Appendix

In this appendix, we present the following details.

- List of notations used in this paper and their descriptions are in § A.
- Overall algorithm of SAP is presented in § B.
- Implementation details are in § C.
- Expanded dataset-wise tables, and additional experiments are presented in § D.
- Examples of class descriptions generated using GPT-3.5 are presented in § E.
- Limitations and Broader Impact in § F.

A Summary of Notations and Terminology

We use \cdot (dot) to represent various types of multiplication operations – matrix multiplication, matrix-vector or vector-matrix product, and vector dot-product. Detailed descriptions of notations are presented in Tab. 10.

Notation	Description	Dimension
θ	Image Encoder	
ϕ	Text Encoder	
${\mathcal Y}$	Classification label space	
ho	Set of all learnable text and visual prompts	
B	Batch size	
N	Size of the set of descriptions	
n	Number of the learnable prompt tokens	
d	Dimension of the multimodal space	
A_y	LLM generated descriptions for class y	
A	Union of all descriptions of the classification la	abel space
$\phi(A)$	Class descriptions features	$\mathbb{R}^{N \times d}$
$\phi(y; A_y)$	Description-guided text features of class y	$\mathbb{R}^{N \times d}$
$\theta(x)$	Global image feature	\mathbb{R}^{d}
$\theta^l(x)$	Local image feature	$\mathbb{R}^{M \times d}$
$\theta^{desc}(x)$	Description-guided image features	$\mathbb{R}^{N \times d}$
$\bar{\theta}^{desc}(x)$	Mean Description-guided image features	\mathbb{R}^{d}
$\hat{ heta}(x)$	Fused image features	\mathbb{R}^{d}
$\theta_p(x)$	Prompted Global image feature	\mathbb{R}^d
$\theta_p^l(x)$	Prompted Local image feature	$\mathbb{R}^{M \times d}$
$\theta_{p}^{desc}(x)$	Prompted Description-guided image features	$\mathbb{R}^{N \times d}$
r	Description relevance score for an image	\mathbb{R}^{N}
α	average specificity for all descriptions	R

Table 10: Notations used in this paper and their descriptions.

B SAP: Algorithm

Algorithm 1 outlines the SAP methodology. The algorithm is summarized as follows: In a given dataset, descriptions for each class are acquired by querying the LLM (L1 - L4). Class description features are then derived by passing the descriptions through ϕ (L5). Unprompted and prompted image features are obtained by processing images through θ (L7-L8). The description-guided image features are obtained via a parameter-free cross-attention between local features and description features (L9). The local image features are a weighted average of the description-guided features based on the relevance of each description to the

image (L10 - L11). Finally, the mean description-guided image features and global image features are fused to create the fusion image feature (L12). Unprompted and prompted description-guided text features are obtained by passing the description-guided text templates through ϕ (L13-L14). L_{ce} , L_{steer}^{v} , and L_{steer}^{t} loss functions are employed to train the prompts.

Algorithm 1 SAP Algorithm

Require: Dataset $D = {x_i, y_i}_{i=1}^B$; Classification label space: \mathcal{Y} ; Vision and Language encoders: (θ, ϕ) ; LLM: ChatGPT-3.5 model; Hyperparameters: coefficients λ_1, λ_2 , scaling parameter s, learning rate δ ; Learnable Prompts: $\rho = \{\rho_t, \rho_v\}$ **Ensure:** Trained parameters $\hat{\rho}$ Get descriptions for each class by querying LLM $^{\ast}/$ 1: for all $y \in \mathcal{Y}$ do $A_y = \text{LLM}(\text{Visual features for distinguishing } y \text{ in a photo?})$ 2: 3: end for 4: $A = \bigcup A_{i}$ 5: $\phi(A)$ /* Get class description features */ 6: for all epochs do Get unprompted and prompted image features for every image \mathbf{x} in the batch */ 6: $\theta(\mathbf{x}), \ = \theta(\mathbf{x})$ 7: 8: $\theta_p(\mathbf{x}), \, \theta_p^l(\mathbf{x}) = \theta(\mathbf{x}; \, \rho_v)$ Get description-guided image features using parameter-free cross-attention */ $\theta^{desc}(\mathbf{x}) = \text{Cross}_{Attention}(Q = \phi(A), K = \theta^{l}(x), V = \theta^{l}(\mathbf{x}))$ 9: /* Get mean description-guided image feature using relevance score */ 10: $\mathbf{r} = softmax(\phi(A) \cdot \theta(\mathbf{x}))$ $\bar{\theta}^{desc}(\mathbf{x}) = \theta^{desc}(\mathbf{x})^{\intercal} \cdot \mathbf{r}$ 11: /* Get fused image feature by fusing global and local feature using description specificity (α) */ $\hat{\theta}(\mathbf{x}) = (1 - \alpha) \cdot \theta(\mathbf{x}) + \alpha \cdot \bar{\theta}^{desc}(\mathbf{x})$ 12:Get unprompted and prompted description guided text features for every class y */ 13: $\phi(y, A_y) = \phi(y, A_y)$ 14: $\phi_p(y, \hat{A}_y) = \phi(y, \hat{A}_y; \rho_t)$ $\begin{array}{l} & \varphi_p(y_1,y_y) - \varphi_{(y_1,y_2,p_1)} \\ /^* & \text{Similarity between an image and a class is the aggregate of similarities over pertinent descriptions of a class */ \\ & \xi(\hat{\theta}_p(\mathbf{x}), \phi_p(y; A_y)) = \frac{1}{|A_y|} \sum_{a \in A_y} sim(\hat{\theta}_p(\mathbf{x}), \phi_p(y; a)) \end{array}$ 15: $L_{ce}(\rho) = -\frac{1}{B} \sum_{i=1}^{B} \log \frac{\exp(\xi(\hat{\theta}_p(\mathbf{x_i}), \phi_p(y_i; A_{y_i}))/\tau)}{\sum_{\substack{y \in \mathcal{Y} \\ y \in \mathcal{Y}}} \exp(\xi(\hat{\theta}_p(\mathbf{x_i}), \phi_p(y; A_y))/\tau)}$ 16: /* Compute Steering Losses */
$$\begin{split} L_{steer}^{v}(\rho) &= \frac{1}{B} \sum_{i=1}^{B} \|\theta_{p}(\mathbf{x}_{i}) - \theta(\mathbf{x}_{i})\|_{1} \\ L_{steer}^{t}(\rho) &= \frac{1}{|\mathcal{Y}|} \sum_{i \in \mathcal{Y}} \|\phi_{p}(y; A_{y}) - \phi(y; A_{y})\|_{1} \end{split}$$
17:18: $y\!\in\!\mathcal{Y}$ /* Perform gradient descent on the total loss */ $\mathcal{L}(\rho) = L_{ce}(\rho) + \lambda_1 L_{steer}^v(\rho) + \lambda_2 L_{steer}^t(\rho)$ 19: 20: $\hat{\rho} = \rho - \delta \nabla \mathcal{L}(\rho)$ 21: end for 22: return $\hat{\rho}$

