

---

# LegalBench: A Collaboratively Built Benchmark for Measuring Legal Reasoning in Large Language Models

---

Neel Guha<sup>1</sup>, Julian Nyarko<sup>1</sup>, Daniel E. Ho<sup>1</sup>, Christopher Ré<sup>1</sup>, Adam Chilton<sup>2</sup>, Aditya Narayana<sup>3</sup>, Alex Chohlas-Wood<sup>1</sup>, Austin Peters<sup>1</sup>, Brandon Waldon<sup>1</sup>, Daniel N. Rockmore<sup>4</sup>, Diego Zambrano<sup>1</sup>, Dmitry Talisman<sup>3</sup>, Enam Hoque<sup>5</sup>, Faiz Surani<sup>1</sup>, Frank Fagan<sup>6</sup>, Galit Sarfaty<sup>7</sup>, Gregory M. Dickinson<sup>8</sup>, Haggai Porat<sup>9</sup>, Jason Hegland<sup>1</sup>, Jessica Wu<sup>1</sup>, Joe Nudell<sup>1</sup>, Joel Niklaus<sup>1</sup>, John Nay<sup>10</sup>, Jonathan H. Choi<sup>11</sup>, Kevin Tobia<sup>12</sup>, Margaret Hagan<sup>13</sup>, Megan Ma<sup>10</sup>, Michael Livermore<sup>14</sup>, Nikon Rasumov-Rahe<sup>3</sup>, Nils Holzenberger<sup>15</sup>, Noam Kolt<sup>7</sup>, Peter Henderson<sup>1</sup>, Sean Rehaag<sup>16</sup>, Sharad Goel<sup>17</sup>, Shang Gao<sup>20</sup>, Spencer Williams<sup>18</sup>, Sunny Gandhi<sup>19</sup>, Tom Zur<sup>9</sup>, Varun Iyer, and Zehua Li<sup>1</sup>

<sup>1</sup>Stanford University, <sup>2</sup>University of Chicago, <sup>3</sup>Maxime Tools, <sup>4</sup>Dartmouth College, <sup>5</sup>LawBeta, <sup>6</sup>South Texas College of Law Houston, <sup>7</sup>University of Toronto, <sup>8</sup>St. Thomas University Benjamin L. Crump College of Law, <sup>9</sup>Harvard Law School, <sup>10</sup>Stanford Center for Legal Informatics - CodeX, <sup>11</sup>University of Southern California, <sup>12</sup>Georgetown University Law Center, <sup>13</sup>Stanford Law School, <sup>14</sup>University of Virginia, <sup>15</sup>Télécom Paris, Institut Polytechnique de Paris, <sup>16</sup>Osgoode Hall Law School, York University, <sup>17</sup>Harvard Kennedy School, <sup>18</sup>Golden Gate University School of Law, <sup>19</sup>Luddy School of Informatics - Indiana University Bloomington, <sup>20</sup>Casetext

## Abstract

1       The advent of large language models (LLMs) and their adoption by the legal community has given rise to the question: what types of legal reasoning can LLMs perform? To enable greater study of this question, we present LEGALBENCH: 2       a collaboratively constructed legal reasoning benchmark consisting of 162 tasks 3       covering six different types of legal reasoning. LEGALBENCH was built through 4       an interdisciplinary process, in which we collected tasks designed and hand-crafted 5       by legal professionals. Because these subject matter experts took a leading role 6       in construction, tasks either measure legal reasoning capabilities that are practically 7       useful, or measure reasoning skills that lawyers find interesting. To enable 8       cross-disciplinary conversations about LLMs in the law, we additionally show 9       how popular legal frameworks for describing legal reasoning—which distinguish 10       between its many forms—correspond to LEGALBENCH tasks, thus giving lawyers 11       and LLM developers a common vocabulary. This paper describes LEGALBENCH, 12       presents an empirical evaluation of 20 open-source and commercial LLMs, and 13       illustrates the types of research explorations LEGALBENCH enables. 14       15

## 16   1 Introduction

17   Advances in large language models (LLMs) are leading American lawyers and administrators to 18   reexamine the practice of law [53, 63, 161, 57].<sup>1</sup> Proponents have argued that LLMs could alter 19   how lawyers approach tasks ranging from brief writing to corporate compliance [161]. By making 20   legal services more accessible, they could eventually help alleviate the United States’ long standing

---

<sup>1</sup>In using “LLMs”, we are referring to language models which evince in-context learning capabilities (also referred to as “foundation models” [13]). This behavior has traditionally been observed in models with at least a billion parameters.

21 access-to-justice crisis [36, 134]. This perspective is informed by the observation that LLMs possess  
22 special properties which, it is argued, make them more suited for legal tasks. The models’ capacity  
23 to learn new tasks from limited labeled data would reduce the manual data annotation costs that  
24 ordinarily burden the development of legal language models [13]. Their apparent proficiency at  
25 sophisticated reasoning tasks would also make them ideal for the rigor of law, which requires parsing  
26 obtuse texts with heavy jargon, and inferential processes which combine different modalities of  
27 reasoning [158].

28 This excitement, however, is tempered by the fact that legal applications often involve significant  
29 risk [48]. Existing work has shown that LLMs are capable of generating content that is offensive,  
30 misleading, and factually incorrect [10, 80]. Such behaviors—if replicated in legal applications [114]—  
31 could result in substantial harms [146], with much of the potential burden imposed on traditionally  
32 marginalized and under-resourced populations [127, 138]. The safety implications thus create a  
33 pressing need to develop infrastructure and processes for benchmarking LLMs in legal contexts.

34 However, significant challenges face practitioners seeking to assess whether LLMs can perform legal  
35 reasoning. The first challenge is the limited ecosystem of legal benchmarks [158]. The majority  
36 of existing benchmarks, for example, focus on tasks which models learn by finetuning or training  
37 on task-specific data [21]. These benchmarks do not measure the aspects of LLMs which generate  
38 excitement for law—namely, their ability to perform many different tasks using only few-shot prompts.  
39 Relatedly, benchmarking efforts have focused on professional certification exams like the Uniform  
40 Bar Exam [71], but these are not always representative of the actual use-cases for LLMs. The second  
41 challenge is the incongruity between the ways in which existing benchmarks and lawyers frame  
42 “legal reasoning.” Existing benchmarks coarsely generalize all tasks involving legal data or laws as  
43 measuring “legal reasoning.” In contrast, lawyers recognize that legal reasoning is a broad umbrella  
44 term encompassing many distinct types of reasoning [47]. Different legal tasks require different skills  
45 and bodies of knowledge. Because existing legal benchmarks fail to draw these distinctions, it is  
46 difficult for legal professionals to contextualize the performance of modern LLMs within their own  
47 understanding of legal competency. In short: legal benchmarks do not use the same vocabulary or  
48 conceptual frameworks as the legal profession.

49 In light of these limitations, we believe that rigorously evaluating the legal reasoning capabilities of  
50 LLMs will require the legal community to take a more proactive role in the process of benchmarking.  
51 To that end, we present LEGALBENCH: the first steps towards constructing an interdisciplinary  
52 collaborative legal reasoning benchmark for the English language. Over the past year, the authors  
53 of this paper—drawing from their diverse legal and computer science backgrounds—came together  
54 to assemble 162 tasks (from 36 different data sources), each of which measures a specific type of  
55 legal reasoning. LEGALBENCH is thus, to the best of our knowledge, the first *open-source legal*  
56 *benchmarking effort*. We believe that this style of benchmark construction—where domain experts  
57 take an active and participatory role in the crafting of evaluation tasks—illustrates one approach to  
58 interdisciplinary collaboration in LLM research. Importantly, we believe it also shows that legal  
59 professionals have an essential role to play in the assessment and development of LLMs for law.

60 As a research project, we highlight three components of LEGALBENCH:

- 61 1. LEGALBENCH was constructed from a mix of existing legal datasets (restructured for  
62 the few-shot LLM paradigm), and hand-crafted datasets created and contributed by legal  
63 professionals (included as authors on this work). The legal professionals involved in this  
64 collaboration were asked to contribute datasets that they believed to either measure an  
65 interesting legal reasoning skill, or to capture a practically useful application for LLMs  
66 in the law. High performance on LEGALBENCH tasks thus provides useful information,  
67 allowing lawyers to validate their assessment of an LLM’s legal competency, or identify an  
68 LLM that could be used in their workflow.
- 69 2. LEGALBENCH tasks are organized into an extensive typology which describes the types  
70 of legal reasoning required to perform the task. Because this typology is drawn from  
71 frameworks familiar to the legal community, it enables legal professionals to meaningfully  
72 engage in discussions of LLM performance, using a terminology and conceptual framework  
73 familiar to them [47, 124].
- 74 3. Finally, LEGALBENCH is intended as a platform to support further research. For AI  
75 researchers who lack legal expertise, LEGALBENCH comes with significant support for  
76 understanding how to prompt and evaluate different tasks. And as more of the legal

77 community begins to engage with the potential impact and role of LLMs, we hope to grow  
78 LEGALBENCH by continuing to solicit and incorporate tasks from legal professionals.<sup>2</sup>

79 In this paper, we make the following contributions:

- 80 1. First, we present a typology for organizing and describing legal tasks in terms of the types  
81 of reasoning they require. This typology is drawn from frameworks lawyers use to describe  
82 legal reasoning [124].
- 83 2. Second, we provide an overview of the tasks in LEGALBENCH, describing the process by  
84 which they were constructed, important dimensions of heterogeneity, and limitations. A full  
85 description of each task is provided in the Appendix.
- 86 3. Finally, we use LEGALBENCH to evaluate 20 LLMs from 11 different families, across a  
87 range of size points. We make observations regarding the performance of different models  
88 and present an initial study into different prompt-engineering strategies. Ultimately, these  
89 results are intended to highlight different directions of future work that LEGALBENCH may  
90 enable.

91 We hope that this benchmark will be interesting to a diverse set of communities. Practitioners may  
92 use these tasks to determine whether and where LLMs can be integrated into existing workflows to  
93 improve outcomes for clients. Legal academics may benefit from observing the types of annotation  
94 that LLMs are capable of [160], and different forms of empirical scholarly work they may enable.  
95 Computer scientists may benefit from studying the performance of these models in a domain like law,  
96 where distinct lexical properties and unique tasks may surface new insights.

97 Before we progress further, we note that the purpose of this work isn't to evaluate whether computa-  
98 tional systems *should* replace lawyers and legal officers, or to understand the positive and negative  
99 impacts of that replacement [48, 128, 4]. Rather, our goal is to construct artifacts that enable the  
100 relevant stakeholders and affected communities to better understand, *empirically*, the capacity for  
101 LLMs to perform different types of legal tasks. Given the proliferation of computational legal tools,  
102 we believe that answering this question is vital for ensuring their safe and ethical usage.

## 103 2 Related work

104 **Benchmarking legal reasoning** Understanding the extent to which NLP models can perform  
105 tasks or skills traditionally associated with lawyers—or be useful in legal analysis—has been the  
106 focus of significant work [6, 110, 126, 84, 86, 104, 88, 22, 72]. Prior work has identified manually  
107 arduous tasks currently performed by lawyers (e.g., document review, case summarization) and  
108 developed corresponding benchmarks [62, 142, 120, 121, 90, 69]. Researchers have focused on the  
109 technically challenging aspects of legal tasks, like document length, jargon, or inferential reasoning  
110 required [21, 111, 87, 41, 79, 80, 158, 65]. Other work has focused on creating datasets for pretraining  
111 models [60, 129], non-English/multilingual tasks [95, 96, 66, 152, 52, 94, 70, 20, 23, 103, 18], legal  
112 judgement prediction [89, 38, 17, 159], legal role labeling [85], and different forms of retrieval [68].

113 **Large language models and legal reasoning** Importantly, the majority of previous benchmarking  
114 efforts have focused on language models which learn by supervised training or finetuning (e.g.,  
115 BERT variants [45]), and researchers have consequently studied questions related to the role of  
116 domain specific datasets [158, 19, 20]. More recently, researchers have begun to ask whether *large*  
117 language models (LLMs) like GPT-3/4 can perform legal reasoning [73, 154, 67, 12, 29, 31, 155],  
118 citing to evidence of these models' capacity to perform sophisticated reasoning tasks in domains  
119 like math or programming [145, 24]. Unlike BERT-based models, LLMs are evaluated on their  
120 ability to learn tasks *in-context*, primarily through prompting. While a few works have experimented  
121 with LLMs on existing benchmarks [16, 12], most evaluations focus on standardized tests or other  
122 exam equivalents [71, 93, 30]. Studies have explored the role of prompt-engineering [155, 154, 75],  
123 potential applications [93, 29, 148, 117, 116], questions regarding human-LLM interaction [31, 63],  
124 and comparisons to older finetuned-models [91].

---

<sup>2</sup>Cognizant of LEGALBENCH's current skew towards American law, we hope that additional contributions incorporate tasks from other jurisdictions.

125 **Connections to other LLM benchmarking efforts** We highlight connections to two broader  
126 research efforts. First, we draw inspiration from existing efforts within NLP and machine learning to  
127 define fine-grained measures of performance, which allow researchers to discuss model capabilities  
128 with precision and specificity. Examples include the diagnostic set of the GLUE Benchmark [141], the  
129 “reasoning patterns” studied in [100], and the task organization used in HELM [80]. We additionally  
130 draw inspiration from other large-scale collaborative efforts in AI, including the BigBench effort [123],  
131 and studies in medicine [28]. We believe this paradigm of expert-driven evaluation is essential for  
132 specialized domains like law.

### 133 **3 The LEGALBENCH typology**

134 LEGALBENCH identifies six types of legal reasoning that LLMs can be evaluated for: (1) issue-  
135 spotting, (2) rule-recall, (3) rule-application, (4) rule-conclusion, (5) interpretation, and (6) rhetorical-  
136 understanding. We first justify the selection of these types by providing background on how the legal  
137 profession frames “legal reasoning,” and the connections to our typology. We then illustrate how task  
138 datasets may be used to evaluate LLMs for each type, using examples from LEGALBENCH.

139 Though this framework draws heavily on American legal thought, we find it can be easily extended  
140 to characterize LEGALBENCH tasks that implicate non-American bodies of law. We also note that  
141 our types are non-exhaustive, and in future work hope to consider additions to these types.

#### 142 **3.1 Frameworks for legal reasoning**

143 **IRAC** American legal scholars often describe “legal reasoning” as the process of determining the  
144 legal conditions that arise from a set of events or occurrences, with reference to both prior cases and  
145 codified laws [47]. A common framework for executing this type of legal reasoning is the **Issue,**  
146 **Rule, Application and Conclusion (IRAC)** framework [149, 124]. In this framework, legal reasoning  
147 decomposes into four sequential steps.

148 First, lawyers identify the legal issue in a given set of facts (**issue-spotting**). An issue is often either  
149 (1) a specific unanswered legal question posed by the facts, or (2) an area of law implicated in the  
150 facts. Depending on the setting, a lawyer may be told the issue, or be required to *infer* a possible  
151 issue.

152 Second, lawyers identify the relevant legal rules for this issue (**rule-recall**). A rule is a statement  
153 of law which dictates the conditions that are necessary (or sufficient) for some legal outcome to be  
154 achieved. In the United States, rules can come from a variety of sources: the Constitution, federal  
155 and state statutes, regulations, and court opinions (case law). Importantly, rules often differ between  
156 jurisdictions. Hence, the relevant rule in California might be different than the relevant rule in New  
157 York.

158 Third, lawyers apply these rules to the facts at hand (**rule-application**). Application, or the analysis  
159 of rule applicability, consists of identifying those facts which are most relevant to the rule, and  
160 determining how those facts influence the outcome under the rule. Application can also involve  
161 referencing prior cases involving similar rules (i.e. *precedent*), and using the similarities or differences  
162 to those cases to determine the outcome of the current dispute.

163 Finally, lawyers reach a conclusion with regards to their application of law to facts, and determine  
164 what the legal outcome of those facts are (**rule-conclusion**).

165 **Example** We illustrate this framework with a simple example. Suppose that BusinessMart—a  
166 large manufacturing corporation—is being sued by Amy in federal court on diversity jurisdiction.<sup>3</sup>  
167 BusinessMart sells the majority of its goods in Texas, has its headquarters (where its CEO and  
168 board members sit and work) in California, and maintains a factory in Florida. A court is trying to  
169 determine—for the purposes of diversity jurisdiction—where BusinessMart’s “principal place of  
170 business is.”

- 171 • Issue-spotting: Here, a narrow issue is offered—where is BusinessMart’s principal place of  
172 business?

---

<sup>3</sup>Diversity jurisdiction gives federal courts the ability to hear cases between parties that are “citizens” of different states.

- 173 • Rule-recall: A lawyer would recognize that the most relevant rule here comes from the  
174 case *Hertz Corp. v. Friend*,<sup>4</sup> in which the Supreme Court determined “that the phrase  
175 ‘principal place of business’ refers to the place where the corporation’s high level officers  
176 direct, control, and coordinate the corporation’s activities.”
- 177 • Rule-application: Applying this rule to the facts above yields two observations. First, a  
178 corporation’s CEO and board members are examples of high level officers referred to in  
179 *Hertz* that control and conduct a company. Second, the place where BusinessMart’s high  
180 level officers control the company is California, as that is where the CEO and board sit and  
181 work.
- 182 • Rule-conclusion: Based on the chain of inference spelled out in the application stage, a  
183 lawyer would thus conclude that California is BusinessMart’s principal place of business.

184 The extent to which the outcome of the application and conclusion steps follow each other is dictated  
185 by the level of ambiguity in the fact patterns. When the law on a particular question is clear and there  
186 is little ambiguity in the facts (as the case in the above example), then the application and conclusion  
187 steps point towards the same outcome. Sometimes however, the facts may be unclear or contested,  
188 and reasonable minds may differ as the conclusion step. For now, LEGALBENCH focuses entirely on  
189 the former setting (unambiguous answers), and all tasks are considered to have objectively “correct”  
190 answers.

191 **Other types of reasoning** Though IRAC is the most formal framework for legal reasoning, lawyers  
192 recognize a variety of skills which are useful to practice of law [47, 77]. For instance, lawyers are  
193 often required to exercise interpretive skills, in order to identify the rights, obligations, or limitations  
194 of certain legal language (e.g., what a contractual clause may or may not enable). They must also  
195 exhibit rhetorical skills, and understand the types of arguments that are made. Though these tasks  
196 require the knowledge base and skill set of lawyers, they, arguably, do not always fit neatly within the  
197 IRAC framework. Hence, we consider these to be distinct from the examples offered in the previous  
198 section.

### 199 3.2 Evaluating legal reasoning in large language models

200 LEGALBENCH identifies six categories of legal reasoning. For each category, we describe how a  
201 LLM task may evaluate the typified legal reasoning, using examples from LEGALBENCH.

202 **Issue-spotting** LEGALBENCH evaluates issue-spotting through tasks in which an LLM must  
203 determine if a set of facts raise a particular set of legal questions, implicate an area of the law, or are  
204 relevant to a specific party. Issue tasks evaluate a LLM’s ability to reason over the legal implications  
205 of different activities, events, and occurrences. An example of an issue-spotting task is the `learned_`  
206 `hands_benefits` task, which requires an LLM to determine (Yes/No) whether a post on a public legal aid  
207 forum raises issues related to welfare law (i.e., public benefits or social services). The box below shows how a  
208 LLM might be prompted for this task.

#### Issue-spotting example: `learned_hands_benefits`

Does the post discuss public benefits and social services that people can get from the government, like for food, disability, old age, housing, medical help, unemployment, child care, or other social needs?

Post: “I am currently receiving support from social services, idk why, this is just how my life turned out. They have asked for all of my bank information for the past 12 months. I don’t know what this means. Why would they want that?”

Answer: Yes

209

210 **Rule-recall** LEGALBENCH evaluates rule-recall through tasks which require the LLM to generate the correct  
211 legal rule on an issue in a jurisdiction (e.g., the rule for hearsay in US federal court). A rule task can be an  
212 open-ended generation task—in which the LLM must generate the text of the rule for a jurisdiction—or a  
213 classification task—in which the LLM must determine whether the rule exists in that jurisdiction. Anchoring to  
214 jurisdiction is important, as legal rules differ across different jurisdictions. Rule tasks are particularly useful  
215 for measuring *hallucinations* [81]. An example of a rule-recall task is `rule_qa`, a question-answer task where  
216 questions include asking the model to state the formulations for different legal rules, identify where laws are  
217 codified, and general questions about doctrine.

<sup>4</sup>*Hertz Corp. v. Friend*, 559 U.S. 77 (2010).

**Rule-recall example: rule\_qa**

Question: What are the four requirements for class certification under the Federal Rules of Civil Procedure?"

Answer: Numerosity, commonality, typicality, adequacy

218

219 **Rule-conclusion** LEGALBENCH evaluates rule-conclusion through tasks which require an LLM to determine  
220 the legal outcome of a set of facts under a specified rule. LLMs are evaluated purely on whether their predicted  
221 outcome is correct. For example, the `ucc_v_common_law` task asks a LLM to determine whether a contract  
222 is governed by the Uniform Commercial Code (UCC) or the common law of contracts. The LLM is always  
223 provided with the relevant rule, via the prompt (see below).

**Conclusion example: ucc\_v\_common\_law**

The UCC (through Article 2) governs the sale of goods, which are defined as moveable tangible things (cars, apples, books, etc.), whereas the common law governs contracts for real estate and services. For the following contracts, determine if they are governed by the UCC or by common law.

Contract: Alice and Bob enter into a contract for Alice to sell her bike to Bob for \$50. Is this contract governed by the UCC or the common law?

Governed by: UCC

224

225 **Rule-application** LEGALBENCH evaluates rule-application through the same tasks used to measure rule-  
226 conclusion. When evaluating rule-application however, we prompt the LLM to provide an explanation of how  
227 the rule applies to a set of facts, and evaluate the quality of the generated explanation along two dimensions:  
228 (1) whether the explanation is *correct*, and (2) whether it contains *analysis*. Each metric captures a different  
229 dimension upon which a particular rule-application may be good.

230 Correctness corresponds to the criteria that explanations should not contain errors. We focus on five types  
231 of errors: misstatements of the legal rule, misstatements of the fact pattern, incorrectly asserting the legal  
232 outcome, logic errors, and arithmetic errors. Analysis corresponds to the criteria that explanations should contain  
233 inferences from the facts that are relevant under the rule, and illustrate how a conclusion is reached. Consider,  
234 for example, an explanation which restates the rule, the fact pattern, and the predicted legal outcome. If the  
235 predicted legal outcome is correct, then the explanation in its entirety would be correct, because it contains no  
236 error. However, as prior works have noted [71, 30], examples like this are conclusory, and often unsatisfactory in  
237 the context of legal work.

238 To standardize evaluation and enable future work, we have released an “answer guide” for each task used for  
239 rule-application, which contains the inferences required for each sample, and describes common modes of errors  
240 (inspired by [147]). All evaluations in LEGALBENCH for rule-application have been performed with respect to  
241 this answer-guide.

242 Table 1 presents an examples of how three generations (corresponding to the Alice/Bob example above) would  
243 be evaluated under the above metrics. The first generation is incorrect, because it misstates the rule. The second  
244 generation is correct because it contains no falsehoods, but performs no analysis because it does not articulate  
245 inferences. The third generation is both correct and contains analysis, because it has no errors, and explicitly  
246 mentions an essential inference (e.g., that a bike is a “good”).

<b>Incorrect</b>	<b>Correct, but no analysis</b>	<b>Correct and contains analysis</b>
The contract is for Alice to sell her bike to Bob. The contract is governed by the common law, because all goods are governed by the common law.	The contract is for Alice to sell her bike to Bob. The contract is governed by the UCC, because the UCC governs all goods.	The contract is for Alice to sell her bike to Bob. The contract is governed by the UCC, because a bike is a good and all goods are governed by the UCC.

Table 1: An example of how different generations are evaluated for correctness and analysis.

247 **Interpretation** LEGALBENCH evaluates interpretation through tasks which require the LLM to parse and  
248 understand a legal text. Interpretive tasks provide the LLM with a text, and ask the LLM to either extract a  
249 relevant piece of information, answer a question, or categorize the text by some property. Interpretive tasks are  
250 among the most studied and practically relevant tasks in LEGALBENCH, and many have been taken from actual

251 use-cases. An example of an interpretive task is `cuad_audit_right`, which asks the LLM to determine if a  
252 contractual clause contains an “audit right.” An example is shown below:

**Interpretation example: `cuad_audit_right`**

Does the clause give a party the right to audit the books, records, or physical locations of the counterparty to ensure compliance with the contract?

Clause: “We shall have the right at all times to access the information system and to retrieve, analyze, download and use all software, data and files stored or used on the information system.”

Answer: Yes

253

254 **Rhetorical-understanding** LEGALBENCH evaluates rhetorical-understanding through tasks which require  
255 an LLM to reason about legal argumentation and analysis. In these tasks, an LLM is provided with a legal  
256 argument (usually excerpted from a judicial opinion), and asked to determine whether it performs a certain  
257 function or has a certain property. An example is the `definition_classification` task, in which an LLM  
258 must determine if a sentence from a judicial opinion provides a definition of a term.

**Rhetorical-understanding example: `definition_classification`**

Does the sentence define a term?

Sentence: “To animadvert carried the broader implication of “turn[ing] the attention officially or judicially, tak[ing] legal cognizance of anything deserving of chastisement or censure; hence, to proceed by way of punishment or censure.” 1 Oxford English Dictionary 474 (2d ed.1989).”

Answer: Yes

259

260 We emphasize one aspect of LEGALBENCH: IRAC in this work is used as an organizing principle for grouping  
261 tasks. On a law exam, a student would be expected to generate an answer which structurally resembles IRAC,  
262 where each step builds on the inferences of the previous step [71, 30]. LEGALBENCH tasks, in contrast, each  
263 evaluate a single type of legal reasoning. Hence, a task like `learned_hands_benefits` can only be used to  
264 evaluate issue-spotting, and not rule-recall. In future work we hope to add tasks which evaluate multiple steps  
265 jointly.

## 266 4 LEGALBENCH tasks

267 Appendix I discusses each task in detail, providing a description of the reasoning that each task evaluates,  
268 how task data was constructed, task examples, and evaluation protocols. This section provides an overview of  
269 LEGALBENCH.

### 270 4.1 Construction process

271 **Task sources** LEGALBENCH tasks are drawn from three sources. The first source of tasks are existing  
272 available datasets and corpora. Most of these were originally released for non-LLM evaluation settings. In  
273 creating tasks for LEGALBENCH from these sources, we often significantly reformatted data and restructured the  
274 prediction objective. For instance, the original CUAD dataset [62] contains annotations on long-documents and is  
275 intended for evaluating extraction with span-prediction models. We restructure this corpora to generate a binary  
276 classification task for each type of contractual clause. While the original corpus emphasized the long-document  
277 aspects of contracts, our restructured tasks emphasize whether LLMs can identify the distinguishing features  
278 of different types of clauses. The second source of tasks are datasets that were previously constructed by legal  
279 professionals but never released. This primarily includes datasets hand-coded by legal scholars as part of prior  
280 empirical legal projects (e.g., [27]). The last category of tasks are those that were developed specifically for  
281 LEGALBENCH, by the authors of this paper. Overall, tasks are drawn from 36 distinct corpora.

282 **Collaborative component** In August 2022, we published a call for tasks, describing the goals of the project  
283 and its structure [59]. We publicized the project through mailing lists and legal computational conferences.  
284 Submitted tasks were vetted for legal correctness and task validity. Task contributors are drawn from diverse  
285 professional backgrounds within the law (e.g., academics, practitioners, computational legal researchers) and  
286 constitute the authors of this paper.

287 **Infrastructure** LEGALBENCH comes with support designed to enable non-law AI researchers to use and  
288 study LEGALBENCH tasks. First, each LEGALBENCH task is accompanied by extensive documentation  
289 describing how the task is performed, its legal significance, and the construction procedure. The objective of this  
290 documentation is to provide AI researchers with a working understanding of the mechanical processes behind

291 each task, for the purposes of better understanding LLM performance. Second, each task is accompanied by a  
292 “base” prompt, which contains task instructions and demonstrations. The base prompt is provided to promote  
293 replicability and standardization. We anticipate that future research efforts building off of LEGALBENCH  
294 will identify higher performing prompts/prompt formats. We intended to update the LEGALBENCH GitHub  
295 repository with these prompts as they are discovered.

296 **Limitations** We note several limitations of the current LEGALBENCH tasks (additional limitations are noted  
297 in Appendix D). First, when this project began, most LLM context-windows were constrained to a few pages of  
298 text. As a result, the initial round of LEGALBENCH tasks does not involve longer documents. We hope to include  
299 such tasks in future work, particularly as recent technical developments have resulted in significantly longer  
300 context windows [42, 54, 43, 109]. Second, LEGALBENCH’s tasks focus on legal reasoning questions with  
301 objectively correct answers. LEGALBENCH is thus not helpful for evaluating legal reasoning involving degrees  
302 of correctness or tasks where “reasonable minds may differ.” Third, LEGALBENCH only considers English  
303 language tasks, is skewed towards certain jurisdictions (American law), and certain areas of the law (contracts).  
304 Thus, the current iteration of the benchmark limits inferences regarding how LLMs may generalize to legal tasks  
305 involving other jurisdictions. As we continue to solicit and incorporate contributions to LEGALBENCH, we hope  
306 to add tasks addressing these limitations. Finally, LEGALBENCH evaluates IRAC abilities independently, while  
307 law exams and other legal work requires lawyers to generate outputs which follow IRAC in a multi-hop matter  
308 (i.e., each aspect is applied to the same fact pattern).

## 309 4.2 Dimensions of variation

310 **Task structure** All LEGALBENCH tasks contain at least 50 samples, with an average task size of 563 samples  
311 (Appendix G.4). These tasks are comparable in size to those used in benchmarking efforts like BigBench [130],  
312 HELM [80] or RAFT [1]. LEGALBENCH tasks also span different formats: multiple-choice questions (35 tasks),  
313 open-generation (7 tasks), binary classification (112 tasks), and multi-class/multi-label classification (8 tasks).

314 **Reasoning types and legal domains** LEGALBENCH provides tasks for each of the reasoning categories  
315 discussed above: rule-recall (5 tasks), issue-spotting (16 tasks), rule-application (16 tasks), rule-conclusion (16  
316 tasks), interpretation (119 tasks), and rhetorical-understanding (10 tasks). Tasks are predominantly drawn from  
317 areas of law implicating civil matters, including contracts (58 tasks), civil procedure (8 tasks), evidence law (1  
318 task), and corporate law (58 tasks). The skew towards interpretation tasks and tasks from contract law can be  
319 explained by the ubiquity of legal documents from these areas (e.g., contracts, terms-of-service agreements,  
320 disclosures, and etc.) and their immediate commercial implications [62, 76].

321 **Language variation** Legal language is highly heterogeneous, varying in sentence structure, vocabulary, and  
322 rhetorical style across different legal areas and document types [60]. This poses a distinct challenge for LLMs,  
323 which are extremely sensitive to structure of input text and the vocabulary used [80]. LEGALBENCH tasks are  
324 drawn from a diverse set of legal language types, thus enabling researchers to study performance variation across  
325 different categories of legal text. Specifically, LEGALBENCH encompasses tasks with language drawn from  
326 plain English (32 tasks), legal opinions (11 tasks), merger agreements (34 tasks), contracts (55 tasks), statutory  
327 text (3), and other sources.

## 328 5 Results

329 We use LEGALBENCH to conduct a three-part study. In the first part (Section 5.2), we conduct a sweeping  
330 evaluation of 20 LLMs from 11 different families, at four different size points. In the second part (Section  
331 5.3), we show how LEGALBENCH can be used to conduct in-depth evaluations of models. To illustrate, we use  
332 LEGALBENCH to highlight similarities and differences in the performance of three popular commercial models:  
333 GPT-4, GPT-3.5, and Claude-1. In the final part (Section 5.4), we show how LEGALBENCH can be used to study  
334 legal prompting, and conduct a series of experiments that begin to surface tradeoffs and challenges with regards  
335 to guiding LLMs towards certain tasks. Ultimately, our study here serves to illustrate the types of analyses that  
336 LEGALBENCH enables, and highlight potential directions for future work. We summarize findings of our study  
337 here, and provide more details in Appendix J.

### 338 5.1 Setup

339 **Models** We study 20 LLMs from 11 different families. These are: GPT-4 and GPT-3.5 (text-davinci-  
340 003) from OpenAI [14]; Claude-1 (v1.3) from Anthropic [3]; Incite-Instruct-7B, Incite-Base-7B, and Incite-  
341 Instruct-3B from Together [35, 135]; OPT-2.7B, OPT-6.7B, and OPT-13B from Meta [157]; Falcon-7B-Instruct  
342 from TII [2, 105]; MPT-7B-8k-Instruct from MosaicML [131]; Vicuna-7B-16k and Vicuna-13B-16k from  
343 LMSYS [26]; Flan-T5-XL (3B parameters) and Flan-T5-XXL (11B parameters) from Google [32]; LLaMA-  
344 2-7B, and LLaMA-2-13B from Meta [136]; WizardLM-13B [153]; and BLOOM-3b and BLOOM-7B from  
345 BigScience [118]. All inference was performed on two-GPU GCP 40GB A100s, using the Manifest library [99].  
346 HuggingFace links for each model are provided in Appendix J.

347 **Prompts** We designed a prompt for each task by manually writing instructions for the task, and selecting  
348 between zero and eight samples from the available train split to use as in-context demonstration. The number  
349 of samples selected depended on the availability of data and the sequence length of samples (Appendix ??).  
350 For application evaluation, we augmented the prompt with an instruction for the LLM to explain its reasoning.  
351 We used the same prompt for all models with one exception (Claude-1). LLM outputs were generated using  
352 next-token generation at a temperature of 0.0. For classification/extraction tasks, we terminated at a new-line  
353 token. For rule\_qa and all application tasks except diversity\_jurisdiction\_6 we generated 150 tokens.  
354 For diversity\_jurisdiction\_6 we generated 300 tokens.

355 **Evaluation** Classification tasks are evaluated using "exact-match" (following HELM [80]). Because some  
356 tasks contain significant label imbalances, we use balanced-accuracy as a metric. For extraction tasks, we  
357 perform normalization on generated outputs to account for differences in tense/casing/punctuation. A few tasks  
358 (e.g., successor\_liability and ssla\_individual\_defendants) requires the LLM to produce multiple  
359 classes or extracted terms per instance. For these, we evaluate using F1. Rule-application tasks were evaluated  
360 manually by a law-trained individual, who analyzed LLM responses for both correctness and analysis. Appendix  
361 H provides more details on our approach to manual grading. All manual evaluation was performed with reference  
362 to a grading guide, which we additionally make available.

## 363 5.2 Performance trends

364 Table 55 provides the average task performance for all 20 models in five reasoning categories (issue-spotting,  
365 rule-recall, rule-conclusion, interpretation, and rhetorical-understanding). The first block of rows corresponds  
366 to large commercial models, the second block corresponds to models in the 11B-13B range, the third block  
367 corresponds to models in the 6B-7B range, and the final block corresponds to models in the 2B-3B range. Table  
368 56 provides the average task performance for the three large models on rule-application. Appendix J provides  
369 full results for each model on each task.

370 We make several additional observations regarding performance. First, within LLM families, we observe that  
371 larger models usually outperform smaller models. For instance, Flan-T5-XXL (11B parameters) outperforms  
372 Flan-T5-XL (3B parameters) on average across all five reasoning categories, and LLaMA-2-13B outperforms  
373 LLaMA-2-7B on average across four reasoning categories. Second, even for LLMs of the same size, we find  
374 considerable differences in performance. For instance, we observe significant gaps in performance between  
375 Flan-T5-XXL (11B parameters) and Vicuna-13B-16k (13B parameters), across all reasoning categories. This  
376 suggests, unsurprisingly, that the choice of pretraining data, regime of instruction-tuning, and architecture  
377 play an important role in determining performance, and that certain configurations may be better aligned for  
378 LEGALBENCH tasks. Interestingly, we observe that such choices may affect which types of reasoning categories  
379 LLMs appear to perform well at. For instance, we observe that WizardLM-13B performs worse than all peers  
380 on issue-spotting tasks, best on rule-recall tasks, and nearly matches the performance of the best-performing  
381 peer on rule-conclusion tasks. Third, we find evidence that open-source models are capable of performance that  
382 matches or exceeds certain commercial models. For instance, Flan-T5-XXL outperforms GPT-3.5 and Claude-1  
383 on two categories (issue-spotting and rhetorical-understanding), despite the relative gap in parameter count.  
384 Notably, the gap between closed and open-source models is largest for the rule-conclusion category. Amongst  
385 LEGALBENCH tasks, rule-conclusion tasks most like the other types of multi-step/common-sense reasoning  
386 tasks where commercial LLMs have been found to perform well.

## 387 5.3 Comparing commercial models

388 Next, we conduct a more in-depth study of performance, focusing on the three commercial models (GPT-4,  
389 GPT-3.5, and Claude-1). In particular we highlight how LEGALBENCH can provide more rigorous empirical  
390 support for anecdotal observations arising out of the legal community’s use of these models, and explain  
391 performance differences between models. Appendix J provides a more in-depth analysis.

392 We highlight two major findings. First, evaluation on LEGALBENCH reveals that the largest performance  
393 difference between GPT-4 and GPT-3.5/Claude-1 occurs (on average) for rule-application tasks. On rule-  
394 application tasks, we observe that GPT-4 outperforms both GPT-3.5 ( $p < 0.01$ ) and Claude-1 ( $p < 0.01$ ) on  
395 both correctness and analysis. Across LLMs, we find that variation in performance across tasks is consistent with  
396 subjective impressions of task difficulty. For instance, performance on diversity\_jurisdiction\_1 (an easy  
397 task requiring a model to determine if an amount is greater than \$75k and if the plaintiff and defendant are from  
398 different states) is much higher than performance on successor\_liability (a harder task requiring a model  
399 to identify multiple successor liability exceptions in a fact pattern describing a complex transaction). Second,  
400 on the interpretation tasks, we find that on average GPT-4 outperforms GPT-3.5 ( $p < 0.01$ ), and GPT-3.5  
401 outperforms Claude-1 ( $p < 0.01$ ). Here, the larger API-models are highly performant on tasks which involve  
402 binary classification over short clauses. Averaged across the 38 CUAD tasks (contract clauses), for instance,  
403 GPT-4, GPT-3.5, and Claude-1 all have a balanced-accuracy  $\geq 88\%$ . And on proa (statutory clauses), both  
404 GPT-4 and GPT-3.5 have a balanced-accuracy  $\geq 90\%$ . Notably, performance degrades on tasks which contain  
405 longer text sequences or involve multi-class classification. On the Supply Chain Disclosure tasks for instance—in

LLM	Issue	Rule	Conclusion	Interpretation	Rhetorical
GPT-4	<u>82.9</u>	<u>59.2</u>	<u>89.9</u>	<u>75.2</u>	<u>79.4</u>
GPT-3.5	60.9	46.3	78.0	72.6	66.7
Claude-1	58.1	57.7	79.5	67.4	68.9
Flan-T5-XXL	66.0	36.0	63.3	64.4	<u>70.7</u>
LLaMA-2-13B	50.2	37.7	59.3	50.9	54.9
OPT-13B	52.9	28.4	45.0	45.1	43.2
Vicuna-13B-16k	34.3	29.4	34.9	40.0	30.1
WizardLM-13B	24.1	<u>38.0</u>	62.6	50.9	59.8
BLOOM-7B	50.6	24.1	47.2	42.8	40.7
Falcon-7B-Instruct	51.3	25.0	52.9	46.3	44.2
Incite-7B-Base	50.1	<u>36.2</u>	47.0	46.6	40.9
Incite-7B-Instruct	<u>54.9</u>	35.6	52.9	<u>54.5</u>	<u>45.1</u>
LLaMA-2-7B	50.2	33.7	<u>55.9</u>	47.7	47.7
MPT-7B-8k-Instruct	54.3	25.9	48.9	42.1	44.3
OPT-6.7B	52.4	23.1	46.3	48.9	42.2
Vicuna-7B-16k	3.9	14.0	35.6	28.1	14.0
BLOOM-3B	47.4	20.6	45.0	45.0	36.4
Flan-T5-XL	<u>56.8</u>	<u>31.7</u>	<u>52.1</u>	<u>51.4</u>	<u>67.4</u>
Incite-3B-Instruct	51.1	26.9	47.4	49.6	40.2
OPT-2.7B	53.7	22.2	46.0	44.4	39.8

Table 2: Average performance for each LLM over the different LEGALBENCH categories. The first block of rows corresponds to large commercial models, the second block corresponds to models in the 11B-13B range, the third block corresponds to models in the 6B-7B range, and the final block corresponds to models in the 2B-3B range. The columns correspond to (in order): issue-spotting, rule-recall, rule-conclusion, interpretation, and rhetorical-understanding. For each class of models (large, 13B, 7B, and 3B), the best performing model in each category of reasoning is underlined.

LLM	Correctness	Analysis
GPT-4	<u>82.2</u>	<u>79.7</u>
GPT-3.5	58.5	44.2
Claude-v1	61.4	59.0

Table 3: Average performance for the large LLMs on rule-application tasks.

406 which LLMs must classify disclosures which are 1-2 pages in length—the average balanced-accuracy of the  
407 large commercial models ranges between 74-75%. And on the MAUD tasks—which require answering multiple  
408 choice questions about merger deals—the average balanced-accuracy of GPT-4 drops to 47.8% accuracy.

#### 409 5.4 Prompt engineering strategies

410 Finally, we illustrate—through a series of micro-studies—how LEGALBENCH can be used to explore different  
411 aspects of prompt-engineering for LLMs in legal settings. We focus on three questions: (1) Can LLMs rely  
412 on their latent knowledge of a rule for rule-conclusion tasks? (2) Does simplifying task descriptions to plain  
413 language affect performance? (3) Are LLMs sensitive to the choice of in-context demonstrations?

414 We highlight our findings (full results can be found in Appendix J). With regards to (1), we find considerable  
415 variation over studied tasks—some rules appear to be sufficiently well known that explaining the rule to the  
416 LLM in the prompt has a negligible impact on performance. With regards to (2), we find that a plain-language  
417 prompt significantly outperforms the technical language prompt, by up to 21 points (balanced-accuracy) on  
418 the subset of studied tasks. And with regards to (3), we find that LLMs are highly sensitive to the choice of  
419 in-context samples, with performance differences of up to 20pts (balanced-accuracy). These results illustrate  
420 that further work on understanding how to effectively and efficiently prompt LLMs for legal tasks is needed.

## 421 6 Conclusion

422 Our work here describes LEGALBENCH: a collaboratively constructed benchmark of 162 tasks for measuring  
423 the legal reasoning capabilities of LLMs. In future work, we hope to expand this project, by continuing to solicit  
424 and collect interesting and useful tasks from the legal community.

## 425 References

- 426 [1] Neel Alex, Eli Lifland, Lewis Tunstall, Abhishek Thakur, Pegah Maham, C Jess Riedel, Emmie Hine,  
427 Carolyn Ashurst, Paul Sedille, Alexis Carlier, et al. Raft: A real-world few-shot text classification  
428 benchmark. *arXiv preprint arXiv:2109.14076*, 2021.
- 429 [2] Ebtesam Almazrouei, Hamza Alobeidli, Abdulaziz Alshamsi, Alessandro Cappelli, Ruxandra Cojocaru,  
430 Merouane Debbah, Etienne Goffinet, Daniel Heslow, Julien Launay, Quentin Malartic, Badreddine Noune,  
431 Baptiste Pannier, and Guilherme Penedo. Falcon-40B: an open large language model with state-of-the-art  
432 performance. 2023.
- 433 [3] Anthropic. Introducing claude. <https://www.anthropic.com/index/introducing-claude>, 2023.
- 434 [4] Yonathan A Arbel and Samuel Becher. How smart are smart readers? llms and the future of the no-reading  
435 problem. *LLMs and the Future of the No-Reading Problem (June 25, 2023)*, 2023.
- 436 [5] Simran Arora, Avanika Narayan, Mayee F Chen, Laurel J Orr, Neel Guha, Kush Bhatia, Ines Chami,  
437 Frederic Sala, and Christopher Ré. Ask me anything: A simple strategy for prompting language models.  
438 *arXiv preprint arXiv:2210.02441*, 2022.
- 439 [6] Kevin D Ashley. *Artificial intelligence and legal analytics: new tools for law practice in the digital age*.  
440 Cambridge University Press, 2017.
- 441 [7] Ian Ayres and Alan Schwartz. The no-reading problem in consumer contract law. *Stan. L. Rev.*, 66:545,  
442 2014.
- 443 [8] Yannis Bakos, Florencia Marotta-Wurgler, and David R Trossen. Does anyone read the fine print?  
444 consumer attention to standard-form contracts. *The Journal of Legal Studies*, 43(1):1–35, 2014.
- 445 [9] Edward Beeching, Sheon Han, Nathan Lambert, Nazneen Rajani, Omar Sanseviero, Lewis Tunstall,  
446 and Thomas Wolf. Open llm leaderboard. [https://huggingface.co/spaces/HuggingFaceH4/open\\_llm\\_](https://huggingface.co/spaces/HuggingFaceH4/open_llm_leaderboard)  
447 [leaderboard](https://huggingface.co/spaces/HuggingFaceH4/open_llm_leaderboard), 2023.
- 448 [10] Emily M Bender, Timnit Gebru, Angelina McMillan-Major, and Shmargaret Shmitchell. On the dangers  
449 of stochastic parrots: Can language models be too big? In *Proceedings of the 2021 ACM conference on*  
450 *fairness, accountability, and transparency*, pages 610–623, 2021.
- 451 [11] Andrew Blair-Stanek, Nils Holzenberger, and Benjamin Van Durme. Shelter check: Proactively finding  
452 tax minimization strategies via ai. *Tax Notes Federal, Dec*, 12, 2022.
- 453 [12] Andrew Blair-Stanek, Nils Holzenberger, and Benjamin Van Durme. Can gpt-3 perform statutory  
454 reasoning? *arXiv preprint arXiv:2302.06100*, 2023.
- 455 [13] Rishi Bommasani, Drew A Hudson, Ehsan Adeli, Russ Altman, Simran Arora, Sydney von Arx, Michael S  
456 Bernstein, Jeannette Bohg, Antoine Bosselut, Emma Brunskill, et al. On the opportunities and risks of  
457 foundation models. *arXiv preprint arXiv:2108.07258*, 2021.
- 458 [14] Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind  
459 Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models Are Few-Shot  
460 Learners. *Advances in Neural Information Processing Systems*, 33:1877–1901, 2020.
- 461 [15] Bryan Cave, Leighton, and Paisner. 2023 state-by-state ai legislation snapshot.  
462 [https://www.bclplaw.com/en-US/events-insights-news/2023-state-by-state-artificial-intelligence-](https://www.bclplaw.com/en-US/events-insights-news/2023-state-by-state-artificial-intelligence-legislation-snapshot.html)  
463 [legislation-snapshot.html](https://www.bclplaw.com/en-US/events-insights-news/2023-state-by-state-artificial-intelligence-legislation-snapshot.html), 2023.
- 464 [16] Ilias Chalkidis. Chatgpt may pass the bar exam soon, but has a long way to go for the lexglue benchmark.  
465 *arXiv preprint arXiv:2304.12202*, 2023.
- 466 [17] Ilias Chalkidis, Ion Androutsopoulos, and Nikolaos Aletras. Neural legal judgment prediction in english.  
467 *arXiv preprint arXiv:1906.02059*, 2019.

- 468 [18] Ilias Chalkidis, Manos Fergadiotis, and Ion Androutsopoulos. Multieurlex—a multi-lingual and multi-label  
469 legal document classification dataset for zero-shot cross-lingual transfer. *arXiv preprint arXiv:2109.00904*,  
470 2021.
- 471 [19] Ilias Chalkidis, Manos Fergadiotis, Prodromos Malakasiotis, Nikolaos Aletras, and Ion Androutsopoulos.  
472 Legal-bert: The muppets straight out of law school. *arXiv preprint arXiv:2010.02559*, 2020.
- 473 [20] Ilias Chalkidis, Nicolas Garneau, Catalina Goanta, Daniel Martin Katz, and Anders Søgaard. Lexfiles  
474 and legallama: Facilitating english multinational legal language model development. *arXiv preprint*  
475 *arXiv:2305.07507*, 2023.
- 476 [21] Ilias Chalkidis, Abhik Jana, Dirk Hartung, Michael Bommarito, Ion Androutsopoulos, Daniel Katz, and  
477 Nikolaos Aletras. LexGLUE: A Benchmark Dataset for Legal Language Understanding in English. In  
478 *Proceedings of the 60th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*,  
479 pages 4310–4330. Association for Computational Linguistics, 2022.
- 480 [22] Ilias Chalkidis and Dimitrios Kampas. Deep learning in law: early adaptation and legal word embeddings  
481 trained on large corpora. *Artificial Intelligence and Law*, 27(2):171–198, 2019.
- 482 [23] Ilias Chalkidis, Tommaso Pasini, Sheng Zhang, Letizia Tomada, Sebastian Felix Schwemer, and Anders  
483 Søgaard. Fairlex: A multilingual benchmark for evaluating fairness in legal text processing. *arXiv*  
484 *preprint arXiv:2203.07228*, 2022.
- 485 [24] Mark Chen, Jerry Tworek, Heewoo Jun, Qiming Yuan, Henrique Ponde de Oliveira Pinto, Jared Kaplan,  
486 Harri Edwards, Yuri Burda, Nicholas Joseph, Greg Brockman, et al. Evaluating large language models  
487 trained on code. *arXiv preprint arXiv:2107.03374*, 2021.
- 488 [25] Edward K Cheng, Ehud Guttel, and Yuval Procaccia. Unenforceable waivers. *Vanderbilt Law Review*,  
489 *Forthcoming (2023)*, 2022.
- 490 [26] Wei-Lin Chiang, Zhuohan Li, Zi Lin, Ying Sheng, Zhanghao Wu, Hao Zhang, Lianmin Zheng, Siyuan  
491 Zhuang, Yonghao Zhuang, Joseph E. Gonzalez, Ion Stoica, and Eric P. Xing. Vicuna: An open-source  
492 chatbot impressing gpt-4 with 90%\* chatgpt quality, March 2023.
- 493 [27] Adam S Chilton and Galit A Sarfaty. The limitations of supply chain disclosure regimes. *Stan. J. Int'l L.*,  
494 53:1, 2017.
- 495 [28] Travers Ching, Daniel S Himmelstein, Brett K Beaulieu-Jones, Alexandr A Kalinin, Brian T Do, Gregory P  
496 Way, Enrico Ferrero, Paul-Michael Agapow, Michael Zietz, Michael M Hoffman, et al. Opportunities  
497 and Obstacles for Deep Learning in Biology and Medicine. *Journal of The Royal Society Interface*,  
498 15(141):20170387, 2018.
- 499 [29] Jonathan H. Choi. How to use large language models for empirical legal research. *Journal of Institutional*  
500 *and Theoretical Economics*, 2023.
- 501 [30] Jonathan H Choi, Kristin E Hickman, Amy Monahan, and Daniel Schwarcz. Chatgpt goes to law school.  
502 *Available at SSRN*, 2023.
- 503 [31] Jonathan H. Choi and Daniel Schwarcz. Ai assistance in legal analysis: An empirical study. *Available at*  
504 *SSRN 4539836*, 2023.
- 505 [32] Hyung Won Chung, Le Hou, Shayne Longpre, Barret Zoph, Yi Tay, William Fedus, Eric Li, Xuezhi  
506 Wang, Mostafa Dehghani, Siddhartha Brahma, Albert Webson, Shixiang Shane Gu, Zhuyun Dai, Mirac  
507 Suzgun, Xinyun Chen, Aakanksha Chowdhery, Sharan Narang, Gaurav Mishra, Adams Yu, Vincent Zhao,  
508 Yanping Huang, Andrew Dai, Hongkun Yu, Slav Petrov, Ed H. Chi, Jeff Dean, Jacob Devlin, Adam  
509 Roberts, Denny Zhou, Quoc V. Le, and Jason Wei. Scaling instruction-finetuned language models, 2022.
- 510 [33] Christopher Clark, Kenton Lee, Ming-Wei Chang, Tom Kwiatkowski, Michael Collins, and Kristina  
511 Toutanova. Boolq: Exploring the surprising difficulty of natural yes/no questions. *arXiv preprint*  
512 *arXiv:1905.10044*, 2019.
- 513 [34] Karl Cobbe, Vineet Kosaraju, Mohammad Bavarian, Mark Chen, Heewoo Jun, Lukasz Kaiser, Matthias  
514 Plappert, Jerry Tworek, Jacob Hilton, Reiichiro Nakano, et al. Training verifiers to solve math word  
515 problems. *arXiv preprint arXiv:2110.14168*, 2021.
- 516 [35] Together Computer. Redpajama: An open source recipe to reproduce llama training dataset, April 2023.
- 517 [36] Legal Services Corporation. The Justice Gap: Measuring the Unmet Civil Legal Needs of Low-Income  
518 Americans, 2017.

- 519 [37] Legal Services Corporation. Eviction laws database: Local dataset. [https://www.lsc.gov/initiatives/effect-](https://www.lsc.gov/initiatives/effect-state-local-laws-evictions/lsc-eviction-laws-database)  
520 [state-local-laws-evictions/lsc-eviction-laws-database](https://www.lsc.gov/initiatives/effect-state-local-laws-evictions/lsc-eviction-laws-database), 2021.
- 521 [38] Junyun Cui, Xiaoyu Shen, Feiping Nie, Zheng Wang, Jinglong Wang, and Yulong Chen. A survey on  
522 legal judgment prediction: Datasets, metrics, models and challenges. *arXiv preprint arXiv:2204.04859*,  
523 2022.
- 524 [39] Faraz Dadgostari, Mauricio Guim, Peter A Beling, Michael A Livermore, and Daniel N Rockmore.  
525 Modeling law search as prediction. *Artificial Intelligence and Law*, 29:3–34, 2021.
- 526 [40] Ido Dagan, Oren Glickman, and Bernardo Magnini. The pascal recognising textual entailment challenge.  
527 In *Machine Learning Challenges. Evaluating Predictive Uncertainty, Visual Object Classification, and*  
528 *Recognising Tectual Entailment: First PASCAL Machine Learning Challenges Workshop, MLCW 2005,*  
529 *Southampton, UK, April 11-13, 2005, Revised Selected Papers*, pages 177–190. Springer, 2006.
- 530 [41] Xiang Dai, Ilias Chalkidis, Sune Darkner, and Desmond Elliott. Revisiting transformer-based models for  
531 long document classification. *arXiv preprint arXiv:2204.06683*, 2022.
- 532 [42] Tri Dao, Dan Fu, Stefano Ermon, Atri Rudra, and Christopher Ré. Flashattention: Fast and memory-  
533 efficient exact attention with io-awareness. *Advances in Neural Information Processing Systems*, 35:16344–  
534 16359, 2022.
- 535 [43] Tri Dao, Daniel Y Fu, Khaled K Saab, Armin W Thomas, Atri Rudra, and Christopher Ré. Hungry  
536 hungry hippos: Towards language modeling with state space models. *arXiv preprint arXiv:2212.14052*,  
537 2022.
- 538 [44] Yasmin Dawood. Campaign finance and american democracy. *Annual Review of Political Science*,  
539 18:329–348, 2015.
- 540 [45] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. BERT: pre-training of deep  
541 bidirectional transformers for language understanding. *CoRR*, abs/1810.04805, 2018.
- 542 [46] Gregory M Dickinson. A computational analysis of oral argument in the supreme court. *Cornell JL &*  
543 *Pub. Pol’y*, 28:449, 2018.
- 544 [47] Phoebe C Ellsworth. Legal reasoning. In K. J. Holyoak and R. G. Morrison Jr., editors, *The Cambridge*  
545 *Handbook of Thinking and Reasoning*, pages 685–704. Cambridge University Press, New York, 2005.
- 546 [48] David Freeman Engstrom and Jonah B Gelbach. Legal Tech, Civil Procedure, and the Future of  
547 Adversarialism. *University of Pennsylvania Law Review*, 169:1001, 2020.
- 548 [49] Epiq. Pandemics and force majeure: How can ai help you?  
549 <https://www.jdsupra.com/legalnews/pandemics-and-force-majeure-how-can-ai-90757/>, 2020.
- 550 [50] Frank Fagan. From policy confusion to doctrinal clarity: successor liability from the perspective of big  
551 data. *Va. L. & Bus. Rev.*, 9:391, 2014.
- 552 [51] Sean Farhang. The litigation state. In *The Litigation State*. Princeton University Press, 2010.
- 553 [52] Yi Feng, Chuanyi Li, and Vincent Ng. Legal judgment prediction: A survey of the state of the art. *IJCAI*.  
554 *ijcai.org*, pages 5461–9, 2022.
- 555 [53] Jens Frankenreiter and Julian Nyarko. Natural language processing in legal tech. *Legal Tech and the*  
556 *Future of Civil Justice (David Engstrom ed.)*, 2022.
- 557 [54] Daniel Y Fu, Elliot L Epstein, Eric Nguyen, Armin W Thomas, Michael Zhang, Tri Dao, Atri Rudra,  
558 and Christopher Ré. Simple hardware-efficient long convolutions for sequence modeling. *arXiv preprint*  
559 *arXiv:2302.06646*, 2023.
- 560 [55] Timnit Gebru, Jamie Morgenstern, Briana Vecchione, Jennifer Wortman Vaughan, Hanna Wallach,  
561 Hal Daumé Iii, and Kate Crawford. Datasheets for datasets. *Communications of the ACM*, 64(12):86–92,  
562 2021.
- 563 [56] Saibo Geng, Rémi Lebret, and Karl Aberer. Legal transformer models may not always help. *arXiv*  
564 *preprint arXiv:2109.06862*, 2021.
- 565 [57] Kurt Glaze, Daniel E Ho, Gerald K Ray, and Christine Tsang. Artificial Intelligence for Adjudication:  
566 The Social Security Administration and AI Governance. In Justin Bullock, Yu-Che Chen, Johannes  
567 Himmelreich, Valerie M. Hudson, Anton Korinek, Matthew Young , and Baobao Zhang, editors, *The*  
568 *Oxford Handbook of AI Governance*, pages 685–704. Oxford University Press, 2022.

- 569 [58] Neel Guha, Mayee F Chen, Kush Bhatia, Azalia Mirhoseini, Frederic Sala, and Christopher Ré. Embroid:  
570 Unsupervised prediction smoothing can improve few-shot classification. *arXiv preprint arXiv:2307.11031*,  
571 2023.
- 572 [59] Neel Guha, Daniel E Ho, Julian Nyarko, and Christopher Ré. Legalbench: Prototyping a collaborative  
573 benchmark for legal reasoning. *arXiv preprint arXiv:2209.06120*, 2022.
- 574 [60] Peter Henderson, Mark S. Krass, Lucia Zheng, Neel Guha, Christopher D. Manning, Dan Jurafsky, and  
575 Daniel E. Ho. Pile of law: Learning responsible data filtering from the law and a 256gb open-source legal  
576 dataset, 2022.
- 577 [61] Dan Hendrycks, Collin Burns, Steven Basart, Andy Zou, Mantas Mazeika, Dawn Song, and Jacob  
578 Steinhardt. Measuring massive multitask language understanding. *arXiv preprint arXiv:2009.03300*,  
579 2020.
- 580 [62] Dan Hendrycks, Collin Burns, Anya Chen, and Spencer Ball. CUAD: An Expert-Annotated NLP Dataset  
581 for Legal Contract Review. In J. Vanschoren and S. Yeung, editors, *Proceedings of the Neural Information*  
582 *Processing Systems Track on Datasets and Benchmarks*, volume 1, 2021.
- 583 [63] David Hoffman and Yonathan Arbel. Generative interpretation. *Available at SSRN 4526219*, 2023.
- 584 [64] David A Hoffman. Defeating the empire of forms. *Available at SSRN 4334425*, 2023.
- 585 [65] Nils Holzenberger, Andrew Blair-Stanek, and Benjamin Van Durme. A dataset for statutory reasoning in  
586 tax law entailment and question answering. *arXiv preprint arXiv:2005.05257*, 2020.
- 587 [66] Wonseok Hwang, Dongjun Lee, Kyoungyeon Cho, Hanuhl Lee, and Minjoon Seo. A multi-task benchmark  
588 for korean legal language understanding and judgement prediction. *Advances in Neural Information*  
589 *Processing Systems*, 35:32537–32551, 2022.
- 590 [67] Cong Jiang and Xiaolei Yang. Legal syllogism prompting: Teaching large language models for legal  
591 judgment prediction. *arXiv preprint arXiv:2307.08321*, 2023.
- 592 [68] Abhinav Joshi, Akshat Sharma, Sai Kiran Tanikella, and Ashutosh Modi. U-creat: Unsupervised case  
593 retrieval using events extraction. *arXiv preprint arXiv:2307.05260*, 2023.
- 594 [69] Ambedkar Kanapala, Sukomal Pal, and Rajendra Pamula. Text summarization from legal documents: a  
595 survey. *Artificial Intelligence Review*, 51:371–402, 2019.
- 596 [70] Arnav Kapoor, Mudit Dhawan, Anmol Goel, TH Arjun, Akshala Bhatnagar, Vibhu Agrawal, Amul  
597 Agrawal, Arnab Bhattacharya, Ponnurangam Kumaraguru, and Ashutosh Modi. Hldc: Hindi legal  
598 documents corpus. *arXiv preprint arXiv:2204.00806*, 2022.
- 599 [71] Daniel Martin Katz, Michael James Bommarito, Shang Gao, and Pablo Arredondo. Gpt-4 passes the bar  
600 exam. *Available at SSRN 4389233*, 2023.
- 601 [72] Daniel Martin Katz, Dirk Hartung, Lauritz Gerlach, Abhik Jana, and Michael J Bommarito II. Natural  
602 language processing in the legal domain. *arXiv preprint arXiv:2302.12039*, 2023.
- 603 [73] Noam Kolt. Predicting consumer contracts. *Berkeley Technology Law Journal*, 37, 2022.
- 604 [74] Yuta Koreeda and Christopher D Manning. Contractnli: A dataset for document-level natural language  
605 inference for contracts. *arXiv preprint arXiv:2110.01799*, 2021.
- 606 [75] Aditya Kuppa, Nikon Rasumov-Rahe, and Marc Voses. Chain of reference prompting helps llm to think  
607 like a lawyer. *Generative AI + Law Workshop*, 2023.
- 608 [76] Kwok-Yan Lam, Victor CW Cheng, and Zee Kin Yeong. Applying large language models for enhancing  
609 contract drafting. *Proceedings of the Third International Workshop on Artificial Intelligence and Intelligent*  
610 *Assistance for Legal Professionals in the Digital Workspace (LegalAIIA 2023)*, 2023.
- 611 [77] Grant Lamond. Precedent and Analogy in Legal Reasoning. *Stanford Encyclopedia of Philosophy*, 2006.
- 612 [78] Sarah B Lawsky. A logic for statutes. *Fla. Tax Rev.*, 21:60, 2017.
- 613 [79] Zehua Li, Neel Guha, and Julian Nyarko. Don’t use a cannon to kill a fly: An efficient cascading pipeline  
614 for long documents. *International Conference on AI and Law*, 2023.

