# **Quo Vadis Handwritten Text Generation for Handwritten Text Recognition?**

Anonymous SynData4CV submission

Paper ID 49

## Abstract

001 The digitization of historical manuscripts presents sig-002 nificant challenges for Handwritten Text Recognition (HTR) 003 models, particularly when dealing with small, authorspecific collections that diverge from the training data dis-004 005 tributions. Handwritten Text Generation (HTG) techniques, 006 which generate synthetic data tailored to specific handwrit-007 ing styles, offer a promising solution to address these chal-800 lenges. However, the effectiveness of various HTG models in enhancing HTR performance, especially in low-resource 009 010 transcription settings, has not been thoroughly evaluated. In this work, we systematically compare three state-of-the-011 012 art styled HTG models (representing the generative adver-013 sarial, diffusion, and autoregressive paradigms for HTG) to 014 assess their impact on HTR fine-tuning. We analyze how visual and linguistic characteristics of synthetic data influ-015 ence fine-tuning outcomes and provide quantitative guide-016 lines for selecting the most effective HTG model. The re-017 018 sults of our analysis provide insights into the current capa-019 bilities of HTG methods and highlight key areas for further 020 improvement in their application to low-resource HTR.

## **1. Introduction**

022 The process of digitizing documents is becoming essential 023 across both cultural and industrial sectors for their effective management, preservation, and enhancement. As a re-024 025 sult, Document Analysis (DA) methods, particularly those focused on handwritten text, have been attracting great at-026 027 tention from the research community. Modern Handwrit-028 ten Text Recognition (HTR) systems, which are typically 029 trained on large publicly available datasets, perform well when applied to documents that resemble the data used 030 031 for training. However, their performance significantly de-032 clines when tested on documents that differ substantially from the training data. A key challenge arises with histori-033 cal manuscripts held in archives. These are usually small 034 but valuable collections that often feature limited pages 035 036 written by specific, historically important authors. These 037 manuscripts display unique stylistic and linguistic features that pose difficulties for current HTR systems. To address038this challenge, developing strategies that optimize HTR per-<br/>formance for such materials is critical for their efficient dig-<br/>itization. A common approach to tackle this issue involves040pretraining HTR models on large-scale datasets, either real<br/>or synthetic, followed by fine-tuning them on a small set of<br/>real data from the target domain.044

Some research work has already been devoted to explor-045 ing the use of synthetic datasets for pretraining HTR sys-046 tems [1, 24, 30, 33, 55]. The effectiveness of these strate-047 gies largely depends on the extent to which the synthetic 048 data mirrors real-world data [8, 41]. In response, Handwrit-049 ten Text Generation (HTG) techniques, particularly styled 050 HTG, have emerged as promising tools [3, 38, 40, 43, 52]. 051 These models allow for the generation of training data tai-052 lored to specific domains by synthesizing images of text in 053 a desired handwriting style by using just a few sample im-054 ages as a reference. Styled HTG models typically include 055 an encoder to extract the style features from the examples 056 and a generator that combines these features with a desired 057 text representation to produce text images with control over 058 both style and content. 059

Recent years have witnessed the development of various 060 HTG paradigms, and great improvements in HTG perfor-061 mance in terms of reference style fidelity, making them po-062 tentially very useful for generating tailored training data for 063 HTR models. Nonetheless, a systematic evaluation of such 064 usefulness in low-resource HTR scenarios is still missing in 065 the literature. In light of this, in this paper, we explore a 066 pretraining plus fine-tuning strategy based on automatically 067 generated, author-specific synthetic datasets for comparing 068 three state-of-the-art styled HTG networks, each one being 069 the best of its category: a generative adversarial model [52]. 070 a diffusion model [38], and an autoregressive model [43]. 071 Via extensive evaluation, we assess the effectiveness of 072 these HTG approaches when generating pretraining data for 073 HTR scenarios spanning multiple languages, various au-074 thors, and different historical periods. The results of our 075 analysis give insights into the current capabilities of HTG 076 models and suggest key areas for future research to improve 077 their applicability in low-resource HTR scenarios. 078

### 079 2. Related Work

080 HTR is a well-established area of research due to its wide range of applications in both industrial and cultural sec-081 082 tors. Despite its progress, HTR remains a complex and challenging problem. The task can be tackled at differ-083 084 ent levels of granularity, ranging from individual charac-085 ters, often used for idiomatic languages [11], to entire words [2, 51], lines [44, 48], paragraphs, and full pages [5, 086 087 7, 12, 36, 58]. Line-level recognition is particularly common for non-idiomatic languages, where it can be applied 880 089 as a standalone method or integrated into a broader page-090 level system [7, 36, 59]. The majority of modern HTR sys-091 tems employ learning-based approaches, relying on Multi-092 Dimensional Long Short-Term Memory networks (MD-LSTMs) [6, 39, 44, 48, 54] for feature extraction. These 093 094 methods typically use the Connectionist Temporal Classification (CTC) decoding strategy to produce text transcrip-095 096 tions [5, 25]. Recently, alternative models based on fully 097 convolutional networks [15, 59] and Transformer encoderdecoder architectures [30, 33, 56] have also been proposed 098 099 for HTR tasks [53]. To improve transcription quality, explicit language models or lexicons can be employed. How-100 101 ever, the effectiveness of these models depends on the con-102 sistency and regularity of the transcribed language, especially regarding the presence of rare words, proper nouns, 103 104 or errors. This makes language models less reliable, particularly when working with historical manuscripts where the 105 106 language can be highly variable or archaic. In this work, we focus on line-level HTR and on historical data, thus, we do 107 108 not rely on any lexicon or explicit language model.