C Implementation Details

Training Details. We use the ViT-B/16 (Dosovitskiy et al., 2021)-based CLIP model as our backbone. For the GZS and B2N benchmarks, we fine-tune the model on K = 16 shot training data from the base classes. Prompts are learned in the first three layers for the Cross-dataset benchmark and the first nine layers for the remaining two benchmarks. We introduce a *d*-dimensional bias as the sole additional parameter compared to (Khattak et al., 2023). The text prompts in the initial layer are initialized with the word embeddings of 'a photo of a', and the rest are randomly initialized from a normal distribution, similar to (Khattak et al., 2023). Our models are trained on a single Tesla V100 GPU with Nvidia driver version 470.199.02. We train for 20 epochs, with a batch size of 4 images, $\lambda_1 = 10$ and $\lambda_2 = 25$. The hyperparameter setup is common across all datasets. We use the SGD optimizer with a momentum of 0.9, a learning rate of 0.0025, and weight decay 5e - 4. A cosine learning rate scheduler is applied with a warmup epoch of 1. We do not tune the temperature, and leave it at the default value of 100, also used by CLIP and PSRC. Image pre-processing involves random crops, random horizontal and vertical flips, and normalization using mean values of [0.48, 0.46, 0.41] and standard deviation values of [0.27, 0.26, 0.27]. All baselines utilize publicly available codes and models. All results are averages over three seeds. We use PyTorch 1.12, CUDA 11.3, and build on the Dassl code repository: https://github.com/KaiyangZhou/Dassl.pytorch. Our code is available at https://github.com/HariChandana1102/Semantic-Alignment-for-Prompt-Tuning-in-Vision-Language-Models

D Expanded Tables and Additional Results

Using Random Text in place of Class Descriptions. To study the usefulness of valid descriptions, we replace the descriptions for each class by randomly generated texting in Tab. 11. Examples of random descriptions are "Raindrops pattered softly against the roof", "A solitary figure walked down the empty street". We observe that descriptions matter for unusual datasets having texture-based images, satellite images, aircraft images and action recognition images. The average HM using random text across 11 datasets on B2N benchmark is **78.27%**, while SAP reports an average HM of **80.94%**. A drop of **2.67%** is noted.

	UCF101	EuroSAT	DTD	OxfordPets	StanfordCars	Flowers102	Food101	FGVCAircraft	2018702	Caltech101	ImageNet	Average
Base	86.27	95.83	83.1	95.07	78.2	97.5	90.13	41.37	81.87	98.07	76.7	84.01
Novel	76.37	69.23	54.1	95.33	72.33	75.53	89.9	34.8	76.63	94.1	67.7	73.27
HM	81.02	80.39	65.54	95.2	75.15	85.12	90.01	37.8	79.16	96.04	72.17	78.27

Table 11: B2N benchmark results using random text in place of class descriptions. The results show that using irrelevant descriptions hurts model performance.

Using Class Descriptions of Only Ground Truth Classes Using class descriptions of the ground-truth class makes sense during training but may lead to noisy local features at inference. Our intention of using class descriptions of all *training classes*, is to construct a generalizable local view of the image, rather than a biased one. Due to the unbiased nature of the feature, it can help with tasks like Classification-without-Classnames. Tab. 12. shows the impact of using just the ground-truth class descriptions during training on three benchmarks. We do not change any hyperparameters. These results corroborate our perspective.

B2N	Base	Novel	HM	GZS	Base	Novel	HM	CwC	Base	Novel	HM
all descriptions (Ours) gnd truth descriptions	$ 84.68 \\ 84.58 $	$77.51 \\ 76.93$		all descriptions (Ours) gnd truth descriptions	$79.46 \\ 79.27$	$69.75 \\ 68.96$	$74.29 \\ 73.76$	all descriptions (Ours) gnd truth descriptions	$\begin{array}{c} 43.30 \\ 41.76 \end{array}$	$45.60 \\ 43.45$	$44.40 \\ 42.59$

Table 12: Comparison with ground truth class descriptions for B2N, GZS and CwC benchmarks.

Using class descriptions from other LLMs. We generate class descriptions from two other LLMs - OpenAI's GPT4o-mini OpenAI (2024) and Anthropic's Claude Haiku Anthropic (2024). Both LLMs considered are fast and cheap – for instance generating class descriptions for all classes of all 11 datasets from Claude Haiku takes 40 mins and costs 0.5\$. The results are presented in the Tab. 13. for both B2N and GZS benchmarks:

	LLM	LLM Base	LLM Base Novel
	CDT 2 5	CPT 25 70.47	CDT 25 70.47 60.75
Ţ	PT-3 5	DT 25 70.47	DT 2 5 70 47 60 75

Table 13: Comparison with class descriptions generated from other LLMs on Base-to-Novel benchmark on the left, and Generalized Zero-Shot benchmark on the right.

The results indicate that we get similar results across varying quality of outputs from different LLMs. We believe that in the future obtaining text semantics is going to be cheaper and easier, which necessitates algorithms that can make use of such cheap semantic information.

Few-shot Setting. Our main objective is to train prompts that can generalize effectively to novel classes and datasets. As such, we present results primarily on settings that test generalizability, such as the GZS benchmark, Base-to-Novel benchmark, and the Classification without Class-names benchmark. For completeness, we present results in a few-shot classification setting, where limited training samples are provided for all

classes. Note that there are no novel classes in this setting. We showcase outcomes for K = 1, 2, 4, 8, and 16 shots. As shown in Fig. 6, on average, across 11 datasets, we perform competitively against the best baseline PSRC.



