do
41 |        $a = \text{generate\_compositional\_proof}(C', \{\text{conjunction\_introduction}\}, d - 1, e, h)$ 
42 |       while  $a$  has no duplicate operands, and each operand of  $a$  is not itself a conjunction or disjunction
43 |       return conjunction\_elimination with premise  $a$  and conclusion given by the  $i^{\text{th}}$  operand of  $a$ 

```

491 h_i are distractor concepts. Grounded distractor conjuncts are also generated as axioms $h_i(c)$, so
492 that, given $f_n(c)$, both the gold rule and distractor rule are valid proof steps.

493 **Conjunction elimination** Distractors are generated similarly to the conjunction introduction case.

Algorithm 1: (continued from previous page)

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44 else if  $r = \text{disjunction\_introduction}$ 
45   if  $C = \Omega$  let  $C$  be the set of disjunctions of length 3
46    $i = \text{sample\_uniform}(\text{number of disjuncts in } C)$ 
47   do
48     let  $C_i = i^{\text{th}}$  operand of  $C$ 
49      $a = \text{generate\_compositional\_proof}(C_i, \{\text{disjunction\_elimination}\}, d - 1, e, h)$ 
50     while  $a$  is atomic and  $a$  is not any other operand of  $C$ 
51     replace  $i^{\text{th}}$  operand of  $C$  with  $a$ 
52     do
53        $x = \text{sample}(C, e)$ 
54     while  $i^{\text{th}}$  disjunct of  $x$  is distinct from all other disjuncts
55     return  $\text{disjunction\_introduction}$  with premise given by the  $i^{\text{th}}$  operand of  $x$  and conclusion  $x$ 
56 else if  $r = \text{disjunction\_elimination}$ 
57   initialize  $P$  as an empty list
58   while  $|P| < 2$  do
59      $p = \text{generate\_compositional\_proof}(C, \{\text{disjunction\_introduction}\}, d - 1, e, \text{true})$ 
60     if  $p$  is not a conjunction or disjunction and  $p$  has an axiom that is not an axiom of any  $q \in P$ 
61     | append  $p$  to  $P$ 
62     let  $A_i$  be the set of axioms of  $P_i$  that are not axioms of  $P_j$  for  $i \neq j$ 
63     let  $a_i = \text{sample\_uniform}(A_i)$  for all  $i$ 
64     let  $a'$  be a disjunction with disjuncts  $a_i$ 
65      $a = \text{generate\_compositional\_proof}(\{a'\}, \{\text{disjunction\_introduction}\}, d - 1, e, h)$ 
66     return  $\text{disjunction\_introduction}$  with premises  $a$  and  $P_i$ 
67 else if  $r = \text{proof\_by\_contradiction}$ 
68   let  $N$  be the set of all negated logical forms
69    $a = \text{generate\_compositional\_proof}(N, \{\text{proof\_by\_contradiction}\}, d - 1, h)$ 
70   do
71     let  $a = \neg s$ 
72      $b = \text{generate\_compositional\_proof}(\{s\}, \{\text{proof\_by\_contradiction}\}, d - 1, e, \text{true})$ 
73   while  $b$  has an atomic non-negated axiom that is not an axiom of  $a$ 
74    $s' = \text{sample\_uniform}(\{\text{atomic non-negated axioms of } b \text{ that are not axioms of } a\})$ 
75   return  $\text{proof\_by\_contradiction}$  with premises  $a$  and  $b$  and conclusion  $\neg s'$ 
```

494 **Disjunction introduction** Distractors are generated similarly to the conjunction introduction case.

495 **Disjunction elimination** Since this deduction step has many premises, multiple distractors are neces-
496 sary to ensure the model doesn't resort to heuristics. For every rule of the form $\forall x(f_i(x) \rightarrow g(x))$,
497 two distractor rules are generated: $\forall x(f_i(x) \rightarrow h'(x))$ and $\forall x(h_i(x) \rightarrow g(x))$. A distractor
498 disjunction is also generated: $h''(c) \vee h_1(c) \vee \dots \vee h_{n-1}(c)$.

499 **Proof by contradiction** As with disjunction elimination, multiple distractors are necessary here. We
500 generate two distractor rules $\forall x(f_1(x) \vee \dots \vee f_n(x) \rightarrow h(x))$ and $\forall x(h_1(x) \vee \dots \vee h_n(x) \rightarrow g(x))$.
501 We also generate the distractor axiom $\neg h'(c)$ so that the model is forced to choose between two
502 axioms for the first step of the proof.

503 To avoid creating inconsistencies when generating a distractor rule, we avoid using existing predicates
504 in the consequent of each rule.

