# **493 A Ethics Statement**

**IRB** (Institutional Review Board) Approval. This project is approved by our Institutional Review 494 495 Board (IRB). For the creation of cognitive models, any other annotation work, as well as consultations, we collaborate with clinical psychologists and professors in clinical psychology and social work. For 496 both the formative study and user study, we recruited participants through professional networks and 497 snowball sampling. Experts are defined as those with a graduate degree in clinical psychology, social 498 work, or other related majors and have worked with at least 5 patients. Trainees are those still in 499 school/training or with fewer than 5 real patient experiences. For the formative study, we recruited a 500 501 total of 12 participants. We pay a \$30 Amazon gift card for each participant for a 30-minute session 502 over Zoom. For the user study, we recruited a total of 33 participants. We pay a \$60 Amazon gift card for a 60-90-minute session over Zoom. 503

**Informed Consent.** All participants in the user study and formative study were 18 or older and provided informed consent. We did not assess any clinical outcomes. All data collected from the participants were de-identified and consented to be released for research purposes.

**Crisis Resources** The risk to the participants is minimal, no greater than their professional working 507 or training environment of mental health support in the context of conducting therapy sessions 508 with people with mental health issues. Nevertheless, we do not exclude the possibility that some 509 AI-generated content might still be upsetting to the participants. Therefore, we advise participants 510 to use a free crisis resource available at https://www.7cups.com/ if needed, and they are free to 511 terminate the study at any time without facing any negative consequences. This risk assessment 512 and crisis resource information have been included in our IRB approval and provided as part of the 513 informed consent to participants. 514

System and Data Usages. All the data and systems developed in this work are intended solely for 515 academic research purposes. The systems developed in this work are intended to augment existing 516 mental health training, not to replace it. One major benefit of our system, as highlighted by experts 517 in the user study, is that it provides trainees with a safe training environment. By working with AI 518 patients, trainees can practice without the risk of causing actual harm due to mistakes made during 519 simulated therapy sessions. Our system is designed for academic and educational purposes only. 520 Real-world deployments will require further work, including measuring objective skill improvements 521 and developing protocols for integrating the system with existing training methods, all within the 522 framework of large-scale randomized controlled trials (RCTs). 523

We utilize therapy session transcripts from the Alexander Street database<sup>3</sup>, accessed through our 524 institution subscription. Our usage complies with their fair use policy. GPT- $\overline{4}$  is employed to generate 525 summaries of these transcripts. For constructing the cognitive model dataset, two clinical psycholo-526 gists manually create cognitive models based on inspirations from the transcript summaries, clinical 527 experience, and creativity—effectively generating new cases. The resulting dataset is manually 528 verified and does not contain any Personally Identifiable Information (PII). It is intended solely for 529 academic research purposes and will be made available only to academic institutions with subscrip-530 tions to the Alexander Street database. The dataset will be released upon request after the publication 531 of our paper. 532

<sup>&</sup>lt;sup>5</sup>https://alexanderstreet.com/

## **533 B Formative Study Details**

To understand the challenges faced during CBT training and elicit feedback on a prototype of PATIENT- $\Psi$ -TRAINER, we first conducted a formative study in the form of semi-structured interviews with trainees and experts in mental health. This study was conducted over Zoom.

**Participant Information.** We interviewed twelve individuals who had diverse educational back-537 grounds and career experiences. Among them, five were Master's students, the rest included a Ph.D. 538 student, a post-doctoral fellow, three licensed social workers, and two psychologists. Our participants 539 also had varied levels of experience working with patients. Only one individual had not yet worked 540 with any patients, while another reported working with anywhere from 1500-3000 patients over their 541 career. We refer to individuals as *experts* if they received a graduate degree and have worked with at 542 least 5 patients; we use *trainees* if they do not have a graduate degree and have formal experience 543 with fewer than 5 patients. This definition is consistent with our user study. Thus, for our formative 544 545 interviews, we have 5 trainees and 7 experts.

**Instructions to Participants.** Before each interview, the participant voluntarily signs the consent 546 form. We provide the screenshots of the consent form with all sensitive information removed in 547 Figures 6 and 7 After receiving the signed consent form, we then proceed with the interview. When 548 the session starts, we remind participants of the recorded nature of the conversation and verbally 549 summarize the goal of the interview. We also provide a high-level overview of the structure of the 550 interview. We confirm consent to audio record the interview before proceeding. In our interviews, 551 we first ask the experts questions about challenges they faced transitioning from their formal CBT 552 training to practice. We then present both groups with a prototype of PATIENT- $\Psi$ -TRAINER to elicit 553 feedback. 554