Figure 6: Performance of SAP in the few-shot setting. Our method achieves competitive performance compared to all baselines on average across 11 datasets.

Domain Generalization. We show results on Domain Generalization in Tab. 14. We train on K =16 shot training data from base classes of source dataset ImageNet and evaluation on ImageNetV2, ImageNet-A, ImageNet-Setch, and ImageNet-R target datasets. SAP outperforms two strong baselines PSRC and MaPLe.

	Source		Target							
	ImageNet	-V2	-A	-S	-R	Avg				
MaPLe PSRC	77.10 76.30	71.00 71.00	$53.70 \\ 54.10$	$50.00 \\ 50.00$	77.70 77.80	$63.10 \\ 63.22$				
SAP	76.40	71.10	55.70	49.80	77.50	63.52				

Table 14: DG benchmark. SAP outperforms baselines on avg.

ResNet-50 Backbone as Image Encoder. Here we show the GZS and B2N performance of SAP using the ResNet-50 CLIP model as a backbone. We compare against five baselines which also use the ResNet-50 backbone and present our results in Tab. 15. For all methods including ours, we train the models without tuning any hyperparameters such as prompt-depth, regularization weight, learning rate etc. and use the same values as those of ViT-B/16 CLIP backbone. We observe that PSRC performs particularly poorly with a ResNet backbone. Although we use similar hyperparameters as PSRC, SAP shows good results, indicating that class descriptions help greatly in this setting. We show a gain of +0.98% on average gHM for GZS, and +2.32% on average HM in the B2N setting.

Prompt Depth. Tab. 16 shows the average HM for the B2N benchmark across nine datasets, excluding SUN397 and ImageNet. As seen from the table, adding prompts till depth 9 for image and text encoders is ideal for SAP performance and is used for B2N, GZS and CwC benchmarks.

Depth	1	3	5	7	9	11
HM	76.84	79.35	79.25	80.85	81.76	80.68

Table 16: Prompt depth analysis

Class Activation Maps (CAMs). We show additional CAMs for the ResNet-50(He et al., 2015) backbone encoder to visualize image regions that most correlate to a given description. Fig. 7 shows the GradCAM (Sel-

Dataset		CLIP	CoOp	KgCoOp	ProGrad	PSRC	SAP (Ours)					
	Generalized Zero-Shot Learning Benchmark											
Average on 11 datasets	gBase gNovel gHM	57.01 60.73 58.81	$68.65 \\ 50.35 \\ 58.1$	$ \begin{array}{r} 69.25 \\ 59.08 \\ \underline{63.76} \end{array} $	$\frac{69.89}{52.26}$ 59.81	$\begin{array}{c} 47.41 \\ 29.16 \\ 36.12 \end{array}$	$\begin{array}{c c} \textbf{71.52} (+1.63) \\ \underline{59.13} (-\textbf{1.60}) \\ \textbf{64.74} (+0.98) \end{array}$					
		Base-	to-Novel	Generalizat	ion Benchn	nark						
Average on 11 datasets	Base Novel HM	$ \begin{array}{c c} 65.27 \\ 68.14 \\ 66.68 \end{array} $	$77.24 \\ 57.40 \\ 65.86$	$ \begin{array}{r} 75.51 \\ \underline{67.53} \\ \underline{71.30} \\ \end{array} $	$\frac{77.98}{63.41}$ 69.94	55.13 38.72 45.49	$ \begin{vmatrix} 78.49 & (+0.51) \\ 69.32 & (+1.79) \\ 73.62 & (+2.32) \end{vmatrix} $					

Table 15: Results on	GZS and B2N	settings using	a ResNet-50	backbone.	On average, SAF	' outperforms all
the baselines.						

varaju et al., 2017) visualizations for base classes "Floor gymnastics", "Hammering", "Cape Flower" and "Highway". SAP effectively localizes the text semantics in the image compared to baselines. In Tab. 17, we show quantitative results using an occlusion metric to measure the localization capabilities of our learned prompts. Given a description, we mask out parts of the image which are most activated w.r.t. the description. The occluded image is then classified by the pre-trained CLIP model. A CAM localizes the description well if occluding image regions with the highest activations leads to a large drop in accuracy.

Method	Archery	Baby Crawling	Band Marching	Apply Eye Makeup	Apply Lipstick	Biking	Body Weight Squats
CoOp	57.39	64.42	61.99	75.00	78.66	55.15	53.97
PSRC	47.87	53.69	54.29	50.00	69.33	50.35	50.72
Ours	44.34	49.66	51.58	40.90	62.66	47.96	48.73
	707-320	747-200	737-200	727-200	C-130	CRJ-200	Boeing-717
CoOp	15.21	11.82	23.47	6.13	75.81	38.22	20.63
PSRC	6.14	8.84	21.42	3.06	75.86	32.45	23.58
Ours	3.00	5.92	15.30	0.00	60.61	26.58	14.72

Table 17: Occlusion benchmark (lower number is better): Images are masked at regions of highest activation relevant to a given class description, as identified by prompted image and text encoders, and then evaluated using the pre-trained CLIP model. The lower the accuracy, the better are the localizations. We show results for a few specific classes from the UCF101 dataset (top) and FGVC-Aircraft dataset (bottom). For example, for the class 'body weight squats', we use the description 'person bending knees and hips'.

For instance, for the text phrase 'a photo of a 737-200, which has two engines on the wings' we find that masking out important regions given by our prompted image encoder leads to an accuracy of 15.30%. This drop is higher than that of PSRC, whose accuracy drops only to 21.42%. This suggests that regions which are deemed important by SAP are highly correlated to the text phrase. Our parameter-free cross-attention module helps us learn prompts that focus on part-level image information.

Expanded Dataset-wise Tables. We present the elaborate tables dataset-wise for the Generalized Zero-Shot setting in Tab. 18 and Base-to-Novel generalization setting in Tab. 21. SAP outperforms the best-performing baseline, PSRC, in 7 of the 11 considered datasets. We perform very well in challenging datasets such as EuroSAT, DTD, and UCF-101. We present dataset-wise results for the Classification without Class-names benchmark in Tab. 19. Tab. 20 has the dataset-wise results for the Cross-Dataset generalizatin benchmark. In Tab. 15 we show average results on the GZS benchmark and the Base-to-Novel benchmark for the ResNet-50 backbone Image Encoder. We also present detailed, dataset-wise results for the same in Tab. 22.

