

A. Dataset Statistics

As described in Section 3.1.1, we used protein sequences reviewed by experts and reported to Swiss-Prot (Consortium, 2022). This dataset, denoted as ‘split100’, was curated by CLEAN (Yu et al., 2023) and divided into two subsets: split30 and split50. We used split30 and split50 as training sets, while NEW-392 and Price-149 served as independent test sets. Table 1 details the number of proteins in each dataset and the number of EC numbers at each hierarchical level.

One of the challenges in EC number prediction is the sparse annotation of many classes, especially for level 4. Table 1 shows that in split100 dataset, each level 4 EC number has an average of 46 positive proteins out of 227,362. Additionally, more than a quarter of level 4 EC numbers have only one positive protein, indicating severe class imbalance. Furthermore, novel proteins may belong to previously undefined EC numbers that are unseen to the model.

B. Model Architecture

All of the models used identical architecture, which consists of encoder network that maps feature to initial representation using pretrained model, and projection network that maps initial representation to final representation vectors which are ready for use in inference phase. We used pretrained ESM-2-650M (Lin et al., 2023) model as encoder network that acquires initial representation $r \in \mathcal{R}^{D_E}$ from the sequence of protein, with weight parameters frozen. Projection network then maps r into final representation $f \in \mathcal{R}^{D_o}$, which is acquired by following equations:

$$f = W_3(\text{Mod}_2(\text{Mod}_1(r))) \quad (3)$$

$$\text{Mod}_i(\cdot) = \text{ReLU}(\text{Dropout}(\text{LayerNorm}(W_i(\cdot)))) \quad (4)$$

Linear matrix $W_1 \in \mathcal{R}^{D_E \times D_h}$, $W_2 \in \mathcal{R}^{D_h \times D_h}$, $W_3 \in \mathcal{R}^{D_h \times D_o}$ are trained to get task-appropriate representation f .

C. Hyperparameters

Hyperparameters are given in table 2. We trained models were trained up to 3000 epochs, and the model with the lowest validation loss was selected for testing.

D. Experimental Results

D.1. Weight Grid Search for Levels 2 and 1

We conducted a grid search to optimize weights for each hierarchical level (λ_l), starting with level 3 and progressing to levels 2 and 1, while keeping the λ_4 fixed at 1. For

each level, we selected the weight with the highest AUPRC from cross-validation. For level 3, λ_3 of 0.02 yielded the highest AUPRC for level 4 (Figure 2). Consequently, we fixed λ_3 and λ_4 at 0.02 and 1, respectively, and proceeded to optimize the λ_2 , as shown in Figure 8.

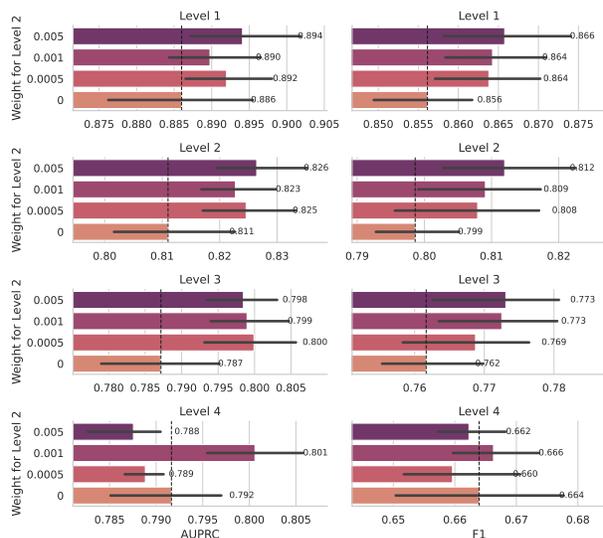


Figure 8. Grid search on the weight for level 2, λ_2

With λ_2 of 0.001 achieving the highest AUPRC for predicting level 4 EC numbers, we fixed λ_2 , λ_3 , λ_4 , at 0.001, 0.02, and 1, respectively. We then conducted a grid search to optimize λ_1 , as depicted in Figure 9.

