# Understanding LLM Embeddings for Regression

Anonymous authors Paper under double-blind review

#### Abstract

With the rise of large language models (LLMs) for flexibly processing information as strings, a natural application is regression, specifically by preprocessing string representations into LLM embeddings as downstream features for metric prediction. In this paper, we provide one of the first comprehensive investigations into embedding-based regression and demonstrate that LLM embeddings as features can be better for high-dimensional regression tasks than using traditional feature engineering. This regression performance can be explained in part due to LLM embeddings over numeric data inherently preserving Lipschitz continuity over the feature space. Furthermore, we quantify the contribution of different model effects, most notably model size and language understanding, which we find surprisingly do not always improve regression performance.

#### 1 Introduction and Related Work

*Regression* is a fundamental statistical tool used to model the relationship between a metric and a selected set of features, playing a crucial role in various fields, enabling predictions, forecasting, and the understanding of underlying relationships within data. Traditional regression techniques often rely on handcrafted features or domain-specific knowledge to represent input data. However, the advent of Large Language Models (LLMs) and their ability to instead process semantic representations of text has raised the question of whether regression can instead be performed over free-form text.

Previous works have predominantly examined the topic of LLM-based regression through *decoding*, i.e. generating floating point predictions using token-based sampling. For example, (Song et al., 2024) examines the case when the model is fully accessible and fine-tunable against data, while (Vacare-anu et al., 2024) study the ability of *service-based* closed-source LLMs such as GPT-4 using in-context learning.

One understudied case however is the use of servicebased LLM embeddings - fixed vector representations derived from pre-trained (but frozen) language models, which are ubiquitously offered among most LLM services (OpenAI, 2023; Google, 2024; Anthropic, 2024). Although they are used frequently in recent applications such as retrieval (Karpukhin et al., 2020), semantic similarity (Li et al., 2020), and a variety of other downstream language tasks (Liu et al., 2020), there has been very little funda-



Figure 1: Rugged surface of a 5D Sphere function when inputs are represented as Gemini embeddings of dimension 6K+, post-processed by t-SNE into 2D space.

mental research around their use in regression, outside of specific applications such as Bayesian Optimization (Nguyen et al., 2024; Kristiadi et al., 2024).

In contrast to decoding-based regression techniques, embedding-based regression allows the possibility of cheap data-driven training using inexpensive and customizable post-embedding layers such as multi-layer

perceptrons (MLPs). However, as shown in Figure 1, when the domain of a simple function is expressed using high-dimensional embeddings, unexpected characteristics and irregularities can arise, prompting the need for a thorough analysis. Furthermore, LLMs by default are *not explicitly trained* for embedding-based regression, rather purely for token generation, and thus it is worth analyzing the emergent behaviors of LLMs when applied to embedding-based regression.

This paper investigates the behavior of these LLM embeddings when used as features for traditional regression tasks. Most notably, our findings are:

- LLM embeddings are *dimensionally robust*, i.e. regression performance can remain strong even over high-dimensional data, whereas traditional representations significantly suffer.
- Over numeric formats, LLM embeddings preserve Lipschitz-continuity and smoothness over feature space, which naturally enables regression when using a downstream MLP head.
- Factors which directly impact language understanding (e.g. size, pre-training, and input formatting) have more nuanced effects for regression and do not always provide significantly better outcomes.

## 2 Problem and Methodology

A regression task  $\mathcal{T} = (f, \mathcal{X}, \mathcal{D})$  consists of an underlying scalar-valued function  $f : \mathcal{X} \to \mathbb{R}$  over an input space  $\mathcal{X}$ . Provided are offline training data  $\mathcal{D}_{train} = \{(x_1, y_1), ..., (x_T, y_T)\}$  collected from querying f and an analogous test set  $\mathcal{D}_{test}$  for evaluation. Given access to training data  $\mathcal{D}_{train}$ , the goal is to obtain accurate predictions over test points  $(x, y) \in \mathcal{D}_{test}$ , usually measured by an aggregate performance measure, e.g. mean squared error or Kendall-Tau ranking scores.

Required by nearly all learnable regression methods are *features*, which we assume come from an *embedder*  $\phi : \mathcal{X} \to \mathbb{R}^d$  which takes an input x and returns a fixed-dimensional feature representation, of dimension d. Here, we use the terms "features" and "embedding" interchangeably, since traditional methods typically use a canonical, manually defined feature engineering method for tabular data, in which continuous values are normalized and categorical selections are one-hot encoded. This feature vector  $\phi(x)$  is then sent to a downstream predictor, e.g. MLP or random forest, which is trained using a loss function such as mean squared error.