E Generation of Class Descriptions

Tab. 23 shows class names sampled from different datasets and their respective descriptions retrieved using GPT-3.5 (Hagendorff et al., 2022). We use the query - "What are useful visual features for distinguishing a [classname] in a photo? Answer concisely." Class descriptions differ from well-



A photo of a 'highway', which is 'a long and straight path'

Figure 7: Figure displays GradCAM visualizations that highlight the regions of highest activation relevant to specific text phrases. These visualizations use a ResNet-50 backbone as the image encoder for all baselines, including ours. SAP localizes better than the existing baselines.

curated attributes found in datasets with annotated attributes such as AwA (Lampert et al., 2009) and CUB (Wah et al., 2011) in three ways: (i) Our class descriptions may be noisy since no manual curation is used; (ii) They may not necessarily contain class-discriminative information, especially for similar classes; and (iii) Descriptions of a class are generated independently, and may not contain comparative traits w.r.t. other classes. These choices are primarily to keep our approach low-cost while integrating these finer details into fine-tuning of VLMs. It's important to note that our description generation occurs at the class level, not the image level, making it cost-efficient.

F Limitations and Broader Impact

A key dependency of our framework is the need for an LLM to provide descriptions at a class level. We however believe that this has become increasingly feasible in recent times, especially since we require at a class level and not at the image level. Our work deals with learning prompts for generalizable image classification by leveraging cheaply available semantic knowledge in the form of class descriptions. We believe that our work can serve as a stepping stone for incorporating semantic information to solve multi-modal tasks like captioning and VQA. To the best of our knowledge, there are no direct detrimental effects of our work.

Dataset		CLIP (ICML '21)	CoOp (IJCV '22)	VPT (ECCV '22)	CoCoOp (CVPR '22)	MaPLe (CVPR '23)	KgCoOp (CVPR '23)	ProGrad (ICCV '23)	PSRC (ICCV '23)	CLIP-VDT (ICCVW '23)	SAP (Ours)
Average on 11 datasets	gBase gNovel gHM	60.81 63.21 61.99	75.19 60.39 66.99	73.48 66.62 69.89	73.13 65.23 68.96	75.47 67.09 71.04	76.86 62.12 68.71	70.15 55.07 61.70	$\frac{78.81}{68.13}$ $\frac{73.08}{73.08}$	63.75 63.89 63.82	$\begin{array}{c} \textbf{79.47} \ (+0.66) \\ \textbf{69.75} \ (+1.62) \\ \textbf{74.29} \ (+1.21) \end{array}$
UCF101	gBase gNovel gHM	62.70 64.40 63.53	80.26 84.76 82.45	75.76 67.73 71.52	$76.56 \\ 64.76 \\ 70.17$	76.90 70.40 73.51	78.96 62.33 69.67	74.63 51.36 60.85	82.67 71.40 76.62	66.19 67.00 66.59	$\frac{82.23}{76.40}$ 79.21
EuroSAT	gBase gNovel gHM	51.40 38.90 44.28	69.26 36.26 47.60	$\frac{88.22}{53.36}$ 66.50	70.86 41.03 51.97	84.06 43.90 57.68	82.02 31.26 45.28	76.26 23.43 35.85		55.09 50.79 52.85	94.37 58.53 72.25
DTD	gBase gNovel gHM	42.70 45.79 44.19		58.92 44.26 50.55	60.29 46.09 52.25	$63.00 \\ 47.49 \\ 54.16$	66.42 39.73 49.72	57.19 33.36 42.14	$\frac{68.73}{47.53}\\ \underline{56.20}$	55.79 51.00 53.28	$\frac{66.47}{54.27}$ 59.75
Oxford Pets	gBase gNovel gHM	84.80 90.19 87.41	89.56 90.46 90.01	89.06 93.23 91.10	91.12 92.50 91.81	91.69 93.93 92.80	$\frac{91.99}{92.69}$ $\frac{92.34}{92.34}$	88.36 87.76 88.06	93.00 91.00 91.99	83.80 90.40 86.97	91.97 92.30 92.13
Stanford Cars	gBase gNovel gHM	56.00 64.19 59.81	74.43 57.16 64.67		67.29 68.82 68.05	69.33 69.86 69.61	$72.56 \\ 66.56 \\ 69.43$		74.77 71.23 72.96	$59.50 \\ 61.59 \\ 60.52$	76.40 69.33 <u>72.69</u>
Flowers102	gBase gNovel gHM	62.09 69.80 65.71	93.40 56.92 70.74	83.12 65.56 73.31	87.36 65.53 74.89	91.19 68.29 78.10	92.80 65.76 76.97	84.86 62.39 71.92	$\frac{95.00}{71.00}$ 81.27	69.90 77.00 73.20	95.69 71.13 81.60
Food101	gBase gNovel gHM	79.90 80.90 80.39	83.59 76.82 80.07	85.96 84.99 85.49	$\frac{86.15}{86.50}$ 86.33	86.76 87.20 86.98	85.76 83.72 84.73	78.46 76.23 77.33	87.07 85.90 <u>86.48</u>	75.90 77.69 76.78	86.43 86.09 86.26
FGVC Aircraft	gBase gNovel gHM	14.50 23.79 18.01	29.92 22.83 25.90	25.12 28.03 26.50	25.90 26.36 26.13	25.90 28.53 27.15	32.69 22.06 26.35	23.93 15.63 18.93	$\frac{34.90}{28.40}$ $\frac{31.32}{28.40}$	16.10 18.60 17.59	35.00 30.23 32.44
SUN397	gBase gNovel gHM	60.50 63.70 62.05	$72.56 \\ 56.52 \\ 63.55$	$69.40 \\ 67.50 \\ 68.44$	71.19 67.26 69.17	72.76 68.93 70.79	73.36 61.75 67.06	67.69 57.00 61.89	75.63 68.70 <u>72.00</u>	$63.09 \\ 66.00 \\ 64.51$	75.40 69.80 72.30
Caltech101	gBase gNovel gHM	91.40 91.69 91.54	95.92 85.09 90.19	95.66 <u>92.26</u> 93.94	95.09 90.93 92.97	95.83 92.03 93.89	95.89 92.06 <u>93.94</u>	91.53 85.26 88.29	96.20 91.73 <u>93.91</u>	93.59 86.19 89.73	96.30 92.82 94.53
Imagenet	gBase gNovel gHM	63.00 62.00 62.49	72.80 63.20 67.66	71.9 65.40 68.50	72.59 67.80 70.11	72.80 67.40 70.00	$\frac{73.00}{65.40}$ 68.99	64.19 57.70 60.77	72.30 68.40 70.30	61.79 56.59 59.07	73.97 66.66 <u>70.13</u>