- 615 [80] Percy Liang, Rishi Bommasani, Tony Lee, Dimitris Tsipras, Dilara Soylu, Michihiro Yasunaga, Yian  
616 Zhang, Deepak Narayanan, Yuhuai Wu, Ananya Kumar, et al. Holistic evaluation of language models.  
617 *arXiv preprint arXiv:2211.09110*, 2022.
- 618 [81] Stephanie Lin, Jacob Hilton, and Owain Evans. Truthfulqa: Measuring how models mimic human  
619 falsehoods. *arXiv preprint arXiv:2109.07958*, 2021.
- 620 [82] Marco Lippi, Przemysław Pałka, Giuseppe Contissa, Francesca Lagioia, Hans-Wolfgang Micklitz,  
621 Giovanni Sartor, and Paolo Torroni. Claudette: an automated detector of potentially unfair clauses  
622 in online terms of service. *Artificial Intelligence and Law*, 27:117–139, 2019.
- 623 [83] William V Luneburg and Thomas M Susman. The lobbying manual: a complete guide to federal lobbying  
624 law and practice. American Bar Association, 2009.
- 625 [84] Bingfeng Luo, Yansong Feng, Jianbo Xu, Xiang Zhang, and Dongyan Zhao. Learning to predict charges  
626 for criminal cases with legal basis. *arXiv preprint arXiv:1707.09168*, 2017.
- 627 [85] Vijit Malik, Rishabh Sanjay, Shouvik Kumar Guha, Angshuman Hazarika, Shubham Nigam, Arnab  
628 Bhattacharya, and Ashutosh Modi. Semantic segmentation of legal documents via rhetorical roles. *arXiv  
629 preprint arXiv:2112.01836*, 2021.
- 630 [86] Vijit Malik, Rishabh Sanjay, Shubham Kumar Nigam, Kripa Ghosh, Shouvik Kumar Guha, Arnab  
631 Bhattacharya, and Ashutosh Modi. Ildc for cjpe: Indian legal documents corpus for court judgment  
632 prediction and explanation. *arXiv preprint arXiv:2105.13562*, 2021.
- 633 [87] Dimitris Mamakas, Petros Tsotsi, Ion Androutsopoulos, and Ilias Chalkidis. Processing long legal docu-  
634 ments with pre-trained transformers: Modding legalbert and longformer. *arXiv preprint arXiv:2211.00974*,  
635 2022.
- 636 [88] Stelios Maroudas, Sotiris Legkas, Prodrimos Malakasiotis, and Ilias Chalkidis. Legal-tech open diaries:  
637 Lesson learned on how to develop and deploy light-weight models in the era of humongous language  
638 models. *arXiv preprint arXiv:2210.13086*, 2022.
- 639 [89] Masha Medvedeva, Martijn Wieling, and Michel Vols. Rethinking the field of automatic prediction of  
640 court decisions. *Artificial Intelligence and Law*, 31(1):195–212, 2023.
- 641 [90] Kaiz Merchant and Yash Pande. Nlp based latent semantic analysis for legal text summarization. In *2018  
642 international conference on advances in computing, communications and informatics (ICACCI)*, pages  
643 1803–1807. IEEE, 2018.
- 644 [91] Guilherme Moraes Rosa, Luiz Bonifacio, Vitor Jeronymo, Hugo Abonizio, Roberto Lotufo, and Rodrigo  
645 Nogueira. Billions of parameters are worth more than in-domain training data: A case study in the legal  
646 case entailment task. *arXiv e-prints*, pages arXiv–2205, 2022.
- 647 [92] John J Nay. Predicting and understanding law-making with word vectors and an ensemble model. *PloS  
648 one*, 12(5):e0176999, 2017.
- 649 [93] John J. Nay, David Karamardian, Sarah B. Lawsky, Wenting Tao, Meghana Bhat, Raghav Jain,  
650 Aaron Travis Lee, Jonathan H. Choi, and Jungo Kasai. Large language models as tax attorneys: A  
651 case study in legal capabilities emergence, 2023.
- 652 [94] Joel Niklaus, Ilias Chalkidis, and Matthias Stürmer. Swiss-judgment-prediction: A multilingual legal  
653 judgment prediction benchmark. *arXiv preprint arXiv:2110.00806*, 2021.
- 654 [95] Joel Niklaus, Veton Matoshi, Pooja Rani, Andrea Galassi, Matthias Stürmer, and Ilias Chalkidis. Lextreme:  
655 A multi-lingual and multi-task benchmark for the legal domain. *arXiv preprint arXiv:2301.13126*, 2023.
- 656 [96] Joel Niklaus, Veton Matoshi, Matthias Stürmer, Ilias Chalkidis, and Daniel E Ho. Multilegalpile: A  
657 689gb multilingual legal corpus. *arXiv preprint arXiv:2306.02069*, 2023.
- 658 [97] Jonathan A Obar and Anne Oeldorf-Hirsch. The biggest lie on the internet: Ignoring the privacy policies  
659 and terms of service policies of social networking services. *Information, Communication & Society*,  
660 23(1):128–147, 2020.
- 661 [98] OpenAI. Gpt-4 technical report, 2023.
- 662 [99] Laurel Orr. Manifest. <https://github.com/HazyResearch/manifest>, 2022.

- 663 [100] Laurel Orr, Megan Leszczynski, Simran Arora, Sen Wu, Neel Guha, Xiao Ling, and Christopher Re. Boot-  
664 leg: Chasing the tail with self-supervised named entity disambiguation. *arXiv preprint arXiv:2010.10363*,  
665 2020.
- 666 [101] Anja Oskamp and Marc Lauritsen. Ai in law practice? so far, not much. *AI & L.*, 10:227, 2002.
- 667 [102] Adam Pah, David Schwartz, Sarath Sanga, Charlotte Alexander, Kristian Hammond, Luis Amaral,  
668 SCALES OKN Consortium, et al. The promise of ai in an open justice system. *AI Magazine*, 43(1):69–74,  
669 2022.
- 670 [103] Christos Papaloukas, Ilias Chalkidis, Konstantinos Athinaios, Despina-Athanasia Pantazi, and Manolis  
671 Koubarakis. Multi-granular legal topic classification on greek legislation. *arXiv preprint*  
672 *arXiv:2109.15298*, 2021.
- 673 [104] Shounak Paul, Pawan Goyal, and Saptarshi Ghosh. Lesicin: A heterogeneous graph-based approach for  
674 automatic legal statute identification from indian legal documents. In *Proceedings of the AAAI conference*  
675 *on artificial intelligence*, volume 36, pages 11139–11146, 2022.
- 676 [105] Guilherme Penedo, Quentin Malartic, Daniel Hesslow, Ruxandra Cojocaru, Alessandro Cappelli, Hamza  
677 Alobeidli, Baptiste Pannier, Ebtesam Almazrouei, and Julien Launay. The RefinedWeb dataset for Falcon  
678 LLM: outperforming curated corpora with web data, and web data only. *arXiv preprint arXiv:2306.01116*,  
679 2023.
- 680 [106] Baolin Peng, Michel Galley, Pengcheng He, Hao Cheng, Yujia Xie, Yu Hu, Qiuyuan Huang, Lars Liden,  
681 Zhou Yu, Weizhu Chen, et al. Check your facts and try again: Improving large language models with  
682 external knowledge and automated feedback. *arXiv preprint arXiv:2302.12813*, 2023.
- 683 [107] Ethan Perez, Douwe Kiela, and Kyunghyun Cho. True few-shot learning with language models. *Advances*  
684 *in neural information processing systems*, 34:11054–11070, 2021.
- 685 [108] Fabio Petroni, Tim Rocktäschel, Patrick Lewis, Anton Bakhtin, Yuxiang Wu, Alexander H Miller, and  
686 Sebastian Riedel. Language models as knowledge bases? *arXiv preprint arXiv:1909.01066*, 2019.
- 687 [109] Michael Poli, Stefano Massaroli, Eric Nguyen, Daniel Y Fu, Tri Dao, Stephen Baccus, Yoshua Bengio,  
688 Stefano Ermon, and Christopher Ré. Hyena hierarchy: Towards larger convolutional language models.  
689 *arXiv preprint arXiv:2302.10866*, 2023.
- 690 [110] Juliano Rabelo, Randy Goebel, Mi-Young Kim, Yoshinobu Kano, Masaharu Yoshioka, and Ken Satoh.  
691 Overview and discussion of the competition on legal information extraction/entailment (coliee) 2021. *The*  
692 *Review of Socionetwork Strategies*, 16(1):111–133, 2022.
- 693 [111] Vishvaksenan Rasiah, Ronja Stern, Veton Matoshi, Matthias Stürmer, Ilias Chalkidis, Daniel E Ho, and  
694 Joel Niklaus. Scale: Scaling up the complexity for advanced language model evaluation. *arXiv preprint*  
695 *arXiv:2306.09237*, 2023.
- 696 [112] Abhilasha Ravichander, Alan W Black, Shomir Wilson, Thomas Norton, and Norman Sadeh. Question  
697 answering for privacy policies: Combining computational and legal perspectives. *arXiv preprint*  
698 *arXiv:1911.00841*, 2019.
- 699 [113] Danilo Ribeiro, Shen Wang, Xiaofei Ma, Henry Zhu, Rui Dong, Deguang Kong, Juliette Burger, Anjelica  
700 Ramos, William Wang, Zhiheng Huang, et al. Street: A multi-task structured reasoning and explanation  
701 benchmark. *arXiv preprint arXiv:2302.06729*, 2023.
- 702 [114] James Romoser. No, ruth bader ginsburg did not dissent in obergefell — and other things chatgpt gets  
703 wrong about the supreme court. *SCOTUSblog*, 2023.
- 704 [115] Erik F Sang and Fien De Meulder. Introduction to the conll-2003 shared task: Language-independent  
705 named entity recognition. *arXiv preprint cs/0306050*, 2003.
- 706 [116] Jaromir Savelka. Unlocking practical applications in legal domain: Evaluation of gpt for zero-shot  
707 semantic annotation of legal texts. *arXiv preprint arXiv:2305.04417*, 2023.
- 708 [117] Jaromir Savelka, Kevin D Ashley, Morgan A Gray, Hannes Westermann, and Huihui Xu. Can gpt-4  
709 support analysis of textual data in tasks requiring highly specialized domain expertise? *arXiv preprint*  
710 *arXiv:2306.13906*, 2023.
- 711 [118] Teven Le Scao, Angela Fan, Christopher Akiki, Ellie Pavlick, Suzana Ilić, Daniel Hesslow, Roman  
712 Castagné, Alexandra Sasha Luccioni, François Yvon, Matthias Gallé, et al. Bloom: A 176b-parameter  
713 open-access multilingual language model. *arXiv preprint arXiv:2211.05100*, 2022.

- 714 [119] Robert E Scott, Stephen J Choi, and Mitu Gulati. Contractual landmines. *Available at SSRN*, 2022.
- 715 [120] Zejiang Shen, Kyle Lo, Lauren Yu, Nathan Dahlberg, Margo Schlanger, and Doug Downey. Multi-lexsum:  
716 Real-world summaries of civil rights lawsuits at multiple granularities. *arXiv preprint arXiv:2206.10883*,  
717 2022.
- 718 [121] Abhay Shukla, Paheli Bhattacharya, Soham Poddar, Rajdeep Mukherjee, Kripabandhu Ghosh, Pawan  
719 Goyal, and Saptarshi Ghosh. Legal case document summarization: Extractive and abstractive methods  
720 and their evaluation. *arXiv preprint arXiv:2210.07544*, 2022.
- 721 [122] Cecilia Silver. Breaking news: Drafting client alerts to prepare for practice. *Perspectives: Teaching Legal  
722 Research and Writing*, 27, 2019.
- 723 [123] Aarohi Srivastava, Abhinav Rastogi, Abhishek Rao, Abu Awal Md Shoeb, Abubakar Abid, Adam Fisch,  
724 Adam R Brown, Adam Santoro, Aditya Gupta, Adrià Garriga-Alonso, et al. Beyond the Imitation Game:  
725 Quantifying and Extrapolating the Capabilities of Language Models. *arXiv preprint arXiv:2206.04615*,  
726 2022.
- 727 [124] Norman Otto Stockmeyer. Legal Reasoning? It’s All About IRAC, Mar 2021.
- 728 [125] Hongjin Su, Jungo Kasai, Chen Henry Wu, Weijia Shi, Tianlu Wang, Jiayi Xin, Rui Zhang, Mari  
729 Ostendorf, Luke Zettlemoyer, Noah A Smith, et al. Selective annotation makes language models better  
730 few-shot learners. *arXiv preprint arXiv:2209.01975*, 2022.
- 731 [126] Harry Surden. Artificial intelligence and law: An overview. *Georgia State University Law Review*,  
732 35:19–22, 2019.
- 733 [127] Harry Surden. The ethics of artificial intelligence in law: Basic questions. *Forthcoming chapter in Oxford  
734 Handbook of Ethics of AI*, pages 19–29, 2020.
- 735 [128] Harry Surden. Values embedded in legal artificial intelligence. *IEEE Technology and Society Magazine*,  
736 41(1):66–74, 2022.
- 737 [129] Mirac Suzgun, Luke Melas-Kyriazi, Suproteem K Sarkar, Scott Duke Kominers, and Stuart M Shieber.  
738 The harvard uspto patent dataset: A large-scale, well-structured, and multi-purpose corpus of patent  
739 applications. *arXiv preprint arXiv:2207.04043*, 2022.
- 740 [130] Mirac Suzgun, Nathan Scales, Nathanael Schärli, Sebastian Gehrmann, Yi Tay, Hyung Won Chung,  
741 Aakanksha Chowdhery, Quoc V Le, Ed H Chi, Denny Zhou, et al. Challenging big-bench tasks and  
742 whether chain-of-thought can solve them. *arXiv preprint arXiv:2210.09261*, 2022.
- 743 [131] MosaicML NLP Team. Introducing mpt-30b: Raising the bar for open-source foundation models, 2023.  
744 Accessed: 2023-06-22.
- 745 [132] Wex Definitions Team. ejusdem generis. [https://www.law.cornell.edu/wex/ejusdem\\_generis](https://www.law.cornell.edu/wex/ejusdem_generis),  
746 2022.
- 747 [133] Wex Definitions Team. textualism. <https://www.law.cornell.edu/wex/textualism>, 2022.
- 748 [134] Joel Tito. How ai can improve access to justice, 2017.
- 749 [135] Together. Releasing 3b and 7b redpajama-incite family of models including base, instruction-tuned &  
750 chat models. <https://www.together.xyz/blog/redpajama-models-v1>, 2023.
- 751 [136] Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay  
752 Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. Llama 2: Open foundation and  
753 fine-tuned chat models. *arXiv preprint arXiv:2307.09288*, 2023.
- 754 [137] Maarten Peter VINK, Luuk Van der Baaren, Rainer Bauböck, Iseult Honohan, and Bronwen Manby.  
755 Globalcit citizenship law dataset. 2021.
- 756 [138] Eugene Volokh. Chatgpt coming to court, by way of self-represented litigants. *The Volokh Conspiracy*,  
757 2023.
- 758 [139] Brandon Waldon, Madigan Brodsky, Megan Ma, and Judith Degen. Predicting consensus in legal  
759 document interpretation. In *Proceedings of the 45th Annual Conference of the Cognitive Science Society*,  
760 to appear.

- 761 [140] Alex Wang, Yada Pruksachatkun, Nikita Nangia, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy,  
762 and Samuel Bowman. Superglue: A stickier benchmark for general-purpose language understanding  
763 systems. *Advances in neural information processing systems*, 32, 2019.
- 764 [141] Alex Wang, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy, and Samuel R Bowman. Glue:  
765 A multi-task benchmark and analysis platform for natural language understanding. *arXiv preprint*  
766 *arXiv:1804.07461*, 2018.
- 767 [142] Steven H. Wang, Antoine Scardigli, Leonard Tang, Wei Chen, Dmitry Levkin, Anya Chen, Spencer Ball,  
768 Thomas Woodside, Oliver Zhang, and Dan Hendrycks. Maud: An expert-annotated legal nlp dataset for  
769 merger agreement understanding, 2023.
- 770 [143] Xinyi Wang, Wanrong Zhu, and William Yang Wang. Large language models are implicitly topic models:  
771 Explaining and finding good demonstrations for in-context learning. *arXiv preprint arXiv:2301.11916*,  
772 2023.
- 773 [144] Alex Warstadt, Amanpreet Singh, and Samuel R Bowman. Neural network acceptability judgments.  
774 *Transactions of the Association for Computational Linguistics*, 7:625–641, 2019.
- 775 [145] Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Ed H. Chi, Quoc Le, and Denny Zhou.  
776 Chain of thought prompting elicits reasoning in large language models. *CoRR*, abs/2201.11903, 2022.
- 777 [146] Benjamin Weiser. Here’s what happens when your lawyer uses chatgpt. *New York Times*, 2023.
- 778 [147] Sean Welleck, Jiacheng Liu, Ronan Le Bras, Hannaneh Hajishirzi, Yejin Choi, and Kyunghyun Cho.  
779 Naturalproofs: Mathematical theorem proving in natural language. *arXiv preprint arXiv:2104.01112*,  
780 2021.
- 781 [148] Hannes Westermann, Jaromir Savelka, and Karim Benyekhlef. Lmediator: Gpt-4 assisted online dispute  
782 resolution. *arXiv preprint arXiv:2307.16732*, 2023.
- 783 [149] Wikipedia. Irac. <https://en.wikipedia.org/wiki/IRAC>.
- 784 [150] Shomir Wilson, Florian Schaub, Aswarth Abhilash Dara, Frederick Liu, Sushain Cherivirala, Pedro Gio-  
785 vanni Leon, Mads Schaarup Andersen, Sebastian Zimmeck, Kanthashree Mysore Sathyendra, N Cameron  
786 Russell, et al. The creation and analysis of a website privacy policy corpus. In *Proceedings of the*  
787 *54th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pages  
788 1330–1340, 2016.
- 789 [151] Lawrence Wrightsman. *Oral arguments before the Supreme Court: An empirical approach*. Oxford  
790 University Press, 2008.
- 791 [152] Chaojun Xiao, Haoxi Zhong, Zhipeng Guo, Cunchao Tu, Zhiyuan Liu, Maosong Sun, Yansong Feng,  
792 Xianpei Han, Zhen Hu, Heng Wang, et al. Cail2018: A large-scale legal dataset for judgment prediction.  
793 *arXiv preprint arXiv:1807.02478*, 2018.
- 794 [153] Can Xu, Qingfeng Sun, Kai Zheng, Xiubo Geng, Pu Zhao, Jiazhan Feng, Chongyang Tao, and Daxin  
795 Jiang. Wizardlm: Empowering large language models to follow complex instructions, 2023.
- 796 [154] Fangyi Yu, Lee Quartey, and Frank Schilder. Legal prompting: Teaching a language model to think like a  
797 lawyer. *arXiv preprint arXiv:2212.01326*, 2022.
- 798 [155] Fangyi Yu, Lee Quartey, and Frank Schilder. Exploring the effectiveness of prompt engineering for  
799 legal reasoning tasks. In *Findings of the Association for Computational Linguistics: ACL 2023*, pages  
800 13582–13596, 2023.
- 801 [156] Diego Zambrano, Neel Guha, Austin Peters, and Jeffrey Xia. Private enforcement in the states. *University*  
802 *of Pennsylvania Law Review*, forthcoming, 2023.
- 803 [157] Susan Zhang, Stephen Roller, Naman Goyal, Mikel Artetxe, Moya Chen, Shuohui Chen, Christopher  
804 Dewan, Mona Diab, Xian Li, Xi Victoria Lin, et al. Opt: Open pre-trained transformer language models.  
805 *arXiv preprint arXiv:2205.01068*, 2022.
- 806 [158] Lucia Zheng, Neel Guha, Brandon R Anderson, Peter Henderson, and Daniel E Ho. When Does  
807 Pretraining Help? Assessing Self-Supervised Learning for Law and the CaseHOLD Dataset of 53,000+  
808 Legal Holdings. In *Proceedings of the Eighteenth International Conference on Artificial Intelligence and*  
809 *Law*, pages 159–168, 2021.

- 810 [159] Haoxi Zhong, Zhipeng Guo, Cunchao Tu, Chaojun Xiao, Zhiyuan Liu, and Maosong Sun. Legal judgment  
811 prediction via topological learning. In *Proceedings of the 2018 conference on empirical methods in*  
812 *natural language processing*, pages 3540–3549, 2018.
- 813 [160] Caleb Ziems, William Held, Omar Shaikh, Jiaao Chen, Zhehao Zhang, and Diyi Yang. Can large language  
814 models transform computational social science? *arXiv preprint arXiv:2305.03514*, 2023.
- 815 [161] Lee B. Ziffer. The robots are coming: Ai large language models and the legal profession. *American Bar*  
816 *Association*, 2023.
- 817 [162] Sebastian Zimmeck, Peter Story, Daniel Smullen, Abhilasha Ravichander, Ziqi Wang, Joel R Reidenberg,  
818 N Cameron Russell, and Norman Sadeh. Maps: Scaling privacy compliance analysis to a million apps.  
819 *Proc. Priv. Enhancing Tech.*, 2019:66, 2019.

## 820 Acknowledgments and Disclosure of Funding

821 Full acknowledgements and disclosures will be included as part of the camera-ready version.

## 822 A Outline

823 For the sake of clarity, we provide a brief outline of the Appendix.

- 824 • Appendix B provides the checklist required by NeurIPS guidelines.
- 825 • Appendix C provides more information on the availability of LEGALBENCH.
- 826 • Appendix D discusses limitations of the project and potential negative social impacts.
- 827 • Appendix E provides a top-level datasheet.
- 828 • Appendix G provides an overview of the LEGALBENCH tasks, and contains information on licenses,  
829 which datasets have been published before, evaluation protocols, and dataset statistics.
- 830 • Appendix I provides a description of each task/task-family. For each set of tasks, we provide back-  
831 ground on the relevant legal concepts, describe the tasks, discuss how the task was constructed, provide  
832 examples of inputs/outputs, and explain their legal significance.
- 833 • Appendix J provides the full-results for evaluated LLMs on all LEGALBENCH tasks, along with  
834 additional information about prompting.

## 835 B Checklist

- 836 1. For all authors...
  - 837 (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s contribu-  
838 tions and scope? [Yes]
  - 839 (b) Did you describe the limitations of your work? [Yes]
  - 840 (c) Did you discuss any potential negative societal impacts of your work? [Yes] See Appendix D.
  - 841 (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
- 842 2. If you are including theoretical results...
  - 843 (a) Did you state the full set of assumptions of all theoretical results? [N/A]
  - 844 (b) Did you include complete proofs of all theoretical results? [N/A]
- 845 3. If you ran experiments (e.g. for benchmarks)...
  - 846 (a) Did you include the code, data, and instructions needed to reproduce the main experimental  
847 results (either in the supplemental material or as a URL)? [Yes] Benchmark tasks and code to  
848 evaluate models is included as part of the supplement, and will be released over Summer 2023.
  - 849 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)?  
850 [Yes]
  - 851 (c) Did you report error bars (e.g., with respect to the random seed after running experiments  
852 multiple times)? [N/A]
  - 853 (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs,  
854 internal cluster, or cloud provider)? [Yes]
- 855 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
  - 856 (a) If your work uses existing assets, did you cite the creators? [Yes]
  - 857 (b) Did you mention the license of the assets? [Yes]
  - 858 (c) Did you include any new assets either in the supplemental material or as a URL? [Yes] Included  
859 in supplemental material
  - 860 (d) Did you discuss whether and how consent was obtained from people whose data you’re us-  
861 ing/curating? [N/A]
  - 862 (e) Did you discuss whether the data you are using/curating contains personally identifiable informa-  
863 tion or offensive content? [Yes]
- 864 5. If you used crowdsourcing or conducted research with human subjects...
  - 865 (a) Did you include the full text of instructions given to participants and screenshots, if applicable?  
866 [N/A]
  - 867 (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB)  
868 approvals, if applicable? [N/A]
  - 869 (c) Did you include the estimated hourly wage paid to participants and the total amount spent on  
870 participant compensation? [N/A]

## 871 C Availability

- 872 • The LEGALBENCH website is available here: [https://hazyresearch.stanford.edu/](https://hazyresearch.stanford.edu/legalbench/)  
873 [legalbench/](https://hazyresearch.stanford.edu/legalbench/).
  - 874 • Data can be downloaded from Huggingface here: [https://huggingface.co/datasets/nguha/](https://huggingface.co/datasets/nguha/legalbench)  
875 [legalbench](https://huggingface.co/datasets/nguha/legalbench)
  - 876 • The Github repository with evaluation code and prompts is available here: [https://github.com/](https://github.com/HazyResearch/legalbench/)  
877 [HazyResearch/legalbench/](https://github.com/HazyResearch/legalbench/)
- 878 Gradebooks to guide manual evaluation can be found on the website.

## 879 D Limitations and social impact

880 **Limitations** We note several limitations of our work. Legal applications—and what constitutes “le-  
881 gal reasoning”—is broad. Thus, LEGALBENCH will necessarily be an incomplete effort, and important  
882 tasks/document types/reasoning types are not included. To enumerate a few examples:

- 883 • LEGALBENCH does not include tasks over *long* documents. Long documents are significant for legal  
884 practice, as writings like contracts, corporate filings, statutory codes, and judicial opinions can be  
885 hundreds of pages long [79].
- 886 • The legal reasoning dimensions identified in LEGALBENCH constitute a subset of the possible legal  
887 reasoning abilities for which we wish to evaluate LLMs. An example of a reasoning ability which is  
888 not currently evaluated in LEGALBENCH would be analogical reasoning grounded in case law.
- 889 • LEGALBENCH tasks are skewed towards certain legal domains (e.g., contracts and civil procedure)  
890 and others are unrepresented.
- 891 • LEGALBENCH tasks skew towards US Federal law, and thus may not be representative for studies of  
892 other jurisdictions, or tasks involving international law.
- 893 • LEGALBENCH does not enable evaluation for multilingual, or non-English, legal tasks.
- 894 • LEGALBENCH does not evaluate more subjective legal tasks, or tasks which contain more ambiguity.  
895 These tasks are common to the legal field.

896 We hope to work on these limitations as part of future work. In particular, we would like to expand LEGALBENCH  
897 to include other jurisdictions and a broader cross-section of legal domains.

898 Nothing in LEGALBENCH should be construed as legal advice.

899 **Social impact** A potential negative social impact of our work would be if others either (1) construed our  
900 work as unequivocally endorsing automation in the legal industry, or (2) used performance on LEGALBENCH  
901 as the sole justification for AI deployments. We therefore take efforts to mitigate these impacts, noting the  
902 following.

903 As we state in Section 1, the purpose of our work is not to determine whether large language models are capable  
904 of replacing legal professionals, the types of legal work that should/can be automated, or the broader implications  
905 of new technology on the practice of law. Rather, our focus is on developing technical artifacts which better  
906 enable stakeholders and affected parties to answer these questions themselves. Rigorous evaluation is essential  
907 to the safe and ethical usage of AI. LEGALBENCH, as a benchmark, is intended to *improve* the ability for  
908 stakeholders to conduct evaluations. We additionally note that LEGALBENCH, as a tool for research, is not a  
909 substitute for more in-depth and context-specific evaluation efforts. The deployment of any AI application in the  
910 law must be accompanied by evaluation on in-domain data, and assessments for ethical and legal compliance.

911 We finally note that potential negative impact will depend significantly on the task studied and the broader  
912 social context. The consequences of mistakes in using LLMs to annotate datasets, for instance, has significantly  
913 different consequences from the cost of mistakes when LLMs are used to answer legal aid questions.

## 914 E Datasheet

915 Following recent work, we provide a datasheet [55] below. The datasheet below provides general answers to  
916 each of the questions, while Appendix I provides more in-depth details for each individual task. In addition,  
917 a number of LEGALBENCH tasks have been adapted from previously released datasets, and the datasheets  
918 accompanying their publication provide further details.

### 919 E.1 Motivation

920 **For what purpose was the data set created? Was there a specific task in mind? If so, please specify the**  
921 **result type (e.g. unit) to be expected.**

922 LEGALBENCH was created to evaluate LLMs on legal tasks and better understand their legal reasoning capabili-  
923 ties. Recent advances in language modeling techniques have led to the emergence of “large” language models,  
924 and spurred interest within the legal community. This has led to two questions:

- 925 • What technical adaptations are necessary to enable LLMs to perform legal tasks? Legal tasks often  
926 involve longer text sequences, jargon, and multi-step reasoning, making them more difficult than  
927 traditional NLP tasks.
- 928 • For which legal tasks can current LLMs be trusted to perform safely and reliably?

929 LEGALBENCH encompasses many different tasks. The specification for each task and the expected output can  
930 be found in the full task descriptions (Section I).

931 **Who created the dataset (e.g., which team, research group) and on behalf of which entity (e.g. company,**  
932 **institution, organization)?**

933 LEGALBENCH consists of novel datasets (which were created by the authors of this paper), and trans-  
934 formed/adapted datasets (which were originally released as part of prior research). In Section I we discuss the  
935 origins of each dataset.

936 **Who funded the creation of the dataset? If there is an associated grant, please provide the name of the**  
937 **grantor and the grant name and number.**

938 LEGALBENCH and its contributors have been generously funded by a range of entities that include the institu-  
939 tional affiliations provided for each author, governmental grants, and other sources.

940 **Any other comments?**

941 None.

### 942 E.2 Composition

943 **What do the instances that comprise the dataset represent (e.g., documents, photos, people, countries)?**  
944 **Are there multiple types of instances (e.g., movies, users, and ratings; people and interactions between**  
945 **them; nodes and edges)? Please provide a description.**

946 All LEGALBENCH tasks consist of instances which are text. These include: sentences, paragraphs, and  
947 documents. Some instances are drawn from real world sources of text (e.g., actual contracts, corporate disclosures,  
948 judicial opinions, or complaints). Other instances were synthetically crafted. Section I provides details for each  
949 task.

950 **How many instances are there in total (of each type, if appropriate)?**

951 Section G provides details for each task.

952 **Does the dataset contain all possible instances or is it a sample (not necessarily random) of instances**  
953 **from a larger set? If the dataset is a sample, then what is the larger set? Is the sample representative**  
954 **of the larger set (e.g., geographic coverage)? If so, please describe how this representativeness was vali-**  
955 **dated/verified. If it is not representative of the larger set, please describe why not (e.g., to cover a more**  
956 **diverse range of instances, because instances were withheld or unavailable).**

957 Nearly every LEGALBENCH task corresponds to a sample of a population, or entirely synthetic data. Section I  
958 contains a more detailed description for each dataset. We highlight several broader explanations for the difficulty  
959 in acquiring complete or representative data which generalizes across tasks:

- 960 • As prior work on legal benchmarks has noted [60, 120], not all legal documents are published or  
961 reported. Hence, many are only accessible through special request, or only available in paper. The  
962 lack of easily available representative data is a noted challenge in many justice systems [60, 102].

963 • Acquiring legal annotations is exceedingly expensive. The CUAD project, for instance, estimated that  
964 a modestly sized dataset of 500 contracts (relative to the standards of NLP) had an estimated cost of  
965 \$2 million US dollars [62]. As a result, it is often possible to only annotate a small sample of data,  
966 even when a larger population is available.

967 **What data does each instance consist of? “Raw” data (e.g., unprocessed text or images) or features? In**  
968 **either case, please provide a description.**

969 Instances in LEGALBENCH largely correspond to unprocessed text. Section I contains a more detailed description  
970 for each dataset.

971 **Is there a label or target associated with each instance? If so, please provide a description.**

972 Yes. Labels correspond to: classes, extracted entities, and open-ended generation. Section I contains a more  
973 detailed description of the labels/targets for each dataset.

974 **Is any information missing from individual instances? If so, please provide a description, explaining why**  
975 **this information is missing (e.g., because it was unavailable). This does not include intentionally removed**  
976 **information, but might include, e.g., redacted text.**

977 For reused/adapted datasets, we refer readers to the original data sheets which document redactions/missing data.  
978 Newly contributed tasks should not be missing information.

979 **Are relationships between individual instances made explicit (e.g., users’ movie ratings, social network**  
980 **links)? If so, please describe how these relationships are made explicit.**

981 Not applicable.

982 **Are there recommended data splits (e.g., training, development/validation, testing)? If so, please provide**  
983 **a description of these splits, explaining the rationale behind them.**

984 Yes. Tasks are split into train and test splits. Train splits consist of a small random sample of the original dataset  
985 (i.e., between 2-8 instances). We select small training splits in order to capture the true few-shot setting [107], in  
986 which a practitioner only has access to a handful of labeled instances. This design choice is also reflected in the  
987 structure of the RAFT benchmark [1].

988 **Are there any errors, sources of noise, or redundancies in the dataset? If so, please provide a description.**

989 A significant amount of legal data is the product of scanning and OCR. Hence, this data often contains artifacts  
990 of these processes, which appear as errant or missing characters.

991 **Is the dataset self-contained, or does it link to or otherwise rely on external resources (e.g., websites,**  
992 **tweets, other datasets)? If it links to or relies on external resources, a) are there guarantees that they**  
993 **will exist, and remain constant, over time; b) are there official archival versions of the complete dataset**  
994 **(i.e., including the external resources as they existed at the time the dataset was created); c) are there any**  
995 **restrictions (e.g., licenses, fees) associated with any of the external resources that might apply to a future**  
996 **user? Please provide descriptions of all external resources and any restrictions associated with them, as**  
997 **well as links or other access points, as appropriate.**

998 LEGALBENCH is self-contained.

999 **Does the dataset contain data that might be considered confidential (e.g., data that is protected by legal**  
1000 **privilege or by doctor–patient confidentiality, data that includes the content of individuals’ non-public**  
1001 **communications)? If so, please provide a description.**

1002 No. All LEGALBENCH data is derived from public sources or was generated by authors. There is no confidential  
1003 information in our dataset.

1004 **Does the dataset contain data that, if viewed directly, might be offensive, insulting, threatening, or might**  
1005 **otherwise cause anxiety? If so, please describe why.**

1006 No.

1007 **Does the dataset relate to people? If not, you may skip the remaining questions in this section.**

1008 LEGALBENCH data relates to people to the extent that LEGALBENCH contains tasks which contain language  
1009 drawn from judicial cases involving individuals, or posts by individuals to legal forums (i.e., the Learned Hands  
1010 Tasks).

1011 **Does the dataset identify any subpopulations (e.g., by age, gender)? If so, please describe how these sub-**  
1012 **populations are identified and provide a description of their respective distributions within the dataset.**

1013 No.

1014 **Is it possible to identify individuals (i.e., one or more natural persons), either directly or indirectly (i.e.,**  
1015 **in combination with other data) from the dataset? If so, please describe how.**

1016 As LEGALBENCH is drawn entirely from public datasets—which themselves may contain additional  
1017 information—it is possible to identify the original documents that LEGALBENCH data was drawn from.

1018 **Does the dataset contain data that might be considered sensitive in any way (e.g., data that reveals racial**  
1019 **or ethnic origins, sexual orientations, religious beliefs, political opinions or union memberships, or lo-**  
1020 **cations; financial or health data; biometric or genetic data; forms of government identification, such as**  
1021 **social security numbers; criminal history)? If so, please provide a description.**

1022 The Learned Hands tasks correspond to posts on public forums. In these posts individuals discuss legal questions,  
1023 and sometimes disclose information that would meet the above definition of “sensitive.”

1024 **Any other comments?**

1025 We note that the data distributions from which some LEGALBENCH tasks were drawn—like judicial cases or  
1026 legal forums—have been used by prior work published in the NeurIPS Datasets and Benchmarks Track [60, 120].  
1027 These works offer additional information.

### 1028 **E.3 Collection process**

1029 **How was the data associated with each instance acquired? Was the data directly observable (e.g., raw**  
1030 **text, movie ratings), reported by subjects (e.g., survey responses), or indirectly inferred/derived from**  
1031 **other data (e.g., part-of-speech tags, model-based guesses for age or language)? If data was reported**  
1032 **by subjects or indirectly inferred/derived from other data, was the data validated/verified? If so, please**  
1033 **describe how.**

1034 Data underlying LEGALBENCH tasks were collected using different processes, and Section I contains a detailed  
1035 discussion for each task.

1036 **What mechanisms or procedures were used to collect the data (e.g., hardware apparatus or sensor, man-**  
1037 **ual human curation, software program, software API)? How were these mechanisms or procedures vali-**  
1038 **dated?**

1039 Please refer to Section I for background on each task.

1040 **If the dataset is a sample from a larger set, what was the sampling strategy (e.g., deterministic, proba-**  
1041 **bilistic with specific sampling probabilities)?**

1042 Please see the discussion in the Composition section above.

1043 **Who was involved in the data collection process (e.g., students, crowdworkers, contractors) and how were**  
1044 **they compensated (e.g., how much were crowdworkers paid)?**

1045 Section I contains a detailed discussion for each task.

1046 **Over what timeframe was the data collected? Does this timeframe match the creation timeframe of the**  
1047 **data associated with the instances (e.g., recent crawl of old news articles)? If not, please describe the**  
1048 **timeframe in which the data associated with the instances was created.**

1049 Section I contains a detailed discussion for each task.

1050 **Were any ethical review processes conducted (e.g., by an institutional review board)? If so, please provide**  
1051 **a description of these review processes, including the outcomes, as well as a link or other access point to**  
1052 **any supporting documentation.**

1053 Where applicable, Section I provides information relevant to each task.

1054 **Does the dataset relate to people? If not, you may skip the remaining questions in this section.**

1055 The dataset relates to people insofar as it draws text from documents which relate to people, or people created.

1056 **Did you collect the data from the individuals in question directly, or obtain it via third parties or other**  
1057 **sources (e.g., websites)?**

1058 Section I contains a detailed discussion for each task.

1059 **Were the individuals in question notified about the data collection? If so, please describe (or show with**  
1060 **screenshots or other information) how notice was provided, and provide a link or other access point to,**  
1061 **or otherwise reproduce, the exact language of the notification itself.**

1062 No. Following other works which incorporate data from public judicial sources [60, 120], we note that judicial  
1063 filings are public, and the individuals implicated in those proceedings are aware of the public nature.

1064 **Did the individuals in question consent to the collection and use of their data? If so, please describe (or**  
1065 **show with screenshots or other information) how consent was requested and provided, and provide a link**  
1066 **or other access point to, or otherwise reproduce, the exact language to which the individuals consented.**

1067 Individuals whose names and circumstances appear in the original datasets did not separately consent to be a  
1068 part of LEGALBENCH. Again, we note that these documents are generally public, and already accessible to a  
1069 wide range of parties, through many different judicial data services.

1070 **If consent was obtained, were the consenting individuals provided with a mechanism to revoke their**  
1071 **consent in the future or for certain uses? If so, please provide a description, as well as a link or other**  
1072 **access point to the mechanism (if appropriate).**

1073 N/A.

1074 **Has an analysis of the potential impact of the dataset and its use on data subjects (e.g., a data protec-**  
1075 **tion impact analysis) been conducted? If so, please provide a description of this analysis, including the**  
1076 **outcomes, as well as a link or other access point to any supporting documentation.**

1077 No.

1078 **Any other comments?**

1079 None.

#### 1080 **E.4 Preprocessing, cleaning, labeling**

1081 **Was any preprocessing/cleaning/labeling of the data done (e.g., discretization or bucketing, tokenization,**  
1082 **part-of-speech tagging, SIFT feature extraction, removal of instances, processing of missing values)? If**  
1083 **so, please provide a description. If not, you may skip the remainder of the questions in this section.**

1084 No.

#### 1085 **E.5 Use**

1086 **Has the dataset been used for any tasks already? If so, please provide a description.**

1087 We have used the constructed datasets to evaluate several LLMs.

1088 **Is there a repository that links to any or all papers or systems that use the dataset? If so, please provide**  
1089 **a link or other access point.**

1090 LEGALBENCH is available at <https://github.com/HazyResearch/legalbench/>.

1091 **What (other) tasks could the dataset be used for?**

1092 We envision this dataset could be used for the following:

- 1093 • Evaluation of LLMs.
- 1094 • Finetuning LLMs, either on task data directly, or self-instruct style generations derived from task data.

1095 **Is there anything about the composition of the dataset or the way it was collected and prepro-**  
1096 **cessed/cleaned/labeled that might impact future uses? For example, is there anything that a future user**  
1097 **might need to know to avoid uses that could result in unfair treatment of individuals or groups (e.g.,**  
1098 **stereotyping, quality of service issues) or other undesirable harms (e.g., financial harms, legal risks) If**  
1099 **so, please provide a description. Is there anything a future user could do to mitigate these undesirable**  
1100 **harms?**

1101 We emphasize that LEGALBENCH—like all generalized benchmarks—can offer only a preliminary understanding  
1102 of LLM performance. LEGALBENCH tasks do not generalize to all legal reasoning tasks or all types of legal  
1103 documents. We thus emphasize that practitioners seeking to deploy LLMs within their own applications should  
1104 perform their own data collection and validation specific to their use case.

1105 **Are there tasks for which the dataset should not be used? If so, please provide a description.**

1106 These datasets should not be used to predict the legality of real world events, the outcome of lawsuits, or as legal  
1107 advice.

#### 1108 **E.6 Distribution**

1109 **Will the dataset be distributed under a copyright or other intellectual property (IP) license, and/or under**  
1110 **applicable terms of use (ToU)? If so, please describe this license and/or ToU, and provide a link or other**

1111 **access point to, or otherwise reproduce, any relevant licensing terms or ToU, as well as any fees associated**  
1112 **with these restrictions.**

1113 Table 4 provides the license that applies to each individual LEGALBENCH task.

1114 **Have any third parties imposed IP-based or other restrictions on the data associated with the instances?**  
1115 **If so, please describe these restrictions, and provide a link or other access point to, or otherwise repro-**  
1116 **duce, any relevant licensing terms, as well as any fees associated with these restrictions.**

1117 Yes. Tasks which consist of adapted/transformed data are released under the same license as the original dataset.  
1118 Table 4 provides these licenses, and Section I provides a reference to the original dataset for transformed tasks.

1119 **Do any export controls or other regulatory restrictions apply to the dataset or to individual instances? If**  
1120 **so, please describe these restrictions, and provide a link or other access point to, or otherwise reproduce,**  
1121 **any supporting documentation.**

1122 No.

## 1123 **E.7 Maintenance**

1124 **Who will be supporting/hosting/maintaining the dataset?**

1125 Neel Guha will be supporting this dataset.

1126 **How can the owner/curator/manager of the dataset be contacted (e.g., email address)?**

1127 Neel Guha can be reached at nguha@cs.stanford.edu. He will be available to answer any questions.

1128 **Is there an erratum? If so, please provide a link or other access point.**

1129 We have currently not found any, but will make them available on the website.

1130 **Will the dataset be updated (e.g., to correct labeling errors, add new instances, delete instances)? If so,**  
1131 **please describe how often, by whom, and how updates will be communicated to users (e.g., mailing list,**  
1132 **GitHub)?**

1133 Yes. There will be two types of updates to LEGALBENCH:

- 1134 • First, we will update LEGALBENCH to reflect new contributions from the legal community.
- 1135 • Second, we will update LEGALBENCH to reflect identified errors in the data.

1136 We will strive to make and publicize updates as soon as errors are identified and new tasks are contributed. Neel  
1137 Guha will be in charge of managing these updates.

1138 **If the dataset relates to people, are there applicable limits on the retention of the data associated with**  
1139 **the instances (e.g., were individuals in question told that their data would be retained for a fixed period**  
1140 **of time and then deleted)? If so, please describe these limits and explain how they will be enforced.**

1141 N/A.

1142 **Will older versions of the dataset continue to be supported/hosted/maintained? If so, please describe how.**  
1143 **If not, please describe how its obsolescence will be communicated to users.**

1144 Yes. We will make older versions available on request by email.

1145 **If others want to extend/augment/build on/contribute to the dataset, is there a mechanism for them to**  
1146 **do so? If so, please provide a description. Will these contributions be validated/verified? If so, please**  
1147 **describe how. If not, why not? Is there a process for communicating/distributing these contributions to**  
1148 **other users? If so, please provide a description.**

1149 Yes. We encourage members of the legal community to contribute new tasks. We are in the process of formalizing  
1150 procedures for reviewing, validating, and incorporating submissions.

1151 We additionally note that many of the LEGALBENCH tasks are available under permissive licenses, and other  
1152 researchers may thus modify them.

## 1153 **F Expanded related work**

### 1154 **F.1 Legal reasoning benchmarks**

1155 Understanding the extent to which NLP models can perform tasks or skills traditionally associated with  
1156 lawyers—or be useful in legal analysis—has been the focus of significant work [6, 110, 126, 84, 86, 104, 88,  
1157 22]. Researchers have approached this question in a variety of ways [72]. First, prior work has identified  
1158 manually arduous tasks currently performed by lawyers—like forms of document review [62, 142] or case  
1159 summarization [120, 121, 90, 69]—and developed benchmarks to assess the performance of current state-  
1160 of-the-art techniques. Here, research has focused on the aspects of legal text which are often challenging  
1161 for NLP methods, like the length of documents or the presence of jargon [21, 111, 87, 41, 79]. A second  
1162 line of work has focused on developing tasks to evaluate forms of inferential reasoning common to law [21].  
1163 This includes, for instance, tasks which require a model to identify the best supporting statement for an  
1164 argument [80, 158], or perform statutory reasoning [65]. Other work has focused on creating datasets for  
1165 pretraining models [60, 129], non-English/multilingual tasks [95, 96, 66, 152, 52, 94, 70, 20, 23, 103, 18], legal  
1166 judgement prediction [89, 38, 17, 159], legal role labeling [85], and different forms of retrieval [68].

1167 Importantly, the majority of previous benchmarking efforts have focused on language models which learn by  
1168 supervised training or finetuning (e.g., BERT variants [45]), and researchers have consequently studied questions  
1169 related to the role of domain specific datasets [158, 19, 20]. More recently, researchers have begun to ask  
1170 whether *large* language models (LLMs) like GPT-3/4 can perform legal reasoning [73, 154, 67, 12, 29, 31, 155],  
1171 citing to evidence of these models’ capacity to perform sophisticated reasoning tasks in domains like math or  
1172 programming [145, 24]. Unlike BERT-based models, LLMs are evaluated on their ability to learn tasks *in-context*,  
1173 primarily through prompting. While a few works have experimented with LLMs on existing benchmarks [16, 12],  
1174 most evaluations focus on standardized tests or other exam equivalents [71, 93, 30]. Studies have explored the  
1175 role of prompt-engineering [155, 154, 75], potential applications [93, 29, 148, 117, 116], questions regarding  
1176 human-LLM interaction [31, 63], and comparisons to older finetuned-models [91].

1177 LEGALBENCH builds on prior work in several ways. First, LEGALBENCH enhances opportunities to study legal  
1178 reasoning in LLMs, by making available 162 evaluation tasks. LEGALBENCH systematizes and standardizes  
1179 these tasks for LLM evaluation, specifying potential prompts, in-context demonstrations, and metrics. Second,  
1180 LEGALBENCH presents a framework for organizing and comparing tasks, allowing researchers to identify trends  
1181 in performance across groupings of tasks. This enables researchers, for instance, to distinguish between task  
1182 types for which current LLMs are highly performant, and task types for which further work is needed.

1183 A notable consequence of focusing on few-shot LLMs is that LEGALBENCH can contribute a much more diverse  
1184 set of legal reasoning tasks. Traditional NLP methods require a large training set and a smaller evaluation  
1185 set. The cost of legal annotations means that constructing benchmarks has required extraordinary financial  
1186 investment [62, 120] or a “natural” source of existing annotations [158, 21]. Because the few-shot prompting  
1187 regime requires only a few labeled demonstrations, creating large training sets isn’t necessary, and the effort they  
1188 otherwise would have consumed can be allocated towards developing new tasks.

### 1189 **F.2 Connections to other LLM benchmarking efforts**

1190 We highlight connections to two broader research efforts. First, we draw inspiration from existing efforts  
1191 within NLP and machine learning to define fine-grained measures of performance, which allow researchers to  
1192 discuss model capabilities with precision and specificity. Examples include the diagnostic set of the GLUE  
1193 Benchmark [141], the “reasoning patterns” studied in [100], the task organization used in HELM [80], and the  
1194 BigBench effort [123]. Fine-grained measurements are valuable because they allow researchers to identify how  
1195 particular modifications to model architectures or training regimes affect performance. They hold particular  
1196 value for the field of legal NLP, in which researchers continue to debate how best to specialize language models  
1197 to the domain [60, 158, 56]

1198 We additionally draw inspiration from other large-scale collaborative efforts in AI, including the BigBench  
1199 project [123], and studies in medicine [28]. In particular, we believe that LEGALBENCH illustrates a new model  
1200 of open-source and interdisciplinary collaboration between the legal and AI communities. To the extent that  
1201 LLMs gain adoption for legal tasks, legal professionals will be primarily charged with supervising them and  
1202 selecting application use-cases. Involving the legal community in the design and construction of evaluation tasks  
1203 allows for the construction of benchmarks which are more responsive to their interests and information needs.

## 1204 G Task overview

### 1205 G.1 Licenses

1206 LEGALBENCH tasks are subject to different licenses, due to the choices of dataset contributors, or the license  
1207 under which the original data was released. Table 4 summarizes the licenses. The authors bear all responsibility  
1208 in case of violation of rights, and confirm the dataset licenses.

License	Tasks
Creative Commons Attribution 4.0	Abercrombie, CUAD Tasks, Citation Prediction Tasks, Contract NLI Tasks, Contract QA, Corporate Lobbying, Diversity Tasks, Function of Decision Section, Hearsay, Insurance Policy Interpretation, International Citizenship Questions, J.Crew Blocker, Legal Reasoning Causality, MAUD Tasks, Oral Argument Question Purpose, Overruling, Personal Jurisdiction, Private Right of Action, Rule QA, SCALR, Securities Complaint Extraction Tasks, Successor Liability, Supply Chain Disclosure Tasks, Telemarketing Sales Rule, UCC v. Common Law, Unfair Terms of Service
Attribution-NonCommercial 4.0 International	Canada Tax Court Outcomes, Consumer Contracts QA, Textualism Tools
Attribution-ShareAlike 4.0 International	Definition Tasks
Attribution-NonCommercial-ShareAlike 4.0 International	Learned Hands Tasks
MIT	New York State Judicial Ethics, Privacy Policy QA, SARA Tasks
Creative Commons Attribution-NonCommercial License	OPP-115 Tasks
Attribution-NonCommercial 3.0 Unported	Privacy Policy Entailment

Table 4: Licenses

### 1209 G.2 Public availability status

1210 Given that many commercially available LLMs are trained on the “entirety of the web”—and little is known as to  
1211 how they are trained—there are concerns that many benchmarks have inadvertently become part of the training  
1212 data for these models. Therefore, this section identifies and organizes LEGALBENCH tasks into three categories:

- 1213 • Previously published tasks, which were derived from datasets that were initially published as part of  
1214 other works and available on the web for download.
- 1215 • Original but available tasks, which are original creations of the LEGALBENCH project but previously  
1216 made available online.
- 1217 • Original and unavailable tasks, which are original creations of the LEGALBENCH project but have not  
1218 been released online.

1219 Table 5 summarizes the availability status of each of the tasks.

### 1220 G.3 Reasoning type

1221 Table 6 organizes tasks by the LEGALBENCH reasoning type they can be used to assess. Table 7 similarly  
1222 organizes tasks according to reasoning-types recognized in the NLP literature. For each reasoning type, we  
1223 provide examples of general-domain NLP benchmarks which are similar. For more information on the types of  
1224 reasoning required for each task, please see the individual task descriptions provided in Appendix I.

<b>Publication status</b>	<b>Tasks</b>
Previously published tasks	CUAD Tasks, Contract NLI Tasks, MAUD Tasks, OPP-115 Tasks, Overruling, Privacy Policy Entailment, Privacy Policy QA, SARA Tasks, Unfair Terms of Service
Original but available tasks	Abercrombie, Diversity Tasks, Hearsay, International Citizenship Questions, Learned Hands Tasks, New York State Judicial Ethics, Personal Jurisdiction, Private Right of Action, Rule QA
Original and unavailable tasks	Canada Tax Court Outcomes, Citation Prediction Tasks, Consumer Contracts QA, Contract QA, Corporate Lobbying, Definition Tasks, Function of Decision Section, Insurance Policy Interpretation, J.Crew Blocker, Legal Reasoning Causality, Oral Argument Question Purpose, SCALR, Securities Complaint Extraction Tasks, Successor Liability, Supply Chain Disclosure Tasks, Telemarketing Sales Rule, Textualism Tools, UCC v. Common Law

Table 5: Task publication status

<b>LEGALBENCH reasoning type</b>	<b>Tasks</b>
Issue-spotting	Corporate Lobbying, Learned Hands Tasks
Rule-recall	Citation Prediction Tasks, International Citizenship Questions, New York State Judicial Ethics, Rule QA
Rule-application	Abercrombie, Diversity Tasks, Hearsay, Personal Jurisdiction, Successor Liability, Telemarketing Sales Rule, UCC v. Common Law
Rule-conclusion	Abercrombie, Diversity Tasks, Hearsay, Personal Jurisdiction, Successor Liability, Telemarketing Sales Rule, UCC v. Common Law
Interpretation	CUAD Tasks, Consumer Contracts QA, Contract NLI Tasks, Contract QA, Insurance Policy Interpretation, J.Crew Blocker, MAUD Tasks, OPP-115 Tasks, Privacy Policy Entailment, Privacy Policy QA, Private Right of Action, SARA Tasks, Securities Complaint Extraction Tasks, Supply Chain Disclosure Tasks, Unfair Terms of Service
Rhetorical-understanding	Canada Tax Court Outcomes, Definition Tasks, Function of Decision Section, Legal Reasoning Causality, Oral Argument Question Purpose, Overruling, SCALR, Textualism Tools

Table 6: Tasks by LEGALBENCH reasoning type.

#### 1225 **G.4 Task statistics**

1226 Table 9 provides statistics for the LEGALBENCH tasks. For each task, we list the number of samples and the  
1227 average length (in words) of each input. LEGALBENCH encompasses tasks ranging from short (a single sentence)  
1228 to longer inputs (two pages of text) (Figure 1). The average LEGALBENCH task contains between 500-600  
1229 instances. All tasks consist of at least 50 instances. A more detailed breakdown is available in Table 8.

<b>NLP reasoning type</b>	<b>Tasks</b>
Knowledge (e.g., MMLU [61], WikiFact in HELM [80, 108])	Citation Prediction Tasks, International Citizenship Questions, New York State Judicial Ethics, Rule QA
Linguistic inference (e.g., CoLA [144])	Canada Tax Court Outcomes, Definition Tasks, Function of Decision Section, Legal Reasoning Causality, Oral Argument Question Purpose, Overruling, Textualism Tools
Topic classification (e.g., RAFT [1])	Corporate Lobbying, Learned Hands Tasks, CUAD Tasks, J.Crew Blocker, OPP-115 Tasks, Private Right of Action, Unfair Terms of Service
Entailment (e.g., RTE [140])	Contract NLI Tasks, Privacy Policy Entailment, Privacy Policy QA, Insurance Policy Interpretation, SARA Tasks
Arithmetic (e.g., GSM8K [34])	Diversity Tasks, SARA Tasks
Multi-step reasoning (e.g., STREET [113])	Abercrombie, Hearsay, Personal Jurisdiction, Successor Liability, Telemarketing Sales Rule, UCC v. Common Law
Document-based QA (e.g., BoolQ [33])	Consumer Contracts QA, Contract QA, MAUD Tasks, Supply Chain Disclosure Tasks
Named entity recognition (e.g., CoNLL-2003 [115])	Securities Complaint Extraction Tasks
Casual reasoning (e.g., CoPA [140])	SCALR

Table 7: Tasks by NLP reasoning type. For each reasoning type, we provide examples of general-domain NLP benchmarks which are similar. For more information on the types of reasoning required for each task, please see the individual task descriptions provided in Appendix I.

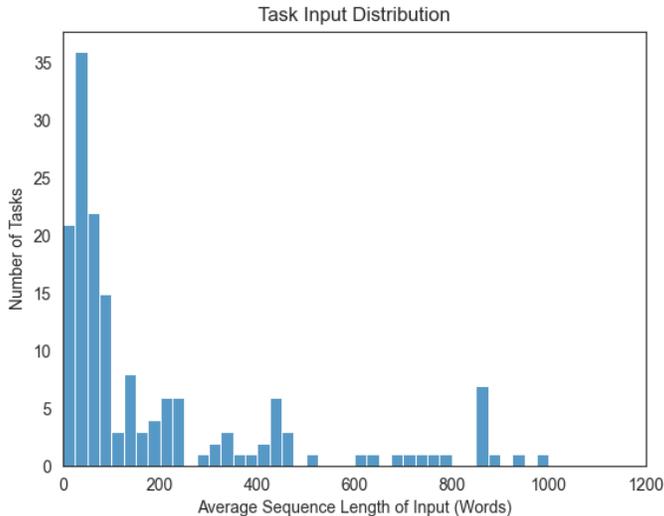


Figure 1: LEGALBENCH task sizes and input text lengths.