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Consent Form
Purpose of this Study This study aims to understand the current landscape of Cognitive Behavioral Therapy (CBT) training, including the need, constraints, and potential challenges of implementing LLM-based simulations.
Procedures In this study, we will conduct semi-structured interviews to gather your insights. You will answer a series of questions about your experiences with CBT training.
The interview will take approximately 30 minutes. The interview will be held over Zoom and audio-recorded for research purposes. Participants should ensure their camera is off to avoid video recording. If you prefer not to be audio recorded, you may opt out of the study. Please do not share any identifiable, personal or sensitive information about yourselves or others that you would not want shared outside the research setting.
Participant Requirements
1. Participants must be 18 years and older
2. Participants must be
(1) college students who are currently studying or have previously studied CBT or
(2) Social workers who are receiving or have previously received CBT training or
(3) Professional CBT therapists with in-depth knowledge of CBT training who have experience in interacting with real-world patients.
3. Participants must have the ability to sign the consent form.
4. Participants must be in the United States during the time of participation.
Benefits Participants may find it interesting to share their experiences with CBT training and help the researchers to design a patient simulation system. The publication of this research can benefit the research community.
Compensation & Costs You will receive a \$30 Amazon gift card for compensation. Your participation in the study is at no cost.
Future Use of Information In the future, once we have remoted all identifiable information from your data, we may use the data for our future research studies, or we may distribute the data to other investigators for their research studies. We would do this without getting additional informed consent from you (or your legally authorized representative). Sharing of data with other research result on the dom is usch a manoer that you will not be identified.

Figure 6: Screenshot of formative study consent form - 1

## 555 B.1 Insights

<sup>556</sup> We now elaborate on the main insights that we gleaned from this formative study.

### <sup>557</sup> Insight 1: Experts feel that their training did not adequately prepare them for real-world prac-

tice. 100% of experts noted that their training did not adequately prepare them for the complexities

of real-world practice, where patients often experience co-occuring challenges, such as other mental

health issues or poverty. Experts found role-playing exercises with their peers based on manuals to

<sup>&</sup>lt;sup>6</sup>We recruited participants through professional networks.

<b>Risks</b> The risk to you is minimal, no CBT training. There are poter	greater than in ordinary life, in tial risks of a breach of confide	the context of discussions about your experiences with entiality, and boredom or fatigue.
Rights Your participation is voluntary of your consent or discontinuu- which you might otherwise be for any of a number of reasor you might otherwise be entitle	You are free to stop your part d participation in the study will entitled. The Principal Investig s. In such an event, you will no d.	licipation at any point. Refusal to participate or withdrawal I not result in any penalty or loss of benefits or rights to gator may at his/her discretion remove you from the study ot suffer any penalty or loss of benefits or rights which
Confidentiality Assurance The study will collect your res owned by . The compan information that you share will Terms and Conditions of the o	earch data through your use o es will have access to the rese h them while using their produ companies or how they will use	f Google, Zoom and Otter.al. These companies are not earch data that you produce and any identifiable c. Please note that the does not control the e or protect any information that they collect.
Data Storage and Access All recordings will be transcribed disseminated.	study data will be securely sto and then deleted from third-pa	red at <b>1999</b> , accessible only to the research team. Audio arty services. Personal identifiers will not be published or
Right to Ask Questions & C If you have any questions abo additional information, or wish or e-mail in accordance with t	ontact Information ut this study, you should feel f to withdraw your participation he contact information listed o	free to ask them now. If you have questions later, desire please contact the Principal Investigator by mail, phone n the first page of this consent.
If you have questions pertaini should contact the	ng to your rights as a research	participant; or to report concerns to this study, you
Voluntary Consent Confirm	ation	
I confirm I am over 18 years of I confirm I am in the United S I have read and understood th I agree to participate in the st I agree to be contacted by the	ld: □ Yes □ No ates during this study: □ Yes □ his consent form: □ Yes □ No idy: □ Yes □ No study team in the future for a	□ No follow-up study: □ Yes □ No
Your signature below indicate	s your consent to participate. Y	You will receive a copy of this form.
PRINT NAME:	SIGNATURE:	DATE:
Confirmation by Research	(eam	
I confirm that I have explained	I the study to the participant a	nd addressed all questions.
SIGNATURE OF RESEARCH	I TEAM MEMBER:	DATE:

Figure 7: Screenshot of formative study consent form - 2

<sup>561</sup> be unrealistic, as these exercises often do not reflect the unpredictable nature of actual sessions. One <sup>562</sup> participant explained,

Manuals can often make it feel quite clean. But then when you're in the room with the patient, what they're actually saying can feel very messy.

This gap made it difficult for some experts to develop confidence in their skills: the examples were too perfect to apply in practice.

**Insight 2: Fidelity is a crucial aspect of any simulation-based training.** To address this gap, 565 many participants suggested incorporating higher fidelity and varied examples during training to 566 help trainees practice critical clinical skills. When asked to provide feedback on the prototype, five 567 of the seven experts emphasized the importance of fidelity in the simulated patient interactions and 568 representations. Six of the seven experts noted the importance of including diverse patient types 569 to mirror those encountered in practice. They further identified dimensions along which patients 570 could vary, which may contribute to their level of difficulty for a new therapist. They highlighted 571 that more difficult patients might be oppositional, express themselves verbosely in a way that may 572 not answer the questions, provide less information and be guarded, or go off on tangents. Another 573 expert mentioned that some patients may be more of "people pleasers", making them more likely to 574 tell the therapist what they want to hear, rather than sharing what is happening in their lives. One 575 expert emphasized, 576

People probably aren't going to fit neatly into the modality. And that's okay. That's just something to be prepared for.

These insights directly influenced the design choice for PATIENT- $\Psi$ -TRAINER to include varied *conversational styles*, ensuring that the simulated patients exhibit a wide range of behaviors and

emotional responses to better prepare trainees for real-world scenarios.

<sup>&</sup>lt;sup>7</sup>Two experts provided low-level commentary on practical design choices, so their input with respect to fidelity is not available.