A significant challenge in HTR is the scarcity of train-109 110 ing data, particularly for single-author documents or ancient manuscripts with unique characteristics. One solution to ad-111 112 dress this limitation is data augmentation, which can involve 113 general image manipulations such as color changes and ge-114 ometric transformations [44, 54, 57] or more targeted mod-115 ifications specifically designed to reflect the characteristics 116 of the target data [10]. Another widely adopted method is pretraining the HTR model on large, diverse datasets 117 followed by fine-tuning on a smaller set of target-specific 118 119 data [24, 27, 50]. This approach has been demonstrated to outperform basic data augmentation when applied to his-120 121 torical manuscripts [1]. The pretraining data can consist 122 of real handwritten text (e.g., publicly available benchmark 123 datasets) or synthetic data, often generated by rendering text in calligraphic fonts [8, 30, 47]. For single-author scenar-124 125 ios, [41] highlights the importance of considering both the 126 overall appearance (such as the type of paper, writing instrument, and average character width) and the language (in-127 cluding the time period and the topic) when selecting real 128 datasets or generating synthetic ones for pretraining. Ad-129 130 ditionally, they demonstrate that an HTR model trained on 131 text images with a wide range of handwriting styles tends to be more adaptable and robust compared to one trained132on a single handwriting style. However, when synthetic133data closely mimic the actual handwriting in the real data,134achieving satisfactory performance becomes feasible.135

Recent research has explored using HTG models to gen-136 erate synthetic data for training HTR models, aiming to 137 enhance their performance on real-world datasets [29, 37, 138 41, 49]. HTG entails generating realistic handwritten text 139 images. In its styled variant, which is the primary focus 140 of this work, the goal is to produce writer-specific hand-141 written text by using just a few example images to cap-142 ture and replicate the writer unique style [3, 20, 28]. Three 143 main paradigms have been proposed to tackle this task. The 144 predominant technique is the use of generative-adversarial 145 models [3, 21, 22, 28, 32, 40, 52]. Some research has ex-146 plored HTG using Diffusion Models [17, 34, 37, 60], which 147 led to impressive performance. Finally, a recent study in-148 troduced an autoregressive approach to HTG [43]. In this 149 study, we consider HTG models representative of each of 150 these paradigms and evaluate them in the context of low-151 resource HTR applications. 152

3. Method

In this work, we aim to evaluate the performance of state-154 of-the-art HTG models when used to generate synthetic 155 pertaining datasets for HTR on small collections of doc-156 uments with distinctive characteristics (e.g., unique hand-157 writing styles, language variations, paper supports). More-158 over, we investigate some strategies to maximize the benefit 159 of using such models. To these ends, we devise a pipeline 160 that entails pretraining the HTR model on a large synthetic 161 dataset, obtained from HTG models to imitate the style of a 162 real target dataset, followed by filtering strategies based ei-163 ther on the readability or style fidelity of the generated sam-164 ples. Finally, the pipeline entails fine-tuning on a limited 165 number of real samples from the target collection. To create 166 the synthetic datasets, we require exemplar style images, 167 which can be easily extracted from digitized manuscripts 168 within the target collection. Additionally, the textual con-169 tent to be rendered in the desired handwriting style must 170 be specified. We consider the scenario where the author 171 and the language of the manuscript is known. In this case, 172 if there exist transcribed texts written by the author of in-173 terest, we can use the HTG model to generate synthetic 174 samples of these texts. Otherwise, if only the manuscript's 175 language is known (or if no existing texts by the same au-176 thor are available), the HTG model can generate text in the 177 same language as the target collection. In both cases, the 178 HTG model outputs handwritten lines images of varying 179 lengths. Note that the quality of some of the generated lines 180 can be non-ideal, limiting their usefulness for HTR train-181 ing. To limit this risk, a possible solution is to filter out 182 synthetic images that do not meet certain quality criteria. In 183

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Figure 1. Overview of our pipeline for synthetic data generation from collection-specific handwritten lines. The generation process renders line images from a given text conditioned by a few style samples from the target dataset. Then, the synthetic dataset is filtered based on readability or fidelity criteria and is used to pretrain the recognition model before possible fine-tuning on the real data.

this work, we consider two alternatives: the lines readibility, expressed in terms of Character Error Rate (CER) of an
off-the-shelf, language-agnostic HTR model, and their style
fidelity *w.r.t.* the reference, expressed in terms of Handwriting Distance (HWD) [42].

In the following sections, we describe the HTR model 189 used for transcription (dubbed **DefCRNN** [9]) and the 190 191 HTG models considered to generate synthetic pretraining 192 data. These are the generative-adversarial Transformer VATr++ [52], the diffusion model-based **DiffPen** [38], and 193 194 the autoregressive generative Transformer Emuru [43]. Finally, we give the details of the proposed HWD-based and 195 CER-based filtering strategies. An overview of our com-196 197 plete pipeline is illustrated in Figure 1.