Table 18: Accuracy comparison on the GZS benchmark. gNovel & gBase indicate the accuracy of the novel classes and base classes respectively under the joint classification label space. gHM is the harmonic mean of gBase and gNovel. The best numbers are in bold, and the second best are underlined. As reported in the first row, SAP outperforms all baselines on average gBase (by +0.66%), gNovel (by +1.62%), and gHM (by 1.21%) computed across all datasets. We indicate the margin of improvement over the corresponding best-performing baseline for each metric in green.

Dataset		CLIP	CoOp	VPT	CoCoOp	MaPLe	KgCoOp	ProGrad	PSRC	SAP
Average on 11 datasets	Base Novel HM	33.28 38.55 35.72	$\frac{36.97}{43.90}$ $\frac{43.90}{40.14}$	40.28 43.72 41.93	$ \begin{array}{r} 40.12 \\ 40.80 \\ 40.46 \end{array} $	$\frac{41.56}{43.30}$ $\underline{42.41}$	$37.95 \\ 40.69 \\ 39.27$	$34.00 \\ 35.01 \\ 34.50$	40.40 43.78 42.02	$\begin{array}{c} 43.31 \ (+1.75) \\ 45.66 \ (+1.76) \\ 44.46 \ (+2.04) \end{array}$
UCF101	Base Novel HM	56.60 62.20 59.27	61.20 66.80 63.88	61.20 63.20 62.18	61.70 70.70 65.89	$\frac{64.20}{70.40}$ 67.16	62.00 68.80 65.22	$59.70 \\ 63.50 \\ 61.54$	63.10 69.40 66.10	64.70 69.10 <u>66.83</u>
EuroSAT	Base Novel HM	39.90 71.10 51.12	47.10 78.70 58.93	76.50 83.20 <u>79.71</u>	62.90 49.00 55.09	$\frac{84.30}{58.30}$ 68.93	$59.70 \\ 57.60 \\ 58.63$	$47.60 \\ 45.80 \\ 46.68$	$\frac{71.4}{82.10} \\ \frac{82.10}{76.38}$	88.70 80.90 84.62
DTD	Base Novel HM	40.20 42.40 41.27	$40.90 \\ 44.10 \\ 42.44$	$\frac{47.20}{44.30}$ $\underline{45.70}$	$ 44.20 \\ 47.10 \\ 45.60 $	44.90 42.90 43.88	$41.90 \\ 44.40 \\ 43.11$	39.20 40.20 39.69	42.70 44.00 43.34	$52.40 \\ 49.00 \\ 50.64$
Oxford Pets	Base Novel HM	24.50 35.20 28.89	$32.00 \\ 40.80 \\ 35.87$	$22.30 \\ 40.70 \\ 28.81$	34.20 44.10 38.52	<u>32.80</u> 46.40 <u>38.43</u>	25.40 39.70 30.98	$23.10 \\ 36.00 \\ 28.14$	$27.40 \\ 41.60 \\ 33.04$	$ \begin{array}{r} 23.60 \\ \underline{44.10} \\ 30.75 \end{array} $
Stanford Cars	Base Novel HM	$ \begin{array}{c c} 13.50 \\ 15.90 \\ 14.60 \end{array} $	$15.60 \\ 20.70 \\ 17.79$	$17.60 \\ 18.90 \\ 18.23$	16.30 11.70 13.62	10.30 25.80 14.72	$12.50 \\ 15.30 \\ 13.76$	$10.00 \\ 8.50 \\ 9.19$	$\frac{21.00}{20.40}$ $\frac{20.70}{20.70}$	22.50 <u>23.40</u> 22.94
Flowers102	Base Novel HM	7.40 9.30 8.24	$14.10 \\ 20.40 \\ 16.67$	$12.40 \\ 18.40 \\ 14.82$	$17.70 \\ 17.60 \\ 17.65$	$\frac{18.30}{\underline{23.20}}\\ \underline{20.46}$	$12.00 \\ 12.30 \\ 12.15$	$16.40 \\ 13.80 \\ 14.99$	$\frac{18.80}{19.30}$ 19.05	$19.60 \\ 26.00 \\ 22.35$
Food101	Base Novel HM	35.10 33.80 34.44	42.70 45.40 <u>44.01</u>	$\frac{44.00}{44.40}$	$\frac{43.40}{44.40}$ 43.89	35.50 38.90 37.12	47.10 44.60 45.82	$42.10 \\ 41.80 \\ 41.95$	$ \begin{array}{r} 41.20 \\ 40.50 \\ 40.85 \end{array} $	42.20 44.20 43.18
FGVC Aircraft	Base Novel HM	6.10 7.90 6.88	$\frac{9.50}{15.80}$ $\frac{11.87}{1}$	$8.00 \\ 12.80 \\ 9.85$	7.00 8.30 7.59	$\frac{13.40}{15.50}$ 14.37	6.80 10.70 8.32	5.20 8.20 6.36	8.30 12.30 9.91	$9.40 \\ 12.30 \\ 10.66$
SUN397	Base Novel HM	46.60 48.30 47.43	$49.20 \\ 50.00 \\ 49.60$	$50.50 \\ 51.40 \\ 50.95$	$\frac{51.30}{52.50}$ 51.89	50.20 52.20 51.18	50.10 53.20 <u>51.60</u>	$40.10 \\ 42.90 \\ 41.45$	$50.00 \\ 51.40 \\ 50.69$	51.40 51.40 51.40
Caltech101	Base Novel HM	77.80 74.80 76.27	$76.00 \\ 74.30 \\ 75.14$	83.00 <u>75.90</u> 79.29	83.00 75.80 <u>79.24</u>	82.30 75.50 78.75	80.80 76.20 78.43	72.30 63.20 67.44	81.10 75.10 77.98	81.70 75.20 78.32
ImageNet	Base Novel HM	18.40 23.20 20.52	$18.40 \\ 26.00 \\ 21.55$	$\frac{\underline{20.40}}{\underline{27.40}}$	19.70 27.60 22.99	21.00 27.30 23.74	$19.20 \\ 24.80 \\ 21.64$	$18.30 \\ 21.30 \\ 19.69$	$19.4 \\ 25.50 \\ 22.04$	20.30 26.70 23.06

Table 19: Accuracy comparison in the Classification without Class-names setting. We show average Base, Novel, and HM accuracies over all 11 datasets. During evaluation, descriptions of each class are provided instead of the class name, and visual recognition is conducted based on these descriptions. SAP outperforms baselines by average Base (by +1.75%), Novel (by +1.76%) and HM (by +2.04%) computed over all datasets.