Table 9: Task Statistics

<b>Task</b>	<b>Number of Samples</b>	<b>Mean Sample Length (Words)</b>
abercrombie	100	7.1

Table 9 – continued from previous page

<b>Task</b>	<b>Number of Samples</b>	<b>Mean Sample Length (Words)</b>
canada_tax_court_outcomes	250	99.2
citation_prediction_classification	110	35.9
citation_prediction_open	55	32.4
consumer_contracts_qa	400	486.8
contract_nli_confidentiality_of_agreement	90	73.9
contract_nli_explicit_identification	117	75.7
contract_nli_inclusion_of_verbally_conveyed_information	147	78.1
contract_nli_limited_use	216	63.8
contract_nli_no_licensing	170	65.6
contract_nli_notice_on_compelled_disclosure	150	77.9
contract_nli_permmissible_acquirement_of_similar_information	186	66.6
contract_nli_permmissible_copy	95	60.2
contract_nli_permmissible_development_of_similar_information	144	61.0
contract_nli_permmissible_post-agreement_possession	119	81.7
contract_nli_return_of_confidential_information	74	74.2
contract_nli_sharing_with_employees	178	83.9
contract_nli_sharing_with_third-parties	188	78.9
contract_nli_survival_of_obligations	165	64.6
contract_qa	88	48.3
corporate_lobbying	500	878.1
cuad_affiliate_license-licensee	204	75.9
cuad_affiliate_license-licensor	94	99.7
cuad_anti-assignment	1178	54.6
cuad_audit_rights	1222	53.6
cuad_cap_on_liability	1252	59.8
cuad_change_of_control	422	62.8
cuad_competitive_restriction_exception	226	67.1
cuad_covenant_not_to_sue	314	64.6
cuad_effective_date	242	44.5
cuad_exclusivity	768	58.0
cuad_expiration_date	882	49.9
cuad_governing_law	882	46.9
cuad_insurance	1036	56.8
cuad_ip_ownership_assignment	582	65.3
cuad_irrevocable_or_perpetual_license	286	72.1
cuad_joint_ip_ownership	198	59.1
cuad_license_grant	1402	63.5
cuad_liquidated_damages	226	57.2

Table 9 – continued from previous page

<b>Task</b>	<b>Number of Samples</b>	<b>Mean Sample Length (Words)</b>
cuad_minimum_commitment	778	57.9
cuad_most_favored_nation	70	68.0
cuad_no-solicit_of_customers	90	61.2
cuad_no-solicit_of_employees	148	66.6
cuad_non-compete	448	60.6
cuad_non-disparagement	106	63.8
cuad_non-transferable_license	548	61.5
cuad_notice_period_to_terminate_renewal	228	57.0
cuad_post-termination_services	814	67.3
cuad_price_restrictions	52	53.6
cuad_renewal_term	392	55.2
cuad_revenue-profit_sharing	780	59.8
cuad_rofr-rofo-rofn	696	64.0
cuad_source_code_escrow	124	64.4
cuad_termination_for_convenience	436	52.0
cuad_third_party_beneficiary	74	42.4
cuad_uncapped_liability	300	70.1
cuad_unlimited-all-you-can-eat-license	54	56.8
cuad_volume_restriction	328	49.0
cuad_warranty_duration	326	55.9
definition_classification	1345	41.0
definition_extraction	695	53.9
diversity_1	306	16.2
diversity_2	306	23.4
diversity_3	306	21.1
diversity_4	306	23.5
diversity_5	306	28.3
diversity_6	306	47.9
function_of_decision_section	374	87.4
hearsay	100	25.3
insurance_policy_interpretation	138	87.2
international_citizenship_questions	9310	33.2
intra_rule_distinguishing	60	33.8
jcrew_blocker	60	167.2
learned_hands_benefits	72	253.2
learned_hands_business	180	225.3
learned_hands_consumer	620	246.3
learned_hands_courts	198	225.6
learned_hands_crime	694	233.7
learned_hands_divorce	156	240.2
learned_hands_domestic_violence	180	262.3

Table 9 – continued from previous page

<b>Task</b>	<b>Number of Samples</b>	<b>Mean Sample Length (Words)</b>
learned_hands_education	62	265.7
learned_hands_employment	716	242.0
learned_hands_estates	184	230.9
learned_hands_family	2271	258.4
learned_hands_health	232	286.8
learned_hands_housing	4500	254.8
learned_hands_immigration	140	232.8
learned_hands_torts	438	272.2
learned_hands_traffic	562	229.6
legal_reasoning_causality	59	245.7
maud_"ability_to_consummate"_concept_is_subject_to_mae_carveouts	70	688.6
maud_"financial_point_of_view"_is_the_sole_consideration	113	307.5
maud_accuracy_of_fundamental_target_r&ws:_bringdown_standard	176	143.7
maud_accuracy_of_target_"general"_r&w:_bringdown_timing_answer	182	142.5
maud_accuracy_of_target_capitalization_r&w_(outstanding_shares):_bringdown_standard_answer	182	142.0
maud_additional_matching_rights_period_for_modifications_(cor)	159	314.3
maud_application_of_buyer_consent_requirement_(negative_interim_covenant)	181	85.6
maud_buyer_consent_requirement_(ordinary_course)	182	121.3
maud_change_in_law:_subject_to_"disproportionate_impact"_modifier	100	702.6
maud_changes_in_gaap_or_other_accounting_principles:_subject_to_"disproportionate_impact"_modifier	99	703.1
maud_cor_permitted_in_response_to_intervening_event	101	305.6
maud_cor_permitted_with_board_fiduciary_determination_only	101	303.1
maud_cor_standard_(intervening_event)	85	326.1
maud_cor_standard_(superior_offer)	101	308.0
maud_definition_contains_knowledge_requirement_-_answer	148	243.4
maud_definition_includes_asset_deals	147	314.7
maud_definition_includes_stock_deals	149	313.6
maud_fiduciary_exception:_board_determination_standard	180	246.8
maud_fiduciary_exception:_board_determination_trigger_(no_shop)	180	245.1
maud_fls_(mae)_standard	78	705.1

Table 9 – continued from previous page

<b>Task</b>	<b>Number of Samples</b>	<b>Mean Sample Length (Words)</b>
maud_general_economic_and_financial_conditions:_subject_to_"disproportionate_impact"_modifier	99	704.6
maud_includes_"consistent_with_past_practice"	182	122.7
maud_initial_matching_rights_period_(cor)	159	313.4
maud_initial_matching_rights_period_(ftr)	133	336.4
maud_intervening_event_-_required_to_occur_after_signing_-_answer	148	242.2
maud_knowledge_definition	168	334.8
maud_liability_standard_for_no-shop_breach_by_target_non-d&o_representatives	157	38.1
maud_ordinary_course_efforts_standard	182	122.7
maud_pandemic_or_other_public_health_event:_subject_to_"disproportionate_impact"_modifier	99	707.5
maud_pandemic_or_other_public_health_event:_specific_reference_to_pandemic-related_governmental_responses_or_measures	99	707.5
maud_relational_language_(mae)_applies_to	91	705.5
maud_specific_performance	179	96.8
maud_tail_period_length	180	95.1
maud_type_of_consideration	173	128.2
nys_judicial_ethics	300	25.7
opp115_data_retention	96	31.5
opp115_data_security	1342	38.6
opp115_do_not_track	118	37.1
opp115_first_party_collection_use	2094	32.6
opp115_international_and_specific_audiences	988	52.1
opp115_policy_change	439	33.2
opp115_third_party_sharing_collection	1598	35.3
opp115_user_access,_edit_and_deletion	470	35.1
opp115_user_choice_control	1554	33.5
oral_argument_question_purpose	319	50.2
overruling	2400	27.5
personal_jurisdiction	54	67.8
privacy_policy_entailment	4343	111.9
privacy_policy_qa	10931	41.1
proa	100	42.6
rule_qa	50	11.7
scalr	571	275.4
ssla_company_defendants	1231	310.0
ssla_individual_defendants	1015	313.7
ssla_plaintiff	1036	308.4

Table 9 – continued from previous page

<b>Task</b>	<b>Number of Samples</b>	<b>Mean Sample Length (Words)</b>
sara_entailment	276	148.0
sara_numeric	100	12222.1
successor_liability	50	71.5
supply_chain_disclosure_best_practice_accountability	387	510.0
supply_chain_disclosure_best_practice_audits	387	508.3
supply_chain_disclosure_best_practice_certification	386	508.4
supply_chain_disclosure_best_practice_training	387	508.2
supply_chain_disclosure_best_practice_verification	387	507.0
supply_chain_disclosure_disclosed_accountability	386	510.4
supply_chain_disclosure_disclosed_audits	387	508.0
supply_chain_disclosure_disclosed_certification	386	509.9
supply_chain_disclosure_disclosed_training	387	506.9
supply_chain_disclosure_disclosed_verification	387	507.6
telemarketing_sales_rule	51	58.4
textualism_tool_dictionaries	111	151.3
textualism_tool_plain	169	160.9
ucc_v_common_law	100	20.9
unfair_tos	3822	34.3

<b>Size range (samples)</b>	<b>Number of tasks</b>
50-100	28
100-500	97
500-2000	29
2000+	8

Table 8: Number of LEGALBENCH tasks at different dataset sizes.

## 1230 H Evaluation

1231 This section describes metrics and evaluation protocols.

1232 **Rule-application** To evaluate an LLM’s performance on a rule-application task, a law-trained expert manu-  
1233 ally validated each generation. We computed two metrics. The first metric—*correctness*—corresponds to the  
1234 proportion of generations which do not misstate the fact pattern, the legal outcome, the rule, or contain a logical  
1235 error. Logical errors include arithmetic mistakes, or assertions which are plainly wrong (e.g., that an apple is not  
1236 a tangible object).

- 1237 • The LLM would incorrectly assert the legal outcome. For instance, an LLM would assert diversity  
1238 jurisdiction existed, when it actually did not.
- 1239 • The LLM would incorrectly assert an intermediate conclusion. For instance, an LLM would assert  
1240 that a rental agreement for a boat was a contract for a service, rather than a moveable and tangible  
1241 good. In this case, the LLM would fail to realize that a boat is a moveable or tangible good.
- 1242 • The LLM would hallucinate a piece of information not explicitly stated in the fact pattern.
- 1243 • The LLM would misstate the content of a rule. For instance, the LLM would assert that subprovision of  
1244 a statute barred one type of conduct, when in fact, that conduct was barred by a different subprovision.

1245 The second metric—*analysis*—corresponds to the proportion of generations which contain the necessary  
1246 inferences to reach the correct legal conclusion from the provided fact-pattern. Thus, it is insufficient for a LLM  
1247 explanation to merely state that a piece of evidence is hearsay is insufficient: an explanation must reference  
1248 the qualities of the evidence which make it hearsay. We introduced this measurement after discovering that for  
1249 some tasks, LLMs often generate explanations which—though correct—largely restate the rule being applied,  
1250 without any reference to the underlying facts. Explanations which are incorrect are automatically deemed to be  
1251 insufficient on analysis. We compute an overall analysis score for a LLM on a task by measuring the proportion  
1252 of samples for which the explanation contains sufficient analysis.

1253 To standardize evaluation and enable future work, we have released an “answer guide” for each task used for  
1254 rule-application, which contains the inferences required for each sample, and describes common modes of errors.  
1255 All evaluations in LEGALBENCH for rule-application have been performed with respect to this answer-guide.

1256 **Generation tasks** LEGALBENCH contains the following generation-tasks, which are evaluated as follows:

- 1257 • `rule_qa` is a question-answer task in which a LLM must generate a response to an open-ended  
1258 question. A law-trained individual evaluated the generations against an answer-key for the task, which  
1259 is available for download from the website.
- 1260 • The Securities Complaint Extraction tasks require an LLM to extract the names of different parties  
1261 from excerpts of securities class-action complaints. Because some samples require the extraction of  
1262 multiple entities, we evaluate using F1 score.
- 1263 • `definition_extraction` requires the LLM to identify the term that is being defined in a sentence  
1264 from a Supreme Court opinion. For a small number of sentences, any one of multiple terms may  
1265 constitute the correct answer. We therefore evaluate performance on this task using accuracy, and by  
1266 counting the fraction of sentences for which the LLM identified a permissible term. To account for  
1267 edge-cases involving word tense, we compare stemmed versions of the answers to stemmed versions  
1268 of the generation.
- 1269 • `sara_numeric` requires an LLM to generate an estimate of the amount of tax that is owed. We  
1270 compute performance here using an accuracy metric, which treats a prediction as accurate if it is  
1271 within 10% of the true amount.
- 1272 • `citation_open` requires an LLM to predict the name of the case that should be cited for a particular  
1273 sentence of judicial text. We evaluate by checking if the LLM generation contains the correct case  
1274 name.
- 1275 • `successor_liability` requires an LLM to identify the multiple possible successor liability excep-  
1276 tions to a fact pattern. We evaluate using F1.

1277 **Classification tasks** We evaluate all classification tasks in LEGALBENCH using exact-match on class-  
1278 balanced-accuracy. We do this because a number of LEGALBENCH tasks are class-imbalanced.

## 1279 I Task Descriptions

1280 This section provides a detailed description for each family of tasks.

### 1281 I.1 Abercrombie

1282 In LEGALBENCH, the Abercrombie task is denoted as `abercrombie`.

1283 **Background** A particular mark (e.g., a name for a product or service) is only eligible for trademark protection  
1284 if it is considered to be distinctive. In assessing whether a mark is distinctive, lawyers and judges follow the  
1285 framework set out in the case *Abercrombie & Fitch Co. v. Hunting World, Inc.*,<sup>5</sup> which enumerates five categories  
1286 of distinctiveness. These categories characterize the relationship between the dictionary definition of the term  
1287 used in the mark, and the service or product it is being attached to. They are:

- 1288 • **Generic:** A name is generic with respect to a product or service if it connotes the basic nature of  
1289 the product/service, rather than more individualized characteristics of the product. For example, the  
1290 mark “Salt” for packaged sodium chloride would be generic under Abercrombie because “salt” is the  
1291 common name for sodium chloride. It is also common to think of generic marks as merely referring to  
1292 the class of goods for which a particular product is a species.
- 1293 • **Descriptive:** A name is descriptive if it identifies a characteristic or quality of an article or service, such  
1294 as color, odor, function, dimensions, or ingredients. For example, the name “Sharp” for a television  
1295 would be descriptive, because it describes a plausible characteristic of television (i.e., their sharp image  
1296 quality).
- 1297 • **Suggestive:** A name is suggestive if it suggests, rather than describes, some particular characteristic of  
1298 the goods or services to which it applies. An essential aspect of suggestive names is that it requires  
1299 the consumer to exercise the imagination in order to draw a conclusion as to the nature of the goods  
1300 and services. For example, the name “Greyhound” would be suggestive for a bus service, because  
1301 greyhounds are considered to be fast, and “fast” is an adjective that could be used to describe a bus  
1302 service.
- 1303 • **Arbitrary:** A name is arbitrary if it is a “real” word but seemingly “arbitrary” with respect to the  
1304 product or service. For example, the mark “Apple” for a software company is arbitrary, because apples  
1305 are unrelated to software.
- 1306 • **Fanciful:** A name is fanciful if it is entirely made up, and not found in the English dictionary. For  
1307 example, “Lanmbe” is a fanciful mark, because it is a made-up word.

1308 The Abercrombie spectrum is commonly taught as part of Intellectual Property courses in law school, and  
1309 students are expected to understand how to determine the Abercrombie classification for a particular product/mark  
1310 combination.

1311 Performing the Abercrombie task requires reasoning about the literal meaning of a word and the degree of its  
1312 connection to a particular product/service. It requires having some understanding of the types of words that  
1313 could plausibly be used to describe a particular good/service, and the extent to which those words relate to a  
1314 particular mark. It also requires reasoning as to whether a particular word is a real English word.

1315 **Task** The Abercrombie task requires an LLM to determine—given a candidate mark and a description of a  
1316 product/service—which of the five Abercrombie categories above apply.

<b>Facts</b>	<b>Abercrombie Classification</b>
The mark "Whirlpool" for an oven.	arbitrary
The mark "Compact" for wallets.	descriptive
The mark "Imprion" for a line of sports drinks.	fanciful
The mark "Car" for a line of automobiles.	generic
The mark "Quick Green" for grass seed.	suggestive

Table 10: Task examples.

1317 **Construction process** We manually create a dataset to evaluate a model’s ability to classify a mark’s  
1318 distinctiveness (into one of the above 5 categories) with respect to a product. In writing samples, we draw

<sup>5</sup>*Abercrombie & Fitch Co. v. Hunting World*, 537 F.2d 4 (2nd Cir. 1976).

1319 inspiration from similar exercises available in legal textbooks and practice study guides. Hence, the samples  
1320 provided have a definite answer, and are not subject to ambiguity. There is an expectation that a law student  
1321 learning intellectual property would be able to answer these questions to a high degree of accuracy.

1322 We create approximately 20 samples for each category of distinctiveness, and randomly select a single sample  
1323 from each category to constitute the train set. The remaining 19 samples (for each category) are assigned to the  
1324 test set (for a total of 95 samples).

Class	Number of samples
generic	19
descriptive	19
suggestive	20
arbitrary	18
fanciful	19

Table 11: Test set class distribution.

1325 **Significance and value** Given how easy this task is for lawyers with a basic training in intellectual property  
1326 law, it is unlikely that LLMs will be called on to perform this task in the actual practice of law, or that the  
1327 ability for LLMs to perform this task would alter the way in which lawyers approach IP practice. Instead, the  
1328 Abercrombie task is significant as a measurement of reasoning ability. Because it is “simplistic” by the standards  
1329 of human lawyers, it provides a useful objective measure of reasoning progress for LLMs.

## 1330 I.2 Canada Tax Court Outcomes

1331 In LEGALBENCH, the Canada Tax Court Outcomes task is also denoted as `canada_tax_court_outcomes`.

1332 **Background** The Tax Court of Canada hears appeals of government decisions related to taxation.<sup>6</sup> The  
1333 Court’s decisions, which are written in natural language, are published on the Court’s website, in both French  
1334 and English.<sup>7</sup> Decisions typically include a section at the beginning summarizing the outcome of the appeal,  
1335 followed by sections describing the factual background and various procedural steps, a section identifying the  
1336 issues under consideration, sections with legal analysis, and a concluding section. While this is the standard  
1337 format, judges are free to use other formats if they prefer. Decision length varies depending on the complexity of  
1338 the litigation, with some decisions being only a few hundred words, and others being many thousands of words.

1339 Appeals in Tax Court of Canada cases are brought by individuals or organizations who ask the Court to overturn  
1340 a government taxation decision. Outcomes of appeals are generally binary: appeals are either granted, in which  
1341 case the government taxation decision is overturned in whole or in part, or appeals are denied in which case the  
1342 government taxation decision is upheld. Occasionally published decisions will not involve the outcome of an  
1343 appeal, including where the decision is about a procedural step (e.g. the admissibility of particular evidence).

1344 The `canada_tax_court_outcomes` task involves identifying whether an excerpt from a Tax Court of Canada  
1345 decision includes the outcome of the appeal and, if so, what the outcome is. While the task is straightforward,  
1346 one challenge is that the model must distinguish between outcomes of the appeal as a whole and outcomes of  
1347 particular aspects of the appeal. Another challenge is that where the excerpt does not include the outcome, the  
1348 model must avoid predicting the outcome – even if the model might plausibly correctly infer the likely outcome  
1349 from the excerpt provided.

1350 **Task** The Canada Tax Court Outcomes task requires an LLM to classify whether an excerpt from a given  
1351 decision includes the outcome of the appeal, and if so whether the appeal was allowed or dismissed. Some  
1352 excerpts do not include an outcome of the appeal, in which case the model should return ‘other’. Where the  
1353 excerpt includes the outcome and the appeal is allowed in whole or in part, the model should return ‘allowed’.  
1354 Where the excerpt includes an outcome, and the appeal is dismissed the model should return ‘dismissed’. The  
1355 model should disregard outcomes that are not about the ultimate outcome of the appeal, such as costs awards (i.e.  
1356 orders requiring a party to pay the other party’s legal costs).

1357 **Construction process** We obtained the full text of English-language versions of decisions from 2001 to  
1358 2022 by scraping the Tax Court of Canada website.<sup>8</sup> We then cleaned and parsed the text to extract excerpts that  
1359 are most likely to contain the outcome of the appeal. For example, many decisions contain a brief introductory  
1360 section describing the outcome of the appeal using a specific header, and if the decision contained a section with  
1361 such a header, we excerpted only that section. Where our parsing code could not identify such a section, we  
1362 excerpted the first and last 2,500 characters, because outcomes are generally described at either the beginning or  
1363 end of decisions. After initially attempting outcome classification on these excerpts using OpenAI’s ChatGPT,  
1364 we selected a quasi-random sample of 250 excerpts (quasi random because we selected these manually, we over-  
1365 sampled excerpts where the outcome is ‘other’, and we chose some excerpts that were challenging due to factors  
1366 such as length or unusual format). We manually reviewed outcomes for these excerpts, correcting some that had  
1367 been miscategorized.

1368 Two random cases from each class are selected for the training split, while the remainder are used as the test set.

1369 **Significance and value** Legal scholars frequently gather data about outcomes in large numbers of legal  
1370 decisions in order to examine patterns in judicial decision-making. For example, a legal scholar may be interested  
1371 in comparing outcomes in similar processes across jurisdictions or they might examine whether a legislative  
1372 change resulted in different outcomes over time. Lawyers and legal information technology companies may also  
1373 be interested in gathering data on outcomes for the purposes of judicial analytics or to predict future outcomes.

1374 Gathering such data is typically straightforward. It is, for example, a common task assigned to first year law  
1375 student research assistants who can frequently achieve close to 100% accuracy on such tasks with only minimal  
1376 training. However, because the data is often useful only when gathered on large numbers of decisions, this type  
1377 of data gathering using human research assistants can be cost prohibitive. If LLMs can obtain high accuracy on  
1378 these tasks, substantial savings could be achieved – which would increase the ability of researchers to pursue  
1379 new projects.

---

<sup>6</sup>*Tax Court of Canada Act*, RSC, 1985, c T-2, online: <https://laws-lois.justice.gc.ca/eng/acts/t-2/index.html>, s 12.

<sup>7</sup>Tax Court of Canada, “Find a Decision”, online: <https://decision.tcc-cci.gc.ca/tcc-cci/en/nav.do>.

<sup>8</sup>*Ibid.* As per the terms of service of the website, we are required to note that the text of the scraped decisions are not the official versions (official versions can be obtained from the website), and that the reproduction of these cases has not been produced in affiliation with or with the endorsement of the Government of Canada.

<b>Excerpt</b>	<b>Outcome</b>
The appeal is allowed in part and the assessment is referred back to the Minister of National Revenue for reconsideration and reassessment to reflect a 25% reduction of the tax owed by the appellant and adjustments to the interest and penalties, as agreed to by the respondent. Costs are to be determined after hearing both parties. In all other respects, the assessment is confirmed. Signed this 23rd day of February 2012. "Franois Angers" Angers J.	allowed
IN ACCORDANCE with the Reasons for Judgment attached, the appeal from the decision of the Respondent in relation to the income of the Appellant for the purposes of determining his entitlement to the Guaranteed Income Supplement under the Old Age Security Act for the payment period from July 1, 2014 to June 30, 2015 is dismissed, without costs. Signed at Ottawa, Canada, this 24th day of October 2017. R.S. Boccock Boccock J.	dismissed
(These Reasons for Judgment are issued in substitution for the Reasons for Judgment signed on January 22, 2002) Lamarre, J. [1] These are appeals under the informal procedure against assessments made by the Minister of National Revenue ("Minister") under the Income Tax Act ("Act") for the 1995, 1996, 1997, 1998 and 1999 taxation years. [2] In filing her 1995 income tax return, the appellant claimed a business investment loss of \$268,897 with respect to investments in eight mortgages held "in trust" for the appellant and her father Henry Sokolowski by Kiminco Acceptance Co. Ltd. ("Kiminco"), a member of the Glen Coulter group of companies. The eight mortgage investments were made in 1987 and 1988 and are identified as follows in paragraph 13 of the Reply to the Notice of Appeal: Account/Mortgage Number Ultimate Borrower ... For the Appellant: Name: Firm: For the Respondent: Morris Rosenberg Deputy Attorney General of Canada Ottawa, Canada	other

Table 12: Task examples.

<b>Outcome</b>	<b>Number of samples</b>
allowed	101
dismissed	131
other	12

Table 13: Test set class distribution.

### 1380 I.3 Citation Prediction Tasks

1381 In the LEGALBENCH, the Citation Prediction tasks are also denoted as `citation_prediction_*`.

1382 **Background** The importance of locating relevant legal materials, or “law search” has long been recognized  
1383 as an essential aspect of legal practice. This process involves uncovering case law, statutes, and other materials  
1384 pertinent to legal questions or arguments. As a fundamental aspect of legal reasoning, law search plays a crucial  
1385 role in bridging the gap between the initial translation of behaviors into legal questions and the subsequent  
1386 interpretation and application of the relevant law.

1387 Legal professionals are often valued for their ability to find and apply the appropriate law to their clients’  
1388 situations. Given the intricate nature of the contemporary legal domain, the process of law search has evolved  
1389 into a complex and nuanced task that demands a comprehensive understanding of the law.

1390 A core component of law search is legal relevance. From a sociological perspective, the relevance of legal  
1391 documents to a specific legal question is a social fact. This fact is determined by the judgments made by members  
1392 of the legal community, who must determine which legal materials are applicable to a given question. Relevance  
1393 relates legal questions to sources of legal authority.

1394 In functional legal communities, law search leads to some degree of convergence over legal materials. Con-  
1395 vergence occurs when competent members of a legal community, faced with the same legal question, identify  
1396 the same sources of relevant legal authority. This process is essential to ensuring that the legal system operates  
1397 consistently, predictably, and coherently.

1398 As a critical process that connects the translation of behaviors into legal questions and the subsequent interpreta-  
1399 tion and application of the relevant law, law search is indispensable to legal reasoning.

1400 The Citation Prediction task requires reasoning concerning the relationship between the text of judicial opinions  
1401 and legal propositions. Successful prediction would entail encoding a notion of legal relevance and would allow  
1402 a system to determine whether a legal proposition was or was not supported by the extant body of law.

1403 **Task** The citation task is based on a version of the evaluation approaches used in [39]. There are two  
1404 Citation Prediction tasks. The first (`citation_prediction_classification`) requires an LLM to predict  
1405 whether a given sentence (i.e. legal proposition) is or is not supported by a given case. The second (`citation_`  
1406 `prediction_open`) requires an LLM to predict a case (by name) that supports a provided sentence.

1407 **Construction process** We collected a sample of circuit court opinions published after January 1, 2023. To  
1408 the best of our knowledge, most existing LLMs haven’t been trained on any data generated in 2023. For each  
1409 opinion, we manually collected sentences which were supported by a citation to a judicial opinion, where (1)  
1410 the sentence contained some quotation from the original case, and (2) the sentence was supported by a single  
1411 cite. We chose sentences which included quotation fragments and were only supported by a single cite to avoid  
1412 sentences which could be supported by a broad set of cases. When a sentence is supported by a much larger  
1413 universe of cases, verifying that an LLM answer is incorrect is difficult. We also recorded the circuit for each  
1414 opinion that we pulled language from. As a result, we can include the circuit information in the prompt, since  
1415 circuits prefer citing their previous decisions. We collected 55 sentences using this process. For the citation  
1416 generation task (`citation_prediction_open`), we ask the LLM to predict the citation given the sentence.

1417 The `citation_prediction_classification` task is then constructed as follows. We use each sentence-  
1418 citation pair to create two task samples. The first sample corresponds to the sentence and the correct citation  
1419 (positive label). The second sample corresponds to the sentence and a randomly selected citation from the  
1420 remainder of the data (negative label). This generates a dataset of 110 sentence-citation pairs, two of which are  
1421 assigned to the training split.

1422 **Significance and value** Law search is a core function of legal thinking. In addition, the difficulty of  
1423 identifying relevant law is a core barrier in the public’s ability usefully access the law. The ability of an LLM to  
1424 accurately engage in citation prediction would have important practical value in providing access to law, and  
1425 would also allow the LLM to more reliably support legal statements with relevant authority.

<b>Input</b>	<b>Citation</b>	<b>Supported?</b>
Exclusions are always strictly construed against the insurer and in favor of the insured.	Nationwide Mut. Ins. Co. v. Cosenza	Yes
The Supreme Court and this court have repeatedly "held that environmental plaintiffs adequately allege injury in fact when they aver that they use the affected area and are persons for whom the aesthetic and recreational values of the area will be lessened by the challenged activity."	United States v. Pearce	No

Table 14: Examples for citation\_prediction\_classification.

<b>Input</b>	<b>Citation</b>
In other words, the DJA "creates a means by which rights and obligations may be adjudicated in cases involving an actual controversy that has not reached the stage at which either party may seek a coercive remedy."	United States v. Doherty
To be "equivalent to a demotion," the action need not "result in a decrease in pay, title, or grade; it can be a demotion if the new position proves objectively worse—such as being less prestigious or less interesting or providing less room for advancement."	Alvarado v. Tex. Rangers

Table 15: Examples for citation\_prediction\_open.

1426 **I.4 Clause Classification Tasks**

1427 LEGALBENCH includes a number of tasks in which the LLM must determine the “type” or “category” of a  
1428 provision/clause in a legal document. Specifically:

- 1429 • The Contract QA task (Section I.4.4), in which the LLM is provided with the name of a common type  
1430 of contractual clause and a clause, and must determine if the clause is an example of the example type.
- 1431 • 38 tasks derived from the CUAD dataset (Section I.4.1), where each task is a binary-classification  
1432 task requiring the LLM to identify whether a clause (from an EDGAR contract) belongs to a certain  
1433 category (e.g., audit rights clauses) [62].
- 1434 • The J.Crew blocker task (Section I.4.2), in which the LLM must classify whether a clause (from a  
1435 loan agreement) is a J-Crew blocker provision.
- 1436 • The Unfair Terms of Service task (Section I.4.3), in which the LLM must classify a clause (from a  
1437 terms of service agreement) to one of eight types, where seven of the types denote clauses that would  
1438 potentially be considered “unfair” under European law [82].

1439 **Significance and value** Lawyers spend significant time and energy reviewing legal documents (e.g., con-  
1440 tracts, leases, etc.). Manual review serves an important purpose, allowing parties to identify potentially  
1441 problematic terms [119]. Parties will sometimes review agreements that have already been signed, in response to  
1442 changing world events. For instance, the COVID-19 pandemic led many firms to inspect agreements for the  
1443 existence of *force majeure* clauses, which ordinarily specify how contractual expectations should be handled in  
1444 the event of major world crises [49]. Because legal documents are long and require legal training to understand,  
1445 the process of reviewing is often extremely expensive [62]. This, in turn, presents significant access-to-justice  
1446 concerns. Because most individuals do not have the financial capacity to consult lawyers prior to entering legal  
1447 agreements, they are oblivious to when those agreements contain predatory, oppressive, or unconscionable terms.  
1448 A rich legal scholarship has noted, for instance, the frequency at which legal agreements contain terms that  
1449 would be invalidated by a court [25].

1450 The clause classification tasks in LEGALBENCH are thus amongst the most *practically useful* tasks in LEGAL-  
1451 BENCH, as they capture an actual current-day use case for LLMs. As the complexity of clause classification  
1452 depends both on the clause category and document type, LEGALBENCH tasks span a range of clause categories  
1453 and source documents.

1454 **I.4.1 CUAD Tasks**

1455 We adapt the CUAD dataset for LEGALBENCH [62]. The original dataset consists of 500 contracts, each  
1456 annotated with up to 41 different clause types. These contracts varied significantly in length, ranging from a  
1457 few pages to over one-hundred pages. In the original work, [62] studied the ability for BERT-base language  
1458 models to identify the text spans corresponding to different types of clauses. The principal difficulties were (1)  
1459 the length of the contract, and (2) the lack of significant training data.

1460 We adapt the CUAD dataset as follows. We select 38 of the 41 clause categories. For each selected category,  
1461 we construct a dataset consisting of (1) clauses in the CUAD contracts which are assigned to that category,  
1462 and (2) an equal number of clauses randomly sampled from other categories. This produces a balanced binary  
1463 classification task for clause category, where the purpose is to identify which clauses belong to the respective  
1464 category. A table with the selected categories, and their descriptions is found below.

1465 Table 16 lists each task, a “description” of the category corresponding to the task, and an example of a clause  
1466 which meets the category criteria. In accordance with [62], the description is presented as the question posed to  
1467 the annotators during data labeling. If a clause yields an affirmative answer with regards to the question, then the  
1468 label is “Yes”. Otherwise the label is “No”.

1469 In LEGALBENCH, the CUAD tasks are denoted as `cuad_*`.

Table 16: CUAD Tasks

---

Task
<b>Task name:</b> <code>cuad_affiliate_license-licensee</code> <b>Description:</b> Does the clause describe a license grant to a licensee (incl. sublicensor) and the affiliates of such licensee/sublicensor? <b>Example:</b> [***], Valeant hereby grants to Dova a fully paid-up, royalty free, non-transferable, non- exclusive license (with a limited right to sub-license to its Affiliates) to any Valeant Property that appears on, embodied on or contained in the Product materials or Product Labeling solely for use in connection with Dova’s promotion or other commercialization of the Product in the Territory.
<b>Task name:</b> <code>cuad_affiliate_license-licensor</code>

---

Table 16 – continued from previous page

Task
<p><b>Description:</b> Does the clause describe a license grant by affiliates of the licensor or that includes intellectual property of affiliates of the licensor?</p> <p><b>Example:</b> "Company Licensed Know-How" means all Know-How owned by any Company Entity as of the Effective Date and used or held for use in the Arizona Field as of the Effective Date.&lt;omitted&gt;Subject to the terms and conditions of this Agreement, the Company hereby grants to Seller a perpetual, non-exclusive, royalty-free license in, to and under the Company Licensed Know-How for use in the Arizona Field throughout the world.</p>
<p><b>Task name:</b> cuad_anti-assignment</p> <p><b>Description:</b> Does the clause require consent or notice of a party if the contract is assigned to a third party?</p> <p><b>Example:</b> Except as otherwise set forth herein, neither party shall transfer, assign or cede any rights or delegate any obligations hereunder, in whole or in part, whether voluntarily or by operation of law, without the prior written consent of the other party, which consent may be withheld at the other party's reasonable business discretion; provided, however, that either party may transfer this Agreement without prior written consent of the other to an Affiliate of such party, or to the surviving party in a merger or consolidation, or to a purchaser of all or substantially all of its assets.</p>
<p><b>Task name:</b> cuad_audit_rights</p> <p><b>Description:</b> Does the clause give a party the right to audit the books, records, or physical locations of the counterparty to ensure compliance with the contract?</p> <p><b>Example:</b> For avoidance of doubt, all audits under this Section shall be conducted solely by an independent public accountant as described in the foregoing sentence.</p>
<p><b>Task name:</b> cuad_cap_on_liability</p> <p><b>Description:</b> Does the clause specify a cap on liability upon the breach of a party's obligation? This includes time limitation for the counterparty to bring claims or maximum amount for recovery.</p> <p><b>Example:</b> EXCEPT FOR LIABILITIES UNDER SECTION 7.2 [Indemnity], NEITHER PARTY'S AGGREGATE LIABILITY ARISING OUT OF OR IN CONNECTION WITH THIS AGREEMENT OR THE TRANSACTIONS CONTEMPLATED HEREBY, WHETHER IN CONTRACT, TORT (INCLUDING NEGLIGENCE), WARRANTY OR OTHERWISE, SHALL EXCEED [***].</p>
<p><b>Task name:</b> cuad_change_of_control</p> <p><b>Description:</b> Does the clause give one party the right to terminate or is consent or notice required of the counterparty if such party undergoes a change of control, such as a merger, stock sale, transfer of all or substantially all of its assets or business, or assignment by operation of law?</p> <p><b>Example:</b> Notwithstanding the foregoing, if any Party to this Agreement (or any of its successors or permitted assigns) (a) shall enter into a consolidation or merger transaction in which such Party is not the surviving entity and the surviving entity acquires or assumes all or substantially all of such Party's assets, (b) shall transfer all or substantially all of such Party's assets to any Person or (c) shall assign this Agreement to such Party's Affiliates, then, in each such case, the assigning Party (or its successors or permitted assigns, as applicable) shall ensure that the assignee or successor- in-interest expressly assumes in writing all of the obligations of the assigning Party under this Agreement, and the assigning Party shall not be required to seek consent, but shall provide written notice and evidence of such assignment, assumption or succession to the non-assigning Party.</p>
<p><b>Task name:</b> cuad_competitive_restriction_exception</p> <p><b>Description:</b> Does the clause mention exceptions or carveouts to Non-Compete, Exclusivity and No-Solicit of Customers?</p> <p><b>Example:</b> Notwithstanding the foregoing, Excite may make available opportunities on the Excite Site to purchase Music Products from parties other than Sponsor if such Music Products are not available from Sponsor so long as, prior to entering into arrangements to make available opportunities to purchase Music Products from parties other than Sponsor, Excite notifies Sponsor of its interest in the Music Products and gives Sponsor thirty (30) days to make the desired Music Products available through the Sponsor Site.</p>
<p><b>Task name:</b> cuad_covenant_not_to_sue</p> <p><b>Description:</b> Is a party restricted from contesting the validity of the counterparty's ownership of intellectual property or otherwise bringing a claim against the counterparty for matters unrelated to the contract?</p> <p><b>Example:</b> In connection with any reference to the Trademarks, Distributor shall not in any manner represent that it has an ownership interest in the Trademarks or registration(s) thereof, and Distributor acknowledges that no action by it or on its behalf shall create in Distributor's favor any right, title, or interest in or to the Trademarks.</p>
<p><b>Task name:</b> cuad_exclusivity</p>

Table 16 – continued from previous page

Task
<p><b>Description:</b> Does the clause specify exclusive dealing commitment with the counterparty? This includes a commitment to procure all “requirements” from one party of certain technology, goods, or services or a prohibition on licensing or selling technology, goods or services to third parties, or a prohibition on collaborating or working with other parties), whether during the contract or after the contract ends (or both).  <b>Example:</b> Bosch hereby grants to Client the exclusive rights to sell and distribute the Product, subject to the Territory as set forth below, to certain select companies in the Automotive Industry, each of which shall be approved by Bosch in writing as requested by the Client on a case by case basis.</p>
<p><b>Task name:</b> cuad_insurance  <b>Description:</b> Is there a requirement for insurance that must be maintained by one party for the benefit of the counterparty?  <b>Example:</b> Throughout the entire Term, you must maintain such types of insurance, in such amounts, as we may require.</p>
<p><b>Task name:</b> cuad_ip_ownership_assignment  <b>Description:</b> Does intellectual property created by one party become the property of the counterparty, either per the terms of the contract or upon the occurrence of certain events?  <b>Example:</b> Upon written request of ArTara, University will assign the IND to ArTara.</p>
<p><b>Task name:</b> cuad_irrevocable_or_perpetual_license  <b>Description:</b> Does the clause specify a license grant that is irrevocable or perpetual?  <b>Example:</b> Subject to the terms and conditions of this Agreement, as of the Distribution Date, SpinCo hereby grants to Nuance and the members of the Nuance Group a worldwide, non-exclusive, fully paid-up, perpetual and irrevocable, transferable (subject to ARTICLE VIII), sublicensable (subject to Section 4.01(g)) license to install, access, use, reproduce, perform, display, modify (including the right to create improvements and derivative works), further develop, sell, manufacture, distribute and market products and services based on, using or incorporating the SpinCo Shared Technology Assets within the Nuance Field of Use, together with natural extensions and evolutions thereof.</p>
<p><b>Task name:</b> cuad_joint_ip_ownership  <b>Description:</b> Does the clause provide for joint or shared ownership of intellectual property between the parties to the contract?  <b>Example:</b> If the Domain Name is deemed a combination mark, neither party shall use the Domain Name for any purpose except as expressly provided herein or attempt to register the Domain Name, and the parties will jointly cooperate on any enforcement action of infringement of the Domain Name.</p>
<p><b>Task name:</b> cuad_license_grant  <b>Description:</b> Does the clause contain a license granted by one party to its counterparty?  <b>Example:</b> Neoforma hereby grants VerticalNet a non-exclusive, non-transferable, royalty-free, right and license to link to the Neoforma Sites through a Neoforma Link.</p>
<p><b>Task name:</b> cuad_liquidated_damages  <b>Description:</b> Does the clause award either party liquidated damages for breach or a fee upon the termination of a contract (termination fee)?  <b>Example:</b> You and each of your principals agree that the liquidated damages provision does not give us an adequate remedy at law for any default under, or for the enforcement of, any provision of this Agreement other than the Royalty Fee sections.</p>
<p><b>Task name:</b> cuad_minimum_commitment  <b>Description:</b> Does the clause specify a minimum order size or minimum amount or units per time period that one party must buy from the counterparty?  <b>Example:</b> If the Quarterly Average Sales Force Size is less than [***] Sales Representatives for an applicable Calendar Quarter, then in calculating the promotion fee due under Section 6.1.1, the Applicable Percentage for such Calendar Quarter shall be reduced to a new percentage equal to [***].</p>
<p><b>Task name:</b> cuad_most_favored_nation  <b>Description:</b> Does the clause state that if a third party gets better terms on the licensing or sale of technology/goods/services described in the contract, the buyer of such technology/goods/services under the contract shall be entitled to those better terms?</p>

Table 16 – continued from previous page

Task
<p><b>Example:</b> Eutectix agrees that in the event any Licensed Products shall be sold (1) to any Affiliate (as defined herein), or (2) to a corporation, firm, or association with which, or individual with whom Eutectix or its stockholders or Affiliates shall have any agreement, understanding, or arrangement (such as, among other things, an option to purchase stock, or an arrangement involving a division of profits or special rebates or allowances) without which agreement, understanding, or arrangement, prices paid by such a corporation, firm, association or individual for the Licensed Products would be higher than the Net Sales Price reported by Eutectix, or if such agreement, understanding, or arrangement results in extending to such corporation, firm, association, or individual lower prices for Licensed Products than those charged to outside concerns buying similar products in similar amounts and under similar conditions, then, and in any such events, the royalties to be paid hereunder in respect of such Licensed Products shall be computed based on an assumed or deemed Net Sales Price equal to those charged to such outside concerns.</p>
<p><b>Task name:</b> cuad_no-solicit_of_customers  <b>Description:</b> Does the clause restrict a party from contracting or soliciting customers or partners of the counterparty, whether during the contract or after the contract ends (or both)?  <b>Example:</b> During the Term of this Agreement, and for a period of one year thereafter, except as expressly provided in this Agreement, PlanetCAD shall not market any services to Customers without the prior written approval of Dassault Systemes.</p>
<p><b>Task name:</b> cuad_no-solicit_of_employees  <b>Description:</b> Does the clause restrict a party’s soliciting or hiring employees and/or contractors from the counterparty, whether during the contract or after the contract ends (or both)?  <b>Example:</b> You covenant that during the term of this Agreement, except as otherwise approved in writing by us, you will not, either directly or indirectly, for yourself, or through, on behalf of, or in conjunction with any person, persons, partnership, corporation or company: &lt;omitted&gt;2. Employ or seek to employ any person who is at that time employed by us, our affiliates, or by any other franchisee of ours, or otherwise directly or indirectly induce or seek to induce such person to leave his or her employment thereat.</p>
<p><b>Task name:</b> cuad_non-compete  <b>Description:</b> Does the clause restrict the ability of a party to compete with the counterparty or operate in a certain geography or business or technology sector?  <b>Example:</b> Agent may not offer or promote competitive products without the consent of Kallo.</p>
<p><b>Task name:</b> cuad_non-disparagement  <b>Description:</b> Does the clause require a party not to disparage the counterparty?  <b>Example:</b> The Company shall not tarnish or bring into disrepute the reputation of or goodwill associated with the Seller Licensed Trademarks or Arizona.</p>
<p><b>Task name:</b> cuad_non-transferable_license  <b>Description:</b> Does the clause limit the ability of a party to transfer the license being granted to a third party?  <b>Example:</b> Subject to the terms and conditions of this Agreement, Licensor hereby grants to Licensee, and Licensee hereby accepts from Licensor, a personal, non-exclusive, royalty-free right and license to use the Licensed Mark solely and exclusively as a component of Licensee’s own corporate name and in connection with marketing the investment management, investment consultation and investment advisory services that Investment Advisor may provide to Licensee.</p>
<p><b>Task name:</b> cuad_post-termination_services  <b>Description:</b> Does the clause subject a party to obligations after the termination or expiration of a contract, including any post-termination transition, payment, transfer of IP, wind-down, last-buy, or similar commitments?  <b>Example:</b> Cisco agrees to repurchase all Product in Distributor’s inventory within [*****] days following the effective date of termination or expiration.</p>
<p><b>Task name:</b> cuad_price_restrictions  <b>Description:</b> Does the clause place a restriction on the ability of a party to raise or reduce prices of technology, goods, or services provided?  <b>Example:</b> The prices set forth in Section 2.4(a) shall be subject to adjustment annually on the first day of each Product Year beginning in the calendar year 2000 and on the first day of each succeeding Product Year for the remainder of the Term and all renewals of this Agreement in proportion to the increase or decrease in the Consumer Price Index (CPI) as compared to the CPI as it existed on the first day of the Term of this Agreement.</p>
<p><b>Task name:</b> cuad_revenue-profit_sharing  <b>Description:</b> Does the clause require a party to share revenue or profit with the counterparty for any technology, goods, or services?</p>

Table 16 – continued from previous page

Task
<p><b>Example:</b> In consideration for the licenses granted to Corio pursuant to Section 2 (except Section 2.5) of this Agreement, Corio shall pay the revenue sharing fees specified in EXHIBIT B hereto.</p>
<p><b>Task name:</b> cuad_rofr-rofo-rofn  <b>Description:</b> Does the clause grant one party a right of first refusal, right of first offer or right of first negotiation to purchase, license, market, or distribute equity interest, technology, assets, products or services?  <b>Example:</b> If Licensee shall have exercised such right, the closing shall be held at the corporate offices of Licensee on the closing date specified in the Offering Notice or the date that is ninety (90) days after the date of Licensee’s notice of its exercise of such right, whichever is later.</p>
<p><b>Task name:</b> cuad_source_code_escrow  <b>Description:</b> Does the clause require one party to deposit its source code into escrow with a third party, which can be released to the counterparty upon the occurrence of certain events (bankruptcy, insolvency, etc.)?  <b>Example:</b> With each delivery of Software to Bank of America hereunder, Supplier shall deliver to Bank of America the Source Code for all Software and for all Updates, Upgrades and new releases of the Software.</p>
<p><b>Task name:</b> cuad_termination_for_convenience  <b>Description:</b> Does the clause specify that one party can terminate this contract without cause (solely by giving a notice and allowing a waiting period to expire)?  <b>Example:</b> Customer may terminate this Agreement during the Term upon at least one (1) years’ written notice to M&amp;I, provided that Customer pays M&amp;I an early termination fee ("Termination for Convenience Fee") in an amount equal to REDACTED of the Estimated Remaining Value.</p>
<p><b>Task name:</b> cuad_third_party_beneficiary  <b>Description:</b> Does the clause specify that there a non-contracting party who is a beneficiary to some or all of the clauses in the contract and therefore can enforce its rights against a contracting party?  <b>Example:</b> Such covenants must be on a form that we provide, which form will, among other things, designate us as a third party beneficiary of such covenants with the independent right to enforce them.</p>
<p><b>Task name:</b> cuad_uncapped_liability  <b>Description:</b> Does the clause specify that a party’s liability is uncapped upon the breach of its obligation in the contract? This also includes uncap liability for a particular type of breach such as IP infringement or breach of confidentiality obligation  <b>Example:</b> Subject to the foregoing as wen as Mobimagic’s obligations under this Agreement, Mobimagic shall not in any manner be held or be responsible or liable for any unforeseen contingency, claims, liabilities, demands, losses, damages or expenses arising due to absence of storage or retention of any PC Financial data which shall be the sole responsibility of PC Financial .</p>
<p><b>Task name:</b> cuad_unlimited-all-you-can-eat-license  <b>Description:</b> Does the clause grant one party an “enterprise,” “all you can eat” or unlimited usage license?  <b>Example:</b> Subject to the terms and conditions of this Agreement, Commerce One hereby grants to Corio a fee-bearing, perpetual and irrevocable, nonexclusive, nontransferable (except in accordance with Section 14.1 of this Agreement), right and license in the Territory to&lt;omitted&gt;(iv) sublicense an unlimited number of Customers to access and use the Software and MarketSite.net Service only through the installation on Corio servers;</p>
<p><b>Task name:</b> cuad_volume_restriction  <b>Description:</b> Does the clause specify a fee increase or consent requirement, etc. if one party’s use of the product/services exceeds certain threshold?  <b>Example:</b> Make himself available for four (4) sessions for production of photographs, or radio, television, video or other multi-media programming for use in Bizzingo’s advertising or promotional materials, with each such session not exceeding eight (8) hours.</p>
<p><b>Task name:</b> cuad_effective_date  <b>Description:</b> Does the clause specify the date upon which the agreement becomes effective?  <b>Example:</b> This JV Agreement shall become effective on the signing date and shall have a duration of * years, extendable for a further * years, unless notice of non- renewal is sent one year before the natural expiry date.&lt;omitted&gt;2 April 2020</p>
<p><b>Task name:</b> cuad_expiration_date  <b>Description:</b> Does the clause specify the date upon which the initial term expires?  <b>Example:</b> This Agreement shall be effective as of the Effective Date and shall continue in effect through December 31, 2021 and any Renewal Term (the "Term"), unless terminated earlier as set forth herein.</p>
<p><b>Task name:</b> cuad_governing_law  <b>Description:</b> Does the clause specify which state/country’s law governs the contract?</p>

Table 16 – continued from previous page

Task
<p><b>Example:</b> This Agreement shall be governed by and construed under the laws of the State of California, excluding conflict of laws provisions and excluding the 1980 United Nations Convention on Contracts for the International Sale of Goods.</p>
<p><b>Task name:</b> <code>cuad_notice_period_to_terminate_renewal</code>  <b>Description:</b> Does the clause specify a notice period required to terminate renewal?  <b>Example:</b> Unless either party gives written notice to terminate this Agreement at least six (6) months prior to the end of said Initial Term, this Agreement shall continue on a year to year basis ("Extended Term(s)") until terminated by either party by giving written notice of termination thereof to the other party at least six (6) months prior to the end of the then current Extended Term.</p>
<p><b>Task name:</b> <code>cuad_renewal_term</code>  <b>Description:</b> Does the clause specify a renewal term?  <b>Example:</b> This Agreement shall commence on the Effective Date and, unless sooner terminated in accordance with its terms, including by Ginkgo pursuant to Section 7.3 (Buy-Down Election) or extended by the mutual written agreement of the Parties, shall continue until the Intended End of Term (such time period, as may be extended pursuant to this Section 13.3.1 (Term - General), the "Term"); provided that, if, &lt;omitted&gt;at the expiration of the Intended End of Term, Ginkgo has paid the Minimum Cumulative Purchase Commitment, but will not have paid to BLI the Full Purchase Target, then the Term of this Agreement shall automatically extend for an additional [***] ([***)] year period from the date of the expiration of the then-Intended End of Term so that, among other things, BLI may potentially receive the benefit of the Full Purchase Target and Ginkgo may receive the continuing benefit of royalty-free licenses.</p>
<p><b>Task name:</b> <code>cuad_warranty_duration</code>  <b>Description:</b> Does the clause specify a duration of any warranty against defects or errors in technology, products, or services provided under the contract?  <b>Example:</b> Airspan warrants that, following repair or replacement, the repaired or replaced Equipment or Software by Airspan shall be free from defects in materials and faulty workmanship and that the Software will conform in all material respects to Airspan’s published specifications therefor for ninety (90) days from date of shipment from Airspan to Distributor or until the end of the Initial Warranty Period, whichever is longer.</p>

1470 **I.4.2 J.Crew Blocker**

1471 In LEGALBENCH, the J.Crew Blocker task is denoted as `jcrew-blocker`.

1472 **Background** Loan agreements often contain restrictive covenants that place limits on a borrower’s activities  
 1473 to protect the lender’s interests. One such restrictive covenant that has become popular in recent years is the  
 1474 “J.Crew blocker” provision. This provision was created in response to actions taken by the retailer J.Crew in  
 1475 2016. J.Crew transferred valuable intellectual property assets out of the collateral pool for its existing loans by  
 1476 moving them into a new unrestricted subsidiary. This subsidiary was then able to use the IP assets as collateral  
 1477 to obtain new financing.

1478 The J.Crew blocker provision aims to prevent this type of activity by prohibiting borrowers from transferring IP  
 1479 assets out of the reach of existing lenders. There are two key components to a J.Crew blocker:

- 1480 1. A prohibition on transferring IP assets to unrestricted subsidiaries. This prevents the borrower from  
 1481 moving assets outside the scope of lender restrictions.
- 1482 2. A requirement to obtain lender consent for any IP transfers to subsidiaries. This gives lenders oversight  
 1483 and control over how IP assets are distributed within the corporate group.

1484 The presence of a robust J.Crew blocker in a loan agreement is designed to keep material assets within the  
 1485 collateral pool, and thereby protect lenders from borrowers’ attempts to secure additional debt through unexpected  
 1486 transfers of IP. For this reason, J.Crew blocker provisions have been widely adopted in leveraged loan agreements.

1487 **Task** The J.Crew blocker task requires determining whether a given provision in a loan agreement qualifies as  
 1488 a J.Crew blocker. To make this determination, the provision must be analyzed to assess whether it contains:

- 1489 1. A prohibition on transferring IP assets to unrestricted subsidiaries

1490 AND/OR

- 1491 2. A requirement to obtain lender consent for IP transfers to any subsidiary.

1492 If the provision includes one or both of these components, it can be classified as a J.Crew blocker. If not, the  
1493 provision does not meet the criteria.

1494 **Construction process** The dataset for this task was constructed by legal experts extracting real examples of  
1495 provisions from public loan agreements. Each example was labeled as either meeting the criteria for a J.Crew  
1496 blocker or not. The dataset contains 60 total examples, organized into two columns: "Text" (containing the  
1497 clause in question) and "Label" (indicating whether the clause is a J.Crew Blocker provision). Each clause was  
1498 analyzed and classified as a J.Crew Blocker provision ("Yes") or not ("No"). The construction process involved  
1499 manually reviewing and annotating these samples, ensuring that each clause was accurately categorized. This  
1500 process, carried out by legal experts, provides definitive answers to each sample, eliminating ambiguity.

1501 **Significance and value** The ability to identify J.Crew blocker provisions is important for both lenders and  
1502 borrowers in leveraged finance. For lenders, it helps ensure key protections are included in loan agreements. For  
1503 borrowers, it provides insight into restrictions being placed on their activities. Given the widespread adoption of  
1504 J.Crew blockers, this is a task that requires proficiency to actively participate in the leveraged loan market. The  
1505 task serves as an important measure of an LLM's ability to understand and apply legal concepts, particularly  
1506 those related to secured lending and intellectual property law. It also tests the LLM's capacity to analyze and  
1507 interpret legal provisions. Given the increasing complexity and sophistication of financial transactions, the  
1508 ability to accurately identify and understand such provisions is a valuable skill for any LLM. This task, therefore,  
1509 provides a useful measure of progress for LLMs in their understanding and interpretation of complex legal  
1510 clauses.

Clause	J.Crew Blocker Provi- sion?
<p>provided that (i) immediately before and after such designation, no Event of Default shall have occurred and be continuing, (ii) in the case of the designation of any Subsidiary as an Unrestricted Subsidiary, such designation shall constitute an Investment in such Unrestricted Subsidiary (calculated as an amount equal to the sum of (x) the fair market value of the Equity Interests of the designated Subsidiary and any of its Subsidiaries that are owned by Holdings or any Restricted Subsidiary, immediately prior to such designation (such fair market value to be calculated without regard to any Obligations of such designated Subsidiary or any of its Subsidiaries under the Guaranty Agreement) and (y) the aggregate principal amount of any Indebtedness owed by such Subsidiary and any of its Subsidiaries to Holdings or any of the Restricted Subsidiaries immediately prior to such designation, all calculated, except as set forth in the parenthetical to clause (x) above, on a consolidated basis in accordance with U.S. GAAP), and such Investment shall be permitted under Section 10.05, (iii) no Subsidiary may be designated as an Unrestricted Subsidiary if it or any of its Subsidiaries is a Restricted Subsidiary for the purpose of any Refinancing Notes Indenture, any Permitted Pari Passu Notes Document, any Permitted Pari Passu Loan Documents, any Permitted Junior Notes Document or other debt instrument, with a principal amount in excess of the Threshold Amount, (iv) following the designation of an Unrestricted Subsidiary as a Restricted Subsidiary, Holdings shall comply with the provisions of Section 9.12 with respect to such designated Restricted Subsidiary, (v) no Restricted Subsidiary may be a Subsidiary of an Unrestricted Subsidiary (and any Subsidiary of an Unrestricted Subsidiary that is acquired or formed after the date of designation shall automatically be designated as an Unrestricted Subsidiary) and (vi) in the case of the designation of any Subsidiary as an Unrestricted Subsidiary, each of (x) the Subsidiary to be so designated and (y) its Subsidiaries has not, at the time of designation, and does not thereafter, create, incur, issue, assume, guarantee or otherwise become directly or indirectly liable with respect to any Indebtedness pursuant to which the lender has recourse to any of the assets of Holdings or any Restricted Subsidiary (other than Equity Interests in an Unrestricted Subsidiary).</p>	No
<p>provided, that (i) immediately before and after such designation, no Event of Default exists (including after giving effect to the reclassification of Investments in, Indebtedness of and Liens on the assets of, the applicable Restricted Subsidiary or Unrestricted Subsidiary), (ii) as of the date of the designation thereof, no Unrestricted Subsidiary shall own any Capital Stock in any Restricted Subsidiary of the Borrower or hold any Indebtedness of or any Lien on any property of the Borrower or its Restricted Subsidiaries and (iii) no subsidiary may be designated as an Unrestricted Subsidiary if it owns intellectual property that is material to the business of the Borrower and its Restricted Subsidiaries, taken as a whole (such intellectual property, Material Intellectual Property), at the time of designation, other than in connection with transactions that have a bona fide business purpose so long as such transactions are not undertaken to facilitate a financing or a Restricted Payment or undertaken in connection with a liability management transaction. Notwithstanding anything contained in this Section 6.05 to the contrary, in no event shall (a) the Borrower or any Restricted Subsidiary be permitted to make or own any Investment in the Holdings direct or indirect equityholders constituting Material Intellectual Property (other than pursuant to a bona fide transition service or similar arrangement or in the same manner as other customers, suppliers or commercial partners of the relevant transferee generally) or (b) any Restricted Subsidiary transfer ownership of, or license on an exclusive basis, any Material Intellectual Property to any Unrestricted Subsidiary, other than in connection with transactions that have a bona fide business purpose and so long as such transactions are not undertaken to facilitate a financing or a Restricted Payment or undertaken in connection with a liability management transaction. Notwithstanding anything contained in this Section 6.06 to the contrary, in no event shall (a) the Borrower or any Restricted Subsidiary be permitted to make any Disposition of Material Intellectual Property to Holdings direct or indirect equityholders (other than pursuant to a bona fide transition service or similar arrangement or in the same manner as other customers, suppliers or commercial partners of the relevant transferee generally) or (b) any Restricted Subsidiary make any Disposition, constituting either a transfer of ownership or an exclusive license, of any Material Intellectual Property to any Unrestricted Subsidiary, other than in connection with transactions that have a bona fide business purpose and so long as such transactions are not undertaken to facilitate a financing or a Restricted Payment or undertaken in connection with a liability management transaction.</p>	Yes

Table 17: Examples from jcrew\_blocker.

### 1511 I.4.3 Unfair Terms of Service

1512 In LEGALBENCH, the Unfair Terms of Service task is denoted as `unfair_tos`.

1513 **Background** An array of recent work has found that consumers rarely read terms of service agreements [97,  
1514 64]. As a result, consumers regularly sign agreements or contracts containing provisions that (1) they lack  
1515 awareness of, and/or (2) would consider as “unfair” or “predatory.” Reasons for this phenomenon include the  
1516 sheer amount of time it would take to read every terms of service agreement, the obtuse language of these  
1517 agreements, and the lack of actual recourse on an individual basis.

1518 With reference to European consumer law, [82] identify eight categories of clauses in terms-of-service agreements  
1519 which could be considered “potentially unfair”:

1520       • Arbitration: clauses which mandated that all disputes between the parties would be resolved through  
1521       arbitration.

1522       • Unilateral change: clauses which allow the provider to modify the terms of service and/or the service  
1523       itself.

1524       • Content removal: clauses which give the provider a right to modify/delete a user’s content

1525       • Jurisdiction: clauses which specify a jurisdiction in which claims must be brought, regardless of where  
1526       the user lives.

1527       • Choice of law: clauses which specify the country’s law which governs disputes arising under the  
1528       contract, regardless of where the user lives.

1529       • Limitation of liability: clauses which limit the liability of the service provider.

1530       • Unilateral termination: clauses which empower the service provider to terminate/suspend the service  
1531       at their discretion.

1532       • Contract by using: clauses which stipulate that a consumer is bound by the terms of service simply by  
1533       using the service.

1534 A more detailed description of these categories can be found in [82].

1535 **Task** The Unfair Terms of Service task requires an LLM to determine—given a clause from a terms of service  
1536 agreement—whether it belongs to one of the above eight categories, and if so, which one.

1537 **Construction process** We use the version of data available in [21], which takes a subset from [82].  
1538 Unlike [21]—which frames the task as distinguishing “fair” from “unfair” clauses—we cast the task as 8-way  
1539 multiclassification task across the original categories identified in [82].

1540 **Significance and value** Unlike the CUAD and J.Crew Blocker task, the Unfair TOS task evaluates a LLM’s  
1541 ability to perform multiclass clause classification across a highly imbalanced dataset.