Language models also provide a canonical definition of embedding, which typically consists of, in order:

- 1. Tokenizing a string representation x into L tokens.
- 2. Obtaining a "soft prompt"  $\mathbb{R}^{L \times v}$  via vocabulary look-up.
- 3. Applying a forward pass of a Transformer to obtain an output  $\mathbb{R}^{L \times f}$ .
- 4. Pooling down to a fixed dimension vector in  $\mathbb{R}^d$ .

Afterwards, one may also attach an MLP predictor head and apply an analogous training procedure as in the traditional case. Thus we can see that the only difference becomes the input representation  $\phi$ , i.e. whether we used a traditional  $\phi_{trad}$  or LLM-based  $\phi_{LLM}$ .

While it is straightforward to assume that the whole process outlined for LLMs should constitute the definition of a language model embedding  $\phi_{\text{LLM}}$ , it is not obvious how much each of these steps may contribute to the final regression result. For instance, one could simply skip applying a forward pass in step (3) and pool the soft prompt directly, or use a randomly initialized model as opposed to a pretrained one. We extensively study this case in Section 3.3.

#### 2.1 Modeling

To minimize confounding factors and maintain fairness during comparisons, we use the exact same MLP prediction head (2 hidden layers, ReLU activation), loss (mean squared error), and y-normalization scheme (shifting by empirical mean and dividing by empirical deviation), regardless of using  $\phi_{\text{LLM}}$  and  $\phi_{\text{trad}}$ . Note however, that the embedding dimensions of the two representations may be different, and so we distinguish them using notation  $d_{\text{llm}}$  and  $d_{\text{trad}}$  respectively, where typically  $d_{\text{llm}} \gg d_{\text{trad}}$ . Specific embedding sizes can be found in Appendix B.2.

To demonstrate consistent results over different families of language models, we benchmark over both the T5 (Raffel et al., 2020) and Gemini 1.0 (Google, 2024) families, which use different architectures (encoderdecoder and decoder-only), different vocabulary sizes (32K and 256K), and embedding dimensions (See Appendix B.2) respectively. However, to remain consistent with the definition of embedding, we follow previous literature (Li et al., 2020; Reimers & Gurevych, 2019) and use average-pooling as the canonical method of aggregating Transformer outputs, and thus the embedding dimension  $d_{\rm llm}$  is equivalent to the the output feature dimension f following a forward pass.

Similar to previous work (Song et al., 2024; Nguyen et al., 2024), for string representations of x from any regression task, by default we use a key-value JSON format with consistent ordering of keys, i.e. {param1:value1,param2:value2,...}, with specific examples shown in Appendix C.

#### 2.2 Regression Tasks

For regression tasks, we first use synthetic, closed-form objective functions in order to produce controlled studies in which we may query any x from the input space. Our synthetic functions are defined from the standard Black-Box Optimization Benchmarking (BBOB) suite (Elhara et al., 2019). To avoid confounding terminology between embedding "dimension" d and the intrinsic "dimension" of an objective f, we denote the latter as "degree-of-freedom" (DOF), and thus  $f(\cdot)$  is dependent on input coordinates  $x^{(1)}, \ldots, x^{(\text{DOF})}$ , each of which is between [-5, 5]. This provides a comprehensive variety of both convex and non-convex objective landscapes to regress upon.

We further use real-world regression tasks representative of those encountered in the wild and in industry settings by benchmarking over offline objective evaluations over production systems, collected from hyperparameter tuning records. These consist of four families, with each family containing at least 50 individual yet similar regression tasks with varying amounts of data. The families are:

- AutoML (Google Cloud, 2023): Automated Machine Learning service for Tensorflow Extended (Google, 2023) pipelines (e.g. batch size, activation, layer counts) over tabular or text data.
- Init2Winit (Dahl et al., 2023): Learning rate scheduling parameters influencing common image classification tasks (e.g. ResNets on CIFAR-10 and ImageNet).
- XLA (Phothilimthana et al., 2021): Tuning for the Accelerated Linear Algebra (XLA) compiler which affects LLM serving latencies.
- L2DA (Yazdanbakhsh et al., 2021): "Learning to Design Accelerators", for improving accelerators such as TPUs and corresponding computer architectures to improve hardware performance.

In the real world regression tasks, each parameter may be continuous or categorical, and we define the DOF of such a task by its number of parameters. Note that for synthetic objectives, where all inputs are continuous,  $d_{\text{trad}} = \text{DOF}$ . However, for real-world tasks with categorical parameters,  $d_{\text{trad}} > \text{DOF}$  due to additional one-hot encodings.

For obtaining data, we may either sample (x, y) pairs (in the case of synthetic objectives where x are uniformly sampled from  $\mathcal{X}$ ), or use the given offline data (in the case of real-world tasks, where they were actual evaluations from an optimization trajectory), using a standard 8-1-1 train-validation-test split.