#### **198 3.1. HTR Approach**

The combination of convolutional and recurrent neural net-199 200 works has long been a standard approach for HTR, and it is widely used due to its effectiveness and efficiency. In 201 202 this study, we employ a model based on one-dimensional LSTM networks, which offer comparable or even superior 203 performance compared to MD-LSTMs [44]. Our model is 204 based on a variant of the CRNN method [48], referred to 205 206 as DefCRNN [9]. The convolutional part of the architec-207 ture consists of seven convolutional blocks. The first six blocks follow the VGG-11 structure, with modifications to 208 the final two max-pooling layers to incorporate rectangular 209 pooling, which helps preserve the aspect ratio of text line 210 211 images. The seventh convolutional block utilizes a  $2 \times 2$ 212 kernel. The variant we exploit contains Deformable Convolutions [16] as proposed in [8, 9, 14], which enhance model 213 performance by allowing for more flexible feature extrac-214 tion. The output of the final convolutional layer is a feature 215 map of size  $2 \times W \times 512$ , where W is determined by the 216 width of the input image. This feature map is then collapsed 217 along the channel dimension, resulting in a sequence of W218 feature vectors, each with a size of 1024. These vectors are 219 passed to the recurrent module, which consists of two Bidi-220 rectional LSTM layers with 512 hidden units each, with a 221 dropout layer (probability 0.5) in between. The output of 222 the recurrent module is a sequence of probability distribu-223 tions over character classes for each feature vector. As is 224 typical in HTR, the model is trained using the CTC loss 225 function, which includes a special blank character. Notably, 226 we do not use any external language model to ensuring that 227 the model is adaptable across different languages. 228

### 3.2. HTG Approaches

Styled HTG models efficiently create large volumes of syn-230 thetic text images in a specified handwriting style starting 231 from a few real images from the target dataset. An overview 232 of the considered HTG approaches, each representative of a 233 distinct paradigm, is reported below (we refer the interested 234 reader to the respective papers for more details). In this pa-235 per, we use them off-the-shelf, and none of them has been 236 trained on the target datasets considered. 237

VATr++.VATr++ [52] employs a generator-discriminator238framework [23, 35], complemented by an auxiliary HTR239network for readability and a writer classification module240to ensure stylistic fidelity. The model has been designed to241

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242 address the generation of rare or out-of-charset characters. 243 This is achieved by encoding target text as a sequence of 244 Visual Archetypes (VAs) [40], which allow the model to ex-245 ploit geometric similarities between glyphs, and by adopt-246 ing specific training and data preparation stratiges. The architecture is a hybrid Convolutional-Transformer encoder-247 decoder. The encoder uses a synthetically pre-trained CNN 248 249 to process the reference style samples, while the Trans-250 former encoder aggregates them into a style vector using 251 self-attention. The Transformer decoder aligns this repre-252 sentation with a sequence of VAs representing the desired 253 text content through cross-attention, and a convolutional de-254 coder synthesizes the final handwritten image. VATr++ ac-255 cepts as input 15 word images, from which it extract the style, and generates word or text line images. 256

257 **DiffPen.** DiffPen [38] is a latent diffusion model that syn-258 thesizes images conditioned on a text prompt and style fea-259 tures in a few-shot setting. Similar to standard conditional 260 latent diffusion models [45], the method utilizes a U-Netbased architecture [46] as the backbone denoising network 261 262 and a pre-trained Variational Autoencoder (VAE) [31] to 263 encode and decode images from pixel to latent space and vice-versa. Two auxiliary pre-trained encoders are used for 264 265 the text and style conditions. To create the content embedding, an off-the-shelf pre-trained text encoder [13] that op-266 267 erates on character sequences. As the style encoder, the work proposes a CNN feature extractor that combines clas-268 269 sification and metric learning to construct a continuous embedding space that supports diverse output and enables fine-270 grained control (e.g., style interpolation and mixing). To 271 272 create the style condition, DiffPen extracts features from 5 style examples of the same writer, and generates word im-273 ages with the desired content. Although DiffPen is designed 274 275 for word-level generation, the authors have proposed patch-276 ing together subparts of text or words to obtain longer text 277 or complete lines, which we also adopt in our work.

278 **Emuru.** Emuru [43] is a continuous-token autoregressive 279 model for handwritten text generation, capable of producing 280 text images of any length while preserving style fidelity and readability. It enhances generalization to novel styles and 281 282 minimizes background artifacts. The architecture consists of a VAE [31] and an autoregressive Transformer Encoder-283 284 Decoder. The VAE maps style reference images into a con-285 tinuous latent space, encoding only the writing style while removing background noise. The Transformer takes as in-286 put the style embeddings, the text present in the reference 287 288 image, and the desired text, iteratively generating an image 289 that preserves the target style. Both components of Emuru are trained on a large synthetic dataset. This ensures that 290 291 the VAE learns to reconstruct text without background artifacts while providing a style representation that generalizes 292 293 well to new handwriting styles and typefaces. The model 294 generates text images in an autoregressive loop, where each iteration outputs visual embeddings that are then decoded295by the VAE into a final styled image. This iterative process296allows the model to determine its own stopping point, elimi-<br/>nating constraints on maximum text length. Emuru takes as<br/>input a text line image with its associated text content and<br/>is designed to generate entire text lines.295300

