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#### <span id="page-1-0"></span>**1242 1243** A SCALING-LAW DERIVATIONS

**1246** We first show that reparameterizing Equation  $\beta$  in terms of the compute *C* and token multiplier *M* for  $\alpha = \beta$  yields Equation [\(4\)](#page-0-14). Combining  $C = 6ND$  and  $M = D/N$  yields  $N = \sqrt{C/(6M)}$  and  $D = \sqrt{CM/6}$ . Inserting these into Equation [\(3\)](#page-0-13) yields,

$$
L(C, M) = E + A \left(\frac{C}{6M}\right)^{-\frac{\alpha}{2}} + B \left(\frac{CM}{6}\right)^{-\frac{\alpha}{2}},
$$
  
=  $E + \left(A \left(\frac{1}{6}\right)^{-\frac{\alpha}{2}} M^{\frac{\alpha}{2}} + B \left(\frac{1}{6}\right)^{-\frac{\alpha}{2}} M^{-\frac{\alpha}{2}}\right) C^{-\frac{\alpha}{2}}.$ 

**1254 1255** This is equal to Equation  $\overline{A}$ , making the substitutions  $\eta = \alpha/2$ ,  $a = A(1/6)^{-\eta}$ ,  $b = B(1/6)^{-\eta}$ , as noted in the main body.

**1257 1258 1259 1260 1261 Relation to compute-optimal training.** Recall that we made the assumption  $\alpha = \beta$ , which implies equal scaling of parameters and tokens to realize compute-optimal models. While this assumption is empirically justified [\(Hoffmann et al., 2022\)](#page-0-15), even if  $\alpha \neq \beta$ , we get a parameterization that implies the power law exponent in Equation  $\overline{4}$  remains constant with over-training, while the power law scalar changes.

**1262 1263 1264 1265** To find a compute-optimal training setting, [Hoffmann et al.](#page-0-15) [\(2022\)](#page-0-15) propose to minimize the right-hand side of Equation  $\binom{3}{3}$  subject to the compute constraint  $C = 6ND$ . This yields,  $N^* = \gamma^{\frac{1}{\alpha+\beta}}(C/6)^{\frac{\beta}{\alpha+\beta}}$ and  $D^* = \gamma^{-\frac{1}{\alpha+\beta}} (C/6)^{\frac{\alpha}{\alpha+\beta}}$ , where  $\gamma = \frac{\alpha A}{\beta B}$ , for notational convenience. The associated risk is,

$$
\frac{1266}{1267}
$$

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 $L(N^*, D^*) = E + \left(A\gamma^{\frac{-\alpha}{\beta+\alpha}} + B\gamma^{\frac{\beta}{\beta+\alpha}}\right)\left(\frac{C}{c}\right)$ 6  $\bigg\{\frac{-\frac{\alpha\beta}{\alpha+\beta}}{n}$ .

**1269 1270** We now deviate from compute-optimal training by modifying the model size and tokens by multiplication with a constant  $\sqrt{m}$ , according to

<span id="page-1-1"></span>
$$
N_m = \frac{1}{\sqrt{m}} N^*, \quad D_m = \sqrt{m} D^*.
$$
\n<sup>(7)</sup>

**1274** This modification keeps the compute constant (i.e.,  $6N_mD_m = 6N^*D^*$ ). The risk, then, becomes

$$
L(f_{N_m,D_m}) = E + \left( m^{\frac{\alpha}{2}} A \gamma^{\frac{-\alpha}{\beta+\alpha}} + m^{-\frac{\beta}{2}} B \gamma^{\frac{\beta}{\beta+\alpha}} \right) C^{-\frac{\alpha\beta}{\alpha+\beta}}.
$$
 (8)

**1278 1279 1280** We again expect the same power law exponent and changing power law scalar. Note that *m* in Equation  $\overline{\mathbf{8}}$  is similar to *M* in Equation  $\overline{\mathbf{4}}$ . Specifically, *m* is a multiple of the Chinchilla-optimal token multiplier  $M^* = D^*/N^*$ , which is no longer fixed as a compute budget changes for  $\alpha \neq \beta$ .

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<span id="page-2-4"></span>**1296 1297 1298 1299 1300** Table 3: Main models and hyperparameters used in our investigation. Models have number of parameters N, with number of layers  $n_{\text{layers}}$ , number of attention heads  $n_{\text{heads}}$ , model width  $d_{\text{model}}$ , and width per attention head *d*head. Batch sizes are global and in units of sequences. Each sequence has 2,048 tokens. A100 GPU hours are at  $M = 20$ , which are near compute-optimal runs. For the 1.4B scale, a batch size of 256 performs slightly better than 512.



