Benchmarking LLMs on the Semantic Overlap Summarization Task

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Abstract

Semantic Overlap Summarization (SOS) is a constrained multi-document summarization task, where the constraint is to capture the common/overlapping information between two alternative narratives. In this work, we perform a benchmarking study of Large Language Models (LLMs) exclusively on the SOS task. Additionally, we introduce the PrivacyPolicyPairs (3P) dataset to expand the space of SOS data in terms of quantity and variety. This dataset provides 135 high-quality SOS data samples sourced from privacy policy documents. We then use the TELeR taxonomy to create and evaluate $905,216$ distinct LLM-generated summaries over two SOS datasets from different domains, and we further conduct human evaluation on a subset of 540 samples. We conclude the paper by analyzing models' performances and the reliability of automatic evaluation[1].

1 Introduction

In the field of Natural Language Processing (NLP), Large Language Models (LLMs) have proven themselves to be the most capable text generation models in a variety of tasks and fields (Bubeck et al., 2023; Dai et al., 2022; Du et al., 2022; Smith et al., 2022; Schäfer et al., 2024; School, 2023; Thirunavukarasu et al., 2023). One task where LLMs are understudied is Semantic Overlap Summarization (SOS) (Bansal et al., 2022b; Karmaker Santu et al., 2018), where the goal is to summarize the common/overlapping information between two alternative narratives. In this paper, we conduct a comprehensive benchmarking study on the SOS task using 16 popular LLMs.

As LLM's performance can widely vary with prompt variations (Rodriguez et al., 2023; Hayes et al., 2006), we use the TELeR taxonomy (Santu and Feng, 2023) to devise a comprehensive set of prompts with different degrees of detail to perform the SOS task. We evaluate LLMs on the SOS task using two different alternative narrative datasets. The first dataset is the previously introduced *AllSides* dataset released by Bansal et al. (2022b), and the second dataset is our contribution, which was built with extensive human annotation effort, which we name the *PrivacyPolicyPairs* (3P) dataset.

We report ROUGE, BERTscore, and SEM-F_1 on the Allsides and 3P datasets for each combination of LLMs and prompt style, totaling 905,216 distinct samples. We further collected human annotations on a subset of 540 samples to truly gauge the capabilities of LLMs in capturing overlapping information from multiple narratives. Finally, we analyze LLMs' performances and the reliability of automatic evaluation via correlation analysis against human annotations.

2 The Benchmark Datasets

2.1 The AllSides Data

The AllSides dataset is the first to be introduced for the SOS task. To build this dataset, Bansal et al. (2022b) crawled news articles from AllSides.com to create 2,788 sample training set and 137 sample test set. Each sample contains 2 source documents of left and right-leaning sources and is accompanied by a reference summary. The test set includes an additional 3 human-annotated summaries for more robust evaluation.

2.2 The *PrivacyPolicyPairs* (3P) Data

For a more diverse evaluation, we introduce the *PrivacyPolicyPairs* (3P) dataset, an evaluation set for the SOS task, containing 135 human annotated samples. Each sample comprises 2 source documents (two different privacy policy narratives), the category of passage, 3 reference summaries, company names, and word counts (example figure in the appendix). Our (3P) dataset is built on the OPP-115 Corpus introduced by Wilson

[1]The code and datasets used to conduct this study are available at https://anonymous.4open.science/r/llm_eval-E16D

et al. (2016), which comprises 115 privacy policies (267K words) spanning 15 sectors (Arts, Shopping, News, etc.). The policy data of the OPP-115 corpus are also tagged with the following categories:

- First Party Collection/Use
- Third Party Sharing/Collection
- User Choice/Control
- User Access, Edit, & Deletion
- Data Retention
- Data Security
- Policy Change
- Do Not Track
- International & Specific Audiences
- Other

These categories are associated with text spans in each document that denote where the labels were relevant. Our motivation behind introducing a new dataset for SOS evaluation is to 1) extend the amount of available testing data from just 137 samples from the AllSides evaluation set to 272 total evaluation samples with a combined total of 953 human annotations and 2) provide data from a domain different from the AllSides data.

Constructing the 3P Dataset: To build the 3P dataset, we set out to create pairs of passages from the original OPP-115 corpus. To ensure a degree of overlap, we first grouped each document into the 15 sectors that were originally assigned by Wilson et al. (2016) (Arts, Shopping, Business, News, etc.). Then, within each sector, we paired different passages according to their category labels (First Party Collection, Data Retention, etc.). This process resulted in 6110 passage pairs across all sectors.

Out of the 15 sectors, we focused on *eCommerce*, *Technology*, and *Food and Drink*. We then recruited three volunteer annotators from the department and instructed them to write a summary of common information present in each document pair. The exact instructions can be found in Appendix A.4. After the initial round of annotation, the annotators came together, discussed the differences in each of their summaries, and revised their original summaries accordingly. After revising and removing samples with no overlap, we yielded 3 annotations per passage pair for a total of 405 annotations for 135 high-quality samples.

3 Methodology

3.1 Evaluated Large Language Models

We choose to test our datasets using 7 families of instruction-tuned LLMs, totaling 16 models which are listed in Table 1. OpenAI and Google provide their own unique APIs but for open source LLMs, we used the transformers library (Wolf et al., 2020) to access model weights and run inference on a server with 4 Nvidia A4500 20GB GPUs. For additional speedup, we utilized the vLLM library (Kwon et al., 2023).

LLM Family	Model
Google Gemini (Team et al., 2024)	gemini-1.5-pro-001 (May 2024)
OpenAI (OpenAI, 2023)	gpt-3.5-turbo-0125 (May 2024)
MosaicML MPT (Team, 2023)	mosaicml/mpt-7b-chat (7B) mosaicml/mpt-30b-chat (30B) mosaicml/mpt-7b-instruct (7B) mosaicml/mpt-30b-instruct (30B)
LMSYS Vicuna (Zheng et al., 2023)	lmsys/vicuna-7b-v1.5 (7B) lmsys/vicuna-13b-v1.5 (13B) lmsys/vicuna-7b-v1.5-16k (7B) lmsys/vicuna-13b-v1.5-16k (13B)
MistralAI (Jiang et al., 2023)	mistralai/Mistral-7B-Instruct-v0.1 (7B) mistralai/Mistral-7B-Instruct-v0.2 (7B)
MetaAI Llama2 (Touvron et al., 2023)	meta-llama/Llama-2-7b-chat-hf (7B) meta-llama/Llama-2-13b-chat-hf (13B)
Microsoft Phi-3 (Abdin et al., 2024)	microsoft/Phi-3-mini-4k-instruct (3.8B) microsoft/Phi-3-mini-128k-instruct 3.8B)

Table 1: The list of models evaluated in this paper with parameter counts. We use 7 families of models, 2 of which are closed source, and 5 open source.