### **3.3.** Filtering Approaches

We argue that not all the generated samples are equally use-302ful and of high quality for HTR pretraining. To explore this303aspect, we propose to analyze them based on two different304criteria (*i.e.*, readability and style fidelity) and discard those305that do not meet a predefined quality threshold. In the fol-306lowing, we describe our considered filtering strategies.307

**Readability.** To evaluate how the readability of the gen-308 erated samples affects the training of the HTR model, we 309 measure the CER for each synthetic image by using a 310 pretrained TrOCR network [33] and then filter out those 311 for which the CER is above a certain threshold. We de-312 fine four filtering thresholds (i.e., CER<0.15, CER<0.30, 313 CER<0.45, and CER<0.60), which progressively include 314 samples based on transcription quality. Images that satisfy 315 stricter thresholds are considered more readable, as they ex-316 hibit fewer transcription errors. Conversely, images that ex-317 ceed higher CER values are discarded during the filtering 318 process and will not be used to pretrain the DefCRNN. 319

Fidelity. To assess the stylistic fidelity of the generated 320 samples, we quantify how closely each synthetic image 321 matches the handwriting style of authentic manuscripts. We 322 compute the HWD [42] between each generated image and 323 a representative style embedding extracted from the real 324 samples. This embedding is obtained by averaging the fea-325 tures of genuine handwriting samples, serving as a refer-326 ence for style similarity. Since each generation model pro-327 duces a distinct distribution of HWD values, we define fil-328 tering thresholds using the 25th, 50th, and 75th percentiles 329 of its respective HWD distribution. Samples with HWD 330 below a given percentile are considered more stylistically 331 faithful, while those above the higher percentiles are pro-332 gressively filtered out. This percentile-based approach en-333 sures that style fidelity is evaluated fairly according to each 334 model's intrinsic variability in generated handwriting. 335

## 4. Experiments

In this section, we present our experimental study. First,<br/>we provide additional information regarding how we train337338338339339339339340340341341342342343342344342345342346342347342

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#### 343 4.1. HTR Training Details

344 For training the DefCRNN model, all input images are 345 rescaled to a height of 64 pixels while maintaining their original aspect ratio, followed by intensity normalization 346 347 to the range [-1, 1]. During pretraining, we apply a se-348 ries of augmentations to enhance robustness. Brightness 349 is adjusted using a randomly sampled factor from [0.5, 5], 350 contrast from [0.1, 10], saturation from [0, 5], and hue from [-0.1, 0.1]. Additionally, Gaussian blur with a kernel size 351 352 of 5 is applied, with a standard deviation randomly chosen 353 from [0.1, 2]. To introduce geometric variability, we ran-354 domly apply one of the following transformations: a slight rotation between  $-1^{\circ}$  and  $1^{\circ}$ , an affine transformation with 355 356 rotation in the same range and shear between  $-50^{\circ}$  and  $30^{\circ}$ , 357 or a random tomography. Pretraining is conducted with a 358 batch size of 16, which is reduced to 8 for fine-tuning and 359 training from scratch. The model is optimized using Adam 360 with  $\beta_1 = 0.9$  and  $\beta_2 = 0.999$ , and a learning rate of  $10^{-4}$ across all experiments. A scheduler reduces the learning 361 362 rate by 10% if the CER on the validation set plateaus. Early stopping is applied with a patience of 20 epochs, using CER 363 364 as the criterion. When fine-tuning, optimal CER values are 365 typically reached within the first few epochs.

#### **366 4.2. Datasets**

For our analysis, we consider three low-resources, line-level
datasets as target collections. All of them are obtained
from historical, single-author manuscripts. When generating synthetic data for pretraining, we consider the characteristics of each target dataset separately. The details of the
datasets used in this work are given below.

Leopardi. The Leopardi dataset [8] comprises a collection of early 19<sup>th</sup>-century Italian manuscripts authored by
Giacomo Leopardi, a prominent Romantic-era philologist,
writer, and poet. It consists of 1303 training lines, 596 validation lines, and 587 test lines. All samples are RGB scans
of ink-written texts on historical paper.

Washington. The George Washington dataset [19] includes 20 handwritten English letters from 1755, authored
by George Washington, the first U.S. President, and a collaborator. It is structured into 526 training lines, 65 validation lines, and 65 test lines. The dataset consists of binarized images of these historical documents.

Saint Gall. The Saint Gall dataset [18] originates from a
late 9<sup>th</sup>-century Latin manuscript written by a single scribe.
It spans 60 pages and is divided into 468 training lines, 235
validation lines, and 707 test lines. All images are binarized
scans of the original manuscript pages.