	Source						Target					
	ImageNet	Caltech101	OxfordPets	StanfordCars	Flowers102	Food101	Aircraft	20NN397	DTD	EuroSAT	UCF101	Average
CoOp	71.51	93.70	89.14	64.51	68.71	85.30	18.47	64.15	41.92	46.39	66.55	63.88
CoCoOp	71.02	94.43	90.14	65.32	71.88	86.06	22.94	67.36	45.73	45.37	68.21	65.74
VPT	70.60	91.80	90.40	63.70	67.30	83.10	22.70	66.10	46.10	37.10	65.90	63.42
MaPLe	70.72	93.53	90.49	65.57	72.23	86.20	24.74	67.01	46.49	48.06	68.69	66.30
KgCoOp	69.94	94.08	90.13	65.63	71.21	86.48	23.85	67.47	45.80	41.98	68.33	65.49
ProGrad	62.17	88.30	86.43	55.61	62.69	76.76	15.76	60.16	39.48	28.47	58.70	57.36
PSRC	71.27	93.60	90.25	65.70	70.25	86.15	23.90	67.10	46.87	45.50	68.75	65.81
CLIP-VDT	68.10	85.40	83.50	50.30	56.00	72.50	14.60	56.30	42.70	24.70	53.80	53.98
KAPT	N/A	88.90	89.40	58.15	68.00	79.95	17.95	N/A	44.80	41.35	65.05	61.50
SAP (Ours)	71.40	94.53	90.14	64.58	71.31	86.23	24.47	68.09	48.61	49.10	71.52	66.85

Table 20: Cross-Dataset Generalization benchmark. Models are trained on Imagenet and tested on the entire label space of new datasets without fine-tuning. SAP outperforms all baselines on average. N/A: not available in (Kan et al., 2023).

Dataset		CLIP	CoOp	VPT	CoCoOp	ProDA	MaPLe	KgCoOp	ProGrad	PSRC	L.Prompt	CLIP-VDT	KAPT	SAP
Average on 11 datasets	Base Novel HM	69.34 74.22 71.70	82.69 63.22 71.66	80.81 70.36 70.36	80.47 71.69 75.83	81.56 72.30 76.65	82.28 75.14 78.55	80.73 73.60 77.00	82.48 70.75 76.16	84.26 <u>76.10</u> <u>79.97</u>	$\frac{84.47}{74.24}$ 79.03	82.48 74.50 78.28	81.10 72.24 76.41	$\begin{array}{c} 84.68 \ (+0.21) \\ 77.51 \ (+1.41) \\ 80.94 \ (+0.97) \end{array}$
UCF101	Base Novel HM	70.53 77.50 73.85	84.69 56.05 67.46	82.67 74.54 78.39	82.33 77.64 77.64	85.23 78.04 78.04	83.00 <u>80.77</u> 80.77	82.89 76.67 79.65	84.33 76.94 79.35	87.10 78.80 <u>82.74</u>	86.19 73.07 79.09	84.10 76.40 80.07	80.83 67.10 73.33	86.60 83.90 85.23
EuroSAT	Base Novel HM	56.48 64.05 60.03	92.19 54.74 68.69	93.01 54.89 69.04	87.49 60.04 71.21	83.90 66.00 73.88	94.07 73.23 82.35	85.64 64.34 73.48	90.11 60.89 72.67	92.90 <u>73.90</u> 82.32	93.67 69.44 79.75	88.50 70.50 78.48	84.80 67.57 75.21	96.10 81.13 87.98
DTD	Base Novel HM	53.24 59.90 56.37	79.44 41.18 54.24	79.15 50.76 61.85	77.01 56.00 64.85	80.67 56.48 66.44	80.36 59.18 68.16	77.55 54.99 64.35	77.35 52.35 62.45	$\frac{83.37}{62.97}$ $\frac{71.75}{71.75}$	82.87 60.14 69.70	81.80 62.30 70.73	75.97 58.30 65.97	84.27 67.03 74.67
Oxford Pets	Base Novel HM	91.17 97.26 94.12	93.67 95.29 94.47	94.81 96.00 95.40	95.20 97.69 96.43	95.43 97.83 96.62	$\frac{95.43}{97.76}$ $\frac{96.58}{96.58}$	94.65 <u>97.76</u> 96.18	95.07 97.63 96.33	95.33 97.30 96.30	96.07 96.31 96.18	94.40 97.70 95.68	93.13 96.53 94.80	95.27 96.90 96.08
Stanford Cars	Base Novel HM	63.37 74.89 68.65	78.12 60.40 68.13	72.46 73.38 72.92	70.49 73.59 72.01	74.70 71.20 72.91	72.94 74.00 73.47	71.76 75.04 73.36	77.68 68.63 72.88	78.27 <u>74.97</u> 76.58	78.36 72.39 75.26	76.80 72.90 74.80	69.47 66.20 67.79	79.70 73.47 <u>76.46</u>
Flowers102	Base Novel HM	72.08 77.80 74.83	97.60 59.67 74.06	95.39 73.87 83.26	94.87 71.75 81.71	97.70 68.68 80.66	95.92 72.46 82.56	95.00 74.73 83.65	95.54 71.87 82.03	$\frac{98.07}{76.50}$ 85.95	99.05 76.52 <u>86.34</u>	97.40 75.30 84.94	95.00 71.20 81.40	97.83 <u>76.50</u> 86.86
Food101	Base Novel HM	90.10 91.22 90.66	88.33 82.26 85.19	89.88 87.76 88.81	90.70 91.29 90.99	90.30 88.57 89.43	90.71 92.05 91.38	90.50 91.70 91.09	90.37 89.59 89.98	90.67 91.53 91.10	90.82 91.41 <u>91.11</u>	90.40 91.20 90.80	86.13 87.06 86.59	90.40 91.43 90.91
FGVC Aircraft	Base Novel HM	27.19 36.29 31.09	40.44 22.30 28.75	33.10 30.49 31.74	33.41 23.71 27.74	36.90 34.13 35.46	37.44 35.61 36.50	36.21 33.55 34.83	40.54 27.57 32.82	$\frac{42.73}{37.87}$ $\frac{40.15}{100}$	45.98 34.67 39.53	37.80 33.00 35.24	29.67 28.73 29.19	42.93 38.87 40.80
SUN397	Base Novel HM	69.36 75.35 72.23	80.60 65.89 72.51	79.66 72.68 79.63	79.74 76.86 78.27	78.67 76.93 77.79	80.82 78.70 79.75	80.29 76.53 78.36	81.26 74.17 77.55	$\frac{82.67}{\frac{78.47}{80.52}}$	81.20 78.12 79.63	81.40 76.80 79.03	79.40 74.33 76.78	82.57 79.20 80.85
Caltech101	Base Novel HM	96.84 94.00 95.40	98.00 89.91 93.73	97.86 93.76 95.77	97.96 93.81 95.84	<u>98.27</u> 93.23 95.68	97.74 94.36 96.02	97.72 94.39 96.03	98.02 93.89 95.91	98.10 94.03 96.02	98.19 93.78 95.93	98.30 95.90 97.09	97.10 93.53 95.28	98.23 94.37 <u>96.26</u>
ImageNet	Base Novel HM	72.43 68.14 70.22	76.47 67.88 71.92	70.93 65.90 73.66	75.98 70.43 73.10	75.40 70.23 72.72	76.66 70.54 73.47	75.83 69.96 72.78	$\frac{77.02}{66.66}$ 71.46	77.60 <u>70.73</u> 74.01	76.74 70.83 <u>73.66</u>	76.40 68.30 72.12	71.10 65.20 68.02	77.60 69.83 73.51