Clause	Clause type
you also acknowledge that a variety of evernote actions may impair or prevent you from accessing your content or using the service at certain times and/or in the same way , for limited periods or permanently , and agree that evernote has no responsibility or liability as a result of any such actions or results , including , without limitation , for the deletion of , or failure to make available to you , any content .	Arbitration
if you do not terminate your agreement before the date the revised terms become effective , your continued access to or use of the airbnb platform will constitute acceptance of the revised terms .	Choice of law
we may at any time and from time to time , in our sole discretion , change the fees and charges , or add new fees and charges , in relation to any of the products .	Content removal
you and academia.edu agree that any dispute , claim or controversy arising out of or relating to these terms or the breach , termination , enforcement , interpretation or validity thereof or the use of the site or services ( collectively , “ disputes ” ) will be settled by binding arbitration , except that each party retains the right : ( i ) to bring an individual action in small claims court and ( ii ) to seek injunctive or other equitable relief in a court of competent jurisdiction to prevent the actual or threatened infringement , misappropriation or violation of a party ’ s copyrights , trademarks , trade secrets , patents or other intellectual property rights ( the action described in the foregoing clause ( ii ) , an “ ip protection action ” ) .	Contract by using
if we find that any shared content in your account violates our terms of service ( including by violating another person ’ s intellectual property or privacy rights ) , we reserve the right to un-share or take down such content .	Jurisdiction
if you live in the european union : you agree that the laws of ireland , excluding conflict of laws rules , shall exclusively govern any dispute relating to this contract and/or the services .	Limitation of liability
oculus does not endorse or guarantee the opinions , views , advice or recommendations posted or sent by users .	Other
if you object to the changes , nintendo reserves the right to terminate this agreement or any portion of it upon reasonable notice and you will have to register again if you wish to continue using the nintendo account service under the new terms and conditions .	Unilateral change
unless you and we agree otherwise , in the event that the agreement to arbitrate above is found not to apply to you or to a particular claim or dispute , either as a result of your decision to opt out of the agreement to arbitrate or as a result of a decision by the arbitrator or a court order , you agree that any claim or dispute that has arisen or may arise between you and ebay must be resolved exclusively by a state or federal court located in salt lake county , utah .	Unilateral termination

Table 18: Examples from `unfair_tos`.

#### 1542 **I.4.4 Contract QA**

1543 In LEGALBENCH, the Contract QA task is denoted as `contract_qa`.

1544 **Background** Each of the above tasks evaluates the capacity for LLMs to learn to recognize a single type of  
1545 clause, given a description of that clause and/or examples of it. The Contract QA task generalizes this across  
1546 multiple clause types, evaluating an LLM’s ability to recognize legal provisions that are *not* described in the  
1547 prompt.

Class	Number of samples
Other	3454
Contract by using	15
Choice of law	38
Content removal	53
Unilateral change	70
Arbitration	98
Limitation of liability	28
Unilateral termination	32
Jurisdiction	25

Table 19: Test set class distribution.

1548 **Task** Each sample in the dataset consists of (1) a contract clause, and (2) a question asking if the clause is an  
1549 example of a provision type (e.g., “Is this a severability clause?”). Across the dataset, the questions correspond  
1550 to 22 different legal provisions. Questions and provisions are paired such that for each provision type, the LLM  
1551 is presented with two clauses that are an example of the type, and two clauses which are not.

1552 **Construction Process** The data was manually extracted from a set of sample agreements contributed by a  
1553 LegalTech vendor and from public sources. It represents a variety of contracts, such as:

- 1554 • Vendor or Partner Data Protection Agreements (DPA)
- 1555 • Master Services Agreements (MSA)
- 1556 • Licensing Terms
- 1557 • BIPA consents

Clause	Question	Answer
This Agreement shall be governed by and construed in accordance with the laws of the State of New York, without giving effect to any choice of law or conflict of law provisions.	Does the clause discuss BIPA consent?	No
If a dispute arises between the parties under this Agreement that cannot be resolved through good faith negotiations within a reasonable period of time, such dispute shall be escalated to an executive officer of each party for resolution. If such executive officers are unable to resolve such dispute within a reasonable period of time after escalation, either party may pursue any available legal remedies.	Does the clause discuss how disputes may be escalated?	Yes

Table 20: Examples for contract\_qa.

1558 **I.5 Consumer Contracts QA**

1559 In LEGALBENCH, the Consumer Contracts QA task is denoted as `consumer_contracts_qa`.

1560 **Background** Consumer contracts govern many economic and social activities, ranging from retail purchases  
1561 and online search to social media and entertainment. These contracts can affect consumers’ access to services,  
1562 control terms of payment, and determine the remedies available when consumers’ rights are violated. Despite  
1563 the importance of these legal agreements, consumers typically lack the time, expertise, and incentive to  
1564 properly examine how consumer contracts impact their rights and interests. This issue is known as the “no-  
1565 reading” problem [8, 7]. LLMs may offer a solution. By reading consumer contracts and explaining their  
1566 legal ramifications, LLMs could enable consumers to better understand and exercise their legal rights in many  
1567 everyday contexts.

1568 **Task** The Consumer Contracts QA task, first introduced in [73], aims to examine the degree to which an LLM  
1569 can understand certain consumer contracts. Specifically, the task is comprised of 200 yes/no legal questions  
1570 relating to the terms of service of popular websites. Examples of questions are provided in the table below.

1571 In addition to the original 200 questions, the task includes an alternatively worded version of all 200 questions.  
1572 While each question’s content is substantially the same across both versions of the question, the alternatively  
1573 worded questions are, by design, less readable, that is, more difficult for a human to read. Comparing performance  
1574 across the original questions and the alternatively worded questions can help assess an LLM’s brittleness in  
1575 performing the task at hand. An example is provided in the table below:

1576 **Construction process** The task was introduced in [73]. To construct the dataset, an attorney drafted 200  
1577 yes/no questions relating to the terms of service of the 20 most-visited U.S. websites (10 questions per document),  
1578 as well as an alternatively worded version of all 200 questions. The questions relate to a wide range of legal  
1579 issues arising in the terms of service, including eligibility to access services, payment for services, limitations of  
1580 liability, intellectual property rights, and dispute resolution procedures. Answers to all questions can be obtained  
1581 from the applicable terms of service.

1582 **Significance and value** Given the ubiquity of consumer contracts, LLMs capable of reading these docu-  
1583 ments and communicating their contents to consumers might offer significant benefits. These benefits, however,  
1584 are contingent on a model’s accuracy and reliability. LLMs that misinterpret the provisions of consumer contracts  
1585 may hinder consumers’ ability to understand and exercise their contractual rights. The Consumer Contracts QA  
1586 task is a preliminary attempt at evaluating the ability of LLMs to read certain consumer contracts.

---

**Contract:** Content Removal and Disabling or Terminating Your Account

We can remove any content or information you share on the Service if we believe that it violates these Terms of Use, our policies (including our Instagram Community Guidelines), or we are permitted or required to do so by law. We can refuse to provide or stop providing all or part of the Service to you (including terminating or disabling your your access to the Facebook Products and Facebook Company Products) immediately to protect our community or services, or if you create risk or legal exposure for us, violate these Terms of Use or our policies (including our Instagram Community Guidelines), if you repeatedly infringe other people’s intellectual property rights, or where we are permitted or required to do so by law. We can also terminate or change the Service, remove or block content or information shared on our Service, or stop providing all or part of the Service if we determine that doing so is reasonably necessary to avoid or mitigate adverse legal or regulatory impacts on us. If you believe your account has been terminated in error, or you want to disable or permanently delete your account, consult our Help Center. When you request to delete content or your account, the deletion process will automatically begin no more than 30 days after your request. It may take up to 90 days to delete content after the deletion process begins. While the deletion process for such content is being undertaken, the content is no longer visible to other users, but remains subject to these Terms of Use and our Data Policy. After the content is deleted, it may take us up to another 90 days to remove it from backups and disaster recovery systems.

Content will not be deleted within 90 days of the account deletion or content deletion process beginning in the following situations:

- where your content has been used by others in accordance with this license and they have not deleted it (in which case this license will continue to apply until that content is deleted); or
- where deletion within 90 days is not possible due to technical limitations of our systems, in which case, we will complete the deletion as soon as technically feasible; or
- where deletion would restrict our ability to: investigate or identify illegal activity or violations of our terms and policies (for example, to identify or investigate misuse of our products or systems); protect the safety and security of our products, systems, and users; comply with a legal obligation, such as the preservation of evidence; or comply with a request of a judicial or administrative authority, law enforcement or a government agency; in which case, the content will be retained for no longer than is necessary for the purposes for which it has been retained (the exact duration will vary on a case-by-case basis).

If you delete or we disable your account, these Terms shall terminate as an agreement between you and us, but this section and the section below called "Our Agreement and What Happens if We Disagree" will still apply even after your account is terminated, disabled, or deleted.

**Question:** According to the terms, 30 days after Ive asked to delete content, can other users see that content?

**Answer:** No

---

**Contract:** 16. Termination You may terminate these Terms at any time and for any reason by deleting your Account and discontinuing use of all Services. If you stop using the Services without deactivating your Account, your Account may be deactivated due to prolonged inactivity.

We may suspend or terminate your Account, moderator status, or ability to access or use the Services at any time for any or no reason, including for violating these Terms or our Content Policy.

The following sections will survive any termination of these Terms or of your Account: 4 (Your Content), 6 (Things You Cannot Do), 10 (Indemnity), 11 (Disclaimers), 12 (Limitation of Liability), 13 (Governing Law and Venue), 16 (Termination), and 17 (Miscellaneous).

17. Miscellaneous These Terms constitute the entire agreement between you and us regarding your access to and use of the Services. Our failure to exercise or enforce any right or provision of these Terms will not operate as a waiver of such right or provision. If any provision of these Terms is, for any reason, held to be illegal, invalid, or unenforceable, the rest of the Terms will remain in effect. You may not assign or transfer any of your rights or obligations under these Terms without our consent. We may freely assign any of our rights and obligations under these Terms.

**Question:** Will certain terms remain in force notwithstanding a users termination of the service?

**Answer:** Yes

---

Table 21: Task examples.

---

Original wording	Alternative wording
Am I allowed to be paid for writing a Wikipedia article, assuming I disclose who’s paying me?	Are Wikipedia contributors permitted to receive payment in respect of their contributions, provided they disclose the identity of the person or institution providing such payment?

---

Table 22: Example of reworded question.

1587 **I.6 Contract NLI Tasks**

1588 In LEGALBENCH, the Contract NLI tasks are denoted as `contract_nli_*`.

1589 **Task** The Contract NLI tasks require a LLM—given an excerpt of a contract and an assertion about the legal  
1590 effect of that excerpt—to determine whether the assertion is supported or unsupported by the excerpt.

1591 **Construction process** These tasks are constructed by transforming data released by [74]. The original  
1592 dataset consists of 607 contracts and 17 assertions (e.g., “Receiving Party shall not disclose the fact that  
1593 Agreement was agreed or negotiated”). Each contract is labeled for each assertion as supporting, negating, or  
1594 not mentioning the assertion. Please refer to the original paper for details on annotation.

1595 We restructure this dataset for a short-context LLM setting. Specifically, we treat each assertion as a separate  
1596 task, where the objective is to determine whether a contract excerpt is supportive (or not) of the assertion. For  
1597 each instance where a contract is supportive of an assertion, [74] has annotated the excerpt of the contract  
1598 that is supportive. When creating a task, we use the supportive excerpts for the assertion from the test set as  
1599 positive instances. To generate negative instances, we combine excerpts where the assertion is contradicted with  
1600 a random sample of excerpts associated with other assertions. We treat both groups of excerpts as instances  
1601 which are “unsupportive” of the assertion. We transform the assertion into a Yes/No question, where the LLM is  
1602 asked to determine if a clause satisfies the assertion.

1603 Table 23 lists each task, the assertion associated with the task, and an example of an excerpt which supports the  
1604 assertion.

Table 23: ContractNLI Tasks

Task
<p><b>Task name:</b> <code>contract_nli_return_of_confidential_information</code>  <b>Question:</b> Identify if the clause provides that the Receiving Party shall destroy or return some Confidential Information upon the termination of Agreement.  <b>Example:</b> Upon receipt by the Recipient of a written demand from the Disclosers: 8.1.1 the Recipient must return or procure the return to the Disclosers or, as the Disclosers may require, destroy or procure the destruction of any and all materials containing the Confidential Information together with all copies; 8.1.2 if the Disclosers requires, the Recipient must provide the Disclosers with a certificate or such other evidence as the Disclosers may reasonably require duly signed or executed by an officer of the Recipient confirming that the Recipient has complied with all of its obligations under this Agreement including about return, destruction and deletion of Confidential Information and media; 8.1.3 the Recipient must delete or procure the deletion of all electronic copies of Confidential Information; and 8.1.4 the Recipient must make, and procure that the Authorised Persons shall make, no further Use of the Confidential Information.</p>
<p><b>Task name:</b> <code>contract_nli_no_licensing</code>  <b>Question:</b> Identify if the clause provides that the Agreement shall not grant Receiving Party any right to Confidential Information.  <b>Example:</b> No license to the receiving party under any trade secrets or patents or otherwise with respect to any of the Proprietary Information is granted or implied by conveying proprietary Information or other information to such party, and none of the information transmitted or exchanged shall constitute any representation, warranty, assurance, guaranty or inducement with respect to the infringement of patents or other rights of others.</p>
<p><b>Task name:</b> <code>contract_nli_confidentiality_of_agreement</code>  <b>Question:</b> Identify if the clause provides that the Receiving Party shall not disclose the fact that Agreement was agreed or negotiated.  <b>Example:</b> In addition, except as permitted herein, Recipient shall not disclose the fact that the parties are exchanging Confidential Information and having discussions. In connection therewith, it is agreed that no public release or disclosure of any contemplated transaction shall be made except by a mutually agreed disclosure except that each party may make such disclosure if advised by its outside securities counsel in writing that such disclosure is required; PROVIDED, HOWEVER, that in such event such party will notify the other party that it intends, as a preliminary matter, to take such action and the outside securities counsel of such party shall first discuss the mater with the outside securities counsel of the other party before any definitive decision is made on the disclosure.</p>
<p><b>Task name:</b> <code>contract_nli_explicit_identification</code>  <b>Question:</b> Identify if the clause provides that all Confidential Information shall be expressly identified by the Disclosing Party.</p>

Table 23 – continued from previous page

Task
<p><b>Example:</b> 1. As used herein, the term “Proprietary Information” refers to any and all Information of a confidential, proprietary, or secret nature which is applicable to or related In any way to (i) the business, present or future, of the Disclosing Party, (ii) the research and development or investigations of the Disclosing Party or (iii) the business of any customer of the Disclosing Party; provided, in each case, that such information is delivered to the Receiving Party by the Disclosing Party and (a) is marked or identified in writing as “Confidential”, (b) if verbal or visual disclosure, is identified as “Confidential” in a writing within ten (10) business days of such disclosure, or</p>
<p><b>Task name:</b> contract_nli_survival_of_obligations  <b>Question:</b> Identify if the clause provides that ome obligations of Agreement may survive termination of Agreement.  <b>Example:</b> b. This Agreement shall be valid when signed by duly authorised representatives of the Parties and shall be binding on each Party for 10 (ten) years as from the date of signature of the last signatory, even if at the end of the negotiations a data sharing agreement is not signed between the Parties, or until such time as the Information enters into the public domain.</p>
<p><b>Task name:</b> contract_nli_permmissible_development_of_similar_information  <b>Question:</b> Identify if the clause provides that the Receiving Party may independently develop information similar to Confidential Information.  <b>Example:</b> "Confidential Information" of a disclosing party ("Discloser") means the following, regardless of its form and including copies made by the receiving party ("Recipient"), whether the Recipient becomes aware of it before or after the date of this Agreement: except where that information is: Independently developed by the Recipient without use, directly or indirectly of Confidential Information received from the Discloser.</p>
<p><b>Task name:</b> contract_nli_permmissible_post-agreement_possession  <b>Question:</b> Identify if the clause provides that the Receiving Party may retain some Confidential Information even after the return or destruction of Confidential Information.  <b>Example:</b> 9. Upon the Disclosing Party’s written request, the Receiving Party shall (at the Receiving Party’s election) promptly return or destroy (provided that any such destruction shall be certified by a duly authorized Representative of the Receiving Party) all Confidential Information of the Disclosing Party and all copies, reproductions, summaries, analyses or extracts thereof or based thereon (whether in hard-copy form or an intangible media, such as electronic mail or computer files) in the Receiving Party’s possession or in the possession of any Representative of the Receiving Party; provided, however: (i) that if a legal proceeding has been instituted to seek disclosure of the Confidential Information, such material shall not be destroyed until the proceeding is settled or a final judgment with respect thereto has been rendered; (ii) that the Receiving Party shall not, in connection with the foregoing obligations, be required to identify or delete Confidential Information held electronically in archive or back-up systems in accordance with general systems archiving or backup policies; and (iii) that the Receiving Party shall not be obligated to return or destroy Confidential Information of the Disclosing Party to the extent the Receiving Party is required to retain a copy pursuant to applicable law, and further provided that the Receiving Party will not, and the Receiving Party will use reasonable measures to cause its employees not to, access such Confidential Information so archived or backed-up.</p>
<p><b>Task name:</b> contract_nli_inclusion_of_verbally_conveyed_information  <b>Question:</b> Identify if the clause provides that Confidential Information may include verbally conveyed information.  <b>Example:</b> I acknowledge that The Business Partnership has provided, and/or has agreed to provide in the future, to me information of a confidential or proprietary nature (the Confidential Information) Confidential Information shall mean any information or data relating to any clients of The Business Partnership business or affairs disclosed whether in writing, orally or by any other means.</p>
<p><b>Task name:</b> contract_nli_sharing_with_third-parties  <b>Question:</b> Identify if the clause provides that the Receiving Party may share some Confidential Information with some third-parties (including consultants, agents and professional advisors).  <b>Example:</b> Receiving Party shall carefully restrict access to Sensitive Information to employees, contractors and third parties as is reasonably required and shall require those persons to sign nondisclosure restrictions at least as protective as those in this Agreement.</p>
<p><b>Task name:</b> contract_nli_permmissible_copy  <b>Question:</b> Identify if the clause provides that the Receiving Party may create a copy of some Confidential Information in some circumstances.  <b>Example:</b> If any party makes copies of the Confidential Information of the other party, such copies shall also constitute Confidential Information and any and all confidential markings on such documents shall be maintained.</p>

Table 23 – continued from previous page

Task
<p><b>Task name:</b> contract_nli_notice_on_compelled_disclosure</p> <p><b>Question:</b> Identify if the clause provides that the Receiving Party shall notify Disclosing Party in case Receiving Party is required by law, regulation or judicial process to disclose any Confidential Information.</p> <p><b>Example:</b> If the Receiving Party or its Representatives are requested or required in any judicial, arbitral or administrative proceeding or by any governmental or regulatory authority to disclose any Evaluation Material (whether by deposition, interrogatory, request for documents, subpoena, civil investigative demand, or otherwise), or the Receiving Party is so requested or required to disclose any of the facts disclosure of which is prohibited under paragraph (3)(e) of this Agreement, the Receiving Party shall give the Furnishing Party prompt notice of such request so that the Furnishing Party may seek an appropriate protective order or other appropriate remedy and/or waive compliance with the provisions of this Agreement, and, upon the Furnishing Party’s request and at the Furnishing Party’s expense, shall reasonably cooperate with the Furnishing Party in seeking such an order. (d) Notice If either Party proposes to make any disclosure in reliance on clause (i) above, the disclosing Party shall, to the extent practicable, provide the other Party with the text of the proposed disclosure as far in advance of its disclosure as is practicable and shall in good faith consult with and consider the suggestions of the other Party concerning the nature and scope of the information it proposes to disclose. Notwithstanding the foregoing, a Party may make such public announcement or public statement if in the opinion of such Party’s outside counsel or General Counsel, such public announcement or public statement is necessary to avoid committing a violation of law or of any rule or regulation of any securities association, stock exchange or national securities quotation system on which such Party’s securities are listed or trade. In such event, the disclosing Party shall use its reasonable best efforts to give advance notice to the other Party and to consult with the other Party on the timing and content of any such public announcement or public statement.</p>
<p><b>Task name:</b> contract_nli_permmissible_acquirement_of_similar_information</p> <p><b>Question:</b> Identify if the clause provides that the Receiving Party may acquire information similar to Confidential Information from a third party.</p> <p><b>Example:</b> For the purposes of this Agreement, the term "Confidential Information" shall mean all trade secrets and confidential or proprietary information (and any tangible representation thereof) owned, possessed or used in connection with The Company Business or by the Buyer Parties and its Affiliates; provided, however, that "Confidential Information" does not include information which is or becomes generally available to the public other than as a result of a disclosure by a Seller Party..</p>
<p><b>Task name:</b> contract_nli_sharing_with_employees</p> <p><b>Question:</b> Identify if the clause provides that the Receiving Party may share some Confidential Information with some of Receiving Party’s employees.</p> <p><b>Example:</b> We and our representatives will keep the Evaluation Materials completely confidential; provided, however, that (i) any of such information may be disclosed to those of our directors, officers, employees, agents, representatives (including attorneys, accountants and financial advisors), lenders and other sources of financing (collectively, "our representatives") who we reasonably determine need to know such information for the purpose of evaluating a Possible Transaction between us and the Company (it being understood that our representatives shall be informed by us of the confidential nature of such information and shall be directed by us, and shall each agree to treat such information confidentially) and</p>
<p><b>Task name:</b> contract_nli_limited_use</p> <p><b>Question:</b> Identify if the clause provides that the Receiving Party shall not use any Confidential Information for any purpose other than the purposes stated in Agreement.</p> <p><b>Example:</b> 2.1. A Receiving Party agrees: 2.1.2. to use the Confidential Information of the other solely in, and to the extent necessary for the Purpose and not to copy or use any Confidential Information of the other save to the extent necessary for the Purpose;</p>

1605 **Significance and value** The Contract NLI tasks evaluate an LLM’s capacity to reason over the rights and  
 1606 obligations created by a contract. The ability to perform this skill is essential to many types of legal work.

1607 **I.7 Corporate Lobbying**

1608 In LEGALBENCH, the Corporate Lobbying task is denoted as `corporate_lobbying`.

1609 **Background** A significant amount of effort is devoted to identifying developing sources of law which  
1610 implicate client or issue interests. Examples of such sources include: legislative bills, proposed regulations,  
1611 or in-progress litigation. Identifying these sources serves multiple purposes. From a scholarly standpoint,  
1612 researchers often aggregate sources into issue-focused databases, enabling them to identify emerging trends or  
1613 patterns across different sources [37]. From an advocacy standpoint, identifying sources allows affected groups  
1614 to better understand how their rights or obligations may be affected, and how to focus efforts on interacting with  
1615 courts, legislatures, and other governmental bodies [15, 83, 44].

1616 **Task** The Corporate Lobbying task requires an LLM to determine whether a proposed Congressional bill may  
1617 be relevant to a company based on a company’s self-description in its SEC 10K filing. The following information  
1618 about a bill and a company are available:

- 1619 • The title of the bill.
- 1620 • A summary of the bill.
- 1621 • The name of the company.
- 1622 • A description of the company.

1623 We expect higher accuracy of LLM predictions if we were to provide the model with more data about a bill, and  
1624 especially if we provide it with more data about a company. Proprietary applications of this approach could  
1625 leverage significant internal company data. More expensive deployments could leverage the full text of the bill

1626 **Construction process** This data was manually labeled. This work was an extension of the research described  
1627 in [92].

1628 **Significance and value** Determining whether a particular bill is relevant for a company requires (1) iden-  
1629 tifying the legal consequences of the bill, and (2) whether those consequences are relevant to a company’s  
1630 business model, structure, or activities. As discuss above, this type of prognostication is a common legal  
1631 practice. For instance, law firms regularly publish “client alerts” which seek to keep clients updated on new legal  
1632 developments [122].

Class	Number of samples
No	345
Yes	145

Table 24: Test set class distribution

<b>Field</b>	<b>Text</b>
Bill Title	A bill to provide standards relating to airline travel by Federal employees for official business.
Bill Summary	<p>Fly Smart Act</p> <p>This bill establishes standards for airline travel by federal employees for official business, including a general requirement to use coach-class accommodations and a ban on military aircraft for domestic official travel. It allows use of first-class and business class for federal employees under certain circumstances, such as to accommodate a disability or special need or because of exceptional security circumstances</p>
Company Name	Alaska Air Group, Inc.
Company Description	<p>Virgin America has been a member of Air Group since it was acquired in 2016. In 2018, Virgin America and Alaska combined operating certificates to become a single airline, and legally merged into a single entity. The Company also includes McGee Air Services, an aviation services provider that was established as a wholly-owned subsidiary of Alaska in 2016. Together with our regional partner airlines, we fly to 115 destinations with over 1,200 daily departures through an expansive network across the United States, Mexico, Canada, and Costa Rica. With global airline partners, we provide our guests with a network of more than 900 destinations worldwide. Our adjusted net income was \$554 million, which excludes merger-related costs, special items and mark-to-market fuel hedge adjustments. Refer to "Results of Operations" in Management's Discussion and Analysis for our reconciliation of Non-GAAP measures to the most directly comparable GAAP measure. Mainline - includes scheduled air transportation on Alaska's Boeing or Airbus jet aircraft for passengers and cargo throughout the U.S., and in parts of Canada, Mexico, and Costa Rica. other third-party carriers' scheduled air transportation for passengers across a shorter distance network within the U.S. under capacity purchase agreements (CPA). Horizon - includes the capacity sold to Alaska under CPA. Expenses include those typically borne by regional airlines such as crew costs, ownership costs and maintenance costs. We believe our success depends on our ability to provide safe air transportation, develop relationships with guests by providing exceptional customer service and low fares, and maintain a low cost structure to compete effectively. In 2018 , we focused much of our energy on the integration of Virgin America, completing over 95% of our integration milestones. In January 2018, Alaska and Virgin America received a Single Operating Certificate (SOC) from the Federal Aviation Administration (FAA), which recognizes Alaska and Virgin America as one airline. In April 2018, we transitioned to a single Passenger Service System (PSS), which allows us to provide one reservation system, one website and one inventory of flights to our guests. This transition to a single PSS enables us to unlock many of the revenue synergies expected from the acquisition, and to provide consistent branding to our guests at all airport gates, ticketing, and check-in areas. The two most important milestones we have yet to complete include combining the maintenance operations of Boeing and Airbus, and reconfiguring our Airbus fleet. In 2018 , we painted 33 Airbus aircraft with the Alaska livery and we are in process of reconfiguring all Airbus aircraft to achieve a cabin experience for our guests that is consistent with our Boeing fleet. In early 2019, we will also complete the integration of our crew management systems and aim to reach a collective bargaining agreement with our aircraft technicians, the last remaining labor group that has not yet reached a joint collective bargaining agreement. With the integration largely behind us, we remain committed to our vision to become the favorite airline for people on the West Coast. The acquisition of Virgin America positioned us as the fifth largest airline in the U.S., with an unparalleled ability to serve West Coast travelers. ' evolving needs by offering a relevant network and schedule, upgrading our onboard offerings, and retaining our unique West Coast vibe. Some of the more notable product enhancements underway include adding high-speed satellite connectivity to our entire Boeing and Airbus fleets, updating and expanding our airport lounges, and working with the Port of Seattle to open a state-of-the-art 20-gate North Satellite Concourse 4 at Sea-Tac Airport, including a 15,000 square-foot flagship lounge. We have also introduced new food and beverage menus, which include more fresh, local, and healthy offerings including salads, protein plates, and fresh snacks, as well as new beverage offerings, including craft beers, juices and an updated wine selection. We are also active in the communities we serve and strive to be an industry leader in environmental and community stewardship.</p>

Table 25: An example of a relevant bill for corporate\_lobbying.

1633 **I.8 Definition Tasks**

1634 In LEGALBENCH, the Definition Tasks are denoted as `definition_classification` and `definition_`  
 1635 `extraction`.

1636 **Background** Judicial opinions regularly involve *definition*, assigning a particular meaning to words or  
 1637 phrases (Let us define words and phrases as “terms”). Definition of terms can occur when judges introduce  
 1638 or discuss legal concepts (e.g. *parol evidence*), and it frequently occurs when judges interpret terms in legal  
 1639 texts. This can include language from past judicial opinions and language appearing in legal texts like contracts,  
 1640 statutes, and the Constitution. Historically, interpreters have often evaluated the definition(s) of individual words.  
 1641 For example, in interpreting the meaning of “keep and bear arms” in the Second Amendment, courts consider  
 1642 the definition(s) of individual words (like “bear”). This approach—focusing on terms’ definitions—has only  
 1643 increased in recent decades with the rise of textualist approaches to constitutional and statutory interpretation.

1644 Judicial opinions define a wide range of terms, including ordinary terms, legal terms, and scientific terms. They  
 1645 also appeal to a wide range of defining sources, including ordinary dictionaries, legal dictionaries, and legal  
 1646 texts. For an example of the last, consider statutory definitions: 1 U.S.C. 1 offers generally applicable definitions  
 1647 of many frequent statutory terms.

1648 It is useful for lawyers to identify *when definition occurs* (definition classification), as well as *which terms* have  
 1649 been defined (definition extraction). These tasks might seem simple at first. There are some intuitively plausible  
 1650 indicators of definition classification and extraction. For example, defined terms often (but not always) appear in  
 1651 quotation marks or near a citation to a dictionary.

1652 However, these tasks are not entirely straightforward. Indicators like quotation will not lead to perfect definition  
 1653 classification and extraction. Consider for example, this sentence from the dataset related to the definition of  
 1654 “confidential”: *The term “confidential” meant then, as it does now, “private” or “secret.” Webster’s Seventh New*  
 1655 *Collegiate Dictionary 174 (1963).*<sup>9</sup> As another example from the dataset, consider this definition of “brought”:  
 1656 *But a natural reading of § 27’s text does not extend so far. “Brought” in this context means “commenced,”*  
 1657 *Black’s Law Dictionary 254 (3d ed. 1933).*<sup>10</sup> Other examples exclusively quote the definition, rather than defined  
 1658 terms: *Stare decisis (“to stand by things decided”) is the legal term for fidelity to precedent. Black’s Law*  
 1659 *Dictionary 1696 (11th ed. 2019).*<sup>11</sup> In all of these examples, the presence of a dictionary would not indicate  
 1660 which term is extracted. In other examples, there is no dictionary cited; there is not a perfect correlation between  
 1661 dictionary citation and classification of a sentence as a defining one.<sup>12</sup>

1662 **Tasks** The Definition Classification task requires an LLM to determine—given an excerpt from a Supreme  
 1663 Court opinion—whether the excerpt is defining any term (Yes/No). The Definition Extraction task requires  
 1664 an LLM to determine—given an excerpt from a Supreme Court opinion—which term the excerpt is defining  
 1665 (Open-ended response).

Sentence	Definition sentence?
The risk of that consequence ought to tell us that something is very wrong with the Court’s analysis.	No
This term has long referred to a class of expenses commonly recovered in litigation to which attorney’s fees did not traditionally belong. See Black’s Law Dictionary 461 (1891) (defining “expensae litis” to mean “generally allowed” costs); 1 J. Bouvier, Law Dictionary 392 (1839) (defining the term to mean the “costs which are generally allowed to the successful party”); id., at 244 (excluding from the definition of “costs” the “extraordinary fees [a party] may have paid counsel”).	Yes

Table 26: Examples for `definition_classification`.

<sup>9</sup>Food Mktg. Inst. v. Argus Leader Media, 139 S. Ct. 2356, 2363 (2019).

<sup>10</sup>Merrill Lynch, Pierce, Fenner & Smith Inc. v. Manning, 136 S. Ct. 1562, 1568 (2016).

<sup>11</sup>June Medical Services L.L.C. v. Russo, 140 S. Ct. 2103, 2134 (2020).

<sup>12</sup>E.g. “And “remuneration” means “a quid pro quo,” “recompense” or “reward” for such services. Id., at 1528.” BNSF Ry. Co. v. Loos, 139 S. Ct. 893, 905 (2019).

Sentence	Defined term
The term “plaintiff” is among the most commonly understood of legal terms of art: It means a “party who brings a civil suit in a court of law.” Black’s Law Dictionary 1267 (9th ed. 2009) see also Webster’s Third New International Dictionary 1729 (1961)”	plaintiff
The ordinary understanding of law enforcement includes not just the investigation and prosecution of offenses that have already been committed, but also proactive steps designed to prevent criminal activity and to maintain security.	law enforcement

Table 27: Examples for `definition_extraction`.

1666 **Construction process** An original hand-coded dataset was constructed to study how the Supreme Court  
1667 relies on dictionaries over time. Any case citing a dictionary was included in the dataset, and human coders  
1668 identified relevant excerpts that defined terms and *which* terms were defined.

1669 That dataset has been repurposed for the task here. For the definition extraction task, the original dataset includes  
1670 the relevant information (excerpts, with the defined term coded separately).

1671 For the definition classification task, the original dataset includes examples of language defining terms. To create  
1672 a set of non-defining language, Neel Guha randomly selected similarly long excerpts of text from the same  
1673 Supreme Court opinions. Kevin Tobia analyzed those randomly selected excerpts, identifying any that include  
1674 definitions (for removal). The resulting dataset has 691 sentences which define sentences, and 646 sentences  
1675 which do not.

1676 **Significance and value** This is not a particularly difficult task for human lawyers, and it is unlikely that  
1677 LLMs would replace lawyers as experts in this process. However, it is possible that LLMs successful in these  
1678 tasks could provide beneficial legal research roles (e.g. quickly identifying all prior definitions of a specific term  
1679 in a particular jurisdiction).

1680 Moreover, the definition extraction task serves as a useful test of LLMs abilities, given the task’s open-ended  
1681 nature. The task is not limited to a small set of possible answers (e.g. Yes, No). Rather, it requires identifying  
1682 which term of all terms in an excerpt is defined. Most of these choices will admit of over ten possible answers  
1683 (i.e. excerpts of over ten words). Moreover, there is great variety in the language used across the examples.  
1684 There are hundreds of possible answers, across all items.

1685 **I.9 Diversity Jurisdiction**

1686 In LEGALBENCH, the Diversity Jurisdiction tasks are denoted as `diversity_*`.

1687 **Background** Diversity jurisdiction is one of two ways in which a federal court may have jurisdiction over  
1688 a lawsuit pertaining to state law. Diversity jurisdiction exists when there is (1) complete diversity between  
1689 plaintiffs and defendants, and (2) the amount-in-controversy (AiC) is greater than \$75,000.

1690 “Complete diversity” requires that there is no pair of plaintiff and defendant that are citizens of the same state.  
1691 However, it is acceptable for multiple plaintiffs to be from the same state, or for multiple defendants to be from  
1692 the same state.

1693 The AiC requirement allows for certain forms of aggregation. Specifically, if plaintiff **A** asserts two independent  
1694 claims against defendant **B**, the value of the claims may be added together when considering if the AiC  
1695 requirement is met. However, a plaintiff may not aggregate the value of claims against two separate defendants,  
1696 and two plaintiffs may not aggregate claims against the same defendant.

1697 **Tasks** We define six different tasks, each of which tests the diversity jurisdiction rule under a different pattern  
1698 of facts. The diversity jurisdiction tasks are:

- 1699 • `diversity_1`: The fact patterns consists of one plaintiff, one defendant, and one claim per plaintiff-  
1700 defendant pair.
- 1701 • `diversity_2`: The fact patterns consists of one plaintiff, two defendants, and one claim per plaintiff-  
1702 defendant pair.
- 1703 • `diversity_3`: The fact patterns consists of one plaintiff, one defendant, and two claims per plaintiff-  
1704 defendant pair.
- 1705 • `diversity_4`: The fact patterns consists of two plaintiffs, one defendant, and one claim per plaintiff-  
1706 defendant pair.
- 1707 • `diversity_5`: The fact patterns consists of two plaintiffs, one defendant, and two claims per plaintiff-  
1708 defendant pair.
- 1709 • `diversity_6`: The fact patterns consists of two plaintiffs, two defendants, and two claims per  
1710 plaintiff-defendant pair.

1711 **Construction process** We programmatically construct a dataset to test the diversity jurisdiction. We  
1712 generate randomness over the names of the parties, the claims, and the amounts.

1713 **Significance and value** It is extremely unlikely LLMs would ever be used to evaluate diversity jurisdiction  
1714 in practical settings. However, because the task is considered extremely simplistic—and one that first year law  
1715 students are expected to perform perfectly—it offers a useful evaluation benchmark for LLMs. The structure  
1716 of the task is potentially non-trivial for LLMs, as it requires identifying the relationships between parties (i.e.,  
1717 who are plaintiffs and defendants), understanding which claims may be aggregated, and computing whether the  
1718 aggregated amounts meet the AiC requirement.

<b>Task</b>	<b>Facts</b>	<b>Diversity Jurisdiction?</b>
diversity_1	Oliver is from Oregon. William is from Oregon. Oliver sues William for defamation for \$3,000.	No
diversity_1	James is from South Dakota. Sophia is from Virginia. James sues Sophia for negligence for \$9,010,000.	Yes
diversity_2	Benjamin is from South Carolina. Amelia is from Indiana. Mia is from South Carolina. Benjamin sues Amelia and Mia each for wrongful eviction for \$22,000.	No
diversity_2	James is from Colorado. Elijah is from West Virginia. Theodore is from Washington. James sues Elijah and Theodore each for negligence for \$2,864,000.	Yes
diversity_3	Ava is from Rhode Island. Theodore is from Rhode Island. Ava sues Theodore for securities fraud for \$70,000 and trespass for \$6,000.	No
diversity_3	Charlotte is from Colorado. Harper is from Oklahoma. Charlotte sues Harper for breach of contract for \$74,000 and securities fraud for \$88,000.	Yes
diversity_4	Harper is from New Jersey. Benjamin is from Colorado. Isabella is from Colorado. Harper and Benjamin both sue Isabella for breach of contract for \$6,165,000.	No
diversity_4	Noah is from Indiana. Sophia is from West Virginia. Benjamin is from Montana. Noah and Sophia both sue Benjamin for defamation for \$3,996,000.	Yes
diversity_5	Noah is from Idaho. Elijah is from Connecticut. Theodore is from Wyoming. Noah and Elijah both sue Theodore for medical malpractice for \$57,000 and legal malpractice for \$16,000.	No
diversity_5	Charlotte is from Oregon. Mia is from Virginia. Elijah is from Tennessee. Charlotte and Mia both sue Elijah for trademark infringement for \$57,000 and medical malpractice for \$20,000.	Yes
diversity_6	Lucas is from South Dakota. Amelia is from New Hampshire. Benjamin is from South Dakota. Benjamin is from South Dakota. Lucas and Amelia both sue Benjamin for negligence for \$16,000 and wrongful eviction for \$76,000. Lucas and Amelia both sue Olivia for medical malpractice for \$3,000 and breach of contract for \$76,000.	No
diversity_6	Emma is from Kansas. Noah is from Delaware. Elijah is from South Dakota. Elijah is from New Jersey. Emma and Noah both sue Elijah for trademark infringement for \$4,000 and trespass for \$85,000. Emma and Noah both sue Liam for negligence for \$10,000 and defamation for \$67,000.	Yes

Table 28: Examples for the Diversity Tasks.

1719 **I.10 Function of Decision Section**

1720 In LEGALBENCH, the Function of Decision Section task is denoted as `function_of_decision_section`.

1721 **Background** In common-law legal systems, written judicial decisions serve two functions. First, they resolve  
 1722 the dispute that litigants brought before the court and explain the reason for the court’s decision. Second, they  
 1723 become new law, binding on future parties and future courts should another case arise that presents sufficiently  
 1724 similar facts.

1725 Because judicial decisions not only describe the law, but are themselves the law, lawyers in common-law legal  
 1726 systems must be able to read and digest case law to extract key legal principles and apply those principles to  
 1727 their own cases. This skill takes time and practice to develop.

1728 Importantly, not every word in a judicial decision is binding, only the facts and reasoning that were required  
 1729 for the court to reach its decision. Thus, lawyers must distinguish important from trivial facts across numerous  
 1730 past decisions before they can conclude what the law on a particular issue is. One of the most foundational  
 1731 case-reading skills is the ability to review a legal decision and identify the function that each section of the  
 1732 decision serves. In the American legal education system, this skill is taught beginning in the first year of  
 1733 law school, often by encouraging students to identify the function of each section of a decision. A typical  
 1734 classification scheme is as follows:

- 1735 ● Facts: A section of the decision that recounts the historical events and interactions between the parties  
 1736 that gave rise to the dispute.
- 1737 ● Procedural History: A section of the decision that describes the parties’ prior legal filings and prior  
 1738 court decisions that led up to the issue to be resolved by the decision.
- 1739 ● Issue: A section of the decision that describes a legal or factual issue to be considered by the court.
- 1740 ● Rule: A section of the decision that states a legal rule relevant to resolution of the case.
- 1741 ● Analysis: A section of the decision that evaluates an issue before the court by applying governing  
 1742 legal principles to the facts of the case
- 1743 ● Conclusion: A section of the decision that articulates the court’s conclusion regarding a question  
 1744 presented to it.
- 1745 ● Decree: A section of the decision that announces and effectuates the court’s resolution of the parties’  
 1746 dispute, for example, granting or denying a party’s motion or affirming, vacating, reversing, or  
 1747 remanding a lower court’s decision.

1748 Identifying the function of sections within judicial decisions is a fundamental skill for lawyers in common-law  
 1749 legal systems. Without it, precedent-based legal reasoning would be impossible.

1750 **Task** The Function of Decision Sections task requires an LLM to determine—given a one-paragraph excerpt of  
 1751 a legal decision—which of the seven functions above that paragraph serves in the context of the entire decision.

1752 **Construction process** We created a dataset of paragraphs from legal decisions, classified into one of the  
 1753 seven functions above. Paragraphs were taken from decisions in West Publishing’s fourth Federal Reporter series,  
 1754 which publishes the decisions of the United States Courts of Appeals. To avoid selection bias and achieve a  
 1755 degree of randomness, paragraphs were selected from sequential decisions, in the order they appeared, spanning  
 1756 all areas of civil and criminal law that fall within the jurisdiction of the federal courts.

1757 **Significance and value** Beginning law students may initially have trouble identifying the function of a  
 1758 particular section within a judicial opinion, but it quickly becomes a simple task. LLMs would not be called on  
 1759 to perform this task in the actual practice of law, but because it is a foundational legal reasoning skill, it provides  
 1760 a useful measure of reasoning progress for LLMs.

Class	Number of samples
Facts	49
Procedural History	58
Issue	51
Rule	56
Analysis	56
Conclusion	50
Decree	47

Table 29: Test set class distribution.

<b>Excerpt</b>	<b>Function</b>
The Commission’s notice and orders, however, are to the contrary. From the very outset, the Commission has made clear that the Governance Order was no more than a call for a proposal that would then be subject to further notice, comment, and revision.	Analysis
Donna and Hurley contend that the Supreme Court’s decision in <i>Honeycutt v. United States</i> , — U.S. —, 137 S. Ct. 1626, 198 L.Ed.2d 73 (2017), should be applied retroactively to invalidate the forfeiture judgments against them.	Conclusion
For the reasons stated, we affirm the district court’s judgment.	Decree
“The Game of Life” is a classic family board game, introduced in 1960 by the Milton Bradley Company to great success. This case involves a long-running dispute between Rueben Klamer, a toy developer who came up with the initial concept of the game, and Bill Markham, a game designer whom Klamer approached to design and create the actual game prototype. Eventually, their dispute (which now involves various assignees, heirs, and successors-in-interest) reduced to one primary issue: whether the game qualified as a “work for hire” under the Copyright Act of 1909. If it did, Markham’s successors-in-interest would not possess the termination rights that would allow them to reassert control over the copyright in the game. After considering the evidence produced at a bench trial, the district court concluded that the game was, indeed, such a work. Plaintiff-appellants, who all trace their interest in the game to Markham, challenge that determination. We affirm.	Facts
Officers of the Puerto Rico Police Department watched Julio Casiano-Santana (“Casiano”) engage in a drug deal. They arrested him, recovering a loaded pistol and three bags of crack cocaine from the scene. Casiano was charged with possession of a firearm in furtherance of a drug trafficking crime, 18 U.S.C. § 924(c)(1)(A)(i), two counts of possession with intent to distribute controlled substances, 21 U.S.C. § 841(a)(1) and (b)(1)(C), and possession of a firearm by a convicted felon, 18 U.S.C. § 922(g)(1).	Issue
On remand, the district court held a new sentencing hearing, in which Lawrence allocuted. Resentencing Transcript at 11–12, <i>United States v. Lawrence</i> , No. 03-cr-00092-CKK (D.D.C. Oct. 5, 2009), ECF No. 103. Lawrence told the court that, while incarcerated, he had “been trying to do the right things as far as * * * becoming a man so I can provide for my son, he’s 11 and very big.” Id. Lawrence’s mother was “getting old” and does “the best that she can[,]” but his son had “health issues as far as * * * weight gain and a lot of other things.” Id. at 12. Lawrence explained that he “just want[ed] a chance to be a father” to his son, and that he “was just hoping that it’s possible that * * * I can get out in his life before * * * the streets * * * or anything that maybe I have done affect him[.]” Id. He said he wanted to “be a productive citizen[,]” and noted that he “read the Bible” and “attended church, school, [and] college.” Id. He admitted that he had “gotten into some altercations,” but “not because I wanted to, but it’s prison, and you know, there’s all types of people in prison.” Id. While “making no excuses” for his actions, he said he “was just hoping the Court would have leniency” in his “particular case.” Id.	Procedural History
The border between interpretation and bare consultation can be hazy and, therefore, “difficult to plot.” <i>Lawless</i> , 894 F.3d at 18 (citing <i>Livadas</i> , 512 U.S. at 124 n.18, 114 S.Ct. 2068). This case, however, does not closely approach the border: on their face, Rose’s state-law claims require more than bare consultation of the CBA. They substantially depend on construing the terms of the agreement (the CBA) that RTN and the Union negotiated. We explain briefly.	Rule

Table 30: Examples for function\_of\_decision\_section

1761 **I.11 Hearsay**

1762 In LEGALBENCH, the hearsay task is denoted as `hearsay`.

1763 **Background** The Federal Rules of Evidence dictate that “hearsay” evidence is inadmissible at trial. Hearsay  
1764 is defined as an “out-of-court statement introduced to prove the truth of the matter asserted.” In determining  
1765 whether a piece of evidence meets the definition of hearsay, lawyers ask three questions:

- 1766 1. Was there a statement? The definition of statement is broad, and includes oral assertions, written  
1767 assertions, and non-verbal conduct intended to communicate (i.e. *assert*) a message. Thus, for the  
1768 purposes of the hearsay rule, letters, verbal statements, and pointing all count as statements.
- 1769 2. Was it made outside of court? Statements not made during the trial or hearing in question count as  
1770 being out-of-court.
- 1771 3. Is it being introduced to prove the truth of the matter asserted? A statement is introduced to prove the  
1772 truth of the matter asserted if its truthfulness is essential to the purpose of its introduction. Suppose  
1773 that at trial, the parties were litigating whether Alex was a soccer fan. Evidence that Alex told his  
1774 brother “I like soccer,” would be objectionable on hearsay grounds, as (1) the statement itself asserts  
1775 that Alex likes soccer, and (2) the purpose of introducing this statement is to prove/disprove that  
1776 Alex likes soccer. In short, the truthfulness of the statement’s assertion is central to the issue being  
1777 litigated. However, consider if one of the parties wished to introduce evidence that Alex told his  
1778 brother, “Real Madrid is the greatest soccer team in the world.” This statement would **not** be hearsay.  
1779 It’s assertion—that Real Madrid is the greatest soccer team in the world—is unrelated to the issue  
1780 being litigated. Here, one party is introducing the statement not to prove what the statement says, but  
1781 to instead show that a particular party (i.e. Alex) was the speaker of the statement.

1782 **Task** Given a legal issue and a piece of prospective evidence, the LLM must determine whether the evidence  
1783 constitutes hearsay under the above test.

1784 We note that in practice, many pieces of evidence which are hearsay are nonetheless still admissible under one  
1785 of the many hearsay exception rules. We ignore these exceptions for our purposes, and leave the construction of  
1786 benchmarks corresponding to these exceptions for future work.

1787 **Construction process** We create the hearsay dataset by hand, drawing inspiration from similar exercises  
1788 available in legal casebooks and online resources. The dataset consists of 5 slices, where each slice tests a  
1789 different aspect of the hearsay rule. We randomly select 1 sample from each slice to be in the train set. The  
1790 remainder of the slice constitutes the test set (for a total of 95 samples). The slices (with test set counts) are:

- 1791 • Statement made in court ( $n = 14$ ): Fact patterns where the statement in question is made during the  
1792 course of testimony at trial. Thus, the statement is not hearsay.
- 1793 • Non-assertive conduct ( $n = 19$ ): Fact patterns where the evidence does not correspond to a statement.  
1794 Hence, the hearsay rule is inapplicable.
- 1795 • Standard hearsay ( $n = 29$ ): Fact patterns where there is an oral statement, it is said out of court, and it  
1796 is introduced to prove the truth of the matter asserted. Thus, these fact patterns correspond to hearsay.
- 1797 • Non-verbal hearsay ( $n = 12$ ): Fact patterns where the statement is hearsay, but made in writing or  
1798 through assertive conduct (e.g. pointing).
- 1799 • Not introduced to prove truth ( $n = 20$ ): Fact patterns where an out-of-court statement is introduced  
1800 to prove something other than what it asserts.

1801 **Significance and value** The hearsay rule is commonly taught in law school as part of Evidence. Law  
1802 students are expected to understand the rule, and how to apply it. The hearsay task is interesting for LLM  
1803 evaluation because it emphasizes multi-step reasoning—the test for hearsay encompasses several different steps,  
1804 where each step differs in difficulty. These include:

- 1805 • **Event detection:** The LLM must determine whether the fact pattern mentions a statement being made.
- 1806 • **Spatial reasoning:** The LLM must determine whether the statement was made inside a court room.
- 1807 • **Argument extraction:** The LLM must determine what the statement is asserting.
- 1808 • **Argument relevance:** The LLM must finely determine whether the assertion is relevant to the issue  
1809 being litigated.

<b>Facts</b>	<b>Hearsay?</b>
On the issue of whether Will knew that the company intended to announce its drug trials had been cancelled, the fact that he told the jury that "he didn't know the first thing about how medicines worked."	No
On the issue of whether Gerald was alive immediately after being attacked by Kathryn, Gerald's statement, "I was attacked by Kathryn."	No
On the issue of whether Susan was familiar with Shakespeare, the fact that she had once played the role of Macbeth and recieved a standing ovation after her monologue.	No
To prove that the insured under a life policy is dead, his wife offers a death certificate.	Yes
On the issue of whether Albert bought a knife, Angela testified that he shook his head when she asked him.	Yes
On the issue of whether the brakes were faulty, Amy testifies that she heard Arthur claim that he thought something was wrong with the car.	Yes

Table 31: Examples for hearsay

1810 **I.12 Insurance Policy Interpretation**

1811 In LEGALBENCH, the Insurance Policy Interpretation task is denoted as `insurance_policy_`  
1812 `interpretation`.

1813 **Background** Insurance disputes often arise when parties disagree on whether a claim is covered under  
1814 a certain insurance policy. To study such disagreements in interpretation, researchers at Stanford recruited  
1815 crowdsourcing workers to review a pair of an insurance policy and a claim and respond whether they believe the  
1816 claim is covered. A policy-claim pair whose applicability the workers disagree with each other on suggests  
1817 ambiguity in the policy text.

1818 **Task** The Insurance Interpretation task requires an LLM to review a pair of an insurance policy and a claim  
1819 and determine whether the policy clearly covers the claim, clearly does not cover it, or if it is unclear whether it  
1820 covers it or not.

1821 **Construction process** The clause-claim pairs are manually constructed before being reviewed by crowd-  
1822 source workers [139]. To convert the numbers of Covered/Not\_Covered/Can't\_Decide responses to discrete  
1823 labels, we first calculate the 95% multinomial confidence interval of the proportion of each response. We then  
1824 choose the label for which the confidence interval lower bound is greater than or equal to .5. If no label has a  
1825 lower bound  $\geq .5$ , we classify the policy-claim pair as "It's ambiguous." This conversion process ensures that  
1826 individual crowdsourcing workers do not arbitrarily sway the labels. Examples for each label can be found in  
1827 Table 32.

---

**Policy:** Harper's insurance covers damage from "House Removal," which includes "damage to belongings that occurs while being stored by professional removal contractors."

**Claim:** Harper is moving to a new home on the other side of town. Because her old home has already sold and her new home is not yet ready for her to move in, she checks into a hotel and asks a professional moving company to store some of her belongings at the company warehouse. A couple days before she is set to move in, the warehouse floods, which ruins the items that the movers were storing for Harper. Harper files a claim with her insurance company for the damage to her belongings.

**Label:** Covered

---

**Policy:** Denise's insurance covers damage from "House Removal," defined as "damage to belongings caused while being removed by professional removal contractors from the home."

**Claim:** Denise is moving to a new home on the other side of town. She asks her uncle, a retired professional mover, to help move her belongings out of her current home. During the move, her uncle's truck is involved in a minor accident that damages several pieces of her furniture and other belongings. Denise files a claim with her insurance company for the damage to her belongings.

**Label:** Not Covered

---

**Policy:** Jason has insurance coverage against loss and damage from "Identity Theft," which excludes "identity theft connected with the policyholder's business."

**Claim:** Jason is a successful car salesman. One day, while Jason is at home, hackers manage to infiltrate Jason's home WiFi network. The hackers steal Jason's social security number and open a number of fraudulent lines of credit in his name. To resolve the fraud, Jason must spend thousands of dollars in legal fees. Jason files a claim with his insurance company for his losses.

**Label:** It's ambiguous.

---

Table 32: Examples for `insurance_interpretation`.

1828 **Significance and value** The ability to determine whether an insurance claim is covered under a given policy  
1829 can significantly reduce claim processing time. It can also shine light on potential ambiguity in existing policies.  
1830 Additionally, this task represents one of the rare benchmarks where an LLM is required to predict laypeople's  
1831 legal interpretations, as we retrieve the ground truth labels based on crowdsourced responses.

1832 **I.13 International Citizenship Questions**

1833 In LEGALBENCH, the International Citizenship Questions task is denoted as `international_citizenship_`  
 1834 `questions`.

1835 **Background** The GLOBALCIT Citizenship Law Dataset is a valuable resource that comprehensively cate-  
 1836 gorizes citizenship acquisition and loss methods in 190 countries. It enables cross-country comparisons and  
 1837 offers insights into global trends in citizenship laws and examines 28 different ways in which citizenship can be  
 1838 acquired, as well as 15 ways laws allow citizenship to be lost. The original dataset is formulated as a tabular  
 1839 survey dataset. We change this survey format into Yes/No questions about specific countries and their laws as of  
 1840 2020 resulting in 9300 question- answer pairs.

1841 **Task** The model must answer yes/no questions about global citizenship law.

Question	Answer
Consider the country of Central African Republic. Does the country provide for acquisition of citizenship by a person who is in the public service (including military service) and, if so, under which conditions?	No
Consider the country of Bolivia. Does the country provide for involuntary loss of citizenship by a person who is adopted by or in legal guardianship of a citizen of another country and, if so, under which conditions?	No
Consider the country of Denmark. Which residence conditions does the country provide for residence-based acquisition?	Yes
Consider the country of Germany. Does the country require the demonstration of civic knowledge or cultural integration for residence-based acquisition?	Yes

Table 33: Examples for `international_citizenship_questions`

1842 **Contraction process** We download the GLOBALCIT Citizenship Law Dataset [137] and craft a script that  
 1843 converts the tabular survey data into yes/no questions, appending information about the country and the time at  
 1844 which the survey was created.

1845 **Significance and value** Understanding knowledge about the law globally is important to evaluate. To  
 1846 successfully answer legal reasoning questions globally language models must be able to retrieve a rule and then  
 1847 reason about it.

1848 **I.14 Learned Hand Tasks**

1849 In LEGALBENCH, the Learned Hand tasks are denoted as `learned_hand_*`.

1850 **Background** A person may experience problems in many areas of their lives – their family, work, finances,  
1851 housing, education, driving, and more – which legal professionals would recognize as being ‘legal issues’. The  
1852 person may not know that a problem with a credit card company, a landlord, a spouse, or an employer is a ‘legal  
1853 issue’, or what terminology or categorization a lawyer would use to make sense of it.

1854 The designation of a legal issue means that a person may benefit from getting specialized guidance from a legal  
1855 professional to resolve this problem because they can guide them on their rights, liabilities, possible options,  
1856 procedures, and specialized legal tasks. Not all people may want to pursue legal services to resolve a legal issue.  
1857 But legal issue-spotting can help them both make sense of the problem they are experiencing, and what services  
1858 and laws might be available if they wish to make use of them.

1859 Legal professionals typically carry out issue-spotting during an intake process. They receive a person’s verbal or  
1860 written description of the situation they are in. Then the professional identifies the main legal issues that are  
1861 apparent in this situation, starting at the main top-level categories and then sometimes proceeding to identify  
1862 more specific sub-categories of legal issues. For example, the professional may identify that a person’s situation  
1863 involves a legal issue with the top-level category of ‘housing’ and specific sub-categories of ‘possible eviction  
1864 for non-payment of rent’ and ‘poor living conditions of their rental’.

1865 The professional may identify multiple overlapping legal issue categories in one situation. For example, the  
1866 professional may identify that a person has a housing law issue and a family law issue if their landlord is  
1867 threatening to evict them because of the police being called to the rental home because of a domestic violence  
1868 incident.

1869 The main categories of legal issues that professionals would identify in people’s situations are:

- 1870 • **Benefits:** A situation would have a benefits issue if it involves the person attempting to resolve a  
1871 problem with applying for, receiving, or discontinuing public benefits and social services from the  
1872 government. This could include benefits that support them regarding food, disability, old age, housing,  
1873 health, unemployment, child care, or other social needs.
- 1874 • **Business:** A situation would have a business issue if the person is running a small business or nonprofit,  
1875 and encounters a problem around incorporation, licenses, taxes, regulations, bankruptcies, or natural  
1876 disasters. This category is not meant to apply to larger corporate legal issues, but rather the kinds of  
1877 business problems that an individual might bring to a legal professional for help.
- 1878 • **Consumer:** A situation would have a consumer legal issue if the person was dealing with problems  
1879 around debt and money, insurance, consumer goods and contracts, taxes, or small claims about the  
1880 quality of service.
- 1881 • **Courts:** A situation would be categorized as a courts issue if the person is dealing with a problem  
1882 around how to interact with the court system or with lawyers more broadly. This may involve the  
1883 person attempting to follow legal procedures, court rules, or filing requirements, or it may involve  
1884 them attempting to hire, manage, or address lawyers.
- 1885 • **Crime:** A situation would have a crimes issue if the person is dealing with the criminal justice  
1886 system as a defendant, victim, or family member. They may be experiencing problems around being  
1887 investigated, searched, or charged with a crime, or going to a criminal trial and prison, or being a  
1888 victim of a crime.
- 1889 • **Divorce:** A situation would be categorized as a divorce issue if a person is dealing with a separation,  
1890 divorce, or annulment while splitting with a spouse or partner. The problem may involve separation,  
1891 spousal support, splitting money and property, child support, visitation, or following the related court  
1892 process.
- 1893 • **Domestic Violence:** A situation would have a domestic violence issue if the person is dealing with  
1894 abuse with a partner, family member, or other intimate acquaintance. The situation may involve  
1895 understanding rights and laws related to domestic violence, getting protective orders, enforcing  
1896 them, reporting abuse, and dealing with collateral consequences to housing, finances, employment,  
1897 immigration, and education.
- 1898 • **Education:** A situation has an education issue if the person is dealing with a problem around school  
1899 for themselves or a family member. The situation may involve accommodations for special needs,  
1900 discrimination, student debt, discipline, or other issues in education.
- 1901 • **Employment:** A situation would be identified as having an employment issue if the person has  
1902 a problem with a job, including during the application process, during the job, or after ending  
1903 employment. Problems may include discrimination, harassment, payment, unionizing, pensions,  
1904 termination, drug testing, background checks, worker’s compensation, classification as a contractor, or  
1905 more.

- 1906 ● **Estates:** A situation would have an estates issue if a person is dealing with an estate, wills, or  
1907 guardianship. This may include issues around end-of-life planning, health and financial directives,  
1908 trusts, guardianships, conservatorships, and other estate issues that people and family deal with.
- 1909 ● **Family:** A situation would have a family law issue if a person is dealing with an issue involving a  
1910 family member. This may include issues around divorce, child custody, domestic violence, adoption,  
1911 paternity, name change, and other family issues.
- 1912 ● **Health:** A situation would be categorized as a health law issue if the person is dealing with problems  
1913 around accessing health services or protecting their rights in medical settings. This may involve  
1914 problems with accessing health care, paying for care, getting public benefits for care, privacy of  
1915 medical records, problems with quality of care, or other issues.
- 1916 ● **Housing:** A situation would have a housing law issue if a person is dealing with problems around  
1917 the housing where they live, or that they own. These include problems with renting a home, eviction,  
1918 living conditions, discrimination, foreclosure, post-disaster housing, housing assistance, and more.
- 1919 ● **Immigration:** A situation would have an immigration issue if a person is not a full citizen in the US  
1920 and is dealing with problems related to their status. This may include understanding visa options,  
1921 working as an immigrant, political asylum, border searches, deportation, human trafficking, refugees,  
1922 immigration court hearings, and more.
- 1923 ● **Torts:** A situation would be categorized as having a torts issue if the person is dealing with an accident  
1924 or conflict with another person that involves some perceived harm. These problems may include a car  
1925 accident, conflicts with neighbors, dog bites, bullying, harassment, data privacy breaches, being sued,  
1926 or suing someone else.
- 1927 ● **Traffic:** A situation would have a traffic law issue if the person is experiencing a problem with traffic,  
1928 parking, or car ownership. This might include problems with getting ticketed, getting or reinstating a  
1929 driver's license, car accidents, purchasing a car, repossession, and more.