3.2 Prompt Design

We prompted LLMs in a zero-shot setting as these methods have gained popularity with the growing capabilities of LLMs (Sarkar et al., 2023, 2022). For this study, we used TELeR levels 0 through 4 (5 out of the 7). To ensure comprehensive prompt engineering, we created templates for TELeR levels 0 through 4 and In-Context Learning styled prompts (Brown et al., 2020) (details in appendix A.4). For each template, we then created variations of prompts that follow their respective formats. For example, the group of TELeR L1 prompts is comprised of 8 prompts; 5 general , 3 AllSides-specific, and 3 3P-specific. Then, to construct our final set of prompts, we took all possible combinations of system roles and prompts, creating $56,576$ prompts for each of our 16 models and, thus, creating $905,216$ distinct evaluation samples in total.

3.3 Evaluation

Automatic Evaluation: We conduct automatic evaluation using ROUGE (Lin, 2004), BERTscore (Zhang et al., 2020), and SEM-F1 (Bansal et al., 2022a). SEM-F1 is an embedding-based metric like BERTscore but utilizes sentence-level embeddings to calculate its scores compared to BERTscore's use of token embeddings[2].

[2]We calculate BERTscore with hashcode roberta-large_L17_no-idf_version=0.3.12(hug_trans=4.40.2)-

AllSides Dataset								
Model	Sem-F1 (USE)	Sem-F1 (Distil)	Sem-F1 (RoB)	R-L Sum	R-L	R-1	R-2	BERT score
gemini-pro	**0.671** (L1)	**0.643** (L1)	**0.648** (L1)	0.418 (L1)	0.418 (L1)	**0.499** (L1)	**0.331** (L1)	**0.503** (L1)
gpt-3.5-turbo	0.656 (L1)	0.641 (L4)	0.648 (L1)	0.421 (L1)	0.421 (L1)	0.494 (L1)	0.300 (L1)	0.490 (L1)
vicuna-13b-v1.5	0.521 (L2)	0.528 (L2)	0.528 (L3)	0.330 (L3)	0.317 (L3)	0.426 (L2)	0.231 (L2)	0.393 (L1)
vicuna-13b-v1.5-16k	0.530 (L3)	0.535 (L3)	0.540 (L3)	0.326 (L2)	0.296 (L4)	0.410 (L2)	0.236 (L1)	0.362 (L2)
vicuna-7b-v1.5	0.566 (L4)	0.549 (L4)	0.563 (L4)	0.355 (L2)	0.333 (L2)	0.446 (L2)	0.255 (L2)	0.405 (L2)
vicuna-7b-v1.5-16k	0.564 (L3)	0.550 (L3)	0.555 (L3)	0.323 (L2)	0.309 (L2)	0.419 (L2)	0.231 (L2)	0.387 (L2)
Llama-2-13b-chat-hf	0.586 (L2)	0.563 (L2)	0.586 (L3)	0.372 (L1)	0.357 (L1)	0.442 (L1)	0.257 (L1)	0.369 (L1)
Llama-2-7b-chat-hf	0.625 (L2)	0.603 (L2)	0.618 (L3)	0.336 (L3)	0.332 (L1)	0.434 (L3)	0.239 (L3)	0.402 (L3)
Phi-3-mini-128k-instruct	**0.670** (L3)	**0.646** (L3)	**0.652** (L3)	**0.442** (L3)	**0.433** (L3)	**0.507** (L3)	**0.342** (L3)	0.480 (L3)
Phi-3-mini-4k-instruct	0.637 (L1)	0.607 (L3)	0.624 (L1)	0.375 (L1)	0.375 (L1)	0.453 (L1)	0.255 (L1)	0.445 (L1)
Mistral-7B-Instruct-v0.1	0.658 (L3)	0.636 (L3)	0.646 (L3)	**0.428** (L1)	**0.428** (L1)	0.498 (L1)	0.318 (L1)	**0.494** (L1)
Mistral-7B-Instruct-v0.2	0.643 (L1)	0.637 (L1)	0.636 (L3)	0.374 (L1)	0.374 (L1)	0.464 (L1)	0.268 (L4)	0.462 (L1)
mpt-30b-chat	0.614 (L1)	0.596 (L1)	0.618 (L0)	0.340 (L1)	0.338 (L1)	0.419 (L1)	0.252 (L1)	0.374 (L1)
mpt-30b-instruct	0.611 (L1)	0.602 (L2)	0.607 (L2)	0.345 (L1)	0.345 (L1)	0.427 (L1)	0.237 (L2)	0.435 (L1)
mpt-7b-chat	0.604 (L4)	0.578 (L4)	0.603 (L4)	0.267 (L4)	0.263 (L3)	0.356 (L3)	0.206 (L4)	0.304 (L3)
mpt-7b-instruct	0.612 (L2)	0.585 (L2)	0.609 (L2)	0.278 (L1)	0.277 (L1)	0.370 (L1)	0.195 (L1)	0.316 (L3)
PrivacyPolicyPairs (3P) Dataset								
gemini-pro	0.399 (L4)	**0.528** (L4)	**0.577** (L1)	**0.244** (L4)	**0.243** (L4)	**0.314** (L4)	**0.118** (L1)	**0.308** (L1)
gpt-3.5-turbo	**0.419** (L1)	**0.535** (L4)	**0.589** (L1)	**0.262** (L1)	**0.262** (L1)	**0.324** (L1)	**0.117** (L1)	**0.329** (L1)
vicuna-13b-v1.5	0.324 (L0)	0.445 (L3)	0.489 (L3)	0.196 (L2)	0.180 (L2)	0.250 (L2)	0.088 (L2)	0.205 (L2)
vicuna-13b-v1.5-16k	0.364 (L0)	0.471 (L0)	0.526 (L0)	0.184 (L2)	0.171 (L2)	0.239 (L2)	0.077 (L2)	0.189 (L2)
vicuna-7b-v1.5	0.329 (L4)	0.441 (L4)	0.491 (L4)	0.175 (L2)	0.165 (L2)	0.227 (L2)	0.071 (L2)	0.177 (L2)
vicuna-7b-v1.5-16k	0.367 (L3)	0.497 (L3)	0.551 (L3)	0.188 (L1)	0.186 (L1)	0.247 (L1)	0.069 (L2)	0.204 (L1)
Llama-2-13b-chat-hf	0.357 (L2)	0.466 (L3)	0.526 (L3)	0.207 (L1)	0.196 (L1)	0.266 (L1)	0.083 (L1)	0.184 (L1)
Llama-2-7b-chat-hf	0.361 (L1)	0.495 (L1)	0.535 (L3)	0.199 (L1)	0.197 (L1)	0.258 (L1)	0.079 (L1)	0.214 (L1)
Phi-3-mini-128k-instruct	0.377 (L1)	0.497 (L0)	0.553 (L0)	0.218 (L3)	0.217 (L3)	0.282 (L3)	0.083 (L1)	0.276 (L3)
Phi-3-mini-4k-instruct	0.382 (L1)	0.503 (L1)	0.554 (L1)	0.215 (L1)	0.215 (L1)	0.278 (L1)	0.083 (L1)	0.251 (L1)
Mistral-7B-Instruct-v0.1	0.394 (L1)	0.517 (L1)	0.570 (L1)	0.214 (L1)	0.213 (L1)	0.275 (L1)	0.083 (L1)	0.249 (L1)
Mistral-7B-Instruct-v0.2	**0.401** (L1)	0.523 (L1)	0.574 (L4)	0.234 (L1)	0.233 (L1)	0.298 (L1)	0.106 (L1)	0.279 (L1)
mpt-30b-chat	0.386 (L2)	0.507 (L2)	0.559 (L2)	0.192 (L1)	0.190 (L1)	0.247 (L1)	0.075 (L1)	0.200 (L2)
mpt-30b-instruct	0.371 (L2)	0.487 (L2)	0.532 (L2)	0.213 (L1)	0.210 (L1)	0.267 (L1)	0.084 (L1)	0.268 (L1)
mpt-7b-chat	0.362 (L2)	0.479 (L2)	0.536 (L2)	0.177 (L2)	0.175 (L2)	0.233 (L2)	0.066 (L1)	0.159 (L2)
mpt-7b-instruct	0.350 (L1)	0.469 (L1)	0.528 (L1)	0.166 (L1)	0.162 (L1)	0.215 (L1)	0.075 (L1)	0.127 (L1)