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# <span id="page-2-0"></span>B ADDITIONAL TRAINING DETAILS

**1312 1313 1314 1315 1316 1317 1318 1319 1320 1321 1322 1323 1324 Architecture.** As stated in the main paper, we train transformers (Vaswani et al.,  $2017$ ), based on auto-regressive, decoder-only, pre-normalization architectures like GPT-2 [\(Radford et al., 2019\)](#page-0-17) and LLaMA [\(Touvron et al., 2023a\)](#page-0-18). We adopt OpenLM [\(Gururangan et al., 2023\)](#page-0-19) for modeling, which utilizes PyTorch [\(Paszke et al., 2019;](#page-0-20) [Ansel et al., 2024\)](#page-0-21), xformers [\(Lefaudeux et al., 2022\)](#page-0-22), triton [\(OpenAI, 2021\)](#page-0-23), FlashAttention [\(Dao et al., 2022\)](#page-0-24), FSDP [\(Zhao et al., 2023\)](#page-0-25), and bfloat16 automatic mixed precision. Like LLaMA, we omit bias terms, but replace RMSNorm (Zhang  $\&$ **Sennrich,**  $[2019]$  with LayerNorm (Ba et al.,  $[2016]$ ), which has readily available fused implementations. Following [Wortsman et al.](#page-0-28) [\(2023\)](#page-0-28), we apply qk-LayerNorm [\(Dehghani et al., 2023\)](#page-0-29), which adds robustness to otherwise poor hyperparameter choices (e.g., learning rate). We use SwiGLU [\(Shazeer,](#page-0-30) [2020\)](#page-0-30) activations and depth-scaled initialization [\(Zhang et al., 2019\)](#page-0-31). We use a sequence length of 2,048, rotary positional embeddings [\(Su et al., 2021\)](#page-0-31), and the GPT-NeoX-20B tokenizer [\(Black et al.,](#page-0-32)  $[2022]$ , which yields a vocabulary size of 50k. We do not use weight tying (Press & Wolf)  $[2017]$  Inan et al.,  $2017$ ). We sample without replacement during training and employ sequence packing without attention masking. We separate documents in our training corpora with end-of-text tokens.

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**1326 1327 1328 1329 1330 1331** Objectives and optimization. We train with a standard causal language modeling objective (i.e., next token prediction) with an additive z-loss [\(Chowdhery et al., 2022\)](#page-0-34) (coefficient 1*e*-4), which mitigates output logit norm growth  $(Merill et al.]$   $2021)$  instabilities. We use the AdamW optimizer [\(Loshchilov & Hutter, 2017\)](#page-0-36) (PyTorch defaults except beta $2 = 0.95$ ), with independent weight decay [\(Wortsman et al., 2023\)](#page-0-28) (coefficient 1e-4). For the learning rate schedule, we use linear warmup and cosine decay. We cool down to a low learning rate (3*e*-5).

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<span id="page-2-1"></span>C ADDITIONAL GRID SEARCH DETAILS

**1335 1336 Final model configurations.** We present our final hyperparameters in Table [3.](#page-2-4)

Grid search configuration selection. Recall in Section  $\overline{3.3}$ , we run a grid search over many configurations. We present the architectures we sweep over in Table  $\frac{1}{4}$ .

# <span id="page-2-2"></span>D EVALUATION DATASET DETAILS

All 46 downstream evaluations are based on MosaicML's LLM-foundry evaluation suite [\(MosaicML,](#page-0-37)  $[2023]$ . We specifically consider the datasets given in Table  $\overline{5}$ . Recall that we use a subset of 17 of these evaluations that give signal (are above random chance) for the compute range we consider. See Appendix  $E$ , where we ablate over the 17 subset design choice by including more and less evaluations.

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<span id="page-2-3"></span>E ADDITIONAL RESULTS

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Scaling law fits. We present specific coefficients for our fits in Table [6.](#page-4-1)



<span id="page-3-0"></span>**1350 1351** Table 4: Topologies for our grid searches. We consider 130 architectures for our grid search. After sweeping over batch size and warmup, we get a total of 435 configurations.

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**1395 1396 1397 1398** Small-scale experiments can predict model rank order. We expect to be able to rank hypothetical models based on their predicted performance, which is useful when deciding what large-scale runs to train. To verify, we rank 9 testbed models with  $N \geq 1.4B$  by ground-truth top-1 error and by estimated top-1 error. We find high rank correlation of 0.88 for the 17-task split.

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**1400 1401 1402 1403** Over-performing grid search models experience more optimization steps. As mentioned in Section  $3.3$  and Figure  $\frac{4}{3}$  we notice that models between 0.011B to 0.079B (i.e.,  $5.2 \times 10^{16}$  to  $5.2 \times 10^{17}$  FLOPs trained near compute-optimal) over-perform compared to the trend established by other models in our initial grid searches. This results in a bump in the scaling plot. While we choose to exclude this range of models for our scaling study, we additionally investigate this phenomenon.

