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Checklist

- 1. For all authors...
 - (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
 - (b) Did you describe the limitations of your work? [Yes] Limitations with respect to reconstruction, segmentation, and generation quality as well as with respect to using the IC-SBP for inferring a variable number of object representations are discussed in the experimental section and the conclusions.
 - (c) Did you discuss any potential negative societal impacts of your work? [Yes] See Appendix G.
 - (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
- 2. If you are including theoretical results...
 - (a) Did you state the full set of assumptions of all theoretical results? [N/A]
 - (b) Did you include complete proofs of all theoretical results? [N/A]
- 3. If you ran experiments...
 - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [Yes] Training and evaluation code as well as pre-trained model checkpoints are provided with the camera-ready version.
 - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] Experimental settings are described in sufficient detail that should enable the independent reproduction of the results, but we do not always describe in detail how they were chosen—some choices are based on practitioner's experience, some are based on manual tuning, etc.
 - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes] Means and standard deviations from three random seeds are reported for experiments on the simulated datasets. Experiments on the real-world datasets were only conducted with a single random seed as they are considerably more computation intensive.
 - (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [No] Approximate runtimes on a specified GPU type are described in Appendix E, but we did not track the total amount of compute that was used for this work.
- 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
 - (a) If your work uses existing assets, did you cite the creators? [Yes] See Appendix H.
 - (b) Did you mention the license of the assets? [Yes] See Appendix H.
 - (c) Did you include any new assets either in the supplemental material or as a URL? [Yes] Training and evaluation code as well as pre-trained model checkpoints are provided with the camera-ready version.
 - (d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [Yes] See Appendix H.
 - (e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [Yes] See Appendix H.
- 5. If you used crowdsourcing or conducted research with human subjects...
 - (a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
 - (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
 - (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]

A Graphical Models



Figure 7: Graphical model of GENESIS-V2 compared to a standard VAE [37, 38], MONET [16], IODINE [22], and GENESIS [17]. N denotes the number of refinement iterations in IODINE. GENESIS and GENESIS-V2 capture correlations between object slots with an autoregressive prior.

B GENESIS-V2 Architecture Details

The GENESIS-V2 architecture consists of four main components: a deterministic backbone, the attention and object pooling module, the component decoders, and an optional autoregressive prior which are described in detail below.

Backbone GENESIS-V2 uses a UNet [62] encoder similar to the attention network in the reimplementation of MONET in Engelcke et al. [17] with [64, 64, 128, 128, 128] filters in the encoder and the reverse in the decoder. Each convolutional block decreases or increases the spatial resolution by a factor of two and there are two hidden layers with 128 units each in between the encoder and the decoder. The only difference to the UNet implementation in Engelcke et al. [17] is that the instance normalisation (IN) layers [63] are replaced with group normalisation (GN) layers [64] to preserve contrast information. The number of groups is set to eight in all such layers which is also referred to as a GN8 layer. The output of this backbone encoder is a feature map $\mathbf{e} \in \mathbb{R}^{H \times W \times D_e}$ with $D_e = 64$ output channels and spatial dimensions that are equal to the height and width of the input image.

Attention and Object Pooling Following feature extraction, an *attention head* computes pixelwise semi-convolutional embeddings ζ with eight channels, i.e. $D_{\zeta} = 8$, as in Novotny et al. [40]. The attention head consists of a 3 × 3 Conv-GN8-ReLU block with 64 filters and a 1 × 1 semiconvolutional layer. The pixel embeddings are clustered into K attention masks $\mathbf{m}_{1:K}$ using the IC-SBP. A Gaussian kernel ψ_G is used unless noted otherwise. A *feature head* consisting of a 3 × 3 Conv-GN8-ReLU block with 64 filters and a 1 × 1 convolution with 128 filters refines the encoder output e to obtain a new feature map $\mathbf{f} \in \mathbb{R}^{H \times W \times D_f}$ with $D_f = 128$. Similar to Locatello et al. [24], the attention masks $\mathbf{m}_{1:K}$ are used to pool feature vectors from the feature map by multiplying the feature map with an individual attention mask and summing across the spatial dimensions. Each pooled feature vector is normalised by dividing by the sum of the attention mask values plus a small epsilon value to avoid numerical instabilities. Finally, a *posterior head* uses layer normalisation [65] followed by a fully-connected ReLU block with 128 units and a second fully-connected layer to compute the sufficient statistics of the individual object latents $\mathbf{z}_{1:K}$ with $\mathbf{z}_k \in \mathbb{R}^{64}$ from pooled feature vector.