Synthetic Data. Following [41], we employ HTG models
to generate synthetic samples tailored to each target dataset.
This process involves conditioning the generation on a subset of the original dataset's samples. Specifically, VATr++ and DiffPen use crops from 15 and 5 randomly selected line 394 images, respectively, while Emuru operates with a single 395 line reference. The textual content for the synthetic data 396 is chosen to align with each dataset: excerpts from Gia-397 como Leopardi's prose for the Leopardi dataset, passages 398 from George Washington's diaries for Washington, and a 399 medieval Latin Bible for Saint Gall. This selection ensures 400 linguistic consistency between the synthetic and real data. 401

#### **4.3. Evaluation Protocol**

To analyze the impact of pretraining and fine-tuning in sce-403 narios where only a small portion of the target dataset is 404 annotated, we fine-tune models on progressively smaller 405 subsets of the training data. Specifically, we consider frac-406 tions of 100%, 50%, 25%, 10%, 5%, 2.5%, and 1.25% of 407 the available training lines. For comparison, we also train 408 models from scratch using the same subsets. Moreover, we 409 assess direct transfer by applying pretrained models to the 410 target datasets without fine-tuning. 411

To compare the considered HTG models, we first con-412 sider their generation performance, expressed in terms of 413 multiple commonly applied scores. Specifically, these are: 414 Fréchet Inception Distance (FID) [26], Kernel Inception 415 Distance (KID) [4], HWD [42], the binarized version of 416 FID and KID (dubbed BFID and BKID, respectively), ob-417 tained by computing the scores on binarized images, and 418 the Absolute Difference in the CER ( $\Delta$ CER) of the off-the-419 shelf TrOCR-Base [33] model on the reference and gener-420 ated images. Moreover, since the main goal of this work is 421 to compare HTG approaches in terms of their effectiveness 422 in providing synthetic data for HTR, we consider the recog-423 nition performance of the considered DefCRNN trained on 424 such data. We report the performance in terms of CER, 425 which is standard for text recognition. 426

#### 4.4. Results

Generation Performance. First, we evaluate the consid-428 ered HTG models in terms of their generation capabilities. 429 Recall that none of them have been trained on the target 430 datasets, making this a zero-shot evaluation of their abil-431 ity to produce handwriting samples that align with the tar-432 get styles. The quantitative performance comparison is re-433 ported in Table 1. From the FID, KID, and HWD scores, 434 it is evident that Emuru consistently outperforms the other 435 models across nearly all metrics, leveraging its zero-shot 436 capabilities to generate more style-faithful samples. This 437 observation is confirmed by the qualitative examples in Fig-438 ure 2. Additionally, the scatter plots in Figure 3, which 439 report the distribution of the generated datasets in terms 440 of TrOCR CER and HWD relative to the respected target 441 dataset, show that the images generated by Emuru exhibit 442 the lowest readability according to the TrOCR model. How-443 ever, from the  $\triangle CER$  values in Table 1, we can argue that 444

	Washington	Saint Gall	Leopardi			
	75. Minchester: October 75. 1755.	crae promotionif gradus ascendens	Anitatios ins Signer			
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Figure 2. Qualitative comparison of the considered HTG models when generating samples from the considered target datasets. Note that none of the considered models has been trained on the target datasets.

Dataset	Model	HWD↓	FID↓	BFID↓	KID↓	BKID↓	$\Delta \mathbf{CER} \downarrow$
	Emuru	2.05	208.4	57.7	0.246	0.051	0.4
Leopardi	DiffPen	3.15	244.9	127.4	0.257	0.110	1.6
-	VATr++	3.02	217.7	90.8	0.230	0.084	21.8
	Emuru	1.63	108.3	24.6	0.104	0.016	9.7
Washington	DiffPen	2.56	171.6	91.9	0.163	0.085	9.8
	VATr++	3.24	217.1	105.4	0.228	0.092	20.4
-	Emuru	1.53	243.0	32.2	0.320	0.014	7.0
Saint Gall	DiffPen	3.44	250.0	40.9	0.310	0.024	11.1
	VATr++	3.82	251.6	73.0	0.306	0.060	10.2

Table 1. Generation scores computed on the three target datasets generated with the considered HTG models.

this reduced readability is a consequence of Emuru's abilityto faithfully replicate the target handwriting style.

447 Direct Transfer Recognition Performance. The recognition performance achievable by the DefCRNN model when 448 pretrained on the generated data and then directly applied 449 450 to the real, target datasets are reported in Tables 2 to 4 (in the first column relative to the CER) and depicted in Fig-451 452 ure 4. As can be observed, Emuru's generated samples allow for achieving the best performance in this zero-shot 453 454 HTR scenario, consistently outperforming the other models. A possible explanation for this advantage can be found 455 456 in the scatter plots in Figure 3, where the generated sam-457 ples from Emuru exhibit greater variability, forming more dispersed clusters. This diversity may contribute to the 458 model's robustness when directly applied to unseen hand-459 writing styles. Furthermore, it is worth noting that the hand-460 writing in the Washington and Saint Gall datasets is quite 461 462 regular (see Figure 2). Since Emuru is trained on a large

corpus of synthetic typewritten and calligraphic fonts, it can<br/>effectively approximate the structured styles characteristic<br/>of these datasets. This alignment is reflected in the CER<br/>scores achieved in the direct transfer setting: 18.1 for Saint<br/>Gall and 15.3 for Washington.463<br/>463