Due to the inherent differing of metric scales across tasks, it would be inappropriate to aggregate results based on scale-dependent metrics such as mean squared error (MSE). Furthermore, we found that the selection of the regression metric (e.g. Kendall-Tau, Pearson, mean squared error, mean absolute error) did not matter for comparisons, as they all strongly correlated with each other. Thus, by default we report the Kendall-Tau ranking correlation, which is always within [0, 1] and can also be aggregated across different tasks.

### **3** Experimental Results

#### 3.1 High Dimensional Regression

We begin by demonstrating cases in which LLM embeddings better represent inputs over high degreeof-freedom spaces than traditional representations. In Figure 2, we show that for a subset of functions,



LLM embeddings possess surprising robustness, retaining the same performance for varying DOFs whereas traditional baselines such as XGBoost and MLPs significantly falter over higher DOFs.

Figure 2: Higher  $(\uparrow)$  is better. Degrees of freedom (DOF) vs Kendall-Tau correlation for various BBOB functions. Results are averaged over 12 runs for each regression method. Each task's data consists of 500 (x, y) evaluations sampled uniformly across the input space, using a 8-1-1 split for train-validation-test.

This result is not universal however, as we show in Appendix A.1, this pattern does not apply for a few selected functions, but nonetheless it occurs in the majority of the BBOB functions. We further corroborate this observation over real-world tasks in Table 1. We see that in general, regressions on LLM embeddings outperform traditional methods more often for tasks with higher DOFs (AutoML and XLA).

Task Name	Avg. DOF	T5-Small $\%$	T5-XXL $\%$	Gemini Nano $\%$	Gemini Pro $\%$
Init2Winit	4	6.7	8.0	11.3	19.0
L2DA	10	2.7	12.0	9.3	10.7
AutoML	29	30.7	41.3	29.3	36.0
XLA	35	17.2	29.3	18.9	24.1

Table 1: Percentage of tasks in which  $\phi_{\text{LLM}}$  outperforms  $\phi_{\text{trad}}$  across various real world regression tasks. Results reported for 75 tasks per family, except for XLA, which only contains 58 tasks. Full results in Appendix A.2.

#### 3.2 LLM Embedding Smoothness

Particularly due to the discrete nature of tokenization, it is non-obvious whether LLM embeddings possess a notion of continuity in embedding space. For example, assuming character-wise tokenization, 1.234 is not so numerically distant from 1.567, but is *token-wise* distant, as the majority of the tokens (234 and 567) are not shared.

The notion of continuity and smoothness is crucial for neural network generalization (Kalimeris et al., 2019; Neyshabur et al., 2018), robustness (Weng et al., 2018), vulnerability to adversarial examples (Goodfellow et al., 2015), and more. We can characterize smoothness in the regression case by the *Lipschitz-continuity* induced by a representation  $\phi$  in its latent space  $\mathbb{R}^d$ .

Intuitively, similar inputs should lead to similar objective values, which can be quantified inversely by the Lipschitz factor  $L(x, x') = ||f(x) - f(x')|| / ||\phi(x) - \phi(x')||$  with respect to a representation  $\phi$  and  $|| \cdot ||$  norm. We emphasize to the reader that the input space  $\mathcal{X}$  does not actually have an explicit notion of distance on its own. Instead, traditionally it has always been assumed that the distance was defined canonically by Euclidean distance over the traditional embedding method, i.e.  $||\phi_{\text{trad}}(x) - \phi_{\text{trad}}(x')||_2$  as demonstrated by common use of Euclidean-based radial basis and Matern kernels (Genton, 2002) during regression modeling. However, as seen from the results previously, it may be the case that  $\phi_{\text{trad}}$  is suboptimal for some regression tasks.



Figure 3: Left-skewness ( $\leftarrow$ ) is better. NLFDs induced by  $\phi_{\text{LLM}}$  (T5-XXL) and  $\phi_{\text{trad}}$ . Top: Cases where  $\phi_{\text{LLM}}$  outperforms  $\phi_{\text{trad}}$  for regression. Bottom: Vice-versa where  $\phi_{\text{trad}}$  outperforms  $\phi_{\text{LLM}}$ .

In order to analyze the continuity of an embedding  $\phi$  with respect to offline data  $\mathcal{D}$ , we define a Normalized Lipschitz Factor Distribution (NLFD) as follows:

- 1. Normalize each embedding vector  $\phi(x)$  coordinate-wise to have zero mean and unit variance across the dataset  $\mathcal{D}$ .
- 2. For each  $x \in \mathcal{D}$ , choose  $x' \in \mathcal{D}$  such that  $\phi(x')$  is the nearest  $\ell_2$  neighbor of  $\phi(x)$ , and compute the Lipschitz factor L(x, x').
- 3. To assume an average embedding norm of 1 for different embedding dimensions d, we downscale all Lipschitz factors by  $\sqrt{d}$ .