Component Decoders Following Greff et al. [22] and Locatello et al. [24], the object latents are decoded by separate decoders with shared weights to parameterise the sufficient statistics of the SGMM in Equation (1). Each decoded component has four channels per pixel. The first three channels contain the RGB values and the fourth channel contains the unnormalised segmentation logits which are normalised across scene components using a softmax operator. Again following Locatello et al. [24], the first layer is a spatial broadcasting module as introduced in Watters et al. [66] which is designed to facilitate the disentanglement of the independent factors of variation in a dataset. An additional advantage of spatial broadcasting is that it requires a smaller number of parameters than a fully-connected layer when upsampling a feature vector to a specific spatial resolution. The

spatial broadcasting module is followed by four 5×5 , stride-2 deconvolutional GN8-ReLU layers with 64 filters to retrieve the full image resolution before a final 1×1 convolution which computes the four output channels. The use of stride-2 deconvolutional layers should make the GENESIS-V2 decoder more flexible compared to the counterparts used in MONET-G and GENESIS, which broadcast higher resolution and use stride-1 convolutions for decoding (see also [18].

Autoregressive Prior Identical to GENESIS [17], the autoregressive prior for scene generation is implemented as an LSTM [67] followed by a fully-connected linear layer with 256 units to infer the sufficient statistics of the prior distribution for each component.

C Kernel Initialisation

Assume a maximum of K scene components to be present in an image and that model is initialised so that the pixel embeddings are equal to the relative pixel coordinates with the other dimensions being zero at the beginning of training. For each initial mask to cover approximately the same area of an image, further assume that the circular isocontours of the kernels are packed into an image in a square fashion. Using linear relative pixel coordinates in [-1, 1] and dividing an image into K equally sized squares, each square has a side-length of $2/\sqrt{K}$. Let the mask value decrease to 0.5 at the intersection of the square and the circular isocontour, i.e., at a distance of $1/\sqrt{K}$ from the centre of the kernel as illustrated in Figure 8. Solving this for each kernel in Equation (3) leads to

$$\psi\left(0, 1/\sqrt{K}\right) = 0.5 \iff \sigma_G^{-1} = K \ln 2, \quad \sigma_L^{-1} = \sqrt{K} \ln 2, \quad \sigma_E^{-1} = K/2.$$
 (8)

Examples of the initial masks obtained when running the IC-SBP with the proposed initialisations are illustrated in Figure 9.



(c) Epanechnikov kernel - ψ_E

Figure 8: Illustration of packing K = 4 circular kernels into a square image and linear relative pixel coordinates in [-1, 1], resulting in circular isocontours of radius $1/\sqrt{K}$.

Figure 9: Initial masks obtained when running the IC-SBP with different randomly sampled seed scores, using the initialisations in Equation (8) and K = 7.

D Datasets

We evaluate GENESIS-V2 on simulated images from ObjectsRoom [44] and ShapeStacks [45] as well as real-world images from Sketchy [46] and APC [47]. ObjectsRoom and ShapeStacks are well established in the context of this work and we follow the same preprocessing procedures as used in Engelcke et al. [17] and Engelcke et al. [18]. As in these works, the default number of object slots is set to K = 7 and K = 9 for ObjectsRoom and ShapeStacks, respectively, across all models. This work is the first to train and evaluate models that aim to learn object representations without supervision on Sketchy and APC. We therefore developed our own preprocessing and training/validation/test splits, which are described in detail below. The exact splits that were used will be released along with the code for reproducibility.

Sketchy The Sketchy dataset [46] is designed for off-policy reinforcement learning (RL), providing episodes showing a robotic arm performing different tasks that involve three differently coloured shapes (blue, red, green) or a cloth. The dataset includes camera images from several viewpoints, depth images, manipulator joint information, rewards, and other meta-data. The dataset is quite considerable in size and takes about 5TB of storage in total. We ease the computational and storage demands by only using a subset of this dataset. Specifically, we use the high-quality demonstrations from the "lift-green" and "stack-green-on-red" tasks corresponding to a total of 395 episodes, 10% of which are set aside as validation and test sets each. Sketchy also contains episodes from a task that involves lifting a cloth and an even larger number of lower-quality demonstrations that offer a wider coverage of the state space. We restrict ourselves to the high-quality episodes that involve the manipulation of solid objects. The number of high-quality episodes alone is already considerable and we want to evaluate whether the models can separate multiple foreground objects. From these episodes, we use the images from the front-left and front-right cameras which show the arm and the foreground objects without obstruction.

