000 **PROVING OLYMPIAD INEQUALITIES BY SYNERGIZING** LLMS AND SYMBOLIC REASONING

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Problem (Chen, 2014): If a, b, c are positive reals and $a^2 + b^2 + c^2 = 1$, then $\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le \frac{1}{6ab+c^2} + \frac{1}{6bc+a^2} + \frac{1}{6ca+b^2}$ (1)

Figure 1: We prove inequality problems in math Olympiads that involve a finite number of real variables, hypotheses, and one conclusion. Both the hypotheses and the conclusion consist of constants, variables, algebraic operations (e.g., addition, multiplication), and transcendental functions like exp.

ABSTRACT

Large language models (LLMs) can prove mathematical theorems formally by generating proof steps (a.k.a. tactics) within a proof system. However, the space of possible tactics is vast and complex, while the available training data for formal proofs is limited, posing a significant challenge to LLM-based tactic generation. To address this, we introduce a neuro-symbolic tactic generator that synergizes the mathematical intuition learned by LLMs with domain-specific insights encoded by symbolic methods. The key aspect of this integration is identifying which parts of mathematical reasoning are best suited to LLMs and which to symbolic methods. While the high-level idea of neuro-symbolic integration is broadly applicable to various mathematical problems, in this paper, we focus specifically on Olympiad inequalities (Figure 1). We analyze how humans solve these problems and distill the techniques into two types of tactics: (1) scaling, handled by symbolic methods, and (2) rewriting, handled by LLMs. In addition, we combine symbolic tools with LLMs to prune and rank the proof goals for efficient proof search. We evaluate our framework on 161 challenging inequalities from multiple mathematics competitions, achieving state-of-the-art performance and significantly outperforming existing LLM and symbolic approaches without requiring additional training data.

- 039 1 INTRODUCTION
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041 Automated theorem proving has been a long-standing goal in AI (Newell & Simon, 1956). Recent 042 research explores leveraging large language models (LLMs) to generate formal proofs that can be 043 verified in formal proof systems like Lean (de Moura et al., 2015), opening a new avenue to theo-044 rem proving (Li et al., 2024). This promising approach has already led to tools that assist human 045 mathematicians (Song et al., 2024) and the first AI that achieves silver-medal performance in the International Mathematical Olympiad (IMO) (AlphaProof and AlphaGeometry teams, 2024). 046

047 While LLM-based proof generation shows great promise across various mathematical domains, its 048 performance is constrained by the scarcity of formal proof data. More importantly, it remains an open problem whether LLMs can perform precise and complex symbolic manipulations (Hammond & Leake, 2023). To address these limitations, mechanical symbolic reasoning is still essential. Un-051 like LLMs, symbolic methods leverage domain-specific knowledge to achieve greater efficiency and generalization without relying on extensive training data (Wu, 2008; Heule et al., 2016). Integrating 052 LLMs with symbolic methods presents a promising strategy for tactic generation and theorem proving. This raises a key question: Which aspects of mathematical reasoning are best suited to LLMs,



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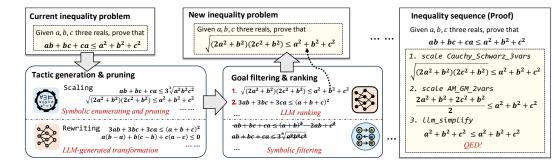


Figure 2: An overview of our neuro-symbolic inequality prover LIPS. By integrating both LLMs and symbolic methods in an iterative process of tactic generation and goal selection, it can generate human-readable and formally verifiable proofs in Lean for Olympiad-level inequality problems.

069 and which to symbolic methods? By exploring this question, we aim to combine the strengths of both approaches effectively. Since symbolic methods are inherently domain-specific, we focus on a concrete domain: inequalities, which offers an ideal balance between feasibility and practicality.

072 Mathematical Inequalities. Inequalities arise from various branches of mathematics (Hardy et al., 073 1952). Their proofs often rely on a relatively small set of fundamental techniques, yet these tech-074 niques can be applied in remarkably intricate and nuanced ways. In this paper, we further con-075 strain our scope to elementary algebraic inequalities (exemplified in Figure 1), which were prevalent 076 in mathematics competitions (Manfrino et al., 2010), e.g., Problem 2 in IMO 2000. Additionally, these inequalities are closely related to quantifier-free real arithmetic in satisfiability modulo theories 077 (SMT) (Barrett & Tinelli, 2018), which have numerous practical applications in formal verification.

079 Even this restricted class of inequalities poses significant challenges that surpass the capabilities of 080 current symbolic or neural provers. Symbolic methods (Yang, 1999; Uray, 2020) partition the vari-081 able space (e.g., \mathbb{R}^3 for three variables) into a finite number of cells, which are then exhaustively enumerated. These approaches suffer from combinatorial explosion and quickly become compu-083 tationally infeasible for competition-level problems. Furthermore, due to their enumerative nature, these methods are black boxes that cannot produce human-readable proofs. On the other hand, neural 084 approaches (Wu et al., 2020; Wei et al., 2024) fine-tune language models to generate formal proofs 085 by predicting tactics or evaluating subgoals during proof search. However, due to data scarcity and difficulties in generalization, they frequently underperform on certain types of problems. 087

Our Approach. We introduce LIPS (LLM-based inequality prover with symbolic reasoning), a neuro-symbolic framework that synergistically combines LLMs with domain-specific symbolic techniques, as illustrated in Figure 2. Specifically, we analyze common proving strategies used by 090 humans in inequality proofs and categorize them into two types of tactics: scaling and rewriting. 091 Scaling tactics apply existing lemmas (e.g., the Cauchy-Schwarz inequality) to scale a subterm in 092 the current goal. The set of lemmas is finite, and each lemma can be applied only in a limited number of ways. Therefore, we can enumerate all possible scaling tactics using symbolic tools like 094 SymPy (Meurer et al., 2017). However, not all scaling tactics are useful for the current goal; over-095 scaling may render the goal invalid. We filter out such invalid scaling tactics by using symbolic tools 096 such as SMT solvers to check for counterexamples of the resulting goals. Rewriting tactics, on the other hand, transform a term into an equivalent form (e.g., subtracting 2ab from both sides of the 098 current goal). Any term can be rewritten in infinite ways, making exhaustive enumeration impos-099 sible. To address this, we use LLMs to generate rewriting tactics by designing a series of prompts for different rewriting formats. By leveraging the mathematical intuition embedded in LLMs, we 100 implicitly prune the infinite tactic space, sampling the most promising equivalent transformations. 101

102 Scaling and rewriting the current goal leads to a set of subgoals with new inequalities to prove. 103 Efficient proof search requires prioritizing the most promising subgoals for further exploration. To 104 this end, we employ two strategies: symbolic filtering and neural ranking. In symbolic filtering, 105 we define two heuristic measures based on inequalities' homogeneity and decoupling properties, which are used to filter out unpromising subgoals. In neural ranking, the remaining subgoals are fed 106 into an LLM, which compares and ranks them using chain-of-thought prompting (Wei et al., 2022). 107 After filtering and ranking, we end up with a small number of ranked subgoals. We then iteratively generate and apply new tactics, filter and rank the resulting subgoals, until the final goal becomes trivially provable, resulting in a proof that is both human-readable and formally verified by Lean.

We evaluate our framework LIPS on 161 challenging inequalities collected from three problem sets (Chen, 2014; Tung, 2012; Wei et al., 2024). The experimental results show that LIPS consistently achieves state-of-the-art performance and significantly outperforms existing neural and symbolic methods in both effectiveness and speed. Remarkably, out of 61 inequality problems sourced from various math Olympiad competitions, LIPS successfully proves 56 within 90 minutes, whereas the previous best approach solves only 41 problems and fails to generate human-readable proofs.