We see that there is a high inverse relationship between the skewedness of the NLFD and regression performance. Specifically, in Figure 3, when  $\phi_{\text{LLM}}$  outperforms  $\phi_{\text{trad}}$  for regression,  $\phi_{\text{LLM}}$ 's distribution of Lipschitz factors also tends to skew relatively more to zero than  $\phi_{\text{trad}}$ , and vice-versa.

To formally quantify comparisons between NLFDs from  $\phi_{\text{LLM}}$  and  $\phi_{\text{trad}}$ , for a fixed regression task, we may thus compute the Z-score using the difference of the two distributions:

$$Z = \frac{\mu_{\phi_{\text{trad}}} - \mu_{\phi_{\text{LLM}}}}{\sqrt{\sigma_{\phi_{\text{trad}}}^2 + \sigma_{\phi_{\text{LLM}}}^2}} \tag{1}$$



Figure 4: Relationship between gaps in NLFD (via Z-score) and regression performance for all 23 BBOB functions. Relationship is quantified using (K, S, P), which respectively are Kendall-Tau, Spearman and Pearson correlations. **Top:** We vary model size within the T5 model family. **Bottom:** We vary the objective's DOF for Gemini Pro.

where  $\mu_{\phi}$  and  $\sigma_{\phi}$  are respectively mean and standard deviations of the NLFD of a representation  $\phi$ . We may then observe the relationship between gaps in representation smoothness vs. regression performance. In Figure 4 with extended results in Appendix A.3, we see that for a given BBOB regression task, the Z-score (i.e. gap in embedding smoothness) is highly correlated with the gap in regression performance, regardless of the model used (T5 or Gemini) or the DOF of the underlying objective f.



Figure 5: t-SNE for Gemini (Nano and Pro) embeddings of points sampled around a DOF=100 reference point. Traditional  $\ell_2$  distance is overlayed in color.

We further visualize whether  $\phi_{\text{LLM}}$  is distance aware, i.e. whether  $\phi_{\text{LLM}}(x)$  are  $\phi_{\text{LLM}}(x')$  are close in embedding space if  $\phi_{\text{trad}}(x)$  and  $\phi_{\text{trad}}(x')$  are close. As mentioned before however, there is no ground truth notion of "closeness" - nonetheless, we use  $\phi_{\text{trad}}$  as a point of comparison. Since it is inappropriate to simply sample x's uniformly in a high DOF space, as then average distances concentrate around  $\sqrt{\text{DOF}}$ , we instead take a reference point and sample points from  $\ell_2$ -balls of increasing distance from the reference.

In Figure 5, we see that distances over the LLM embedding space are correlated with the traditional measure of distance, but may be non-linearly warped, which benefits LLM-based regression in certain cases as seen in Section 3.1.

#### 3.3 Model Effects

In this subsection, we comprehensively investigate the impact of many common LLM factors on regression performance.

Are Larger Models Always Better? Within the research community, the prevailing assumption is that there exists a direct correlation between language model size and performance improvement. However, with the rise of leaderboards such as LMSYS (LMS, 2023), smaller models have been shown to outperform larger competitors, due to differences in their "recipe", such as training data quality, pre-training and post-training techniques, and architecture.



Figure 6: Higher ( $\uparrow$ ) is better. Model size vs regression performance on hyperparameter tuning tasks across T5 and Gemini model families. Median performance is plotted, along with 40-60 percentiles as error bars.

In Figure 6, we see that over various real world regression tasks, T5 models exhibit a clear trend of improved performance when increasing model size, when training methodology is fixed. In contrast, model tiers within the Gemini family exhibit substantial variance, and larger model sizes do not consistently translate to superior results. We hypothesize this is due to differences in Gemini "recipes", as e.g. different model tiers may have used different pre-training datasets, architecture tweaks, and post-training configurations, whereas all T5 model sizes have only been pre-trained on the C4 web crawl corpus.

**Does Language Understanding Actually Help?** Recent works (Li et al., 2020; Devlin et al., 2019) have claimed that logit-based embeddings mostly measure the semantic similarity between string inputs, and thus it is unconfirmed whether they may be beneficial for numeric regression tasks.



Figure 7: Kendall-Tau regression comparisons when comparing to random initialization (left) and vocabulary embeddings (right). Each bar is averaged across 75 tasks per family.

To resolve this, using the T5 family, we compare against using (1) a randomly initialized model for the forward pass, and (2) representing our features via vocabulary embeddings without applying a forward pass.

In Figure 7, we see that the default mode of applying a forward pass of a pre-trained model performs the best, as expected. However, it is worth noting that in some tasks such as AutoML and L2DA, the improvement is surprisingly quite minimal, suggesting that applying forward passes by pretrained models does not always help for regression.

We further ablate differences in string representation, i.e. whether by default to show feature names as {param1:value1, param2:value2,...} or omit them, only showing [value1,value2,...]. In Figure 8, for the majority of tasks, omitting feature names does not significantly affect performance, although specific tasks such as XLA do benefit from feature names. This is surprising, as presumably feature names in XLA tasks such as auto\_cross\_replica\_sharding are not as common as names such as batch\_size or learning\_rate found in both AutoML and Init2winit.



