Roadblocks for Temporarily Disabling Shortcuts and Learning New Knowledge

Abstract

Deep learning models have been found with a tendency of relying on shortcuts, i.e., decision rules that perform well on standard benchmarks but fail when transferred to more challenging testing conditions. Such reliance may hinder deep learning models from learning other task-related features and seriously affect their performance and robustness. Although recent studies have shown some characteristics of shortcuts, there are few investigations on how to help the deep learning models to solve shortcut problems. This paper proposes a framework to address this issue by setting up roadblocks on shortcuts. Specifically, it can automatically make modifications based on the original task. Roadblocks are placed during the modification process to ensure that the learned knowledge, including shortcuts, is insufficient to complete the modified task. Therefore, the model trained on the modified task will no longer over-rely on shortcuts. Extensive experiments demonstrate that the proposed framework significantly improves the training of networks on both synthetic and real-world datasets in terms of classification accuracy and feature diversity. Moreover, visualization results show that the mechanism by which our method works is consistent with our expectations. In summary, our approach can effectively disable the shortcuts and thus learn more robust features.

1 Introduction

Deep learning has triggered the current rise of artificial intelligence and is the workhorse of today's machine intelligence [12]. One of the great advantages of deep learning is the ability to automatically extract the required features according to the task. And the massive amount of data that drives the model is the key to the success of deep learning. Regrettably, deep learning methods can hardly take full advantage of the training data, and an important reason is over-reliance on simple features. Deep learning methods have recently been found to have a tendency to learn simple features only [4]. The learned simple features may be sufficient for the accessible data (training data), and the model will have difficulty learning other complex but effective features.

Insufficient learning of effective features may hurt accuracy and generalization performance. Worse yet, when the sample distribution changes and the learned simple features are no longer valid, deep learning models may collapse since they did not learn other features. Therefore, suppressing the reliance on simple features is indispensable for designing models.

Shortcuts in deep learning are described as the decision rules that perform well on standard benchmarks but fail to be transferred to more challenging testing scenarios according to [4]. We make a more specific explanation for easier evaluation. For a task, there are a number of features that should be considered. Since the training set is limited, perhaps only a subset of features is sufficient to make a decision. Decision rules that rely on an easy-to-learn subset of features are called shortcuts. The

concept of *shortcut* has two key points, (1) only use a subset of effective features but perform well on training set; (2) easy to learn and affect the learning of other complex but also effective features.

Figure 1 provides an intuitive illustration of what shortcuts are and why shortcuts affect the generalization of deep learning models. Each sample in Figure 1 has two features (color, shape). The training set only has red circles and green triangles, while the testing set contains the other two combinations. For the training set, decision rules are valid whether they are based on color or shape, but both of them may fail on the testing set because the training set does not articulate the intent. A task may require the selection of a particular decision rule or a sum-up. But either way, the diversity of decision rules is a foundation.

(a) Functionally similar decision rules.   (b) Functionally diverse decision rules.

Figure 1: Shortcuts and exhaustive decision rules.

Some studies expand the difference between decision rules at the feature level [9]. However, differentiated decision rules may still be functionally similar, see Figure 1a. To obtain the functionally diverse decision rules shown in Figure 1b, we propose to temporarily disable shortcuts.

It is important to note that shortcuts are disabled temporarily, not forever. We believe that simplicity does not mean bad, but more decision rules might help. Our approach is simply providing more options. The integration of decision rules should depend on the task scenarios, and we also provide some suggestions.

To address the issue of deep learning methods not learning anything other than shortcuts, we propose Roadblocks to temporarily disable shortcuts and force the model to learn new knowledge. The ability of Roadblocks that disables the shortcuts is achieved by reconstructing datasets under the guidance of trained models. A reconstructed sample should retain most of the attributes of the original sample while disabling the learned attributes. Thus, the model trained with reconstructed samples will naturally reduce the reliance on shortcuts and learn new knowledge.

Enlarging the distance directly [9] at the feature level often only learns the decision rules shown in Figure 1a, but it is difficult to learn functional differences. We believe the reason is the redundancy and weak interpretability of deep learning models. There is currently no metric that can measure the functional differences of the models well. Most previous researches on shortcuts focus on what features are more likely to be shortcuts, and the corresponding solutions mostly rely on the prior knowledge of shortcuts [5, 7, 17]. Priori-based methods require accurate prior knowledge and targeted design, which is difficult to accomplish in most scenarios. Unlike them, Roadblocks do not use any prior knowledge about shortcuts and can deal with different forms of shortcuts.