Table 2: The best average scores for each metric over each dataset. Bold blue indicates the best score for the corresponding metric, while the second best is indicated by bold black. Each score is accompanied by the TELeR level that was used to produce the score

Model	Score (0-5)	Template	Score (0-5)
gemini-pro	3.37	ICL	3.08
gpt-3.5-turbo	**3.53**	L1	3.38
mpt-30b-chat	3.39	L2	**3.42**
Mistral-7B-Instruct-v0.2	3.38	L3	3.32
Phi-3-mini-128k-instruct	3.37	L4	3.32
vicuna-13b-v1.5-16k	3.32		

Table 3: Average negotiated preference score for each model and prompt template. "ICL" represents the In-Context Learning style prompts while "Lx" refers to the level of TELeR prompt.

Human Evaluation: We recruited 3 human volunteer for annotation purposes. To avoid the burden of having annotators analyze 9 million samples, we reduce the number of evaluation samples by 1) evaluating a subset of data that corresponds to 15 samples (7 from AllSides and 8 from 3P) out of the 272 test set samples from AllSides and 3P, 2) evaluating only the largest/newest models from each family and 3) evaluating only the summaries that correspond to the best-performing prompts within each TELeR level. This strategy reduced the number of summary evaluations from 9M to 540 samples per annotator. The annotators scored model summaries on a scale of 0-5 based on how well they captured the overlapping information between the two documents given. The average annotation scores are shown in Table 3. After individually scoring the summaries, the annotators sat together to negotiate a final score to assign to each sample, giving us 2,160 scores across all samples.

4 Results

We show the results for automatic evaluation scores in Table 2. This table shows the highest scores achieved by each model over the set of all prompts with different TELeR levels. We observe that Phi-3-mini-128k-instruct generally performs the best on the AllSides dataset out of all other models, while for the 3P dataset, we see GPT-3.5-turbo on top.

Human Vs. Automatic Evaluation: Figure 1 shows the Pearson-ρ and Kendall-τ correlations between human annotator scores and the automatic evaluation metrics. We denote the final human-negotiated annotation score by Ann_{comb}. From this figure, we see that *SEM-F1 best correlates with human annotators*, demonstrating its superiority over ROUGE and BERTscore for multi-document summary evaluation. This result is consistent with previous findings from Bansal et al. (2022a).

rescaled. For SEM-F1, we compute scores using 3 different models: USE(Cer et al., 2018), RoBERTa (Zhuang et al., 2021), and DistilRoBERTa (Sanh et al., 2019)

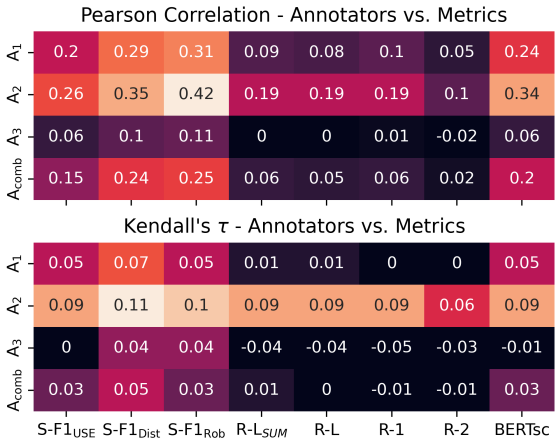

Figure 1: Pearson correlation and Kendall's τ scores between annotator scores and automatic evaluation metrics (higher is better). The "comb" subscript shows the combined score where the annotators sat with each other to settle on a final score for each annotation sample.

It should be noted that while SEM-F1 is the highest correlating metric, it is far from being reliable. Indeed, the Kendall-τ scores indicate none of these metrics perform reliably. When we looked deeper into this issue, we found that automatic evaluation metrics tend to score AllSides summaries higher than 3P summaries, which is clearly demonstrated in Figure 2. However, when referencing annotator scores, we see the favor actually tends toward the 3P summaries instead. For these reasons, we emphasize that *exisiting automatic evaluation metrics for the SOS task are unreliable*, and until we have better-correlating metrics, human evaluation is still required for robust evaluation of this task.

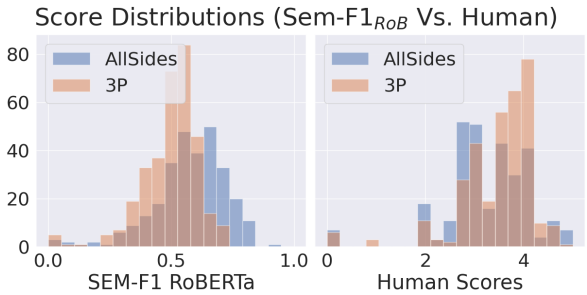

Figure 2: Distribution of scores from Sem-F1 RoBERTa (left) and final human annotator scores (right).