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2 INEQUALITY THEOREM PROVING

In this section, we briefly review the existing neural and symbolic methods for inequality proving. In a nutshell, symbolic methods are mechanical, completing proofs through an exhaustive exploration of the problem space; neural methods are heuristic, using LLMs to search and construct proof steps within a vast tactic space. Each approach offers distinct advantages and faces unique challenges.

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2.1 Symbolic Methods

- 127 Consider a set of polynomial inequalities $\Phi_i \bowtie 0, i = 1, ..., m$, where $\bowtie \in \{\leq, <, \geq, >, =, \neq\}$, 128 and each polynomial is of *n* variables expressed as $\Phi_i(x_1, ..., x_n) := \sum a_{i_1,...,i_n} \cdot x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$, 129 cylindrical algebraic decomposition (CAD) (Arnon et al., 1984; Caviness & Johnson, 2012; Kre-130 mer, 2020) divides the underlying space \mathbb{R}^n into multiple connected semi-algebraic sets, known as 131 *cells*. Within each cell, the sign of every polynomial remains constant (positive, negative, or zero). 132 Figure 6(a) illustrates this process with an example of CAD producing cells for two intersecting 133 unit circles. By exploiting this sign invariance, we can determine the satisfiability of inequalities by 134 enumerating and checking all the cells, rather than searching the entire infinite space \mathbb{R}^n .
- CAD and its variants have been extensively utilized in modern SMT solvers (Jovanović & De Moura, 135 2013; Kremer et al., 2022; Uncu et al., 2023). For inequality theorem proving, CAD transforms the 136 problem into an enumeration task, exhaustively examining all cells to assess whether the inequality 137 can be satisfied. While it is capable, CAD's performance remains unsatisfactory for several reasons. 138 Firstly, CAD's heuristic strategies are primarily designed to efficiently find counterexamples rather 139 than to optimize the proof search process. Secondly, CAD's proving mechanism fails to generate 140 explicit and interpretable reasoning paths, hindering both automatic verification by existing interac-141 tive theorem provers and human interpretation of the proofs. Additionally, CAD suffers from double 142 exponential computational complexity relative to the number of variables n (Davenport & Heintz, 143 1988), causing its efficiency to decrease significantly as the number of variables increases. Notably, 144 when dealing with nonlinear inequalities involving fractions or radical expressions, auxiliary variables are always introduced to eliminate these terms (e.g., converting $\sqrt{x_1} + \sqrt{x_2} + x_3^2 = 0$ into $\{x_4 + x_5 + x_3^2 = 0, x_4^2 = x_1, x_5^2 = x_2\}$). Although this transformation successfully rewrites the 145 146 inequality into polynomial form, it drastically degrades the performance of CAD. 147
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149 2.2 NEURAL METHODS

In contrast to symbolic methods based on CAD, some approaches leverage neural networks to predict tactics within an interactive theorem prover, generating human-like, step-by-step formal proofs. Specifically, these inequality proofs are typically structured in a top-down sequential manner, where each tactic either transforms the current goal into a new subgoal or directly completes the proof (see Figure 6(b)). Figure 6(c) provides an example proof of the inequality $ab + bc + ca \le a^2 + b^2 + c^2$.

Among existing work, INT (Wu et al., 2021) designs a theorem generator for elementary-level inequalities by randomly sampling axioms from a fixed set. It trains a Transformer (Vaswani, 2017) model to predict tactics and utilizes another value network with the Monte Carlo Tree Search to complete proofs. Similarly, AIPS (Wei et al., 2024) implements a synthetic generator that can produce IMO-level inequalities and trains a language model to score each inequality expression in a curriculum manner, performing a best-first search to solve these problems. However, their inequality generators have some restrictions—either limited in difficulty or constrained by specific forms like cyclic symmetry. Moreover, these approaches mainly rely on fine-tuning models on large-scale datasets, making them highly dependent on the quantity and diversity of the training data.

3 TACTIC GENERATION & PRUNING

Compared to general theorem proving, the tactics used in inequality proofs are more well-structured:
 they can all be categorized into two types, namely *scaling* and *rewriting* (Lee, 2004; Ikenaga, 2018).
 Scaling refines the given inequality using a known inequality lemma, such as the arithmetic and geometric means (AM-GM) inequality, while rewriting transforms the given inequality using equivalent transformations, such as multiplying both sides of the inequality by two.

This categorization arises from their unique characteristics. First, scaling tactics rely on a finite set of lemmas, whereas rewriting tactics pertain to a set of equivalent operations that is inherently infinite in size. This fundamental difference leads to varying strategies for tactic generation. Second, scaling tactics involve non-equivalent transformations and may not preserve the provability of the inequality, unlike rewriting tactics, which are based on equivalent transformations. Therefore, the pruning strategies for these two tactics also differ: scaling tactics can be pruned based on the validity of the deduction, while the evaluation of rewriting tactics requires some algebraic intuition.

In the following, we consider the inequality problem in Figure 1 as a running example. To the best
 of our knowledge, the proof for this problem is not readily available online, and neither the most
 advanced LLMs (e.g., OpenAI o1-preview) nor existing CAD solvers can solve this inequality.

184 3.1 SCALING TACTICS

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Given an inequality lemma, we first enumerate all possible values of its arguments (e.g., determining u and v in the two-variable AM-GM inequality $u^2 + v^2 \ge 2uv$). Although this step can be directly implemented using the SymPy pattern_match function (Meurer et al., 2017), it introduces a challenge due to the potentially large number of possible patterns. In our running example, there are a total of 162 possible pattern matches for the two-variable AM-GM inequality, including cases like $\{u := a^2, v := \sqrt{2}\}, \{u := 1, v := \frac{1}{a^2+2}\}.$

Since scaling tactics refine the inequality goal, they may produce potentially incorrect subgoals (i.e., unprovable statements). For instance, applying the AM-GM inequality with the pattern $\{u := a^2, v := \sqrt{2}\}$ would transform the original inequality (1) into

$$\frac{1}{2\sqrt{2}a} + \frac{1}{2\sqrt{2}b} + \frac{1}{2\sqrt{2}c} \le \frac{1}{6ab + c^2} + \frac{1}{6bc + a^2} + \frac{1}{6ca + b^2}.$$
 (2)

However, this inequality does not hold when $a = b = c = \frac{\sqrt{3}}{3}$. Specifically, out of the 162 possible patterns for applying the AM-GM inequality in our running example, only six (i.e., $\{u := a, v := b\}$ and its symmetric or cyclical versions) yield correct deductions. Therefore, we propose using CAD to identify counterexamples in the new inequality goals and eliminate the scaling tactics that produce them. Moreover, since most scaling tactics are incorrect and thus induce counterexamples, CAD can efficiently detect and discard them using well-established heuristic strategies.

To further enhance the efficacy and efficiency of scaling tactic pruning, we propose several additional methods to complement CAD in searching for counterexamples:

Quick Check via Test Cases. When CAD identifies a counterexample for a scaling tactic, we store it as a "test case". For any subsequent scaling tactic, we will perform a quick check using this test case before invoking CAD to determine if the counterexample invalidates the tactic.

Incorporation of Numerical Optimization. Since most inequality problems are differentiable, we also use gradient-based optimization as an effective alternative when CAD fails. Specifically, we rewrite the inequality $f(x) \le g(x)$ into $\min_x [g(x) - f(x)]$, and then integrate Newton's method with simulated annealing to solve it (Fu & Su, 2016; Ma et al., 2019; Ni et al., 2023).