In summary, the contributions of this work are mainly three-fold:

- We propose a new framework to help models learn more diverse knowledge. Compared with methods based on distance at the feature level, our method can learn brand new knowledge and obtain functionally diverse decision rules.

- We propose a new strategy for disabling shortcuts, which requires no priors and is suitable for a wider range of application scenarios.

- Our method can effectively improve the generalization ability of the model and can reach state-of-the-arts in the debiasing task.

Figure 2: Framework of Roadblocks. The basic framework of Roadblocks consists of three sub-models, autoencoder (AE) in green, blocked model (BM) in red and explorer model (EM) in blue. The purple model represents the sum-up of BM and EM.

2 Method

This section will introduce our strategy to address the shortcut problem, which is temporarily disabling shortcuts and learning more diverse knowledge. First, we specify some definitions and the evaluation system. Then, we introduce our Roadblocks framework. Finally, the working process of the framework will be introduced.

2.1 Shortcut Suppression Task

Shortcuts are described as decision rules that perform well on independently-identical-distribution (i.i.d.) testing sets but fail to generalize to out-of-distribution (o.o.d.) testing sets [4]. We refer to this idea and describe the shortcut more precisely, **shortcuts are decision rules that rely on an easy-to-learn subset of features.**

For classification tasks, suppose a distribution $\mathcal{D}$ whose feature space can be represented by $\mathcal{F}$. Supposing that a training set D_{train} is sampled from $\mathcal{D}$, and there exist a decision rule that based on $\mathcal{F}_{train}$ that can perform well on D_{train}. $\mathcal{F}_{train}$ is a subspace of $\mathcal{F}$. Such a decision rule has the potential risk of failing in other datasets sampled from $\mathcal{D}$ because it ignores other features in $\mathcal{F}$.

For ease of measurement, we refer to the setting of the debiasing task. The feature space contains two main features, $\mathcal{F} = [F_T, F_B]$. In the training data, the target feature F_T corresponds to the ground truth perfectly; while biased feature F_B corresponds to the ground truth with a large probability. Nonetheless, there is still a possibility that $\mathcal{F}_{train}$ is closer to F_B because F_B is simpler, which means that the model may not have learned F_T as we expected. To verify the learning of F_T in the testing data, F_T still corresponds to the ground truth perfectly, and F_B is no longer helpful.

2.2 Framework of Roadblocks

Assuming that existing models use shortcuts, a strategy to find more reasonable decision rules is to use the idea of Roadblocks that forces the new model not to use the same decision rule as any previous model.

Figure 2 illustrates the framework of our approach. The overall model is composed of three parts. Blocked models are trained models that perform well on the i.i.d. test dataset. The autoencoder is used to modify the original input, thereby limiting the performance of blocked models. Once the blocked models perform poorly on the modified input, the explorer models trained with the modified input will find new ways to achieve competitive performance. None of the three sub-models need a specific structure, as long as they have sufficient representation capabilities.

Let AE, BM, EM denote autoencoder, blocked model, explorer model, respectively. BM is a pre-trained model with good performance, which is fixed during the training process. AE receives the raw input I_{raw} and modifies it into blocked input I_{rec}. The mean square error is used to measure the

similarity between them,

$$Loss_{rec} = MSE(I_{raw}, I_{rec}).$$ (1)

The reconstructed input needs to meet two requirements, (1) it is difficult to distinguish with the existing decision rules, and (2) it can be correctly distinguished.

I_{rec} will first be used as the input of BM. The MSE of the prediction $BM(I_{rec})$ and the pseudo label $label_{ep}$ will be used as the roadblock loss,

$$Loss_{rb} = MSE(BM(I_{rec}), label_{ep}).$$ (2)

The pseudo label $label_{ep}$ is set to consider all possible categories with equal probability, which is $label_{ep} = [\frac{1}{n}, \frac{1}{n}, ..., \frac{1}{n}])$, where n is the number of categories. In this way, the purpose of AE is to generate I_{rec} to confuse BM.