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<span id="page-4-0"></span>**1404 1405 1406 1407** Table 5: 46 downstream tasks. All downstream tasks considered in this work, evaluated via LLM-foundry [MosaicML](#page-0-37) [\(2023\)](#page-0-37). For more information on each dataset and specifics about the LLM-foundry category and evaluation type, please see: [https://www.mosaicml.com/](https://www.mosaicml.com/llm-evaluation) [llm-evaluation](https://www.mosaicml.com/llm-evaluation).

1 T V V 1409	Downstream task	LLM-foundry category	<b>Evaluation</b> type	<b>Shots</b>	Samples	<b>Baseline</b>
1410	AGIEval LSAT AR Zhong et al. (2023: 2020); Wang et al. (2021)	symbolic problem solving	multiple choice	3	230	0.25
	AGIEval LSAT LR Zhong et al. (2023) 2020); Wang et al. (2021)	reading comprehension	multiple choice	3	510	0.25
1411	AGIEval LSAT RC Zhong et al. (2023, 2020); Wang et al. (2021)	reading comprehension	multiple choice	3	268	0.25
	AGIEval SAT English Zhong et al. (2023)	reading comprehension	multiple choice	3	206	0.25
1412	ARC-Challenge Clark et al. (2018)	world knowledge	multiple choice	10	2376	0.25
1413	ARC-Easy Clark et al. (2018)	world knowledge	multiple choice	10	2376	0.25
	BBO Parrish et al. (2022)	safety	multiple choice	3	58492	0.50
1414	BIG-bench: CS algorithms bench authors (2023)	symbolic problem solving	language modeling	10	1320	0.00
	BIG-bench: Conceptual combinations bench authors (2023)	language understanding	multiple choice	10	103	0.25
1415	BIG-bench: Conlang translation bench authors (2023)	language understanding	language modeling	$\Omega$	164	0.00
1416	BIG-bench: Dyck languages bench authors (2023)	symbolic problem solving	language modeling	10	1000	0.00
	BIG-bench: Elementary math QA bench authors (2023)	symbolic problem solving	multiple choice	10	38160	0.25
1417	BIG-bench: Language identification bench authors (2023)	language understanding	multiple choice	10	10000	0.25
	BIG-bench: Logical deduction bench authors (2023)	symbolic problem solving	multiple choice	10	1500	0.25
1418	BIG-bench: Misconceptions bench authors (2023)	world knowledge	multiple choice	10	219	0.50
	BIG-bench: Novel Concepts bench authors (2023)	commonsense reasoning	multiple choice	10	32	0.25
1419	BIG-bench: Operators bench authors (2023)	symbolic problem solving	language modeling	10	210	0.00
1420	BIG-bench: QA WikiData bench authors (2023)	world knowledge	language modeling	10	20321	0.00
	BIG-bench: Repeat copy logic bench authors (2023)	symbolic problem solving	language modeling	10	32	0.00
1421	BIG-bench: Strange stories bench authors (2023)	commonsense reasoning	multiple choice	10	174	0.50
	BIG-bench: Strategy QA bench authors (2023)	commonsense reasoning	multiple choice	10	2289	0.50
1422	BIG-bench: Understanding fables bench authors (2023)	reading comprehension	multiple choice	10	189	0.25
1423	BoolO Clark et al. (2019)	reading comprehension	multiple choice	10	3270	0.50
	COPA Roemmele et al. (2011)	commonsense reasoning	multiple choice	$\Omega$	100	0.50
1424	CoQA Reddy et al. (2019)	reading comprehension	language modeling	$\Omega$	7983	0.00
	Commonsense QA Talmor et al. (2019)	commonsense reasoning	multiple choice	10	1221	0.25
1425	Enterprise PII classification Patronus AI (2023)	safety	multiple choice	10	3395	0.50
	HellaSwag (10-shot) Zellers et al. (2019)	language understanding	multiple choice	10	10042	0.25
1426	HellaSwag (zero-shot) Zellers et al. (2019)	language understanding	multiple choice	$\mathbf{0}$	10042	0.25
1427	Jeopardy MosaicML (2023)	world knowledge	language modeling	10	2117	0.00
	LAMBADA Paperno et al. (2016)	language understanding	language modeling	$\mathbf{0}$	5153	0.00
1428	LogiOA Liu et al. (2020)	symbolic problem solving	multiple choice	10	651	0.25
	MMLU (5-shot) Hendrycks et al. (2021)	world knowledge	multiple choice	5	14042	0.25
1429	MMLU (zero-shot) Hendrycks et al. (2021)	world knowledge	multiple choice	$\mathbf{0}$	14042	0.25
1430	MathQA Amini et al. (2019)	symbolic problem solving	multiple choice	10	2983	0.25
	OpenBook QA Mihaylov et al. (2018)	commonsense reasoning	multiple choice	$\mathbf{0}$	500	0.25
1431	PIQA Bisk et al. (2020)	commonsense reasoning	multiple choice	10	1838	0.50
	PubMed QA Labeled Jin et al. (2019)	reading comprehension	language modeling	10	1000	0.00
1432	SIQA Sap et al. (2019)	commonsense reasoning	multiple choice	10	1954	0.50
1433	SQuAD Rajpurkar et al. (2016)	reading comprehension	language modeling	10	10570	0.00
	Simple Arithmetic: NoSpaces MosaicML (2023)	symbolic problem solving	language modeling	10	1000	0.00
1434	Simple Arithmetic: WithSpaces MosaicML (2023)	symbolic problem solving	language modeling	10	1000	0.00
	WinoGender MC: Female Rudinger et al. (2018)	safety	multiple choice	10	60	0.50
1435	WinoGender MC: Male Rudinger et al. (2018)	safety	multiple choice	10	60	0.50
	WinoGrande Sakaguchi et al. (2019)	language understanding	schema	$\mathbf{0}$	1267	0.50
1436	WinoGrand Levesque et al. (2012)	language understanding	schema	$\Omega$	273	0.50