215 Utilization of Prior Knowledge. Additionally, prior knowledge can be leveraged in scaling tactic pruning when the specific form of an inequality problem is known. For example, the inequalities

generated and evaluated by AIPS (Wei et al., 2024) are cyclically symmetric and sufficiently tight to
 achieve equality. Consequently, AIPS verifies the consistency of equality conditions across multiple
 tactics and discards subgoals that either violate these conditions or do not conform to the desired
 form. However, to accommodate a broader range of inequalities, our current framework does not
 incorporate such priors, even though this information could efficiently prune scaling tactics.

3.2 REWRITING TACTICS

Returning to the running example, after pruning scaling tactics, only a few tactics are applicable. We may choose to apply the two-variable AM-GM inequality (with pattern $\{u := a, v := b\}$ and its cyclical versions) and derive a new proof goal from the initial goal (1), formulated as

| 1 | 1 | 1 | 1 . | 1 | 1 | |
|-------------|---------------------|-------------------------|------------------------------|---------------------------------|-----------------------------|-----|
| $a^2 + 2$ + | $-\frac{1}{b^2+2}+$ | $-\overline{c^2+2} \le$ | $\overline{3a^2+3b^2+c^2}$ + | $\frac{1}{3b^2 + 3c^2 + a^2}$ + | $-\frac{1}{3a^2+3c^2+b^2}.$ | (3) |

To solve the current proof goal, some equivalent transformations are required. For example, one may replace the term $\frac{1}{3a^2+3b^2+c^2}$ with $\frac{1}{3-2c^2}$ using the assumption $a^2 + b^2 + c^2 = 1$, which makes the proof goal clearer. However, such transformation insights are inherently creative rather than mechanical and cannot be readily derived through brute-force methods. Consequently, relying solely on symbolic pattern matching tends to be highly ineffective. Moreover, the argument space for rewriting tactics is typically infinite. For example, the assumption $a^2 + b^2 + c^2 = 1$ can be inserted at almost any point within the inequality, significantly broadening the scope of rewriting tactics.

To generate and prune the rewriting tactics, we propose directly prompting an LLM to generate candidates. Specifically, for different operations (e.g., rearrangement, simplification, denominator cancellation, etc.), we design tailored prompts that guide the LLM to transform the current proof goal into an appropriate form. The details of these prompts can be referred to Appendix C. In this setting, tactic pruning is implicitly performed by leveraging the algebraic intuition of LLMs, thereby effectively reducing the space of possible rewriting tactics.

Besides these neural-guided transformation tactics, we incorporate two additional symbolic-based transformation tactics, i.e., the sum-of-squares (Chen, 2013) and tangent line (Li, 2005) tricks. The sum-of-squares trick attempts to rewrite the current expression into a summation of non-negative terms, which is quite useful in cases where the inequality proof requires intricate calculations rather than ingenious manipulations (e.g., proving $2x^2 + 2xy - 3x + y^2 + \frac{9}{4} \ge 0$). The tangent line trick is also a potent technique, particularly in competition-level inequality proving, which simplifies the problem by converting the multivariable inequality into a new single-variable problem.

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4 GOAL FILTERING & SELECTION

The application of scaling and rewriting tactics yields a collection of new proof goals. By combining these newly generated goals with existing unexplored ones, we can derive a candidate set. The next step is to select the most appropriate goal from this candidate set for subsequent proving. In our running example, we can derive 16 new proof goals from the current goal (3). Below, we present three of these new goals, each derived by applying the two-variable AM-GM inequality, the three-variable Titu inequality, and simplifying using the given assumption $a^2 + b^2 + c^2 = 1$, respectively:

$$\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le 2\sqrt{\frac{1}{a^2+3b^2+3c^2} \cdot \frac{1}{b^2+3a^2+3c^2}} + \frac{1}{c^2+3a^2+3b^2}; \quad (4)$$

$$\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le \frac{9}{7a^2+7b^2+7c^2};$$
(5)

$$\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le \frac{1}{3-2a^2} + \frac{1}{3-2b^2} + \frac{1}{3-2c^2}.$$
(6)

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For effective goal selection in proof search, existing methods often train a language model as a value
 function to evaluate and rank each goal in the candidate set. However, limitations in data quantity
 and diversity can significantly degrade the performance of these fine-tuned models. For example, the

largest collected inequality dataset in Lean comprises only 46K samples (Ying et al., 2024), while
the largest synthesized dataset of competition-level inequalities contains merely 191K cyclically
symmetric instances (Wei et al., 2024). Consequently, we opt to directly employ an off-the-shelf
LLM (e.g., GPT-4) without fine-tuning. Furthermore, as more proof goals accumulate, the context
length required for prompting an LLM for goal ranking becomes substantial, potentially leading to
the issue of LLMs being "lost in the middle" (Liu et al., 2024). To address this, we propose dividing
the goal selection pipeline into two stages: symbolic filtering and neural ranking.

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4.1 SYMBOLIC FILTERING

Given a series of proof goals, we first eliminate those that are less promising based on carefully designed symbolic rules. Specifically, we prioritize two key properties: (1) *homogeneity*, meaning both sides of the inequality have the same degree (e.g., $a^2 + b^2 \ge 2ab$); and (2) *decoupling*, which refers to whether the inequality contains mixed-variable terms (e.g., *abc* is considered coupled).

Both properties are reasonable criteria for prioritization. Regarding homogeneity, most substitution
and transformation tactics preserve homogeneity. Hence, a homogeneous inequality allows for a
broader range of tactics to be applied, thereby producing more valuable proof goals. For decoupling,
an inequality with fewer coupled terms is not only clearer but also amenable to a greater variety of
techniques, such as the sum-of-squares and tangent line tricks.

To measure decoupling and homogeneity, we first approximate the proof goal by a polynomial inequality using Taylor expansion. We then compute the expectation of the number of variables in each term and the variance of the degree of each term, respectively. Formally, given a polynomial inequality expressed as $\Phi(x_1, \ldots, x_n) = \sum_{i=1}^k a_{i_1, \ldots, i_n} \cdot x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n} \le 0$, the decoupling score (DC) and homogeneity score (HM) are computed as:

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$$DC(\Phi) = \frac{1}{k} \sum_{i=1}^{k} (\sum_{j=1}^{n} \mathbb{I}(i_j > 0)), \quad HM(\Phi) = \frac{1}{k} \sum_{i=1}^{k} (d_i - \frac{1}{k} \sum_{j=1}^{k} d_j)^2,$$

where $d_i = i_1 + \cdots + i_n$ is the total degree of *i*-th term.

It is worth noting that the homogeneity score and the decoupling score are not always consistent. In our running example, the newly generated goals in (4), (5), and (6) achieve homogeneity scores of 0.56, 0.55, and 0.80, and decoupling scores of 0.44, 0.48, and 0.66, respectively. As a result, we normalize the scores into [0, 1] and then compute the average score to filter the candidates.

4.2 NEURAL RANKING

Symbolic rules are not universally effective. Hence, we use these rules solely to eliminate unpromising proof goals, leaving top-*k* candidates, for final selection by an LLM. Unlike symbolic filtering, which requires explicit definitions of inequality metrics, we use the chain-of-thought prompting (Wei et al., 2022; Chu et al., 2023) to query an LLM to rank the proof goals based on their proving difficulty. The detailed prompt for the running example is shown below.