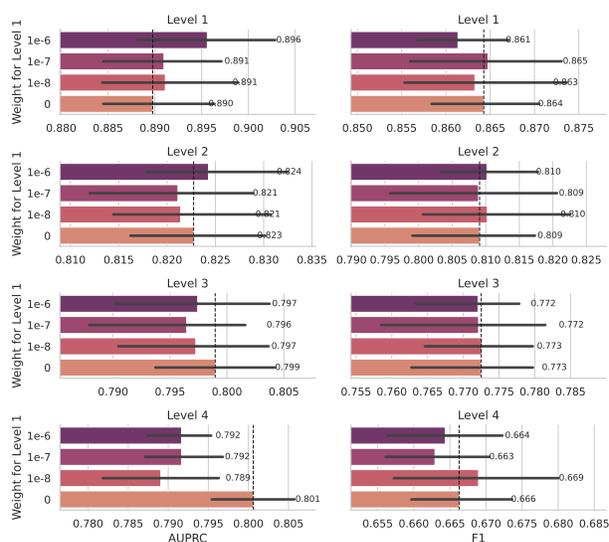


Figure 9. Grid search on the weight for level 1, λ_1

Hierarchical Contrastive Learning for EC Number Prediction

Table 1. Dataset statistics.

DATASET	# PROTEINS	LEVEL	# EC NUMBERS	# PROTEIN-EC NUMBER PAIRS	AVG. PROTEINS PER EC NUMBERS	# SINGLE-PROTEIN EC NUMBERS
SPLIT30	10,202	LEVEL 1	7	10,427	1,490	0
		LEVEL 2	72	10,504	146	6
		LEVEL 3	240	10,555	44	26
		LEVEL 4	3,576	11,033	3	2,051
SPLIT50	29,942	LEVEL 1	7	30,543	4,363	0
		LEVEL 2	72	30,772	427	3
		LEVEL 3	251	30,916	123	16
		LEVEL 4	4,709	32,027	7	2,168
SPLIT100	227,362	LEVEL 1	7	232,306	33,187	0
		LEVEL 2	72	233,878	3,248	1
		LEVEL 3	253	234,855	928	7
		LEVEL 4	5,242	241,025	46	1,360
NEW-392	392	LEVEL 1	7	396	57	0
		LEVEL 2	28	397	14	4
		LEVEL 3	57	399	7	17
		LEVEL 4	177	503	3	89
PRICE-149	149	LEVEL 1	6	149	25	0
		LEVEL 2	19	149	8	4
		LEVEL 3	27	149	6	8
		LEVEL 4	56	152	3	27

Table 2. Hyperparameters for model architecture and training.

	HYPERPARAMETER	VALUE
MODEL ARCHITECTURE	DROPOUT	0.3
	D_E	1280
	D_h	512
	D_o	256
TRAINING	BATCH SIZE	SPLIT30: 6000, SPLIT50: 10000
	OPTIMIZER	ADAMW
	LEARNING RATE	5.00E-04
	WEIGHT DECAY	1.00E-03
	CONTRASTIVE LOSS TEMPERATURE τ	0.1

The optimized weights for each level (λ_l) are illustrated in Figure 10. As the number of unique EC numbers decreases logarithmically, the weights for each hierarchical level also decrease logarithmically.

comparable or slightly better performance on all levels, most noticeable when extended to level 3. We conclude that effectiveness of hierarchical CL can be generalized on larger EC number datasets.

D.2. Experimental Results on Split50 Dataset

Figure 11 shows performances on grid search for the weight on level 3 (λ_3), starting with weight for level 4 (λ_4) as 1. Similar to performance on split30 dataset, there exists an optimal point of weight λ_3 and λ_4 for performance on level 3 and level 4. Raising weight for level 3 λ_3 gives slight performance gain in level 3 while performance on level 4 is not lost. Figure 12 shows performances on split50 dataset when extending HMC to all levels. λ_l weights follows that from split30. Extending HMC to higher levels gives

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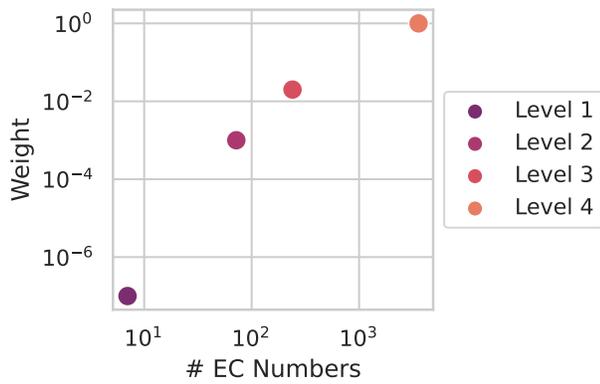


Figure 10. Optimized weights for each level (λ_l).

