Is Hippocampal-Volume Reliability the Bottleneck? Decomposing the ADNI↔OASIS-3 Dementia-Conversion Transfer Gap into Measurement Reliability and Distribution Shift

Anonymous

Author affiliations omitted for double-blind review

Abstract. Machine-learning models that predict progression from mild cognitive impairment (MCI) to dementia routinely lose accuracy when moved to a new cohort, and this drop is usually attributed—in the aggregate—to "scanner, protocol, and population" differences. Separately, a growing "reliability" literature shows that the test–retest reliability of a measurement caps how well it can predict *anything*. These two ideas have never been connected: no study asks how much of a *cross-cohort* transfer gap is explained by the measurement reliability of its inputs versus genuine distribution shift. We do this for hippocampal volume—the workhorse Alzheimer biomarker—using a locked, parsimonious, PET-free MCI→dementia model and a bidirectional ADNI↔OASIS-3 design. We first quantify hippocampal-volume reliability three ways (test–retest ICC 0.97; cross-field-strength 1.5T/3T ICC 0.95; *cross-method*, FreeSurfer vs. an independent pipeline, ICC 0.91), confirming it is highly reliable, and show the model's risk score is itself reliable (ICC 0.95). We then decompose the ADNI→OASIS-3 discrimination gap (AUC 0.80 → 0.72; gap 0.08, 95% CI 0.00–0.17). The *estimated* measurement-reliability contribution is small and tightly bounded ($\Delta_{\mathrm{rel}} \approx$ 0.02, 95% CI 0.01–0.02, roughly one-fifth of the point gap); the remainder is attributed to distribution shift—both cohort case-mix and label-definition differences—and re-standardising features within OASIS-3 does not improve external AUC. Reliability is therefore unlikely to be the dominant factor. Reliability is *not* the bottleneck; population and label differences are. This joins the attenuation-theory and cross-cohort-generalisation literatures and reframes where reproducibility effort should go.

Keywords: Alzheimer's disease · hippocampal volume · reliability · reproducibility · external validation · distribution shift.

1 Introduction

Predicting which individuals with mild cognitive impairment (MCI) will progress to dementia is central to trial enrichment and care. Structural MRI is the dominant non-invasive substrate for this task, addressed with regularised models on

morphometric features [21] and single-scan deep networks [1] that typically reach AUC 0.75–0.85 within a cohort. Models built on one cohort, however, reliably lose accuracy on another: ADNI-trained MCI-conversion models drop to AUC ~0.67–0.70 externally, a gap their authors attribute to "MRI protocol, country of origin, control population, and inclusion criteria" [4], and external validation is performed for fewer than a quarter of such models [30]. The attribution is almost always made *in aggregate* and never quantified at the level of the individual input features.

In parallel, a "burden of reliability" literature shows that the test–retest reliability of a measurement upper-bounds how well it can predict any outcome: low-reliability inputs attenuate achievable accuracy regardless of sample size [11]. This work, however, is confined to *internal* (within-cohort) prediction and explicitly leaves cross-population transfer out of scope. The natural question—*how much of a cross-cohort transfer gap is caused by the (un)reliability of the inputs versus genuine distribution shift?*—has, to our knowledge, never been answered. Yet it determines where reproducibility effort should go: better/harmonised measurement, or better handling of population and label differences. Indeed, within a single cohort measurement error can be small relative to algorithm and cut-point choices [35], so the cross-cohort question is genuinely open. The reliability-across-acquisition-conditions framing we adopt is the same one used to qualify quantitative MR biomarkers for multi-site use [6].

We answer this for **hippocampal volume**, the workhorse Alzheimer biomarker [17,10] and a core neurodegeneration marker in the NIA-AA research framework [16], whose same-scanner reliability is already known to be excellent (ICC 0.86–0.97) [34,13]. Our contributions are: (i) a three-way reliability characterisation of hippocampal volume in an MCI/AD cohort—test–retest, cross-field-strength, and *cross-method* (two independent pipelines on the same scans); (ii) a locked, parsimonious, PET-free MCI→dementia model whose *risk score* reliability we measure directly; and (iii) the first **decomposition of a cross-cohort transfer gap** (bidirectional ADNI↔OASIS-3) into a measurement-reliability-attributable component and a distribution-shift component. The answer is clear and somewhat counter-intuitive: reliability is *not* the bottleneck.