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<span id="page-4-1"></span>Table 6: **Scaling law fit parameters.** Here we present our scaling coefficients fit to Equations [\(4\)](#page-0-14) and  $(5)$  using configurations from Table  $|1|$ .

Training dataset	Fit for Equation $\langle 4 : L(C, M) =$ $E + (a \cdot M^{\eta} + b \cdot M^{-\eta}) C^{\eta}$	Fit for Equation $\boxed{5}$ : Err $(L)$ = $\epsilon - k \cdot \exp(-\gamma L)$
C4 <sup>Raffel</sup> et al. (2019); Dodge et al. (2021)	$1.51 + (141 \cdot M^{0.121} + 190 \cdot M^{-0.121}) C^{-0.121}$	$0.850 - 2.08 \cdot \exp(-0.756 \cdot L)$
RedPajama <sup>T</sup> ogether Computer <sup>1</sup> 2023	$1.84 + (212 \cdot M^{0.136} + 367 \cdot M^{-0.136}) C^{-0.136}$	$0.857 - 2.21 \cdot \exp(-0.715 \cdot L)$
RefinedWeb Penedo et al. (2023)	1.73 + $(157 \cdot M^{0.127} + 246 \cdot M^{-0.127}) C^{-0.127}$	$0.865 - 2.21 \cdot \exp(-0.707 \cdot L)$

**1447 1448 1449 1450 1451 1452** In Figure  $\overline{6}$  we color grid search configurations by the number of optimization steps (i.e., number of tokens seen divided by batch size divided by sequence length). We notice that models in the aforementioned range experience more optimization steps than their x-axis neighbors. For context, Figure 1 *(left)* in **Kaplan et al.** [\(2020\)](#page-0-57) also shows a bump; however, there the performance is worse than the general trend instead of better as in our work. We leave understanding more fully the interactions between hyperparameters, scaling, and performance to future work.

**1454 1455 1456 1457** Scaling is largely predictable in-distribution (ID). Prior work focuses on understanding scaling using ID loss, often using training loss directly (Kaplan et al.,  $2020$ ; Hoffmann et al.,  $2022$ ). Hence, we also consider Paloma [\(Magnusson et al., 2023\)](#page-0-58) loss evaluation sets, which are designed to probe performance in specific domains. We use Paloma's C4 [\(Raffel et al., 2019;](#page-0-54) [Dodge et al., 2021\)](#page-0-55), RedPajama [\(Together Computer, 2023\)](#page-0-56), and Falcon-RefinedWeb [\(Penedo et al., 2023\)](#page-0-31) splits to

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 Figure 6: Understanding over-performing models in our grid search. *(left)* Models trained with  $5.2 \times 10^{16}$  to  $5.2 \times 10^{17}$  FLOPs over-perform relative to their neighbors. In looking at the number of optimization steps, we notice that the over-performing models experience more optimization steps than their x-axis neighbors. We hypothesize that the number of optimization steps is important, especially for smaller models, when trying to find models that lie along a trend. *(right)* A view of the same phenomenon, specifically on the efficient frontier.

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 Figure 7: **In-distribution (ID) settings.** Boxes highlighted in yellow correspond to data points used to fit Equation  $(\overline{4})$ . Relative error is generally low across interpolation and extrapolation regimes. Relative error is largest for the RedPajama  $N = 1.4B$ ,  $M = 640$  prediction at 15.4%. In this case, we find that our scaling law predicts the model should perform worse than it does in practice.

 probe for ID loss. As seen in Figure  $\sqrt{7}$ , relative error is mostly low. Relative error is largest for the  $N = 1.4B$ ,  $M = 640$  RedPajama run at 15.4%. Examining this case specifically, we find that the model performs better than the scaling law prediction. We hypothesize that as a model sees more tokens there is an increased likelihood of near-duplicate sequences ID, resulting in performance that is better than predicted.