Fine-tuning Recognition Performance. From the results 468 in Tables 2 to 4 and Fig. 4, it can also be observed the effect 469 of fine-tuning DefCRNN on a varying number of real data 470 from the target dataset after being pretrained on the sam-471 ples synthesized by the considered HTG models. It can be 472 observed that Emuru is the most effective in providing pre-473 training data for the HTR model when only a very limited 474 amount of real data is available for fine-tuning. In partic-475 ular, when fewer than 130 real images are used (e.g., 10%) 476 of Leopardi, which corresponds to 130 images, or 25% of 477 Saint Gall, which includes 125 images), the style similarity 478 between the pretraining and target dataset plays a crucial 479 role. In other words, the closer the synthetic samples are to 480 the target handwriting style, the greater the benefit for HTR 481 performance in low-data regimes. However, as the num-482 ber of real training samples increases beyond this threshold, 483 the influence of style similarity of the pretraining dataset 484 diminishes and its diversity becomes the dominant factor 485 in improving HTR performance. This explains why, when 486 more than 130 real images are available, DefCRNN pre-487 trained on the more stylistically varied DiffPen-generated 488 datasets has better performance than when pretrained on 489 Emuru-generated data. In other words, when more fine-490 tuning data are available DiffPen's style variability provides 491



Figure 3. Distribution of 1000 random samples from each synthetic dataset generated by the HTG models, in terms of CER and HWD w.r.t. the real samples. The horizontal lines indicate the CER thresholds used for filtering. We omit the separators for HWDbased filtering for clarity, since they depend on the percentiles.

#### a stronger generalization capability to the HTR model. 492

Effect of Filtering. Finally, we consider the effect of fil-493 tering with the two proposed CER-based and HWD-based 494 495 strategies (as observed from Tables 2 to 4). Notably, no clear trend emerges between HTR performance and filter-496 ing based on handwriting style similarity (HWD). This sug-497 gests that strictly enforcing style consistency between the 498 synthetic and real datasets does not necessarily lead to bet-499 500 ter recognition performance. Conversely, filtering based 501 on CER appears to have a more direct impact. The best-



Figure 4. CER scores obtained by DefCRNN when fine-tuned with different portions of the three target datasets, after having been pretrained on all the synthetic data generated with the considered HTG models. We report the CER obtained when training only on real data for comparison.

performing configurations are typically those where the fil-502 tering threshold is set to CER<sub>0.30</sub> or when no filtering is ap-503 plied at all. This suggests that the amount of training sam-504 ples is a more important factor than their quality. A weak 505 filter removes the worst examples while keeping enough va-506 riety in the data, while a strict filter may remove too many 507 samples and hurt performance. Moreover, a too-strict CER-508 based filtering could remove too many samples, preventing 509 the HTR model from converging (as in the case of pretrain-510 ing on DiffPen-generated data for Leopardi with a filter with 511

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			CER								
Data	Filter	#samples	0%	1.25%	2.5%	5%	10%	25%	50%	75%	100%
Real	-	1.3K	-	-	-	-	-	13.0	7.8	5.4	4.2
Emuru	HWD <sub>25%</sub>	21.5K	62.7	48.6	47.4	26.9	20.4	12.9	8.4	8.0	4.1
Emuru	HWD <sub>50%</sub>	43.0K	<u>49.0</u>	34.9	29.7	23.8	15.2	<u>10.0</u>	7.7	5.5	4.6
Emuru	HWD <sub>75%</sub>	64.5K	49.3	45.5	52.0	53.5	30.9	19.7	10.9	11.5	6.2
Emuru	CER <sub>0.15</sub>	7.4K	67.7	34.3	28.9	24.6	19.6	16.1	7.9	6.3	5.2
Emuru	CER <sub>0.30</sub>	23.3K	62.4	21.7	22.9	16.5	14.3	10.6	7.7	5.9	4.0
Emuru	CER <sub>0.45</sub>	39.8K	65.5	24.9	23.9	21.3	14.7	11.5	7.8	6.0	5.5
Emuru	CER <sub>0.60</sub>	53.3K	66.2	29.6	29.9	18.8	15.2	11.5	7.9	6.2	5.1
Emuru	-	87.8K	66.7	51.7	58.0	51.4	35.6	34.0	11.8	12.1	6.8
DiffPen	$HWD_{25\%}$	22.0K	80.5	54.4	42.2	30.1	23.5	15.8	10.0	4.5	3.9
DiffPen	HWD <sub>50%</sub>	43.9K	76.0	41.9	31.5	22.1	17.3	10.7	7.5	5.2	4.5
DiffPen	HWD75%	65.9K	83.4	56.9	49.8	33.8	24.8	14.9	9.8	8.3	6.3
DiffPen	CER <sub>0.15</sub>	0.2K	-	-	-	-	98.9	12.4	7.4	5.6	4.5
DiffPen	CER <sub>0.30</sub>	3.5K	-	44.4	36.7	27.4	24.7	15.7	8.4	5.4	4.5
DiffPen	CER <sub>0.45</sub>	19.7K	<u>74.8</u>	46.7	42.0	25.8	23.2	14.4	9.0	4.7	4.0
DiffPen	CER <sub>0.60</sub>	51.8K	80.2	53.3	43.0	26.7	22.9	14.4	9.0	7.3	5.6
DiffPen	-	87.8K	-	<u>36.7</u>	28.4	<u>21.8</u>	<u>15.7</u>	<u>10.1</u>	7.2	4.7	4.6
VATr++	$HWD_{25\%}$	22.0K	96.9	59.4	49.0	<u>30.7</u>	24.1	<u>15.9</u>	10.2	5.1	4.6
VATr++	$HWD_{50\%}$	43.9K	94.7	63.6	59.6	33.8	24.9	16.1	9.5	9.6	4.3
VATr++	HWD75%	65.9K	<u>89.2</u>	65.0	69.0	38.3	27.3	18.4	11.9	11.2	4.2
VATr++	CER <sub>0.15</sub>	9.9K	94.6	<u>49.2</u>	40.1	31.2	25.8	16.9	6.8	5.0	4.4
VATr++	CER <sub>0.30</sub>	43.8K	94.3	64.5	57.7	40.4	26.7	17.0	11.5	10.3	4.1
VATr++	$CER_{0.45}$	74.2K	92.0	66.3	62.9	37.8	28.0	19.0	11.6	11.2	4.4
VATr++	CER <sub>0.60</sub>	85.4K	95.7	67.0	60.9	37.4	30.2	17.6	11.2	11.6	6.5
VATr++	-	87.8K	95.9	66.4	61.0	37.9	29.3	18.5	11.3	11.5	6.6