The raw images have a resolution of 600-by-960 pixels. To remove uninteresting pixels belonging to the background, 144 pixels on the left and right are cropped away for both camera views, the top 71 and bottom 81 pixels are cropped away for the front-left view, and the top 91 and bottom 61 are cropped away for the front-right view, resulting in a 448-by-672 crop. From this 448-by-672 crop, seven square crops are extracted to obtain a variety of views for the models to learn from. The first crop corresponds to the centre 448-by-448 pixels. For the other six crops, the top and bottom left, centre, and right squares of size 352 are extracted. Finally, we resize these crops to a resolution of 128-by-128 to reduce the computational demands of training the models. This leads to a total of 337,498 training; 41,426 validation; and 41,426 test images. Examples of images obtained with this preprocessing procedure are shown in Figure 10. The default number of object slots is set to K = 10 across all models to give them sufficient flexibility to discover different types of solutions.



(a) Front-left camera

	1	/

(b) Front-left camera

Figure 10: 128-by-128 crops as used for training, extracted from the front-left and front-right cameras of a single image from the Sketchy dataset [46]. Showing from left to right: centre, top-left, top-centre, top-right, bottom-left, bottom-centre, and bottom-right crops.

APC For their entry to the 2016 Amazon Picking Challenge (APC), the MIT-Princeton team created and released an object segmentation training set, showing a single challenge object either on a shelf or in a tray [47]. The raw images are first resized so that the shorter image side has a length of 128 pixels. The centre 128-by-128 pixels are then extracted to remove uninteresting pixels belonging to the background. Example images after processing are shown in Figure 11. For each object, there exists a set of scenes showing the object in different poses on both the shelf and in the red tray. For each scene, there are images taken from different camera viewpoints. We select 10% of the scenes at random to be set aside for validation and testing each so that scenes between the training, validation, and test sets do not overlap. The resulting training, validation, and test sets consist of 109,281; 13,644; and 13,650 images, respectively. As for Sketchy, the default number of object slots is set to K = 10 to provide enough flexibility for models to discover different types of solutions.



Figure 11: Examples from the APC dataset [47] after cropping and resizing.

E Training Details

Models apart from SLOT-ATTENTION are trained with the protocol from Engelcke et al. [17] for comparability, which minimises the GECO objective [56] using the Adam optimiser [68], a learning rate of 10^{-4} , a batch size of 32, and 500,000 training iterations. The Gaussian standard deviation σ_x in Equation (1) is set to 0.7 and GECO reconstruction goal is set to a negative log-likelihood value per pixel and per channel of 0.5655 for the simulated datasets and the APC dataset. For Sketchy, a GECO goal of 0.5645 was found to lead to better segmentations and was used instead. As in Engelcke et al. [17], the GECO hyperparameters are set to $\alpha_g = 0.99$, $\eta = 10^{-5}$ when $C \leq E$ and $\eta = 10^{-4}$ otherwise. β_g is initialised to 1.0 and clamped to a minimum value of 10^{-10} . For experiments with the auxiliary mask consistency loss in Equation (7), we found that an initial high weighting of the mask loss inhibits the learning of good segmentations, so in these experiments β_g is initialised to 10^{-10} instead. We refer to MONET trained with GECO as MONET-G to avoid conflating the results with the original settings from Burgess et al. [16]. SLOT-ATTENTION is trained using the official reference implementation with default hyperparameters. Training on 64-by-64 images from ObjectsRoom and ShapeStacks takes around two days with a single NVIDIA Titan RTX GPU. Similarly, training on 128-by-128 images from Sketchy and APC takes around eight days.

F Additional results

Table 6 shows a set of ablations for GENESIS-V2 in terms of segmentation performance. A first set of experiments is conducted with an independent prior, the three different distance kernels described in Section 3.2, and semi-convolutional embeddings. The Gaussian kernel appears to perform most robustly and is therefore selected for all other experiments. A second set of experiments is conducted in which models are trained with an auto-regressive prior and either with a semi-convolutional or a standard convolutional output layer for obtaining pixel embeddings. Both the auto-regressive prior and the semi-convolutional operation improve segmentation performance.