Despite these limitations, our comprehensive benchmarking study provides us with the following interesting insights regarding the relationships between models, evaluation metrics, TELeR Levels, and human preferences for the SOS task.

- **Models vs. TELeR Levels:** When comparing models against TELeR prompts in Table 2, we found that while TELeR L1 generally perform the best, some models show preferences towards other styles. For example, all the vicuna models show favor over L2 (68 top scores), with much fewer L1 prompts showing top scores (10).
- **Datasets vs. TELeR Levels:** From Table 4, we again see that L1 prompts yield most of the top scores for both datasets. However, on closer inspection, we found that L3 prompts mostly yield the best results for the AllSides dataset, beating L1 prompts (see Phi-3-mini-128k-instruct scores on the AllSides dataset).
- **Automated Metrics Vs. TELeR Levels:** From Table 2, we see that most metrics tend to favor summaries from TELeR L1 prompts, while SEM-F1 DistilRoBERTa favors L1 and L2 more evenly. More interestingly, SEM-F1 RoBERTa evaluates L3 prompts more favorably (11 toppers) than L1 prompts (9 toppers).
- **Human Preference Vs. TELeR Levels:** Table 3 shows that human annotators showed bias towards TELeR L2 prompts. However, the variance seems to be relatively small across L1 - L4.

Template	AllSides		3P	
	# Top Scores	Avg. Summ. Length	# Top Scores	Avg. Summ. Length
ICL	0	936.09	0	973.14
L0	1	1337.85	6	1848.9
L1	64	840.66	76	903.32
L2	33	841.37	32	967.42
L3	24	606.72	12	590.84
L4	14	532.23	10	505.41

Table 4: The average summary lengths compared to the number of top scores over each combination of model, metric, and prompt level. (counts derived from Table 2).

5 Conclusion

In this study, we investigated the capability of LLMs for performing the Semantic Overlap Summarization (SOS) task. We evaluated LLMs on a previously created dataset and additionally introduced a new dataset called the *PrivacyPolicyPairs* (3P) dataset. To account for the effects of prompt sensitivity, we adopted the TELeR prompting taxonomy to create a diverse set of prompts and found that: 1) Different TELeR levels impact each model and data set differently, hence, different TELeR levels must be studied and reported before making a final conclusion on LLMs' performance, 2) SEM-F1 correlates the best with human judgments among the automatic evaluation metrics on SOS but is far from reliable, and 3) Automatic evaluation metric requires significant advancement before we can forgo human evaluation for generation tasks.

6 Limitations

Dataset Size: At only 135 samples, it is not feasible to train a model on just the 3P data alone. Of course the AllSides dataset exists to accompany the 3P dataset but they represent a different category of documents from the 3P dataset which is another barrier to training. However while the size of the new dataset is small, there is a large amount of time and resource that is required to build a dataset of this nature. Firstly, this dataset requires that for each sample, we find two documents that share an overlapping narrative. Second, each sample is annotated manually by 3 people which for this dataset results in 405 annotations. That is without considering the other annotations where no overlap was found. Third, there have been several instances where disagreements need to be resolved which requires further discussion among annotators. Despite these limitations it is worth noting that this work effectively doubles the amount of samples to evaluate on the SOS task when considering both AllSides data and 3P data combined, taking our initial 137 sample news article test set to a combined 272 sample evaluation set over both news articles and privacy policy documents. In the future, a larger scale effort will be needed to increase the space of data for the SOS task.

Human Annotation: Annotation work is expensive in both time and money. We recruited all our annotators from within our department and saved on money but time cost is unavoidable. To make the process easier for our volunteers we reduced the amount of annotation samples by selecting 15 samples out of all 272 test set samples between AllSides and 3P. We also only evaluated the largest/newest models from each model family and finally, we wonly evaluated summaries that correspond to the best-performing prompts within each TELeR level. It is also important to note that the annotation process was purely for scoring user preference and there is no "right" or "wrong" answers to validate.

Model Finetuning: For this work we did not perform any fine-tuning on the evaluated models. All scores were obtained using the pre-trained weights for each model. This means that it's possible for additional performance to be gained using methods like LoRA (Hu et al., 2021). However the main goal of this study was to benchmark LLMs to set new baselines for the SOS task. In that regard we believe this to be an appropriate setup.

Automatic Evaluation: In this work we show that automatic evaluation cannot yet be trusted for the SOS task. However, reporting automatic evaluation metrics is standard practice so it is important that we take precaution when using these values to draw conclusions.

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A Appendix

A.1 Additional Figures

Figure 3 shows Pearson's correlation scores between all metrics on both datasets. The Pearson scores were computed using the SciPy library (Virtanen et al., 2020)

A.2 More on the 3P Dataset

In table 5, we show statistics of the 3P dataset. Figure 6 shows an example of what a sample in the 3P dataset looks like.

3P Dataset Statistics	
# Samples	135
Avg. # Words per Document	331.00
Avg. # Words per Document Pair	662.01
Avg. # Sentences per Document	14.96
Avg. # Sentences per Document Pair	28.99
Avg. # Words per Reference	22.46
Avg. # Sentences per Reference	1.75

Table 5: Dataset statistics for the 3P dataset consisting of 135 document pairs with 3 references each.

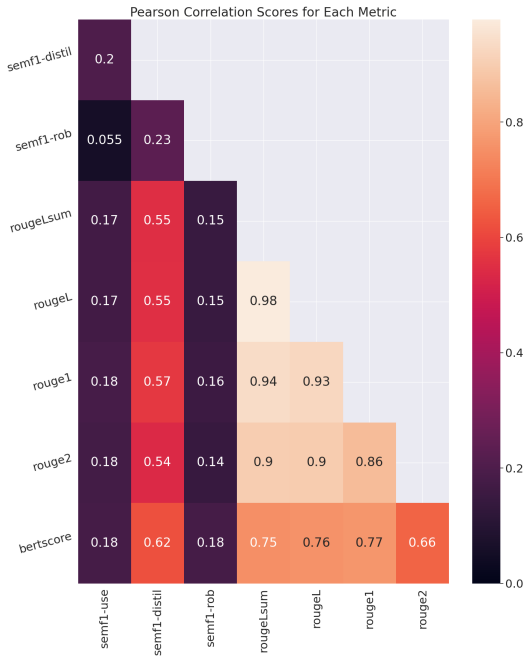

Figure 3: Correlation scores between all evaluation metrics.