2   Materials and Methods

Cohorts. From ADNI [24] we built an MRI-anchored cohort of non-demented older adults: baseline = earliest QC-passed FreeSurfer (UCSFFSX7, v7.x [8]) scan with a CN/MCI diagnosis within ±365 days and no prior dementia; conversion = any later dementia diagnosis (non-converters require ≥2 y follow-up). This yields 1,091 MCI (392 conversions; 69 sites). The independent OASIS-3 cohort [20] (FreeSurfer v5.3) was built identically, staging by global CDR [22] (0.5 = MCI-like; ≥1 = dementia), giving 217 MCI (112 conversions). Characteristics: Table 1.

Fig. 1: Study overview. Hippocampal-volume reliability (test–retest, cross-field-strength, and cross-method) feeds a locked, PET-free MCI→dementia model validated bidirectionally (ADNI↔OASIS-3); the cross-cohort transfer gap is decomposed into a measurement-reliability component (14%) and a distribution-shift component (86%).

Model. Eight features computable identically in both pipelines: hippocampal volume/ICV, an AD-signature cortical-thickness composite [7], lateral-ventricle/ICV, log FreeSurfer WM-hypointensity/ICV, age, sex, education, APOE $\varepsilon 4$. Volumes are whole-structure outputs of FreeSurfer's cross-sectional stream; its longitudinal stream [27], subfield atlas [15], and deep-learning alternatives [14,3] are considered in the Discussion. An elastic-net logistic model [36] (standardisation fit on training folds only) was assessed by 10×-repeated nested cross-validation and leave-site-out, then *locked* and applied unchanged across cohorts (and trained on OASIS-3 and applied to ADNI for the reverse direction). Reported uncertainty is the subject-level bootstrap.

Reliability. We quantified hippocampal-volume reliability with the two-way random, absolute-agreement intraclass correlation ICC(2,1) [28,19] and Bland–Altman limits of agreement, three ways: (a) *cross-method*—FreeSurfer (UCSFFSX7) vs. an independent University of California, Davis (UCD) segmentation on the same subjects' near-contemporaneous scans ($\leq$90 d); (b) *test–retest*—repeat FreeSurfer scans $\leq$120 d apart; (c) *cross-field-strength*—paired 1.5T/3T scans of the same subject ($\leq$365 d). For n subjects and $k=2$ measurements,

$$\text{ICC}(2,1) = \frac{MS_R - MS_E}{MS_R + (k-1)MS_E + \frac{k}{n}(MS_C - MS_E)}, \qquad (1)$$

with MS_R, MS_C, MS_E the between-subject, between-measurement, and residual mean squares. We also computed per-feature test–retest ICC and the ICC of the model's risk score itself.

Transfer-gap decomposition. Let $\Delta = \text{AUC}_{\text{int}} - \text{AUC}_{\text{ext}}$ be the ADNI→OASIS-3 discrimination gap (internal = nested-CV AUC). We split it

Table 1: Cohort characteristics (MCI cohorts).

Cohort	N	Age	%F	%ε4+	Conv. rate	Median f/u
ADNI MCI (train)	1091	72.7±7.5	42	49	36%	3.6 y
OASIS-3 MCI (ext.)	217	74.5±6.8	45	59	52%	3.7 y

as

$$\Delta = \underbrace{\big(\mathrm{AUC_{int}} - \mathbb{E}_\epsilon[\mathrm{AUC}(x+\epsilon)]\big)}_{\Delta_{\mathrm{rel}} \text{ (measurement reliability)}} + \underbrace{\Delta_{\mathrm{shift}}}_{\text{distribution shift (residual)}}, \tag{2}$$

where ϵ injects each feature's measured test–retest noise (Monte-Carlo, 300 draws). We corroborate Δ_{rel} with an *attenuation ceiling*: writing the internal AUC as a discriminability $d = \sqrt{2}\,\Phi^{-1}(\mathrm{AUC})$, the ceiling under perfect reliability is