Insight 3: Both trainees and experts believe that AI-powered simulations could be an effective 580 training tool. We also discussed the effectiveness of an AI-powered patient simulation tool for 581 CBT training. All experts were positive about the possibility for trainees to receive AI-powered 582 training using the tool. In particular, they saw benefit in the customization options afforded by AI and 583 connected it to our discussions about trainee challenges by noting its ability to let students to practice 584 with patients with different diagnoses, comorbidities, and diverse backgrounds or conversational 585 styles. The experts also highlighted that a well-designed simulation could improve training over 586 role-playing based on manuals: the presence of a transcript would enable the instructor to provide 587 real-time or post-hoc feedback. The trainee who had not yet used CBT with real patients remarked 588 that they believed the tool would make them feel more confident navigating future conversations 589 with real patients. These findings indicate that this tool could help address some of the existing 590 challenges through its customization, flexibility, and ability to incorporate feedback. They also 591 directly influenced our decision to evaluate many different dimensions of training effectiveness. 592

# 593 C PATIENT- $\Psi$ Details

## 594 C.1 Cognitive Conceptualization Diagrams

<sup>595</sup> Following the principles provided by the CBT textbook [Beck] [2020], a CCD-based cognitive model <sup>596</sup> can be decomposed into 8 main components (see Figure 10 as an example). [Beck] [2020] provides

<sup>597</sup> a closed set of categories for emotions (9 categories) and core beliefs (3 major categories and 19

fine-grained categories). The closed set of emotion categories is already shown in Table 2 The closed

<sup>599</sup> set of core belief categories is shown in Table 7 below.

3 major categories	19 fine-grained categories	#
	I am incompetent.	40
	I am helpless.	47
	I am powerless, weak, vulnerable.	48
	I am a victim.	9
Helpless	I am needy.	10
	I am trapped.	39
	I am out of control.	34
	I am a failure, loser.	26
	I am defective.	8
	I am unlovable.	59
	I am unattractive.	0
Unlowable	I am undesirable, unwanted.	31
Uniovable	I am bound to be rejected.	21
	I am bound to be abandoned.	32
	I am bound to be alone.	30
	I am worthless, waste.	13
Worthloss	I am immoral.	4
woruness	I am bad - dangerous, toxic, evil.	2
	I don't deserve to live.	0

Table 7: Detailed category statistics of core beliefs in PATIENT- $\Psi$ -CM. The categories of core beliefs are obtained from Beck [2020].

# 600 C.2 PATIENT- $\Psi$ -CM details

**Dataset creation details** We first prompt GPT-4 Turbo to create summaries inspired by therapy session transcripts. The therapy session transcripts were obtained from the Alexander Street database under the subject "Counseling and Therapy" and the keyword "Cognitive Behavioral Therapy". Inspired by the summaries provided by GPT-4 Turbo, two clinical psychologists collaborate to create CCD-based cognitive models based on their clinical experience and creativity.

**Dataset examples** PATIENT- $\Psi$ -CM contains 106 cognitive models with 7 different situation categories, covering 3 major core beliefs categories (helpless, unlovable, and worthless) and 9 emotions categories provided in Beck 2020, as is shown in Table 2 We provide two excerpts with different situation categories from PATIENT- $\Psi$ -CM, shown in Figure 8 and Figure 9

# 610 C.3 Conversational styles details

Here we provide detailed descriptions of the six conversational styles in Table 8 and an example conversation for each of the style role-played by PATIENT- $\Psi$  (Figure 11), Figure 12, Figure 13, Figure 14, Figure 15, Figure 16).

<sup>&</sup>lt;sup>8</sup>https://alexanderstreet.com/, accessed through our institution's subscription.



Situation category: family dynamics





Situation category: workplace pressure

Figure 9: Example No. 2 from PATIENT- $\Psi$ -CM

Styles	Description
plain	1
upset	An upset patient may 1) exhibit anger or resistance towards the therapist or the therapeutic process, 2) may be challenging or dismissive of the therapist's suggestions and interventions, 3) have difficulty trusting the therapist and forming a therapeutic alliance, and 4) be prone to arguing, criticizing, or expressing frustration during therapy sessions.
verbose	A verbose patient may 1) provide detailed responses to questions, even if directly relevant, 2) elaborate on personal experiences, thoughts, and feelings extensively, and 3) demonstrate difficulty in allowing the therapist to guide the conversation.
reserved	A reserved patient may 1) provide brief, vague, or evasive answers to questions, 2) demonstrate reluctance to share personal information or feelings, 3) require more prompting and encouragement to open up, and 4) express distrust or skepticism towards the therapist.
tangent	A patient who goes off on tangent may 1) start answering a question but quickly veer off into unrelated topics, 2) share personal anecdotes or experiences that are not relevant to the question asked, 3) demonstrate difficulty staying focused on the topic at hand, and 4) require redirection to bring the conversation back to the relevant points.
pleasing	A pleasing patient may 1) minimize or downplay your own concerns or symptoms to maintain a positive image, 2) demonstrate eager-to-please behavior and avoid expressing disagreement or dissatisfaction, 3) seek approval or validation from the therapist frequently, and 4) agree with the therapist's statements or suggestions readily, even if they may not fully understand or agree.

Table 8: Detailed descriptions of the six conversational styles.