A.3 Related Work

Text Summarization: SOS is essentially a summarization task. Over the past two decades, many document summarization approaches have been investigated (Zhong et al., 2019). The two most popular among them are *extractive* approaches (Cao et al., 2018; Narayan et al., 2018; Wu and Hu, 2018; Zhong et al., 2020) and *abstractive* approaches (Bae et al., 2019; Liu et al., 2017; Nallapati et al., 2016). Some researchers have tried combining extractive and abstractive approaches (Chen and Bansal, 2018; Hsu et al., 2018; Zhang et al., 2019).

Semantic Overlap Summarization: Semantic Overlap Summarization (SOS) is a task aimed at extracting and condensing shared information between two input documents, D_A and D_B. The output, denoted as D_O, is generated in natural language and only includes information present in both input documents. The task is framed as a constrained multi-seq-to-seq (text generation) task,

3P Data Sample	
Category: Data Security	
Policy 1: Amazon (410 Words)	**Policy 2**: Lids (312 Words)
Amazon.com knows that you care how information about you is used and shared, and we appreciate your trust that we will do so carefully and sensibly ... We work to protect the security of your information during transmission by using Secure Sockets Layer (SSL) software, which encrypts information you input. We reveal only the last four digits of your credit card numbers when confirming an order. Of course, we transmit the entire credit card number to the appropriate credit card company during order processing. It is important for you to protect against unauthorized access to your password and to your computer. Be sure to sign off when finished using a shared computer. Click here for more information on how to sign off ...	Any personal information that we collect will be stored in secure servers hosted in the U.S. or Canada ... We work to protect the security of your information during transmission by using Thawte Certified Secure Sockets Layer (SSL) software, which encrypts information you input. We reveal only the last four digits of your credit card numbers when confirming an order. Of course, we transmit the entire credit card number to the appropriate credit card company during order processing. Security lies in your hands as well. It is important for you to protect against unauthorized access to your password and to your computer. Be sure to sign off when finished using a shared computer. In the event of unauthorized use of your credit card, you must notify your credit card provider in accordance with its reporting rules and procedures. ...

Reference Summaries		
A_1	A_2	A_3
We work to protect the security of your information during transmission by using Secure Sockets Layer (SSL) software, which encrypts information you input. We reveal only the last four digits of your credit card numbers when confirming an order. Of course, we transmit the entire credit card number to the appropriate credit card company during order processing. It is important for you to protect against unauthorized access to your password and to your computer. Be sure to sign off when finished using a shared computer.	Companies work to protect the security of your information during transmission by using Secure Sockets Layer (SSL) software, which encrypts information you input. They reveal only the last four digits of your credit card numbers when confirming an order. Of course, They transmit the entire credit card number to the appropriate credit card company during order processing. It is important for you to protect against unauthorized access to your password and to your computer. Hence, be sure to sign off when finished using a shared computer.	Even though the entire credit card number is transmitted, only the last 4 digits of the credit card number is visible during confirmation. SSL is used to save info during transmission. Sign off is recommended.

Table 6: A single sample from the 3P dataset. For each sample, you are given the category name, company names, the corresponding policy subsections, the count of words in each policy, and the 3 reference summaries. The highlighted text shows the overlapping information.

where brevity is emphasized to minimize the repetition of overlapping content. The output can be extractive summaries, abstractive summaries, or a combination of both (Karmaker Santu et al., 2018). This is similar to the sentence intersection task, where your input is comprised of sentences instead of documents and your output contains only the common information (Levy et al., 2016; Thadani and McKeown, 2011).

To facilitate research in this area, Bansal et al. (2022b) introduced the AllSides dataset for training and evaluation, which we also used for evaluation in this work.

LLMs and Summarization: As the transformer architecture gained popularity, further research showed favorable behavior at scale, allowing the creation of larger and more performant models (Kaplan et al., 2020; Sharma and Kaplan, 2022; Tay et al., 2023, 2021; Dehghani et al., 2023). With the rising prevalence of these large language models, summarization naturally became one of the many areas of NLP that have progressed as a result. LLM performance has been evaluated in tasks such as news summarization (Zhang et al., 2024), multi-document summarization (Huang et al., 2024), and dialogue summarization (**??**) but there has also been research into using them as annotators or evaluators (Shen et al., 2023; Liu et al., 2024).

Prompt Engineering for LLMs: "Prompt Engineering" is a technique for maximizing the utility of LLMs in various tasks (Zhou et al., 2022). It involves crafting and revising the query or context to elicit the desired response or behavior from LLMs (Brown et al., 2022). Prompt engineering is an iterative process requiring multiple trial and error runs (Shao et al., 2023). In fact, differences in prompts along several key factors can significantly impact the accuracy and performance of LLMs in complex tasks. To address this issue, Santu and Feng (2023) recently proposed the TELeR taxonomy, which can serve as a unified standard for benchmarking LLMs' performances by exploring a wide variety of prompts in a structured manner.

The TELeR Taxonomy: As shown in Figure 4, the TELeR taxonomy introduced by Santu and Feng (2023) categorizes complex task prompts based on four criteria.

1. **Turn**: This refers to the number of turns or shots

used while prompting an LLM to accomplish a complex task. In general, prompts can be classified as either single or multi-turn.

2. **Expression**: This refers to the style of expression for interacting with the LLM, such as questioning or instructing.
3. **Level of Details**: This dimension of prompt style deals with the granularity or depth of question or instruction. Prompts with higher levels of detail provide more granular instructions.
4. **Role**: LLMs can provide users with the option of specifying the role of the system. The response of LLM can vary due to changes in role definitions in spite of the fact that the prompt content remains unchanged.

The taxonomy outlines 7 distinct levels starting from level 0 to level 6. With each increase in level comes an increase in complexity of the prompt. In level 0, only data/context is provided with no further instruction. Level 1 extends level 0 by providing single-sentence instruction. Then level 2 extends level 1, and so on, until level 6, where all characteristics of previous levels are provided along with the additional instruction for the LLM to explain its output. For more details on the TELeR taxonomy and its applications, see Santu and Feng (2023). For convenience, we include the outline diagram from the paper in Appendix A.4.

A.4 Prompt Design

We prompted LLMs in a zero-shot setting with TELeR since zero-shot approaches to NLP tasks have gained popularity with the growing capabilities of LLMs. For example, works from Sarkar et al. (2023, 2022) explore their zero-shot use cases in topic inference and text classification. The taxonomy is best outlined by Figure 4.