The results of Figures 7 and 8 combined lead to additionally surprising conclusions, such as language-toFigure 8: Difference in Kendall correlation when using full dictionary containing feature names, or only values.

numeric transfer. For instance, inputs x from Init2Winit tasks only possess numeric values, and as expected, removing feature names does not significantly change regression results. Yet applying forward passes by pre-trained T5 models still benefits regression, despite the fact that T5's pre-training data contains mostly web-corpus data which is unlikely to contain significant amounts of scientific or numeric information (Dodge et al., 2021).

More Training Data Reduces Baseline Gaps: Intuitively, as more samples are available in a task, the difference in inductive biases between regression methods should matter less, since predictions will be more influenced by training data. We verify this in Figure 9, where we see that for tasks with low numbers of (x, y) points, there is more variability in performance between using  $\phi_{\text{LLM}}$  and  $\phi_{\text{trad}}$ , but additional training points decreases these differences.



Figure 9: Performance gap between an MLP baseline and regression over T5-XXL embeddings for individual trials within the AutoML and XLA task settings. Higher ( $\uparrow$ ) is better for LLM embeddings. Error bars are plotted for {0.5, 1.0, 2.0} of the standard deviation.

#### 4 Discussion: Limitations and Extensions

In this work, our emphasis was to provide more in-depth understanding of LLM embeddings with respect to regression. While we found many different cases in which they outperform traditional representations, we cannot broadly claim that LLM embeddings should always be used in serious applications of regression. Below, we list some limitations of our work and more potential areas of exploration.

**Different Modalities and Inputs:** Further investigation is needed to understand how LLM embeddings perform with non-tabular data, including combinatorial objects such as graphs, trees, and other complex structures, but also diverse modalities such as images, videos, and audio data.

**Prompt Formatting:** While we investigated the effects of parameter names for tabular string representations, we did not investigate the effects of different numeric representations. Since LLMs are predominantly pre-trained over human-written text, our x formats also follows, e.g. 1234.5 is serialized directly into 1234.5. However, these numbers may also be represented using scientific notation (e.g. 1.23e3) or even customized variants, e.g. [1 10e2 2 10e1 3 10e0 4 10e-1 ] as in (Nogueira et al., 2021). We suspect that the fundamental conclusions would remain similar, although the specific numeric results may change.

**Different LLM Services:** Our work focuses on "depth" of understanding rather than "breadth" of results, although we did find many similar conclusions for both the T5 and Gemini model families, which are quite different in architecture, pre-training data, and post-training. While it remains to be empirically verified, we hypothesize similar conclusions may occur with other model families, such as GPT-4 (OpenAI, 2023), Claude (Anthropic, 2024), and LLaMA (Touvron et al., 2023), especially as they share fundamentally similar approaches with Gemini.

**Different Embedding Definitions:** As mentioned in the main body, our pooling-based definition of LLM "embedding" follows previous literature for consistency, and is one of the simplest methods to obtain a fixed dimensional feature vector. However, other definitions have been proposed, such as only collecting the <**CLS**> token's logits for bidirectional cases (Reimers & Gurevych, 2019) or collecting intermediate outputs (Chen et al., 2022). Such additional methods are worth studying in the future for understanding their effects on regression.

**In-Context Learning (ICL):** An alternative prompting method, particularly natural for decoder-based architectures, would be to place all previous evaluations in the context as "shots" and obtain only the logits from a query x. However, this can severely limit the amount of training data allowed, as the context window still has a finite maximum length. In this paper, we primarily focused on the zero-shot case where the context window only contains the query, which allows the downstream MLP to train over unbounded amounts of data.

**Computational Costs:** Compared to traditional regression techniques which can even be run on CPUs, LLM inference almost always requires accelerator usage, making them more expensive if needed in serious regression tasks. Remote procedure calls to service-based LLMs such as Gemini also adds an additional layer of latency. However, compute costs for inference are orders of magnitude cheaper than for training, and typically only require a few GPUs or TPUs, making embedding-based regression still very feasible for most academic labs or industries.

**Smoothness Computations:** One limitation is that our smoothness analysis is only feasible when one has online access to  $f(\cdot)$  as in the case of BBOB functions but not offline real world data, since one needs to obtain arbitrarily close (x, x') pairs to understand local behaviors within the feature space. Our analysis however, may be extendable to any space  $\mathcal{X}$  (e.g. combinatorial) which admits a distance metric.

# 5 Conclusion

We thoroughly investigated multiple important aspects around the use of LLM embeddings for traditional regression. We found that LLM embeddings can be quite performant for input spaces with high degrees of freedom, and proposed the Lipschitz factor distribution to understand the embedding-to-objective landscape and its relationship to regression performance. We further investigated the nuanced conditions for which better language understanding does improve LLM-based regression.