I_{rec} will also be used as the input of EM. In order for the EM to achieve better classification performance, the accuracy loss between the prediction $EM(I_{rec})$ and the ground-truth label is calculated for training,

$$Loss_{ac} = MSE(EM(I_{rec}), label_{gt}).$$ (3)

2.3 Working Process

Core training process BM is a pre-trained model, and it does not participate in the training process of the proposed framework. Both AE and EM need to be trained. We alternately train them for a more stable training process. A typical training cycle consists of two parts, (1) AE is trained with roadblock loss and reconstruction loss; (2) EM is trained under the guidance of accuracy loss,

$$\theta_{AE} = \arg\min_{\theta_{AE}}(\lambda_{rb}Loss_{rb} + \lambda_{rec}Loss_{rec}),$$ (4)

$$\theta_{EM} = \arg\min_{\theta_{EM}}(\lambda_{ac}Loss_{ac}).$$ (5)

Here, θ_{AE} and θ_{EM} denote the parameters of AE and EM respectively, and $\boldsymbol{\lambda} = [\lambda_{rb}, \lambda_{rec}, \lambda_{ac}]$ are the corresponding loss weights.

Multi-model BM can be any model that performs well on training set. In addition to vanilla models, a EM generated by Roadblocks method can also be used as BM to train a new EM. BM can also be a set of models $BM = [BM_1, BM_2, ..., BM_n]$. Correspondingly, the roadblock loss needs to be adjusted to

$$Loss_{rb} = \frac{1}{n}\sum_i MSE(BM_i(I_{rec}), label_{ep}).$$ (6)

We suggest adding the well-trained EM to the set of BM and then training the new EM. When the new EM fails to perform well on the training set, it means that it is difficult to learn more effective features, at which point the loop terminates. The experiments suggest that in most cases, two models are sufficient. Therefore, to reduce the computational cost, we usually use two models.

Sum-up The proposed framework contains multiple sub-models that learn different effective features respectively. We do not recommend discarding any features directly, as the ease of learning and the effectiveness of features are not necessarily related. We recommend designing according to specific scenarios. Without priors on the test scene, we recommend using the feature fusion strategy because it is more likely to have good generalization. Another idea is to vote, and o.o.d. samples can be detected if there is a significant difference in the votes of the submodels. For some tasks with priors, the appropriate model can be directly selected. For example, for debiasing tasks, a reasonable idea is to choose the model that performs better on the training set because the biased features are less matched with the ground truth.

3 Experiments

To verify whether functionally different decision rules are learned, we choose datasets with multiple attribute labels for experiments. The experimental setup refers to the design of the debiasing task [14]. Each individual attribute in the training set corresponds well to the ground truth, while only one attribute matches the ground truth one-to-one in the testing set. Taking Figure 1 as an example,

Table 1: Image classification accuracy evaluated on CMNIST datasets. The ratio represents the proportion of minority samples, and the smaller the ratio, the more difficult it is to debias. The best results are highlighted in bold, while the second-best results are denoted with underlines. A check mark (✓) indicates that no priors about the feature are used, while a cross mark (×) is the opposite. The results of other methods are from [14].

Dataset	Ratio (%)	Vanilla [6] ✓	EnD [27] ×	ReBias [1] ×	LFF [21] ✓	LDD [14] ✓	Ours ✓
CMNIST	0.5	$35.19_{\pm 3.49}$	$34.28_{\pm 1.20}$	$\mathbf{70.47}_{\pm 1.84}$	$52.50_{\pm 2.43}$	$65.22_{\pm 4.41}$	$\underline{66.64}_{\pm 2.15}$
	1.0	$52.09_{\pm 2.88}$	$49.50_{\pm 2.51}$	$\mathbf{87.40}_{\pm 0.78}$	$61.89_{\pm 4.97}$	$81.73_{\pm 2.34}$	$\underline{82.04}_{\pm 1.61}$
	2.0	$65.86_{\pm 3.59}$	$68.45_{\pm 2.16}$	$\mathbf{92.91}_{\pm 0.15}$	$71.03_{\pm 2.44}$	$84.79_{\pm 0.95}$	$\underline{84.93}_{\pm 1.34}$
	5.0	$82.17_{\pm 0.74}$	$81.15_{\pm 1.43}$	$\mathbf{96.96}_{\pm 0.04}$	$80.57_{\pm 3.84}$	$\underline{89.66}_{\pm 1.09}$	$88.65_{\pm 0.93}$

Table 2: Debiasing performance on CelebA. 'Unbiased' means that all combinations appear at the same frequency, and 'Bias-conflicting' means that only the minority of combinations appear. The results of Vanilla, EnD and LFF on CelebA are from [27].