For this study, we used TELeR levels 0 through 4 (5 out of the 7). We chose not to prompt using levels 5 and 6 because their use of retrieval augmented prompting does not necessarily apply to the SOS task. This is due to all relevant context being present, *i.e.*, the two source narratives are already provided as part of the prompt. Furthermore, requirement number 5 for level 6 also specifies asking the LLM to explain its own output, which would negatively affect the generated summaries during evaluation. We also experiment with in-context learning prompts (Brown et al., 2020).

In Section 3.2, we discussed having different prompt variations for TELeR levels 0 through 4 and In-Context Learning prompts. The number of variations for each group is shown in Table 7.

Template Group	For PPP	For AllSides	For Both	Total
Systm Role	2	2	6	10
TELeR L0	0	0	1	1
TELeR L1	3	3	5	11
TELeR L2	3	3	3	9
TELeR L3	3	3	2	8
TELeR L4	3	3	2	8
In-Context Learning	0	0	1	1

Table 7: The number of prompts created for each template group. The "For PPP/AllSides columns indicate how many prompts were created for that dataset only. The "For Both" column is for the prompts that could be applied to both datasets. For exact prompt details, refer to Appendix A.4 for exact prompt contents.

For each group, our templates follow these general patterns:

- **TELeR Level 0**: {**Document 1**} {**Document 2**}
- **TELeR Level 1**:
  Document 1: {**Document 1**}
  Document 2: {**Document 2**}
  Summarize the overlapping information between these two documents
- **TELeR Level 2**:
  {**TELeR Level 1 Prompt Text**}
  This information must keep in mind the 5W1H facets of the documents. Do not include any uncommon information.
- **TELeR Level 3**:
  {**TELeR Level 1 Prompt Text**}
  - This information must keep in mind the 5W1H facets of the documents.
  - Do not include uncommon information.
- **TELeR Level 4**:
  {**Level 3 Prompt Text**}.
  Your response will be evaluated against a set of reference summaries. Your score will depend on how semantically similar your response is to the reference.
- **In-context Learning**:
  Document 1: {**Example Document 1**}
  Document 2: {**Example Document 2**}
  Summary: {**Example Summary**}

  Document 1: {**Document1**}
  Document 2: {**Document2**}
  Summary:

The exact prompts are laid out in the following passage.

System Role Variations Our system role templates are made up of 2 AllSides-specific items, 2 3P specific-items and 6 for general purpose. These are written as follows

- **AllSides**
  - you will be given two news articles to read. then you will be given an instruction. follow these instructions as closely as possible
  - you will read two news articles and answer any questions about them

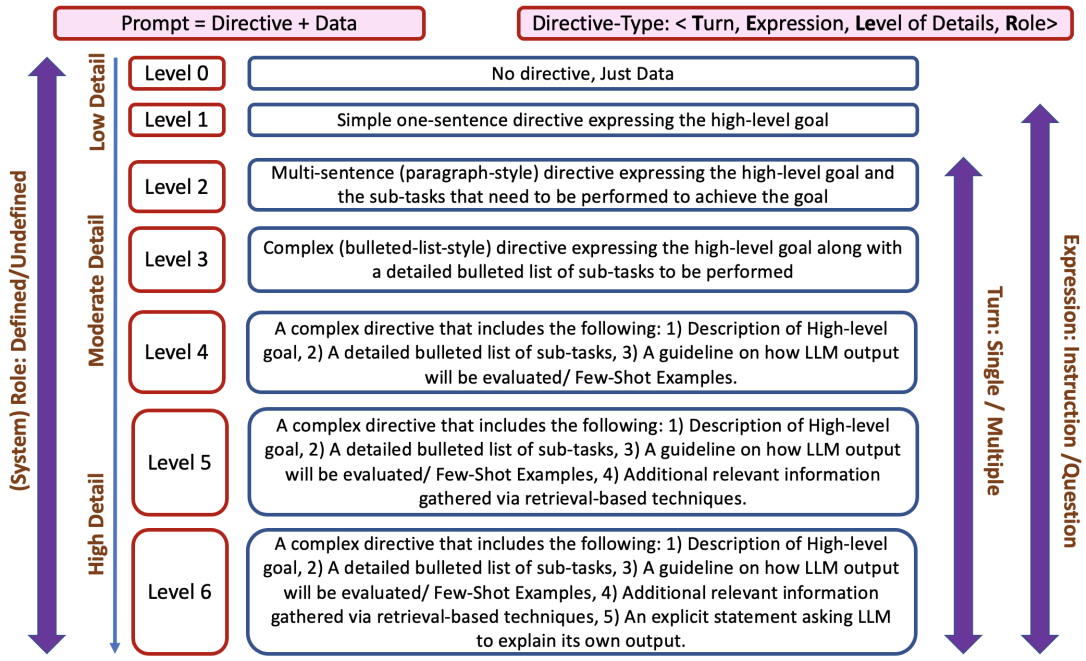

Figure 4: **TELeR** Taxonomy proposed by Santu and Feng (2023): (<**T**urn, **E**xpression, **Le**vel of Details, **R**ole>)

- **3P**
  - you are to read two privacy policies and briefly provide information according to the user's needs
  - you are to read two privacy policies and provide concise answers to the user
- **Both**
  - you are to read several documents and briefly provide information according to the user's needs
  - you are to read several documents and provide concise answers to the user
  - you will read two documents and give brief answers to user questions
  - you are a machine who is given 3 inputs: document 1, document 2, and the instructions. your output will adhere to these 3 inputs.
  - you will be given 2 documents and a set of instructions. follow the instructions as closely as possible.
  - you will be given 2 documents and a set of instructions. your response to these instructions will rely on the material covered in the 2 documents.

In-Context Learning Template: We use the following for our in-context learning template:

- Document 1: {{**Example Document 1**}}
  Document 2: {{**Example Document 2**}}
  Summary: {{**Example Reference**}}

  Document 1: {{**Document 1**}}
  Document 2: {{**Document 2**}}
  Summary:

TELeR Level 0 Template: With no possibility for variation, our TELeR L0 template is written as follows:

- {**Document 1**} {**Document 2**}

TELeR Level 1 Template: For our TELeR L1 templates we have 3 AllSides-only items, 3 3P-only items, and 5 general-purpose items.