1930 This set of categories is commonly used by legal professionals as they triage potential clients. The LIST  
1931 Taxonomy from Stanford Legal Design Lab has formalized these categories into a machine-readable taxonomy,  
1932 available at <https://taxonomy.legal/>. The LIST taxonomy builds on the taxonomies built by legal aid  
1933 groups, like the Legal Services Corporation categories list that most legal aid groups use to encode their matters,  
1934 signifying what issues they helped clients with.<sup>13</sup> LIST also builds off of the legal aid community's National  
1935 Subject Matter Index, which was a more extensive list of categories to further assist legal aid groups in tracking  
1936 the issues they helped people with.<sup>14</sup>

1937 Performing the Legal Issue-Spotting task requires parsing through informal wording and structures that a person  
1938 may use to convey the situation they are struggling with. Typically the person is writing this narrative down in  
1939 an informal, quick manner (like in an online intake form on a legal services website) or speaking it aloud (like  
1940 on an intake hotline, or during an in-person interview). The narratives are not typically structured into a concise  
1941 order. They use informal terminology rather than legal terms.

1942 **Task** The Legal Issue-spotting task requires an LLM to consider a person's narrative about their situation.  
1943 The LLM must use this narrative to determine which legal issue category (or categories) apply to the person's  
1944 situation.

1945 **Construction process** There is a crowdsourced dataset, created via the online labeling game Learned Hands,  
1946 that has established when and how these legal issue categories apply to people's narratives. The narratives are  
1947 drawn from the subreddit r/legaladvice, in which people share several lines or paragraphs about the situation  
1948 they are dealing with, which they think might involve legal issues. The moderators of the subreddit are active in  
1949 managing activity, so the posts do not contain personal identifying information or off-topic postings.

1950 The Stanford Legal Design Lab and Suffolk LIT Lab built the Learned Hands game so that law students and  
1951 lawyers could read narratives one by one, and then answer a series of yes-no-skip questions about what legal  
1952 issue seems to be present. Once there are sufficient consistent votes for a certain label to apply, or to not apply,  
1953 to a narrative, then the label is finalized. A narrative may have more than one label, as mentioned above.

1954 **Significance and value** The legal issue categorization helps the professional triage the person to the right  
1955 services, resources, and procedures that can assist them in resolving the legal issue. If an LLM is able to identify  
1956 legal issues in people's informal narratives, this demonstrates an ability to perform a key task in people's justice  
1957 journeys. Issue-spotting by an LLM may be able to help a person who is just starting to explore whether or how  
1958 they should engage with legal services, courts, or exercising their rights.

1959 The issue-spotting task may be provided online, when people are visiting legal help websites and trying to find  
1960 what guide, form, or service would best help them with their problem. Or it may be integrated into the intake

<sup>13</sup>See the LSC's list at <https://www.lsc.gov/i-am-grantee/grantee-guidance/lsc-reporting-requirements/case-service-reporting/csr-handbook-2017>

<sup>14</sup>See the NSMI database at <https://nsmi.lsnatp.org/>

1961 process that paralegals or justice workers carry out over hotlines or in-person, to speed up the often lengthy  
1962 intake process.

1963 **I.15 Legal Reasoning Causality**

1964 In LEGALBENCH, the Legal Reasoning Causality task is denoted as `legal_reasoning_causality`.

1965 **Background** In many legal domains, systematic evidential barriers hinder the substantiation of causal claims  
1966 through direct evidence. To address these shortcomings, courts have recognized the power of statistical evidence  
1967 in establishing causation in various contexts, such as product liability,<sup>15</sup> medical malpractice,<sup>16</sup> discrimination,<sup>17</sup>  
1968 and more. For instance, when pursuing a labor discrimination claim, the plaintiff must establish that her protected  
1969 trait was the underlying reason for the alleged discriminatory decision (e.g., firing or not hiring). However, direct  
1970 evidence of discriminatory intent rarely exists, so it is often nearly impossible to refute the possibility that other  
1971 (legitimate) differences between two employees or candidates were the cause for favoring one over the other. In  
1972 such cases, litigants can and often do try to substantiate a causal link between the plaintiff’s group affiliation  
1973 and the defendant’s behavior through statistical analysis. For instance, plaintiffs might send fictitious resumes  
1974 that differ only by the suspected demographic characteristic,<sup>18</sup> akin to a field experiment. Likewise, statistical  
1975 analysis of observational data that controls for the major factors affecting the employment practice can be used  
1976 to demonstrate whether a specific social group suffers from inferior outcomes (relative to some control group)  
1977 vis-à-vis a particular employer, landlord, or lender that engages with a sufficiently large number of employees or  
1978 customers.<sup>19</sup>

1979 **Task** The “causal reasoning” task requires an LLM to determine whether the court’s reasoning regarding the  
1980 finding of whether a causal link exists between the plaintiff’s protected trait and the allegedly discriminatory  
1981 decision relied on either statistical or direct-probative evidence. It requires understanding the types of words  
1982 that are used to describe statistical evidence in any given context (regression, correlation, variables, control, and  
1983 more), and the extent to which those words relate to substantiating a finding of causality (as opposed to other  
1984 legal components).

1985 **Construction process** We manually created a dataset of fifty-nine excerpts from court decisions in lawsuits  
1986 alleging labor market discrimination filed in US Federal District Courts. First, fifty-nine court decisions involving  
1987 claims of labor discrimination were identified using the LexisNexis database. Second, the passages in which the  
1988 finding of causality appeared were identified and extracted. Third, we coded the passages as either relying on  
1989 statistical evidence (e.g., regression analysis, findings of correlation, etc.) or on direct evidence (e.g., witnesses,  
1990 documents, etc.).

1991 We selected two random samples from each class to use as part of the train split.

1992 **Significance and value** The potential of LLMs to identify different types of legal reasoning in general,  
1993 and the finding of causality in particular, has implications both for the legal profession and for the academic  
1994 study of law and judicial decision-making. First, algorithmic tools are gradually being utilized by lawyers to  
1995 assist them in preparing for litigation. Specifically, given the heterogeneity among judges, a key element of a  
1996 successful litigation strategy is a lawyer’s ability to construct their arguments based on the specific inclinations  
1997 of the judge assigned to the case. Gaining an accurate understanding of judges’ unique mode of reasoning  
1998 (including, e.g., the types of evidence they tend to rely on), based on their prior decisions, is crucial for winning  
1999 any lawsuit. Second, databases consisting of court decisions are the most common source for studying the  
2000 law and judicial decision-making in legal academia. However, these databases are typically limited to rather  
2001 technical information, such as the names of the parties and the judge(s), the legal area of the case, and the like.  
2002 The essential part of any judicial opinion – the legal reasoning – is typically treated as a black box. An LLM  
2003 that could classify the various types of legal reasoning – e.g., what evidence is used to establish causation – can  
2004 facilitate studying judicial decision- making in ways currently not feasible at large scales.

---

<sup>15</sup>See, e.g., *Neurontin v. Pfizer*, 712 1st Cir. 52 (2013).

<sup>16</sup>*O’Neal v. St. John Hosp. & Med. Ctr.*, 487 Mich SC, 485 (2010).

<sup>17</sup>See, e.g., *International Broth. of Teamsters v. U.S.*, 431 U.S. 324 (“[i]n many cases the only available avenue of proof is the use of racial statistics to uncover clandestine and covert discrimination by the employer or union involved”); *Bazmore v. Friday*, 478 U.S. 385 (1986); *Marcus Jones v. Lee Way Motor Freigh*, 431 10 th cir 245 (1970) (“In racial discrimination cases, statistics often demonstrate more than the testimony of many witnesses”).

<sup>18</sup>*Havens Realty Corp. v. Coleman*, 455 U.S. 363, 374-75, 71 L. Ed. 2d 214, 102 S. Ct. 1114 (1982).

<sup>19</sup>See *Bazmore v. Friday*, 478 U.S. 385 (1986) (“although it need not include every conceivable factor. Given the frequency of employment discrimination litigation in the contemporary United”).

Excerpt	Relies on statistical evidence?
<p>However, a review of the "over base level" numbers of the four comparators and Escalera in core endourology reflects significant differences in the severity of losses between the comparators and Escalera during the January through June 2015 time period. Escalera's utilization of different time periods for each comparator within 2015 is not appropriate when examining the team managers' performances given Bard Medical's Solo/Skylite production products. Using the same time frame for each comparator, the record reflects that between January through June of 2015, Kunzinger was \$55,626.89 below base, Santoro was \$160,651.77 above base, Peters was \$20,070.56 above base, and Martin was \$79,932.38 above base. (Ottley Dep. Exs. 3, 12, 14, 16.) These numbers demonstrate that the "losses" experienced by the comparators during the same time period as Escalera are not substantially identical. Escalera's loss of base was \$68,799.06 more than [**25] the closest comparator he identified. Additionally, comparing the "over base level" numbers of the comparators and Escalera between January through October 2015 reflects that at the time Escalera was terminated he had suffered significantly more loss over base than his identified comparators: Escalera was [*805] \$174,792.44 below base, Kunzinger was \$101,132.60 below base,<sup>3</sup> Santoro was \$110,078.73 above base, Peters was \$31,876.80 below base, and Martin was \$1,611.79 below base. Because of these significant differences in losses, no reasonable jury could find that these four comparators and Escalera are similarly situated in all relevant respects.</p>	No
<p>Equally without evidentiary significance is the statistical analysis of the list of 17; indeed, the analysis was not even admissible under HN4 the standard of Daubert v. Merrell Dow Pharmaceuticals, Inc., 509 U.S. 579, 125 L. Ed. 2d 469, 113 S. Ct. 2786 (1993), governing the admissibility of expert testimony, which requires the district judge to satisfy himself that the expert is being as careful as he would be in his regular professional work outside his paid litigation consulting. E.g., Braun v. Lorillard Inc., 84 F.3d 230, 234-35 (7th Cir. 1996); Rosen v. Ciba-Geigy Corp., 78 F.3d 316, 318 (7th Cir. 1996); Daubert v. Merrell Dow Pharmaceuticals, Inc., 43 F.3d 1311, 1316-19 (9th Cir. 1995); cf. Mid-State Fertilizer Co. v. [**7] Exchange National Bank, 877 F.2d 1333, 1339 (7th Cir. 1989). Although the expert used standard statistical methods for determining whether there was a significant correlation between age and retention for the 17 persons on the list, see Michael O. Finkelstein &amp; Bruce Levin, Statistics for Lawyers 157 (1990) (Fisher's exact test), the omission of Sebring and Shulman from the sample tested was arbitrary. The expert should at least have indicated the sensitivity of his analysis to these omissions. More important is the expert's failure to correct for any potential explanatory variables other than age. Completely ignored was the more than remote possibility that age was correlated with a legitimate job-related qualification, such as familiarity with computers. Everyone knows that younger people are on average more comfortable with computers than older people are, just as older people are on average more comfortable with manual-shift cars than younger people are. Three weeks of training might go some distance toward closing the computer-literacy gap, yet it would be more surprising than otherwise if so short a period of training could close the gap completely. The expert could easily [**8] have inquired about the feasibility of ascertaining through discovery the history of the use of computers by each of the employees on the list of 17.</p>	Yes

Table 34: Examples for legal\_reasoning\_causality.

Class	Number of samples
Yes	31
No	24

Table 35: Test set class distribution.

2005 **I.16 MAUD Tasks**

2006 In LEGALBENCH, the MAUD tasks are denoted as `maud_*`.

2007 **Background** We adapt the Merger Agreement Understanding Dataset (MAUD) for LEGALBENCH. MAUD  
 2008 consists of over 37,000 expert-annotated examples for a set of legal reading comprehension tasks based on  
 2009 the American Bar Association’s 2021 Public Target Deal Point Study. In the Study, lawyers review merger  
 2010 agreements and identify key legal clauses (“deal points”) within those contracts. The lawyers then specify the  
 2011 nature of the clauses by answering a predetermined set of questions that cover a wide range of topics, including  
 2012 conditions to closing, the definition of material adverse effect, and remedies to breach of contract. MAUD’s  
 2013 multiple-choice format, according to [142], assesses an LLM’s ability to interpret the meaning of specialized  
 2014 legal language.

2015 **Task** The tasks take advantage of MAUD’s reading comprehension component. They require an LLM—given  
 2016 a key legal clause and a set of descriptions for the clause—to choose the option that best describes the clause.

2017 **Construction process** These tasks are constructed by transforming the abridged dataset released by [142].  
 2018 The abridged dataset contains 14,928 examples with deal points extracted from 94 merger agreements covering  
 2019 92 multiple-choice questions. We narrow down to 57 questions by filtering out the ones with fewer than 50  
 2020 examples. Each example consists of the text of a deal point, the question, options, and the answer key.

2021 We create translations that map the questions into human-readable multiple-choice prompts. For instance, the  
 2022 prompt for the question “Accuracy of Target ‘General’ R&W: Bringdown Timing” is “When are representations  
 2023 and warranties required to be made according to the bring down provision?” It is then followed by an enumeration  
 2024 of the options for the LLM to choose among.

2025 We focus on MAUD’s abridged examples because we are interested in assessing an LLM’s legal reading compre-  
 2026 hension capability rather than its ability to extract relevant text segment given a complete deal point. Additionally,  
 2027 inputs of examples from the main dataset, which contains complete deal point texts, are oftentimes far longer  
 2028 than what an average open-source LLM could ingest at once, rendering them unsuitable for benchmarking  
 2029 purposes.

2030 The table below lists the question and options for each MAUD-based LEGALBENCH task along with an example  
 2031 input-answer pair.

Table 36: MAUD Tasks

Task
<p><b>Task name:</b> <code>maud_type_of_consideration</code>  <b>Question:</b> What type of consideration is specified in this agreement?  <b>Options:</b> A: All Cash; B: All Stock; C: Mixed Cash/Stock; D: Mixed Cash/Stock; Election  <b>Example:</b> each Share &lt;omitted&gt; shall be converted into the right to receive the Offer Price in cash, without interest (the “Merger Consideration”), minus any withholding of Taxes required by applicable Laws in accordance with Section 3.6(d) (Page 20)  <b>Answer:</b> A</p>
<p><b>Task name:</b> <code>maud_accuracy_of_target_general_rw_bringdown_timing_answer</code>  <b>Question:</b> When are representations and warranties required to be made according to the bring down provision?  <b>Options:</b> A: At Closing Only; B: At Signing &amp; At Closing  <b>Example:</b> Section 7.2 Conditions to Obligations of Parent and Acquisition Sub to Effect the Merger. The obligations of Parent and Acquisition Sub to effect the Merger are, in addition to the conditions set forth in Section 7.1, further subject to the satisfaction or (to the extent not prohibited by Law) waiver by Parent at or prior to the Effective Time of the following conditions: (a) each of the representations and warranties of the Company contained in this Agreement, without giving effect to any materiality or “Company Material Adverse Effect” or similar qualifications therein, shall be true and correct as of the Closing Date, except for such failures to be true and correct as would not, individually or in the aggregate, have a Company Material Adverse Effect (except to the extent such representations and warranties are expressly made as of a specific date, in which case such representations and warranties shall be so true and correct as of such specific date only); (Page 67)  <b>Answer:</b> A</p>
<p><b>Task name:</b> <code>maud_accuracy_of_target_capitalization_rw_(outstanding_shares)_bringdown_standard_answer</code>  <b>Question:</b> How accurate must the capitalization representations and warranties be according to the bring down provision?  <b>Options:</b> A: Accurate in all material respects; B: Accurate in all respects; C: Accurate in all respects with below-threshold carveout; D: Accurate in all respects with de minimis exception</p>

Table 36 – continued from previous page

Task
<p><b>Example:</b> Conditions to the Offer</p> <p>Notwithstanding any other term of the Offer or this Agreement to the contrary, Merger Sub will not be required to accept for payment or, subject to any applicable rules and regulations of the SEC, including Rule 14e-1(c) under the Exchange Act (relating to Merger Sub’s obligation to pay for or return tendered Shares promptly after the termination or withdrawal of the Offer), to pay for any Shares tendered pursuant to the Offer, and may delay the acceptance for payment of or, subject to any applicable rules and regulations of the SEC, the payment for, any tendered Shares, and (subject to the provisions of this Agreement) may terminate the Offer and not accept for payment any tendered Shares, at any scheduled Expiration Date (as it may have been extended pursuant to Section 2.1 of this Agreement) if &lt;omitted&gt; (ii) any of the additional conditions set forth below are not satisfied or waived in writing by Parent at the Expiration Time:</p> <p>&lt;omitted&gt; (d) Representations and Warranties. Each of the representations and warranties set forth in: &lt;omitted&gt; (iv) this Agreement (other than those set forth in the foregoing clauses (i), (ii) and (iii) of this clause (d) of Annex I), without giving effect to any “materiality” or “Material Adverse Effect” qualifiers or qualifiers of similar import set forth therein, shall be true and correct as of the consummation of the Offer as though made as of the consummation of the Offer (Page 107)</p> <p><b>Answer:</b> D</p>
<p><b>Task name:</b> maud_accuracy_of_fundamental_target_rws_bringdown_standard</p> <p><b>Question:</b> How accurate must the fundamental representations and warranties be according to the bring down provision?</p> <p><b>Options:</b> A: Accurate at another materiality standard (e.g., hybrid standard); B: Accurate in all material respects; C: Accurate in all respects</p> <p><b>Example:</b> (b) Additional Conditions to Obligation of Parent and Merger Sub. &lt;omitted&gt; the representations and warranties of the Company set forth in Article 3 shall be true and correct &lt;omitted&gt; at and as of the Closing as if made at and as of such time (Page 11)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_ability_to_consummate_concept_is_subject_to_mae_carveouts</p> <p><b>Question:</b> Is the “ability to consummate” concept subject to Material Adverse Effect (MAE) carveouts?</p> <p><b>Options:</b> A: No; B: Yes</p> <p><b>Example:</b> “Material Adverse Effect” means, with respect to Huntington, TCF or the Surviving Corporation, as the case may be, any effect, change, event, circumstance, condition, occurrence or development that, either individually or in the aggregate, has had or would reasonably be likely to have a material adverse effect on (i) the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole (provided, however, that, with respect to this clause (i), Material Adverse Effect shall not be deemed to include the impact of (A) changes, after the date hereof, in U.S. generally accepted accounting principles (“GAAP”) or applicable regulatory accounting requirements, (B) changes, after the date hereof, in laws, rules or regulations (including the Pandemic Measures) of general applicability to companies in the industries in which such party and its Subsidiaries operate, or interpretations thereof by courts or Governmental Entities, (C) changes, after the date hereof, in global, national or regional political conditions (including the outbreak of war or acts of terrorism) or in economic or market (including equity, credit and debt markets, as well as changes in interest rates) conditions affecting the financial services industry generally and not specifically relating to such party or its Subsidiaries (including any such changes arising out of the Pandemic or any Pandemic Measures), (D) changes, after the date hereof, resulting from hurricanes, earthquakes, tornados, floods or other natural disasters or from any outbreak of any disease or other public health event (including the Pandemic), (E) public disclosure of the execution of this Agreement, public disclosure or consummation of the transactions contemplated hereby (including any effect on a party’s relationships with its customers or employees) (it being understood that the foregoing shall not apply for purposes of the representations and warranties in Sections 3.3(b), 3.4, 4.3(b) or 4.4) or actions expressly required by this Agreement or that are taken with the prior written consent of the other party in contemplation of the transactions contemplated hereby, or (F) a decline in the trading price of a party’s common stock or the failure, in and of itself, to meet earnings projections or internal financial forecasts, but not, in either case, including any underlying causes thereof; except, with respect to subclauses (A), (B), (C) or (D), to the extent that the effects of such change are materially disproportionately adverse to the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole, as compared to other companies in the industry in which such party and its Subsidiaries operate) or (ii) the ability of such party to timely consummate the transactions contemplated hereby. (Page 18)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_fls_(mae)_standard</p> <p><b>Question:</b> What is the Forward Looking Standard (FLS) with respect to Material Adverse Effect (MAE)?</p> <p><b>Options:</b> A: “Could” (reasonably) be expected to; B: “Would”; C: “Would” (reasonably) be expected to; D: No; E: Other forward-looking standard</p>

Table 36 – continued from previous page

Task
<p><b>Example:</b> “Material Adverse Effect” means, with respect to BancorpSouth, Cadence or the Surviving Entity, as the case may be, any effect, change, event, circumstance, condition, occurrence or development that, either individually or in the aggregate, has had or would reasonably be expected to have a material adverse effect on (i) the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries taken as a whole (provided, however, that, with respect to this clause (i), Material Adverse Effect shall not be deemed to include the impact of (A) changes, after the date hereof, in U.S. generally accepted accounting principles (“GAAP”) or applicable regulatory accounting requirements, (B) changes, after the date hereof, in laws, rules or regulations (including the Pandemic Measures) of general applicability to companies in the industries in which such party and its Subsidiaries operate, or interpretations thereof by courts or Governmental Entities (as defined below), (C) changes, after the date hereof, in global, national or regional political conditions (including the outbreak of war or acts of terrorism) or in economic or market (including equity, credit and debt markets, as well as changes in interest rates) conditions affecting the financial services industry generally and not specifically relating to such party or its Subsidiaries (including any such changes arising out of a Pandemic or any Pandemic Measures), (D) changes, after the date hereof, resulting from hurricanes, earthquakes, tornados, floods or other natural disasters or from any outbreak of any disease or other public health event (including a Pandemic), (E) public disclosure of the execution of this Agreement, public disclosure or consummation of the transactions contemplated hereby (including any effect on a party’s relationships with its customers or employees) or actions expressly required by this Agreement or that are taken with the prior written consent of the other party in contemplation of the transactions contemplated hereby, or (F) a decline in the trading price of a party’s common stock or the failure, in and of itself, to meet earnings projections or internal financial forecasts (it being understood that the underlying causes of such decline or failure may be taken into account in determining whether a Material Adverse Effect has occurred), except to the extent otherwise excepted by this proviso); except, with respect to subclauses (A), (B), (C), or (D) to the extent that the effects of such change are materially disproportionately adverse to the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole, as compared to other companies in the industry in which such party and its Subsidiaries operate), or (ii) the ability of such party to timely consummate the transactions contemplated hereby. (Page 19)</p>
<p><b>Answer:</b> C</p>
<p><b>Task name:</b> maud_general_economic_and_financial_conditions_subject_to_disproportionate_impact_modifier</p>
<p><b>Question:</b> Do changes caused by general economic and financial conditions that have disproportionate impact qualify for Material Adverse Effect (MAE)?</p>
<p><b>Options:</b> A: No; B: Yes</p>
<p><b>Example:</b> “Material Adverse Effect” means, with respect to Huntington, TCF or the Surviving Corporation, as the case may be, any effect, change, event, circumstance, condition, occurrence or development that, either individually or in the aggregate, has had or would reasonably be likely to have a material adverse effect on (i) the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole (provided, however, that, with respect to this clause (i), Material Adverse Effect shall not be deemed to include the impact of (A) changes, after the date hereof, in U.S. generally accepted accounting principles (“GAAP”) or applicable regulatory accounting requirements, (B) changes, after the date hereof, in laws, rules or regulations (including the Pandemic Measures) of general applicability to companies in the industries in which such party and its Subsidiaries operate, or interpretations thereof by courts or Governmental Entities, (C) changes, after the date hereof, in global, national or regional political conditions (including the outbreak of war or acts of terrorism) or in economic or market (including equity, credit and debt markets, as well as changes in interest rates) conditions affecting the financial services industry generally and not specifically relating to such party or its Subsidiaries (including any such changes arising out of the Pandemic or any Pandemic Measures), (D) changes, after the date hereof, resulting from hurricanes, earthquakes, tornados, floods or other natural disasters or from any outbreak of any disease or other public health event (including the Pandemic), (E) public disclosure of the execution of this Agreement, public disclosure or consummation of the transactions contemplated hereby (including any effect on a party’s relationships with its customers or employees) (it being understood that the foregoing shall not apply for purposes of the representations and warranties in Sections 3.3(b), 3.4, 4.3(b) or 4.4) or actions expressly required by this Agreement or that are taken with the prior written consent of the other party in contemplation of the transactions contemplated hereby, or (F) a decline in the trading price of a party’s common stock or the failure, in and of itself, to meet earnings projections or internal financial forecasts, but not, in either case, including any underlying causes thereof; except, with respect to subclauses (A), (B), (C) or (D), to the extent that the effects of such change are materially disproportionately adverse to the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole, as compared to other companies in the industry in which such party and its Subsidiaries operate) or (ii) the ability of such party to timely consummate the transactions contemplated hereby. (Page 18)</p>

Table 36 – continued from previous page

Task
<p><b>Answer:</b> A</p> <hr/> <p><b>Task name:</b> maud_change_in_law__subject_to_disproportionate_impact_modifier  <b>Question:</b> Do changes in law that have disproportionate impact qualify for Material Adverse Effect (MAE)?  <b>Options:</b> A: No; B: Yes  <b>Example:</b> “Material Adverse Effect” means, with respect to SVB Financial, Boston Private or the Surviving Corporation, as the case may be, any effect, change, event, circumstance, condition, occurrence or development that, either individually or in the aggregate, has had or would reasonably be expected to have a material adverse effect on (i) the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries taken as a whole (provided, however, that, with respect to this clause (i), Material Adverse Effect shall not be deemed to include the impact of (A) changes, after the date hereof, in U.S. generally accepted accounting principles (“GAAP”) or applicable regulatory accounting requirements, (B) changes, after the date hereof, in laws, rules or regulations of general applicability to companies in the industries in which such party and its Subsidiaries operate, or interpretations thereof by courts or Governmental Entities, (C) changes, after the date hereof, in global, national or regional political conditions (including the outbreak of war or acts of terrorism) or in economic or market (including equity, credit and debt markets, as well as changes in interest rates) conditions affecting the financial services industry generally and not specifically relating to such party or its Subsidiaries, (D) changes, after the date hereof, resulting from hurricanes, earthquakes, tornados, floods or other natural disasters or from any outbreak of any disease or other public health event (including the COVID-19 pandemic and the implementation of the Pandemic Measures), (E) public disclosure or consummation of the transactions contemplated hereby or actions expressly required by this Agreement or that are taken with the prior written consent of the other party in contemplation of the transactions contemplated hereby (it being understood and agreed that this clause (E) shall not apply with respect to any representation or warranty that is intended to address the consequences of the execution, announcement or performance of this Agreement or consummation of the Merger) or (F) the failure, in and of itself, to meet earnings projections or financial forecasts, but not including the underlying causes thereof; except, with respect to subclause (A), (B), (C) or (D), to the extent that the effects of such change are disproportionately adverse to the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole, as compared to similar companies in the industry in which such party and its Subsidiaries operate); or (ii) the ability of such party to timely consummate the transactions contemplated hereby. (Page 20)  <b>Answer:</b> B</p> <hr/> <p><b>Task name:</b> maud_changes_in_gaap_or_other_accounting_principles__subject_to_disproportionate_impact_modifier  <b>Question:</b> Do changes in GAAP or other accounting principles that have disproportionate impact qualify for Material Adverse Effect (MAE)?  <b>Options:</b> A: No; B: Yes</p>

Table 36 – continued from previous page

Task
<p><b>Example:</b> “Company Material Adverse Effect” shall mean any state of facts, circumstance, condition, event, change, development, occurrence, result, effect, action or omission (each, an “Effect”) that, individually or in the aggregate with any one or more other Effects, (i) results in a material adverse effect on the business, financial condition or results of operations of the Company and its Subsidiaries, taken as a whole or (ii) prevents, materially impairs, materially impedes or materially delays the consummation of the Merger and the other transactions contemplated hereby on or before the End Date; provided, however, that with respect to clause (i) only, no Effect to the extent resulting or arising from any of the following, shall, to such extent, be deemed to constitute, or be taken into account in determining the occurrence of, a Company Material Adverse Effect: (A) general economic, political, business, financial or market conditions; (B) any outbreak, continuation or escalation of any military conflict, declared or undeclared war, armed hostilities, or acts of foreign or domestic terrorism; (C) any pandemic (including the SARS-CoV-2 virus and COVID-19 disease), epidemic, plague, or other outbreak of illness or public health event, hurricane, flood, tornado, earthquake or other natural disaster or act of God; (D) any failure by the Company or any of its Subsidiaries to meet any internal or external projections or forecasts or any decline in the price or trading volume of Company Common Stock (but excluding, in each case, the underlying causes of such failure or decline, as applicable, which may themselves constitute or be taken into account in determining whether there has been, or would be, a Company Material Adverse Effect); (E) the public announcement or pendency of the Merger and the other transactions contemplated hereby; (F) changes in applicable Legal Requirements; (G) changes in GAAP or any other applicable accounting standards; or (H) any action expressly required to be taken by the Company pursuant to the terms of the Agreement or at the express written direction or consent of Parent; provided, further, that any Effect relating to or arising out of or resulting from any change or event referred to in clause (A), (B), (C), (F) or (G) above may constitute, and be taken into account in determining the occurrence of, a Company Material Adverse Effect to the extent that such change or event has a disproportionate impact (but solely to the extent of such disproportionate impact) on the Company and its Subsidiaries as compared to other participants that operate in the industry in which the Company and its Subsidiaries operate. (Pages 87-88)</p>
<p><b>Answer:</b> B</p>
<p><b>Task name:</b> maud_pandemic_or_other_public_health_event_specific_reference_to_pandemic-related_governmental_responses_or_measures</p> <p><b>Question:</b> Is there specific reference to pandemic-related governmental responses or measures in the clause that qualifies pandemics or other public health events for Material Adverse Effect (MAE)?</p> <p><b>Options:</b> A: No; B: Yes</p> <p><b>Example:</b> “Company Material Adverse Effect” shall mean any state of facts, circumstance, condition, event, change, development, occurrence, result, effect, action or omission (each, an “Effect”) that, individually or in the aggregate with any one or more other Effects, (i) results in a material adverse effect on the business, financial condition or results of operations of the Company and its Subsidiaries, taken as a whole or (ii) prevents, materially impairs, materially impedes or materially delays the consummation of the Merger and the other transactions contemplated hereby on or before the End Date; provided, however, that with respect to clause (i) only, no Effect to the extent resulting or arising from any of the following, shall, to such extent, be deemed to constitute, or be taken into account in determining the occurrence of, a Company Material Adverse Effect: (A) general economic, political, business, financial or market conditions; (B) any outbreak, continuation or escalation of any military conflict, declared or undeclared war, armed hostilities, or acts of foreign or domestic terrorism; (C) any pandemic (including the SARS-CoV-2 virus and COVID-19 disease), epidemic, plague, or other outbreak of illness or public health event, hurricane, flood, tornado, earthquake or other natural disaster or act of God; (D) any failure by the Company or any of its Subsidiaries to meet any internal or external projections or forecasts or any decline in the price or trading volume of Company Common Stock (but excluding, in each case, the underlying causes of such failure or decline, as applicable, which may themselves constitute or be taken into account in determining whether there has been, or would be, a Company Material Adverse Effect); (E) the public announcement or pendency of the Merger and the other transactions contemplated hereby; (F) changes in applicable Legal Requirements; (G) changes in GAAP or any other applicable accounting standards; or (H) any action expressly required to be taken by the Company pursuant to the terms of the Agreement or at the express written direction or consent of Parent; provided, further, that any Effect relating to or arising out of or resulting from any change or event referred to in clause (A), (B), (C), (F) or (G) above may constitute, and be taken into account in determining the occurrence of, a Company Material Adverse Effect to the extent that such change or event has a disproportionate impact (but solely to the extent of such disproportionate impact) on the Company and its Subsidiaries as compared to other participants that operate in the industry in which the Company and its Subsidiaries operate. (Pages 87-88)</p>
<p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_pandemic_or_other_public_health_event__subject_to_disproportionate_impact_modifier</p>

Table 36 – continued from previous page

---

**Task**

---

**Question:** Do pandemics or other public health events have to have disproportionate impact to qualify for Material Adverse Effect (MAE)?

**Options:** A: No; B: Yes

**Example:** “Material Adverse Effect” means, with respect to BancorpSouth, Cadence or the Surviving Entity, as the case may be, any effect, change, event, circumstance, condition, occurrence or development that, either individually or in the aggregate, has had or would reasonably be expected to have a material adverse effect on (i) the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries taken as a whole (provided, however, that, with respect to this clause (i), Material Adverse Effect shall not be deemed to include the impact of (A) changes, after the date hereof, in U.S. generally accepted accounting principles (“GAAP”) or applicable regulatory accounting requirements, (B) changes, after the date hereof, in laws, rules or regulations (including the Pandemic Measures) of general applicability to companies in the industries in which such party and its Subsidiaries operate, or interpretations thereof by courts or Governmental Entities (as defined below), (C) changes, after the date hereof, in global, national or regional political conditions (including the outbreak of war or acts of terrorism) or in economic or market (including equity, credit and debt markets, as well as changes in interest rates) conditions affecting the financial services industry generally and not specifically relating to such party or its Subsidiaries (including any such changes arising out of a Pandemic or any Pandemic Measures), (D) changes, after the date hereof, resulting from hurricanes, earthquakes, tornados, floods or other natural disasters or from any outbreak of any disease or other public health event (including a Pandemic), (E) public disclosure of the execution of this Agreement, public disclosure or consummation of the transactions contemplated hereby (including any effect on a party’s relationships with its customers or employees) or actions expressly required by this Agreement or that are taken with the prior written consent of the other party in contemplation of the transactions contemplated hereby, or (F) a decline in the trading price of a party’s common stock or the failure, in and of itself, to meet earnings projections or internal financial forecasts (it being understood that the underlying causes of such decline or failure may be taken into account in determining whether a Material Adverse Effect has occurred), except to the extent otherwise excepted by this proviso); except, with respect to subclauses (A), (B), (C), or (D) to the extent that the effects of such change are materially disproportionately adverse to the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole, as compared to other companies in the industry in which such party and its Subsidiaries operate), or (ii) the ability of such party to timely consummate the transactions contemplated hereby. (Page 19)

**Answer:** B

---

**Task name:** maud\_relational\_language\_(mae)\_applies\_to

**Question:** What carveouts pertaining to Material Adverse Effect (MAE) does the relational language apply to?

**Options:** A: All MAE carveouts; B: No; C: Some MAE carveouts

Table 36 – continued from previous page

Task
<p><b>Example:</b> “Material Adverse Effect” means, with respect to Huntington, TCF or the Surviving Corporation, as the case may be, any effect, change, event, circumstance, condition, occurrence or development that, either individually or in the aggregate, has had or would reasonably be likely to have a material adverse effect on (i) the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole (provided, however, that, with respect to this clause (i), Material Adverse Effect shall not be deemed to include the impact of (A) changes, after the date hereof, in U.S. generally accepted accounting principles (“GAAP”) or applicable regulatory accounting requirements, (B) changes, after the date hereof, in laws, rules or regulations (including the Pandemic Measures) of general applicability to companies in the industries in which such party and its Subsidiaries operate, or interpretations thereof by courts or Governmental Entities, (C) changes, after the date hereof, in global, national or regional political conditions (including the outbreak of war or acts of terrorism) or in economic or market (including equity, credit and debt markets, as well as changes in interest rates) conditions affecting the financial services industry generally and not specifically relating to such party or its Subsidiaries (including any such changes arising out of the Pandemic or any Pandemic Measures), (D) changes, after the date hereof, resulting from hurricanes, earthquakes, tornados, floods or other natural disasters or from any outbreak of any disease or other public health event (including the Pandemic), (E) public disclosure of the execution of this Agreement, public disclosure or consummation of the transactions contemplated hereby (including any effect on a party’s relationships with its customers or employees) (it being understood that the foregoing shall not apply for purposes of the representations and warranties in Sections 3.3(b), 3.4, 4.3(b) or 4.4) or actions expressly required by this Agreement or that are taken with the prior written consent of the other party in contemplation of the transactions contemplated hereby, or (F) a decline in the trading price of a party’s common stock or the failure, in and of itself, to meet earnings projections or internal financial forecasts, but not, in either case, including any underlying causes thereof; except, with respect to subclauses (A), (B), (C) or (D), to the extent that the effects of such change are materially disproportionately adverse to the business, properties, assets, liabilities, results of operations or financial condition of such party and its Subsidiaries, taken as a whole, as compared to other companies in the industry in which such party and its Subsidiaries operate) or (ii) the ability of such party to timely consummate the transactions contemplated hereby. (Page 18)</p> <p><b>Answer:</b> C</p>
<p><b>Task name:</b> maud_knowledge_definition</p> <p><b>Question:</b> What counts as Knowledge?</p> <p><b>Options:</b> A: Actual knowledge; B: Constructive knowledge</p> <p><b>Example:</b> provided, however, that with respect to clause (i) only, no Effect to the extent resulting or arising from any of the following, shall &lt;omitted&gt; be deemed to constitute &lt;omitted&gt; a Company Material Adverse Effect (Pages 87-88)</p> <p><b>Answer:</b> B</p>
<p><b>Task name:</b> maud_buyer_consent_requirement_(ordinary_course)</p> <p><b>Question:</b> In case the Buyer’s consent for the acquired company’s ordinary business operations is required, are there any limitations on the Buyer’s right to condition, withhold, or delay their consent?</p> <p><b>Options:</b> A: Yes. Consent may not be unreasonably withheld, conditioned or delayed.; B: No.</p>

Table 36 – continued from previous page

Task
<p><b>Example:</b> Section 5.1 Interim Operations of the Company and Parent.</p> <p>(a) From the date of this Agreement and until the Effective Time or the earlier termination of this Agreement in accordance with its terms, except as (v) otherwise expressly contemplated by this Agreement, (w) set forth in the applicable subsection of Section 5.1 of the Company Disclosure Letter (it being agreed that disclosure of any item in any subsection of Section 5.1 of the Company Disclosure Letter shall be deemed disclosure with respect to any other subsection of Section 5.1 of the Company Disclosure Letter only to the extent that the relevance of such item to such subsection is reasonably apparent on its face), (x) required by applicable Law, (y)(A) required to comply with COVID-19 Measures or otherwise taken (or not taken) by the Company or any of its Subsidiaries reasonably and in good faith to respond to COVID-19 or COVID-19 Measures or (B) taken (or not taken) by the Company or any of its Subsidiaries reasonably and in good faith to respond to any other extraordinary event that was not reasonably foreseeable as of the date of this Agreement and occurring after the date of this Agreement that is outside of the control of the Company or its Affiliates and is outside of the ordinary course of business of the Company and its Subsidiaries and Joint Ventures (and is not related to a Company Takeover Proposal); provided that prior to taking any actions in reliance on this clause (y), which would otherwise be prohibited by any provision of this Agreement, the Company will use commercially reasonable efforts to provide advance notice to and consult with Parent (if reasonably practicable) with respect thereto or (z) consented to in writing by Parent (which consent shall not be unreasonably withheld, conditioned or delayed), the Company shall, and shall cause each of its Subsidiaries to, use its commercially reasonable efforts to conduct its business in all material respects in the ordinary course of business consistent with past practice and in compliance in all material respects with all material applicable Laws, and shall, and shall cause each of its Subsidiaries to, use its commercially reasonable efforts to preserve intact its present business organization, keep available the services of its directors, officers and employees and maintain existing relations and goodwill with customers, distributors, lenders, partners (including Joint Venture partners and others with similar relationships), suppliers and others having material business associations with it or its Subsidiaries; (Pages 40-41)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_includes_consistent_with_past_practice</p> <p><b>Question:</b> Does the wording of the Efforts Covenant clause include “consistent with past practice”?</p> <p><b>Options:</b> A: No; B: Yes</p> <p><b>Example:</b> 5.2 Operation of the Acquired Corporations’ Business. (a) During the Pre-Closing Period, except (w) as required or otherwise contemplated under this Agreement or as prohibited or required by applicable Legal Requirements, (x) with the written consent of Parent (which consent shall not be unreasonably withheld, delayed or conditioned, and provided that no consent shall be required if the Company reasonably believes after consulting with outside legal counsel that seeking such consent would violate Antitrust Law), (y) for any action required to be or reasonably taken, or omitted to be taken, pursuant to any COVID-19 Measures or which is otherwise required or reasonably taken, or omitted to be taken, in response to COVID-19 or any other pandemic, epidemic or disease outbreak, as determined by the Company in its reasonable discretion, or (z) as set forth in Section 5.2 of the Company Disclosure Schedule, the Company shall, and shall cause each Acquired Corporation to, use commercially reasonable efforts to conduct its business and operations in the ordinary course in all material respects (Page 41)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_ordinary_course_efforts_standard</p> <p><b>Question:</b> What is the efforts standard?</p> <p><b>Options:</b> A: Commercially reasonable efforts; B: Flat covenant (no efforts standard); C: Reasonable best efforts</p> <p><b>Example:</b> “Ordinary Course of Business” means, with respect to any Person, the conduct of such Person’s business that is consistent with the past practices of such Person prior to the date of this Agreement and taken in the ordinary course of normal, day-to-day operations of such Person, but excluding any conduct that would reasonably be expected to violate applicable Law in any material respect. &lt;omitted&gt; 7.1. Interim Operations. (a) The Company shall, and shall cause each of its Subsidiaries to, from and after the date of this Agreement until the earlier of the Effective Time and the termination of this Agreement pursuant to Article IX (unless Parent shall otherwise approve in writing (such approval not to be unreasonably withheld, conditioned or delayed), and except as otherwise expressly required by this Agreement or as required by a Governmental Entity or applicable Law and any Material Contract in effect prior to the date of this Agreement), conduct its business in the Ordinary Course of Business (Page 66)</p> <p><b>Answer:</b> B</p>
<p><b>Task name:</b> maud_application_of_buyer_consent_requirement_(negative_interim_covenant)</p> <p><b>Question:</b> What negative covenants does the requirement of Buyer consent apply to?</p> <p><b>Options:</b> A: Applies only to specified negative covenants; B: Applies to all negative covenants</p>

Table 36 – continued from previous page

<b>Task</b>
<p><b>Example:</b> Except (w) with respect to the Specified Exceptions (other than as applied to Section 5.1(a), Section 5.1(b), or Section 5.1(k)), (x) 25 as otherwise expressly contemplated or permitted by this Agreement, (y) as set forth in Section 5.1 of the Company Disclosure Schedule, or (z) with the Parent's consent (which shall not be unreasonably withheld, conditioned or delayed), during the Pre-Closing Period the Company shall not, and shall not permit any of its Subsidiaries to, directly or indirectly, do any of the following: (Pages 29-30)</p> <p><b>Answer:</b> B</p>
<p><b>Task name:</b> maud_fiduciary_exception_board_determination_standard</p> <p><b>Question:</b> Under what circumstances could the Board take actions on a different acquisition proposal notwithstanding the no-shop provision?</p> <p><b>Options:</b> A: If failure to take actions would lead to "breach" of fiduciary duties; B: If failure to take actions would be "inconsistent" with fiduciary duties; C: If failure to take actions would lead to "reasonably likely/expected breach" of fiduciary duties; D: If failure to take actions would lead to "reasonably likely/expected to be inconsistent" with fiduciary duties; E: If failure to take actions would lead to "reasonably likely/expected violation" of fiduciary duties; F: If taking such actions is "required to comply" with fiduciary duties; G: If failure to take actions would lead to "violation" of fiduciary duties; H: Under no circumstances could the Board do so.; I: Other circumstances</p> <p><b>Example:</b> Section 5.4 No Company Solicitation. &lt;omitted&gt; (b) Notwithstanding anything in Section 5.4(a) to the contrary, until the Company Stockholder Approval is obtained, if the Company receives a bona fide written Alternative Acquisition Proposal made after the date hereof that does not result from a material breach of this Section 5.4, and the Company Board determines in good faith (after consultation with outside legal counsel and a nationally recognized financial advisor) that such Alternative Acquisition Proposal is, or could reasonably be expected to lead to, a Superior Acquisition Proposal, (i) the Company may negotiate and enter into an Acceptable Confidentiality Agreement with the Person making such Alternative Acquisition Proposal; provided, that the Company shall promptly (and in no event later than twenty-four (24) hours after execution thereof) deliver a copy of such Acceptable Confidentiality Agreement to Parent, (ii) following entry into such Acceptable Confidentiality Agreement by the Company, the Company and its Representatives may provide information (including nonpublic information) subject to such executed Acceptable Confidentiality Agreement; provided, that any nonpublic information provided to such Person, including if posted to an electronic data room, shall be provided to Parent prior to or substantially concurrently with the time it is provided to such Person, and (iii) the Company and its Representatives may engage in discussion or negotiations for such Alternative Acquisition Proposal with such Person and its Representatives. (Page 59)</p> <p><b>Answer:</b> H</p>
<p><b>Task name:</b> maud_fiduciary_exception_board_determination_trigger_(no_shop)</p> <p><b>Question:</b> What type of offer could the Board take actions on notwithstanding the no-shop provision?</p> <p><b>Options:</b> A: Acquisition Proposal only; B: Superior Offer, or Acquisition Proposal reasonably likely/expected to result in a Superior Offer</p> <p><b>Example:</b> SECTION 5.02. Acquisition Proposals. &lt;omitted&gt; (c) Information Exchange; Discussions or Negotiation. Notwithstanding anything to the contrary contained in Section 5.02(a), prior to obtaining the Company Requisite Vote, in the event that the Company, any of its Subsidiaries or its or their Representatives receive from any Person, after the date of this Agreement, an unsolicited, bona fide written Acquisition Proposal that did not result from a breach of this Section 5.02, and that the Company Board determines in good faith, after consultation with its financial advisors and outside legal counsel, is, or is reasonably likely to lead to, a Superior Proposal, the Company may (i) furnish or provide information to the Person making such Acquisition Proposal and its Representatives pursuant to an Acceptable Confidentiality Agreement; provided, however, that the Company shall as promptly as is reasonably practicable (and in any event within one (1) Business Day) make available to Parent and Merger Sub any written material non-public information concerning the Company or its Subsidiaries that is provided to any Person pursuant to this Section 5.02(c)(i), to the extent such information was not previously made available to Parent, Merger Sub or their Representatives, and (ii) engage in discussions and negotiations with such Person and its Representatives with respect to such Acquisition Proposal. (Page 35)</p> <p><b>Answer:</b> B</p>
<p><b>Task name:</b> maud_cor_permitted_with_board_fiduciary_determination_only</p> <p><b>Question:</b> Is Change of Recommendation permitted as long as the board determines that such change is required to fulfill its fiduciary obligations?</p> <p><b>Options:</b> A: No; B: Yes</p>

Table 36 – continued from previous page

Task
<p><b>Example:</b> SECTION 5.3 No Solicitation by the Company; Company Recommendation. &lt;omitted&gt; (d) &lt;omitted&gt; Notwithstanding the foregoing or any other provision of this Agreement to the contrary, prior to the time the Company Stockholder Approval is obtained (but not thereafter), the Company Board or the Company Special 41 Committee may make a Company Adverse Recommendation Change if either (x) in the case of a Company Adverse Recommendation Change made in response to a Company Acquisition Proposal, the Company Board or the Company Special Committee has determined in good faith, after consultation with its outside financial advisors and outside legal counsel, that such Company Acquisition Proposal constitutes a Company Superior Proposal and that failure to take such action would reasonably be expected to be inconsistent with the directors' fiduciary duties under applicable Law or (y) in the case of a Company Adverse Recommendation Change made in response to a Company Intervening Event, the Company Board or the Company Special Committee has determined in good faith, after consultation with its outside financial advisors and outside legal counsel, that, as a result of a Company Intervening Event, the failure to take such action would reasonably be expected to be inconsistent with its fiduciary duties under applicable Law; (Pages 46-47)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_cor_standard_(superior_offer)</p> <p><b>Question:</b> What standard should the board follow when determining whether to change its recommendation in connection with a superior offer?</p> <p><b>Options:</b> A: "Breach" of fiduciary duties; B: "Inconsistent" with fiduciary duties; C: "Reasonably likely/expected breach" of fiduciary duties; D: "Reasonably likely/expected to be inconsistent" with fiduciary duties; E: "Reasonably likely/expected violation" of fiduciary duties; F: "Required to comply" with fiduciary duties; G: "Violation" of fiduciary duties; H: More likely than not violate fiduciary duties; I: None; J: Other specified standard</p> <p><b>Example:</b> Section 5.2. No Solicitation. &lt;omitted&gt;</p> <p>(c) Notwithstanding anything to the contrary contained in this Agreement, at any time prior to obtaining the Company Stockholder Approval, the Company Board may make a Change in Recommendation in response to an unsolicited bona fide written Acquisition Proposal or cause the Company to enter into an Alternative Acquisition Agreement concerning an Acquisition Proposal, in each case only if: (i) such Acquisition Proposal or Superior Proposal did not result from a breach of Section 5.2(a); (ii) the Company Board (or a committee thereof) determines in good faith (A) after consultation with the Company's outside legal counsel and Independent Financial Advisor, that such Acquisition Proposal constitutes a Superior Proposal and (B) after consultation with the Company's outside legal counsel, that in light of such Acquisition Proposal, a failure to make a Change in Recommendation or to cause the Company to enter into such Alternative Acquisition Agreement would be inconsistent with the Company Board's fiduciary obligations to the Company's stockholders under the DGCL; (Page 27)</p> <p><b>Answer:</b> B</p>
<p><b>Task name:</b> maud_cor_permitted_in_response_to_intervening_event</p> <p><b>Question:</b> Is Change of Recommendation permitted in response to an intervening event?</p> <p><b>Options:</b> A: No; B: Yes</p>

Table 36 – continued from previous page

**Task**

**Example:** 6.1 No Solicitation. <omitted>

Notwithstanding the foregoing or anything to the contrary set forth in this Agreement (including the provisions of this Section 6.1), at any time prior to receipt of the Company Stockholder Approval, the Company Board may effect a Company Board Recommendation Change in response to a Superior Proposal or an Intervening Event if: (i) the Company Board shall have determined in good faith (after consultation with outside counsel and outside financial advisor) that the failure to effect a Company Board Recommendation Change would be reasonably likely to be inconsistent with its fiduciary obligations under applicable law; (ii) so long as the Company and its Subsidiaries are not in material breach of their obligations pursuant to this Section 6.1 with respect to an Acquisition Proposal underlying such Company Board Recommendation Change; (iii) the Company has notified the Parent in writing that it intends to effect a Company Board Recommendation Change, describing in reasonable detail the reasons for such Company Board Recommendation Change (a "Recommendation Change Notice") (it being understood that the Recommendation Change Notice shall not constitute a Company Board Recommendation Change or a Trigger Event for purposes of this Agreement); (iv) if requested by the Parent, the Company shall have made its Representatives available to negotiate (to the extent that Parent desires to so negotiate) with the Parent's Representatives any proposed modifications to the terms and conditions of this Agreement during the three (3) Business Day period following delivery by the Company to the Parent of such Recommendation Change Notice; and (v) if the Parent shall have delivered to the Company a written, binding and irrevocable offer to alter the terms or conditions of this Agreement during such three (3) Business Day period, the Company Board shall have determined in good faith (after consultation with outside counsel), after considering the terms of such offer by the Parent, that the failure to effect a Company Board Recommendation Change would still be reasonably likely to be inconsistent with its fiduciary obligations under applicable law; provided, however, that in the event of any material revisions to an Acquisition Proposal underlying a potential Company Board Recommendation Change, the Company will be required to notify Parent of such revisions and the applicable three (3) Business Day period described above shall be extended until two (2) Business Days after the time Parent receives notification from the Company of such revisions. (Page 34)

**Answer:** B

**Task name:** maud\_cor\_standard\_(intervening\_event)

**Question:** What standard should the board follow when determining whether to change its recommendation in response to an intervening event?

**Options:** A: "Breach" of fiduciary duties; B: "Inconsistent" with fiduciary duties; C: "Reasonably likely/expected breach" of fiduciary duties; D: "Reasonably likely/expected to be inconsistent" with fiduciary duties; E: "Reasonably likely/expected violation" of fiduciary duties; F: "Required to comply" with fiduciary duties; G: "Violation" of fiduciary duties; H: More likely than not violate fiduciary duties; I: Other specified standard

**Example:** 6.3 Shareholders' Approval and Stockholder Approval. <omitted> (c) <omitted> if the Board of Directors of <omitted> the Company, after receiving the advice of its outside counsel and, with respect to financial matters, its outside financial advisors, determines in good faith that it would more likely than not result in a violation of its fiduciary duties under applicable law to make or continue to make the Parent Board Recommendation or the Company Board Recommendation, as applicable, such Board of Directors may <omitted> submit this Agreement to its shareholders or stockholders, respectively, without recommendation (which, for the avoidance of doubt, shall constitute a Recommendation Change) (Page 57)

**Answer:** I

**Task name:** maud\_initial\_matching\_rights\_period\_(cor)

**Question:** How long is the initial matching rights period in case the board changes its recommendation?

**Options:** A: 2 business days or less; B: 3 business days; C: 3 calendar days; D: 4 business days; E: 4 calendar days; F: 5 business days; G: Greater than 5 business days

**Example:** 6.3 No Solicitation by Golden. <omitted> in response to a <omitted> Golden Competing Proposal <omitted> the Golden Board may effect a Golden Change of Recommendation; provided, however, that such a Golden Change of Recommendation may not be made unless and until: <omitted>; provided that in the event of any material amendment or material modification to any Golden Superior Proposal <omitted>, Golden shall be required to deliver a new written notice to Labrador and to comply with the requirements of this Section 6.3(e)(iv) with respect to such new written notice, except that the advance written notice obligation set forth in this Section 6.3(e)(iv) shall be reduced to two Business Days (Pages 34-35)

**Answer:** D

**Task name:** maud\_additional\_matching\_rights\_period\_for\_modifications\_(cor)

**Question:** How long is the additional matching rights period for modifications in case the board changes its recommendation?

**Options:** A: 2 business days or less; B: 3 business days; C: 3 days; D: 4 business days; E: 5 business days; F: > 5 business days; G: None

Table 36 – continued from previous page

Task
<p><b>Example:</b> Section 5.4 Non-Solicitation. &lt;omitted&gt;            (b) &lt;omitted&gt; Notwithstanding the foregoing, at any time prior to obtaining the East Stockholder Approval, and subject to East’s compliance in all material respects at all times with the provisions of this Section 5.4 and Section 5.3, in response to a Superior Proposal with respect to East that was not initiated, solicited, knowingly encouraged or knowingly facilitated by East or any of the East Subsidiaries or any of their respective Representatives, the East Board may make an East Adverse Recommendation Change; provided, however, that East shall not be entitled to exercise its right to make an East Adverse Recommendation Change in response to a Superior Proposal with respect to East (x) until three (3) Business Days after East provides written notice to Central (an “East Notice”) advising Central that the East Board or a committee thereof has received a Superior Proposal, specifying the material terms and conditions of such Superior Proposal, and identifying the Person or group making such Superior Proposal, (y) if during such three (3) Business Day period, Central proposes any alternative transaction (including any modifications to the terms of this Agreement), unless the East Board determines in good faith (after consultation with East’s financial advisors and outside legal counsel, and taking into account all financial, legal, and regulatory terms and conditions of such alternative transaction proposal, including any conditions to and expected timing of consummation, and any risks of non-consummation of such alternative transaction proposal) that such alternative transaction proposal is not at least as favorable to East and its stockholders as the Superior Proposal (it being understood that any change in the financial or other material terms of a Superior Proposal shall require a new East Notice and a new two (2) Business Day period under this Section 5.4(b)) and (z) unless the East Board, after consultation with outside legal counsel, determines that the failure to make an East Adverse Recommendation Change would be inconsistent with its fiduciary duties. (Page 76)  <b>Answer:</b> A</p>
<p><b>Task name:</b> maud_definition_includes_stock_deals  <b>Question:</b> What qualifies as a superior offer in terms of stock deals?  <b>Options:</b> A: "All or substantially all"; B: 50%; C: Greater than 50% but not "all or substantially all"; D: Less than 50%  <b>Example:</b> 5.4 No Solicitation by the Company; Other Offers. &lt;omitted&gt; the Company shall not be entitled to: (i) make a Change in Company Board Recommendation &lt;omitted&gt; unless: &lt;omitted&gt; the Company shall have first provided prior &lt;omitted&gt; notice to Parent that it is prepared to &lt;omitted&gt; make a Change in Company Board Recommendation (a “Recommendation Change Notice”) &lt;omitted&gt; Any material changes with respect to the Intervening Event &lt;omitted&gt; or material changes to the financial terms of such Superior Proposal &lt;omitted&gt; shall require the Company to provide to Parent a new Recommendation Change Notice &lt;omitted&gt; and a new three (3) Business Day period. (Pages 45-46)  <b>Answer:</b> C</p>
<p><b>Task name:</b> maud_definition_includes_asset_deals  <b>Question:</b> What qualifies as a superior offer in terms of asset deals?  <b>Options:</b> A: "All or substantially all"; B: 50%; C: Greater than 50% but not "all or substantially all"; D: Less than 50%  <b>Example:</b> Section 5.4 Acquisition Proposals. &lt;omitted&gt; (d) &lt;omitted&gt; following receipt of a &lt;omitted&gt; Acquisition Proposal &lt;omitted&gt; that the Company Board determines &lt;omitted&gt; constitutes a Superior Proposal, the Company Board may &lt;omitted&gt; make an Adverse Recommendation Change &lt;omitted&gt; if &lt;omitted&gt; (i) (A) the Company shall have provided to Parent &lt;omitted&gt; notice, &lt;omitted&gt; (it being understood and agreed that any amendment to the financial terms or any other material term or condition of such Superior Proposal shall require a new notice and an additional three Business Day period) (Pages 44-45)  <b>Answer:</b> B</p>
<p><b>Task name:</b> maud_financial_point_of_view_is_the_sole_consideration  <b>Question:</b> Is “financial point of view” the sole consideration when determining whether an offer is superior?  <b>Options:</b> A: No; B: Yes  <b>Example:</b> 5.4 No Solicitation by the Company; Other Offers. &lt;omitted&gt; the Company shall not be entitled to: (i) make a Change in Company Board Recommendation &lt;omitted&gt; unless: &lt;omitted&gt; the Company shall have first provided prior &lt;omitted&gt; notice to Parent that it is prepared to &lt;omitted&gt; make a Change in Company Board Recommendation (a “Recommendation Change Notice”) &lt;omitted&gt; Any material changes with respect to the Intervening Event &lt;omitted&gt; or material changes to the financial terms of such Superior Proposal &lt;omitted&gt; shall require the Company to provide to Parent a new Recommendation Change Notice &lt;omitted&gt; and a new three (3) Business Day period. (Pages 45-46)  <b>Answer:</b> A</p>
<p><b>Task name:</b> maud_definition_contains_knowledge_requirement_-_answer  <b>Question:</b> What is the knowledge requirement in the definition of “Intervening Event”?</p>

Table 36 – continued from previous page

Task
<p><b>Options:</b> A: Known, but consequences unknown or not reasonably foreseeable, at signing; B: Known, but consequences unknown, at signing; C: Not known and not reasonably foreseeable at signing; D: Not known at signing</p> <p><b>Example:</b> “Acquisition Proposal” means any inquiry, proposal or offer from any Person or group of Persons other than Parent or one of its Subsidiaries made after the date of this Agreement relating to (A) a merger, reorganization, consolidation, share purchase, share exchange, business combination, recapitalization, liquidation, dissolution, joint venture, partnership, spin-off, extraordinary dividend or similar transaction involving the Company or any of its Subsidiaries, which is structured to permit such Person or group of Persons to, directly or indirectly, acquire beneficial ownership of 20% or more of the outstanding equity securities of the Company, or 20% or more of the consolidated net revenues, net income or total assets of the Company and its Subsidiaries, taken as a whole or (B) the acquisition in any manner, directly or indirectly, of over 20% of the equity securities or consolidated total assets of the Company and its Subsidiaries, in each case other than the Merger and the other transactions contemplated by this Agreement. &lt;omitted&gt; “Superior Proposal” means any bona fide written Acquisition Proposal (A) on terms which the Company Board determines in good faith, after consultation with its outside legal counsel and financial advisors, to be more favorable from a financial point of view to the holders of Shares than the Merger and the other transactions contemplated by this Agreement, taking into account all the terms and conditions of such proposal and this Agreement and (B) that the Company Board determines in good faith is capable of being completed, taking into account all financial, regulatory, legal and other aspects of such proposal; provided, that for purposes of the definition of “Superior Proposal,” the references to “20%” in the definition of Acquisition Proposal shall be deemed to be references to “50%.” (Page 47)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_intervening_event_-_required_to_occur_after_signing_-_answer</p> <p><b>Question:</b> Is an “Intervening Event” required to occur after signing?</p> <p><b>Options:</b> A: No. It may occur or arise prior to signing.; B: Yes. It must occur or arise after signing.</p> <p><b>Example:</b> “Superior Proposal” shall mean, with respect to a party hereto, any &lt;omitted&gt; Acquisition Proposal with respect to such party made by a third party to acquire, directly or indirectly, pursuant to a tender offer, exchange offer, merger, share exchange, consolidation or other business combination, (A) all or substantially all of the assets of such party and its Subsidiaries, taken as a whole, (Page 120)</p> <p><b>Answer:</b> A</p>
<p><b>Task name:</b> maud_initial_matching_rights_period_(ftr)</p> <p><b>Question:</b> How long is the initial matching rights period in connection with the Fiduciary Termination Right (FTR)?</p> <p><b>Options:</b> A: 2 business days or less; B: 3 business days; C: 3 calendar days; D: 4 business days; E: 4 calendar days; F: 5 business days; G: 5 calendar days; H: Greater than 5 business days</p> <p><b>Example:</b> SECTION 5.3 No Solicitation by the Company; Company Recommendation. &lt;omitted&gt; (d) &lt;omitted&gt; provided, however, that the Company Board and the Company Special Committee shall not, and shall cause the Company not to, make a Company Adverse Recommendation Change in connection with a Company Superior Proposal unless (I) the Company has given Parent at least four (4) Business Days’ prior written notice of its intention to take such action (which notice shall reasonably describe the material terms of the Company Superior Proposal or attach the agreement and all material related documentation providing for such Company Superior Proposal), (II) the Company has negotiated, and has caused its Representatives to negotiate, in good faith with Parent during such notice period, to the extent Parent wishes to negotiate, to enable Parent to propose in writing a binding offer to effect revisions to the terms of this Agreement such that it would cause such Company Superior Proposal to no longer constitute a Company Superior Proposal, (III) following the end of such notice period, the Company Board or the Company Special Committee shall have considered in good faith any such binding offer from Parent, and shall have determined that the Company Superior Proposal would continue to constitute a Company Superior Proposal if the revisions proposed in such binding offer were to be given effect and (IV) in the event of any material change to the material terms of such Company Superior Proposal, the Company shall, in each case, have delivered to Parent an additional notice consistent with that described in clause (I) above and the notice period shall have recommenced, except that the notice period shall be at least two (2) Business Days (rather than the four (4) Business Days otherwise contemplated by clause (I) above); (Page 47)</p> <p><b>Answer:</b> D</p>
<p><b>Task name:</b> maud_tail_period_length</p> <p><b>Question:</b> How long is the Tail Period?</p> <p><b>Options:</b> A: 12 months or longer; B: Other; C: within 12 months; D: within 6 months; E: within 9 months</p> <p><b>Example:</b> Section 7.3 Termination Fees. &lt;omitted&gt; (b) &lt;omitted&gt; if &lt;omitted&gt; Parent or the Company terminates this Agreement &lt;omitted&gt; (iii) &lt;omitted&gt; the Company shall have consummated an Alternative Acquisition Proposal or entered into an Alternative Acquisition Agreement for any Alternative Acquisition Proposal &lt;omitted&gt; which Alternative Acquisition Proposal is ultimately consummated (Page 80)</p>

Table 36 – continued from previous page

---

<b>Task</b>
<b>Answer:</b> C
<b>Task name:</b> maud_specific_performance
<b>Question:</b> What is the wording of the Specific Performance clause regarding the parties' entitlement in the event of a contractual breach?
<b>Options:</b> A: "entitled to seek" specific performance; B: "entitled to" specific performance
<b>Example:</b> Section 9.10 Specific Performance. The parties hereto hereby agree that irreparable damage would occur in the event that any provision of this Agreement were not performed in accordance with its specific terms or were otherwise breached, and that money damages or other legal remedies would not be an adequate remedy for any such damages. Accordingly, the parties acknowledge and agree that each party shall be entitled to, in accordance with the provisions of this Agreement, an injunction or injunctions, specific performance or other equitable relief to prevent breaches of this Agreement and/or to enforce specifically the terms and provisions hereof in any court, in addition to any other remedy to which they are entitled at law or in equity (Page 73)
<b>Answer:</b> B

---

2032 **Significance and value** Reading comprehension is a particularly challenging part of contract review, both  
2033 to human and to machine. The MAUD tasks evaluate an LLM's ability to understand and categorize a wide  
2034 spectrum of legal clauses in the context of merger agreements.