Dataset	Testing set	Vanilla [6] ✓	EnD [27] ×	ReBias [1] ×	LFF [21] ✓	LDD [14] ✓	Ours ✓
CelebA	Unbiased	$62.00_{\pm 0.02}$	$\underline{75.93}_{\pm 1.31}$	$69.33_{\pm 1.72}$	$66.20_{\pm 1.21}$	$64.82_{\pm 1.36}$	$\mathbf{79.73}_{\pm 1.15}$
	Bias-conflicting	$33.75_{\pm 0.28}$	$\underline{53.70}_{\pm 5.24}$	$44.20_{\pm 7.09}$	$45.48_{\pm 4.33}$	$47.63_{\pm 4.58}$	$\mathbf{64.06}_{\pm 0.78}$
BAR	Bias-conflicting	$49.91_{\pm 0.53}$	$52.16_{\pm 0.18}$	$53.25_{\pm 0.63}$	$58.81_{\pm 2.64}$	$\underline{63.5}_{\pm 1.47}$	$\mathbf{69.51}_{\pm 2.43}$

the training set is mainly red circles and green triangles, and the four combinations have the same proportion in the testing set. We use the synthetic dataset CMNIST [14], which adds a second attribute by coloring MNIST [13]. We also use real-world datasets CelebA [19], and BAR [21] that were validated to have shortcuts to test the practicality of our method. Details of datasets and implementation are described in the appendix. Extensive experiments have been conducted to demonstrate the following effects of Roadblocks: 1) greatly enhance the ability to explore new knowledge, 2) significantly improve the generalization of deep learning models.

3.1 Exploration of Knowledge

To verify whether Roadblocks can reduce models' reliance on shortcuts, we compared the performance of the conventionally trained CNNs (Vanilla) and some representative debiasing methods and our Roadblocks method. We first test on a controlled datasets CMNIST to facilitate analysis. The experimental results are shown in Table 1.

For CMNIST, each sample has two key features, color, and digit. Although the colors are slightly less matched with ground truth, deep learning models tend to learn colors. Our method can help deep learning models learn more decision rules that utilize digit features.

Models also often rely on shortcuts to complete their tasks in real-world scenarios. We conducted experiments on the face attribute dataset CelebA [19] and the action recognition dataset BAR [21]. For real-world datasets, we keep its native ratio to maintain the authenticity during the training process. And then we test whether the model learned more knowledge through testing sets of different distributions. For CelebA, following the experimental setup of LFF [21], we trained models to classify 'HeavyMakeup'. Then we select the same number of samples from all possible combinations of [Gender, HeavyMakeup] to form the unbiased testing set and minority combinations to form the bias-conflicting testing set. BAR contains six actions, and the backgrounds of the training and testing sets are significantly different. The testing set has only bias-conflict samples. The results are shown in Table 2. It can be seen that our method has obvious performance improvement compared to the state-of-the-art methods. In particular, for the bias-conflict dataset which is more difficult, our method achieves more than 10% improvement.

| (a) CMNIST | (b) CelebA and BAR |

Figure 3: Visualization of reconstructed images. For CMNIST (a), the top row shows some of the original samples, and the next rows show the modified samples generated during the training process. For CelebA and BAR (b), left, middle and right are original images, reconstructed images, and their differences. Results were picked at random, more results can be seen in the appendix.

.

3.2 Disabling shortcuts

The above experiments verified the effectiveness of our Roadblocks framework in learning functionally diverse decision rules. Next, it is necessary to confirm whether the effective mechanism of the proposed method is consistent with the original intention of the design. Specifically, it is necessary to verify that some information in the reconstructed image is indeed corrupted so that the existing decision rules cannot make correct predictions. Thus, we visualized reconstructed images, and the result is shown in Figure 3. It can be observed that for CMNIST, our method can implement the disabling of the shortcut (color) using different strategies, such as using mixed colors or generating new colors. Both of these modifications make it difficult for the model to use color for classification. Meanwhile, the shape of the numbers has not changed. Therefore, it is still feasible to use these samples to learn numbers, which is also well illustrated in Table 1. For real-world datasets, similar modification methods are challenging to achieve, and our approach mainly implements the destruction by adding noise. For example for CelebA, AE tries to blur the gender attribute by some blurring (mainly focused on the face contour). For BAR, the blurring of AE mainly revolves around the environment. For the convenience of observation, we show the difference map of the original image and the reconstructed image, which is five times the absolute value of the difference of each pixel value between the two.