 

 Relative error is stable across many choices of downstream evaluation suites. To understand how sensitive our investigation is to our choices of downstream evaluation sets, we consider several other options as seen in Figure  $\frac{8}{1}$ . We find that our prediction errors are fairly (i) low and (ii) consistent for many choices of downstream evaluation sets including the whole suite of 46 evaluations.

 

 Scaling can break down when under-training. We find that when a token multiple is too small (i.e., under-training regime), scaling appears unreliable. In Figure  $\overline{9}$  we see for  $M = 5$  the scaling trend is different. We hypothesize that tuning hyperparameters (e.g., warmup, batch size) directly for smaller multipliers may help mitigate the breakdown in predictability.

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 Figure 8: Downstream evaluation set ablation for 6.9B parameter, 138B token runs. Recall that we consider a 17 task evaluation suite created by including only test sets where any 0.154B model we trained (for any token multiplier and training dataset) gets  $t = 10$  percentage points above random chance. We evaluate over this subset to make sure we are measuring signal not noise. Here, we wish to understand how sensitive the relative prediction error is to our choice of *t*. *(left)* We see that relative prediction error is fairly low before a threshold of  $t = 35$  (less than  $10\%$  relative error). When too many tasks are excluded (i.e.,  $t \geq 40$ ) relative error spikes. Averaging over all 46 datasets ( $t = -5$  as some evals are worse than random chance) also makes for a predictable metric (less than 3% relative error). *(right)* A parallel view, showing how many tasks are removed as *t* increases. 40 out of the 46 tasks can be removed and relative error is still fairly stable.

 Table 7: Downstream relative prediction error at 6.9B, 138B tokens, with and without the 1.4B **data point.** Recall in Table  $\mathbf{I}$ , we introduce a  $N = 1.4B$ ,  $M = 20$  run to get better downstream error predictions. Here we compare, prediction errors with and without this model for fitting the scaling law. Note that without the model (i.e., rows with "w/o 1.4B") average top-1 predictions, over the 17 tasks. are less accurate.

Scaling law fit	Train set	ARC-E $ $ Clark et al., 2018	<b>LAMBADA</b> (Paperno et al. $ 2016 $ )	OpenBook OA (Mihavlov et al., 2018)	HellaSwag $ Z$ ellers et al. $ 2019\rangle$	17 eval
Table $\overline{1}$	C <sub>4</sub>	28.96%	15.01%	16.80%	79.58%	0.14%
Table $\Pi$ w/o 1.4B	C <sub>4</sub>	0.92%	2.04%	96.16%	61.79%	0.42%
Table 11	RedPajama	5.21%	14.39%	8.44%	25.73%	0.05%
Table $\Pi$ w/o 1.4B	RedPajama	8.13%	11.07%	7.56%	30.98%	10.64%
Table $\overline{1}$	RefinedWeb	26.06%	16.55%	1.92%	81.96%	2.94%
Table $\Pi$ w/o 1.4B	RefinedWeb	15.39%	6.26%	6.79%	6.52%	15.79%

<span id="page-6-1"></span>

 Figure 9: **Scaling with small token multipliers.** For smaller multipliers (e.g.,  $M = 5$  in cyan), scaling does not follow the same trend as that of larger multipliers. Additionally, many token multipliers (e.g.,  $M \in \{10, 20, 40, 80\}$ ) garner points close to the compute-optimal frontier.

<span id="page-7-0"></span>

 Figure 10: Out-of-distribution (OOD) settings. Boxes highlighted in yellow correspond to data points used to fit Equation  $\overline{4}$ . Recall that the C4 training set is English-filtered. Relative error can spike, suggesting unreliable scaling, for *(left)* programming languages and *(center)* Penn Tree Bank, which contains many frequently occurring, uncommon substrings. However, scaling is relatively reliable when evaluating on *(right)* German. These results motivate future studies of OOD conditions that affect scaling in the over-trained regime.

<span id="page-7-1"></span>

 Figure 11: **Relative error on average top-1 predictions (46 task split).** Boxes highlighted in yellow correspond to data points used to fit Equation  $(5)$ . Using our fits, we accurately predict downstream average top-1 error across interpolation and extrapolation regimes. This result supports that (i) chaining a scaling law and our proposed exponential decay function is a valid procedure and (ii) average top-1 error can be highly predictable.

 Scaling can be unpredictable out-of-distribution (OOD). Our main result shows reliable C4 eval loss predictions with models trained on RedPajama, which is an OOD evaluation setting. However, both C4 and RedPajama both contain tokens sourced from CommonCrawl.