Prompt of neural ranking

312 I am trying to prove the original inequality: "If a, b, c are positive reals and $a^2 + b^2 + c^2 = 1$, then $\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le \frac{1}{3a^2+3b^2+c^2} + \frac{1}{3b^2+3c^2+a^2} + \frac{1}{3a^2+3c^2+b^2}$ ", and transform it into the following inequalities. 313 314 315 316 $(1) \ \frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le 2\sqrt{\frac{1}{a^2+3b^2+3c^2} \cdot \frac{1}{b^2+3a^2+3c^2}} + \frac{1}{c^2+3a^2+3b^2}$ 317 (2) $\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le \frac{9}{7a^2+7b^2+7c^2}$ (3) $\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \le \frac{1}{3-2a^2} + \frac{1}{3-2b^2} + \frac{1}{3-2c^2}$ 318 319 320 Your task is to rank the transformation results in a descent order. Note that 321 1. Please reason step by step; 322 2. More meaningful transformation, i.e., reduce the proving difficulty, should be ranked higher; 323 3. Put the index of selected inequality within $\begin{subarray}{c} boxed{\{\}}, e.g., \begin{subarray}{c} boxed{\{(1),(2),(3)\}}. \end{array}$

| Dataset | # of Problems | Neural Provers | | | Symbolic Provers | | LIPS | Δ |
|---------|---------------|----------------|------|------------------|------------------|----------------------------|------|-------|
| | | DSP | MCTS | $Aips^{\dagger}$ | CAD [‡] | $M \mathrm{MA}^{\ddagger}$ | | |
| ChenNEQ | 41 | 0.0 | 17.0 | - | 70.7 | 68.2 | 95.1 | 24.4↑ |
| MO-INT | 20 | 0.0 | 15.0 | 50.0 | 60.0 | 60.0 | 80.0 | 20.0↑ |
| 567NEQ | 100 | 0.0 | 4.0 | - | 54.0 | 52.0 | 68.0 | 14.0↑ |
| Total | 161 | 0.0 | 8.6 | - | 59.0 | 57.1 | 76.3 | 17.3↑ |

Table 1: Proof success rates (%) of LIPS and baselines on three datasets. The best performance is in bold. The results show that LIPS consistently achieves superior performance across all datasets.

[†] The code of AIPS has not been publicly available, we only include its originally reported results. [‡] CAD and MMA only output verification results, they cannot produce human-readable proofs.

5 EXPERIMENTS

In this section, we conduct a series of experiments to address the following three research questions:

RQ1: Efficacy – Compared to existing SoTA methods, can LIPS successfully prove more problems?

RQ2: Efficiency – Compared to SoTA methods or alternatives, can LIPS obtain proofs in less time?

RQ3: Scalability – Can LIPS become more effective or efficient by incorporating more scaling lemmas or employing more powerful LLMs?

347 5.1 EXPERIMENTAL SETUP348

Datasets. We evaluate LIPS on three datasets: ChenNEQ, MO-INT-20, and 567NEQ, respectively. ChenNEQ comprises 41 Olympiad-level inequalities collected by Chen (2014); MO-INT is a new competition-level inequality benchmark introduced in AIPS (Wei et al., 2024), featuring 20 problems sourced from IMO shortlists and various national mathematical Olympiads; 567NEQ consists of 567 hard inequalities created by Tung (2012) and we randomly selected 100 problems from the original problem set as the testbed for our framework. To formalize the problems in Lean 4, we directly translate the LaTeX source code into Lean format using manually defined rules.

Baselines. We compare LIPS with five baselines: DSP (Jiang et al., 2022), CAD (Kremer, 2020), 356 MMA (Wolfram Research), MCTS (Wu et al., 2020), and AIPS (Wei et al., 2024). DSP consists of 357 two steps, natural language reasoning generation and proof autoformalization, and we instantiate 358 the LLM used in each step GPT-40. MCTS (a.k.a Monte Carlo tree search) has been explored in 359 previous studies (Wu et al., 2020) and serves as an alternative method for proof goal selection. AIPS 360 is an inequality prover system based on SymPy, which has demonstrated the capability to prove 361 competition-level inequalities. CAD integrates a series of CAD-based inequality solvers including 362 Z3 (De Moura & Bjørner, 2008), CVC5 (Kremer et al., 2022), RC-CAD (Lemaire et al., 2005), and 363 Bottema (Lu, 1998). MMA, referring to Mathematica, incorporates the CAD algorithm with other 364 reduction strategies, providing a powerful algebraic system for inequality verification (Wolfram Research, 2020). Further implementation details for the baseline methods are provided in Appendix C.

366 Implementation. The detailed processes of tactic generation, goal selection, as well as the overall 367 framework are provided in Appendix B. To construct the tactics, we design a total of 96 scaling 368 tactics and 16 rewriting tactics, each formalized in Lean 4. The corresponding premises and LLM 369 prompts are summarized in Appendix C. For counterexample search in scaling tactic pruning, we 370 integrate four CAD-based solvers (Z3, CVC5, RC-CAD, and Bottema) and implement an optimizer 371 based on SciPy (Virtanen et al., 2020). In symbolic filtering, we fix the size of filtered goal set to 10, 372 as it is the largest size that ensures GPT-4's efficacy. For the LLM involved in transformation tactic 373 generation and proof goal ranking, we use GPT-40 (version Azure-0501).

- 374
- 375 5.2 EXPERIMENTS
- **RQ1 : Efficacy.** We evaluate the proof success rates of LIPS and the five comparative methods across the three datasets. For each proving task, a time limit of 90 minutes is imposed, consis-

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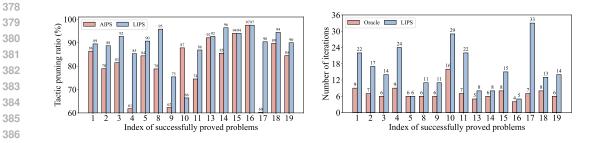


Figure 3: Tactic pruning ratio (\uparrow) and number of iterations (\downarrow) of LIPS. The results illustrate that the tactic pruning method of LIPS is very stable, and outperforms the existing method by 7.92% on average. In addition, the high efficiency of LIPS is derived from accurate goal selection, allowing a proof to be successfully constructed with a small number of goal selection iterations.

392 tent with that of AIPS and the standard problem-solving time constraint in the IMO. The overall 393 results are presented in Table 1. First, we observe that the neural methods (DSP and MCTS) cannot 394 achieve satisfactory performance. An analysis of DSP's results reveals that GPT-40 is unable to pro-395 vide accurate natural language solutions or generate precise formal proofs in the Lean 4 language, 396 resulting in a zero success rate. Alternatively, MCTS struggles to effectively identify the correct 397 reasoning path among numerous proof goals, causing many proving attempts to terminate due to 398 timeouts. We also evaluate the performance of the latest OpenAI o1-preview model on the MO-INT dataset. Through manual inspection of the generated natural language answers, we find that none of 399 problems are correctly solved. Examples of these neural methods are provided in Appendix D. 400

Symbolic provers outperform neural provers, CAD and MMA achieve overall success rates of 59.0%
and 57.1%, respectively. However, LIPS further surpasses symbolic provers by a significant margin of 14.0% to 24.4%. Notably, symbolic provers fail to produce any human-readable reasoning path. In contrast, the proofs generated by LIPS is not only accessible and human-readable, but also have been successfully verified by the Lean theorem prover. For reference, we include two examples (one showcasing a successful proof and the other demonstrating a failed attempt) in Appendix D.