We also used t-SNE [28] to visualize the features extracted by the vanilla model and our approach, and the result is shown in Figure 4. Each colored number represents a sample. It is evident that the vanilla model does an excellent job of partitioning the dataset according to color. However, a closer look reveals that samples of the same color, regardless of the digit, are in the same cluster. In contrast, the model trained by our approach can distinguish digits and have certain invariance to color. Distinguishing digits is more complex than color, so getting sharp boundaries is more complicated.

To visually demonstrate the model's ability to explore new knowledge, we use Grad-CAM [24] to visualize the focus of models on the BAR dataset, and saliency maps are shown in Figure 5. In this experiment, we use ResNet-18 as the backbone, and the last fully connected layer is modified to a linear layer (512, 10) to match the number of categories. And the last convolutional layer is used as the target layer of Grad-CAM. For CMNIST, it is difficult to tell the difference from the saliency map because the colors and shapes correspond to the same regions. This is also the reason why we do not disable shortcuts using saliency map based methods. For CelebA and BAR, it can be seen that the attention regions of our approach are significantly different from that of the vanilla model. For correctly classified samples, our approach can find more effective information. Grad-CAM also provides some explanation for samples that vanilla misclassifies, but our method classifies correctly. For example, for samples of mountain climbing, the EM of our approach focuses on the climber, and the vanilla model focuses on the mountain. Both of these features are important, and our approach has better generalization ability because it utilizes more effective features. The samples of CMNIST

(a) Vanilla   (b) Ours (EM)   (c) Zoom-in

Figure 4: The t-SNE results on CMNIST.

Figure 5: Saliency maps. The top and bottom rows show the Grad-CAM results of vanilla model and EM of our approach respectively. Warm colors indicate a high level of attention. Green borders indicate correct classification and red borders indicate incorrect classification.

and CelebA were randomly selected, and we selected more representative samples for BAR. There are more examples of samples in the appendix to facilitate more analysis and discussion, while also ensuring generality.

Grad-CAM is an excellent interpretability method, but it can only show differences in models at a spatial scale. Therefore, for datasets such as CMNIST, where different features overlap a lot in spatial scales, saliency maps cannot well reflect the differences between models.

The reconstruction results and saliency maps visually demonstrate that our approach does learn more effective features following our design intent. These methods still have some limitations. To verify the ability of our method to improve model diversity as fully as possible, we further quantify the model diversity.

3.3 Model Diversity

Our core purpose is to learn more knowledge (i.e., effective features). Therefore, it is necessary to choose appropriate metrics to measure the similarity between models. We applied SVCCA [22] to measure the similarity of the models. SVCCA is a tool for comparing two representations in a way that is invariant to affine transform. It receives the feature maps of middle layers and calculates the similarity between each pair of feature maps. Figure 6 shows some SVCCA results between the models. Figure 6a and Figure 6b are calculated on CMNIST (5pct), Figure 6c and Figure 6d are calculated on BAR. CelebA is too large to be calculated, so we did not perform corresponding experiments.

| (a) CMNIST, V-V | (b) CMNIST, V-O | (c) BAR, V-V | (d) BAR, V-O |

Figure 6: The SVCCA similarities. Bright tones indicate higher similarity

For the CMNIST dataset, the diagonal of the SVCCA matrix of the vanilla model is bright, indicating a high similarity between the same layers. While the similarity between our model and the vanilla model is slight, there are only a few brighter regions between several lower layers. The comparison of the two shows that the model trained by our method is significantly different from the vanilla model at the feature level. Therefore, our method helps to improve the diversity of models. It can also be observed that some adjacent layers also have high similarities. The BAR dataset is more complex than the CMNIST dataset. Therefore, the similarity between adjacent layers in the vanilla model is lower. Some layers of our model and the corresponding layers vanilla model are similar, which we think may correspond to some complex semantic features.

3.4 Sensitivity to Hyperparameters

Our method requires training an autoencoder and a classifier model, involving three loss functions. In this section, we discuss the sensitivity to hyperparameters of our approach. We test the performances on debiasing tasks under different loss weights ($\boldsymbol{\lambda}$). We set λ_{ac} as 1, and tested different λ_{rb} and λ_{rec}. The experimental results are shown in the appendix. It can be seen that our method achieves competitive performance for an extensive range of $\boldsymbol{\lambda}$. To make the comparison meaningful, here we show the result of EM, regardless of how it performs during the training phase. When the weight of the roadblock loss is too large, and the weight of the reconstruction loss is too small, the information required for classification is lacking in the modified input, resulting in poor classification accuracy. It is not difficult to understand that our approach is not very sensitive to the change of hyperparameters. Only one more autoencoder AE needs to be trained, and the objectives of AE and EM are different but not conflicting. Thus, simple alternating training can bring both AE and EM to convergence.