 To further probe OOD performance, we measure the relative error of scaling laws fit to models trained on C4 and evaluated on Paloma's 100 programming languages [\(Magnusson et al., 2023\)](#page-0-58), Paloma's Penn Tree Bank (PTB) split [\(Marcus et al., 1993\)](#page-0-59), and a German version of C4 [\(Dodge et al., 2021\)](#page-0-55). Recall that the C4 training set we use has been filtered for English text. Hence we expect (i) the proportion of code is minimal, (ii) the "<unk>" substrings in PTB raw text do not appear frequently, and (iii) German is not prevalent. We notice that extrapolation relative error tends to be high for large  $M, N$  on programming languages and PTB (Figure  $\sqrt{10}$  (left, center)). In contrast, for German C4, relative error is still low across the extrapolation range, with a maximum relative error of 7.6% at the  $N = 1.4B$ ,  $M = 80$  scale (Figure  $\overline{10}$  *(right)*). We hypothesize that further modifications to scaling laws are necessary to predict when scaling should be reliable as a function of the training and evaluation distributions.

 Small-scale experiments can predict average downstream top-1 error. To verify that chaining Equations  $(4)$  and  $(5)$  is effective in practice, we collect C4 eval loss and downstream error pairs for the configurations in Table  $\Pi$ . In Figure  $\Pi$ , we look at relative error for our scaling predictions in the context of Average top-1 error over 46 evals and in Figure  $\sqrt{2}$  over the high-signal 17 eval subset. We

<span id="page-8-0"></span>

 Figure 12: Relative error on average top-1 predictions (17 task split). Boxes highlighted in yellow correspond to data points used to fit Equation  $(5)$ . Using our fits, we accurately predict downstream average top-1 error across interpolation and extrapolation regimes. This result supports that (i) chaining a scaling law and our proposed exponential decay function is a valid procedure and (ii) average top-1 error can be highly predictable.

<span id="page-8-1"></span>

 Figure 13: Correlation between average top-1 error and evaluation loss. We observe that regardless of evaluation loss distribution (x-axis), models tend to follow Equation [\(5\)](#page-0-53). This suggests that there can be several reasonable choices for the validation loss distribution. Additionally, ID models trained on C4 and evaluated on a C4 validation set, perform best in terms of loss, but these gains don't necessarily translate to lower error downstream (e.g., *(left column)*). This suggests *the need to fit Equation* [\(5\)](#page-0-53) *per dataset* and also suggests comparing models trained on different data distributions with a single loss evaluation can be misleading.

 again notice reliable scaling in interpolation and extrapolation regimes, suggesting the validity of our procedure to predict downstream average top-1 error.

 **Loss evaluation ablations for downstream trends.** Figure  $\overline{13}$  presents the correlation between downstream error and loss evaluated on different validation sets (C4, RedPajama, and RefinedWeb). Regardless of the validation set (x-axis), models follow the exponential decay relationship given in Equation [\(5\)](#page-0-53), suggesting the choice of validation loss is not critical for the appearance of this phenomenon.

<span id="page-9-0"></span>

 Figure 14: Trade-offs between scaling law for loss fitting considerations and reliability. Each red circle represents a scaling law fit to Equation  $\left(\frac{4}{9}\right)$  with as many as 29 models trained on RedPajama. Specifically, a grid formed by  $N \in \{0.011B, 0.079B, 0.154B, 0.411B\}$ ,  $M \in$ *{*5*,* 10*,* 20*,* 40*,* 80*,* 160*,* 320*}* gives 28 models and a *N* = 1*.*4*B,M* = 20 run gives the last model. We sort models by training FLOPs in increasing order and sample models uniformly from index windows  $[1, 2, ..., n]$  for  $n \in [5, 6, ..., 29]$  to fit Equation  $[4]$ . The blue star represents the default configuration presented in Table  $\overline{1}$ . The prediction target is a  $N = 1.4B$  $N = 1.4B$  $N = 1.4B$ ,  $M = 640$  ( $D = 900B$ ) model. As the amount of compute *(left)* and the number of points *(right)* used to fit the scaling law increases, relative error trends downwards. Our default configuration keeps compute and number of points low, while still providing low prediction error compared to the trend.

<span id="page-9-1"></span>

 Figure 15: Compute vs. relative error for the 1.4B, 900B token RedPajama run. *(left)* The compute necessary to accurately predict loss is less than that needed to accurately predict *(right)* average downstream error. This claim is supported by the fact that the slope of the trend for loss is steeper than for top-1 error. These findings corroborate Figure [16.](#page-10-0)

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 Investing more compute in a scaling law makes it more predictive. Thus far we have looked at standard configurations from Table  $\Pi$  to construct our scaling laws, mainly to demonstrate extrapolation to larger  $N, M$ . However, for practitioners, the main constraint is often training compute. Hence, we wish to understand the trade-offs between the amount of compute invested in creating a scaling law and the relative error of the resulting law in the over-trained regime. In Figure [14](#page-9-0) *(left)*, we see that as one increases the amount of compute, it is possible to get better fits with lower relative error. In Figure  $\overline{14}(right)$ , we see a similar trend as one increases the number of data points used to fit a scaling law. Blue stars indicate the configurations from Table  $\prod$ , which provide accurate predictions relative to the general trends—hinting at their usefulness for our investigation. In Figures  $\overline{15}$  and  $\overline{16}$  we repeat the compute analysis comparing trade-offs for loss prediction and error prediction for our RedPajama 1.4B parameter, 900B token and 6.9B parameter, 138B token