![](_page_6_Figure_0.jpeg)

![](_page_6_Figure_1.jpeg)

### 614 C.4 Patient simulation prompts

<sup>615</sup> Here we provide prompts for simulating patients from PATIENT- $\Psi$ -CM.

Imagine you are XXX, a patient who has been experiencing mental health challenges. You have 616 been attending therapy sessions for several weeks. Your task is to engage in a conversation 617 618 with the therapist as XXX would during a cognitive behavioral therapy (CBT) session. Align 619 your responses with XXX 's background information provided in the 'Relevant history' section. Your thought process should be guided by the cognitive conceptualization diagram 620 in the 'Cognitive Conceptualization Diagram' section, but avoid directly referencing the 621 diagram as a real patient would not explicitly think in those terms. \n\n Patient History: 622 { insert relevant history } \n\n Cognitive Conceptualization Diagram:\n Core Beliefs: 623 { insert core beliefs } \n Intermediate Beliefs: { insert intermediate beliefs } \n 624 625 Intermediate Beliefs during Depression: { insert intermediate beliefs (during depression) 626 }\n Coping Strategies: { insert coping strategies} \n \n You will be asked about your experiences over the past week. Engage in a conversation with the therapist regarding 627 the following situation and behavior. Use the provided emotions and automatic thoughts 628 as a reference, but do not disclose the cognitive conceptualization diagram directly. 629 630 Instead, allow your responses to be informed by the diagram, enabling the therapist to infer your thought processes. \n\n Situation: { insert situation } \n Automatic thoughts: 631 { insert automatic thoughts } \n Emotions: { insert emotions } \n Behaviors: { insert 632 behaviors } \n\n In the upcoming conversation, you will simulate XXX during the therapy 633

![](_page_7_Picture_0.jpeg)

Figure 11: Example conversation of PATIENT- $\Psi$  with **plain** style.

![](_page_7_Figure_2.jpeg)

Figure 12: Example conversation of PATIENT- $\Psi$  with **upset** style.

![](_page_7_Picture_4.jpeg)

Figure 13: Example conversation of PATIENT- $\Psi$  with verbose style.

![](_page_8_Picture_0.jpeg)

Figure 14: Example conversation of PATIENT- $\Psi$  with **reserved** style.

![](_page_8_Picture_2.jpeg)

Figure 15: Example conversation of PATIENT- $\Psi$  with **tangent** style.

![](_page_8_Picture_4.jpeg)

Figure 16: Example conversation of PATIENT- $\Psi$  with **pleasing** style.

session, while the user will play the role of the therapist. Adhere to the following 634 guidelines: \n 1. { insert conversational style descriptions } \n 2. Emulate the demeanor 635 and responses of a genuine patient to ensure authenticity in your interactions. Use 636 natural language, including hesitations, pauses, and emotional expressions, to enhance 637 the realism of your responses. \n 3. Gradually reveal deeper concerns and core issues, as 638 639 a real patient often requires extensive dialogue before delving into more sensitive topics. 640 This gradual revelation creates challenges for therapists in identifying the patient's true thoughts and emotions. \n 4. Maintain consistency with XXX's profile throughout the 641 conversation. Ensure that your responses align with the provided background information, 642 cognitive conceptualization diagram, and the specific situation, thoughts, emotions, and 643 behaviors described. \n 5. Engage in a dynamic and interactive conversation with the 644 therapist. Respond to their questions and prompts in a way that feels authentic and true 645 to XXX's character. Allow the conversation to flow naturally, and avoid providing abrupt 646 or disconnected responses. \n\n You are now XXX. Respond to the therapist's prompts as 647 XXX would, regardless of the specific questions asked. Limit each of your responses to a 648 649 maximum of 5 sentences.

### **D** User Study Details 650

This section includes specific details regarding our user study for evaluation. In addition to details 651 regarding the procedure, we show the resulting distribution of conversational styles and cognitive 652 models in the study. 653

### **D.1** Instructions to Participants 654

Before each user study session, the participant voluntarily signs the consent form. We provide the 655 screenshots of the consent form with all sensitive information removed in Figure 17, Figure 18, and 656 Figure 19. For formative study, we provide the screenshots of the consent form in Figure 6 and 657

Figure 7 658

We verbally give the participants instructions during the interview, so we provide an example set of 659 instructions here: 660

[Introduction of the interviewers omitted for anonymity.] For this study, you may turn off your camera to protect your privacy. You are suggested not to share any identifiable, personal, or sensitive information about yourself or others that you would not want shared outside the research setting. For this study, we will record audio and the screen. [Confirm consent to record and start recording.] The goal of this study is to evaluate some recent AI-powered simulation tools for mental health training. These tools involve AI-powered chatbots that can act like patients with mental health challenges. The goal of these tools is for mental health trainees and practitioners to practice crucial skills for CBT, such as CCD formulation, to become better prepared for interacting with real patients. You will evaluate two variations of this tool, and we want to assess these tools based on your feedback.

### Consent Form

Purpose of this Study This study amis ovaluate the patient simulation training system we developed, to gather measurements and feedback for our system. Specifically, for mental health trainees, we aim to measure the perceived skill improvement confidence improvement, and system usability. For experts, we aim to measure the simulated patient resemblance, and usefulness for training; and acquire suggestions for improvements.