4 Related Work and Discussion

4.1 Related Work

Shortcut learning. Geirhos et al. [4] provided a comprehensive summary of shortcuts and defined them as decision rules that perform poorly on the o.o.d. testing set. A considerable amount of research has been devoted to analysing the formation of shortcuts, providing many valuable conclusions [25, 20]. Deep learning models is found to have the tendency to use textures rather than shapes to make predictions [5], which has inspired a new round of thinking among researchers on the mechanism of deep learning. Katherine and Andrew [8] explored the conditions under which features are more likely to be shortcuts, which is very helpful to deepen our understanding of shortcuts. In addition, various data augmentation methods are used to drive models to learn features beyond known shortcuts. Some researches [5, 7, 17] assumed that the texture feature is the only shortcut, and the designed data augmentation techniques effectively reduce the model's reliance on texture. There are also ways to exclude shortcuts by introducing a prior on the difficulty of the target task [3]. However, research on suppressing shortcut without relying on prior knowledge is still basically an unknown area to explore.

Fairness and debiasing. The debiasing task can be understood as solving the problem that the model has learned a certain bias attribute in the data set, but failed to learn the relatively difficult target attribute. Some researchers have explored the preferences of deep learning methods themselves and

dealt with them accordingly, such as the tendency to use textures rather than shapes [5] and the tendency to learn low-frequency features in images [2]. Besides, several existing approaches aimed at mitigating the bias also assume certain bias types [11, 29, 16, 27, 23]. Instead of defining specific types of bias, some approaches [21, 14, 18, 15] rely on the straightforward assumption that simple features are biased features. A typical example is *Learn from Failure*. The main idea is twofold; (a) intentionally train the first network to be biased by repeatedly amplifying its "prejudice", and (b) debias the training of the second network by focusing on samples that go against the prejudice of the biased network in (a). Unlike them, our approach does not use biased priors, but solves the debiasing problem by learning more knowledge. Compared with other debiasing methods, it has a wider range of application scenarios.

Adversarial training The proposed roadblocks share some similarities with adversarial training. Adversarial examples obtained by adding small designed perturbations can make the model go wrong [26]. Adversarial training [10] can effectively improve the adversarial robustness of the model. Our approach and adversarial training clearly differ in purpose, which also introduces design differences. Adversarial examples only require the model to predict incorrectly, whereas our method requires the model to be completely confused. Therefore, by design, the false labels we use are all categories, including the true category, with equal probability. We also conduct experiments using adversarial training, and the results are shown in the appendix.

4.2 Discussion

Functionally identical features may have different representations. Increasing the diversity of features, in other words, learning more knowledge, is a natural idea, but its implementation is not as simple. An intuitive way to learn different features is to expand the distance between the two models at the feature level. We refer to the framework of negative correlation learning and expand the distance between models at the feature level as much as possible on the premise of ensuring consistent prediction results. Experimental results (see appendix) show that it is difficult to learn decision rules other than shortcuts through such a learning paradigm. We believe that the reason is that the excellent representational ability of the deep learning model allows it to represent functionally identical features in different ways. Therefore, a simpler and more effective approach is our Roadblocks, which forces the model to learn new features by temporarily disabling the already learned features by modifying the input. Rather than some distance between features, we remind that functional differences between features may be more important.

From comprehensiveness to exactness. Our method is designed for general image classification tasks. The priors about the features and the distribution difference between training and testing sets are unknown. On the one hand, comprehensiveness (i.e., maximizing the use of effective features in the accessible data) is a reasonable goal since such models are the most robust against complex test scenarios. For comprehensiveness, our approach allows the model to temporarily disable shortcuts and learn new effective features as much as possible. Its effectiveness and benefits have been described above. On the other hand, exactness (i.e., only using some specific features) is a more realistic goal for many tasks. Our approach also provides a new implementation for feature screening. By first training a model to learn features that are not required for the task and then restricting the use of such features, it will be easier for the model to follow the designer's intent. Achieving the requirement for exactness at the lowest cost will be a key point for the designer.