Table 21: Accuracy comparison on Base-to-Novel Generalization benchmark. The best numbers are in bold, and the second best are underlined. SAP outperforms all baselines on average Base (by +0.21%), Novel (by +1.41%) and HM (by +0.97%) computed over all datasets. We indicate the margin of improvement over the corresponding best-performing baseline for each metric in green.

				GZS Bend	hmark			Base-to-Novel Benchmark						
Dataset		CLIP	СоОр	KgCoOp	Pro- Grad	PSRC	SAP		CLIP	CoOp	KgCoOp	Pro- Grad	PSRC	SAP
Average on 11 datasets	gBase gNovel gHM	57.01 60.73 58.81		69.25 59.08 <u>63.76</u>	$\frac{69.89}{52.26}$ 59.81	47.41 29.16 36.12	$\begin{array}{c} \textbf{71.52} \ (+1.63) \\ \underline{59.13} \ (-1.60) \\ \textbf{64.74} \ (+0.98) \end{array}$	Base Novel HM	65.27 68.14 66.68	77.24 57.40 65.86	75.51 <u>67.53</u> <u>71.30</u>	$\frac{77.98}{63.41}$ 69.94	55.13 38.72 45.49	78.49 (+0.51) 69.32 (+1.79) 73.62 (+2.32)
UCF101	gBase gNovel gHM	61.20 61.79 61.49	$\frac{73.20}{45.10}$ 55.81	71.05 56.95 63.22	72.75 48.05 57.87	51.55 30.25 38.13	74.73 63.80 68.33	Base Novel HM	68.40 61.50 64.77	79.78 48.31 60.18	77.16 <u>70.13</u> <u>73.48</u>	81.04 60.07 69.00	59.95 38.85 47.15	$ \frac{80.70}{72.67} 76.47 $
EuroSAT	gBase gNovel gHM	32.79 46.50 38.46	62.70 23.45 34.13	71.25 <u>33.95</u> 45.99	73.60 19.40 30.71	61.15 09.00 15.69	$\frac{72.77}{32.32}$ $\underline{44.76}$	Base Novel HM	55.80 66.90 60.85	$\frac{90.25}{31.30}$ 46.48	$\frac{84.28}{53.53}$ 65.47	88.44 49.49 <u>63.47</u>	70.35 33.90 45.75	91.33 67.00 77.30
DTD	gBase gNovel gHM	$\begin{array}{c c} 43.50 \\ \underline{41.29} \\ 42.37 \end{array}$	60.60 27.05 37.40	$\frac{64.80}{40.45}$ $\frac{49.81}{2}$	62.30 27.05 37.72	42.60 18.30 25.60	62.73 44.27 51.91	Base Novel HM	53.70 55.60 54.63	$\frac{75.12}{37.08}$ 49.65	74.73 <u>48.39</u> 58.74	$73.80 \\ 46.38 \\ 56.96$	51.35 29.85 37.75	75.97 57.90 65.72
Oxford Pets	gBase gNovel gHM	85.90 85.59 85.74	84.70 85.25 84.97	85.75 90.45 <u>88.04</u>	$\frac{85.95}{87.10}$ 86.52	67.65 65.65 66.63	87.00 <u>89.27</u> 88.12	Base Novel HM	91.20 93.90 92.53	90.15 90.70 90.42	92.57 94.61 93.58	$\frac{92.36}{94.48}$ 93.41	77.60 79.40 78.49	91.90 <u>94.57</u> 93.22
Stanford Cars	gBase gNovel gHM	48.29 64.09 55.08	$\frac{64.70}{48.05}$ 55.15	62.25 59.20 60.69		17.35 21.65 19.26	68.20 57.60 62.45	Base Novel HM	55.50 66.50 60.50	68.89 57.13 62.46	63.28 66.92 65.05	71.79 59.36 <u>64.99</u>	26.35 25.50 25.92	$\frac{71.43}{64.77}$ 67.94
Flowers102	gBase gNovel gHM	62.59 68.30 65.32	$\frac{89.40}{50.70}$ 64.70	85.70 <u>63.85</u> <u>73.18</u>	88.80 52.75 66.18	65.00 10.85 18.60	92.52 61.62 73.97	Base Novel HM	69.70 73.90 71.74	<u>95.22</u> 59.53 73.26	91.45 71.75 <u>80.41</u>	94.71 68.86 79.74	73.75 19.75 31.16	96.40 <u>70.30</u> 81.31
Food101	gBase gNovel gHM	75.80 78.90 <u>77.32</u>	$73.80 \\ 68.50 \\ 71.05$	78.30 <u>78.25</u> 78.27	76.30 72.90 74.56	32.65 17.60 22.87	77.97 76.60 77.28	Base Novel HM	83.10 84.50 83.79	81.70 78.13 79.88	83.90 85.23 84.56	83.77 83.74 83.75	37.85 27.15 31.62	83.57 84.13 83.85
FGVC Aircraft	gBase gNovel gHM	12.69 22.10 16.12	24.15 14.75 18.31	20.20 18.20 <u>19.15</u>	21.60 14.25 17.17	8.65 6.95 7.71	$ \frac{\frac{23.17}{17.45}}{19.91} $	Base Novel HM	18.80 26.00 21.82	28.39 20.02 23.48	24.91 25.69 25.29	30.17 19.70 23.84	14.20 9.05 11.05	$\frac{28.97}{25.33}$ 27.03
SUN397	gBase gNovel gHM	56.70 60.50 58.54	66.65 53.30 59.23	67.05 61.80 64.32	$\frac{67.15}{56.50}$ 61.37	54.25 45.85 49.70	70.40 62.20 66.05	Base Novel HM	66.40 70.10 70.10	76.33 62.89 68.96	75.33 72.25 <u>73.76</u>	$\frac{76.90}{68.09}$ 72.23	63.25 57.50 60.24	78.20 73.27 75.65
Caltech101	gBase gNovel gHM	88.59 81.69 85.00	91.35 82.15 86.51	$\frac{91.65}{88.05}$ 89.81	91.50 86.30 88.82	79.35 58.65 67.45	92.13 <u>87.50</u> <u>89.76</u>	Base Novel HM	91.00 90.60 90.80	95.20 87.55 91.21	95.35 91.92 93.60	95.72 89.92 92.73	84.80 65.65 74.01	$\frac{95.67}{91.13}$ $\frac{93.34}{93.34}$
ImageNet	gBase gNovel gHM	59.09 57.29 58.18	63.90 55.60 59.46	63.75 58.75 <u>61.15</u>	$\frac{64.55}{57.15}$ 60.63	41.40 36.05 38.54	$ \begin{array}{r} 65.05 \\ \underline{57.85} \\ 61.24 \end{array} $	Base Novel HM	64.40 60.10 62.18	68.5 58.76 63.29	67.67 62.45 <u>64.96</u>	$\frac{69.13}{57.39}$ 62.72	47.00 39.35 42.84	69.20 <u>61.40</u> 65.07