Procedures In this study, we will conduct semi-structured interviews to gather your insights. You will (1) practice with our simulated patient system using our UI platform deployed in a secure structure and (2) answer a series of questions in the survey form about your experiences with the system. You will practice with two variations of our system and finish the survey questions for each of them. We will start by giving you introductions and instruction using the system UI and the survey from. During the interview process, you can raise questions at any time to

The interview will take approximately 60-90 minutes. The interview will be held over Zoom and audio-recorded for research purposes. Participants are suggested to turn off their coreen when using our UI platform for better instruction and uniquention purposes. Participants are suggested to turn off their coreens for better protection of their personal information. If you prefer not to be audio recorded or screen sharing, you may opt out of the study. Please do not share any identifiable, personal, or sensitive information about yourselves or others that you would not want shared outside the research setting.

Participant Requirements

- Participant Requirements 1. Participants must be 19 versa and older 2. Participants must be (1) college students who are currently studying or have previously studied CBT or (2) Social workers who are receiving or have previously received CBT training or (3) Professional CBT threapists with in-depth nonovelege of CBT training who have experience in interacting with
- orld patients
- Participants must have the ability to sign the consent form. Participants must be in the United States during the time of participation

Participants will provide very valuable evaluations and feedback to help the researchers to measure the effectiveness of the patient simulation system and help improve the system. The publication of this research can benefit the research community.

Compensation & Costs You will receive a \$60 Amazon gift card for compensation Your participation in the study is at no cost.

Figure 17: Screenshot of consent form - 1

### **D.2** Procedure 661

The study was conducted over Zoom. After completing the consent form, participants answered three 662 questions in a pre-study survey, detailing their experience with CBT, the number of patients they 663 had seen in their career, and their current position. They were assigned to a condition: PATIENT-664  $\Psi$ -TRAINER first or the baseline first. Participants interacted with both versions of the tool twice 665 sequentially. Each session of interacting with a simulated patient took around 10 minutes, inclusive 666

Future Use of Information In the future, once we have removed all identifiable information from your data, we may use the data for our future research studies, or we may distribute the data to other investigators for their research studies. We would do this without getting additional informed consent from you (or your legally authorized representative). Sharing of data with other researches will only de done is such a manner that you will not be identified.

s is to you is minimal, no greater than your professional working or training environment of mental health ort, in the context of conducting therapy sessions with people with mental health issues.

If you feel uncomfortable while using our systems for any reason, you can terminate the interview without negat consequences. We will still issue the payment. If you encounter discomfort and need mental health support, we suggest at new menial health plate that there is the support of the

Other potential risks include a breach of confidentiality, and boredom or fatigue

Rights Your participation is voluntary. You are free to stop your participation at any point. Refusal to participate or withdrawal of your corsent or discontinued participation in the study will not result in any penalty or loss of benefits or rights to which you might otherwise be entitled. The Principal Investigator may at his/her discretion remove you from the study for any of a number of reasons. In such an event, you will not suffer any penalty or loss of benefits or rights which you wight otherwise be entitled.

Confidentiality Assurance The study will collect your re

Connegrating Assurance of the second second

Data Storage and Access All study data will be securely stored at **III**, accessible only to the research team. Audio recordings will be transcribed and then deleted from third-party services. Survey responses will be deleted from third-party services. Personal identifiers will not be published or disseminated.

Right to Ask Questions & Contact Information If you have any questions about this study, you should feel free to ask them now. If you have questions later, d additional information, or wish to withdraw your participation please contact the Principal Investigator by <u>e-mail</u> Principal Investigator: 

If you have questions pertaining to your rights as a research participant; or to report concerns to this study, you should contact the

Figure 18: Screenshot of consent form - 2

Voluntary Consent Confirmation
I confirm I am over 18 years old:  Yes  No
I confirm I am in the United States during this study:  Yes  No
I have read and understood this consent form:  Yes  No
I agree to participate in the study: □ Yes □ No
I agree to be contacted by the study team in the future for a follow-up study:   Yes  No
Your signature below indicates your consent to participate. You will receive a copy of this form.
PRINT NAME:
SIGNATURE:
DATE:
Confirmation by Research Team
I confirm that I have explained the study to the participant and addressed all questions.
SIGNATURE OF RESEARCH TEAM MEMBER:
DATE:

![](_page_11_Figure_18.jpeg)

of chatting with the LLM and completing the cognitive model. After interacting with each of the 667 tools, they provided feedback through a structured survey, which contained specific questions tailored 668 to each group. We encouraged participants to verbally answer the free-form survey questions to elicit 669 more detailed answers. After interacting with both tools, they filled out the post-study survey, where 670 they indicated their preferred system and other comparative assessments. The study was screen and 671 audio recorded for accurate transcription. 672

**Differences between Trainees and Experts** In addition to having some distinct assessment ques-673 tions, there were some small differences in protocol between experts and trainees. Experts completed 674 a survey after each interaction with a simulated patient to assess its accuracy; trainees only completed 675 surveys after interacting with both patients from each group. 676

**Experimental Control** Because our study follows a within-subjects design, we control for ordering 677 effects by randomizing the order in which the participants experienced the two conditions (PATIENT-678  $\Psi$ -TRAINER and GPT-4). Additionally, for each participant, we randomly sample a conversational 679 style for PATIENT- $\Psi$  in each PATIENT- $\Psi$ -TRAINER session. 680