RQ2: Efficiency. Since existing methods are built on different deduction engine, a direct comparison of their proving time could be unfair. Instead, we break down the efficiency evaluation into two
aspects, i.e., the pruning ratio of scaling tactics and the number of iterations in goal selection. Given
that AIPS uses the equality check as the scaling tactic pruning strategy, we compare this approach
with the CAD-based strategy employed in LIPS. Figure 3 provides the result of each problem in the
MO-INT dataset. LIPS outperforms the existing method in 13 out of 20 problems, and achieves an
average improvement of 7.92%.

Furthermore, we count the number of goal selection iterations for each successfully proved problem,
and present the results in Figure 3. Due to the absence of a comparison method, we only include the
oracle (i.e., optimal goal selection) as a reference. We can observe that LIPS performs no more than
33 search loops to successfully obtain a proof, and for 12 out of 16 problems, it exceeds the oracle
by fewer than 10 steps. In addition, LIPS requires an average of 15.75 search loops, which is only
2.17 times that of the oracle (7.25), demonstrating that LIPS's efficiency also stems from its high
accuracy in generating proving paths.

- 421 RQ3: Scability. We conduct four experiments on the ChenNEQ dataset to explore the scalability 422 of LIPS's symbolic and neural components. For the symbolic part, we first examine how expanding 423 the scaling tactics affects the performance of LIPS. To this end, we randomly select 7 sets of scaling tactics with varying sizes and plot the performance curve in Figure 4(a). The results show that the 424 proof success rate consistently increases as more scaling tactics are included, suggesting potential 425 benefits in further enlarging our scaling tactic library. The second experiment investigates the effect 426 of different sizes of the filtered set. The corresponding performance curve is shown in Figure 4(b). 427 We observe that the success rate remains robust (over 85%) with sizes from 8 to 16, but decreases 428 significantly when the set being either too small or too large. 429
- For the neural part, we explore the performance of LIPS with different LLMs serving in rewriting tactic generation and neural ranking. We select three alternative LLMs, i.e., Mathstral 7B (Jiang et al., 2023), LLaMA-3 8B (AI@Meta, 2024), and DeepSeek-chat V2.5 (DeepSeek-AI, 2024). We

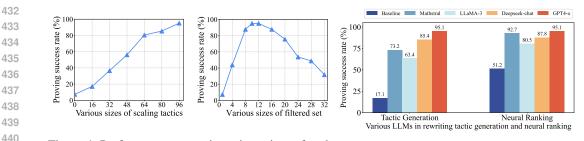
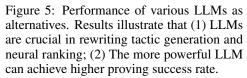


Figure 4: Performance curves in various sizes of scal- Figure 5: Performance of various LLMs as ing tactics and filtered set. Results show that (1) Ad- alternatives. Results illustrate that (1) LLMs dition of scaling tactics can continuously improve the are crucial in rewriting tactic generation and proving success rate; (2) The symbolic filtering is very neural ranking; (2) The more powerful LLM crucial and the size of filtered set is robust.



also include a baseline method as an ablative study. In rewriting tactic generation, the baseline uses SymPy simplify function instead of existing LLM-based rewriting tactics. In neural ranking, we directly use the random selection as the baseline. The proving success rates are provided in Figure 5. The results demonstrate that all three alternative LLMs exhibit strong mathematical intuition, achieving performance comparable to GPT-40 in neural ranking. However, there exists a small decline in performance for rewriting tactic generation, which may be due to differences in instruction following and mathematical reasoning capabilities.

Case study. Besides the running example (1), we provide two additional examples in Appendix E 454 to illustrate that LIPS can discover new proofs, which are previously unavailable online. Moreover, 455 we also present two examples in Appendix E to demonstrate that users can verify human-written 456 proofs by comparing them with the reasoning paths generated by LIPS. 457

- **RELATED WORK** 6
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In this section, we review related work on symbolic methods and LLMs for general mathematical reasoning and formal theorem proving, extending beyond inequality proving problems.

463 Symbolic Tools for Mathematical Reasoning. Symbolic tools are essential for performing ex-464 act computations and formal reasoning in mathematics. Interactive theorem provers such as Is-465 abelle (Paulson, 1994), Coq (Coq, 1996), and Lean (de Moura et al., 2015) enable users to build verifiable proofs manually, ensuring correctness through rigorous formal logic. These systems have 466 been instrumental in formalizing and verifying significant mathematical theorems, including the 467 Four Color Theorem (Gonthier, 2008) and the Kepler Conjecture (Hales et al., 2017). Alternatively, 468 some symbolic reasoning tools aim to solve mathematical problems without human intervention. 469 Automated theorem provers like E (Schulz, 2002) and Vampire (Kovács & Voronkov, 2013) are 470 designed to prove mathematical statements by systematically exploring possible proofs within a 471 logical framework, particularly excelling in first-order logic. SMT solvers such as Z3 (De Moura & 472 Bjørner, 2008) and CVC5 (Barbosa et al., 2022) determine the satisfiability of logical formulas with 473 respect to background theories like arithmetic, bit-vectors, and arrays by integrating logical reason-474 ing with theory-specific decision procedures. Computer algebra systems like Mathematica (Wolfram 475 Research), Maple (Heck & Koepf, 1993), and SymPy (Meurer et al., 2017) manipulate mathemat-476 ical expressions symbolically, supporting functionalities such as simplification, differentiation, in-477 tegration, and equation solving. Despite their capabilities, these automated solvers struggle with competitive mathematical problems and often cannot generate human-readable reasoning steps. 478

479 Machine Learning for Formal Theorem Proving. There is a longstanding tradition of leverag-480 ing machine learning techniques to automate theorem proving (Urban et al., 2008; Gauthier et al., 481 2018; Zhang et al., 2021; Piotrowski et al., 2023; Blaauwbroek et al.). Recently, the emergence 482 of LLMs has opened up new opportunities for advancing mathematical reasoning, especially when combined with formal proof systems for theorem proving (Li et al., 2024). A line of research (Polu 483 & Sutskever, 2020; Wu et al., 2021; Han et al., 2022; First et al., 2023; Yang et al., 2023; Xin et al., 484 2024a; Wu et al., 2024) fine-tunes pre-trained language models on large-scale formal datasets to 485 predict the tactics given a proof goal. Alternative approaches (Jiang et al., 2022; Xin et al., 2024b;

486 Zhao et al., 2024; Zheng et al., 2024; Thakur et al., 2024) integrate LLMs into structured prompt 487 frameworks for formal theorem proving, leveraging information such as natural language proofs or 488 feedback from interactive theorem provers. Some methods (Polu & Sutskever, 2020; Lample et al., 489 2022; Polu et al., 2023; Wang et al., 2023; Wei et al., 2024) also train LLMs as value networks to 490 evaluate each subgoal and guide the proof search to completion. However, these methods primarily rely on LLMs to prune the search space, and their performance heavily depends on the quality and 491 diversity of the training data, which may limit their ability to generalize to novel or more complex 492 mathematical problems. A notable exception and closely related work to ours is AlphaGeome-493 try (Trinh et al., 2024), which uses a language model only to predict auxiliary construction rules, 494 after which its symbolic solver automatically enumerates all inference rules to generate the proof in 495 a specialized language used in GEX (Chou et al., 2000). Compared to their approach, our neuro-496 symbolic framework generates step-by-step proofs in general-purpose formal language Lean and 497 allows for integrating arbitrary symbolic tools that do not require direct proof generation. Further-498 more, our focus on inequality problems spans a much wider range of complex mathematical skills 499 than plane geometry problems. Our techniques could serve as a solid foundation for broader areas 500 of mathematical research such as information theory (Dembo et al., 1991), optimization (Nesterov, 2013), and deep learning (Roberts et al., 2022), making it a suitable pathway to more advanced 501 mathematical problems. 502

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7 LIMITATIONS AND FUTURE WORK

507 While LIPS has shown significant promise in generating formal proofs for Olympiad inequalities, several avenues remain open for enhancement and expansion.