Table 22: GZS benchmark and Base-to-Novel Generalization benchmark using ResNet backbone. Metrics for the GZS benchmark, such as gBase, gNovel, and gHM, are employed in the left section of the table. Conversely, metrics like Base, Novel, and HM are utilized to assess the Base-to-Novel benchmark in the right section. On average, our method outperforms all the baselines.

$\begin{array}{c} {f Class} \\ {f (Dataset)} \end{array}$	Descriptions	$\begin{array}{c} { m Class} \\ { m (Dataset)} \end{array}$	Descriptions
Breast stroke (UCF101)	 Arms moving in a circular motion Kicking legs in a frog-like motion Head above water during stroke Positioned horizontally in the water Pushing water forward and outwards 	Diving (UCF101)	 Person in mid-air or jumping Person wearing diving gear water splashing or ripples Person wearing gogglesr Person wearing swim cap
Highway or road (EuroSAT)	 Long and straight path Multiple lanes for traffic Traffic signs Smooth and paved surface Guardrails or barriers 	Permanent cropland (EuroSAT)	 Uniform vegetation or crops Irrigation systems or canals Organized rows or patterns Fences or boundaries Distinct crop types or varieties
Striped (DTD)	 Alternating bands or lines Regular pattern of stripes Varying widths of stripes Contrasting colors between stripes Horizontal, vertical, diagonal stripes 	Wrinkled (DTD)	 Irregular and uneven surface Creases or folds Shadows indicating unevenness Lack of smoothness Distorted or crumpled appearance
Maine coon (Oxford Pets)	 Large domestic cat Long, bushy tail Tufted ears with lynx-like tips Rectangular body shape Tufted paws 	Chihuahua (Oxford Pets)	 Small breed of dog Rounded apple-shaped head Erect, pointy ears Short snout Short legs and long tail
2008 chrysler pt cruiser convertible (Stanford Cars)	 Convertible top Chrome grille PT cruiser badge Alloy wheels Boxy shape 	2012 ferrari ff coupe (Stanford Cars)	 Sleek and sporty design Large and stylish alloy wheels Low and wide stance Ferrari logo on the front and rear Dual exhaust pipes
Watercress (Flowers102)	 Small, round-shaped leaves Vibrant green color Thin, delicate stems Water or moist environments Clusters of small white flowers 	Trumpet creeper (Flowers102)	 Bright orange or red flowers Trumpet-shaped blossoms Long, tubular petals Green leaves with serrated edges Hummingbirds and bees
Hot dog (Food101)	 Cylindrical-shaped food Bun or bread Sausage or frankfurter Visible grill marks Toppings like onions or relish 	Sushi (Food101)	 Bite-sized and compact Rice as a base Raw or cooked fish Seaweed wrapping (nori) Served with soy sauce
737-200 (FGVC Aircraft)	 Two engines on the wings Low wing configuration Narrow body Distinctive short fuselage Swept-back wings 	Industrial area (SUN397)	 Factories or warehouses Smokestacks or chimneys Cranes or heavy machinery Conveyor belts or assembly lines Trucks or shipping containers
Gramophone (Caltech101)	 Phonograph Cylinder or Disc Horn Speaker Hand-Cranked Operation Nostalgic and Vintage Appeal Vinyl or Shellac Records 	Buckle (Imagenet)	 Metal or plastic object Rectangular or circular shape Fastening or securing Opened and closed Found on belts or straps

Table 23: Sample classes from various datasets and the corresponding descriptions provided by GPT-3.5.