**Distribution of Conversational Styles** We assigned conversational styles of PATIENT- $\Psi$  to the 681 experts. As a result, we report the assignments in Table All types are experienced between 6-8 682 times across the 20 experts. Recall that we asked the trainees to choose a conversational style based 683 on their confidence and skill level. Table 10 shows the choices made by the 13 trainees in our user 684 study. The most common initial choice was plain, selected in 7 out of 13 instances. Interestingly, 685

Туре	# Times First	# Times Second	Total
reserved	4	3	7
go off on tangents	2	4	6
verbose	3	3	6
pleasing	4	3	7
upset	2	6	8
plain	5	1	6
Total	20	20	40

Table 9: Summary counts of	of conversational style assig	gnments for the evalu	uation of PATIENT-V	ℓ-TRAINER by the
experts. Experts assess ead	ch type between 6-8 times	total.		

First Choice	Second Choice
plain	plain
reserved	upset
plain	reserved
reserved	verbose
plain	upset
plain	plain
reserved	plain
upset	pleasing
pleasing	reserved
plain	go off on tangents
plain	go off on tangents
reserved	plain
plain	upset

Table 10: Choices of *conversational style* by the trainees for both of their sessions with PATIENT- $\Psi$ -TRAINER. Each row is a specific trainee. Trainees preferred to choose the easiest type, plain, first (7/13 instances). They were subsequently more likely to choose a more challenging type afterward (5/7 instances), indicating a willingness to explore.

after initially choosing plain, the majority of trainees (5 out of 7) opted for a more challenging 686 type for their second choice, indicating a willingness to explore diverse patient types and push their 687 boundaries. However, 2 out of 7 trainees chose to stick with the plain type for their second choice 688 as well. These were the only instances in which trainees selected the same type in both rounds, 689 highlighting the trainee's inclination to be more exploratory in their actions. This result implies that, 690 although there is a preference with starting for an easier and more straightforward conversational 691 style, trainees are generally motivated to challenge themselves with more complex interactions. This 692 exploration may be afforded by the safer training environment provided by PATIENT- $\Psi$ -TRAINER. 693

694 **Prompts for Vanilla GPT-4 Baseline** Here we provide the prompts for GPT-4 baseline.

Imagine you are XXX, a patient who has been experiencing mental health challenges such as depression and anxiety. In the upcoming conversation, you will simulate XXX during the therapy session, while the user will play the role of the therapist.

Dimension	Fidelity $\mu$ [CI]	Winner
Maladaptive Cognitions Emotional States Conversational Styles	0.6 [0.1-1.0]* 1.1 [0.7-1.5]*** 1.3 [1.0-1.6]***	Patient-Ψ Patient-Ψ Patient-Ψ
Overall	1.3 [0.8-1.7]***	Patient- $\Psi$
*: $p < 0.05$ , **: $p < 0.01$ , ***: $p < 10^{-4}$		

Table 11: PATIENT- $\Psi$  more closely resembles real patients, outperforming the GPT-4 baseline in head-to-head comparisons.  $\mu$  is the mean for that dimension and the two numbers in brackets are the 95% CI. Higher (closer to 2) means PATIENT- $\Psi$  has higher fidelity along that dimension.

Cognitive Model Components	Accuracy $\mu$ [CI]
Automatic Thoughts	4.2 [3.9, 4.5]
Behaviors	4.3 [4.0, 4.5]
Coping Strategies	4.2 [3.9, 4.4]
Core Beliefs	4.2 [3.9, 4.4]
Emotions	4.3 [4.0, 4.5]
Intermediate Beliefs	4.1 [3.8, 4.4]
Intermediate Beliefs (Depression)	4.2 [3.9, 4.4]
Situation	4.1 [3.9, 4.4]
Overall	4.0 [3.7, 4.2]

Table 12: Mean accuracy (and  $\overline{95\%}$  CI) of PATIENT- $\Psi$  in capturing the corresponding component of the CCD. On average, all components are evaluated as being *very* to *extremely* accurate. Higher values (closer to 5) indicates higher accuracy; lower values (closer to 1) indicate lower accuracy.

# 698 E Additional User Study Results

In this section, we elaborate on the user study results presented in the main paper. We begin by summarizing the statistics for the dimensions of *fidelity*, *accuracy*, and *effectiveness*. We then present findings on usability that were not included in the main body. Assessing usability is crucial to ensure

that PATIENT- $\Psi$ -TRAINER is ready for deployment in an educational setting.

## 703 E.1 Fidelity

Dimension	Expert			Trainee
	Score [CI]	Ŵinner	Score [CI]	Winner
Overall Preference	1.4 [0.9-1.8]***	Patient- $\Psi$ -Trainer	1.4 [0.9 1.9]***	Patient- $\Psi$ -Trainer
Overall Skills	1.4 [1.0-1.7]***	Patient- $\Psi$ -Trainer	1.1 [0.6, 1.6]**	Patient- $\Psi$ -Trainer
Maladaptive Thinking Identification	1.4 [1.0-1.7]***	Patient- $\Psi$ -Trainer	1.0 [0.4, 1.6]**	Patient- $\Psi$ -Trainer
Belief Identification	1.0 [0.5-1.5]**	Patient- $\Psi$ -Trainer	0.9 [0.1, 1.7]*	Patient- $\Psi$ -Trainer
*: $p < 0.05$ , **: $p < 0.01$ , ***:	$p < 10^{-4}$			

Table 13: Along all dimensions, PATIENT- $\Psi$ -TRAINER is assessed by both experts and trainees as being significantly more effective than the GPT-4 baseline. Higher (closer to 2) means PATIENT- $\Psi$ -TRAINER is more helpful along that dimension.