- **AllSides**
  - Document 1: {{**Document 1**}}
    Document 2: {{**Document 2**}}

    In one sentence, please tell me the overlapping information between article 1 and article 2
  - Document 1: {{**Document 1**}}
    Document 2: {{**Document 2**}}

    summarize the overlapping information between the articles
  - Document 1: {{**Document 1**}}
    Document 2: {{**Document 2**}}

    output the overlapping information of the events covered in these articles
- **3P**
  - Policy 1: {{**Document 1**}}
    Policy 2: {{**Document 2**}}

    In one sentence, please tell me the overlapping information between policy 1 and policy 2
  - Policy 1: {{**Document 1**}}
    Policy 2: {{**Document 2**}}

    summarize the information that the two policies share
  - Policy 1: {{**Document 1**}}
    Policy 2: {{**Document 2**}}

    what is the shared information between the two policies
- **Both**
  - Document 1: {{**Document 1**}}

Document 2: **{{Document 2}}**

In one sentence, please tell me the overlapping information between Document 1 and Document 2

- Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  summarize the overlapping information between the documents.
- Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  output the overlapping information between the documents.
- Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  output the common information between the documents.
- Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  output only the overlapping information

TELeR Level 2 Variations: For our TELeR L2 templates we have 3 AllSides-only items, 3 3P-only items, and 3 general-purpose items.

- **AllSides**
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    these articles share similarities. output the information that is shared between them. keep your output short. to be as accurate as possible, cover the "who, what, when, where, and why of the shared information.
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    who or what are the common subjects of the two documents? what events are common between the documents? do the documents mention any locations that are the same between the two? give your response in a single sentence.
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    summarize the overlap
- **3P**
  - Policy 1: **{{Document 1}}**
    Policy 2: **{{Document 2}}**

    These policies are categorized under "Category". Describe the common aspects of these two policies in terms of this category. make sure to include the shared entities, actions and scope of the documents. Do not make any mention of information that is not shared between them. Keep your response short
  - Policy 1: **{{Document 1}}**
    Policy 2: **{{Document 2}}**

    These policies are categorized under "Category". Describe the common aspects of these two policies in terms of this category. make sure to include the shared entities, actions and scope of the documents. Do not make any mention of information that is not shared between them. give your response in a single sentence.
  - Policy 1: **{{Document 1}}**
    Policy 2: **{{Document 2}}**

    These privacy policy excerpts are tagged with the category: "Category". summarize the overlapping information between the documents. to be as accurate as possible, cover the who, what, when, where, and why of the common information.
- **Both**
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    summarize the overlapping information between the two documents. explain the who, what, when, where, and why to give full context.
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    summarize the overlapping information between the two documents. explain the who, what, when, where, and why to give full context. the output should be two sentences at most.
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    output the shared information between the documents. do not include any information outside of the shared information. keep your response short.

TELeR Level 3 Variations: For our TELeR L3 templates we have 3 AllSides-only items, 3 3P-only items, and 2 general-purpose items.

- **AllSides**
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    please answer the following:
    - who or what are the common subjects of the two documents
    - what events are common between the documents
    - do the documents mention any locations that are the same between the two
    - keep your response brief. 2 sentences max.
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    Consider the following questions and respond in a single sentence:
    - who or what are the common subjects of the two documents
    - what events are common between the documents
    - do the documents mention any locations that are the same between the two
- **3P**
  - Policy 1: **{{Document 1}}**
    Policy 2: **{{Document 2}}**

    These policies are categorized under "Category". With this in mind, please answer the following:
    - Describe the common aspects of these two policies in terms of this category.

- make sure to include the shared entities, actions and scope of the documents.
- Do not make any mention of information that is not shared between them.
- Do not respond in a list format and instead respond normally.
- Keep your response to 3 sentences at most

  – Policy 1: **{{Document 1}}**
  Policy 2: **{{Document 2}}**

  These policies are labelled under the "Category" category. With this in mind, use a single sentence that answers the following:
  - Describe the common aspects of these two policies in terms of this category.
  - make sure to include the shared entities, actions and scope of the documents.
  - Do not make any mention of information that is not shared between them.
  - Do not respond in a list format and instead respond normally.

  – Policy 1: **{{Document 1}}**
  Policy 2: **{{Document 2}}**

  These policies are labelled under the "Category" category. With this in mind, use a single sentence that answers the following:
  - summarize the information that is shared between the policies
  - cover the who, what, when, where, and why of the common information
  - respond in as few sentences as possible

- **Both**
  – Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  please answer the following:
  - who or what are the common subjects of the two documents
  - what events are common between the documents
  - do the documents mention any locations that are the same between the two
  - keep your response brief. 2 sentences max.

  – Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  Consider the following questions and respond in a single sentence:
  - who or what are the common subjects of the two documents
  - what events are common between the documents
  - do the documents mention any locations that are the same between the two

TELeR Level 4 Variations For our TELeR L4 templates we have 3 AllSides-only items, 3 3P-only items, and 2 general-purpose items.

- **AllSides**
  – Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  your goal is to describe all the common information between the given documents. to accomplish this you will need to answer the following:
  - who or what are the common subjects of the two documents
  - what events are common between the documents
  - do the documents mention any locations that are the same between the two
  - keep your response brief. 2 sentences max.

  For Example:
  Doc1: i have a dog. it's pretty fast.
  Doc2: i have a dog. he is a slow runner
  Reference Summary: i have a dog.

  – Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  your goal is to describe all the common information between the given documents. to accomplish this you will need to answer the following:
  - who or what are the common subjects of the two documents
  - what events are common between the documents
  - do the documents mention any locations that are the same between the two

  your response will be evaluated according to how similar it is to a "reference summary".
  Example:
  Question: what is common between the sentence "the dog is slow" and "the dog is fast"
  Reference Summary: Both sentences talk about the speed of a dog

  – Document 1: **{{Document 1}}**
  Document 2: **{{Document 2}}**

  your goal is to describe all the common information between the given documents in one sentence. your single-sentence response will need to capture the following:
  - the common events
  - common people
  - common locations
  - the overlapping narrative of the documents

  your response will be evaluated according to how similar it is to a "reference summary".
  Example:
  Doc1: the dog is slow
  Doc2: the dog is fast
  Reference Summary: Both sentences talk about the speed of a dog

- **3P**
  – Policy 1: **{{Document 1}}**
  Policy 2: **{{Document 2}}**

  your goal is to describe all the common information between the given privacy policies. to accomplish this you will need to answer according to the following:
  - Describe the common aspects of these two policies in terms of this category.
  - make sure to include the shared entities, actions and scope of the documents.
  - Do not make any mention of information that is not shared between them.
  - Do not respond in a list format and instead respond normally.
  - Keep your response to 3 sentences at most

  your response will be evaluated according to how similar it is to a "reference summary".
  For example, an output of "cat" could be compared to

"light" to get a score of 0 but that same output could be compared to "cat" to receive a score of 100. These reference summaries are usually quite short so it is important to keep your response to 3 sentences or less.

your response will be evaluated according to how similar it is to a "reference summary". Example:
Doc1: the dog is slow
Doc2: the dog is fast
Reference Summary: Both sentences talk about the speed of a dog

- Policy 1: **{{Document 1}}**
  Policy 2: **{{Document 2}}**

  your goal is to describe all the common information between the given documents in one sentence. your single-sentence response will need to include the following:
  - common aspects related to the given category
  - common entities
  - common applications

  your response will be evaluated according to how similar it is to a "reference summary".