### References

LMSYS: Large model systems organization, 2023. URL https://lmsys.org/.

Anthropic. The claude 3 model family: Opus, sonnet, haiku. 2024.

- Sishuo Chen, Xiaohan Bi, Rundong Gao, and Xu Sun. Holistic sentence embeddings for better out-ofdistribution detection. In Yoav Goldberg, Zornitsa Kozareva, and Yue Zhang (eds.), *Findings of the* Association for Computational Linguistics: EMNLP 2022, pp. 6676–6686, Abu Dhabi, United Arab Emirates, December 2022. Association for Computational Linguistics.
- George E. Dahl, Frank Schneider, Zachary Nado, Naman Agarwal, Chandramouli Shama Sastry, Philipp Hennig, Sourabh Medapati, Runa Eschenhagen, Priya Kasimbeg, Daniel Suo, Juhan Bae, Justin Gilmer, Abel L. Peirson, Bilal Khan, Rohan Anil, Mike Rabbat, Shankar Krishnan, Daniel Snider, Ehsan Amid, Kongtao Chen, Chris J. Maddison, Rakshith Vasudev, Michal Badura, Ankush Garg, and Peter Mattson. Benchmarking neural network training algorithms. CoRR, abs/2306.07179, 2023.
- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. BERT: pre-training of deep bidirectional transformers for language understanding. In Jill Burstein, Christy Doran, and Thamar Solorio (eds.), Proceedings of the 2019 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, NAACL-HLT 2019, Minneapolis, MN, USA, June 2-7, 2019, Volume 1 (Long and Short Papers), pp. 4171–4186. Association for Computational Linguistics, 2019. doi: 10.18653/V1/N19-1423.
- Jesse Dodge, Maarten Sap, Ana Marasovic, William Agnew, Gabriel Ilharco, Dirk Groeneveld, Margaret Mitchell, and Matt Gardner. Documenting large webtext corpora: A case study on the colossal clean crawled corpus. In Marie-Francine Moens, Xuanjing Huang, Lucia Specia, and Scott Wen-tau Yih (eds.), Proceedings of the 2021 Conference on Empirical Methods in Natural Language Processing, EMNLP 2021, Virtual Event / Punta Cana, Dominican Republic, 7-11 November, 2021, pp. 1286–1305. Association for Computational Linguistics, 2021.
- Ouassim Elhara, Konstantinos Varelas, Duc Nguyen, Tea Tusar, Dimo Brockhoff, Nikolaus Hansen, and Anne Auger. Coco: the large scale black-box optimization benchmarking (bbob-largescale) test suite. arXiv preprint arXiv:1903.06396, 2019.
- Marc G. Genton. Classes of kernels for machine learning: a statistics perspective. J. Mach. Learn. Res., 2: 299–312, March 2002. ISSN 1532-4435.
- Ian J. Goodfellow, Jonathon Shlens, and Christian Szegedy. Explaining and harnessing adversarial examples. In Yoshua Bengio and Yann LeCun (eds.), 3rd International Conference on Learning Representations, ICLR 2015, San Diego, CA, USA, May 7-9, 2015, Conference Track Proceedings, 2015.
- Google. Tfx: A tensorflow-based production-scale machine learning platform. https://www.tensorflow.org/tfx, 2023. Accessed: November 1, 2024.
- Google. Gemini: A family of highly capable multimodal models, 2024.
- Google Cloud. Vertex ai automl. https://cloud.google.com/vertex-ai/docs/start/automl-intro, 2023. Accessed: November 1, 2024.
- Dimitris Kalimeris, Gal Kaplun, Preetum Nakkiran, Benjamin L. Edelman, Tristan Yang, Boaz Barak, and Haofeng Zhang. SGD on neural networks learns functions of increasing complexity. In Hanna M. Wallach, Hugo Larochelle, Alina Beygelzimer, Florence d'Alché-Buc, Emily B. Fox, and Roman Garnett (eds.), Advances in Neural Information Processing Systems 32: Annual Conference on Neural Information Processing Systems 2019, NeurIPS 2019, December 8-14, 2019, Vancouver, BC, Canada, pp. 3491–3501, 2019.