2035 **I.17 New York State Judicial Ethics**

2036 In LEGALBENCH, the New York State Judicial Ethics task is denoted as `nys_judicial_ethics`.

2037 **Background** The New York State Advisory Committee on Judicial Ethics posts rulings on real ethical  
 2038 scenarios. The Committee, established in 1987, offers guidance to roughly New York State judges and justices,  
 2039 as well as other judicial personnel and candidates in the state. By interpreting the Rules Governing Judicial  
 2040 Conduct and the Code of Judicial Conduct, the Committee assists these individuals in maintaining high ethical  
 2041 standards. Actions taken by judges in accordance with the Committee’s formal opinions are deemed proper,  
 2042 which helps protect them during any future investigations by the New York State Commission on Judicial  
 2043 Conduct.

2044 **Task** 300 real-world scenarios and fact patterns have been reformulated into yes or no questions to understand  
 2045 whether models understand ethical rules and how they might apply to different judicial situations.

2046 For example in a 2022 decision the Committee noted that: “A judge who previously served as the District  
 2047 Attorney may not preside over a parole recognizance hearing concerning a parolee or releasee who had originally  
 2048 been convicted and sentenced during the judge’s former tenure as the District Attorney.”

2049 This is converted to a Yes/No question:

Question: Can a judge who previously served as the District Attorney preside over a parole recognizance hearing concerning a parolee or releasee who had originally been convicted and sentenced during the judge’s former tenure as the District Attorney?  
 Answer: No

2050

Question	Answer
Can a judge’s law clerk assist the judge with any election-related matters during a general election when the judge is on-call?	No
Can a part-time town justice be employed part-time as a community school liaison with the county sheriff’s office simultaneously?	No
Can an appellate judge who successfully sought to vacate a vexatious lien filed by a disgruntled litigant against their real property preside over appeals from other decisions or orders rendered by the lower court judge who granted the petition to vacate?	Yes
Can a part-time town justice serve as a part-time assistant conflict defender in the same county as their court?	Yes

Table 37: Examples for `nys_judicial_ethics`.

2051 **Construction process** We collect digest statements from the New York State Unified Court System Advisory  
 2052 Committee on Judicial Ethics.<sup>20</sup> We collect samples from 2010, 2021, 2022, and 2023 and then use ChatGPT to  
 2053 reformulate the statements into yes or no questions. To ensure that data is not used for training OpenAI models,  
 2054 we opt out of data use for accounts used for task creation. We leave 2010 and 2021 data for understanding scope  
 2055 of data leakage from opinions being online. 2022 and 2023 data should not have been seen by most models that  
 2056 were trained prior to these years.

2057 **Significance and value** An important part of legal practice is abiding by ethics rules. As agents become  
 2058 more involved in the legal process it will be important to understand not only whether they can understand and  
 2059 reason about rules for the public, but also whether they can reason about ethical principles and rules governing  
 2060 judges and lawyers.

<sup>20</sup><https://www.nycourts.gov/legacyhtm/ip/judiciaethics/opinions/>

2061 **I.18 OPP-115 Tasks**

2062 In LEGALBENCH, the OPP-115 tasks are denoted as opp115\_\*.

2063 **Background** The OPP-115 Corpus, consisting of 115 online privacy policies, provides a comprehensive  
2064 collection of privacy statements expressed in natural language [150]. Each of these policies has been meticulously  
2065 read and annotated by a team of three law graduate students. The annotations present in the text specifically  
2066 outline various data practices.

2067 These privacy policies are classified into ten distinct categories:

- 2068 1. First Party Collection/Use: This describes how and why a service provider collects user information.
- 2069 2. Third Party Sharing/Collection: This explains how user information may be shared with or collected  
2070 by third parties.
- 2071 3. User Choice/Control: This delineates the choices and control options available to users.
- 2072 4. User Access, Edit, & Deletion: This describes if and how users may access, edit, or delete their  
2073 information.
- 2074 5. Data Retention: This states how long user information is stored.
- 2075 6. Data Security: This communicates how user information is protected.
- 2076 7. Policy Change: This explains if and how users will be informed about changes to the privacy policy.
- 2077 8. Do Not Track: This discusses if and how Do Not Track signals for online tracking and advertising are  
2078 honored.
- 2079 9. International & Specific Audiences: This focuses on practices that pertain only to a specific group of  
2080 users (e.g., children)
- 2081 10. Other: This encompasses additional sub-labels for introductory or general text, contact information,  
2082 and practices not covered by the other categories.

2083 **Task and construction process** A separate binary classification task has been created for each category,  
2084 with negative samples drawn from the rest of the text. To ensure consistency, any text with less than 10 words  
2085 has been eliminated. The 'other' category was not included in the categorization because it was deemed too  
2086 broad and wouldn't provide much value in terms of specific classification.

2087 **Significance and value** The classification task associated with the OPP-115 Corpus serves as a significant  
2088 measure of an LLM's logical reasoning ability. By assigning privacy policy segments to the right categories,  
2089 LLMs demonstrate their understanding and interpretation of the language and nuances within these privacy  
2090 policies. Although the task may be seen as "simple" from a human legal practitioner's viewpoint, it provides an  
2091 invaluable and objective gauge of an LLM's progress in logical reasoning and language comprehension.

<b>Task/category</b>	<b>Example of clause</b>
opp115_data_retention	The name of the domain from which you access the Internet (for example, gmail.com, if you are connecting from a Google account);
opp115_data_security	However, no system can be 100% secure and human errors occur, so there is the possibility that there could be unauthorized access to your information. By using our services, you assume this risk.
opp115_do_not_track	Do Not Track Signals Our websites do not treat browsers that send a do not track signal differently from browsers that do not send one.
opp115_first_party_collection_use	Send-a-friend: In the case of send-a-friend email or card, we only collect
opp115_international_and_specific_audiences	CalOPPA is the first state law in the nation to require commercial websites and online services to post a privacy policy. The law's reach stretches well beyond California to require a person or company in the United States (and conceivably the world) that operates websites collecting personally identifiable information from California consumers to post a conspicuous privacy policy on its website stating exactly the information being collected and those individuals with whom it is being shared, and to comply with this policy. - See more at: <a href="http://consumercal.org/california-online-privacy-protection-act-caloppa/sthash.0FdRbT51.dpuf">http://consumercal.org/california-online-privacy-protection-act-caloppa/sthash.0FdRbT51.dpuf</a>
opp115_policy_change	If we make a significant or material change in the way we use your personal information, t
opp115_third_party_sharing_collection	We use third-party payment service providers such as Amazon.com ( Privacy Policy), Stripe.com ( Privacy Policy), and PayPal ( Privacy Policy)
opp115_user_access,_edit_and_deletion	you can access your personal information by contacting ABITA.COM as described at the bottom of this statement, or through alternative means of access described by the service.
opp115_user_choice_control	do not wish to receive any additional marketing material, you can indicate your preference on our store partners order form.

Table 38: Examples for OPP-115 tasks.

2092 **I.19 Purpose of Oral Argument Questions**

2093 In LEGALBENCH, the Purpose of Oral Argument Questions task is denoted as `oral_argument_question_`  
2094 `purpose`.

2095 **Background** Before a court decides a case, it typically calls before it the attorneys for the parties to the  
2096 lawsuit to orally present their arguments for why the case should be resolved in favor of their clients and to  
2097 answer any questions that the judge or judges have of them. In modern times, however, oral argument is not  
2098 lawyers’ primary avenue for explaining their positions. Instead, parties submit their arguments in written form  
2099 (“briefs”) and use their oral argument time primarily to supplement those submissions by reiterating their key  
2100 positions, clarifying areas of ambiguity, and seeking to persuade judges who are uncertain how the case should  
2101 be resolved.

2102 Although there is no universally accepted listing, judges questions at oral argument tend to fall into a few  
2103 categories:<sup>21</sup>

- 2104 • **Background:** A question seeking factual or procedural information that is missing or not clear in the  
2105 briefing
- 2106 • **Clarification:** A question seeking to get an advocate to clarify her position or the scope of the rule  
2107 being advocated.
- 2108 • **Implications:** A question about the limits of a rule or its implications for future cases.
- 2109 • **Support:** A question offering support for the advocate’s position.
- 2110 • **Criticism:** A question criticizing an advocate’s position.
- 2111 • **Communicate:** A question designed primarily to communicate with one or more other judges on the  
2112 court.
- 2113 • **Humor:** A question designed to interject humor into the argument and relieve tension.

2114 A lawyer presenting her case before a court at oral argument must be able to quickly and accurately determine  
2115 why the judge has asked a particular question so as to answer it on behalf of her client in the most persuasive  
2116 way possible. It is also a difficult task. Under the pressure of persistent and difficult questioning it is easy for a  
2117 lawyer to misread a question and offer an unresponsive or misguided answer. Skillful lawyers learn to quickly  
2118 understand not only judges’ questions but the reasons they are asked.

2119 **Task** The Purpose of Oral Argument Questions task requires an LLM to determine—given a question from an  
2120 oral argument transcript—for which of the seven purposes above the judge asked the question.

2121 **Construction process** We created a dataset of questions from U.S. Supreme Court oral argument transcripts,  
2122 classified into one of the seven functions above. Questions were taken from cases argued in the 2022-23 Supreme  
2123 Court term, in reverse chronological order. A question was defined as the totality of a judge’s words prior to  
2124 an advocate’s response, regardless whether the words constituted a true interrogatory sentence. To include a  
2125 sufficient number of questions of each type in the dataset, questions were not drawn at random. Instead rarer  
2126 question types (e.g. humor and communication) were targeted for inclusion, questions of more common types  
2127 (e.g. clarification) were frequently omitted.

2128 **Significance and value** Young attorneys, and even many experienced ones, struggle with oral advocacy. It  
2129 requires comfort in the courtroom, quick thinking, and careful demeanor to assess from the tone and content of a  
2130 question why the judge is asking it and how most effectively to respond. In one regard, LLMs will be superior.  
2131 They do not suffer from human nervousness. However, given only a text prompt rather than an audible question  
2132 from which to infer tone, and given only a judge’s question and not the full case context, this task would be a  
2133 challenge even for a seasoned lawyer. Whether LLMs can succeed will be an extremely interesting measure of  
2134 progress in legal analysis.

---

<sup>21</sup>This categorization scheme is from [151], employed and discussed along with other possible classification schemes at [46].

<b>Question</b>	<b>Purpose</b>
May I ask you a question about standing? So it's the case, isn't it, that if any party in either of these two cases has standing, then it would be permissible for us to reach the merits of the issue?	Background
I guess I don't understand that answer. In other words, is it simply adding for religious reasons to the label that would change whether it could be regulated or not?	Clarification
And we have amicus brief from different stakeholders, some saying it may not apply in parody, but it could apply in movie titles, it might apply in something else and not this, in novels, et cetera. Why should we rule broadly? And if we rule narrowly, on what basis? You heard earlier at least three alliterations, one, the – Justice Kagan's, one Justice Jackson, one me, limit this just to parodies, because parodies really do rely on is this a joke that people are going to get.	Communicate
Mr. Martinez, I think one of the problems that you have, as evidenced by a lot of the questions that you've been getting, is with the derivative works protection, you know, which, in, you know, 106(2), actually talks about transforming any other form in which a work may be recast, transformed, or adapted. And it seems to me like your test, this meaning or message test, risks stretching the concept of transformation so broadly that it kind of eviscerates Factor 1 and puts all of the emphasis on Factor 4. I mean, when you've been asked about book to movie and – and – and, you know, songs, you keep flipping to Factor 4. So, if a work is derivative, like Lord of the Rings, you know, book to movie, is your answer just like, well, sure, that's a new meaning or message, it's transformative, so all that matters is 4?	Criticism
What are your two ones that you're like killers?	Humor
So what's the limiting line of yours – of yours? Justice Kagan asked you about another website designer. But how about people who don't believe in interracial marriage or about people who don't believe that What's – where's the line? I choose to serve whom I want. If I disagree with their personal characteristics, like race or disability, I can choose not to sell to those people disabled people should get married?	Implications
There were several questions earlier about the justification for granting preference for foster or adoptive parents who are members of an entirely different tribe. Could you speak to that?	Support

Table 39: Examples for oral\_argument\_question\_purpose

2135 **I.20 Overruling**

2136 In LEGALBENCH, the Overruling task is denoted as *overruling*.

2137 **Background** A hallmark of the common-law legal system is that courts will *overrule* previous judicial  
2138 decisions. The act of overruling is significant, and it indicates that the overruled decision was either in accurate  
2139 in its articulation/application of a particular law, or that overruling court wishes to announce a substantive change  
2140 in law.

2141 **Task** In this task, an LLM is required—given an excerpt of judicial text—to determine if the text overrules a  
2142 previous decision.

2143 **Construction process** This task is taken from [158], which previously studied the capacity for finetuned  
2144 BERT models to perform this task. Please refer to [158] for more information on the construction process.

2145 **Significance and value** Identifying when judicial text overrules another case is a basic but essential  
2146 lawyering skill. From a practical standpoint, the capacity for LLMs to correctly classify overruling sentences  
2147 could have practical applications for the design and construction of legal opinion databases. When using or  
2148 citing a case in legal arguments, lawyers must ensure that the case hasn't been an overruled, and is still "good  
2149 law." Tools which automatically parse legal databases and extract cases which have been overruled would thus  
2150 be helpful for constructing legal arguments.

Sentence	Overruling sentence?
brockett v. spokane arcades, inc., 472 u.s. 491, 501 (1985) (citations omitted).	No
we overrule so much of kerwin as holds that a criminal defendant is not entitled to inspect and make an analysis of the seized controlled substance.	Yes

Table 40: Examples for overruling.

2151 **I.21 Personal Jurisdiction**

2152 In LEGALBENCH, the Personal Jurisdiction task is denoted as `personal_jurisdiction`.

2153 **Background** Personal jurisdiction refers to the ability of a particular court (e.g. a court in the Northern  
2154 District of California) to preside over a dispute between a specific plaintiff and defendant. A court (sitting in a  
2155 particular forum) has personal jurisdiction over a defendant only when that defendant has a relationship with the  
2156 forum. We focus on a simplified version of the rule for federal personal jurisdiction, using the rule:

2157 

There is personal jurisdiction over a defendant in the state where the defendant is domiciled,  
or when (1) the defendant has sufficient contacts with the state, such that they have availed  
themselves of the privileges of the state and (2) the claim arises out of the nexus of the  
defendant’s contacts with the state.

2158 Under this rule, there are two paths for a court have jurisdiction over a defendant: through domicile or through  
2159 contacts.

- 2160 ● **Domicile:** A defendant is domiciled in a state if they are a citizen of the state (i.e. they live in the  
2161 state). Changing residency affects a change in citizenship.
- 2162 ● **Contacts:** Alternatively, a court may exercise jurisdiction over a defendant when that defendant has  
2163 *sufficient contacts* with the court’s forum, and the legal claims asserted arise from the *nexus* of the  
2164 defendant’s contacts with the state. In evaluating whether a set of contacts are sufficient, lawyers  
2165 look at the extent to which the defendant interacted with the forum, and availed themselves of the  
2166 benefits and privileges of the state’s laws. Behavior which usually indicates sufficient contacts include:  
2167 marketing in the forum or selling/shipping products into the forum. In assessing nexus, lawyers ask  
2168 if the claims brought against the defendant arise from their contacts with the forum. In short: is the  
2169 conduct being litigated involve the forum or its citizens in some capacity?

2170 **Task** The personal jurisdiction task requires an LLM to determine—given a fact pattern describing the events  
2171 leading up to a legal claim—whether a particular court has personal jurisdiction over the defendant.

2172 **Construction process** We manually construct a dataset to test application of the personal jurisdiction rule,  
2173 drawing inspiration from exercises found online and in legal casebooks. Each sample in our dataset describes  
2174 a “fact pattern,” and asks if a court located in particular state (**A**) can exercise personal jurisdiction over an  
2175 individual (**B**) named in the fact pattern. In designing the dataset, we use 5 base fact patterns, and create 4 slices,  
2176 where each slice evaluates a different aspect of the personal jurisdiction rule:

- 2177 ● **Domicile:** Fact patterns where **B** is domiciled in **A**. Hence, personal jurisdiction exists.
- 2178 ● **No contacts:** Fact patterns where **B** has insufficient contacts with **A**. Hence there is no personal  
2179 jurisdiction.
- 2180 ● **Yes contacts, no nexus:** Fact patterns where **B** has sufficient contacts with **A**, but the claims against **B**  
2181 do not arise from those contacts. Hence, personal jurisdiction does not exist.
- 2182 ● **Yes contacts, yes nexus:** Fact patterns where **B** has sufficient contacts with **A**, and the claims against  
2183 **B** arise from those contacts. Hence, there is personal jurisdiction.

2184 **Caveat.** Personal jurisdiction is a rich and complex doctrine. Our dataset focuses on a narrow class of fact  
2185 patterns, related to jurisdiction over individuals. We don’t consider, for instance, more complex questions related  
2186 to adjudicating citizenship (e.g. the *Hertz* test) or the classic stream-of-commerce problems. We leave this to  
2187 future work.

2188 **Significance and value** Identifying when personal jurisdiction exists is a skill that law students learn in  
2189 their first-year civil procedure course. The personal jurisdiction task is interesting because applying even the  
2190 simplified version of the rule requires reasoning over the degree of connection between a defendant and the  
2191 forum state.

Facts	Personal jurisdiction?
<p>Dustin is a repairman who lives in Arizona and repairs computers in California, Oregon, and Washington. Dustin is an avid skier, so his favorite place to go on vacation is Colorado. While travelling to repair a computer in Washington, Dustin is involved in a car crash in Oregon with Laura, a citizen of Oregon. After the accident, Dustin returns to Arizona. Laura sues him in Colorado.</p>	No
<p>David is a citizen of California. He flies to New York for a vacation, where he meets Maggie, who is also visiting from Rhode Island. While they chat, Dave fraudulently tricks Maggie into giving him her savings. David then continues his vacation and visits Texas, Oregon, Florida, and New Mexico. After he returns home, Maggie sues David for fraud in Oregon.</p>	No
<p>Ana is a lawyer who resides in Texas. While visiting Louisiana, she meets David, who runs a bike shop. David's bike shop is famous, and he frequently advertises his bikes in Texas newspapers. Ana buys a bike from David and rides it back home. Right after she crosses the border, the bike seat explodes, injuring Ana. Ana sues David in Texas.</p>	Yes
<p>Tony (from Texas) is a regional manager for a cookbook company, Tasty Eats Books (incorporated and principal place of business in Delaware). Tony's job requires him to travel from city to city to show new cookbooks to chefs. In January 2022, he was scheduled to visit restaurants in Illinois, Indiana, and Michigan. While in Michigan, Tony goes to Lake Erie to blow off some steam. He ends up getting into a fight with Arthur, a lawyer from Detroit, Michigan. Tony and Arthur each blame the other for starting the fight. Arthur sues Tony in Texas.</p>	Yes

Table 41: Examples for personal\_jurisdiction.

2192 **I.22 Privacy Policy Entailment**

2193 In LEGALBENCH, the Privacy Policy Entailment task is denoted as `privacy_policy_entailment`.

2194 **Background** The Privacy Policy Entailment task is created from the APP-350 corpus [162], which consists  
 2195 of 350 Android app privacy policies annotated with different privacy practices. In this corpus, individual clauses  
 2196 are annotated based on whether they do or do not perform a certain practice (e.g., “We access your location  
 2197 information”).

2198 **Task** Given a clause from a privacy policy and a description of the practice, the LLM must determine if the  
 2199 clause describes the performance of that practice. This is analogous to an entailment task, where the premise is  
 2200 the clause, and the hypothesis is the practice description.

2201 **Construction process** For each practice coded in the APP-350 corpus, we derive a natural language  
 2202 description of that practice, which serves as our “hypothesis.” Each instance of this task corresponds to a triple  
 2203 containing a clause, a practice description, and a binary classification (Yes/No) based on whether the clause  
 2204 performs the practice. Across the dataset there are 57 unique policy descriptions.

Clause	Description	Performed?
We may collect and record information through the SN Service in accordance with the policies and terms of that SN Service. The information we collect when you connect your user account to an SN Service may include: (1) your name, (2) your SN Service user identification number and/or user name, (3) locale, city, state and country, (4) sex, (5) birth date, (6) email address, (7) profile picture or its URL, and (8) the SN Service user identification numbers for your friends that are also connected to Supercell’s game(s).	The policy describes receiving data from an unspecified single sign on service	Yes
Your e-mail address will not be stored.	The policy describes collection of the user’s e-mail by a party to the contract.	No

Table 42: Example clause-description-label pairs for Privacy Policy Entailment task.

2205 **Significance and value** The privacy policy entailment task is similar to ContractNLI, in that it evaluate a  
 2206 LLM’s capacity to perform entailment-style reasoning over formal legal language. From a lawyerly perspective,  
 2207 understanding whether a policy performs certain functions or empowers one of the parties to pursue practices is  
 2208 an essential element of legal comprehension. From a practical perspective, the ability for LLMs to perform this  
 2209 task could empower researchers to conduct broader studies of privacy agreements. As [162] observes, annotation  
 2210 cost limitations often restrict the scope of empirical studies of privacy agreements.

2211 **I.23 Privacy Policy QA**

2212 In name, the Privacy Policy QA task is denoted as `privacy_policy_qa`.

2213 **Background** The Privacy Policy QA task is derived from [112], which annotated clauses in mobile applica-  
 2214 tion privacy policies based on whether they contain the answer to a question.

2215 **Task** Given an excerpt from a privacy policy and a question, the LLM must determine whether the excerpt is  
 2216 relevant to answering the question or not.

2217 **Construction process** We used the snippet annotations available in [112] to construct this task, removing  
 2218 all snippets with fewer than 10 words. Examples of excerpt/question/relevant tuples are shown in the table below.  
 2219 5449 instances correspond to a relevant question-clause pair, and 5474 instances correspond to an irrelevant  
 2220 question-clause pair.

Excerpt	Question	Class
We also use cookies, tags, web beacons, local shared objects, files, tools and programs to keep records, store your preferences, improve our advertising, and collect Non-Identifying Information, including Device Data and information about your interaction with the Site and our Business Partners' web sites.	is my search and purchase history shared with advertisers?	Relevant
We collect information about the value added services you are using over Viber and/or apps (such as games) you have downloaded through Viber.	does viber sell my information to advertisers and marketers?	Irrelevant

Table 43: Examples for Privacy Policy QA

2221 **Significance and value** Determining when a particular legal excerpt is relevant to answering a question is  
 2222 essential to interpreting legal documents. This task allows us to evaluate LLMs for this capability. From a more  
 2223 practical standpoint, the Privacy Policy QA task is a helpful evaluation task when developing LLM systems  
 2224 which involve decompositions over long documents. A common approach—in order to account for the fact that  
 2225 many long documents exceed ordinary context windows—is to chunk documents into smaller segments, and  
 2226 apply a LLM independently to filter out irrelevant segments. For QA tasks involving long policies, this task  
 2227 allows practitioners to measure performance for the filtering step.

2228 **I.24 Private Right of Action (PROA)**

2229 In LEGALBENCH, the Private Right of Action task is denoted as *proa*.

2230 **Background** A private right of action (PROA) exists when a statute empowers an ordinary individual (i.e.  
 2231 a private person) to legally enforce their rights by bringing an action in court. In short, a PROA creates the  
 2232 ability for an individual to sue someone in order to recover damages or halt some offending conduct. PROAs are  
 2233 ubiquitous in antitrust law (in which individuals harmed by anti-competitive behavior can sue offending firms for  
 2234 compensation) and environmental law (in which individuals can sue entities which release hazardous substances  
 2235 for damages) [51].

2236 **Task** In the PROA task, an LLM must determine if a statutory clause contains a private right of action.

2237 **Construction process** We construct a dataset of PROAs by hand, drawing inspiration from clauses found in  
 2238 different state codes. We construct 50 clauses which do contain a PROA, and 50 clauses which do not. Clauses  
 2239 which do not contain a private right may either create no cause of action, or empower a non-private individual  
 2240 (e.g., an attorney general) to bring a claim. 5 randomly sampled clauses constitute the training set, and the  
 2241 remaining 95 form the test set.

Input	Answer
The attorney general or an attorney representing the state may bring an action for an injunction to prohibit a person from violating this chapter or a rule adopted under this chapter.	No
The administrator may bring an action in a court of competent jurisdiction to enforce this chapter.	No
The sheriff or the sheriff’s designee shall maintain a permanent personnel file oneach department employee.	No
If any laborer, without good cause, shall abandon his or her employer before the expiration of his or her contract, he or she shall be liable to his or her employer for the full amount of any account he or she may owe his or her employer.	Yes
No employer may discharge any employee by reason of the fact that earnings have been subjected to garnishment or execution. If an employer discharges an employee in violation of this section, the employee may within ninety days of discharge bring a civil action for recovery of twice the wages lost as a result of the violation and for an order requiring reinstatement.	Yes
In addition to all other penalties, rights, or remedies provided by law, an individual or entity that uses or attempts to use its official authority or influence for the purpose of interfering with the right of a legislative employee to make a protected disclosure is liable in a civil action for damages brought by a legislative employee.	Yes

Table 44: Examples for *proa*

2242 **Significance and value** The PROA task evaluates an LLM’s ability to perform a two-step reasoning test:  
 2243 (1) does the statute allow a party to bring a claim in court, and (2) is that party private? Law students and legal  
 2244 professionals should be capable of performing this task at near-perfect accuracy.

2245 The PROA task derives additional significance from a recent movement towards studying state statutory  
 2246 language [156]. Legal scholars have long been unable to conduct large scale empirical studies of state statutory

2247 language, given the sheer volume of state statutes. The ability for LLMs to accurately classify or annotate  
2248 statutes could thus empower new empirical studies of state statutes.

2249 **I.25 Rule QA**

2250 In LEGALBENCH, the Rule QA task is denoted as `rule_qa`.

2251 **Background** Lawyers are regularly required to recall specific legal *rules* that are drawn from cases, statutes,  
 2252 or other sources. Rules can take many shapes and forms. For instance, the rule pertaining to the federal  
 2253 requirements for a class (in a class action lawsuit) are codified in Rule 23(a) of the Federal Rules of Civil  
 2254 Procedure and are simply known as the need for “numerosity, commonality, typicality, and adequacy.”

2255 **Task** The Rule QA task evaluates a LLM’s ability to answer questions on different legal rules. The rules are  
 2256 drawn from subjects typically studied in the first year of law school (e.g., civil procedure, constitutional law,  
 2257 etc.). This is an open-generation task.

2258 **Construction process** We manually wrote 50 question-answer pairs, focusing on the types of rules which  
 2259 are regularly tested in law school courses on civil procedure, evidence, and intellectual property. The questions  
 2260 ask the LLM to either (1) restate a rule, (2) identify where a rule is codified, or (3) list the factors employed in a  
 2261 particular rule. Several questions explicitly narrow their scope to a jurisdiction (e.g., California state evidence  
 2262 law), in order to avoid bias towards merely federal law.

Question	Answer	Area of law
What are the four categories of patentable subject matter?	“process, machine, manufacture, or composition of matter.”	IP
What are the requirements for diversity jurisdiction?	Diversity jurisdiction exists when the amount in controversy exceeds \$75,000 and the plaintiffs and defendants are completely diverse (i.e. no plaintiff shares a state of citizenship with any defendant)	Civil Procedure
Under which statute are patentable subject matter requirements codified?	35 USC 101	IP
What are the factors of the Mathews balancing test?	A three-part test that determines whether an individual has received due process under the Constitution. The test balances (1) the importance of the interest at stake; (2) the risk of an erroneous deprivation of the interest because of the procedures used, and the probable value of additional procedural safeguards; and (3) the government’s interest.	Constitutional law

Table 45: Examples for Rule QA

2263 **Significance and value** The Rule QA task is an initial effort to evaluate the propensity for legal hallucination  
 2264 in LLMs. The questions asked are exceedingly basic, and law students taking the relevant course would be  
 2265 expected to answer them nearly perfectly.

## 2266 I.26 SARA Tasks

2267 In LEGALBENCH, the SARA tasks are denoted as `sara_*`.

2268 **Background** An important skill for lawyers is to determine, given the facts of a case, whether a given law  
2269 applies and what it prescribes. For example, *does the payment received by the defendant on August 21st, 2017*  
2270 *qualify as wages under §3306(b) of the US Tax Code?* This task has been introduced by [78] as statutory  
2271 reasoning. [65] further introduce the Statutory Reasoning Assessment dataset (SARA). SARA contains (1) a set  
2272 of 9 sections, taken from US federal tax law statutes, pruned and simplified; and (2) hand-crafted cases that test  
2273 the understanding of those 9 sections. In this context, a case is a paragraph of text stating facts in plain language.  
2274 Each case comes either with an entailment prompt — a statement about the statutes and the case that may be  
2275 true or false — or a question — asking how much tax one of the case’s protagonists owes. The SARA dataset is  
2276 a simplified version of real-world cases, that retains many of the features of statutory reasoning for tax law. It  
2277 poses, however, a significant challenge to NLP models [12].

2278 **Tasks** There are two SARA tasks. The first, `sara_entailment`, corresponds to the entailment cases. The  
2279 entailment cases state that a given law applies to a given case, and require the LLM to produce a binary answer —  
2280 akin to Recognising Textual Entailment [40]. This is an approximation of real-world statutory reasoning, where  
2281 the answer is usually not strictly binary.

2282 The second task, `sara_numeric`, consists of the numeric cases. Here, the goal is to compute the amount of tax  
2283 owed. We frame this as a floating point number. To measure numerical accuracy, we use the metric introduced  
2284 by [65], which includes a tolerance for inaccurate predictions.

2285 **Construction process** We framed the SARA dataset for the paradigm of language modeling. Due to  
2286 dependencies across sections in the statutes, the entirety of the statutes are generally relevant to determine the  
2287 answer to any of the cases. However, all 9 sections do not fit into the LLM’s context window, and must be pruned.  
2288 In entailment cases, the entailment prompt specifies which law from the statutes to apply. We automatically  
2289 extract the text of that law, and use it as the language model prompt. For numerical cases, the entirety of the  
2290 statutes are relevant, and we use that as the language model prompt. Pruning is left to the LLM’s pre-processing.

2291 **Significance and value** Statutory reasoning is an important skill for lawyers, that is used within many other  
2292 legal tasks. It is a fundamental task for legal AI, probing whether a computational model can understand and  
2293 reason with legal rules expressed in natural language. The types of reasoning involved in SARA are diverse  
2294 — defeasible, temporal, numerical reasoning, *inter alia* — and relevant beyond the legal domain. Statutory  
2295 reasoning combines natural language understanding and logical reasoning, a major goal for AI.

2296 If statutory reasoning were solved, it could serve as a basis for more complex legal tasks. For example, it could  
2297 be used to automate the computation of taxes and benefits, without the need for coding the expert systems in use  
2298 in many parts of the world [101]. A system that can do statutory reasoning would also be a step towards machine  
2299 reading models that can analyze legislation and anticipate its effects, coming up with possible application  
2300 scenarios [11]. As a final example, a statutory reasoning agent could be used for basic legal advice, increasing  
2301 access to justice.

---

**Task name:** `sara_entailment`

**Statute:** (2) an individual legally separated from his spouse under a decree of divorce or of separate maintenance shall not be considered as married.

**Description:** Alice and Bob got married on April 5th, 2012. Alice and Bob were legally separated under a decree of divorce on September 16th, 2017.

**Statement:** Section 7703(a)(2) applies to Alice for the year 2018.

**Answer:** Entailment

---

**Task name:** `sara_numeric`

**Statute:** §3301. Rate of tax <br> <br> There is hereby imposed on every employer (as defined in section 3306(a)) for each calendar year an excise tax, with respect to having individuals....[Omitted from clarity]...This section shall not apply to any taxable year beginning after December 31, 2017, and before January 1, 2026. <br> <br>

**Description:** Bob is Charlie and Dorothy’s son, born on April 15th, 2015. Alice married Charlie on August 8th, 2018. Alice’s and Charlie’s gross incomes in 2018 were \$324311 and \$414231 respectively. Alice, Bob and Charlie have the same principal place of abode in 2018. Alice and Charlie file jointly in 2018, and take the standard deduction.

**Question:** How much tax does Alice have to pay in 2018?

**Answer:** \$259487

---

Table 46: Example of each SARA task. For `sara_numeric`, we omit part of the statute for brevity.

2302 **I.27 SCALR**

2303 In LEGALBENCH, the SCALR task is denoted as `scalr`.

2304 **Background** Each case decided by the Supreme Court addresses a specific *question presented for review*.  
2305 Both the questions and the Court’s opinions are published on the Supreme Court’s website.

2306 Many of the Court’s opinions are briefly described by other judges who recount the holdings of the Court in their  
2307 own writing. For example, consider the following passage from *State of South Carolina v. Key*, 27971 (S.C.  
2308 2020; emphasis added):

2309           The United States Supreme Court has addressed the constitutionality of warrantless blood  
2310 draws in several DUI cases. See *Schmerber*, 384 U.S. at 770-71 (**holding** the warrantless  
2311 blood draw of a DUI suspect was valid because the law enforcement officer, dealing with a  
2312 car accident, could “reasonably have believed that he was confronted with an emergency,  
2313 in which the delay necessary to obtain a warrant, under the circumstances, threatened ‘the  
2314 destruction of evidence’”)....

2315 We refer to these brief descriptions as ‘holding statements’ or ‘holding parentheticals,’ since they are often  
2316 enclosed by parentheses. Identifying the holding parenthetical that corresponds to a question presented for review  
2317 requires a notion of ‘responsiveness’ or relevance between questions and answers as well as an understanding of  
2318 the kinds of legal issues that could be implicated by a specific question presented for review.

2319 **Task** The SCALR benchmark is a collection of 571 multiple choice questions designed to assess the legal  
2320 reasoning and reading comprehension ability of large language models. Each multiple-choice task gives the  
2321 question presented for review in a particular Supreme Court case. The solver must determine which holding  
2322 parenthetical describes the Court’s ruling in response to the question presented. Here is an example from *AT&T*  
2323 *Mobility LLC v. Concepcion*, 563 U.S. 333 (2011) with the correct response emphasized:

2324           Question: Whether the Federal Arbitration Act preempts States from conditioning the  
2325 enforcement of an arbitration agreement on the availability of particular procedures—here,  
2326 class -wide arbitration—when those procedures are not necessary to ensure that the parties to  
2327 the arbitration agreement are able to vindicate their claims.

2328           A: holding that when the parties in court proceedings include claims that are  
2329 subject to an arbitration agreement, the FAA requires that agreement to be  
2330 enforced even if a state statute or common-law rule would otherwise exclude that  
2331 claim from arbitration

2332           B: holding that the Arbitration Act “leaves no place for the exercise of discretion  
2333 by a district court, but instead mandates that district courts shall direct the parties  
2334 to proceed to arbitration on issues as to which an arbitration agreement has been  
2335 signed”

2336           C: holding that class arbitration “changes the nature of arbitration to such a  
2337 degree that it cannot be presumed the parties consented to it by simply agreeing  
2338 to submit their disputes to an arbitrator”

2339           **D: holding that a California law requiring classwide arbitration was pre-**  
2340 **empted by the FAA because it “stands as an obstacle to the accomplishment**  
2341 **and execution of the full purposes and objectives of Congress,” which is to**  
2342 **promote arbitration and enforce arbitration agreements according to their**  
2343 **terms**

2344           E: holding that under the Federal Arbitration Act, a challenge to an arbitration  
2345 provision is for the courts to decide, while a challenge to an entire contract which  
2346 includes an arbitration provision is an issue for the arbitrator

2347 **Construction** The data used to create this task comes from two sources:

- 2348           1. Questions presented were gathered from the Supreme Court of the United States’ website, which hosts  
2349 PDFs of questions granted for review in each case dating back to the 2001 Term.
- 2350           2. Holding statements that comprise the “choices” for each question were compiled from (a) CourtLis-  
2351 tener’s collection of parenthetical descriptions and (b) extraction of parenthetical descriptions from  
2352 Courtlistener’s and the Caselaw Access Project’s collections of court decisions using Eyecite.

2353 Because questions presented for review in Supreme Court cases are not easily available prior to 2001, the  
2354 benchmark is limited to questions from cases decided in the 2001 Term and later. To ensure that “holding”  
2355 statements would address the particular question presented, we limited the set of cases to those in which exactly  
2356 one question was granted for review. We also perform some manual curation to exclude questions which are not

2357 answerable without specific knowledge of a case. For example, we eliminated a case that presented this question:  
2358 “Whether this Court’s decision in *Harris v. United States*, 536 U.S. 545 (2002), should be overruled.”

2359 To create choices for each question presented, we first filter our set of parenthetical descriptions as follows:

- 2360 1. We limited our parenthetical descriptions to only those that begin with “holding that...”, as these are  
2361 most likely to describe the core holding of the case, rather than some peripheral issue.
- 2362 2. We use only parentheticals that describe Supreme Court cases. This avoids the creation of impossible  
2363 questions that ask the solver to distinguish between “holding” statements dealing with exactly the  
2364 same issue at different stages of appellate review.
- 2365 3. We then select for each case the longest parenthetical meeting the above criteria. We use the longest  
2366 parenthetical because it is most likely to be descriptive enough to make the question answerable.

2367 We then create a task for each case which has both a question presented and a “holding” statement meeting the  
2368 above requirements. (While question-correct holding pairs are only for cases decided after 2001, we allow the  
2369 use of parentheticals describing any Supreme Court case as alternative answer choices.) We then need to select  
2370 the four alternative answer choices for each question in a manner that makes the task challenging. To select  
2371 choices that are at least facially plausible, we find the four “holding” statements from the remaining pool that are  
2372 most TF-IDF similar to the question presented. The inclusion of difficult alternative choices requires the solver  
2373 to draw nuanced distinctions between legal issues that share overlap in terminology.

2374 **Significance and value** This task is significant because it tracks the useful and challenging skill of identify-  
2375 ing a passage as relevant or responsive to a given query. LLMs that are able to perform well at this task have the  
2376 potential to be more useful for complex legal question-answering and retrieval. The poor performance of simpler  
2377 models on this task demonstrates that it is a challenging one that requires a level of understanding beyond the  
2378 word/synonym level.

2379 **I.28 Securities Complaint Extraction**

2380 In LEGALBENCH, the Securities Complaint Extraction tasks are denoted as `ssl_a_*`.

2381 **Background** Securities Class Actions (SCAs) are lawsuits filed by, and on behalf of, investors alleging  
2382 economic injury as a result of material misstatements or omissions in public disclosures made by corporate  
2383 directors and officers. These actions allege violations of the Securities Act of 1933 and Securities Exchange Act  
2384 of 1934 and are predominately filed in federal court, though in 2018 the United States Supreme Court determined  
2385 actions brought under the '33 Act were permitted in state court.

2386 “Plaintiff(s)” is the legal term to describe the individual, company, or organization bringing forth a lawsuit.  
2387 Under the class action system, one or more plaintiffs are appointed “Lead Plaintiff” by the court to represent the  
2388 interests of a larger group of “similarly situated” parties. In securities class actions, investors that suffered the  
2389 greatest financial loss, often public pensions or unions, are appointed lead plaintiff.

2390 “Defendant(s)” is the legal term to describe the individual, company, or organization that must defend themselves  
2391 against the alleged violations or misconduct outlined in the lawsuit. There is always at least one defendant. The  
2392 majority of securities class actions name the company, its CEO and its CFO. Many name additional C-suite level  
2393 officers, members of the Board of Directors and additional third-parties such as the company’s independent  
2394 auditor and the underwriters of public offerings.

2395 Each designated lead plaintiff, and all named defendants, are explicitly identified under the “Parties” section of  
2396 the class action complaint.

2397 **Tasks** There are three extraction tasks.

- 2398 • The plaintiff task requires an LLM to extract the named plaintiffs within a text.
- 2399 • The individual defendants tasks require an LLM to extract named defendants who are individuals from  
2400 within a text.
- 2401 • The company defendants tasks require an LLM to extract named defendants who are corpora-  
2402 tions/companies from within a text.

2403 For certain samples, the complaint excerpt may not exactly contain the answer. For example, the correct answer  
2404 may be “Strongbridge Biopharma PLC,” while the complaint only mentions “Strongbridge.” In order to maintain  
2405 fidelity to the workflow used by SSLA, we evaluate an LLM’s ability to generate the official name of the entity,  
2406 as represented in the answer. This requires the LLM to possess some background knowledge regarding official  
2407 corporation names. We find that larger models are generally able to account for this.

2408 Sometimes, the provided text will not explicitly name the plaintiff, an individual defendant, or a corporate  
2409 defendant. In these cases, the LLM is expected to return “Not named”.

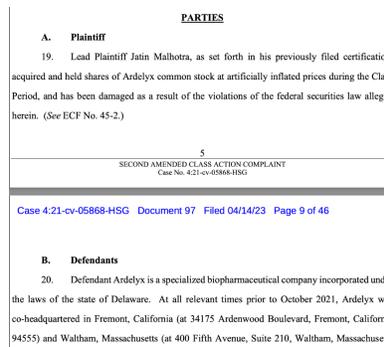


Figure 2: Example of the typical SCA structure (Case 4:21-cv-05868-HSG)

2410 **Construction process** Stanford Securities Litigation Analytics (SSLA) identifies, tracks, and aggregates  
2411 data on the several hundred private shareholder lawsuits and public SEC/DOJ enforcements filed each year.  
2412 SSLA fellows manually extract and analyze information including plaintiffs, defendants, judges, mediators,  
2413 plaintiff and defense firms, key litigation events, real-time case statuses, settlement timing, settlement dollar  
2414 amounts, attorneys’ fees and expenses, and imposed SEC / DOJ penalties. There is no ambiguity regarding the  
2415 answers for this task given its nature.

2416 This dataset is an extract from the corpus of texts of securities class action complaints in the SSLA database.  
2417 Given the typical structure and headings for these types of cases, this dataset represents text extracted from the  
2418 complaint, between the sections titled “Parties” and “Substantive Allegations”. For cases where the second

2419 heading was not found, text fragments were limited to 2,000 characters. Cases with both headings were then  
2420 filtered to include only those with text up to 2,000 characters, which excluded cases with longer “Parties”  
2421 sections. Thus, all observations in this dataset are 2,000 characters or less.

2422 Text was scraped from complaints using python’s PyPDF2 library and left unformatted and uncleaned. This  
2423 training set includes several observations where the text does not include all or some of the named entities due to  
2424 the method of text collection and variation in case structure. In several of these cases, plaintiff names are not  
2425 present in the selected text because the plaintiff had been named earlier in the complaint.

Table 47: Examples from Securities Complaint Tasks

---

**Task**

**Task name:** `ssla_company_defendants`

**Excerpt:** 6. Plaintiff Don L. Gross, as set forth in the accompanying certification and incorporated by reference herein, purchased the common stock of KCS during the Class Period and has been damaged thereby. 7. Defendant KCS, headquartered in Kansas City, Missouri, operates railroads in the Midwest and Mexico that run north to south, unlike most other U.S. railroads that run east to west. The Company’s stock traded on the NYSE, an efficient market, during the Class Period under the ticker symbol “KSU.” As of October 11, 2013, there were more than 110 million shares issued and outstanding. 8. Defendant David L. Starling (“Starling”), at all relevant times, served as KCS’s President and Chief Executive Officer (“CEO”). Case 4:14-cv-00345-BCW Document 1 Filed 04/15/14 Page 2 of 293 9. Defendant David R. Ebbrecht (“Ebbrecht”), at all relevant times, served as KCS’s Executive Vice President and Chief Operating Officer (“COO”). 10. Defendant Patrick J. Ottensmeyer (“Ottensmeyer”), at all relevant times, served as KCS’s Executive Vice President Sales & Marketing. 11. Defendant Michael W. Upchurch (“Upchurch”), at all relevant times, served as KCS’s Executive Vice President and Chief Financial Officer (“CFO”). 12. Defendants Starling, Ebbrecht, Ottensmeyer and Upchurch are collectively referred to herein as the “Individual Defendants.” 13. During the Class Period, the Individual Defendants, as senior executive officers and/or directors of KCS, were privy to confidential and proprietary information concerning KCS, its operations, finances, financial condition and present and future business prospects. The Individual Defendants also had access to material adverse non-public information concerning KCS, as discussed in detail below. Because of their positions with KCS, the Individual Defendants had access to non-public information about its business, finances, products, markets and present and future business prospects via internal

**Answer:** Kansas City Southern

---

**Task name:** `ssla_individual_defendants`

**Excerpt:** 6. Plaintiff Don L. Gross, as set forth in the accompanying certification and incorporated by reference herein, purchased the common stock of KCS during the Class Period and has been damaged thereby. 7. Defendant KCS, headquartered in Kansas City, Missouri, operates railroads in the Midwest and Mexico that run north to south, unlike most other U.S. railroads that run east to west. The Company’s stock traded on the NYSE, an efficient market, during the Class Period under the ticker symbol “KSU.” As of October 11, 2013, there were more than 110 million shares issued and outstanding. 8. Defendant David L. Starling (“Starling”), at all relevant times, served as KCS’s President and Chief Executive Officer (“CEO”). Case 4:14-cv-00345-BCW Document 1 Filed 04/15/14 Page 2 of 293 9. Defendant David R. Ebbrecht (“Ebbrecht”), at all relevant times, served as KCS’s Executive Vice President and Chief Operating Officer (“COO”). 10. Defendant Patrick J. Ottensmeyer (“Ottensmeyer”), at all relevant times, served as KCS’s Executive Vice President Sales & Marketing. 11. Defendant Michael W. Upchurch (“Upchurch”), at all relevant times, served as KCS’s Executive Vice President and Chief Financial Officer (“CFO”). 12. Defendants Starling, Ebbrecht, Ottensmeyer and Upchurch are collectively referred to herein as the “Individual Defendants.” 13. During the Class Period, the Individual Defendants, as senior executive officers and/or directors of KCS, were privy to confidential and proprietary information concerning KCS, its operations, finances, financial condition and present and future business prospects. The Individual Defendants also had access to material adverse non-public information concerning KCS, as discussed in detail below. Because of their positions with KCS, the Individual Defendants had access to non-public information about its business, finances, products, markets and present and future business prospects via internal

**Answer:** David L Starling, David R Ebbrecht, Patrick J. Ottensmeyer, Michael W. Upchurch

---

**Task name:** `ssla_plaintiff`

Table 47 – continued from previous page

**Task**

**Excerpt:** 11. Plaintiff, as set forth in the attached certification, purchased Catalyst securities at artificially inflated prices during the Class Period and has been damaged upon the revelation of the alleged corrective disclosures. 12. Defendant Catalyst is a Coral Gables, Florida headquartered company located at 355 Alhambra Circle Suite 1500 Coral Gables, FL 33134. The common stock is traded on the NASDAQ Stock Market ("NASDAQ") under the ticker symbol "CPRX." 13. Defendant Patrick J. McEnany ("McEnany") is the Company's co-founder, CEO and President. 14. Defendant Dr. Hubert E. Huckel M.D. ("Huckel") is the Company's co-founder and one of its directors. 15. Defendant Steven R. Miller Ph. D. ("Miller") is the company's COO and CSO. 16. The defendants referenced above in ¶¶ 13- 15 are sometimes referred to herein as the "Individual Defendants." DEFENDANTS' WRONGDOING

Background Case 1:13-cv-23878-UU Document 1 Entered on FLSD Docket 10/25/2013 Page 4 of 20 5 17. Catalyst is a specialty pharmaceutical company which develops and commercializes drugs treating orphan (rare) neuromuscular and neurological diseases. 18. Lambert-Eaton Myasthenic Syndrome ("LEMS") is an extremely serious disease which is also extremely rare, afflicting about 3.4 persons per million, and about one to two thousand patients in the United States. 19. FDA rules permit so-called "compassionate use" – use of a drug that has not been approved by the FDA outside of clinical trials. A patient may be given drugs under a compassionate use program if the patient may benefit from the treatment, the therapy can be given safely outside the clinical trial setting, no other alternative therapy is available, and the drug developer agrees to provide access to the drug. 20. Jacobus is a tiny private pharmaceutical company in New Jersey, with only dozens of employees, and only 35 as of 2009. Jacobus has been manufacturing 3,4 DAP and providing it to patients through a

**Answer:** Not named

2426 **Significance and value** Extracting data from legal documents is an extraordinarily resource- and time-  
2427 intensive effort prone to human error. As a result, there are no known databases of non-securities class action  
2428 litigation, despite the obvious public policy implications of the class action system. Automation of identification  
2429 tasks coupled with human approval would improve efficiency and reduce collection costs and data errors. This  
2430 task may be useful to other legal researchers and industry practitioners extracting structured data from complex  
2431 texts. Identification is a very simple task that can be done by those with an understanding and familiarity with the  
2432 underlying legal documents and legal system, but an LLM's ability to accurately and precisely identify entities is  
2433 a useful metric to assess.

2434 **I.29 Successor Liability**

2435 In LEGALBENCH, the Successor Liability task is denoted as `successor_liability`.

2436 **Background** When one company sells its assets to another company, the purchaser is generally not liable for  
2437 the seller's debts and liabilities. Successor liability is a common law exception to this general rule. In order to  
2438 spot a successor liability issue, lawyers must understand how courts apply the doctrine.

2439 The doctrine holds purchasers of all, or substantially all, of a seller's assets liable for the debts and liabilities of  
2440 the seller if:

- 2441 1. the purchaser expressly agrees to be held liable;
- 2442 2. the assets are fraudulently conveyed to the purchaser in order to avoid liability;
- 2443 3. there is a de facto merger between the purchaser and seller; or
- 2444 4. the purchaser is a mere continuation of the seller.

2445 Express agreement is governed by standard contract law rules. In practice, if a purchase agreement contains  
2446 a provision to assume liabilities, litigation will rarely arise. Courts, however, sometimes interpret an implied  
2447 agreement in the absence of a written provision.

2448 Assets are fraudulently conveyed when the seller intends to escape liability through a sale or knows that liability  
2449 will be avoided through a sale.

2450 De facto merger is a multifactor test that consists of (1) continuity of ownership; (2) cessation of ordinary  
2451 business and dissolution of the acquired corporation as soon as possible; (3) assumption by the purchaser of the  
2452 liabilities ordinarily necessary for the uninterrupted continuation of the business of the acquired corporation;  
2453 and (4) continuity of management, personnel, physical location, assets, and general business operation. Some  
2454 jurisdictions require a showing of all four elements. Others do not, and simply emphasize that the substance of  
2455 the asset sale is one of a merger, regardless of its form.

2456 Mere continuation typically requires a showing that after the asset sale, only one corporation remains and there  
2457 is an overlap of stock, stockholders, and directors between the two corporations. There are two variations of  
2458 the mere continuation exception. The first variation is the "continuity of enterprise" exception. In order to find  
2459 continuity of enterprise, and thus liability for the purchaser of assets, courts engage in a multifactor analysis.  
2460 Factors include: (1) retention of the same employees; (2) retention of the same supervisory personnel; (3)  
2461 retention of the same production facilities in the same physical location; (4) production of the same product;  
2462 (5) retention of the same name; (6) continuity of assets; (7) continuity of general business operations; and (8)  
2463 whether the successor holds itself out as the continuation of the previous enterprise. The second variation is  
2464 the product line exception. This exception imposes liability on asset purchasers who continue manufacturing  
2465 products of a seller's product line. This exception generally requires that defendants show that the purchaser of  
2466 assets is able to assume the risk spreading role of the original manufacturer, and that imposing liability is fair  
2467 because the purchaser enjoys the continued goodwill of the original manufacturer.

2468 Scholars have noted that fraud, de facto merger, and mere continuation (and its variants) overlap. They share the  
2469 common thread of inadequate consideration, that is, the consideration given in exchange for the assets is unable  
2470 to fund the liabilities that underwrite those assets. Because of the overlap, different courts might apply different  
2471 doctrines to identical sets of facts, but arrive at the same policy [50].

2472 Successor liability doctrine is commonly taught in a course on corporate law or business associations in law  
2473 school. Sometimes it is reserved for upper level courses in corporate finance or mergers and acquisitions.  
2474 Students are expected to spot successor liability issues and understand how to determine if a successor will be  
2475 held liable.

2476 **Task** The Successor Liability task requires an LLM to spot a successor liability issue and identify its relevant  
2477 exception to no liability. If more than one exception is relevant, the LLM is required to state the additional  
2478 exception(s). The task does not include identification of the two variations to the mere continuation exception  
2479 (continuity of enterprise and product line).

<b>Facts</b>	<b>Issue</b>	<b>Relevant exception</b>
Large Incarceration Services purchased a substantial amount of Small Prison's assets last year. The asset purchase agreement expressly disclaimed Small Prison's potential liability for an employment discrimination claim arising out of its prison service activities. Small conveyed its assets to Large because Small's owners were concerned that the liability arising from the lawsuit would lead to bankruptcy. Several months following the asset purchase, Small Prison lost the discrimination lawsuit. The plaintiffs now seek relief from Large Incarceration Services.	successor liability	fraudulent conveyance, mere continuation
Large Incarceration Services purchased a substantial amount of Small Prison's assets last year. The asset purchase agreement expressly assumed any liability arising out of its prison service activities. Several months following the asset purchase, Small Prison lost a number of discrimination lawsuits. The plaintiffs now seek relief from Large Incarceration Services.	successor liability	express agreement
Big Pharma purchases substantially all of DW I's assets. The purchase agreement expressly provides for assumption of only those liabilities necessary for continuing operations of DW I. DW I had developed a successful drug that regulated oxygen levels in the blood. After the purchase of DW I's assets, DW I dissolves. DW I's shareholders maintain ownership in Big Pharma equivalent to their ownership in DW I. In addition, there is some overlap between the two companies' management teams. Moreover, Big Pharma continues to employ seventy percent of DW I's workforce. Past users of DW I's drug bring a mass tort claim against Big Pharma alleging that DW I's drug incorrectly measured oxygen levels in the blood leading to harm.	successor liability	de facto merger, mere continuation
Big Pharma purchases substantially all of DW I's assets. The purchase agreement expressly provides for assumption of only those liabilities necessary for continuing operations of DW I. DW I had developed a successful drug that regulated oxygen levels in the blood. After the purchase of DW I's assets, DW I dissolves. DW I's shareholders do not own any stock in Big Pharma. However, there is some overlap between the two companies' management teams. In addition, Big Pharma continues to employ seventy percent of DW I's workforce. Past users of DW I's drug bring a mass tort claim against Big Pharma alleging that DW I's drug incorrectly measured oxygen levels in the blood leading to harm.	successor liability	mere continuation

Table 48: Examples for successor liability.

2480 **I.30 Supply Chain Disclosure Tasks**

2481 In LEGALBENCH, the Supply Chain Disclosure Tasks are denoted as `supply_chain_disclosure_*`.

2482 **Background** Corporations are frequently legally required to disclose information that may be relevant to  
2483 investors, regulators, or members of the public. One example of this kind of disclosure requirement is laws that  
2484 require corporations doing business in particular jurisdictions to provide detailed information on their supply  
2485 chains, which is intended to ensure that the company’s business practices are not supporting things like human  
2486 trafficking or human rights violations. One example of these kind of disclosure requirements is the California  
2487 Transparency in Supply Chains Act (CTSCA). The CTSCA applies to corporations that are a: “[1] retail seller  
2488 and manufacturer [2] doing business in this state [of California] and [3] having annual worldwide gross receipts  
2489 that exceed one hundred million dollars (\$100,000,000).”<sup>22</sup> If a corporation meets these criteria, they are required  
2490 to post information on five topics:

- 2491 • **Verification:** “[A]t a minimum, disclose to what extent, if any, that the retail seller or manufacturer . . .  
2492 . [e]ngages in verification of product supply chains to evaluate and address risks of human trafficking  
2493 and slavery. The disclosure shall specify if the verification was not conducted by a third party.”<sup>23</sup>
- 2494 • **Audits:** “[A]t a minimum, disclose to what extent, if any, that the retail seller or manufacturer . . .  
2495 [c]onducts audits of suppliers to evaluate supplier compliance with company standards for trafficking  
2496 and slavery in supply chains. The disclosure shall specify if the verification was not an independent,  
2497 unannounced audit.”<sup>24</sup>
- 2498 • **Certification:** “[A]t a minimum, disclose to what extent, if any, that the retail seller or manufacturer  
2499 . . . [r]equires direct suppliers to certify that materials incorporated into the product comply with  
2500 the laws regarding slavery and human trafficking of the country or countries in which they are doing  
2501 business.”<sup>25</sup>
- 2502 • **Accountability:** “[A]t a minimum, disclose to what extent, if any, that the retail seller or manufacturer  
2503 . . . [m]aintains internal accountability standards and procedures for employees or contractors failing  
2504 to meet company standards regarding slavery and trafficking.”<sup>26</sup>
- 2505 • **Training:** “[A]t a minimum, disclose to what extent, if any, that the retail seller or manufacturer . . .  
2506 . [p]rovides company employees and management, who have direct responsibility for supply chain  
2507 management, training on human trafficking and slavery, particularly with respect to mitigating risks  
2508 within the supply chains of products.”<sup>27</sup>

2509 In addition to requiring corporations that meet the specified criteria to post disclosures that provide this  
2510 information, the California Attorney General’s office has also posted a guide informing firms of “Best Practices”  
2511 for what specific information to provide on each of these five topics.<sup>28</sup>

2512 However, prior research has suggested that companies do not always post disclosures that cover each of  
2513 these topics; and, even when they do, the disclosures are not always consistent with the recommended best  
2514 practices [27].