Table 6: GENESIS-V2 ablations showing means and standard deviations from three seeds. Highlighting follows an analogous scheme as in Table 1.

			ObjectsRoom		ShapeStacks	
Auto-reg. prior	Kernel	Semi-conv.	ARI-FG	MSC-FG	ARI-FG	MSC-FG
No	ψ_G	Yes	0.79±0.01	$0.47 {\pm} 0.17$	0.79±0.01	0.67±0.00
No	ψ_L	Yes	$0.74{\pm}0.08$	$0.48{\pm}0.20$	$0.79{\pm}0.01$	$0.67{\pm}0.01$
No	ψ_E	Yes	$0.78{\pm}0.01$	$0.34{\pm}0.08$	$0.78{\pm}0.01$	$0.66{\pm}0.01$
Yes	ψ_G	Yes	0.84±0.01	$0.58 {\pm} 0.03$	0.81±0.00	0.68±0.01
Yes	ψ_G	No	$0.79{\pm}0.05$	$0.59{\pm}0.02$	$0.60{\pm}0.38$	$0.56{\pm}0.21$



(b) MONET-G

Reconstructions	 	-	 	
Segmentations	 	•		

(c) GENESIS



(d) SLOT-ATTENTION



(e) GENESIS-V2

Figure 12: ObjectsRoom reconstructions and segmentations.



(d) Third random seed

Figure 13: Applying GENESIS-V2 several times to the same images from the ObjectsRoom dataset with three different random seeds shows that the model produces similar reconstructions and segmentations for each seed, but foreground objects are allocated to different slots as indicated by the segmentation colours.



(a) Input images

Segmentations Reconstructions

(b) MONET-G



(c) GENESIS



(d) SLOT-ATTENTION



(e) GENESIS-V2

Figure 14: ShapeStacks reconstructions and segmentations.



Attention
Segmentations
Reconstructions

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(b) First random seed



(c) Second random seed



(d) Third random seed

Figure 15: Applying GENESIS-V2 several times to the same images from the ShapeStacks dataset with three different random seeds shows that the model produces similar reconstructions and segmentations for each seed, but components are allocated to different slots as indicated by the segmentation colours.

(a) Input images

Inputs			~						
	(a) Input images								

Segmentations Reconstructions

(b) MONET-G

Reconstructions			2			
Segmentations	A		A	No.	く 家	

(c) GENESIS

Reconstructions			~	X	
Segmentations	•		•		

(d) SLOT-ATTENTION



(e) GENESIS-V2

Figure 16: Sketchy reconstructions and segmentations.

Inputs			
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(a) Input images

Reconstructions	100	8	*		~
Segmentations	423		*	•	

(b) MONET-G



(c) GENESIS

Reconstructions			1	
Segmentations				

(d) SLOT-ATTENTION



(e) GENESIS-V2

Figure 17: APC reconstructions and segmentations.



(a) MONET-G



(b) GENESIS



(c) GENESIS-V2 Figure 18: Sketchy samples.



(a) MONET-G



(b) GENESIS



(c) GENESIS-V2

Figure 19: APC samples.

G Potential Negative Societal Impacts

GENESIS-V2 is a generative model. Generative models can potentially be used spread disinformation by generating synthetic images for manipulative purposes. At this point in time, however, GENESIS-V2 is only able to generate plausible images when training on simulated images with limited visual complexity. A direct application of this method for malicious purposes is therefore unlikely.

H Third-Party Assets

GENESIS-V2 is implemented using PyTorch [69]. In addition to various Python packages, we make use of several third-party assets:

- Kabra et al. [44] (Apache-2.0 License): ObjectsRoom dataset,
- Groth et al. [45] (GPL-3.0 License): ShapeStacks dataset,
- Cabi et al. [46] (Apache-2.0 License): Sketchy dataset,
- Zeng et al. [47] (BSD-2-Clause License): APC dataset,
- Engelcke et al. [17, 18] (GPL-3.0 License): Implementation of GENESIS and MONET-G,
- Locatello et al. [24] (Apache-2.0 License): Implementation of SLOT-ATTENTION,
- Seitzer [60] (Apache-2.0 License): FID computation in PyTorch.

The datasets are publicly available under open-source licenses and consent was therefore not explicitly requested. To the best of our knowledge, none of the datasets contain personally identifiable information or offensive content.