Algorithm 2: Pseudocode for evaluating the output chain-of-thought. Here, the comparison operations between logical forms ignore the order of conjuncts if both operands are conjunctions; and similarly for disjunctions. In addition, when iterating over previous steps in the proof, we consider them in reverse order, so that more recent steps are prioritized. The helper function `negate` is defined, in order of precedence: `negate($\neg A$) = A`, `negate($A \vee B$) = negate(A) \wedge negate(B)`, `negate($A \wedge B$) = negate(A) \vee negate(B)`, or `negate(A) = $\neg A$` .

```

1 function evaluate_cot(context sentences  $Q_1, \dots, Q_m$ ,
                       predicted chain-of-thought sentences  $C_1, \dots, C_n$ ,
                       goal sentence  $g$ )
2    $L^g = \text{semantic\_parse}(g)$  /* parse the goal */
3   for  $i \in 1, \dots, m$  do /* parse the context */
4      $L_i^Q = \text{semantic\_parse}(Q_i)$ 
5   for  $i \in 1, \dots, m$  do /* parse the predicted chain-of-thought */
6      $L_i^C = \text{semantic\_parse}(C_i)$ 
7   initialize  $S$  as an empty set, and  $H$  as an empty map
8   for  $i \in 1, \dots, n$  do /* reconstruct the proof from the chain-of-thought */
9     if  $L_i^C$  indicates 'this is a contradiction'
10      if  $\text{negate}(L_{i+1}^C) \in H(L_{i-1}^C)$ 
11         $(P, D, k) = (\{L_{i-1}^C, \text{negate}(L_{i+1}^C)\}, \{\text{negate}(L_{i+1}^C)\}, 1)$ 
12      else continue
13    else
14       $(P, D, k) = \text{is\_provable}(L_i^C, \{L_1^Q, \dots, L_m^Q\}, S, H)$ 
15      set  $H(L_i^C) = \bigcup_{p \in P} H(p) \setminus D$ 
16      if  $k \geq 0$ 
17         $\lfloor$  add  $L_i^C$  to  $S$ 
18    return  $L^g \in S$  /* the proof is correct if the final conclusion is provable */
19 function is_provable(logical form  $\varphi$ ,
                       set of axioms  $A$ ,
                       previous conclusions  $S$ ,
                       hypothesis map  $H$ )
20 if  $\varphi \in A$ 
21   return  $(\{\varphi\}, 1)$  /* this is an axiom */
22 else if  $\varphi$  is a conjunction or disjunction
23   initialize  $P'$  as an empty list, and  $k' = 0$ 
24   for  $\varphi_i$  operand in  $\varphi$  do
25      $(P, k) = \text{is\_provable}(\varphi_i, A, S, H)$ 
26     if  $\varphi$  is a conjunction
27       if  $k \geq 0$  and the step immediately preceding  $\varphi$  in the proof is in  $P$ 
28         append  $P$  to  $P'$ 
29         set  $k' = k' + k$ 
30       else break
31     else if  $k > 0$  and  $\varphi$  is a disjunction
32       return  $(P, \emptyset, k + 1)$  /* provable by disjunction introduction */
33   if  $P'$  has the same size as  $\varphi$  has operands
34     return  $(\bigcup P', \emptyset, k')$  /* provable by conjunction introduction */
35   for  $a \in S \cup A$  do
36     if  $a$  is a conjunction and  $\varphi = a_i$  for some  $i$ 
37       return  $(\{a\}, \emptyset, 1 + \mathbb{1}\{a \in A\})$  /* provable by conjunction elimination */
38     else if  $a$  has form  $\forall x(\psi \rightarrow \gamma)$  where  $\gamma[x \mapsto c] = \varphi$ 
39        $(P, k) = \text{is\_provable}(\psi[x \mapsto c], A, S, H)$ 
40       if  $k \geq 0$  and the step immediately preceding  $\varphi$  in the proof is in  $P \cup \{a\}$ 
41         return  $(P \cup \{a\}, \emptyset, k + \mathbb{1}\{a \in A\})$  /* provable by conjunction elimination */
42   for  $s \in S$  where  $s$  is a disjunction do
43     if for all disjuncts  $s_i$ , there is a  $s_j \in S$  such that  $s_j = \varphi$  and  $s_i \in H(s_j)$ 
44       return  $(\{s_j\}, \{s_i\}, 1)$  /* provable by disjunction elimination */

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Algorithm 2: (continued from previous page)

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45 for  $a \in S \cup A$  do
46   if  $a$  has form  $\forall x(\psi \rightarrow \gamma)$  where  $\gamma[x \mapsto c] = \varphi$ 
47      $(P, k) = \text{is\_provable}(\psi[x \mapsto c], A, S, H)$ 
48     if  $k \geq 0$  and the step immediately preceding  $\varphi$  in the proof is in  $P \cup \{a\}$ 
49       return  $(P \cup \{a\}, \emptyset, k + \mathbb{1}\{a \in A\})$  /* provable by implication elimination */
50   else if  $a$  has form  $\forall x(\psi \rightarrow \gamma)$  where  $\text{negate}(\psi[x \mapsto c]) = \varphi$ 
51      $(P, k) = \text{is\_provable}(\text{negate}(\gamma[x \mapsto c]), A, S, H)$ 
52     if  $k \geq 0$  and the step immediately preceding  $\varphi$  in the proof is in  $P \cup \{a\}$ 
53       /* provable with additional deduction rules (modus tollens) */
54       return  $(P \cup \{a\}, \emptyset, k + \mathbb{1}\{a \in A\})$ 
54   if  $\varphi \in S$ 
55     return  $(\{\varphi\}, \emptyset, 0)$  /* proved by previous step */
56   else if  $\varphi$  has form  $\forall x(\psi \rightarrow \gamma)$ 
57     /* note: we precompute this graph */
58     let  $G$  be the graph where for any axiom in  $A$  with form  $\forall x(\alpha \rightarrow \beta)$ ,  $\alpha$  and  $\beta$  are vertices and there is a
59     directed edge from  $\alpha$  to  $\beta$ 
60     if there is a path in  $G$  from  $\psi$  to  $\gamma$ 
61       /* provable with additional deduction rules */
62       return ( axioms corresponding to path edges ,  $\emptyset$ , length of path )
63   return  $(\emptyset, \emptyset, -1)$  /* this step is not provable (i.e., invalid) */
```