2515 **Construction process** We constructed this task based on an existing dataset of supply chain disclosures. In  
2516 the summer of 2015, we, with the help of research assistants, we searched the websites of corporations that had  
2517 previously been identified by an organization called “KnowTheChain” as being required to post supply chain  
2518 disclosures to be compliant with the California Supply Chain Transparency Act. Through this process, we found  
2519 disclosures for roughly 400 firms out of roughly 500 firms for which KnowTheChain suggested were required to  
2520 post disclosures.

2521 For each of these roughly 400 firms, we saved copies of their supply chain disclosures. We then had research  
2522 assistants read the disclosures and code whether they included each of the five required topics for disclosure  
2523 and, if so, whether the disclosures on those five topics were consistent with the best practices outlined by the  
2524 California Attorney General’s office.

2525 We convert each of these 10 coded variables into a distinct binary classification task, producing 10 tasks. Table  
2526 49 lists each task, along with the precise question used to code the disclosure.

---

<sup>22</sup> See California Transparency in Supply Chains Act, CAL. CIV. CODE § 1714.43(a)(1) (West 2012).

<sup>23</sup> CAL. CIV. CODE § 1714.43(c)(1).

<sup>24</sup> CAL. CIV. CODE § 1714.43(c)(2).

<sup>25</sup> CAL. CIV. CODE § 1714.43(c)(3).

<sup>26</sup> CAL. CIV. CODE § 1714.43(c)(4).

<sup>27</sup> CAL. CIV. CODE § 1714.43(c)(5).

<sup>28</sup> CAL. DEP’T OF JUSTICE, THE CALIFORNIA TRANSPARENCY IN SUPPLY CHAINS ACT: A RESOURCE GUIDE (2015), <https://oag.ca.gov/sites/all/files/agweb/pdfs/sb657/resource-guide.pdf>.

2527 **Significance and value** Corporate disclosure requirements are a commonly used regulatory tool, but  
 2528 evidence suggests that firms do not always fully comply with these disclosure requirements. The Supply Chain  
 2529 Disclosure task evaluates whether LLMs may be able to determine whether corporations are complying with  
 2530 those disclosure requirements. Because these disclosures are often formatted very differently, written in complex  
 2531 language, and may be designed to obfuscate relevant information, this task provides a useful measure of whether  
 2532 LLMs can parse the content covered in legal documents.

Task	Question
disclosed_verification	Does the statement disclose to what extent, if any, that the retail seller or manufacturer engages in verification of product supply chains to evaluate and address risks of human trafficking and slavery? If the company conducts verification, the disclosure shall specify if the verification was not conducted by a third party.
disclosed_audits	Does the statement disclose to what extent, if any, that the retail seller or manufacturer conducts audits of suppliers to evaluate supplier compliance with company standards for trafficking and slavery in supply chains? The disclosure shall specify if the verification was not an independent, unannounced audit.
disclosed_certification	Does the statement disclose to what extent, if any, that the retail seller or manufacturer requires direct suppliers to certify that materials incorporated into the product comply with the laws regarding slavery and human trafficking of the country or countries in which they are doing business?
disclosed_accountability	Does the statement disclose to what extent, if any, that the retail seller or manufacturer maintains internal accountability standards and procedures for employees or contractors failing to meet company standards regarding slavery and trafficking?
disclosed_training	Does the statement disclose to what extent, if any, that the retail seller or manufacturer provides company employees and management, who have direct responsibility for supply chain management, training on human trafficking and slavery, particularly with respect to mitigating risks within the supply chains of products?
best_practice_verification	Does the statement disclose whether the retail seller or manufacturer engages in verification and auditing as one practice, expresses that it may conduct an audit, or expressess that it is assessing supplier risks through a review of the US Dept. of Labor's List?
best_practice_audits	Does the statement disclose whether the retail seller or manufacturer performs any type of audit, or reserves the right to audit?
best_practice_certification	Does the statement disclose whether the retail seller or manufacturer requires direct suppliers to certify that they comply with labor and anti-trafficking laws?
best_practice_accountability	Does the statement disclose whether the retail seller or manufacturer maintains internal compliance procedures on company standards regarding human trafficking and slavery? This includes any type of internal accountability mechanism. Requiring independently of the supply to comply with laws does not qualify or asking for documentary evidence of compliance does not count either.
best_practice_training	Does the statement disclose whether the retail seller or manufacturer provides training to employees on human trafficking and slavery? Broad policies such as ongoing dialogue on mitigating risks of human trafficking and slavery or increasing managers and purchasers knowledge about health, safety and labor practices qualify as training. Providing training to contractors who failed to comply with human trafficking laws counts as training.

Table 49: Supply Chain Disclosure Tasks

2533 **I.31 Telemarketing Sales Rule**

2534 In LEGALBENCH, the Telemarketing Sales Rule task is denoted as `telemarketing_sales_rule`.

2535 **Background** The Telemarketing Sales Rule (16 C.F.R. Part 310) is a set of regulations promulgated by the  
 2536 Federal Trade Commission to implement the Telemarketing and Consumer Fraud and Abuse Prevention Act. Its  
 2537 purpose is to protect consumers from specified deceptive and abusive telemarketing practices. This task focuses  
 2538 on 16 C.F.R. § 310.3(a)(1) and 16 C.F.R. § 310.3(a)(2), which outline a series of specific telemarketing practices  
 2539 prohibited as "deceptive." 16 C.F.R. § 310.3(a)(1) lists information that must be disclosed to a consumer before  
 2540 a sale is made, and 16 C.F.R. § 310.3(a)(2) lists categories of information that a telemarketer is prohibited from  
 2541 misrepresenting. 16 C.F.R. § 310.2 provides definitions relevant to both of these subsections.

2542 The Telemarketing Sales Rule (TSR) is not commonly taught in law school as its own topic, but may be used  
 2543 as examples in courses on consumer protection law, administrative law, telecommunications law, and the like.  
 2544 Because of its simplicity, it has also been used in beginner-level legal research exercises tasking students with  
 2545 finding the TSR in the Code of Federal Regulations and applying it to a set of facts.

2546 Applying the TSR would require an LLM to classify a set of facts as either falling within or outside of the  
 2547 specific prohibitions outlined in the rule. For example, the TSR requires that telemarketers disclose certain  
 2548 material information before a sale is made, such as the total cost of the goods or services, the quantities of goods  
 2549 or services being purchased, and exchange and return restrictions. It also forbids telemarketers from making  
 2550 material misrepresentations as to cost, quantity, quality, endorsement or sponsorship, and the like. In many  
 2551 real-life situations, it would be ambiguous whether certain telemarketer behavior would violate the TSR; for  
 2552 example, it could be contentious whether a given misrepresentation fits the definition of "material." However,  
 2553 this task is limited to clear, unambiguous violations or non-violations, such as if a telemarketer told a consumer  
 2554 that they were selling four apples for four dollars, when in fact they were selling four apples for six dollars.

2555 The following subsections 16 C.F.R. § 310.3(a)(1) and 16 C.F.R. § 310.3(a)(2) were ignored in the task, given  
 2556 their complexity or their reference to other statutes and regulations:

- 2557 • 16 C.F.R. § 310.3(a)(2)(vi)
- 2558 • 16 C.F.R. § 310.3(a)(2)(viii)

2559 **Task** The TSR task is meant to test whether an LLM can classify simple sets of facts as describing a violation  
 2560 of the TSR, or not describing a violation of the TSR.

2561 **Construction process** We manually created 50 samples, such that examples of at least one violation and at  
 2562 least one non-violation of each relevant subsection of 16 C.F.R. § 310.3(a)(1) and 16 C.F.R. § 310.3(a)(2) were  
 2563 present.

2564 **Significance and value** Determining whether a simple and unambiguous set of facts falls within the ambit  
 2565 of 16 C.F.R. § 310.3(a)(1) or 16 C.F.R. § 310.3(a)(2) would be an easy task for law students and lawyers, as well  
 2566 as many non-lawyers. However, an LLM that was trained to recognize clear violations of consumer protection  
 2567 laws could help administrative agencies like the Federal Trade Commission inform normal citizens of their  
 2568 rights.

Input	Answer
Acme Toys is a telemarketer subject to the Telemarketing Sales Rule. Acme Toys sold a customer a frisbee. It disclosed the brand of the frisbee, but did not tell the customer the frisbee was manufactured in Portugal. Is this a violation of the Telemarketing Sales Rule?	No
Acme Toys is a telemarketer subject to the Telemarketing Sales Rule. Acme Toys told a customer that it would sell them a handful of frisbees at a very reasonable price, and that shipping would be \$5. Then, the customer agreed to the sale. Is this a violation of the Telemarketing Sales Rule?	Yes

Table 50: Examples for `telemarketing_sales_rule`

2569 **I.32 Textualism Tasks**

2570 In LEGALBENCH, the Textualism tasks are denoted as `textualism_tool_*`.

2571 **Background** Courts regularly interpret statutes to determine the precise meaning of words contained in the  
2572 statute. For instance, suppose a statute specifies that “It shall be illegal to park a vehicle inside public parks  
2573 for longer than thirty minutes.” A court may be asked to determine whether the statute prohibits persons from  
2574 parking bicycles inside public parks. This requires defining the term “vehicle” and determining if a bicycle is a  
2575 type of vehicle.

2576 To guide the interpretation of ambiguous statutory terms, American jurisprudence has developed numerous  
2577 *principles* of statutory construction or interpretation. These principles—also referred to as tools or canons—are  
2578 rules which dictate how terms in statutes should be interpreted. For instance, the principle of *ejusdem generis*  
2579 states that where general words or phrases follow a number of specific words or phrases, the general words are  
2580 specifically construed as limited and apply only to persons or things of the same kind or class as those expressly  
2581 mentioned [132].

2582 One approach to statutory interpretation—known as *textualism*—states that only the text of the statute should  
2583 be considered [133]. In contrast, other approaches to interpreting an ambiguous term might call for a court to  
2584 analyze the purpose of the statute, the history of the statute, or the intent of the legislature.

2585 **Task** The Textualism tasks ask a LLM to determine if an excerpt of judicial text is applying a specific textual  
2586 tool when performing statutory interpretation. There are two tasks: dictionaries (`textualism_tool_dictionaries`)  
2587 and .

- 2588 • The first task is plain-meaning (`textualism_tool_plain`), and it requires an LLM to determine if a  
2589 court is applying the “plain meaning” rule. The plain meaning rule says that statutory text should be  
2590 interpreted according to its plain or ordinary meaning.
- 2591 • The second task is dictionaries (`textualism_tool_dictionaries`), and it requires an LLM to determine if  
2592 a court is using dictionaries to define the statutory text.

2593 **Construction process** For each task we extracted paragraphs from Court of Appeals opinions and manually  
2594 annotated whether the paragraphs showed the court as “using” the respective tool.

- 2595 • In order to count as using plain meaning, the paragraph must reference the plain or ordinary meaning  
2596 of the text. This includes directly saying “plain meaning” or referencing the general logic of the plain  
2597 meaning rule. There must also be evidence that the court used the tool in its decision. This latter  
2598 condition is notable because legal scholars often care about whether the court actually used the tool  
2599 when defending its decision. Common examples of using include stating it as a general rule of decision  
2600 (“[O]ur obligation is to look to the plain language of the statute to effectuate the intent of congress”) or  
2601 applying it to the facts (“The statute’s plain language indicates the 150% fee cap applies if (1) the  
2602 plaintiff was “a prisoner” at the time he brought the action and (2) he was awarded attorney’s fees  
2603 pursuant to § 1988.”). “Using” does not, for example, include paragraphs that criticize the use of the  
2604 plain meaning rule.
- 2605 • In order to count as using dictionaries, the paragraph must reference a dictionary. There must also be  
2606 evidence that the court used a dictionary as part of its rationale. This latter condition is notable because  
2607 legal scholars often care about whether the court actually used the tool when defending its decision.  
2608 Common examples of using include stating it as a general rule of decision (“[We use a dictionary to  
2609 help determine the plain meaning of the statutory text”) or applying it to the facts (“According to the  
2610 Websters dictionary, a vehicle is any *means in or by which someone travels, or something is carried or*  
2611 *conveyed*”). “Using” does not, for example, include paragraphs that criticize the use of dictionaries.

2612 **Significance and value** Recognizing when a court is applying a particular canon of interpretation is a  
2613 classical skill law students are expected to learn. LLM performance on this task thus offers a heuristic for  
2614 comparing LLM comprehension of judicial text to that of a law student’s. More practically, the capacity for  
2615 LLMs to detect when certain canons are being applied could make them a valuable tool for empirical legal  
2616 scholars.

Input	Answer
<p>overcome our prior interpretation of a statute depends, in turn, on whether we regarded the statute as unambiguously compelling our interpretation.</p>	No
<p>the statutory waiver is express, and its range is defined in unmistakable language. to say that a private person, but not the united states, is liable under title vii for interest as an element of an attorneys fee would rob the unambiguous statutory language of its plain meaning. it would defeat the statutory imposition upon the united states of a liability for costs, and the statutory inclusion of a reasonable attorneys fee as part of the costs, identical to that of a private party in similar circumstances. the scope-setting statutory words the same as a private person mark out the united states liability for attorneys fees as well as costs in the traditional sense. our responsibility as judges is to enforce this provision according to its terms.</p>	Yes

Table 51: Examples for `textualism_tool_plain`.

Input	Answer
<p>we pause to note that even if congress sought, through the csra, to regulate the nonuse of interstate channels, it would still be within its constitutional command to do so. the supreme court has often held, in several contexts, that the defendants nonuse of interstate channels alone does not shield him from federal purview under the commerce clause. in heart of atlanta motel, inc. v. united states, 379 u.s. 241, 250, 85 s.ct. 348, 353, 13 l.ed.2d 258 (1964), the court upheld commerce clause jurisdiction over a local motel that failed to engage in interstate commerce when it refused to rent rooms to black guests. the court held that by failing to rent the rooms, the hotel inhibited black travelers from crossing state lines and thus obstructed interstate commerce that otherwise would have occurred. id. at 253, 85 s.ct. at 356. in standard oil co. v. united states, 221 u.s. 1, 68, 31 s.ct. 502, 518, 55 l.ed. 619 (1911), the court upheld the sherman act, 15 u.s.c. 1, 2, as permissible congressional action under the commerce clause. the sherman act prohibits restraints of trade and obstructions of interstate commerce in order to facilitate commerce that otherwise would occur absent the defendants monopolistic behavior. finally, in united states v. green, 350 u.s. 415, 420, 76 s.ct. 522, 525, 100 l.ed. 494 (1956), the court found constitutional the hobbs act, 18 u.s.c. 1951, which punishes interference with interstate commerce by extortion, robbery or physical violence [by] ... outlaw[ing] such interference in any way or degree. to accept baileys nonuse argument would mean, as emphasized by the second circuit, that congress would have no power to prohibit a monopoly so complete as to thwart all other interstate commerce in a line of trade[;] or to punish under the hobbs act someone who successfully prevented interstate trade by extortion and murder. sage, 92 f.3d at 105.</p>	No
<p>our primary area of concern with the district courts determination is its confident assertion that the language of 326(a) is unambiguous. see lan assocs., 237 b.r. at 56-57. in this day and age when we exchange by a keystroke or series of keystrokes what we used to handle only in cash, we do not think that the term moneys is so clear as the district court indicated. in fact, one of the definitions cited by the district court refers to money as a measure of value, see id. at 55-56 (citing websters third new intl dictionary 1458 (1986)), which surely is a concept that evolves along with and is dependent upon changing cultural, social, and economic practices and institutions. for example, in todays society the term money could easily encompass the concept of credit, which increasing numbers of people use as a method of payment. the term money might also encompass property, especially when property is used as a method of payment or a measure of wealth. see websters ii new college dictionary 707 (defining money as [a] medium that can be exchanged for goods and services and is used as a measure of their values on the market and as [p]roperty and assets considered in terms of monetary value); supra note 5 (describing the nabts argument that an exchange of property involves an exchange of value). but see in re brigantine beach hotel corp., 197 f.2d 296, 299 (3d cir.1952) (referring to precode statute governing receiver compensation and stating that [i]t is clear that the word moneys in the clause ... upon all moneys disbursed or turned over ... is not the equivalent of property.). these reasonable interpretations of the term moneys render it ambiguous for purposes of our interpretation of 326(a). see taylor v. continental group change in control severance pay plan, 933 f.2d 1227, 1232 (3d cir.1991) (a term is ambiguous if it is subject to reasonable alternative interpretations.); accord united states v. gibbens, 25 f.3d 28, 34 (1st cir.1994) (a statute is ambiguous if it reasonably can be read in more than one way.).</p>	Yes

Table 52: Examples for textualism\_tool\_dictionaries

### 2617 **I.33 UCC vs Common Law**

2618 In LEGALBENCH, the UCC vs Common Law task is denoted as `ucc_v_common_law`.

2619 **Background** In the United States, contracts are typically governed by one of two different bodies of law  
2620 depending on the subject matter of the contract. Contracts for the sale of goods (physical, moveable things)  
2621 are governed by the Uniform Commercial Code (UCC), a uniform set of laws created by the Uniform Law  
2622 Commission and adopted in all US jurisdictions. Contracts for services and real estate, on the other hand, are  
2623 governed by state common law. For example, a contract for Alice to sell Bob her bike would be governed by  
2624 the UCC (sale of a good), but a contract for Bob to repair Alice’s bike would be governed by the common law  
2625 (service).

2626 This distinction is significant because the UCC and the common law diverge on numerous important legal issues  
2627 such as:

- 2628 • Offer and acceptance: The common law requires an offeree’s acceptance to exactly match the terms of  
2629 the offeror’s offer in order for a contract to be formed (the “mirror image” rule). The UCC, on the  
2630 other hand, allows for some variation in the terms under UCC Section 2-207.
- 2631 • Definiteness: For a common law contract to be enforceable, it must be reasonably definite with respect  
2632 to all material terms. For example, a service contract would not be enforceable without a price term or  
2633 an adequate description of the service to be provided. The UCC only requires that a goods contract  
2634 include the good being sold and the quantity. If any other term is missing from the contract (such as  
2635 price or delivery), it will be filled in by UCC default rules.
- 2636 • Options: To create an option contract (by which the offeror provides the offeree with a defined period  
2637 of irrevocability), the common law requires that the offeree give the offeror separate consideration for  
2638 the option. The UCC allows merchants to make “firm offers” (effectively option contracts) without the  
2639 offeree providing separate consideration.
- 2640 • Modification: To modify an existing contract, the common law requires both parties to provide new  
2641 consideration (the “preexisting duty rule”) whereas the UCC only requires that modifications be made  
2642 in good faith.

2643 **Task** The UCC vs. Common Law task requires an LLM to determine whether a contract is governed by the  
2644 UCC or by the common law.

2645 **Construction process** The dataset was manually created to test an LLM’s ability to determine whether a  
2646 contract is governed by the UCC or by the common law. The dataset is composed of 100 descriptions of simple  
2647 contracts such as “Alice and Bob enter into a contract for Alice to sell her bike to Bob for \$50” (UCC) and  
2648 “Aria pays Owen \$100 to mount a television on the wall of her living room” (common law). Each description is  
2649 followed the question, “Is this contract governed by the UCC or the common law?”

2650 The dataset does not include “mixed purpose” contracts which incorporate both the sale of a good and a service.  
2651 For example, a contract in which Alice sells Bob her bike for \$100 and agrees to inflate the tires each week for  
2652 the first month would be a mixed purpose contract. To determine whether a mixed purpose contract is governed  
2653 by the UCC or the common law, most jurisdictions apply the “predominant purpose” test under which the  
2654 predominant purpose of the contract (good or service) determines which body of law applies.

2655 **Significance and value** The UCC vs. Common Law task is significant for a number of reasons. First, it  
2656 provides a measure of an LLM’s legal reasoning ability relative to a human lawyer (who would almost certainly  
2657 score a 100% on the task). Second, it demonstrates an LLM’s ability to determine the subject matter of a legal  
2658 text, which has implications for the use of LLMs for legal tasks far beyond contract classification. Third, this task  
2659 could prove useful in the context of contract lifecycle management (CLM) in which a CLM software product  
2660 could automatically sort contracts by subject matter for review purposes. Fourth, while the sample contracts  
2661 in the dataset were simple and easily identifiable as either UCC or common law contracts, real-world mixed  
2662 purpose contracts can be difficult to classify and sometimes generate costly litigation. This task could be used as  
2663 a starting point for developing a more fine-tuned task that can classify mixed purpose contracts.

## 2664 J Full results and extended empirical study

2665 We use LEGALBENCH to conduct a three-part study.

- 2666 • In the first part (Section J.2), we conduct a sweeping evaluation of 20 LLMs from 11 different families,  
2667 at four different size points. We use this study to make initial observations on performance differences  
2668 across families, the role of model size, and the gap between open-source and commercial LLMs.
- 2669 • In the second part (Section J.3), we show how LEGALBENCH can be used to conduct in-depth  
2670 evaluations of models. To illustrate, we use LEGALBENCH to highlight similarities and differences in  
2671 the performance of three popular commercial models: GPT-4, GPT-3.5, and Claude-1.
- 2672 • In the final part (Section J.4), we show how LEGALBENCH can support the development of law-  
2673 specific LLM methods. We focus on prompting, and conduct a series of experiments that begin to  
2674 surface tradeoffs and challenges with regards to guiding LLMs towards certain tasks.

2675 Ultimately, our study here serves to illustrate the types of analyses that LEGALBENCH enables, and highlight  
2676 potential directions for future work.

### 2677 J.1 Setup

#### 2678 J.1.1 Models

2679 **Commercial models** We study three commercial API-access models. From the OpenAI GPT family, we  
2680 study GPT-3.5 [14] (text-davinci-003) and GPT-4 [98]. Results from these models were retrieved between May  
2681 and August of 2023. From the Anthropic family, we study Claude-1 (v1.3) [3]. Results from this model were  
2682 retrieved in July of 2023. These models are believed to be large (hundreds of billions of parameters), though  
2683 exact details on their architecture and training process are unknown. It is thus possible that some LEGALBENCH  
2684 tasks leaked into pretraining data. Details on the extent to which different LEGALBENCH tasks have been  
2685 previously made available online can be found in Appendix G.

2686 **Open-source models** We study 17 open-source models at three different size points: 3B parameters, 7B  
2687 parameters, and 13B parameters. All inference was performed on two-GPU GCP 40GB A100s, using the  
2688 Manifest library [99]. HuggingFace links for each model are provided below.

- 2689 • From Together, we study three models: Incite-Instruct-7B, Incite-Base-7B, and Incite-Instruct-3B [35,  
2690 135].
- 2691 • From Meta’s OPT family, we study three models: OPT-2.7B, OPT-6.7B, and OPT-13B [157].
- 2692 • From TII’s Falcon family, we study Falcon-7B-Instruct [2, 105].
- 2693 • From MosaicML’s MPT family, we study MPT-7B-8k-Instruct [131].
- 2694 • From LMSYS’ Vicuna family, we study Vicuna-7B-16k and Vicuna-13B-16k [26].
- 2695 • From Google’s FLAN-T5 family, we study Flan-T5-XL (3B parameters) and Flan-T5-XXL (11B  
2696 parameters) [32].
- 2697 • From Meta’s LLaMA-2 family, we study LLaMA-2-7B, and LLaMA-2-13B [136].
- 2698 • From the Wizard family, we study WizardLM-13B [153].
- 2699 • From the BigScience BLOOM family, we study BLOOM-3b and BLOOM-7B [118].

2700 **Future work** Our selected LLMs represent only a sample of the models available. For instance, we do not  
2701 evaluate LLMs larger than 13B parameters, which have been observed to perform well [9]. Studied LLMs are  
2702 also “general domain,” in that we don’t find evidence that any were specifically customized to perform well on  
2703 legal text.<sup>29</sup> In future work we hope to expand our evaluation to a broader set of LLMs.

#### 2704 J.1.2 Prompts

2705 We designed a prompt for each task by manually writing instructions for the task, and selecting between zero and  
2706 eight samples from the available train split to use as in-context demonstration. The number of samples selected  
2707 depended on the availability of data and the sequence length of samples (see below). For instance, the inputs to  
2708 the Supply Chain Disclosure tasks are disclosure statements between 1-2 pages long, making the inclusion of  
2709 multiple demonstrations infeasible. For application evaluation, we augmented the prompt with an instruction for  
2710 the LLM to explain its reasoning.

---

<sup>29</sup>We note that as of July 2023, we were unable to identify public law-specific English large language models to evaluate.

LLM	HuggingFace URL
Incite-Instruct-3B	togethercomputer/RedPajama-INCITE-Instruct-3B-v1
Incite-Base-7B	togethercomputer/RedPajama-INCITE-Base-7B-v0.1
Incite-Instruct-7B	togethercomputer/RedPajama-INCITE-Instruct-7B-v0.1
BLOOM-3B	bigscience/bloom-3b
BLOOM-7B	bigscience/bloom-7b1
OPT-2.7B	facebook/opt-2.7b
OPT-6.7B	facebook/opt-6.7b
OPT-13B	facebook/opt-13b
Falcon-7B-Instruct	tiiuae/falcon-7b-instruct
MPT-7B-8k-Instruct	mosaicml/mpt-7b-instruct
Vicuna-7B-16k	lmsys/vicuna-7b-v1.5-16k
Vicuna-13B-16k	lmsys/vicuna-13b-v1.5-16k
Flan-T5-XL	google/flan-t5-xl
Flan-T5-XXL	google/flan-t5-xxl
LLaMA-2-7B	meta-llama/LLaMA-2-7b-hf
LLaMA-2-13B	meta-llama/LLaMA-2-13b-hf
WizardLM-13B	WizardLM/WizardLM-13B-V1.2

Table 53: HuggingFace links for open-source models.

2711 We used the same prompts across all LLMs with one exception. In contrast to the OpenAI and open-source  
2712 LLMs, Anthropic recommends specific prompting formats when using Claude.<sup>30</sup> This includes surrounding  
2713 in-context samples with `<example>//example>` tags, and adding instructions specifying the output space. We  
2714 observed that failing to adhere to these guidelines led Claude to generate text which made extracting a prediction  
2715 challenging. Therefore, when prompting Claude, we added example-tags to the in-context demonstrations and  
2716 instructions specifying the prediction space (e.g., “Reply with either: generic, descriptive, suggestive, arbitrary,  
2717 fanciful”).

2718 LLM outputs were generated using next-token generation at a temperature of 0.0. For classification/extraction  
2719 tasks, we terminated at a new-line token. For `rule_qa` and all application tasks except `diversity_`  
2720 `jurisdiction_6` we generated 150 tokens. For `diversity_jurisdiction_6` we generated 300 tokens.

2721 We believe there is significant scope for improving and refining prompts on LEGALBENCH. Hence, our results  
2722 here provide a lower-bound on performance, as better prompts may elicit higher scores. Our prompts correspond  
2723 to what we believe would be reasonable, based on experience with prompt engineering in other settings, and the  
2724 guidance provided by model developers. We make all prompts available as a starting point for future work on  
2725 LEGALBENCH.

### 2726 J.1.3 Evaluation

2727 Classification tasks are evaluated using "exact-match" (following HELM [80]). Because some tasks contain  
2728 significant label imbalances, we use balanced-accuracy as a metric. For extraction tasks, we perform normaliza-  
2729 tion on generated outputs to account for differences in tense/casing/punctuation. A few tasks (e.g., `successor_`  
2730 `liability` and `ssla_individual_defendants`) requires the LLM to produce multiple classes or extracted  
2731 terms per instance. For these, we evaluate using F1. Appendix H provides more details.

2732 Rule-application tasks were evaluated manually by a law-trained individual, who analyzed LLM responses for  
2733 both correctness and analysis.<sup>31</sup> This type of manual evaluation is consistent with previous works evaluating  
2734 LLM generations in the legal domain [30, 71]. As rule-application requires LLMs to generate “explanations”  
2735 detailing legal reasoning—a capability primarily exhibited by larger models—we only evaluated GPT-4, GPT-3.5,

<sup>30</sup><https://docs.anthropic.com/claude/docs/introduction-to-prompt-design>

<sup>31</sup>For the six diversity jurisdiction tasks, we sampled 30 instances from each task. For all other rule-application tasks, we manually evaluated the entirety of the dataset.

Number of in-context demonstrations	Tasks
0	Canada Tax Court Outcomes, Consumer Contracts QA, Corporate Lobbying, International Citizenship Questions, Rule QA, Supply Chain Disclosure Tasks, SARA (Numeric)
1	MAUD Tasks, SCALR
2	Citation Prediction Tasks
3	Securities Complaint Extraction Tasks
4	Legal Reasoning Causality, Personal Jurisdiction, Successor Liability, SARA (Entailment) Telemarketing Sales Rule, Textualism Tools
5	Abercrombie, Hearsay, Insurance Policy Interpretation, Private Right of Action
6	CUAD Tasks, Diversity Tasks, J.Crew Blocker, Learned Hands Tasks, Overruling, UCC v. Common Law
7	Function of Decision Section, Oral Argument Question Purpose
8	Contract NLI Tasks, Contract QA, Definition Tasks, New York State Judicial Ethics, OPP-115 Tasks, Privacy Policy Entailment, Privacy Policy QA
9	Unfair Terms of Service

Table 54: Number of in-context demonstrations used for each task.

2736 and Claude-1. `rule_qa` was also manually evaluated by a law-trained individual. Appendix H provides more  
2737 details on our approach to manual grading. All manual evaluation was performed with reference to a grading  
2738 guide, which we additionally make available.

## 2739 J.2 Performance trends

2740 Table 55 provides the average task performance for all 20 models in five reasoning categories (issue-spotting,  
2741 rule-recall, rule-conclusion, interpretation, and rhetorical-understanding). The first block of rows corresponds  
2742 to large commercial models, the second block corresponds to models in the 11B-13B range, the third block  
2743 corresponds to models in the 6B-7B range, and the final block corresponds to models in the 2B-3B range. Table  
2744 56 provides the average task performance for the three large models on rule-application. Appendix J provides  
2745 full results for each model on each task.

2746 Overall, we find significant variation in performance across tasks, suggesting that LEGALBENCH captures a  
2747 diverse spectrum of difficulty (Appendix J). These results emphasize that assessments of LLM capabilities for  
2748 legal applications must be made on a task-by-task basis, and informed by the nuances of specific tasks. While  
2749 certain types of tasks appear beyond the scope of current-day LLMs, others seem more within reach. In this  
2750 section, we offer preliminary observations on performance trends across model size, family, and reasoning  
2751 categories.

2752 **Parameter count** Within LLM families, we observe that larger models usually outperform smaller models.  
2753 For instance, Flan-T5-XXL (11B parameters) outperforms Flan-T5-XL (3B parameters) on average across all  
2754 five reasoning categories, and LLaMA-2-13B outperforms LLaMA-2-7B on average across four reasoning  
2755 categories. Notably, the margin of the gap varies across LLM families and reasoning categories. For instance, on  
2756 rule-recall, the 7B Incite-Instruct model outperforms the 3B Incite-Instruct model by almost 10pts, while the  
2757 6.7B OPT model outperforms the 2.7B OPT model by less than 1pt. We additionally note that the largest LLM  
2758 (GPT-4) outperforms virtually all other models.

2759 **Variation across families** Even for LLMs of the same size, we find considerable differences in performance.  
2760 For instance, we observe significant gaps in performance between Flan-T5-XXL (11B parameters) and Vicuna-  
2761 13B-16k (13B parameters), across all reasoning categories. This suggests, unsurprisingly, that the choice of  
2762 pretraining data, regime of instruction-tuning, and architecture play an important role in determining performance,  
2763 and that certain configurations may be better aligned for LEGALBENCH tasks. Interestingly, we observe that

LLM	Issue	Rule	Conclusion	Interpretation	Rhetorical
GPT-4	<u>82.9</u>	<u>59.2</u>	<u>89.9</u>	<u>75.2</u>	<u>79.4</u>
GPT-3.5	60.9	46.3	78.0	72.6	66.7
Claude-1	58.1	57.7	79.5	67.4	68.9
Flan-T5-XXL	<u>66.0</u>	36.0	<u>63.3</u>	<u>64.4</u>	<u>70.7</u>
LLaMA-2-13B	50.2	37.7	59.3	50.9	54.9
OPT-13B	52.9	28.4	45.0	45.1	43.2
Vicuna-13B-16k	34.3	29.4	34.9	40.0	30.1
WizardLM-13B	24.1	<u>38.0</u>	62.6	50.9	59.8
BLOOM-7B	50.6	24.1	47.2	42.8	40.7
Falcon-7B-Instruct	51.3	25.0	52.9	46.3	44.2
Incite-7B-Base	50.1	<u>36.2</u>	47.0	46.6	40.9
Incite-7B-Instruct	<u>54.9</u>	35.6	52.9	<u>54.5</u>	<u>45.1</u>
LLaMA-2-7B	50.2	33.7	<u>55.9</u>	<u>47.7</u>	47.7
MPT-7B-8k-Instruct	54.3	25.9	48.9	42.1	44.3
OPT-6.7B	52.4	23.1	46.3	48.9	42.2
Vicuna-7B-16k	3.9	14.0	35.6	28.1	14.0
BLOOM-3B	47.4	20.6	45.0	45.0	36.4
Flan-T5-XL	<u>56.8</u>	<u>31.7</u>	<u>52.1</u>	<u>51.4</u>	<u>67.4</u>
Incite-3B-Instruct	51.1	26.9	47.4	49.6	40.2
OPT-2.7B	53.7	22.2	46.0	44.4	39.8

Table 55: Average performance for each LLM over the different LEGALBENCH categories. The first block of rows corresponds to large commercial models, the second block corresponds to models in the 11B-13B range, the third block corresponds to models in the 6B-7B range, and the final block corresponds to models in the 2B-3B range. The columns correspond to (in order): issue-spotting, rule-recall, rule-conclusion, interpretation, and rhetorical-understanding. For each class of models (large, 13B, 7B, and 3B), the best performing model in each category of reasoning is underlined.

LLM	Correctness	Analysis
GPT-4	<u>82.2</u>	<u>79.7</u>
GPT-3.5	58.5	44.2
Claude-v1	61.4	59.0

Table 56: Average performance for the large LLMs on rule-application tasks.

2764 such choices may affect which types of reasoning categories LLMs appear to perform well at. For instance,  
2765 we observe that WizardLM-13B performs worse than all peers on issue-spotting tasks, best on rule-recall  
2766 tasks, and nearly matches the performance of the best-performing peer on rule-conclusion tasks. Comparing  
2767 Incite-7B-Instruct to Incite-7B-Base also provides insight into the effect of instruction-tuning across different  
2768 categories, at one size point (7B parameters). We observe that instruction-tuning improves performance on  
2769 four categories (issue-spotting, rule-conclusion, interpretation, and rhetorical-understanding), and worsens  
2770 performance on rule-recall.

2771 We additionally find that family-specific trends appear to hold across different size points. For instance, the  
2772 Flan-T5 models outperform all others at both the 3B and 13B scale, while the Vicuna models appear to  
2773 underperform competitors at both the 7B and 13B scale. We attribute the Vicuna models’ low performance to  
2774 their frequency tendency to generate poorly-formed outputs, which did not map to the expected verbalizer tokens  
2775 (e.g., blank spaces, random characters, etc.).<sup>32</sup> This could possibly be attributed to the type of data used to fine  
2776 the model (e.g., user-conversation), although more in-depth experimentation is necessary.

2777 **The gap between open-source and commercial models** Finally, we find evidence that open-source  
2778 models are capable of performance that matches or exceeds certain commercial models. For instance, Flan-  
2779 T5-XXL outperforms GPT-3.5 and Claude-1 on two categories (issue-spotting and rhetorical-understanding),  
2780 despite the relative gap in parameter count. Notably, the gap between closed and open-source models is largest  
2781 for the rule-conclusion category. Amongst LEGALBENCH tasks, rule-conclusion tasks most like the other types  
2782 of multi-step/common-sense reasoning tasks where commercial LLMs have been found to perform well.

<sup>32</sup>In further experimentation, we found that writing prompts using the “### Human:” and “### Assistant:” templates did not appear to help.

### 2783 J.3 Comparing GPT models

2784 This section provides a more in-depth study of performance, focusing on the three commercial models (GPT-4,  
2785 GPT-3.5, and Claude-1). The purpose of this section is to illustrate how LEGALBENCH enables fine-grained  
2786 analysis of LLM performance. In particular we highlight how LEGALBENCH can provide more rigorous  
2787 empirical support for anecdotal observations arising out of the legal community’s use of these models, and  
2788 explain performance differences between models.

#### 2789 J.3.1 Issue-spotting

2790 We first consider average model performance across all issue-spotting tasks. We observe that GPT-4 outperforms  
2791 GPT-3.5 and Claude-1 (both at  $p < 0.001$ ).<sup>33</sup> In absolute terms, issue tasks present the largest gap in performance  
2792 between GPT-4 and other closed-API models, with an absolute margin of 20+ points. GPT-3.5 and Claude-1,  
2793 in contrast, appear to match each other in performance, separated by an average gap of only 2 points. We  
2794 additionally find that the open-source models perform poorly here. On 9 tasks, Incite-Base collapses to predicting  
2795 a single class for all samples.

2796 We note one limitation to our results: because 16/17 of our issue-spotting tasks are drawn from one source  
2797 (Learned Hands data), average issue performance is skewed by properties of the Learned Hands data distribution  
2798 (i.e., user-generated questions). For instance, though GPT-3.5 outperforms Claude-1 on 12/16 Learned Hands  
2799 tasks, Claude-1 outperforms GPT-3.5 on the one non-Learned Hands task (`corporate_lobbying`). Despite the  
2800 skew, we observe that these tasks appear to vary in difficulty. While GPT-4’s balanced-accuracy on `learned_`  
2801 `hands_torts` is only 70.6%, on three tasks—`learned_hands_immigration`, `learned_hands_traffic`,  
2802 and `learned_hands_estate`—it scores  $> 95\%$ .

#### 2803 J.3.2 Rule-recall

2804 We first consider average model performance across all rule-recall tasks. While GPT-4 outperforms GPT-3.5  
2805 ( $p < 0.05$ ), we surprisingly find that Claude-1 also outperforms GPT-3.5 ( $p < 0.05$ ), and appears almost  
2806 on par with GPT-4. Moreover, Claude-1 outperforms GPT-4 on three tasks: `rule_qa`, `international_`  
2807 `citizenship_questions`, and `nys_judicial_ethics`. This is the only task category where Claude-1  
2808 provides performance comparable to GPT-4. Because little is known regarding the architecture and training  
2809 processes for these models however, it is difficult to explain why this is the case.

2810 Because rules/laws can be analogized to law-specific “facts,” rule-recall tasks are similar to general domain  
2811 LLM tasks designed to measure “hallucination.” There, an extensive literature has documented the propensity  
2812 for LLMs to both generate factually incorrect information, and answer fact-based questions incorrectly [80, 106].  
2813 Our results align with the primary findings of that literature. For example, we observe that the small open source  
2814 models perform considerably worse than the larger models, consistent with the observation that model size plays  
2815 an important role in fact-retention. Overall, performance on the rule-recall tasks also lend additional empirical  
2816 support to more anecdotal reports—from the legal community—regarding how LLMs often misstate the law or  
2817 cases [114].

#### 2818 J.3.3 Rule-application

2819 Application tasks evaluate whether LLMs can explain how a legal rule applies to a set of facts, and verbalize the  
2820 necessary inferences. With respect to correctness, we observe that GPT-4 outperforms both GPT-3.5 ( $p < 0.01$ )  
2821 and Claude-1 ( $p < 0.01$ ). Across LLMs, we find that variation in performance across tasks is consistent with  
2822 subjective impressions of task difficulty. For instance, performance on `diversity_jurisdiction_1` (an easy  
2823 task requiring a model to determine if an amount is greater than \$75k and if the plaintiff and defendant are from  
2824 different states) is much higher than performance on `successor_liability` (a harder task requiring a model  
2825 to identify multiple successor liability exceptions in a fact pattern describing a complex transaction).

2826 We observe that LLM generations may be incorrect in many different ways. On the Diversity Tasks, LLMs  
2827 sometimes perform incorrect arithmetic operations or mathematical comparisons (i.e., stating that \$50,000 is  
2828 greater than \$75,000). On `telemarketing_sales_rule` in contrast, LLMs will cite to an incorrect portion of  
2829 the rule. For instance, a generation may explain that certain conduct by a telemarketer runs afoul of the rule  
2830 because the telemarketer failed to make a mandatory disclosure (16 CFR § 310.3(a)(1)), but cite to the portion of  
2831 the rule prohibiting misrepresentations (16 CFR § 310.3(a)(2)). Examples of other types of incorrect generations  
2832 can be found in Table 57.

2833 With respect to analysis, we observe that GPT-4 again outperforms both GPT-3.5 ( $p < 0.01$ ) and Claude-1  
2834 ( $p < 0.01$ ). Explanations which failed to exhibit analysis can be grouped into several categories. First, some  
2835 generations will contain just a prediction as to the legal outcome, without an explanation (even when the LLM  
2836 has been prompted to generate one). The same prompt—applied to other samples in the dataset—will elicit

<sup>33</sup>Statistical significance is computed using a paired  $t$ -test over the tasks in the category.

Fact Pattern (Task)	LLM generation	Why is it incorrect?
Sophia is from Nebraska. Ava is from Washington. Sophia sues Ava for legal malpractice for \$34,000. (diversity_1)	“No. The parties are not diverse because Sophia and Ava are both from different states. The AiC is too small because it is less than 75k. Because the AiC requirement is not met there is no jurisdiction.” (GPT-3.5)	While GPT-3.5 correctly identifies that there is no jurisdiction because the AiC is less than \$75k (and thus too small), it fails to recognize that the parties (Sophia and Ava) are diverse because they are from different states.
The mark "Trim" for nail clippers. (abercrombie)	“The mark trim for nail clippers would be considered a suggestive mark. It suggests a characteristic or quality of the product which is that the nail clippers are used to trim ones nails. It implies this purpose and function without directly describing it so trim for nail clippers would be classified as a suggestive trademark.” (Claude-1)	Claude-1’s assertion that the mark “implies this purpose and function without directly describing it” misses the fact that “Trim” directly describes, rather than implies, what nail clippers are used for (i.e., the <i>trim</i> nails). Thus, the mark is actually descriptive, and not suggestive.
Ana is a lawyer who resides in Texas. While visiting Louisiana, she meets David, who runs a bike shop. She buys a bike from him and uses it to bike back to Texas. Right after she crosses the border, the bike seat explodes, injuring Ana. Ana sues David in Louisiana. (personal_jurisdiction)	“Yes. There is personal jurisdiction over David in Louisiana. Explanation. 1. David is domiciled in Louisiana so there is general jurisdiction over him in Louisiana. 2. David has sufficient minimum contacts with Louisiana such that he has availed himself of the privileges of conducting business in Louisiana. He operates a bike shop in Louisiana so he purposefully avails himself of doing business in the state.. 3. Ana’s claim arises out of Davids contacts with Louisiana she bought the defective bike from David’s bike shop in Louisiana so the claim is directly related to David’s business contacts in the state. 4. Requiring David to litigate in Louisiana would not offend traditional notions of fair play.” (Claude-1)	The LLM states that David is domiciled in Louisiana, when the facts make no mention of this.

Table 57: Examples of generations for rule-application tasks which are *incorrect*. The last column provides analysis for why the generation is incorrect.

2837 explanations containing analysis. Second, we observe a tendency for LLMs to sometimes generate explanations  
2838 which merely restate the facts and legal rule, without actually offering an explanation for how the outcome is  
2839 reached. Examples of such instances are provided in the table below.

### 2840 J.3.4 Rule-conclusion

2841 Rule-conclusion evaluates on the same tasks as rule-application, but only requires the LLM to generate a  
2842 prediction as to the outcome, and not an explanation. We observe that GPT-4 once again outperforms GPT-3.5  
2843 ( $p < 0.01$ ) and Claude-1 ( $p < 0.01$ ). Claude-1 and GPT-3.5 appear approximately level on performance.

2844 The rule-conclusion tasks offer a heuristic for characterizing the types of legal inferences LLMs are capable of  
2845 or struggle with. In particular, several of these tasks organize samples into *slices*, where the samples contained  
2846 within a slice all represent a similar type of fact pattern, and thus interact with the legal rule in a comparable  
2847 way. For instance, the *hearsay* task contains a slice corresponding to “non-verbal hearsay.” This slice contains  
2848 fact patterns where an individual communicates something non-verbally (e.g., pointing), thus qualifying their  
2849 conduct as a “statement” under the hearsay rule. In order to make accurate predictions on this slice, an LLM  
2850 must recognize that (1) the hearsay rule applies to non-verbal communicative conduct, and (2) the non-verbal  
2851 conduct in these fact patterns is communicative.

2852 Though slices are small—and thus not intended for rigorous statistical analysis—they provide some intuition  
2853 as to the source of GPT-4’s improvement over GPT-3.5, and the overall areas of strength and weakness for  
2854 both models. On the *hearsay* task for instance (Table 59), the difference between GPT-4 and GPT-3.5 appears  
2855 primarily attributable to improvements over the slices corresponding to non-verbal hearsay and statements made  
2856 in court. In looking across slices moreover, it’s clear that some are comfortably within the realm of model

Fact Pattern (task)	LLM generation	What analysis is missing?
Martha makes special pinwheel hats in her home in Salem, Oregon. She only markets her hats on the west coast (California, Oregon, and Washington). Alex, a citizen of Maine, purchases one of the hats while visiting Salem. When Alex returns to Maine, the pinwheel comes off and seriously cuts Alex. Alex sues Martha for damages in Washington. ( <i>personal_jurisdiction</i> )	“No. There is not personal jurisdiction.” (Claude-1)	Claude-1 does not provide any explanation for <i>why</i> there is no personal jurisdiction, so the explanation does not contain analysis.
On the issue of whether Henry negligently hit Kelly with his car, Kelly’s statement, “a witness told me after the crash that Henry was on his cell phone while driving.” ( <i>hearsay</i> )	“Yes, there is hearsay in this situation. The reasoning is as follows:. . . 1. The statement in question is an out-of-court statement, as it was made by a witness who is not testifying in court.. 2. The statement is being introduced to prove the truth of the matter asserted, which is that Henry was on his cell phone while driving.. 3. Since the statement is an out-of-court statement being introduced to prove the truth of the matter asserted, it is considered hearsay.” (GPT-4)	GPT-4 does not explain how the truthfulness of the out-of-court statement (whether or not Henry was on his phone) relates to the purpose of its introduction (proving/disproving that Henry negligently drove).

Table 58: Examples of generations for rule-application tasks which do not contain analysis. The last column explains why the generation is deficient.

2857 capabilities (e.g., non-assertive conduct), while others (e.g., not introduced to prove the truth of the matter  
2858 asserted) still pose a considerable challenge.

Slice	Slice description	GPT-3.5	GPT-4
Non-assertive conduct ( $n = 19$ )	The fact pattern describes conduct which is non-communicative and therefore not hearsay.	100%	100%
Statement made in-court ( $n = 14$ )	The fact pattern describes a statement that was made in court and therefore not hearsay.	57%	93%
Standard hearsay ( $n = 29$ )	The fact pattern describes traditional hearsay (out-of-court statement introduced to prove the truth of the matter asserted).	97%	97%
Non-verbal hearsay ( $n = 12$ )	The fact pattern describes non-verbal communicative conduct that qualifies as hearsay.	33%	75%
Not introduced to prove truth ( $n = 20$ )	The fact pattern describes a statement <i>not</i> introduced to prove the truth of the matter asserted, which is therefore not hearsay.	25%	45%

Table 59: Comparison between GPT-3.5 and GPT-4 on *hearsay* slices. Accuracy is reported for each slice.

2859 Another example is provided by the *abercrombie* task, in which an LLM must determine the relationship  
2860 between a product and a potential trademark name, by classifying the product-name pair into one of five categories  
2861 recognized by courts: generic, descriptive, suggestive, arbitrary, and fanciful. Loosely, these categories measure  
2862 how *distinctive* a product name is for a product, with generic being the least distinctive, and fanciful being the  
2863 most distinctive. Just as with *hearsay*, comparing LLM performance on each of these categories provides  
2864 insight into the relative areas of improvement (Table 60). Here, GPT-4’s improved overall performance appears  
2865 most attributable to performance on marks which are suggestive or arbitrary. However, GPT-4 still makes a

2866 number of errors for both categories. Interestingly, performance on descriptive marks is consistent between both  
2867 models.

Mark	Mark description	GPT-3.5	GPT-4
Generic ( $n = 19$ )	The name connotes the basic nature of the product/service.	94%	100%
Descriptive ( $n = 19$ )	The name identifies a characteristic or quality of the product/service.	73%	72%
Suggestive ( $n = 20$ )	The name suggests, rather than describes, a characteristic of the product/service.	38%	70%
Arbitrary ( $n = 18$ )	The name is a real world but has no relation to the product/service.	41%	82%
Fanciful ( $n = 19$ )	The name is a made-up word.	84%	100%

Table 60: Comparison between GPT-3.5 and GPT-4 on abercrombie categories. Accuracy is reported for each slice.

### 2868 J.3.5 Interpretation

2869 On the interpretation tasks, we find that on average GPT-4 outperforms GPT-3.5 ( $p < 0.01$ ), and GPT-3.5  
2870 outperforms Claude-1 ( $p < 0.01$ ). Here, the larger API-models are highly performant on tasks which involve  
2871 binary classification over short clauses. Averaged across the 38 CUAD tasks (contract clauses), for instance,  
2872 GPT-4, GPT-3.5, and Claude-1 all have a balanced-accuracy  $\geq 88\%$ . And on *proa* (statutory clauses), both  
2873 GPT-4 and GPT-3.5 have a balanced-accuracy  $\geq 90\%$ . Notably, performance degrades on tasks which contain  
2874 longer text sequences or involve multi-class classification. On the Supply Chain Disclosure tasks for instance—in  
2875 which LLMs must classify disclosures which are 1-2 pages in length—the average balanced-accuracy of the  
2876 large commercial models ranges between 74-75%. And on the MAUD tasks—which require answering multiple  
2877 choice questions about merger deals—the average balanced-accuracy of GPT-4 drops to 47.8% accuracy.

### 2878 J.3.6 Rhetorical-analysis

2879 On average across all rhetorical-understanding tasks, we find that GPT-4 outperforms both GPT-3.5 ( $p \leq 0.05$ )  
2880 and Claude-1 ( $p \leq 0.05$ ). We note several results. First, on *definition\_extraction*—which requires a  
2881 LLM to extract the term defined by a sentence taken from a Supreme Court opinion—Incite-Base almost equals  
2882 GPT-4 in performance (80.6% accuracy to 81.8%). Second, nearly all evaluated models struggle on two tasks  
2883 requiring LLMs to label the legal “roles” played by either a question or excerpt from an opinion (*function\_*  
2884 *of\_decision\_section* and *oral\_argument\_question\_purpose*). Notably, both tasks require the LLM to  
2885 classify text into one of six or more categories

## 2886 J.4 Prompt engineering strategies

2887 Finally, we illustrate—through a series of micro-studies—how LEGALBENCH can be used to explore different  
2888 aspects of prompt-engineering for LLMs in legal settings. We focus on three questions:

- 2889 1. Can LLMs rely on their latent knowledge of a rule for rule-conclusion tasks?
- 2890 2. Does simplifying task descriptions to plain language affect performance?
- 2891 3. Are LLMs sensitive to the choice of in-context demonstrations?

2892 **Reliance on latent knowledge** When prompting for general-domain tasks like sentiment or topic classifi-  
2893 cation, prompt-engineers will often rely on the LLM’s latent knowledge of the task [5]. In topic classification for  
2894 instance, a prompt may use the instructions to label whether a news article is about “sports,” without offering  
2895 a detailed description of what “sports” refers to or encompasses. Such a description is not necessary, because  
2896 general-domain terms like “sports” appear frequently in LLM training corpora, and LLMs can learn from these  
2897 occurrences what general-domain terms mean. Prompting for legal tasks, however, may require a different  
2898 strategy. Because legal terms occur less frequently in general domain training corpora, legal prompting may  
2899 require practitioners to provide additional background information. For example, a general domain LLM may  
2900 not know what the requirements for diversity jurisdiction are, because diversity jurisdiction is not as commonly  
2901 discussed in pretraining corpora.

2902 We explore this question through a study of rule-conclusion tasks. For a selection of these tasks, we evaluate  
2903 GPT-3.5 with two zero-shot prompts: a reference-based prompt and a description-based prompt. In the reference  
2904 prompt, the task instructions merely state the rule to be applied, i.e., “Determine if the following fact patterns give

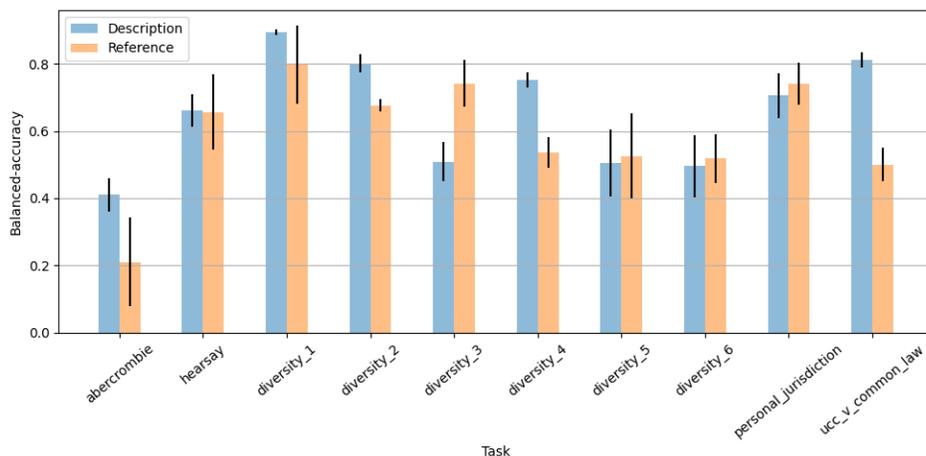


Figure 3: We compare performance of prompts which describe the legal rule to be applied (“description”) against prompts which reference the legal rule to be applied (“reference”). Error bars measure standard error, computed using a bootstrap with 1000 resamples.

2905 rise to diversity jurisdiction.” In the description-based prompt, the instructions provide an explicit description of  
 2906 the rule, i.e., “Diversity jurisdiction exists when there is (1) complete diversity between plaintiffs and defendants,  
 2907 and (2) the amount-in-controversy (AiC) is greater than \$75k.” By comparing performance between the reference  
 2908 and description prompt, we can measure whether providing a description of the rule in the prompt provides  
 2909 additional performance boost over the LLM’s latent knowledge of the rule.

2910 Figure 3 provides a comparison for the different prompts. Interestingly, we find considerable variation across  
 2911 tasks. On tasks like *abercrombie*, *ucc\_v\_common\_law*, *diversity\_2*, and *diversity\_4*, description  
 2912 prompts appear to offer significant increase in performance. On the other tasks, performance is approxi-  
 2913 mately the same (or even worse). We identify two possible explanations for diverging results across tasks. First,  
 2914 on certain tasks, subsets of fact-patterns are too challenging for LLMs like GPT-3.5, and description-based  
 2915 prompts do not provide sufficient guidance for LLMs to reason through those fact patterns. Second, legal rules  
 2916 may be described to varying extents within pretraining corpora. Hence, tasks where we observe performance im-  
 2917 provedments from description-based prompting may correspond to rules which occur less frequently in pretraining  
 2918 data.

2919 **Plain language descriptions of tasks** Next, we examine the extent to which domain specialization in the  
 2920 language of the prompts affects performance. Like experts in other specialized domains, lawyers have developed  
 2921 their own language (i.e., “legalese”), which forms the basis for most legal writing and communication. It is  
 2922 unclear whether—in interacting with large language models through prompting—lawyers should continue to  
 2923 rely on formalistic legal language, or instead use simpler plain language. While most large language models are  
 2924 “general domain” and thus less specialized to legalese, formalistic legal language is more precise, and may thus  
 2925 induce more accurate behavior from the model.

2926 We explore this question by comparing “plain language” and “technical language” prompts. For a subset  
 2927 of LEGALBENCH tasks, we have access to the formal language provided to law-trained annotators when  
 2928 creating task data. By comparing the performance of a prompt which uses this language—to one which uses a  
 2929 plain-language version—we can measure how the technicality of language affects results.

2930 We conduct preliminary experiments on a sample of five LEGALBENCH tasks (Figure 4).<sup>34</sup> On four of the  
 2931 five tasks, we find that the plain-language prompt significantly outperforms the technical language prompt,  
 2932 by up to 21 points (balanced-accuracy). Interestingly, on *contract\_nli\_permmissible\_post-agreement\_*  
 2933 *possession*, we find the opposite phenomenon holds: the plain language prompt is substantially *worse* than the  
 2934 technical prompt.

2935 **Sensitivity to in-context demonstrations** Finally, we investigate the influence of the in-context demon-  
 2936 strations used in prompts. Prior work in general domain LLMs have observed that few-shot performance is highly  
 2937 sensitive to the choice of demonstrations [58, 125, 143]. We evaluate whether LLMs are similarly sensitive for  
 2938 legal tasks, focusing on a subset of 8 binary classification tasks. For each task we merge the train and evaluation  
 2939 split into a single dataset, and randomly sample four in-context samples to include in the prompt (two from each

<sup>34</sup>Prompts are made available in the LEGALBENCH repository.

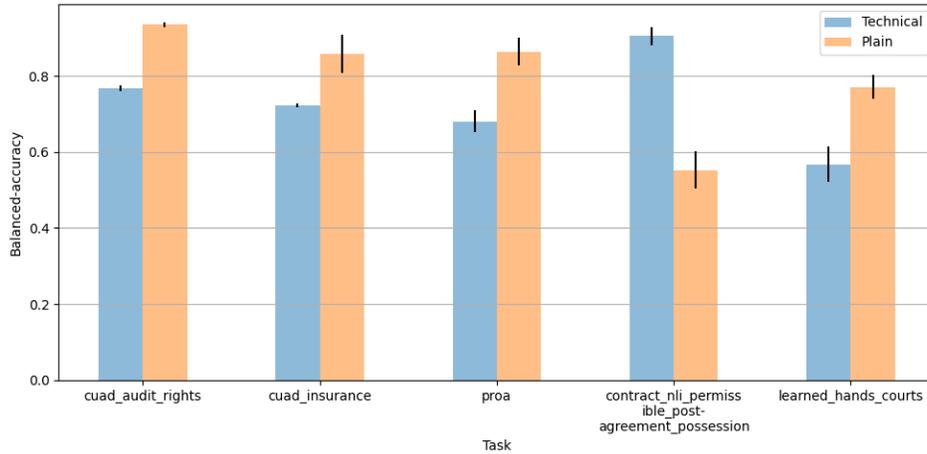


Figure 4: We compare performance of prompts which describe the task in plain language to prompts which describe the task in technical legal language (for GPT-3.5). Error bars measure standard error, computed using a bootstrap with 1000 resamples.