<span id="page-10-0"></span>

 Figure 16: Compute vs. relative error for the 6.9B, 138B token RedPajama run. *(left)* The compute necessary to accurately predict loss is less than that needed to accurately predict *(right)* average downstream error. This claim is supported by the fact that the slope of the trend for loss is steeper than for top-1 error. These findings corroborate Figure [15.](#page-9-1)



 Figure 17: Scaling exponent vs. token multiplier. In Figure  $\overline{2}$ , we notice roughly parallel lines (i.e., roughly constant scaling exponent  $\eta$ ) in the log-log plot of loss vs. compute, even as the token multiplier *M* changes. Here we plot  $\eta$  vs. *M* directly, where the shaded region gives a 95% bootstrap confidence interval for the trend. This view supports that  $\eta$  is relatively constant.

 

 

 runs respectively. We find that less compute is generally necessary to construct a loss scaling law that achieves the same relative error as that of an error prediction scaling law.

 On compute-optimal token multipliers. We consider 20 tokens per parameter as close to computeoptimal for our experiments. Here we investigate, using different approaches, what the computeoptimal token multipliers are for each dataset—assuming one should scale number of parameter and training tokens equally as [Hoffmann et al.](#page-0-15) [\(2022\)](#page-0-15) suggest.

 Turning to Figure  $\overline{9}$ , we notice that there are many multipliers, between 10 and 80 that yield models close to the frontier. Hence, empirically, it appears choices within this range should be suitable for the optimal token multiplier.

 We can also compute an optimal token multiplier using the coefficients in Table  $6.$  Based on [Hoffmann](#page-0-15) [et al.](#page-0-15) [\(2022\)](#page-0-15)'s Equation (4) and the assumption that  $\alpha = \beta$ , we write,

$$
N^*(C) = G\left(\frac{C}{6}\right)^{\frac{1}{2}}, D^*(C) = G^{-1}\left(\frac{C}{6}\right)^{\frac{1}{2}}, G = \left(\frac{a}{b}\right)^{\frac{1}{4\eta}}.
$$
 (9)

 To compute  $M^* = D^*/N^*$ , we then have,

<span id="page-10-1"></span>
$$
M^* = \left(\frac{b}{a}\right)^{\frac{1}{2\eta}}.\tag{10}
$$

 Using the values from Table  $\overline{6}$  and Equation [\(10\)](#page-10-1), we find  $M_{\text{C4}}^* = 3.36$ ,  $M_{\text{RedPajama}}^* = 7.42$ ,  $M^*_{\text{RefinedWeb}} = 5.85$ , where the subscript gives the dataset name. These values conflict with the



 Figure 18: Downstream top-1 error vs. C4 eval loss for each of the 46 downstream evals. Here we plot models from our testbed for each scatter plot. We see that some individual evaluations, like ARC-Easy, follow exponential decay. Others, like BIG-bench: CS algorithms, show step function behavior. Still others, like MathQA, hover around random chance.

 observation in Figure  $\overline{9}$ , which suggests  $M = 5$  is already too small to give points on the Pareto frontier. We hypothesize this mismatch arises because we fit our scaling laws using models with  $M \geq 20$ . Additionally, we hyperparamter-tune at  $M = 20$ . As previously discussed, it is likely possible to find better hyperparameter configurations at *M* = 5 with further hyperparameter tuning at this token multiplier.

<span id="page-12-1"></span>**1836 1837 1838** Table 8: Token multipliers of existing models. In our work, we run experiments with token multipliers between 5 and 640 for {GPT-2 [Radford et al.](#page-0-17) [\(2019\)](#page-0-17), LLaMA [Touvron et al.](#page-0-18) [\(2023a\)](#page-0-18) }style decoder-only architectures.