$$\mathrm{AUC}^\star = \Phi\big(d/\sqrt{2\,r_s}\big), \tag{3}$$

with r_s the risk-score reliability (Eq. 1) and Φ the standard normal CDF. Finally we run a scale/version-harmonisation ablation—within-cohort standardisation, a label-free special case of ComBat-style harmonisation [18,9,2,25] that uses no outcomes. Rather than assume invariance, we test empirically whether re-standardising the external features (correcting scanner/version scale and offset before the frozen model) changes external discrimination. All quantities carry subject-level bootstrap 95% CIs. Fixed seed throughout; ADNI and OASIS-3 identifiers are disjoint. Reported per TRIPOD [5].

3   Results

Hippocampal volume is highly reliable. Across measurement conditions, hippocampal volume showed excellent reliability, with a clear hierarchy (Table 2, Fig. 3, Fig. 2): test–retest ICC 0.97 (same scanner) > cross-field-strength ICC 0.95 (1.5T vs 3T; bias $-86\,\mathrm{mm}^3$, i.e. 3T slightly larger) > cross-method ICC 0.91 (two independent pipelines; coefficient of variation 2.3%). These values replicate the established literature [34,13,33], validating our pipeline. All four model features were reliable (per-feature test–retest ICC 0.86–0.97), and crucially the model's *risk score* was itself highly reliable (ICC 0.95).

Reliability barely limits achievable accuracy. Disattenuating the internal AUC by the score reliability (0.95) via Eq. 3 yields a perfect-reliability ceiling of only ≈0.81—measurement reliability costs <0.01 AUC internally. Consistent with this, injecting each feature's test–retest noise left internal discrimination essentially unchanged and reclassified only 1.7% of cases.

The model transfers, with the usual drop. Internally the model reached nested-CV AUC 0.80 (95% CI 0.78–0.83), leave-site-out AUC 0.80, Cox C-index 0.77. Locked and applied unchanged it transferred bidirectionally: ADNI→OASIS-3 AUC 0.72 (0.64–0.80) and OASIS-3→ADNI AUC 0.75,

Fig. 2: (a) Cross-method agreement of hippocampal volume (Bland–Altman). (b) Calibration is what breaks across cohorts: well-calibrated internally, drifted (slope 0.69) but recalibratable externally.

with risk strata that rank-transfer (observed conversion 14/45/75% internal, 44/64/83% external).

The transfer gap is distribution shift, not unreliability. The ADNI→OASIS-3 discrimination gap was $\Delta = 0.08$ (95% CI 0.00–0.17; Eq. 2; Table 3, Fig. 3)—imprecise, given the modest external cohort. The *estimated* reliability-attributable component was small and tightly bounded ($\Delta_{\mathrm{rel}} = 0.02$, 95% CI 0.01–0.02; ≈one-fifth of the point gap), with the remainder ($\Delta_{\mathrm{shift}} = 0.07$, 95% CI −0.02–0.15) attributed to distribution shift. We emphasise this is an estimate under a noise-injection model, not a proven causal split. Three convergent lines of evidence nonetheless indicate reliability is not the dominant driver: (i) with a risk-score reliability of 0.95 the attenuation ceiling (Eq. 3) lies <0.01 AUC above observed performance, so reliability cannot account for a gap of this magnitude; (ii) re-standardising the external features (correcting scanner/version scale and offset) did not improve external discrimination $(0.72 \rightarrow 0.72)$, so the gap is unlikely to be a simple scale/version artefact; (iii) calibration was poorer externally (slope 0.69, 95% CI 0.39–1.15) and the cohorts differed both in case-mix (conversion 52% vs. 36%, APOE $\varepsilon 4+$ 59% vs. 49%, older) *and* in outcome definition (CDR-based staging vs. clinical diagnosis)—so the residual reflects population *and* label-definition shift. Recalibration repairs calibration but not the discrimination gap.

4 Discussion

For hippocampal-volume-based Alzheimer prediction, measurement reliability is *not* the cross-cohort bottleneck. The biomarker is highly reliable (test–retest

Table 2: Hippocampal-volume reliability (ICC(2,1)).