Automating the Formalization of Tactics. Our framework currently relies on a set of manually
 crafted tactics for scaling and rewriting inequalities, such as various forms of the AM-GM inequality.
 This manual effort may impact scalability, given that the effectiveness of our approach is closely tied
 to the breadth of available tactics. Future work could focus on automating the discovery, formaliza tion, and proof of new tactics to expand the tactic library. Developing methods for automatic tactic
 generation would reduce human effort and enhance the framework's scalability and adaptability.

Enhancing the Reasoning Capabilities of LLMs. We leverage the mathematical insights learned
by LLMs in our framework, and there is potential to further improve their reasoning performance.
One promising direction is to collect or generate additional formal inequality problems and their
corresponding proofs to create a richer dataset for fine-tuning LLMs specifically for this task. Some
existing techniques (Li et al., 2024) may be useful for generating diverse and high-quality problems
to enhance the LLMs' capabilities in handling inequalities, leading to better overall performance.

521 Broadening the Application Domain. While our framework currently focuses on Olympiad-level 522 elementary algebraic inequalities, extending it to more complex problems, such as concentration 523 inequalities in machine learning theory, presents an exciting avenue for future research. This would involve improving the symbolic solver to handle inequality structures that consist of infinite vari-524 ables and higher-order concepts like expectations or variances. Developing efficient algorithms and 525 symbolic reasoning methods for these advanced mathematical constructs could significantly broaden 526 the applicability of our neuro-symbolic paradigm. Extending our approach to other mathematical 527 domains holds great potential and is a promising direction for future work. 528

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530 8 CONCLUSION

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In this paper, we introduce a neuro-symbolic framework for generating formal proofs that integrates the mathematical intuition learned by LLMs with domain-specific insights encoded by symbolic methods, specifically focusing on the domain of Olympiad inequalities. We categorize the tactics used in inequality proofs into two types: scaling and rewriting. Symbolic methods are employed to generate and filter scaling tactics by applying a set of lemmas through mechanical symbolic reasoning. LLMs are leveraged to generate rewriting tactics, implicitly pruning the infinite number of equivalent transformations to a manageable set. We further combine symbolic tools with LLMs to prune and rank subgoals, enhancing the efficiency of proof search. Experiments on challenging inequalities from three problem sets show that our neuro-symbolic inequality prover LIPS 540 significantly outperforms both LLMs and symbolic methods, demonstrating the effectiveness of the 541 neuro-symbolic integration and laying a solid foundation for its adoption in broader domains. 542

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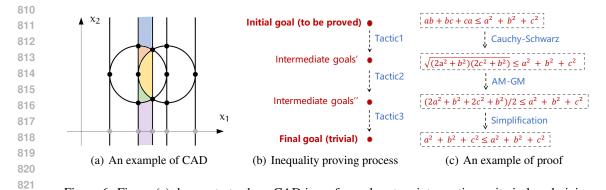


Figure 6: Figure (a) demonstrates how CAD is performed on two intersecting unit circles, deriving multiple sign-invariant cells (i.e., colored region). Figure (b) illustrates the process of inequality proving, which constructs a chain of proof goals by iteratively applying tactics; Figure (c) provides a corresponding instantiation of proving $ab + bc + ca \le a^2 + b^2 + c^2$.

A SYMBOLIC METHODS VS. NEURAL METHODS

Figure 6(a) illustrates how symbolic methods work in proving inequalities. Symbolic methods are based on the CAD algorithm, which divides the underlying space \mathbb{R}^n into multiple connected semialgebraic sets. In each cell, the sign of every polynomial remains constant (positive, negative, or zero). Therefore, we just need sample one point to determine the satisfiability of each cell, rather than scanning the whole \mathbb{R}^n space. One can refer to Caviness & Johnson (2012); Arnon et al. (1984); Jirstrand (1995) for more details of CAD algorithm.

Figure 6(b) and 6(c) illustrates a commonly used paradigm in inequality proving. In a nutshell, a
theorem prover often starts with the proof goal, then iteratively transform it into a simpler forms
until the final version can be easily confirmed. This approach has two main advantages. First, it
ensures that the resulting proof can be more easily formalized in formal languages such as Lean.
Second, since the hypotheses involved in inequality proofs are often straightforward, scaling and
rewriting the proof goal is typically more efficient.

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B PSEUDO CODE OF LIPS

Algorithm (3) outlines the overall process of LIPS proof generation. The detailed steps for tactic
 generation and pruning are provided in Algorithm 1, while the specifics for goal filtering and ranking
 are described in Algorithm 2.

| Inpu | ut: A proof goal g; A lemma library of scaling tactics Φ and a prompt set of rewriting tactic |
|------|---|
| | Ψ ; A language model M . |
| | put: Tactic set T. |
| 1: | Initialize the factic set $T = \{\}$ |
| | for t in Φ do \triangleright Scaling tactic generation |
| 3: | Obtain arguments of the tactic t using pattern_match on the goal Φ . |
| 4: | Check the tactic t (with derived arguments) via the symbolic solvers. |
| 5: | if no counterexamples exist then > Tactic pruning & Solver updat |
| 6: | Add the tactic t into the tactic set T . |
| 7: | else |
| 8: | Update the symbolic solvers by including newly detected counterexamples. |
| 9: | end if |
| 10: | end for |
| 11: | for t in Ψ do \triangleright Rewriting tactic generation & pruning |
| 12: | Obtain arguments of the tactic t by prompting the LLM. |
| 13: | Add the tactic t (with derived arguments) into the the tactic set T . |
| 14: | end for |

| | t: A goal candidate set Ω . | |
|---|---|---------------------------------------|
| | put: A ranked set Ω' . | |
| | initialize a new goal set $\Omega' = \{\}$. | |
| 2: f | for g in Ω do | Symbolic goal filtering |
| 3: | Compute the homogeneity α and decoupling β for g. | |
| 4: | Define the average score of the goal g by $(\alpha + \beta)/2$. | |
| | end for | |
| | Prompt the LLM to rank the first ten goals in Ω' | Neural goal ranking |
| | Obtain arguments of the tactic t by prompting the LLM. | |
| 8: I | Re-rank the goal set Ω' according to LLM's responses. | |
| npu 1 | rithm 3 Overall proof generation process of LIPS it: A formal inequality problem g_0 ; A lemma library of scalir ewriting tactics Ψ ; A language model M . | ng tactics Φ and a prompt set o |
| Inpu 1 Outp | it: A formal inequality problem g_0 ; A lemma library of scalir ewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. | ng tactics Φ and a prompt set o |
| Inpu 1 Outp 1: 1 | it: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. | ng tactics Φ and a prompt set o |
| Inpu 1 Outp 1: 1 | t: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, do$ | ng tactics Φ and a prompt set o |
| Inpu 1 Outr 1: 1 2: f | t: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . but: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, \mathbf{do}$ Select the first goal g in S for exploration. | |
| Inpu 1 Outp 1: 1 2: f 3: | t: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, do$ | rrent goal g. |
| Inpu 1 Outr 1: 1 2: 1 3: 4: | t: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, \mathbf{do}$ Select the first goal g in S for exploration. Obtain the tactic set T by applying Algorithm (1) on the cur for t in T do | rrent goal g. ⊳ Tactic application |
| Inpu 1 Outp 1: 1 2: 1 3: 4: 5: | t: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, \mathbf{do}$ Select the first goal g in S for exploration. Obtain the tactic set T by applying Algorithm (1) on the cur | rrent goal g. ⊳ Tactic application |
| Inpu 1 0 1: 1 2: 1 3: 4: 5: 6: | t: A formal inequality problem g_0 ; A lemma library of scalin rewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, \mathbf{do}$ Select the first goal g in S for exploration. Obtain the tactic set T by applying Algorithm (1) on the cur for t in T do Apply the tactic to the current g in Lean, deriving a new | rrent goal g. ⊳ Tactic application |
| Inpu 1 Outp 1: 1 2: 1 3: 4: 5: 6: 7: | it: A formal inequality problem g_0 ; A lemma library of scalin ewriting tactics Ψ ; A language model M . put: A proof formalized in Lean or timeout. Initialize the candidate goal set $\Omega = \{g_0\}$. For $i = 1,, do$ Select the first goal g in S for exploration. Obtain the tactic set T by applying Algorithm (1) on the cur for t in T do Apply the tactic to the current g in Lean, deriving a new Add the new goal g' into the the candidate goal set Ω . | rrent goal g. ⊳ Tactic application |