- Vladimir Karpukhin, Barlas Oguz, Sewon Min, Patrick S. H. Lewis, Ledell Wu, Sergey Edunov, Danqi Chen, and Wen-tau Yih. Dense passage retrieval for open-domain question answering. In Bonnie Webber, Trevor Cohn, Yulan He, and Yang Liu (eds.), Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing, EMNLP 2020, Online, November 16-20, 2020, pp. 6769–6781. Association for Computational Linguistics, 2020.
- Agustinus Kristiadi, Felix Strieth-Kalthoff, Marta Skreta, Pascal Poupart, Alán Aspuru-Guzik, and Geoff Pleiss. A sober look at llms for material discovery: Are they actually good for bayesian optimization over molecules? In Forty-first International Conference on Machine Learning, ICML 2024, Vienna, Austria, July 21-27, 2024. OpenReview.net, 2024.
- Bohan Li, Hao Zhou, Junxian He, Mingxuan Wang, Yiming Yang, and Lei Li. On the sentence embeddings from pre-trained language models. In Bonnie Webber, Trevor Cohn, Yulan He, and Yang Liu (eds.), Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing, EMNLP 2020, Online, November 16-20, 2020, pp. 9119–9130. Association for Computational Linguistics, 2020.
- Qi Liu, Matt J. Kusner, and Phil Blunsom. A survey on contextual embeddings. CoRR, abs/2003.07278, 2020.
- Behnam Neyshabur, Srinadh Bhojanapalli, and Nathan Srebro. A pac-bayesian approach to spectrallynormalized margin bounds for neural networks. In 6th International Conference on Learning Representations, ICLR 2018, Vancouver, BC, Canada, April 30 - May 3, 2018, Conference Track Proceedings. OpenReview.net, 2018.
- Tung Nguyen, Qiuyi Zhang, Bangding Yang, Chansoo Lee, Jorg Bornschein, Yingjie Miao, Sagi Perel, Yutian Chen, and Xingyou Song. Predicting from strings: Language model embeddings for bayesian optimization, 2024.
- Rodrigo Frassetto Nogueira, Zhiying Jiang, and Jimmy Lin. Investigating the limitations of the transformers with simple arithmetic tasks. *CoRR*, abs/2102.13019, 2021.
- OpenAI. GPT-4 technical report. CoRR, abs/2303.08774, 2023.
- Phitchaya Mangpo Phothilimthana, Amit Sabne, Nikhil Sarda, Karthik Srinivasa Murthy, Yanqi Zhou, Christof Angermueller, Mike Burrows, Sudip Roy, Ketan Mandke, Rezsa Farahani, Yu Emma Wang, Berkin Ilbeyi, Blake A. Hechtman, Bjarke Roune, Shen Wang, Yuanzhong Xu, and Samuel J. Kaufman. A flexible approach to autotuning multi-pass machine learning compilers. In Jaejin Lee and Albert Cohen (eds.), 30th International Conference on Parallel Architectures and Compilation Techniques, PACT 2021, Atlanta, GA, USA, September 26-29, 2021, pp. 1–16. IEEE, 2021.
- Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J. Liu. Exploring the limits of transfer learning with a unified text-to-text transformer. J. Mach. Learn. Res., 21:140:1–140:67, 2020.
- Nils Reimers and Iryna Gurevych. Sentence-bert: Sentence embeddings using siamese bert-networks. In Kentaro Inui, Jing Jiang, Vincent Ng, and Xiaojun Wan (eds.), Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing, EMNLP-IJCNLP 2019, Hong Kong, China, November 3-7, 2019, pp. 3980–3990. Association for Computational Linguistics, 2019.
- Xingyou Song, Oscar Li, Chansoo Lee, Bangding Yang, Daiyi Peng, Sagi Perel, and Yutian Chen. Omnipred: Language models as universal regressors. *CoRR*, abs/2402.14547, 2024.
- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, Aurélien Rodriguez, Armand Joulin, Edouard Grave, and Guillaume Lample. Llama: Open and efficient foundation language models. *CoRR*, abs/2302.13971, 2023.

- Robert Vacareanu, Vlad-Andrei Negru, Vasile Suciu, and Mihai Surdeanu. From words to numbers: Your large language model is secretly A capable regressor when given in-context examples. *CoRR*, abs/2404.07544, 2024.
- Tsui-Wei Weng, Huan Zhang, Pin-Yu Chen, Jinfeng Yi, Dong Su, Yupeng Gao, Cho-Jui Hsieh, and Luca Daniel. Evaluating the robustness of neural networks: An extreme value theory approach. In 6th International Conference on Learning Representations, ICLR 2018, Vancouver, BC, Canada, April 30 - May 3, 2018, Conference Track Proceedings. OpenReview.net, 2018.
- Amir Yazdanbakhsh, Christof Angermüller, Berkin Akin, Yanqi Zhou, Albin Jones, Milad Hashemi, Kevin Swersky, Satrajit Chatterjee, Ravi Narayanaswami, and James Laudon. Apollo: Transferable architecture exploration. CoRR, abs/2102.01723, 2021.

## Appendix

### **A** Extended Experiments

#### A.1 High Dimensional Regression

For full transparency, In Figure 10, we display BBOB functions where LLM-based regression was not consistently dimensionally robust against MLP and XGBoost baselines. Note that even in these cases, we still see certain cases where a language model outperforms at least one of the baselines, e.g. in the Discus and DifferentPowers functions, Gemini and T5 outperform MLP but not XGBoost.