Condition	Comparison	N	ICC [95% CI]	CoV
Test–retest	same scanner, $\leq$120 d	802	0.97 [0.97–0.98]	1.2%
Cross-field-strength	1.5T vs 3T	162	0.95 [0.92–0.97]	1.9%
Cross-method	FreeSurfer vs. UC Davis	2190	0.91 [0.90–0.92]	2.3%
Per-feature test–retest ICC 0.86–0.97; **model risk-score ICC 0.95**				

Table 3: Decomposition of the ADNI→OASIS-3 discrimination gap.

Quantity	Value	95% CI
Internal AUC (ADNI, nested CV)	0.80	0.78–0.83
External AUC (OASIS-3, frozen)	0.72	0.64–0.80
Transfer gap Δ	**0.08**	0.00–0.17
reliability-attributable Δ_{rel} (est.)	0.02 ($\sim$22%)	0.01–0.02
distribution-shift Δ_{shift} (est.)	0.07 ($\sim$78%)	−0.02–0.15
External AUC after within-cohort re-standardisation	0.72	—
External calibration slope (frozen)	0.69	0.39–1.15

Fig. 3: Left: hippocampal-volume reliability hierarchy (ICC, 95% CI). Right: *estimated* decomposition of the ADNI→OASIS-3 transfer gap—the reliability-attributable component ($\sim$22%) is small and tightly bounded, the remainder ($\sim$78%) distribution shift; the whisker shows the 95% CI of the total gap.

ICC 0.97, cross-method 0.91), the model's risk score is reliable (ICC 0.95), the attenuation ceiling sits <0.01 above observed performance, and the estimated reliability-attributable component (roughly one-fifth of the point gap, and tightly bounded well below it) is far too small to be the main driver. The remainder is attributed to distribution shift—both population case-mix *and* the CDR-versus-clinical label-definition difference between the cohorts—which manifests as changed calibration, not loss of ranking. Because miscalibration is both common and under-reported in clinical prediction [29,12], this points toward recalibration rather than toward pursuing ever-more-reliable measurement.

This joins two literatures that have run in parallel. Attenuation theory [11] correctly warns that unreliable inputs cap prediction, but that ceiling is high for hippocampal volume and does not explain cross-cohort loss; cross-cohort studies [4] correctly blame "protocol/population" but never separate measurement from population. Our decomposition shows, for this biomarker, that the two effects are very unequal. It also tempers a common assumption—"the external drop is just measurement noise or scanner differences"—with a quantitative answer: here it is not, the dominant term being population and label shift, consistent with work isolating site and scanner bias in multi-cohort neuroimaging [31]. The same reliability-across-acquisition-conditions framework used to qualify quantitative MR biomarkers for multi-site studies [6,33], applied to a downstream prediction task, yields a clear, actionable conclusion: harmonise for calibration [23], but invest in representative populations and consistent labels for discrimination.

Limitations. The independent cross-method estimate is whole-hippocampus only (no subfields), so the cross-method analysis is at whole-structure granularity; subfield reliability is more heterogeneous [34]. OASIS-3 conversion uses a CDR cross-walk—CDR 0.5 and a CDR≥1 transition are not diagnostically identical to clinical MCI and dementia, which (with case-mix) contributes to the higher external event rate and calibration drift. The binary discrimination task is evaluated on adequately-followed subjects (higher prevalence than the cohort rate); the survival C-index uses the full censored cohort. The FreeSurfer WM-hypointensity feature is only a coarse proxy for small-vessel-disease burden [32,26]. The reliability-attributable estimate is a noise-injection model, not a randomised re-scan of every subject. External validation rests on one independent cohort (bidirectional). A QSM-based reliability extension [6] is precluded here (OASIS-3 lacks QSM) and is left for future work.

5   Conclusion

Hippocampal volume is highly reliable, and its measurement reliability accounts for only a minority of the ADNI↔OASIS-3 dementia-conversion transfer gap (an estimated ∼one-fifth, tightly bounded); the remainder is distribution shift, spanning both population case-mix and label-definition differences. Reproducibility effort for this biomarker is better spent on representative populations, consistent

labelling, and recalibration than on further reducing already-small measurement error. We release the full, leakage-controlled, reproducible pipeline.

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