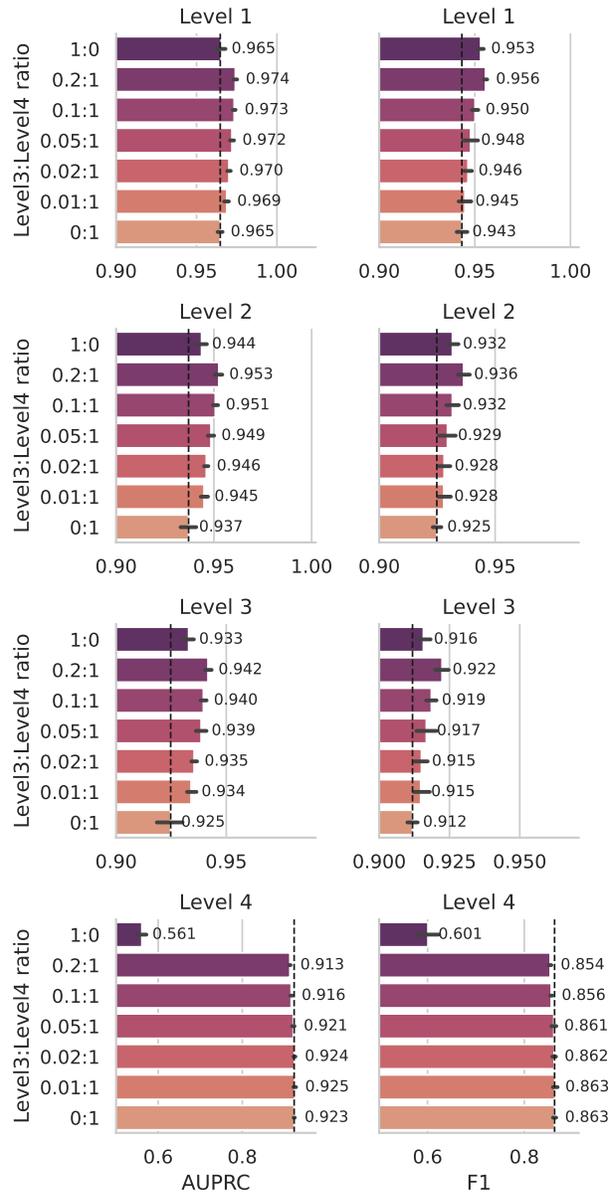


Figure 11. HMC for level 3 and level 4 in split50 dataset.

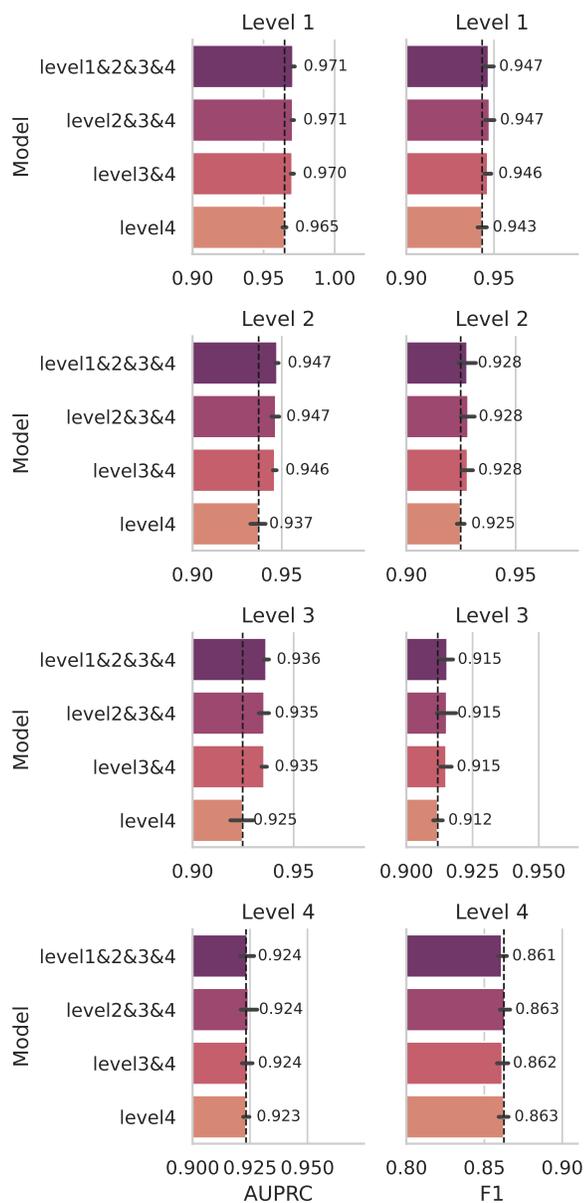


Figure 12. HMC from level 1 to level 4 in split50 dataset. Extending HMC for higher levels improves the model performance.