Figure 10: Following Figure 2 in the main body, we present BBOB functions in which LLM embeddings did not completely outperform traditional baselines.

### A.2 Real World Results

Despite Table 1 of the main body showing that there were numerous cases where LLM embeddings outperform traditional ones, we remind the reader in Table 11 that *on average*, LLM embeddings still slightly underperform.



Figure 11: Full Results over real world tasks. Displayed is the mean Kendall-Tau Correlation over all tasks within each family.

### A.3 Performance Correlations

Following Figure 4, in Table 2, we see that the relationship between the smoothness induced by the embedding and the performance in regression is consistent throughout.

Model	DOF=5	DOF=10	DOF=25	DOF=50	DOF=100
Gemini Nano Gemini Pro	$\begin{array}{c} 0.81\\ 0.78\end{array}$	$0.81 \\ 0.77$	$0.70 \\ 0.72$	$0.75 \\ 0.82$	$\begin{array}{c} 0.86\\ 0.88 \end{array}$
T5-Small T5-Large	$0.75 \\ 0.78$	$0.76 \\ 0.73$	$0.79 \\ 0.79$	$0.79 \\ 0.85$	$0.76 \\ 0.79$
T5-XL T5-XXL	$0.82 \\ 0.72$	$0.60 \\ 0.76$	0.80 0.82	$\begin{array}{c} 0.86\\ 0.83\end{array}$	$0.85 \\ 0.83$

Table 2: Full set of data for Pearson correlation  $\rho$  between Kendall's regression performance and gap in NLFD between input and embedding space for regression on all 23 BBOB functions, over DOF=[5, 10, 25, 50, 100].

# **B** Exact Modeling Details

#### B.1 Hyperparameters Used

The full list of hyperparameters and training details for MLP-based regression (using traditional and language model features):

- Regression Head: MLP with 2 ReLU hidden layers of dimension 256.
- y-Normalization: We compute the empirical mean  $\mu$  and standard deviation  $\sigma$  over all y-values in the task's training data, and apply  $y \leftarrow (y \mu)/\sigma$  as a preprocessing step.
- Optimizer: AdamW with sweeped learning rates across {1e-4, 5e-4, 1e-3, 5e-3, 1e-2} and weight decay across {0, 1e-1, 1}.
- Loss: Mean Squared Error.
- Maximum Epochs: 300, with early stopping enabled.

For XGBoost, we additionally grid-searched over the following parameters for each task:

- "min\_child\_weight": [1, 5, 10]
- "learning\_rate": [0.001, 0.01, 0.1]
- "gamma": [0.0, 0.3, 0.5]
- "subsample": [0.6, 0.8, 1.0]
- "colsample\_bytree": [0.6, 0.8, 1.0]
- "max\_depth": [3, 5, 7]

#### B.2 Embedding Sizes

Table 3 displays the embedding  $d_{\text{llm}}$  for each model used in our experiments. As mentioned in the main text, note that  $d_{\text{llm}}$  is significantly larger than  $d_{\text{trad}}$ .

T5 Model	du		
	a <sub>llm</sub>	Gemini Model	$d_{\text{llm}}$
$\operatorname{Small}$	512	Nano	1536
Large	1024	Inano	1000
Large	-	Pro	6144
${ m XL}$	2048	I Iltua	14336
XXL	4096	Ultra	14550
AAL	4030		

Table 3: Embedding dimensions  $d_{\text{llm}}$  for T5 and Gemini model families.

# C Example String Representations

Table 4 contains example string representations of x for different regression task families.

Task Family	Example Representations			
BBOB	x0:0.32, x1:-4.21, x2:3.12, x3:1.56			
AutoML	<pre>batch_size:128, ml_feature_selection_threshold:0.05, model_type:'DNN_ESTIMATOR',</pre>			
	activation_fn:'selu', batch_norm:'False', bucketization_strategy:'mdl',			
	dropout:0.071, hidden_units:359			
Init2Winit	lr_hparams.base_lr:0.0696, opt_hparams.0.hps.one_minus_b1:0.2823,			
	opt_hparams.0.hps.one_minus_b2:0.0432, opt_hparams.1.hps.weight_decay:0.0023			
XLA	<pre>auto_cross_replica_sharding:'False', rematerialization_percent_shared_memory_limit:97,</pre>			
	spmd_threshold_for_windowed_einsum_mib:100000,			
L2DA	<pre>input_activation_memory_depth:11.0, instruction_memory_depth:15.0,</pre>			
	<pre>io_bandwidth_gbps:4.321, narrow_memory_capacity_bytes:21.0,</pre>			

Table 4: Example x representations from each of the regression task families. '...' denotes that there are actually more parameters, but we omit them due to page limits.