5 Conclusion

In this work, we develop a novel framework to prevent deep learning models from merely using shortcuts (simple decision rules) while ignoring other effective features. Experimental results on multi-attribute datasets in complex testing scenarios demonstrate that our Roadblocks have a strong capacity of reducing reliance on shortcuts and learning new knowledge, which is very difficult for conventional deep learning methods and debiasing models. Extensive experiments also show that Roadblocks achieve excellent performance in terms of extracting new knowledge and improving model diversity. We expect our Roadblocks may help shed light on the nature of shortcut learning in neural networks, and provide a feasible paradigm for training models without depending on shortcuts.

References

[1] Hyojin Bahng, Sanghyuk Chun, Sangdoo Yun, Jaegul Choo, and Seong Joon Oh. Learning de-biased representations with biased representations. In Hal Daumé III and Aarti Singh, editors, *Proceedings of the 37th International Conference on Machine Learning*, volume 119 of *Proceedings of Machine Learning Research*, pages 528–539. PMLR, 13–18 Jul 2020.

[2] Guangyao Chen, Peixi Peng, Li Ma, Jia Li, Lin Du, and Yonghong Tian. Amplitude-phase recombination: Rethinking robustness of convolutional neural networks in frequency domain. In *IEEE/CVF International Conference on Computer Vision*, pages 448–457, 2021.

[3] Nikolay Dagaev, Brett D Roads, Xiaoliang Luo, Daniel N Barry, Kaustubh R Patil, and Bradley C Love. A too-good-to-be-true prior to reduce shortcut reliance. *arXiv preprint arXiv:2102.06406*, 2021.

[4] Robert Geirhos, Jörn-Henrik Jacobsen, Claudio Michaelis, Richard Zemel, Wieland Brendel, Matthias Bethge, and Felix A. Wichmann. Shortcut learning in deep neural networks. *Nature Machine Intelligence*, 2(11):665–673, 2020.

[5] Robert Geirhos, Patricia Rubisch, Claudio Michaelis, Matthias Bethge, Felix A. Wichmann, and Wieland Brendel. Imagenet-trained CNNs are biased towards texture; increasing shape bias improves accuracy and robustness. In *International Conference on Learning Representations*, 2019.

[6] Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. Deep residual learning for image recognition. In *IEEE Conference on Computer Vision and Pattern Recognition*, pages 770–778, 2016.

[7] Katherine Hermann, Ting Chen, and Simon Kornblith. The origins and prevalence of texture bias in convolutional neural networks. In H. Larochelle, M. Ranzato, R. Hadsell, M. F. Balcan, and H. Lin, editors, *Advances in Neural Information Processing Systems*, volume 33, pages 19000–19015. Curran Associates, Inc., 2020.

[8] Katherine Hermann and Andrew Lampinen. What shapes feature representations? exploring datasets, architectures, and training. In H. Larochelle, M. Ranzato, R. Hadsell, M. F. Balcan, and H. Lin, editors, *Advances in Neural Information Processing Systems*, volume 33, pages 9995–10006. Curran Associates, Inc., 2020.

[9] Zhen Huang, Xu Shen, Jun Xing, Tongliang Liu, Xinmei Tian, Houqiang Li, Bing Deng, Jianqiang Huang, and Xian-Sheng Hua. Revisiting knowledge distillation: An inheritance and exploration framework. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 3579–3588, June 2021.

[10] Andrew Ilyas, Shibani Santurkar, Dimitris Tsipras, Logan Engstrom, Brandon Tran, and Aleksander Madry. Adversarial examples are not bugs, they are features. *Advances in neural information processing systems*, 32, 2019.

[11] Byungju Kim, Hyunwoo Kim, Kyungsu Kim, Sungjin Kim, and Junmo Kim. Learning not to learn: Training deep neural networks with biased data. In *IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 9004–9012, 2019.

[12] Yann LeCun, Yoshua Bengio, and Geoffrey Hinton. Deep learning. *Nature*, 521(7553):436–444, May 2015.

[13] Y. Lecun, L. Bottou, Y. Bengio, and P. Haffner. Gradient-based learning applied to document recognition. *Proceedings of the IEEE*, 86(11):2278–2324, 1998.