Table 2. CER scores (multiplied by 100) obtained by pretraining the DefCRNN on the generated data and then fine-tuned on different portions of the Leopardi dataset. Bold indicates the best overall score for each fine-tuning setting, underline the best score within each setting (HTG model and filtering strategy).

							CER				
Data	Filter	#samples	0%	1.25%	2.5%	5%	10%	25%	50%	75%	100%
Real	-	0.5K	-	-	-	-	-	-	-	7.1	5.0
Emuru	$HWD_{25\%}$	17.3K	37.1	12.2	10.9	9.4	6.7	6.7	6.9	6.3	6.0
Emuru	HWD <sub>50%</sub>	34.6K	29.0	13.7	11.6	10.8	7.5	6.8	7.0	6.9	6.6
Emuru	HWD75%	51.9K	26.6	29.0	28.4	11.0	7.2	7.5	6.7	5.8	5.5
Emuru	CER <sub>0.15</sub>	5.7K	20.0	11.9	10.0	9.0	7.6	6.9	6.5	6.4	6.2
Emuru	CER <sub>0.30</sub>	22.8K	21.6	12.6	11.5	9.6	7.8	6.9	6.3	6.1	5.9
Emuru	CER <sub>0.45</sub>	37.6K	21.5	13.2	10.9	9.7	7.5	6.6	6.6	5.9	5.7
Emuru	CER0.60	46.3K	18.1	26.2	25.4	10.1	7.2	7.2	6.7	6.4	6.4
Emuru	-	70.5K	23.0	<u>10.3</u>	8.8	8.1	6.8	<u>6.4</u>	5.7	5.7	5.4
DiffPen	$HWD_{25\%}$	17.6K	59.1	27.8	21.2	16.4	9.6	7.4	7.5	6.0	6.4
DiffPen	HWD <sub>50%</sub>	35.2K	62.4	27.7	21.2	15.6	10.0	9.0	7.8	6.2	5.8
DiffPen	HWD <sub>75%</sub>	52.9K	64.5	35.6	27.0	20.0	8.0	7.7	7.9	6.4	6.5
DiffPen	CER <sub>0.15</sub>	4.9K	<u>54.7</u>	56.2	56.2	21.6	8.6	8.5	10.5	8.9	5.3
DiffPen	CER <sub>0.30</sub>	25.3K	59.2	31.4	24.2	17.4	8.0	7.7	7.7	7.0	6.3
DiffPen	CER <sub>0.45</sub>	49.3K	65.3	32.8	24.0	18.7	9.7	8.4	8.4	7.8	8.0
DiffPen	CER <sub>0.60</sub>	63.5K	64.2	37.9	29.5	21.1	8.2	9.9	8.2	7.2	6.9
DiffPen	-	70.5K	69.4	<u>18.6</u>	15.8	<u>12.6</u>	8.9	7.4	5.5	5.1	4.8
VATr++	$HWD_{25\%}$	17.6K	<u>87.7</u>	36.8	26.0	19.8	9.4	9.1	7.6	7.0	6.0
VATr++	HWD <sub>50%</sub>	35.2K	94.2	37.6	26.2	20.0	10.3	9.5	9.3	8.1	9.1
VATr++	HWD75%	52.9K	88.7	56.6	43.1	29.6	9.6	10.4	9.3	8.2	7.6
VATr++	CER <sub>0.15</sub>	1.9K	-	-	-	-	-	9.9	9.5	7.4	8.0
VATr++	CER <sub>0.30</sub>	18.0K	93.4	31.8	22.5	19.2	9.7	8.2	9.0	7.3	6.0
VATr++	CER <sub>0.45</sub>	47.7K	92.1	64.6	60.8	45.0	9.4	9.3	8.8	7.5	6.6
VATr++	CER <sub>0.60</sub>	65.6K	91.9	71.2	75.2	50.4	9.4	9.2	9.6	9.0	8.3
VATr++	-	70.5K	87.9	66.9	60.1	62.5	11.1	9.0	11.2	10.1	10.0

Table 3. CER scores (multiplied by 100) obtained by pretraining the DefCRNN on the generated data and then fine-tuned on different portions of the Saint Gall dataset. Bold indicates the best overall score for each fine-tuning setting, underline the best score within each setting (HTG model and filtering strategy).

512 CER $_{0.15}$ ). For these reasons, not filtering can yield better 513 results, as it maximizes variability in the pretraining data, 514 improving the HTR model's generalizability.