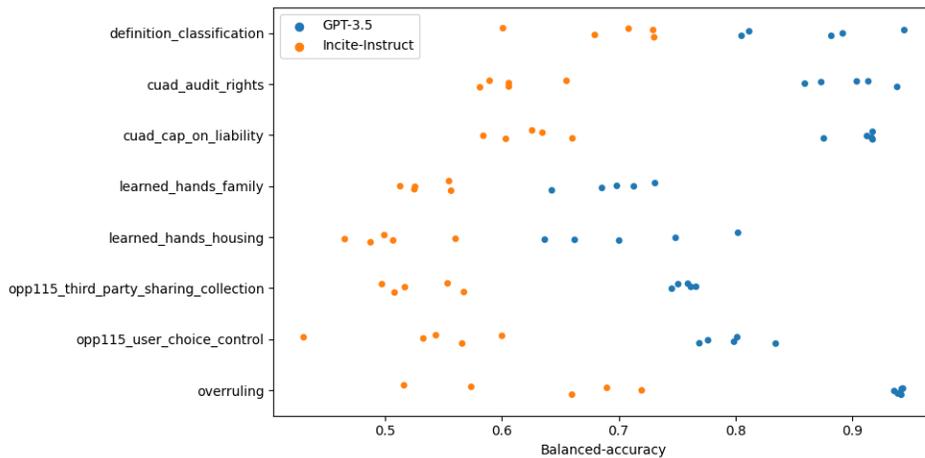


Figure 5: We evaluate GPT-3.5 and Incite-Instruct on five prompts constructed by randomly selecting different samples to use as in-context demonstrations (maintaining class balance in the prompt). In the figure above, each point corresponds to a different prompt.

2940 class), five different times. We evaluated GPT-3.5 and Incite-Instruct-7B with each of the five generated prompts,  
 2941 and plot the the balanced-accuracy of each prompt in Figure 5.

2942 Consistent with findings on general-domain tasks, we observe that LLMs on legal tasks are also highly sensitive  
 2943 to the choice of in-context samples. Notably, this appears to be the case for both GPT-3.5 and Incite-Instruct.  
 2944 Under a permutation test, we find significant differences ( $p < 0.01$ ) between the best and worst performing  
 2945 prompt for Incite-Instruct (on all tasks), and for GPT-3.5 (on all tasks except `opp115_third_party_sharing_`  
 2946 `collection` and `overruling`).<sup>35</sup> For many tasks, the magnitude of difference is substantial. On `overruling`  
 2947 for instance, the best Incite-Instruct prompt improves upon the worst prompt by over 20 points (balanced-  
 2948 accuracy). Overall, these results suggest that future work is needed to understand how different demonstrations  
 2949 influence performance.

<sup>35</sup>We conduct the permutation test with 1000 resamples.

2950 **K All tables**

2951 We provide results for each LLM on each of the tasks. Models are divided into four groups based on type:  
 2952 commercial models, 13B models, 7B models, and 3B models. Model names are abbreviated to the family  
 2953 name to ensure well-formed tables.

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
abercrombie	84.2 / 84.2	48.4 / 48.4	65.2 / 63.1
abercrombie	84.2 / 84.2	48.4 / 48.4	65.2 / 63.1
diversity_1	96.7 / 96.7	86.7 / 86.7	86.7 / 86.7
diversity_2	100.0 / 100.0	53.3 / 50.0	73.3 / 73.3
diversity_3	96.7 / 96.7	83.3 / 66.7	53.3 / 53.3
diversity_4	93.3 / 93.3	70.0 / 66.7	43.3 / 43.3
diversity_5	76.6 / 76.6	66.7 / 66.7	36.7 / 36.7
diversity_6	80.0 / 80.0	6.7 / 6.7	53.3 / 53.3
hearsay	75.5 / 47.9	55.3 / 23.4	68.1 / 41.5
personal_jurisdiction	94.0 / 94.0	68.0 / 10.0	70.0 / 70.0
successor_liability	19.1 / 19.1	15.2 / 15.2	38.3 / 38.3
telemarketing_sales_rule	72.3 / 70.2	48.9 / 42.5	55.3 / 55.3
ucc_v_common_law	97.8 / 97.8	100.0 / 47.8	93.6 / 93.6

Table 61: Performance on rule-application tasks for commercial models. We report correctness/analysis.

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
rule_qa	72.0	46.0	77.0
international_citizenship_questions	59.3	52.7	60.0
nys_judicial_ethics	78.3	74.3	79.1
citation_prediction_classification	71.3	54.6	61.1
citation_prediction_open	15.1	3.8	11.3

Table 62: Commercial models on rule-recall tasks.

<b>Task</b>	<b>Flan</b>	<b>Llama-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
rule_qa	0.0	22.0	8.0	14.0	20.0
international_citizenship_questions	52.4	50.0	18.0	21.5	49.8
nys_judicial_ethics	69.1	67.6	63.3	61.3	63.8
citation_prediction_classification	56.5	47.2	52.8	50.0	52.8
citation_prediction_open	1.9	1.9	0.0	0.0	3.8

Table 63: 13B models on rule-recall tasks.

<b>Task</b>	<b>Bloom</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>Llama-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
rule_qa	6.0	8.0	18.0	28.5	22.0	20.0	6.0	0.0
international_citizenship_questions	0.0	10.2	49.5	47.0	27.2	2.4	2.4	3.0
nys_judicial_ethics	62.5	55.8	62.7	54.9	67.5	57.2	59.7	52.3
citation_prediction_classification	50.0	50.9	50.9	47.2	50.0	50.0	47.2	14.8
citation_prediction_open	1.9	0.0	0.0	0.0	1.9	0.0	0.0	0.0

Table 64: 7B models on rule-recall tasks.

<b>Task</b>	<b>Bloom</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
rule_qa	0.0	0.0	14.0	2.0
international_citizenship_questions	0.0	50.0	18.9	3.0
nys_judicial_ethics	54.0	58.5	49.9	56.7
citation_prediction_classification	49.1	50.0	51.9	49.1
citation_prediction_open	0.0	0.0	0.0	0.0

Table 65: 3B models on rule-recall tasks.

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
corporate_lobbying	81.7	59.1	75.8
learned_hands_benefits	87.9	62.1	66.7
learned_hands_business	81.6	58.6	47.7
learned_hands_consumer	76.2	59.3	58.1
learned_hands_courts	52.6	54.2	46.9
learned_hands_crime	81.0	62.4	59.0
learned_hands_divorce	84.0	59.3	53.3
learned_hands_domestic_violence	83.9	60.9	62.6
learned_hands_education	91.1	57.1	55.4
learned_hands_employment	69.9	67.7	49.3
learned_hands_estates	96.6	59.0	74.7
learned_hands_family	86.2	57.1	50.9
learned_hands_health	87.2	65.0	49.6
learned_hands_housing	85.0	63.9	58.7
learned_hands_immigration	98.5	79.9	73.1
learned_hands_torts	70.6	60.0	53.2
learned_hands_traffic	95.3	49.8	52.3

Table 66: Commercial models on issue-spotting tasks.

<b>Task</b>	<b>Flan</b>	<b>Llama-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
corporate_lobbying	55.9	55.9	50.9	50.3	50.2
learned_hands_benefits	68.2	50.0	50.0	4.5	0.0
learned_hands_business	61.5	50.6	48.9	1.1	46.6
learned_hands_consumer	72.8	50.0	45.0	0.0	44.6
learned_hands_courts	58.9	49.5	50.0	50.0	0.0
learned_hands_crime	83.0	50.1	51.5	48.4	26.7
learned_hands_divorce	57.3	49.3	50.0	49.3	0.0
learned_hands_domestic_violence	68.4	48.9	50.0	0.0	0.0
learned_hands_education	89.3	50.0	46.4	50.0	26.8
learned_hands_employment	74.2	49.2	49.9	23.1	0.0
learned_hands_estates	67.4	50.0	68.5	49.4	38.8
learned_hands_family	5.4	50.1	63.6	49.3	0.0
learned_hands_health	66.8	49.6	63.7	50.0	38.1
learned_hands_housing	68.7	49.8	49.5	47.8	0.0
learned_hands_immigration	79.9	51.5	53.0	50.0	53.0
learned_hands_torts	60.6	50.0	50.2	49.8	46.1
learned_hands_traffic	84.2	49.8	58.6	10.8	38.7

Table 67: 13B models on issue-spotting tasks.

<b>Task</b>	<b>Bloom</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>Llama-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
corporate_lobbying	40.3	44.8	50.1	49.7	50.0	49.6	50.9	45.5
learned_hands_benefits	48.5	51.5	50.0	51.5	51.5	56.1	50.0	0.0
learned_hands_business	55.7	60.3	50.0	55.7	50.0	50.0	59.8	0.0
learned_hands_consumer	45.9	45.0	49.7	48.0	50.0	46.6	48.9	7.5
learned_hands_courts	53.6	50.5	50.5	48.4	49.5	57.8	49.5	0.0
learned_hands_crime	50.3	51.6	50.0	54.1	51.0	53.9	51.7	0.0
learned_hands_divorce	48.0	50.0	50.0	62.0	46.7	58.0	49.3	0.0
learned_hands_domestic_violence	48.9	48.9	51.7	59.8	51.1	49.4	50.6	0.0
learned_hands_education	53.6	62.5	50.0	60.7	50.0	53.6	48.2	0.0
learned_hands_employment	50.6	49.7	49.9	54.8	49.4	51.1	49.7	0.0
learned_hands_estates	51.7	51.1	50.0	46.1	50.6	62.4	55.6	0.0
learned_hands_family	55.0	57.3	49.6	60.8	50.2	56.0	53.3	0.0
learned_hands_health	49.1	52.7	50.0	59.3	52.2	57.1	53.5	0.0
learned_hands_housing	49.2	49.6	49.8	47.1	50.5	49.3	50.1	0.0
learned_hands_immigration	53.7	47.0	50.0	64.9	50.0	67.9	62.7	0.0
learned_hands_torts	51.2	48.8	50.0	56.0	50.0	48.4	50.0	0.0
learned_hands_traffic	54.7	50.0	49.8	54.3	50.2	56.5	57.2	13.3

Table 68: 7B models on issue-spotting tasks.

<b>Task</b>	<b>Bloom</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
corporate_lobbying	26.2	51.9	47.6	51.0
learned_hands_benefits	43.9	43.9	47.0	53.0
learned_hands_business	51.1	49.4	47.7	44.8
learned_hands_consumer	39.7	70.8	50.2	38.1
learned_hands_courts	56.2	46.9	47.4	60.4
learned_hands_crime	49.7	60.6	51.9	51.7
learned_hands_divorce	58.0	50.0	61.3	56.0
learned_hands_domestic_violence	36.2	49.4	52.9	51.7
learned_hands_education	50.0	67.9	51.8	46.4
learned_hands_employment	50.7	46.6	48.0	50.1
learned_hands_estates	42.7	66.3	52.8	62.4
learned_hands_family	52.1	47.6	59.0	64.6
learned_hands_health	46.9	58.4	53.1	52.2
learned_hands_housing	51.2	51.7	47.1	51.8
learned_hands_immigration	59.0	73.1	53.7	56.7
learned_hands_torts	44.4	66.2	51.2	56.7
learned_hands_traffic	46.8	65.3	46.4	65.3

Table 69: 3B models on issue-spotting tasks.

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
abercrombie	85.3	63.2	66.3
diversity_1	100.0	88.4	83.4
diversity_2	99.8	87.3	92.9
diversity_3	97.0	89.4	84.3
diversity_4	100.0	90.1	97.9
diversity_5	93.2	92.6	81.0
diversity_6	90.5	77.3	56.4
hearsay	83.8	69.2	76.4
personal_jurisdiction	91.4	63.3	81.9
successor_liability	57.1	52.3	72.7
telemarketing_sales_rule	82.4	63.1	71.9
ucc_v_common_law	98.8	100.0	88.8

Table 70: Commercial models on rule-conclusion tasks.

<b>Task</b>	<b>Flan</b>	<b>Llama-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
abercrombie	42.1	40.0	0.0	22.1	44.2
diversity_1	76.0	50.0	55.0	25.5	60.5
diversity_2	59.4	62.1	53.9	48.4	57.1
diversity_3	78.6	65.8	50.0	52.6	77.8
diversity_4	53.2	68.3	49.6	55.4	82.5
diversity_5	53.5	57.7	52.4	52.7	53.2
diversity_6	50.0	50.0	48.1	50.0	54.3
hearsay	64.0	56.5	52.3	48.7	66.3
personal_jurisdiction	62.6	57.9	51.3	0.0	64.0
successor_liability	52.7	51.2	26.4	0.0	39.1
telemarketing_sales_rule	69.8	74.3	50.0	63.1	72.7
ucc_v_common_law	98.1	77.9	52.5	0.0	79.8

Table 71: 13B models on rule-conclusion tasks.

<b>Task</b>	<b>Bloom</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>Llama-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
abercrombie	7.9	24.2	27.4	34.7	32.6	34.7	17.9	2.1
diversity_1	47.6	51.7	56.8	61.5	73.4	54.9	58.0	55.3
diversity_2	50.0	56.3	50.5	65.7	50.0	50.0	49.8	49.1
diversity_3	51.9	53.6	50.0	50.8	62.8	50.0	49.9	49.3
diversity_4	58.3	68.7	50.0	67.9	72.9	50.0	49.7	49.7
diversity_5	57.9	50.0	50.4	49.4	51.3	50.0	50.0	46.8
diversity_6	50.0	50.0	50.0	44.7	50.0	50.0	49.7	50.0
hearsay	51.7	53.7	50.0	73.2	64.1	61.7	36.4	30.7
personal_jurisdiction	51.8	54.6	40.0	46.1	52.6	43.8	50.6	50.0
successor_liability	21.7	39.1	37.2	32.6	43.4	35.7	38.8	0.0
telemarketing_sales_rule	57.4	55.3	51.8	58.6	61.3	55.8	54.5	43.6
ucc_v_common_law	50.0	50.0	50.0	50.0	56.6	50.0	50.0	0.0

Table 72: 7B models on rule-conclusion tasks.

<b>Task</b>	<b>Bloom</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
abercrombie	20.0	31.6	25.3	26.3
diversity_1	50.0	50.0	50.3	51.5
diversity_2	50.0	50.0	49.3	52.6
diversity_3	50.0	50.0	46.2	50.0
diversity_4	50.0	50.0	62.5	51.4
diversity_5	51.8	50.0	52.8	50.0
diversity_6	50.5	50.0	51.5	50.0
hearsay	51.2	57.5	57.0	49.7
personal_jurisdiction	50.0	51.1	46.1	50.0
successor_liability	21.7	44.6	24.8	14.4
telemarketing_sales_rule	44.5	51.4	53.5	55.9
ucc_v_common_law	50.0	88.8	50.0	50.0

Table 73: 3B models on rule-conclusion tasks.

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
canada_tax_court_outcomes	98.9	80.0	76.9
definition_classification	96.6	80.2	87.9
definition_extraction	81.8	85.0	82.7
function_of_decision_section	43.3	35.2	37.6
legal_reasoning_causality	84.5	72.1	66.9
oral_argument_question_purpose	37.4	28.4	35.1
overruling	95.2	88.9	95.4
scalr	77.9	58.8	64.7
textualism_tool_dictionaries	93.9	65.1	71.2
textualism_tool_plain	84.7	73.2	70.2

Table 74: Commercial models on rhetorical-understanding tasks.

<b>Task</b>	<b>Flan</b>	<b>Llama-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
canada_tax_court_outcomes	71.7	34.4	1.8	2.9	75.1
definition_classification	80.8	51.2	57.4	50.0	81.5
definition_extraction	80.5	85.0	80.1	62.4	82.0
function_of_decision_section	34.6	18.0	20.8	14.2	13.2
legal_reasoning_causality	78.6	52.8	52.3	48.4	46.2
oral_argument_question_purpose	24.5	20.0	15.0	16.1	29.3
overruling	94.2	92.3	78.3	52.7	89.5
scalr	66.5	56.7	20.2	5.0	45.6
textualism_tool_dictionaries	93.9	66.5	54.9	5.6	61.1
textualism_tool_plain	81.5	72.6	51.7	43.6	74.6

Table 75: 13B models on rhetorical-understanding tasks.

<b>Task</b>	<b>Bloom</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>Llama-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
canada_ tax_ court_ outcomes	0.0	0.0	0.0	5.2	35.4	0.0	28.5	0.0
definition_ classification	54.4	80.2	50.1	65.4	50.0	57.5	58.3	42.5
definition_ extraction	77.4	77.7	80.6	73.4	84.1	80.9	73.5	4.2
function_ of_ decision_ section	14.2	2.3	10.2	10.6	16.7	13.9	22.3	0.0
legal_ reasoning_ causality	47.4	59.4	57.6	56.0	53.2	55.5	50.0	38.7
oral_ argument_ question_ purpose	13.7	15.5	20.2	27.0	14.5	17.2	14.4	1.2
overruling	82.3	67.9	50.3	75.2	92.2	72.4	53.3	28.7
scalr	18.6	20.7	22.0	23.0	33.7	25.7	19.3	0.0
textualism_ tool_ dictionaries	48.5	60.9	55.7	59.6	47.4	59.5	51.0	19.8
textualism_ tool_ plain	50.0	57.2	61.6	55.9	50.0	60.5	51.0	4.6

Table 76: 7B models on rhetorical-understanding tasks.

<b>Task</b>	<b>Bloom</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
canada_tax_court_outcomes	16.0	62.3	11.7	0.3
definition_classification	51.0	78.5	51.3	57.2
definition_extraction	59.1	77.6	59.4	70.6
function_of_decision_section	9.9	34.8	26.7	14.1
legal_reasoning_causality	50.1	67.5	49.5	55.7
oral_argument_question_purpose	5.3	19.9	21.5	14.3
overruling	63.4	93.6	54.4	54.8
scalr	17.7	64.5	21.5	20.0
textualism_tool_dictionaries	39.7	92.9	55.6	54.8
textualism_tool_plain	51.5	82.2	50.7	55.9

Table 77: 3B models on rhetorical-understanding tasks.

Table 78: Commercial models on interpretation tasks.

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
consumer_contracts_qa	93.6	85.9	90.3
contract_nli_confidentiality_of_agreement	96.3	96.3	92.7
contract_nli_explicit_identification	82.4	81.1	65.0
contract_nli_inclusion_of_verbally_conveyed_information	90.7	83.0	83.4
contract_nli_limited_use	86.6	85.4	80.8
contract_nli_no_licensing	92.5	76.7	79.2
contract_nli_notice_on_compelled_disclosure	97.2	97.2	96.5
contract_nli_permmissible_acquirement_of_similar_information	96.1	96.6	93.8
contract_nli_permmissible_copy	80.4	77.7	72.8
contract_nli_permmissible_development_of_similar_information	98.5	99.3	96.3
contract_nli_permmissible_post-agreement_possession	94.6	89.3	92.2
contract_nli_return_of_confidential_information	95.6	92.5	89.4
contract_nli_sharing_with_employees	94.6	94.8	95.9
contract_nli_sharing_with_third-parties	93.3	75.0	86.6
contract_nli_survival_of_obligations	94.0	74.5	78.3
contract_qa	96.2	93.6	98.7
cuad_affiliate_license-licensee	90.9	90.9	85.9
cuad_affiliate_license-licensor	92.0	95.5	89.8
cuad_anti-assignment	91.4	89.1	92.4
cuad_audit_rights	97.9	89.5	92.7
cuad_cap_on_liability	95.6	94.1	92.9
cuad_change_of_control	88.9	89.7	89.2
cuad_competitive_restriction_exception	84.1	80.0	71.4
cuad_covenant_not_to_sue	95.8	88.0	89.3
cuad_effective_date	92.8	75.0	74.2
cuad_exclusivity	92.9	89.0	87.3
cuad_expiration_date	82.0	87.0	78.8
cuad_governing_law	99.3	98.3	98.7
cuad_insurance	99.2	95.3	94.9
cuad_ip_ownership_assignment	91.7	91.0	89.2
cuad_irrevocable_or_perpetual_license	97.5	95.4	88.6
cuad_joint_ip_ownership	94.3	91.1	85.4
cuad_license_grant	94.0	90.3	91.0
cuad_liquidated_damages	96.4	86.4	90.9
cuad_minimum_commitment	89.1	86.1	88.6
cuad_most_favored_nation	96.9	95.3	96.9
cuad_no-solicit_of_customers	100.0	98.8	92.9
cuad_no-solicit_of_employees	100.0	97.9	96.5
cuad_non-compete	93.0	91.0	90.5
cuad_non-disparagement	97.0	95.0	87.0
cuad_non-transferable_license	90.2	82.1	87.6

Table 78 – continued from previous page

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
cuad_notice_period_to_terminate_renewal	95.9	97.7	96.4
cuad_post-termination_services	94.6	89.0	77.8
cuad_price_restrictions	95.7	87.0	89.1
cuad_renewal_term	96.1	95.9	95.6
cuad_revenue-profit_sharing	95.3	91.2	88.9
cuad_rofr-rofo-rofn	88.6	81.9	86.2
cuad_source_code_escrow	96.6	91.5	94.1
cuad_termination_for_convenience	96.7	94.2	95.8
cuad_third_party_beneficiary	89.7	83.8	82.4
cuad_uncapped_liability	85.4	70.4	74.1
cuad_unlimited-all-you-can-eat-license	93.8	93.8	87.5
cuad_volume_restriction	80.7	68.6	80.1
cuad_warranty_duration	77.8	81.2	78.1
insurance_policy_interpretation	69.6	55.0	64.0
jcrew_blocker	100.0	88.9	55.6
maud_ability_to_consummate_concept_is_subject_to_mae_carveouts	50.0	50.0	31.5
maud_financial_point_of_view_is_the_sole_consideration	50.0	38.8	50.0
maud_accuracy_of_fundamental_target_rws_bringdown_standard	29.3	33.3	10.4
maud_accuracy_of_target_general_rw_bringdown_timing_answer	63.6	51.0	46.9
maud_accuracy_of_target_capitalization_rw_(outstanding_shares)_bringdown_standard_answer	20.7	16.2	13.4
maud_additional_matching_rights_period_for_modifications_(cor)	57.4	43.3	24.5
maud_application_of_buyer_consent_requirement_(negative_interim_covenant)	63.7	68.8	35.3
maud_buyer_consent_requirement_(ordinary_course)	50.0	60.8	28.9
maud_change_in_law__subject_to_disproportionate_impact_modifier	53.0	48.3	65.2
maud_changes_in_gaap_or_other_accounting_principles__subject_to_disproportionate_impact_modifier	51.7	47.4	53.8
maud_cor_permitted_in_response_to_intervening_event	50.0	52.5	50.1
maud_cor_permitted_with_board_fiduciary_determination_only	21.4	50.0	50.6
maud_cor_standard_(intervening_event)	0.5	36.5	0.0
maud_cor_standard_(superior_offer)	40.5	45.5	0.0
maud_definition_contains_knowledge_requirement_-_answer	25.0	33.7	20.7
maud_definition_includes_asset_deals	33.3	30.5	0.5
maud_definition_includes_stock_deals	33.3	37.5	27.4
maud_fiduciary_exception__board_determination_standard	40.1	27.5	0.0
maud_fiduciary_exception_board_determination_trigger_(no_shop)	50.0	48.8	50.0
maud_fls_(mae)_standard	25.0	44.6	22.5
maud_general_economic_and_financial_conditions_subject_to_disproportionate_impact_modifier	54.2	56.0	53.6
maud_includes_consistent_with_past_practice	54.2	55.3	84.2
maud_initial_matching_rights_period_(cor)	15.4	31.9	20.7

Table 78 – continued from previous page

<b>Task</b>	<b>GPT-4</b>	<b>GPT-3.5</b>	<b>Claude-1</b>
maud_initial_matching_rights_period_(ftr)	49.4	32.8	14.0
maud_intervening_event_-_required_to_occur_after_signing_-_answer	51.9	51.4	12.5
maud_knowledge_definition	51.1	49.1	38.5
maud_liability_standard_for_no-shop_breach_by_target_non-do_representatives	44.2	51.9	49.4
maud_ordinary_course_efforts_standard	91.1	70.3	53.6
maud_pandemic_or_other_public_health_event__subject_to_disproportionate_impact_modifier	48.7	50.0	51.3
maud_pandemic_or_other_public_health_event_specific_reference_to_pandemic-related_governmental_responses_or_measures	79.5	70.9	60.8
maud_relational_language_(mae)_applies_to	57.9	47.2	26.0
maud_specific_performance	51.5	90.6	73.7
maud_tail_period_length	68.1	39.5	60.4
maud_type_of_consideration	99.5	82.7	75.1
opp115_data_retention	67.0	70.5	55.7
opp115_data_security	87.5	84.2	55.6
opp115_do_not_track	99.1	93.6	90.0
opp115_first_party_collection_use	76.7	80.6	63.0
opp115_international_and_specific_audiences	92.3	82.6	79.4
opp115_policy_change	91.9	89.3	83.8
opp115_third_party_sharing_collection	80.1	77.0	71.0
opp115_user_access,_edit_and_deletion	90.2	87.7	79.3
opp115_user_choice_control	82.9	79.3	71.3
privacy_policy_entailment	85.5	78.8	89.6
privacy_policy_qa	71.3	65.5	63.0
proa	99.0	90.6	88.5
ssla_company_defendants	65.0	65.3	16.5
ssla_individual_defendants	29.6	25.8	11.1
ssla_plaintiff	92.0	86.5	86.7
sara_entailment	86.8	68.4	67.6
sara_numeric	8.3	4.2	6.2
supply_chain_disclosure_best_practice_accountability	71.5	69.5	74.6
supply_chain_disclosure_best_practice_audits	74.4	76.6	75.5
supply_chain_disclosure_best_practice_certification	76.6	77.7	77.4
supply_chain_disclosure_best_practice_training	83.3	87.1	85.3
supply_chain_disclosure_best_practice_verification	68.3	59.4	64.3
supply_chain_disclosure_disclosed_accountability	77.0	80.4	75.5
supply_chain_disclosure_disclosed_audits	81.6	83.7	80.0
supply_chain_disclosure_disclosed_certification	71.2	67.3	75.8
supply_chain_disclosure_disclosed_training	89.1	83.0	75.6
supply_chain_disclosure_disclosed_verification	56.6	62.0	67.6
unfair_tos	9.1	13.7	5.5

Table 79: 13B models on interpretation tasks.

<b>Task</b>	<b>Flan</b>	<b>LLaMA-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
consumer_contracts_qa	92.6	68.1	24.8	37.9	67.8
contract_nli_confidentiality_of_agreement	85.4	50.0	54.9	48.8	76.8
contract_nli_explicit_identification	81.4	49.4	51.6	50.0	67.0
contract_nli_inclusion_of_verbally_conveyed_information	56.2	50.0	53.0	50.0	58.2
contract_nli_limited_use	71.1	44.5	60.6	49.5	57.1
contract_nli_no_licensing	54.2	53.3	47.0	51.2	58.4
contract_nli_notice_on_compelled_disclosure	90.8	52.1	61.3	55.6	73.2
contract_nli_permmissible_acquirement_of_similar_information	89.3	50.0	45.5	52.2	61.2
contract_nli_permmissible_copy	84.3	47.8	47.8	50.0	56.2
contract_nli_permmissible_development_of_similar_information	98.5	50.0	55.1	54.4	86.0
contract_nli_permmissible_post-agreement_possession	93.4	48.8	44.0	50.0	52.5
contract_nli_return_of_confidential_information	89.4	50.0	44.3	51.3	66.2
contract_nli_sharing_with_employees	92.2	48.2	70.5	50.0	65.7
contract_nli_sharing_with_third-parties	86.7	49.5	50.9	50.5	64.4
contract_nli_survival_of_obligations	74.6	50.0	44.8	50.6	59.6
contract_qa	96.3	82.7	56.8	73.1	35.9
cuad_affiliate_license-licensee	83.8	58.1	49.0	50.0	65.7
cuad_affiliate_license-licensor	90.9	72.7	53.4	50.0	54.5
cuad_anti-assignment	85.0	52.2	48.1	50.2	76.8
cuad_audit_rights	87.1	51.6	71.7	50.7	58.6
cuad_cap_on_liability	81.5	74.0	0.6	50.0	57.5
cuad_change_of_control	75.5	56.2	52.9	49.0	74.8
cuad_competitive_restriction_exception	81.8	51.8	38.2	50.0	50.0
cuad_covenant_not_to_sue	86.0	66.6	49.4	50.0	56.5
cuad_effective_date	92.8	50.0	50.4	48.7	58.1
cuad_exclusivity	84.0	86.1	61.7	49.6	58.7
cuad_expiration_date	60.5	51.6	53.1	51.0	73.2
cuad_governing_law	99.5	90.6	71.7	59.5	54.3
cuad_insurance	90.2	55.5	66.3	55.0	55.7
cuad_ip_ownership_assignment	89.8	74.0	49.8	49.7	59.9
cuad_irrevocable_or_perpetual_license	95.7	85.4	67.9	50.0	73.9
cuad_joint_ip_ownership	76.0	53.6	50.5	50.0	60.4
cuad_license_grant	92.8	62.2	50.8	49.9	68.7
cuad_liquidated_damages	73.6	50.9	48.6	49.5	71.8
cuad_minimum_commitment	62.3	54.5	51.6	49.9	47.0
cuad_most_favored_nation	75.0	56.2	43.8	50.0	57.8
cuad_no-solicit_of_customers	97.6	57.1	56.0	50.0	85.7
cuad_no-solicit_of_employees	95.8	97.9	69.0	50.0	75.4

Table 79 – continued from previous page

<b>Task</b>	<b>Flan</b>	<b>LLaMA-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
cuad_non-compete	91.6	52.7	46.8	49.3	55.2
cuad_non-disparagement	83.0	73.0	67.0	49.0	74.0
cuad_non-transferable_license	69.6	55.0	54.2	49.4	75.6
cuad_notice_period_to_terminate_renewal	92.8	50.0	54.5	50.0	83.3
cuad_post-termination_services	88.7	50.7	51.4	49.6	62.5
cuad_price_restrictions	76.1	71.7	50.0	47.8	50.0
cuad_renewal_term	89.1	50.5	50.3	57.5	83.9
cuad_revenue-profit_sharing	72.1	58.4	54.5	49.7	52.7
cuad_rofr-rofo-rofn	65.1	50.4	54.1	50.0	59.6
cuad_source_code_escrow	66.1	58.5	67.8	50.0	52.5
cuad_termination_for_convenience	91.4	50.9	49.8	55.8	84.2
cuad_third_party_beneficiary	85.3	54.4	63.2	47.1	69.1
cuad_uncapped_liability	50.3	55.4	46.6	51.0	74.5
cuad_unlimited-all-you-can-eat-license	83.3	52.1	64.6	47.9	54.2
cuad_volume_restriction	55.6	50.0	55.3	50.0	54.0
cuad_warranty_duration	74.7	57.5	55.9	50.6	63.1
insurance_policy_interpretation	44.8	46.6	38.0	13.2	51.9
jcrew_blocker	86.7	66.7	51.1	50.0	8.9
maud_ability_to_consummate_concept_is_subject_to_mae_carveouts	50.0	47.3	51.8	50.0	8.2
maud_financial_point_of_view_is_the_sole_consideration	53.6	51.0	50.0	53.1	49.5
maud_accuracy_of_fundamental_target_rws_bringdown_standard	12.5	33.3	33.3	31.7	33.3
maud_accuracy_of_target_general_rw_bringdown_timing_answer	46.6	50.0	49.1	49.8	50.0
maud_accuracy_of_target_capitalization_rw_(outstanding_shares)_bringdown_standard_answer	6.1	25.8	26.9	20.9	24.8
maud_additional_matching_rights_period_for_modifications_(cor)	0.0	25.0	19.8	18.4	12.9
maud_application_of_buyer_consent_requirement_(negative_interim_covenant)	63.4	47.8	45.0	3.1	49.4
maud_buyer_consent_requirement_(ordinary_course)	34.4	56.5	44.4	45.1	50.0
maud_change_in_law_subject_to_disproportionate_impact_modifier	50.0	55.9	46.6	21.3	0.0
maud_changes_in_gaap_or_other_accounting_principles_subject_to_disproportionate_impact_modifier	50.0	57.5	47.1	20.6	0.0
maud_cor_permitted_in_response_to_intervening_event	50.0	57.6	58.8	26.8	47.0
maud_cor_permitted_with_board_fiduciary_determination_only	50.0	50.0	51.2	46.7	42.0
maud_cor_standard_(intervening_event)	0.0	24.0	16.7	10.0	23.3
maud_cor_standard_(superior_offer)	11.9	16.8	3.0	0.0	26.9

Table 79 – continued from previous page

<b>Task</b>	<b>Flan</b>	<b>LLaMA-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
maud_definition_contains_knowledge_requirement_-_answer	0.0	28.9	25.0	24.5	24.1
maud_definition_includes_asset_deals	2.8	35.4	32.7	0.9	34.2
maud_definition_includes_stock_deals	4.6	31.8	24.7	30.1	17.3
maud_fiduciary_exception_board_determination_standard	1.2	6.5	12.5	0.5	14.1
maud_fiduciary_exception_board_determination_trigger_(no_shop)	50.0	59.2	44.7	8.8	48.8
maud_fls_(mae)_standard	17.1	5.0	24.5	25.0	4.6
maud_general_economic_and_financial_conditions_subject_to_disproportionate_impact_modifier	50.0	53.6	52.4	6.0	0.0
maud_includes_consistent_with_past_practice	62.3	50.0	52.6	53.2	61.0
maud_initial_matching_rights_period_(cor)	0.0	8.3	20.8	0.9	14.2
maud_initial_matching_rights_period_(ftr)	0.0	13.3	23.2	19.1	18.8
maud_intervening_event_-_required_to_occur_after_signing_-_answer	39.2	46.2	48.9	47.1	47.1
maud_knowledge_definition	50.6	46.0	48.4	0.0	51.2
maud_liability_standard_for_no-shop_breach_by_target_non-do_representatives	48.7	48.7	49.4	0.6	50.0
maud_ordinary_course_efforts_standard	81.1	57.8	33.7	0.0	67.3
maud_pandemic_or_other_public_health_event_subject_to_disproportionate_impact_modifier	48.1	52.2	46.2	7.6	31.0
maud_pandemic_or_other_public_health_event_specific_reference_to_pandemic-related_governmental_responses_or_measures	50.0	50.0	51.9	50.7	37.4
maud_relational_language_(mae)_applies_to	51.0	44.2	1.4	51.7	5.1
maud_specific_performance	94.9	52.1	50.0	0.0	56.7
maud_tail_period_length	25.8	51.5	52.5	13.5	34.6
maud_type_of_consideration	73.6	39.1	30.2	27.8	27.2
opp115_data_retention	55.7	51.1	45.5	50.0	63.6
opp115_data_security	75.1	49.8	51.2	55.5	59.4
opp115_do_not_track	79.1	50.0	42.7	51.8	88.2
opp115_first_party_collection_use	75.0	67.0	68.5	52.3	55.2
opp115_international_and_specific_audiences	80.1	59.5	18.2	50.4	66.2
opp115_policy_change	70.5	64.9	60.9	52.8	56.5
opp115_third_party_sharing_collection	71.4	54.9	58.8	52.4	60.9
opp115_user_access_edit_and_deletion	75.4	54.3	60.9	49.1	59.4
opp115_user_choice_control	80.9	53.7	50.0	47.5	58.0
privacy_policy_entailment	58.9	56.2	50.1	0.6	65.9
privacy_policy_qa	52.5	50.5	50.9	0.0	55.6
proa	94.8	76.0	52.1	50.0	80.1
ssla_company_defendants	34.4	63.4	56.6	3.1	2.8
ssla_individual_defendants	21.9	21.6	16.2	0.0	0.0

Table 79 – continued from previous page

<b>Task</b>	<b>Flan</b>	<b>LLaMA-2</b>	<b>OPT</b>	<b>Vicuna</b>	<b>WizardLM</b>
ssla_plaintiff	88.8	26.4	31.6	0.0	0.0
sara_entailment	35.3	58.1	48.9	15.4	50.0
sara_numeric	1.0	0.0	0.0	0.0	0.0
supply_chain_disclosure_best_practice_accountability	73.6	49.4	48.8	67.2	52.1
supply_chain_disclosure_best_practice_audits	69.2	49.2	66.6	46.5	65.7
supply_chain_disclosure_best_practice_certification	69.9	51.6	56.1	67.2	64.8
supply_chain_disclosure_best_practice_training	81.0	49.7	49.4	71.5	64.9
supply_chain_disclosure_best_practice_verification	70.5	49.1	50.8	51.6	52.5
supply_chain_disclosure_disclosed_accountability	80.7	49.4	45.8	59.1	58.8
supply_chain_disclosure_disclosed_audits	83.3	49.3	48.9	64.1	64.7
supply_chain_disclosure_disclosed_certification	68.7	49.4	53.8	61.6	59.3
supply_chain_disclosure_disclosed_training	87.0	49.2	48.0	58.1	62.8
supply_chain_disclosure_disclosed_verification	64.3	49.3	48.6	58.0	50.4
unfair_tos	10.0	12.8	10.0	8.3	13.8

Table 80: 7B models on interpretation tasks.

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
consumer_contracts_qa	0.6	57.9	42.4	49.0	63.5	40.5	14.7	34.0
contract_nli_confidentiality_of_agreement	53.7	64.6	65.9	59.8	50.0	52.4	57.3	45.1
contract_nli_explicit_identification	49.4	66.1	61.6	68.9	50.0	50.0	59.9	34.8
contract_nli_inclusion_of_verbally_conveyed_information	50.0	67.5	66.7	60.9	50.0	49.3	55.5	33.1
contract_nli_limited_use	56.2	46.0	59.0	66.3	51.1	61.8	61.7	24.8
contract_nli_no_licensing	49.4	44.6	51.9	56.3	48.2	45.9	49.5	15.9

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
contract_ nli_ notice_ on_ compelled_ disclosure	51.4	51.4	64.1	62.7	50.7	65.5	68.3	27.5
contract_ nli_ permissible_ acquisition_ of_ similar_ information	49.4	31.5	26.4	47.8	50.0	53.4	50.0	39.9
contract_ nli_ permissible_ copy	49.9	36.8	46.4	57.6	58.9	55.0	49.6	33.2
contract_ nli_ permissible_ development_ of_ similar_ information	49.3	43.4	59.6	44.1	50.0	54.4	53.7	41.9
contract_ nli_ permissible_ post- agreement_ possession	48.2	43.1	53.2	55.6	50.0	55.3	44.5	2.4
contract_ nli_ return_ of_ confidential_ information	50.0	57.7	50.2	70.0	50.0	56.5	75.6	5.9
contract_ nli_ sharing_ with_ employees	55.6	61.6	48.2	70.3	50.0	48.1	58.9	6.1
contract_ nli_ sharing_ with_ third- parties	49.5	48.1	39.3	53.7	50.0	48.4	48.7	11.9
contract_ nli_ survival_ of_ obligations	49.5	55.1	41.2	43.2	50.0	41.7	49.3	1.8
contract_ qa	14.8	7.7	11.4	87.7	31.9	9.0	39.0	50.3

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
cuad_ affiliate_ license- licensee	53.0	50.5	68.7	71.7	50.0	69.2	66.2	34.3
cuad_ affiliate_ license- licensor	45.5	70.5	64.8	85.2	50.0	76.1	52.3	38.6
cuad_ anti- assignment	47.7	33.2	60.4	76.2	58.8	56.5	55.1	37.8
cuad_ audit_ rights	67.4	59.7	80.0	71.5	50.7	52.5	72.8	28.2
cuad_ cap_ on_ liability	41.8	42.1	40.4	57.4	50.2	70.3	37.0	16.8
cuad_ change_ of_ control	55.0	49.8	57.0	67.8	50.0	56.0	55.5	39.2
cuad_ competitive_ restriction_ exception	37.3	30.9	50.0	47.7	48.6	46.8	36.4	16.4
cuad_ covenant_ not_ to_ sue	47.7	48.1	67.9	78.2	57.5	71.8	50.0	0.0
cuad_ effective_ date	56.8	60.2	53.0	46.6	50.0	44.5	65.7	6.4
cuad_ exclusivity	62.9	57.1	66.4	65.4	63.4	56.7	60.0	14.4
cuad_ expiration_ date	75.9	62.7	55.5	67.6	50.1	52.9	85.0	10.5
cuad_ governing_ law	79.3	77.3	28.5	68.2	55.8	66.3	73.1	17.6
cuad_ insurance	83.2	66.8	65.4	72.0	50.1	72.7	77.2	39.1
cuad_ ip_ ownership_ assignment	53.0	65.8	61.1	73.8	51.6	60.4	70.8	14.4
cuad_ irrevocable_ or_ perpetual_ license	57.5	58.6	72.1	83.2	52.9	59.6	80.0	46.1

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
cuad_ joint_ ip_ ownership	55.2	58.3	60.9	74.5	50.0	55.2	72.4	30.2
cuad_ license_ grant	62.7	60.3	65.5	77.0	63.0	39.0	67.9	20.8
cuad_ liquidated_ damages	54.1	65.0	65.0	70.5	50.0	51.4	57.7	36.4
cuad_ minimum_ commitment	51.4	58.4	55.3	53.8	49.9	55.3	59.2	31.3
cuad_ most_ favored_ nation	51.6	48.4	60.9	59.4	51.6	57.8	48.4	23.4
cuad_ no- solicit_ of_ customers	47.6	38.1	61.9	77.4	50.0	51.2	27.4	22.6
cuad_ no- solicit_ of_ employees	39.4	35.9	54.9	80.3	69.0	70.4	42.3	19.7
cuad_ non- compete	32.8	42.5	55.2	67.4	63.3	52.3	29.0	42.3
cuad_ non- disparagement	41.0	50.0	64.0	70.0	64.0	51.0	47.0	34.0
cuad_ non- transferable_ license	67.2	65.9	56.5	78.0	50.0	48.5	68.5	27.9
cuad_ notice_ period_ to_ terminate_ renewal	50.0	58.6	50.5	76.6	50.0	50.9	81.5	35.6
cuad_ post- termination_ services	48.1	45.7	60.0	57.2	50.0	64.7	57.7	20.0
cuad_ price_ restrictions	58.7	43.5	45.7	58.7	50.0	54.3	52.2	41.3
cuad_ renewal_ term	50.3	57.3	45.1	75.6	50.0	53.1	82.1	35.2

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
cuad_ revenue- profit_ sharing	54.3	57.9	50.6	65.2	52.3	50.0	62.4	11.1
cuad_ rofr- rofo- rofn	54.6	43.3	55.9	57.0	50.0	59.9	53.0	20.6
cuad_ source_ code_ escrow	75.4	59.3	62.7	65.3	52.5	51.7	79.7	24.6
cuad_ termination_ for_ convenience	70.5	67.7	47.9	83.7	50.0	49.8	64.4	42.8
cuad_ third_ party_ beneficiary	70.6	50.0	69.1	79.4	50.0	67.6	70.6	29.4
cuad_ uncapped_ liability	46.9	53.4	53.4	61.2	51.0	77.9	36.4	33.0
cuad_ unlimited- all- you- can- eat- license	72.9	62.5	75.0	79.2	62.5	60.4	72.9	41.7
cuad_ volume_ restriction	52.8	47.5	55.0	54.0	50.9	52.5	63.0	42.2
cuad_ warranty_ duration	67.5	59.4	57.8	64.1	51.9	54.4	65.3	5.3
insurance_ policy_ interpretation	34.5	42.8	35.4	36.9	43.2	34.9	33.7	29.1
jcrew_ blocker	45.6	46.7	47.8	51.1	58.9	57.8	56.7	11.1
maud_ ability_ to_ consummate_ concept_ is_ subject_ to_ mae_ carveouts	42.3	48.2	30.9	50.0	50.0	0.9	50.5	45.5

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
maud_ financial_ point_ of_ view_ is_ the_ sole_ consideration	48.5	56.6	45.9	43.9	48.5	4.1	63.3	50.0
maud_ accuracy_ of_ fundamental_ target_ rws_ bringdown_ standard	33.3	34.5	31.7	35.9	34.4	31.6	37.5	33.3
maud_ accuracy_ of_ target_ general_ rw_ bringdown_ timing_ answer	50.0	45.8	53.7	48.3	51.7	50.8	53.9	50.0
maud_ accuracy_ of_ target_ capitalization_ rw_ (outstanding_ shares)_ bringdown_ standard_ answer	30.7	30.2	25.1	20.2	22.1	22.3	24.7	18.1
maud_ additional_ matching_ rights_ period_ for_ modifications_ (cor)	19.5	18.3	21.1	16.3	22.0	8.4	20.3	20.0
maud_ application_ of_ buyer_ consent_ requirement_ (negative_ interim_ covenant)	47.8	40.0	50.0	55.6	50.9	50.0	47.5	43.1

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
maud_ buyer_ consent_ requirement_ (ordinary_ course)	50.6	54.1	49.7	50.0	50.0	7.4	57.5	50.0
maud_ change_ in_ law_ - subject_ to_ disproportionate_ impact_ modifier	36.4	45.8	11.2	49.4	50.6	12.2	50.0	50.0
maud_ changes_ in_ gaap_ or_ other_ accounting_ principles_ - subject_ to_ disproportionate_ impact_ modifier	35.9	48.4	10.0	53.3	50.6	7.1	50.0	50.0
maud_ cor_ permitted_ in_ response_ to_ intervening_ event	47.6	62.5	47.5	49.4	58.6	7.3	65.6	50.7
maud_ cor_ permitted_ with_ board_ fiduciary_ determination_ only	45.8	42.1	37.2	48.8	50.0	13.5	49.4	49.4
maud_ cor_ standard_ (intervening_ event)	26.7	9.3	10.9	16.7	21.6	13.8	16.7	16.7
maud_ cor_ standard_ (superior_ offer)	16.8	7.8	7.0	15.8	17.8	9.7	11.5	6.4

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
maud_ definition_ contains_ knowledge_ requirement_ _ answer	25.3	26.9	20.8	23.1	22.8	28.0	25.3	25.0
maud_ definition_ includes_ asset_ deals	23.9	29.4	18.2	28.9	32.8	6.8	35.1	28.6
maud_ definition_ includes_ stock_ deals	27.7	16.4	5.0	24.5	18.4	7.6	17.2	22.6
maud_ fiduciary_ exception_ _ board_ determination_ standard	4.3	9.6	6.9	12.7	14.6	9.9	13.7	1.0
maud_ fiduciary_ exception_ board_ determination_ trigger_ (no_ shop)	48.8	44.7	43.3	49.3	55.8	20.1	57.0	42.1
maud_ fls_ (mae)_ standard	38.3	16.0	13.0	28.6	1.8	1.8	24.6	0.0
maud_ general_ economic_ and_ financial_ conditions_ subject_ to_ disproportionate_ impact_ modifier	54.8	62.5	38.1	48.8	50.6	14.3	50.0	50.0
maud_ includes_ consistent_ with_ past_ practice	50.0	48.2	61.6	49.6	52.5	5.4	52.8	50.0

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
maud_ initial_ matching_ rights_ period_ (cor)	20.7	11.2	21.5	23.4	27.2	10.8	21.0	18.9
maud_ initial_ matching_ rights_ period_ (ftr)	20.6	14.5	16.4	11.1	22.8	7.7	20.0	16.9
maud_ intervening_ event_ -_ required_ to_ occur_ after_ signing_ -_ answer	50.2	51.5	43.4	50.0	42.6	43.5	47.5	50.0
maud_ knowledge_ definition	47.1	46.8	40.0	46.7	51.8	49.3	50.7	45.5
maud_ liability_ standard_ for_ no- shop_ breach_ by_ target_ non- do_ representatives	59.6	50.0	50.0	53.8	50.0	46.8	58.3	50.0
maud_ ordinary_ course_ efforts_ standard	34.2	32.8	41.9	32.9	77.4	32.7	34.2	33.8
maud_ pandemic_ or_ other_ public_ health_ event_ -_ subject_ to_ disproportionate_ impact_ modifier	48.2	10.8	49.4	48.9	51.3	8.5	47.5	49.3

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
maud_ pandemic_ or_ other_ public_ health_ event_ specific_ reference_ to_ pandemic- related_ governmental_ responses_ or_ measures	41.7	51.9	46.0	48.1	50.0	4.2	50.8	50.0
maud_ relational_ language_ (mae)_ applies_ to	37.0	47.1	18.8	50.0	7.1	16.5	28.3	46.4
maud_ specific_ performance	60.4	50.0	49.2	43.5	58.5	0.6	39.4	50.0
maud_ tail_ period_ length	6.9	32.0	25.3	31.4	63.9	14.2	8.6	3.1
maud_ type_ of_ consideration	25.0	28.5	27.1	26.4	25.3	39.3	24.3	25.0
opp115_ data_ retention	48.9	37.5	46.6	50.0	50.0	50.0	51.1	42.0
opp115_ data_ security	53.4	60.3	53.8	63.6	50.2	49.8	63.7	45.9
opp115_ do_ not_ track	43.6	34.5	47.3	69.1	50.0	49.1	31.8	45.5
opp115_ first_ party_ collection_ use	63.9	59.3	69.5	69.9	61.3	59.2	70.4	46.4
opp115_ international_ and_ specific_ audiences	58.1	60.3	50.1	64.9	51.1	52.7	57.5	37.4
opp115_ policy_ change	68.2	66.2	55.6	55.9	50.0	50.0	74.5	44.0

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
opp115_ third_ party_ sharing_ collection	53.8	57.7	50.9	68.4	50.4	50.3	54.1	36.5
opp115_ user_ access_ edit_ and_ deletion	60.2	51.5	56.1	64.5	54.7	50.8	59.0	41.7
opp115_ user_ choice_ control	63.6	40.0	46.5	64.5	50.2	48.6	47.4	44.2
privacy_ policy_ entailment	51.4	49.3	56.8	58.1	57.7	64.1	52.8	26.6
privacy_ policy_ qa	50.0	49.7	52.0	56.3	50.2	50.0	50.4	0.1
proa	52.1	56.2	50.0	71.6	52.1	58.3	51.0	47.9
ssla_ company_ defendants	35.5	44.9	54.8	54.1	60.7	51.0	50.9	0.0
ssla_ individual_ defendants	14.3	17.4	20.8	19.6	23.1	20.8	13.9	0.0
ssla_ plaintiff	26.1	9.3	52.3	64.4	60.5	76.0	59.8	0.0
sara_ entailment	50.4	50.0	50.0	51.1	50.0	50.0	50.0	0.0
sara_ numeric	2.1	1.0	2.1	0.0	0.0	2.1	1.0	0.0
supply_ chain_ disclosure_ best_ practice_ accountability	6.2	55.8	50.0	58.4	50.4	56.8	32.7	31.5
supply_ chain_ disclosure_ best_ practice_ audits	1.5	71.6	55.9	63.0	55.8	42.2	31.1	8.7
supply_ chain_ disclosure_ best_ practice_ certification	2.8	67.1	52.1	57.6	52.3	40.8	51.5	11.3

Table 80 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Falcon</b>	<b>Incite-Base</b>	<b>Incite-Inst.</b>	<b>LLaMA-2</b>	<b>MPT</b>	<b>OPT</b>	<b>Vicuna</b>
supply_ chain_ disclosure_ best_ practice_ training	6.6	52.3	50.9	55.4	49.3	56.2	50.5	30.7
supply_ chain_ disclosure_ best_ practice_ verification	4.5	55.8	49.8	54.3	49.1	36.0	38.1	13.9
supply_ chain_ disclosure_ disclosed_ accountability	2.1	48.2	49.7	48.7	49.0	24.6	20.4	15.7
supply_ chain_ disclosure_ disclosed_ audits	3.6	50.2	48.7	49.6	51.5	52.0	49.7	16.3
supply_ chain_ disclosure_ disclosed_ certification	3.2	50.5	52.0	52.2	54.9	45.8	32.3	20.1
supply_ chain_ disclosure_ disclosed_ training	5.6	48.6	49.1	49.2	49.3	44.0	39.5	10.1
supply_ chain_ disclosure_ disclosed_ verification	5.3	48.9	49.6	47.6	48.6	48.5	49.3	23.1
unfair_ tos	8.3	12.3	12.7	8.7	10.6	11.0	10.5	0.0

Table 81: 3B models on interpretation tasks.

<b>Task</b>	<b>BLOOM</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
consumer_contracts_qa	0.8	93.2	46.1	31.7
contract_nli_confidentiality_of_agreement	57.3	86.6	56.1	46.3
contract_nli_explicit_identification	57.2	81.2	63.6	53.3
contract_nli_inclusion_of_verbally_conveyed_information	50.1	82.2	67.8	60.9
contract_nli_limited_use	63.1	72.8	60.1	63.0
contract_nli_no_licensing	50.0	65.1	43.5	38.5
contract_nli_notice_on_compelled_disclosure	57.0	66.2	65.5	71.8
contract_nli_permmissible_acquirement_of_similar_information	59.6	69.1	46.6	54.5
contract_nli_permmissible_copy	51.3	83.7	55.3	56.2

Table 81 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
contract_nli_permmissible_development_of_similar_information	60.3	75.0	49.3	59.6
contract_nli_permmissible_post-agreement_possession	43.8	66.3	43.4	42.4
contract_nli_return_of_confidential_information	51.6	82.3	61.3	51.9
contract_nli_sharing_with_employees	53.3	69.7	47.1	52.8
contract_nli_sharing_with_third-parties	50.0	80.5	51.4	49.6
contract_nli_survival_of_obligations	50.0	72.9	49.4	44.1
contract_qa	35.9	0.0	82.9	44.6
cuad_affiliate_license-licensee	59.6	66.7	60.1	68.7
cuad_affiliate_license-licensor	48.9	77.3	71.6	50.0
cuad_anti-assignment	50.0	74.7	51.5	33.7
cuad_audit_rights	50.0	56.3	56.2	64.6
cuad_cap_on_liability	49.2	50.9	49.4	26.8
cuad_change_of_control	51.4	59.6	60.8	47.4
cuad_competitive_restriction_exception	46.4	73.2	45.5	40.5
cuad_covenant_not_to_sue	50.0	59.1	49.4	44.8
cuad_effective_date	51.7	92.4	47.0	65.7
cuad_exclusivity	64.6	55.2	53.9	60.9
cuad_expiration_date	50.2	67.7	52.1	69.3
cuad_governing_law	56.8	84.4	50.5	74.8
cuad_insurance	53.5	53.9	57.5	70.3
cuad_ip_ownership_assignment	49.0	57.6	57.3	65.1
cuad_irrevocable_or_perpetual_license	51.8	76.1	53.6	71.1
cuad_joint_ip_ownership	48.4	65.1	64.6	68.8
cuad_license_grant	50.1	69.1	51.4	69.2
cuad_liquidated_damages	50.0	52.3	57.3	34.5
cuad_minimum_commitment	52.7	54.1	50.6	57.0
cuad_most_favored_nation	50.0	57.8	56.2	43.8
cuad_no-solicit_of_customers	52.4	47.6	59.5	32.1
cuad_no-solicit_of_employees	48.6	63.4	66.9	42.3
cuad_non-compete	48.0	63.1	56.1	30.3
cuad_non-disparagement	49.0	57.0	60.0	44.0
cuad_non-transferable_license	56.8	58.7	50.9	62.0
cuad_notice_period_to_terminate_renewal	59.5	61.3	54.5	68.5
cuad_post-termination_services	50.0	64.0	50.7	55.9
cuad_price_restrictions	56.5	50.0	58.7	47.8
cuad_renewal_term	50.3	70.7	59.8	73.3
cuad_revenue-profit_sharing	54.1	60.9	52.5	64.1
cuad_rofr-rofo-rofn	50.3	49.4	51.6	55.4
cuad_source_code_escrow	55.9	42.4	70.3	56.8
cuad_termination_for_convenience	50.0	64.4	58.1	38.4
cuad_third_party_beneficiary	58.8	79.4	50.0	64.7

Table 81 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
cuaad_uncapped_liability	50.0	53.4	49.7	28.9
cuaad_unlimited-all-you-can-eat-license	66.7	81.2	54.2	70.8
cuaad_volume_restriction	50.3	49.4	57.5	59.6
cuaad_warranty_duration	53.1	61.9	51.6	54.4
insurance_policy_interpretation	32.2	38.8	37.1	32.9
jcrew_blocker	55.6	56.7	48.9	53.3
maud_ability_to_consummate_concept_is_subject_to_mae_carveouts	47.3	13.4	50.0	49.1
maud_financial_point_of_view_is_the_sole_consideration	53.1	49.0	50.0	43.4
maud_accuracy_of_fundamental_target_rws_bringdown_standard	32.6	0.0	32.6	34.8
maud_accuracy_of_target_general_rw_bringdown_timing_answer	50.0	0.0	48.2	53.2
maud_accuracy_of_target_capitalization_rw_(outstanding_shares)_bringdown_standard_answer	26.1	0.0	23.4	28.2
maud_additional_matching_rights_period_for_modifications_(cor)	20.0	0.0	18.8	20.3
maud_application_of_buyer_consent_requirement_(negative_interim_covenant)	49.1	62.5	50.3	50.0
maud_buyer_consent_requirement_(ordinary_course)	49.4	50.0	50.0	49.7
maud_change_in_law__subject_to_disproportionate_impact_modifier	44.3	6.3	44.0	51.1
maud_changes_in_gaap_or_other_accounting_principles__subject_to_disproportionate_impact_modifier	41.9	5.9	51.0	47.9
maud_cor_permitted_in_response_to_intervening_event	51.2	48.8	71.7	50.6
maud_cor_permitted_with_board_fiduciary_determination_only	49.4	36.3	50.0	45.9
maud_cor_standard_(intervening_event)	16.7	1.0	16.7	16.7
maud_cor_standard_(superior_offer)	10.8	4.1	8.8	5.4
maud_definition_contains_knowledge_requirement_-_answer	24.7	0.0	26.2	25.0
maud_definition_includes_asset_deals	34.4	0.0	22.5	33.3
maud_definition_includes_stock_deals	40.4	0.0	9.9	0.0
maud_fiduciary_exception__board_determination_standard	6.3	0.5	12.9	13.3
maud_fiduciary_exception_board_determination_trigger_(no_shop)	56.7	50.0	50.5	50.0
maud_fls_(mae)_standard	23.9	10.5	24.2	1.2
maud_general_economic_and_financial_conditions_subject_to_disproportionate_impact_modifier	50.0	3.0	66.1	53.0
maud_includes_consistent_with_past_practice	50.4	89.7	50.0	43.3
maud_initial_matching_rights_period_(cor)	13.5	0.0	18.0	17.0
maud_initial_matching_rights_period_(ftr)	18.9	0.0	19.6	19.4
maud_intervening_event_-_required_to_occur_after_signing_-_answer	48.5	3.3	50.0	50.0
maud_knowledge_definition	46.0	50.0	47.5	49.4
maud_liability_standard_for_no-shop_breach_by_target_non-do_representatives	50.0	54.5	50.0	50.0
maud_ordinary_course_efforts_standard	33.3	58.3	32.1	33.3
maud_pandemic_or_other_public_health_event__subject_to_disproportionate_impact_modifier	2.6	62.3	60.4	47.4

Table 81 – continued from previous page

<b>Task</b>	<b>BLOOM</b>	<b>Flan</b>	<b>Incite</b>	<b>Opt</b>
maud_pandemic_or_other_public_health_event_specific_reference_to_pandemic-related_governmental_responses_or_measures	50.0	59.9	50.0	50.0
maud_relational_language_(mae)_applies_to	32.6	0.0	50.0	49.3
maud_specific_performance	50.0	89.3	48.0	50.0
maud_tail_period_length	1.5	0.0	35.6	25.0
maud_type_of_consideration	24.4	2.1	27.2	25.2
opp115_data_retention	45.5	52.3	64.8	39.8
opp115_data_security	60.7	69.7	55.9	53.6
opp115_do_not_track	45.5	55.5	42.7	37.3
opp115_first_party_collection_use	60.9	52.3	69.5	56.1
opp115_international_and_specific_audiences	59.9	70.5	51.8	57.0
opp115_policy_change	52.1	60.4	61.2	57.4
opp115_third_party_sharing_collection	55.6	63.3	64.8	47.0
opp115_user_access,_edit_and_deletion	49.2	82.3	66.4	53.5
opp115_user_choice_control	48.5	58.5	59.0	47.5
privacy_policy_entailment	50.0	53.6	66.1	54.1
privacy_policy_qa	50.3	61.0	55.1	50.5
proa	54.1	80.1	64.5	53.1
ssla_company_defendants	36.2	13.1	51.1	47.0
ssla_individual_defendants	11.9	20.0	20.5	17.3
ssla_plaintiff	40.1	86.4	36.8	42.8
sara_entailment	48.5	0.0	50.7	48.9
sara_numeric	3.1	1.0	0.0	1.0
supply_chain_disclosure_best_practice_accountability	43.0	74.5	55.1	37.4
supply_chain_disclosure_best_practice_audits	42.7	74.3	61.0	54.6
supply_chain_disclosure_best_practice_certification	46.8	77.4	52.0	46.6
supply_chain_disclosure_best_practice_training	44.9	83.4	64.2	41.0
supply_chain_disclosure_best_practice_verification	47.7	54.9	53.1	21.8
supply_chain_disclosure_disclosed_accountability	46.9	77.7	60.6	14.6
supply_chain_disclosure_disclosed_audits	46.5	73.0	57.3	2.6
supply_chain_disclosure_disclosed_certification	45.3	77.3	52.0	12.6
supply_chain_disclosure_disclosed_training	47.9	87.2	58.8	16.9
supply_chain_disclosure_disclosed_verification	44.1	66.1	55.3	0.2
unfair_tos	9.0	8.2	4.0	15.3