[14] Jungsoo Lee, Eungyeup Kim, Juyoung Lee, Jihyeon Lee, and Jaegul Choo. Learning debiased representation via disentangled feature augmentation. In A. Beygelzimer, Y. Dauphin, P. Liang, and J. Wortman Vaughan, editors, *Advances in Neural Information Processing Systems*, 2021.

[15] Yoonho Lee, Huaxiu Yao, and Chelsea Finn. Diversify and disambiguate: Learning from underspecified data. *arXiv preprint arXiv:2202.03418*, 2022.

[16] Yi Li and Nuno Vasconcelos. Repair: Removing representation bias by dataset resampling. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, June 2019.

[17] Yingwei Li, Qihang Yu, Mingxing Tan, Jieru Mei, Peng Tang, Wei Shen, Alan Yuille, and cihang xie. Shape-texture debiased neural network training. In *International Conference on Learning Representations*, 2021.

[18] Evan Z Liu, Behzad Haghgoo, Annie S Chen, Aditi Raghunathan, Pang Wei Koh, Shiori Sagawa, Percy Liang, and Chelsea Finn. Just train twice: Improving group robustness without training group information. In Marina Meila and Tong Zhang, editors, *Proceedings of the*

377 *38th International Conference on Machine Learning*, volume 139 of *Proceedings of Machine*
378 *Learning Research*, pages 6781–6792. PMLR, 18–24 Jul 2021.

379 [19] Ziwei Liu, Ping Luo, Xiaogang Wang, and Xiaoou Tang. Deep learning face attributes in the
380 wild. In *Proceedings of International Conference on Computer Vision*, December 2015.

381 [20] Vaishnavh Nagarajan, Anders Andreassen, and Behnam Neyshabur. Understanding the fail-
382 ure modes of out-of-distribution generalization. In *International Conference on Learning*
383 *Representations*, 2020.

384 [21] Junhyun Nam, Hyuntak Cha, Sungsoo Ahn, Jaeho Lee, and Jinwoo Shin. Learning from failure:
385 De-biasing classifier from biased classifier. In H. Larochelle, M. Ranzato, R. Hadsell, M. F.
386 Balcan, and H. Lin, editors, *Advances in Neural Information Processing Systems*, volume 33,
387 pages 20673–20684. Curran Associates, Inc., 2020.

388 [22] Maithra Raghu, Justin Gilmer, Jason Yosinski, and Jascha Sohl-Dickstein. Svcca: Singular vec-
389 tor canonical correlation analysis for deep learning dynamics and interpretability. In *Advances*
390 *in Neural Information Processing Systems*, volume 30. Curran Associates, Inc., 2017.

391 [23] Shiori Sagawa*, Pang Wei Koh*, Tatsunori B. Hashimoto, and Percy Liang. Distributionally
392 robust neural networks. In *International Conference on Learning Representations*, 2020.

393 [24] Ramprasaath R. Selvaraju, Michael Cogswell, Abhishek Das, Ramakrishna Vedantam, Devi
394 Parikh, and Dhruv Batra. Grad-cam: Visual explanations from deep networks via gradient-based
395 localization. In *Proceedings of the IEEE International Conference on Computer Vision*, Oct
396 2017.

397 [25] Harshay Shah, Kaustav Tamuly, Aditi Raghunathan, Prateek Jain, and Praneeth Netrapalli.
398 The pitfalls of simplicity bias in neural networks. In *Proceedings of the 34th International*
399 *Conference on Neural Information Processing Systems*, NIPS'20, Red Hook, NY, USA, 2020.
400 Curran Associates Inc.

401 [26] Christian Szegedy, Wojciech Zaremba, Ilya Sutskever, Joan Bruna, Dumitru Erhan, Ian Goodfel-
402 low, and Rob Fergus. Intriguing properties of neural networks. In *2nd International Conference*
403 *on Learning Representations, ICLR 2014*, 2014.

404 [27] Enzo Tartaglione, Carlo Alberto Barbano, and Marco Grangetto. End: Entangling and disentan-
405 gling deep representations for bias correction. In *Proceedings of the IEEE/CVF Conference on*
406 *Computer Vision and Pattern Recognition*, pages 13508–13517, June 2021.

407 [28] Laurens van der Maaten and Geoffrey Hinton. Visualizing data using t-sne. *Journal of Machine*
408 *Learning Research*, 9(86):2579–2605, 2008.

409 [29] Haohan Wang, Zexue He, and Eric P. Xing. Learning robust representations by projecting
410 superficial statistics out. In *International Conference on Learning Representations*, 2019.