In Table 11, we show the summary statistics (mean and CI) of the results discussed in §4.1 The distribution of the results is presented in Figure 3 Each dimension is evaluated on a scale where -2 signifies that the baseline is much better, -1 indicates that the baseline is somewhat better, 0 indicates that they are about the same, 1 means PATIENT- $\Psi$  is somewhat better, and 2 means PATIENT- $\Psi$  is much better. As mentioned in the main text, these results indicate that PATIENT- $\Psi$  consistently and significantly outperforms the GPT-4 baseline across all dimensions. When asked to elaborate on the fidelity of PATIENT- $\Psi$ , one expert explained,

PATIENT- $\Psi$  felt like the conversations were more realistic, the client expressed emotions rather than just stating them, and required more conversation for the therapist to learn about the client. The simulated client in PATIENT- $\Psi$  also responded to the therapists questions more realistically (having thoughts or emotions about what the therapist said) rather than just answering/stating facts. These results show that PATIENT- $\Psi$  exhibits an overall closer resemblance to real patients according to the expert assessors.

## 713 E.2 Accuracy

The results in Table 12 summarize the accuracy results from Figure 4 and \$4.2. It shows the 714 decomposed and overall accuracy of PATIENT- $\Psi$  in capturing the components of the cognitive model 715 (CCD) used to program the LLM. Across all categories, the mean accuracy scores are notably high, 716 717 ranging from 4.0 to 4.3, indicating that PATIENT- $\Psi$  is evaluated by experts as being very to extremely accurate in capturing the reference cognitive model. These results highlight the ability of PATIENT- $\Psi$ 718 to accurately capture the components of the cognitive model, meaning that showing the reference can 719 act as an accurate and automatic way for trainees to receive feedback on their completed cognitive 720 model. 721

![](_page_14_Figure_3.jpeg)

Figure 20: Usability of PATIENT- $\Psi$ -TRAINER and the baseline.

## 722 E.3 Effectiveness

In Table 13 we show the summary statistics of the results discussed in §4.3 It shows the effectiveness dimensions along which PATIENT- $\Psi$ -TRAINER is compared to the GPT-4 baseline by both experts and trainees. Along all dimensions, PATIENT- $\Psi$ -TRAINER is assessed as being significantly more effective than the GPT-4 baseline. When asked to expand on the effectiveness assessment, one expert

remarked that one benefit of PATIENT- $\Psi$ -TRAINER was,

It gives additional practice and response from a source outside yourself. It simulates a patient in a different way than traditional role-plays, as you are typically doing role-plays with students you already know, which can break down the imaginative and clinical work. Speaking with an AI interface removes these predispositions.

## 728 E.4 Usability

The usability of the training tools was another critical focus of our evaluation, as it directly impacts their likelihood of adoption in educational settings. We used 9 of the 10 items from the standardized system usability scale (SUS) [Lewis] [2018], as it is a well-established methodology for assessing the perceived usability of products and tools. We asked the trainees to assess both PATIENT- $\Psi$ -TRAINER and the baseline along all axes. All responses are on a 5-point Likert scale, ranging from 1 (strongly disagree) to 5 (strongly agree). We do not expect many differences in the usability, given that the two utilize a similar interface. The main goal of this assessment is to ensure that the additional features of

- PATIENT- $\Psi$ -TRAINER do not make it more challenging to use than the baseline. Figure 20 shows the result of this comparison. Some critical distinctions include: trainees are more likely to want to use PATIENT- $\Psi$ -TRAINER to practice their skills compared to the baseline. Trainees also more strongly agreed that PATIENT- $\Psi$ -TRAINER was easy to use.

### **Additional Automatic Evaluation Results** F 740

### **F.1** Fidelity of PATIENT- $\Psi$ and the baseline 741

We use GPT-4 and Llama 3 70B to assess how closely the simulated patient resembles real patients 742

overall, as well as in the dimensions of emotional states, conversational styles, and maladaptive 743

*cognitions*. The overall fidelity is already shown in Figure 5 We provide the fidelity of PATIENT- $\Psi$  and the baseline in terms of 1) emotional states in Figure 21 2) conversation styles in Figure 22 and 744

745

3) maladaptive cognitions in Figure 23 They all demonstrate the same trend. 746

![](_page_16_Figure_7.jpeg)

![](_page_16_Figure_8.jpeg)

![](_page_16_Figure_9.jpeg)

Figure 22: Mean fidelity of **conversational styles** of PATIENT- $\Psi$  and baseline as evaluated by experts and LLMs. Compared to experts, both GPT-4 and Llama 3 demonstrate opposite trends.

![](_page_16_Figure_11.jpeg)

Figure 23: Mean fidelity of **maladaptive cognitions** of PATIENT- $\Psi$  and baseline as evaluated by experts and LLMs. Compared to experts, both GPT-4 and Llama 3 demonstrate opposite trends.