C ADDITIONAL DETAILS FOR EXPERIMENTS

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The experiments were conducted on a Linux server equipped with 4 Intel(R) Xeon(R) Platinum 8280L CPU @ 2.80GHz. The server ran Ubuntu 22.04 with GNU/Linux kernel 6.5.0-1015-azure. Each proving task was performed within a docker sandbox, utilizing 204 assigned CPU cores.

897 Neural provers. For DSP, we directly use the official code, and adapt it to Lean language and 898 GPT-40 (version Azure-05-01). MCTS is implemented based on classic upper confidence bounds 899 applied to trees algorithm (Kocsis & Szepesvári, 2006). The value function is defined as $f(\phi) =$ 900 $v_{\phi} + C\sqrt{\log(N_{\phi})/n_{\phi}}$, where n_{ϕ} is the number of the proof goal ϕ is selected and explored, N_{ϕ} 901 represents the number of ϕ 's parent represents selected and explored, and C is a hyperparameter 902 set to $C = \sqrt{2}$. For the average reward v_{ϕ} of the proof goal ϕ , we use the same heuristic function 903 as Wei et al. (2024), which calculates the maximum depth of the expression trees on both sides.

Symbolic provers. For CAD, we utilize a portfolio including a suite of solvers, i.e., Z3, CVC5, RC-CAD, and Bottema. It will claim the problem is successfully proved if any one of four tools outputs unsat, and vice vica. Among four tools, Z3 and CVC5 are two popular SMT solvers; RC-CAD refers to the CylindricalAlgebraicDecompose function in Maple 2024 RegularChain package;¹
Bottema is a CAD-based inequality prover developed by Yang (1999).² As to MMA, we integrate two commands, i.e., Reduce and FindInstance in Wolfram-Mathematica (version 13.0.1), and apply the same peripheral logic with CAD.

LIPS. In our framework, the symbolic solver employed for pruning scaling tactics also consists of solvers Z3, CVC5, RC-CAD, and Bottema, complemented by a numerical optimizer grounded in SciPy (Virtanen et al., 2020). The time limit of searching counterexamples is set to 5 seconds.
Scaling tactics encompasses a comprehensive array of inequality lemma, including AM-GM, AM-HM, Cauchy-Schwarz, Power Mean, Chebyshev, Muirhead, Jensen, Titu, Schur, Holder inequalities,

https://www.maplesoft.com/support/help/maple/view.aspx?path=RegularChains

²https://faculty.uestc.edu.cn/huangfangjian/en/article/167349/content/2378.htm

```
theorem NEQ_AM_GM_left_2vars (u v k l right : \mathbb{R}) (hk : k ≥ 0) (h : k *
   (u ^ 2 + v ^ 2) / 2 + l ≤ right) : k * (u * v) + l ≤ right := by
   suffices (u - v) ^ 2 ≥ 0 by nlinarith
   positivity
theorem NEQ_AM_GM_right_2vars (u v k l left : \mathbb{R}) (hk : k ≥ 0) (h : left
        ≤ 2 * k * (u * v) + l) : left ≤ k * (u ^ 2 + v ^ 2) + l := by
   suffices (u - v) ^ 2 ≥ 0 by nlinarith
   positivity
```

Figure 7: Two examples of AM-GM inequality encoded as scaling tactics

Prompts of Rewriting Tactics Generation (Simplification)

Task

| ### 1ask |
|---|
| Your task is to use the condition {condition}, rearrange and rewrite the expression given by user |
| into an absolutely different form. |
| ### Notice |
| 1. Please reason step by step |
| 2. Only four operators, add, sub, multiply, and division, can be used, and should NOT introduce |
| new variables |
| 3. Put the final results within $\begin{subarray}{l} \begin{subarray}{l} subar$ |
| ### Response |
| User: |
| {problem} |
| Assistant: |
| |

Prompts of Rewriting Tactics Generation (Others)

Task
You should rewrite the inequality given by the user according to the rule {rule}
Notice
1. Please reason step by step
2. Follow the given example, and output the result for given inequality
3. Put the final results within \\boxed{{}}, e.g., \\boxed{{x + 1/y - z}}
Example
User:
{example_problem}
Assistant:
{example_answer}
Response
User:
{problem}
Assistant:

 Figure 8: Prompts of simplification and other operations used for generating rewriting tactics

as well as a selection of valuable inequalities contributed by Lászió Kozma.³ To facilitate their
 application in Lean 4, we have developed multiple variations of each inequality, accounting for
 different numbers of variables and directions. Figure 7 illustrate our scaling tactics using two variable AM-GM inequality.

For rewriting tactics, we design 16 relevant operations, i.e., simplification w/o assumptions, simplification w/ assumptions, completing the square, variable substitution, expression expansion, expression rearrangement, expression multiplication, cancellation of denominators/numerators, cancellation of powers, extraction/cancellation of common factors, separation/reduction of fractions,

³https://www.lkozma.net/inequalities_cheat_sheet/ineq.pdf

sum-of-squares trick, and tangent line trick. Except for sum-of-squares trick and tangent line trick implemented based on SymPy, we use two prompt template for these operations, shown in Figure 8.
For each operation, we repeatedly query the LLM three times to cover more rewriting tactics. We use low-temperature setting of GPT-40 in rewriting tactic generation and neural ranking (T=0.1, top_p=1.0, max_token=2048).

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D ADDITIONAL EXPERIMENTAL RESULTS