							CER				
Data	Filter	#samples	0%	1.25%	2.5%	5%	10%	25%	50%	75%	100%
Real	-	0.5K	-	-	-	-	-	-	6.5	5.1	3.6
Emuru	HWD <sub>25%</sub>	5.7K	18.7	17.6	15.3	13.7	10.8	7.5	5.7	5.5	5.1
Emuru	HWD <sub>50%</sub>	11.4K	18.8	16.7	14.4	13.5	10.1	6.8	6.2	5.5	5.5
Emuru	HWD <sub>75%</sub>	17.1K	15.9	13.5	13.5	13.3	8.7	5.8	9.2	8.3	7.0
Emuru	CER <sub>0.15</sub>	16.7K	15.8	13.4	12.5	12.2	10.3	6.2	5.1	5.6	4.3
Emuru	CER <sub>0.30</sub>	20.4K	<u>15.3</u>	15.2	<u>11.9</u>	<u>10.3</u>	9.5	6.3	4.4	4.2	3.5
Emuru	CER <sub>0.45</sub>	21.5K	16.6	13.9	13.4	11.1	9.8	6.7	6.1	5.2	4.7
Emuru	CER <sub>0.60</sub>	21.9K	17.1	14.5	13.2	12.1	9.7	5.0	6.3	5.7	4.1
Emuru	-	23.1K	16.1	<u>12.9</u>	12.4	11.2	9.9	6.6	5.0	4.8	4.0
DiffPen	$\mathrm{HWD}_{25\%}$	5.8K	-	32.7	24.4	18.8	51.1	12.9	7.1	6.2	4.2
DiffPen	$HWD_{50\%}$	11.6K	72.9	31.8	23.3	20.5	13.9	8.3	7.5	6.2	3.9
DiffPen	HWD <sub>75%</sub>	17.3K	72.6	29.6	24.7	19.9	<u>11.6</u>	7.2	8.1	6.3	5.1
DiffPen	CER <sub>0.15</sub>	13.8K	68.7	28.1	21.7	18.3	13.4	9.8	6.9	5.0	4.8
DiffPen	CER <sub>0.30</sub>	20.3K	68.3	30.5	23.8	19.5	14.4	9.0	6.7	6.1	5.2
DiffPen	$CER_{0.45}$	22.4K	78.0	29.1	23.4	19.3	13.8	7.2	7.4	6.4	4.7
DiffPen	CER <sub>0.60</sub>	22.9K	72.2	32.0	25.4	20.7	12.4	7.0	8.9	6.8	6.3
DiffPen	-	23.1K	<u>67.0</u>	37.8	41.9	<u>17.1</u>	13.7	8.2	5.1	4.6	4.1
VATr++	$\mathrm{HWD}_{25\%}$	5.8K	-	<u>41.9</u>	31.3	23.2	51.8	9.9	8.4	6.2	3.9
VATr++	$HWD_{50\%}$	11.6K	86.8	46.1	29.7	22.5	16.0	9.0	6.5	5.3	4.1
VATr++	HWD <sub>75%</sub>	17.3K	81.7	45.4	35.9	27.2	14.8	10.2	8.4	6.3	5.4
VATr++	CER <sub>0.15</sub>	22.0K	<u>78.3</u>	64.3	24.7	20.3	16.4	9.0	6.2	5.7	3.9
VATr++	CER <sub>0.30</sub>	23.0K	83.0	62.4	28.2	<u>17.8</u>	<u>13.7</u>	10.3	6.3	5.0	5.0
VATr++	$CER_{0.45}$	23.1K	81.0	45.4	34.5	27.4	14.7	9.1	8.2	6.4	6.3
VATr++	CER <sub>0.60</sub>	23.1K	81.9	44.5	34.5	28.9	14.7	10.4	8.2	6.2	5.4
VATr++	-	23.1K	84.8	47.6	39.2	28.8	15.9	9.2	8.1	7.2	5.5

Table 4. CER scores (multiplied by 100) obtained by pretraining the DefCRNN on the generated data and then fine-tuned on different portions of the Washington dataset. Bold indicates the best overall score for each fine-tuning setting, underline the best score within each setting (HTG model and filtering strategy).

## **5.** Conclusion

We have explored low-resource HTR on historical 516 manuscripts and we have proposed a pipeline leveraging 517 synthetic data generated by state-of-the-art HTG models for pretraining an HTR model, which is then fine-tuned on a 519 few real samples. Our findings give the following insights: 520

- **Style Fidelity.** Among the evaluated HTG models, Emuru consistently generates the most style-faithful handwriting samples, leading to superior zero-shot HTR performance, indicating the importance of style fidelity in zero-shot scenarios.
- Style Variability. When only a small number of real images (fewer than 130) are available for fine-tuning, pretraining on data that closely matches the target handwriting style leads to better recognition results. However, if more real samples are available, diversity in the pretraining set becomes more important than style similarity. In these cases, training on the more varied DiffPengenerated datasets leads to better generalization and improved HTR performance. This shows the effect of style diversity depending on the amount of fine-tuning data.
- **Filtering.** There is no clear benefit from filtering based on HWD. In contrast, filtering based on CER with a too strict threshold can lead to removing too many useful training samples or even hinder the HTR model convergence.

By shedding light on the existing HTG capabilities, this study aims to help the design of novel HTG models for boosting HTR in low-resources scenarios.

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