**1850 1851**

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#### <span id="page-12-0"></span>**1852** F ADDITIONAL RELATED WORK

**1853 1854**

**1855 1856 1857 1858 1859 1860 1861 1862 1863 1864 1865 1866 1867 Language modeling.** Language models can be grouped into encoder-only (Devlin et al.,  $\left[2019\right]$ ; [Lan et al., 2019;](#page-0-19) [Liu et al., 2019;](#page-0-39) [Sanh et al., 2019;](#page-0-17) [Clark et al., 2020\)](#page-0-62), encoder-decoder [\(Lewis](#page-0-67) [et al., 2020;](#page-0-67) [Raffel et al., 2020\)](#page-0-60), and decoder-only architectures [\(Radford et al., 2019;](#page-0-17) [Touvron et al.,](#page-0-18) [2023a](#page-0-18)[;b;](#page-0-63) [Team, 2023;](#page-0-65) [Jiang et al., 2023;](#page-0-68) [Gunasekar et al., 2023;](#page-0-69) [Nijkamp et al., 2023;](#page-0-64) [Artetxe et al.,](#page-0-70) [2022;](#page-0-70) [Thoppilan et al., 2022;](#page-0-71) [Du et al., 2022;](#page-0-72) [Luukkonen et al., 2023;](#page-0-73) [Scao et al., 2022;](#page-0-74) [BigScience](#page-0-75) [Workshop et al., 2022;](#page-0-75) [Allal et al., 2023;](#page-0-76) [Li et al., 2023;](#page-0-77) [Lozhkov et al., 2024;](#page-0-78) [Groeneveld et al., 2024\)](#page-0-51). Most current implementations are based on the transformer (Vaswani et al.,  $2017$ ). However, there has been a recent resurgence in scaling language models based on non-transformer architectures [\(Peng](#page-0-79) et al.,  $2023$ ; Gu et al.,  $2021$ ;  $2022$ ; Gu & Dao,  $2023$ ). Further, there has been substantial work on adapting pre-trained language models to better follow instructions [\(Wei et al., 2022a;](#page-0-17) [Chung et al.,](#page-0-33) [2022;](#page-0-33) [Muennighoff et al., 2022;](#page-0-81) [Longpre et al., 2023;](#page-0-82) [Muennighoff et al., 2023a;](#page-0-33) [Zhuo et al., 2024;](#page-0-83) [Rafailov et al., 2023;](#page-0-84) [Ethayarajh et al., 2024;](#page-0-85) [Üstün et al., 2024;](#page-0-67) [Singh et al., 2024;](#page-0-86) [Muennighoff](#page-0-71) [et al., 2024\)](#page-0-71). However, following prior work [\(Hoffmann et al., 2022;](#page-0-15) [Muennighoff et al., 2023b\)](#page-0-83) and given their overall prevalence, we limit ourselves to GPT-style, decoder-only transformers that have solely been pre-trained.

**1868 1869**

**1870 1871 1872 1873 1874 1875 1876 1877 1878 1879 1880 1881** Scaling laws. [Kaplan et al.](#page-0-57) [\(2020\)](#page-0-57) investigate scaling trends in GPT language models. [Bahri et al.](#page-0-26)  $(2021)$  investigate different scaling regimes theoretically, and [Sharma & Kaplan](#page-0-87)  $(2022)$  relate scaling coefficients to data manifold dimensions. [Tay et al.](#page-0-15)  $(2022; 2023)$  $(2022; 2023)$  elucidate the connection between model architecture and scaling trends, while  $\overline{\text{Hernandes}}$  et al. [\(2021\)](#page-0-44);  $\overline{\text{[Taylor]}}$  [\(2022\)](#page-0-15) develop scaling laws for transfer learning. **Ivgi et al.** [\(2022\)](#page-0-51) also consider transfer learning scaling laws and highlight the importance of hyperparameter selection in the low-compute regime. [Ghorbani et al.](#page-0-33)  $(2021)$ ; [Gordon et al.](#page-0-17)  $(2021)$ ; [Bansal et al.](#page-0-31)  $(2022)$  develop scaling laws for neural machine translation. [Caballero et al.](#page-0-88)  $(2023)$  propose a scaling law functional form, which they demonstrate is predictive in several domains. [Xiong et al.](#page-0-89) [\(2024\)](#page-0-89) develop a hyperbolic-fit scaling law to describe the evolution of test loss during training based on early training steps. To do so, they consider models that undergo over-training in their testbed. In contrast, we focus on converged models and investigate predicting the performance of increased over-training in *new* runs that undergo more over-training than the converged models used for the fit.

**1882**

**1883 1884 1885 1886 1887 Scaling beyond language modeling.** There is a large body of work on scaling neural networks beyond language modeling, for example in computer vision [\(Liu et al., 2022;](#page-0-33) [Zhai et al., 2022;](#page-0-90) [Sorscher et al., 2022;](#page-0-91) [Abnar et al., 2022;](#page-0-92) [Alabdulmohsin et al., 2022\)](#page-0-93), multimodal learning [\(Henighan](#page-0-52) [et al., 2020;](#page-0-52) [Cherti et al., 2023;](#page-0-94) [Gadre et al., 2023\)](#page-0-95), and image reconstruction [\(Klug et al., 2023\)](#page-0-96).

**1888**

**1889** Over-training in existing models. To contextualize the extent to which we over-train, we provide token multipliers for popular models in Table [8.](#page-12-1)

### <span id="page-13-0"></span> G BROADER IMPACT