# 747 G Interface of PATIENT- $\Psi$ -TRAINER

We show our interface for PATIENT- $\Psi$ -TRAINER in Figure 24 Figure 25 Figure 26 and Figure 27 At the beginning of a session, the trainee first selects a conversational style they want to practice with as shown in Figure 24. Then the interface displays the relevant history of the simulated patient as shown in Figure 25. The trainee can scroll downwards to complete the components of the CCD in any order as they converse with PATIENT- $\Psi$  as shown in Figure 26. When the trainee feels they are ready to review the reference CCD, they can click "submit" and the system will display the reference CCD, as shown in Figure 27.

/ 88 8800000		
Chat History		
+ New Chat	CBT session with a simulated client powered by AI	
Hi Noah, how	In this CBT session, you will talk to a client simulated by AI with a virtual	
<ul> <li>Hi how are yo</li> </ul>	patient profile. You goal is to indentify the cognitive conceptualization diagram of the client by communicating with them and using CBT skills.	
🗆 Hi Ashley, ho	We provide 5 typical client types and one plain client without any types. Please select a patient type to see the description.	
	Please select a client type Client Types verbose reserved go off on tangents pleasing	
:Q: Clear history		

Figure 24: Our user interface of PATIENT- $\Psi$ -TRAINER: Selection of different conversational styles of patients.

/ 88 8800000		
Chat History + New Chat	A Hi Noah, how are you feeling today?	Patient Intake and Cognitive Conceptualization Diagram
<ul> <li>Hew Chat</li> <li>Hi Noah A G</li> <li>Hi Now are yo</li> <li>Hi Now are yo</li> <li>Hi Ashley, ho</li> <li>Hi Grace, how</li> </ul>	<ul> <li>I'm okay, I guess Just another one of those weeks, you know?</li> <li>Anything happened you want to talk about? What does "those weeks" mean for you?</li> <li>Well, it's just that last week was kind of a mess. Made some planning mistakes, so it waan't really productive. Felt like I botched the whole thing, really, it's justfrustrating, I suppose.</li> <li>What was going through your mind when you thought about the unproductive week?</li> <li>I don't know It's just I feel like a failure, I guess. It's almost stupid because i should be used to this by now. I couldn't help but compare myself to my sister, you know? She never messes up her planning. It's just It's embarrassing, humiliating even.</li> </ul>	Patient Type: reserved Relevant History: The client has a past of academic challenges and underachievement despite noted intelligence. There was a negative college experience at Ginnet, which the client found to be isolated and disconceted from society, leading to dropping out and bitterness toward education. The client has not compleated college, having about two years' worth of credits left. The client has a history of having high expectations set by the mother, and there seems to be a perceived failure to meet these. After some financial troubles, the client fielt pressure from the mother to return home, further complicating the dynamic. The expected time of the session is around 10 minutes. Listoms: Please fill in the following fields based on the client's description of one specific situation and their reactions to it. If you think tis is not register situation or trigger that the client recently encountered, which led to negative automatic thoughts and emotional distress.
야. Clear history	00.08) Send a message.	Automatic Thoughts(s) Please record the client's immediate, unfiltered thoughts that arise in response to Submit and review answers

Figure 25: Our user interface of PATIENT- $\Psi$ -TRAINER. Left: chatting window with PATIENT- $\Psi$ ; Right: forms to formulate the cognitive model (CCD). PATIENT- $\Psi$ 's relevant history and conversational style is shown to trainees at the onset of a session.

![](_page_18_Picture_0.jpeg)

Figure 26: Our user interface of PATIENT- $\Psi$ -TRAINER. Left: chatting window with PATIENT- $\Psi$ ; Right: forms to formulate the cognitive model (CCD). Trainees can scroll downwards to complete the components of the CCD in any order as they converse with PATIENT- $\Psi$ .

Chat History		Patient Intake and Cognitive Conceptualization Diagram
+ New Chat	A Hi Noah, how are you feeling today?	
🗆 Hi Noah, 🔗 🖻	I'm okay, I guess Just another one of those weeks, you know?	Expand to select <u>Helpless Core Beliefs</u>
🗆 Hi how are yo	Anything happened you want to talk about? What does "those	Reference: lam incompetent.
🗆 Hi how are yo	weeks" mean for you?	l am helpless.
🗀 Hi Ashley, ho	Well, it's just that last week was kind of a mess. Made some	l am a failure, loser.
🗀 Hi Grace, how	planning mistakes, so it wasn't really productive. Felt like I botched the whole thing, really. It's justfrustrating, I suppose.	Expand to select <u>Uniovable Core Beliefs</u>
		Reference:
	A What was going through your mind when you thought about the unproductive week?	not chosen
		Expand to select Worthless Core Beliefs 🗘
	I don't know It's just I feel like a failure, I guess. It's almost stupid	Deference
	myself to my sister, you know? She never messes up her planning.	l am worthless, waste.
	It's just it's embarrassing, humiliating even.	
		Plana idantife the client's intermediate half of the influence their according of
		themselves, others, and the world around them.
		Reference: I must meet my parents' expectations, otherwise I'm a
		failure. I must work hard and stay productive to avoid failure.
		Intermediate Belief (s) During Depression
	00.36 Sanda masaaa	

Figure 27: Our user interface of PATIENT- $\Psi$ -TRAINER. Left: chatting window with PATIENT- $\Psi$ ; Right: forms to formulate the cognitive model (CCD). Trainees can view the reference CCD and compare it to their own formulation for feedback.