  Example Documents:
  Doc1: the dog is slow
  Doc2: the dog is fast

  Example Response:
  Both sentences talk about the speed of a dog

- Policy 1: **{{Document 1}}**
  Policy 2: **{{Document 2}}**

  your goal is to describe all the common information between the given documents in one sentence. your single-sentence response will need to include the following:
  - common aspects related to the given category
  - common entities
  - common applications

  your response will be evaluated according to how similar it is to a "reference summary".

  Example Documents:
  Doc1: the dog is slow
  Doc2: the dog is fast

  Example Response:
  Both sentences talk about the speed of a dog

- **Both**
  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    Write a summary of the given documents that follows these instructions:
    - who or what are the common subjects of the two documents
    - what events are common between the documents
    - do the documents mention any locations that are the same between the two
    - keep your response brief. 2 sentences max.

    your response will be evaluated according to how similar it is to a "reference summary".
    For Example:
    Doc1: i have a dog. it's pretty fast.
    Doc2: i have a dog. he is a slow runner
    Reference Summary: i have a dog.

  - Document 1: **{{Document 1}}**
    Document 2: **{{Document 2}}**

    Summarize the overlapping information between these documents. your summary should follow these instructions:
    - exclude any information that is similar but differing or contradictory
    - write the summary as if you were summarizing a single document.
    - your summary should be short. keep it within 2 sentences.

    your response will be evaluated according to how similar it is to a "reference summary".
    For Example:
    Doc1: i have a dog. it's pretty fast.
    Doc2: i have a dog. he is a slow runner
    Reference Summary: i have a dog.

A.5 Annotation Details

3P Dataset Annotations When constructing the 3P dataset, annotators were instructed as follows:

> 1) You are given a list of document pairs. For each document pair, read and understand the overlapping information between doc1 and doc2.
>
> 2) Write a summary that only includes the overlapping information you have identified.
>
> What is overlapping information? Any information, statement, or fact that is shared between two or more documents example: 'John doe is on a trip to Las Vegas' and 'John Doe went to see the fight in Vegas' shares the information 'John Doe is in Las Vegas'
>
> What DOES NOT qualify as overlapping information: shared mentioning of names example: 'John Doe is a pilot ' and 'John Doe has never been to Canada' does not have any overlapping information

Model Summary Annotations As covered in Section 3.3, we chose our human evaluation samples by 1) evaluating a subset of data that correspond to 15 samples (7 from AllSides and 8 from 3P) out of the 272 test set samples between AllSides and 3P), 2) evaluating only the largest/newest models from each model family, and 3) evaluating only the summaries that correspond to the best performing prompts within each TELeR level. To clarify

point 3, each TELeR level has a set of templates, as shown in Table 7. TELeR L1, for example, has 8 prompt and 8 system role templates that can be used to prompt the models on the AllSides dataset. All possible combinations for TELeR L1 prompt and system role templates give us 64 unique prompts to be applied to the entire dataset. After collecting responses and evaluating the average performance for each of the 64 unique prompts, the samples associated with the prompt that yielded the best performance over the AllSides dataset were chosen for human annotation.

When evaluating the summaries generated by the LLMs, annotators were instructed as follows:

> 1) You are given a list of document pairs. For each document pair, read and understand the overlapping information between doc1 and doc2.
>
> 3) Read each of the corresponding 'response' entries and assign a score between 0 and 5 (decimal values indluded) based on how well you think it covers the overlapping information * decimal values such as 1.23 are acceptable scores.
>
> What is overlapping information? Any information, statement, or fact that is shared between two or more documents example: 'John doe is on a trip to Las Vegas' and 'John Doe went to see the fight in Vegas' shares the information 'John Doe is in Las Vegas'
>
> What DOES NOT qualify as overlapping information: shared mentioning of names example: 'John Doe is a pilot ' and 'John Doe has never been to Canada' does not have any overlapping information

A.6 Additional Results

Human Preference on Model and Template: While Table 8 shows that the automatic evaluations tend to have a preference towards TELeR L1 prompts, Table 3 shows that human annotators actually tend to prefer TELeR L2 prompts instead. However, this preference is only 0.04 points ahead of the next best. The table also indicates the annotators' preference towards `gpt-3.5-turbo` for the commercial LLMs. Then, for the open-source LLMs, `mpt-30b-chat` was the most preferred, with an average annotator score of 3.39. However, it is important to note that `Phi-3-mini-128k-instruct` and `Mistral-7B-Instruct-v0.2` match and beat `gemini-pro`, respectively, according to humans.

Dataset	Tmplt.	BERTsc	R-1	R-2	R-L Sum	R-L	S-F1 (Dist)	S-F1 (RoB)	S-F1 (USE)
	L0	0.173	0.279	0.135	0.212	0.192	0.476	0.494	0.482
AllSides	L1	0.281	0.356	**0.188**	**0.276**	0.258	**0.524**	**0.538**	**0.535**
	L2	0.240	0.339	0.170	0.257	0.243	0.516	0.535	0.532
	L3	**0.297**	**0.358**	0.175	0.273	**0.263**	0.499	0.526	0.526
	L4	0.244	0.335	0.162	0.259	0.250	0.457	0.481	0.476
	ICL	0.179	0.286	0.129	0.214	0.202	0.423	0.450	0.447
Privacy Policy Pairs (3P)	L0	-0.004	0.134	0.042	0.109	0.096	0.411	0.468	0.304
	L1	**0.116**	**0.199**	**0.062**	**0.157**	0.147	0.440	0.481	0.317
	L2	0.085	0.188	0.053	0.145	0.136	**0.441**	**0.489**	**0.322**
	L3	0.112	**0.199**	0.048	0.151	0.145	0.413	0.455	0.290
	L4	0.104	**0.199**	0.049	0.152	**0.148**	0.393	0.433	0.270
	ICL	0.059	0.155	0.042	0.120	0.112	0.389	0.433	0.280

Table 8: Average scores per metric broken down by level and dataset. TELeR Levels are denoted by "Lx" and In-Context Learning is denoted by "ICL". The highest of each metric and dataset are in bold.