A Failed Case of DSP Prompt: Draft an informal solution similar to below. The informal solution will be used to sketch a formal Lean proof. Here are some examples: Example: Informal: (* ### Problem {Problem-1} ### Solution {Solution-1} *) Informal: (* ### Problem {Problem-2} ### Solution {Solution-2} *) Informal: (* ### Problem if a, b, c are positive real numbers, then $\frac{a}{b} + \frac{b}{c} + \frac{c}{a} \ge \sqrt{\frac{a^2 + 1}{b^2 + 1}} + \sqrt{\frac{b^2 + 1}{c^2 + 1}} + \sqrt{\frac{c^2 + 1}{a^2 + 1}}$ Informal solution: ### Solution We will use the AM-GM inequality which states that for non-negative real numbers x and y, the arithmetic mean is greater than or equal to the geometric mean: $\frac{x+y}{2} \ge \sqrt{xy}$. First, let's consider the terms on the left-hand side one by one and apply AM-GM to each term along with its corresponding term on the right-hand side For the term $\frac{a}{b}$ and its corresponding term $\sqrt{\frac{a^2+1}{b^2+1}}$, we apply AM-GM to $\frac{a}{b}$ and $\frac{a}{b} \cdot \frac{1}{\frac{a^2+1}{b^2+1}} = \frac{b^2+1}{a}$: $\frac{\frac{a}{b} + \frac{b^2 + 1}{a}}{2} \ge \sqrt{\frac{a}{b} \cdot \frac{b^2 + 1}{a}} = \sqrt{\frac{a^2 + 1}{b^2 + 1}}.$ Repeating this process for $\frac{b}{c}$ with $\sqrt{\frac{b^2+1}{c^2+1}}$ and $\frac{c}{a}$ with $\sqrt{\frac{c^2+1}{a^2+1}}$, we obtain: $\frac{\frac{b}{c} + \frac{c^2 + 1}{b}}{2} \ge \sqrt{\frac{b^2 + 1}{c^2 + 1}}$ and $\frac{\frac{c}{a} + \frac{a^2 + 1}{c}}{2} \ge \sqrt{\frac{c^2 + 1}{a^2 + 1}}.$ Adding these three inequalities together, we get: $\frac{1}{2}\left(\frac{a}{b} + \frac{b}{c} + \frac{c}{a} + \frac{b^2 + 1}{a} + \frac{c^2 + 1}{b} + \frac{a^2 + 1}{c}\right) \ge \sqrt{\frac{a^2 + 1}{b^2 + 1}} + \sqrt{\frac{b^2 + 1}{c^2 + 1}} + \sqrt{\frac{c^2 + 1}{a^2 + 1}}.$ Notice that the left-hand side contains the terms $\frac{a}{b} + \frac{b}{c} + \frac{c}{a}$ and additional terms. Since $\frac{b^2+1}{a} + \frac{b}{a}$ $\frac{c^2+1}{b} + \frac{a^2+1}{c} > 0$ (because all terms are positive), we can drop these additional terms to strengthen the inequality: $\frac{a}{b} + \frac{b}{c} + \frac{c}{a} \ge \sqrt{\frac{a^2 + 1}{b^2 + 1}} + \sqrt{\frac{b^2 + 1}{c^2 + 1}} + \sqrt{\frac{c^2 + 1}{a^2 + 1}}.$ Thus, we have shown that the original inequality holds for any positive real numbers a, b, c^*) **Prompt:** Translate the informal solution into a sketch of the formal Lean 4 proof. Add 'suggest_tactics' in the sketch whenever possible. 'suggest_tactics' will be used to call the automated

theorem prover. Here are some examples:

1026 Example: 1027 Informal: (* ### Problem {informal_problem} ### Solution {informal_solution} 1028 Formal: {formal_problem} 1029 Informal: (* ### Problem {informal_problem} ### Solution {informal_solution} 1030 Formal: 1031 **Formal solution:** 1032 1033 import Mathlib.Data.Real.Basic 1034 import Mathlib.Tactic.Linarith 1035 import Mathlib.Tactic.SuggestTactics 1036 theorem inequality_amgm (a b c : \mathbb{R}) (ha : 0 < a) (hb : 0 < b) (hc 1037 : 0 < c) : 1038 $(a / b) + (b / c) + (c / a) \ge$ 1039 (sqrt ((a² + 1) / (b² + 1))) + (sqrt ((b² + 1) / (c² + 1040 1))) + $(sqrt ((c^2 + 1) / (a^2 + 1))) :=$ 1041 bv - Apply the Arithmetic Mean-Geometric Mean Inequality (AM-GM) 1042 have am_gm_ab := real.am_gm (a / b) ((b^2 + 1) / a), 1043 have $am_gm_bc := real.am_gm (b / c) ((c^2 + 1) / b),$ have $am_gm_ca := real.am_gm (c / a) ((a^2 + 1) / c),$ 1045 1046 -- The AM-GM inequality gives us the following: -- am_gm_ab : (a / b + (b² + 1) / a) / 2 ≥ sqrt ((a / b) * 1047 $((b^2 + 1) / a))$ 1048 - am_gm_bc : (b / c + (c^2 + 1) / b) / 2 \ge sqrt ((b / c) * 1049 $((c^2 + 1) / b))$ 1050 -- $am_{gm}ca$: (c / a + (a² + 1) / c) / 2 \geq sqrt ((c / a) * 1051 ((a² + 1) / c)) 1052 -- Now, we sum the three inequalities: 1053 linarith [am_gm_ab, am_gm_bc, am_gm_ca], 1054 1055 -- Simplify the right-hand side to match the terms of the 1056 original inequality -- 'suggest_tactics' could assist in automating algebraic 1057 simplifications here: 1058 suggest_tactics, 1059 -- Conclude the proof using positivity of additional terms -- Positivity of terms like $(b^2 + 1) / a$ and others should be straightforward 1062 have pos_terms : $(b^2 + 1) / a + (c^2 + 1) / b + (a^2 + 1) / c >$ 1063 0. 1064 { apply add_pos, -- breaking it down into multiple positive parts 1065 all_goals { apply div_pos; linarith } }, 1066 -- Dropping the additional positive terms from the left-hand 1067 side to strengthen the inequality 1068 linarith [pos_terms], 1069 ### Explanation of the Steps: 1070 1) Initial Setup and Imports: We start by importing necessary modules from Mathlib, such as basic 1071 real number operations and the linarith tactic for linear arithmetic. 1072 2) Statement of the Theorem: The theorem inequality_amgm is stated for three positive real numbers 1073 a, b, and c. The assumptions ha, hb, and hc enforce the positivity conditions. 1074 3) 4) 1075 ### Conclusion 1076 This sketch outlines the formal structure of the proof and highlights where automation can assist. 1077 1078 1079

A Failed Case of OpenAI o1-preview

Prompt: Please solve the following problem: For a > 0, b > 0, c > 0, d > 0 such that $a \cdot b + b \cdot c + c \cdot d + d \cdot a = 1$, show that:

$$\frac{a^3}{b+c+d} + \frac{b^3}{c+d+a} + \frac{c^3}{d+a+b} + \frac{d^3}{a+b+c} \ge \frac{1}{3}$$

Informal solution:

To solve this problem, we aim to show that for positive real numbers a, b, c, d satisfying ab + bc + cd + da = 1, the inequality

$$\frac{a^3}{b+c+d} + \frac{b^3}{c+d+a} + \frac{c^3}{d+a+b} + \frac{d^3}{a+b+c} \geq \frac{1}{3}$$

holds true.

 Step 1: Simplify the Inequality. First, note that

$$b + c + d = (a + b + c + d) - a = S - a,$$

where S = a + b + c + d. Thus, the inequality can be rewritten as:

$$\sum_{\text{cyclic}} \frac{a^3}{S-a} \ge \frac{1}{3}.$$

Step 2: Analyze the Function. Consider the function

$$f(x) = \frac{x^3}{S-x} \quad \text{for} \quad x \in (0, S)$$

We will investigate the convexity of this function to apply Jensen's inequality. Compute the second derivative:

$$f''(x) = \frac{2S^2 + 2Sx + x^2}{(S - x)^3} > 0 \quad \text{for} \quad x \in (0, S)$$

This shows that f(x) is convex on (0, S).

Step 3: Apply Jensen's Inequality. Since f(x) is convex, Jensen's inequality states that:

$$\frac{1}{4}\sum_{i=1}^{4} f(a_i) \ge f\left(\frac{1}{4}\sum_{i=1}^{4} a_i\right) = f\left(\frac{S}{4}\right)$$

Compute $f\left(\frac{S}{4}\right)$:

$$f\left(\frac{S}{4}\right) = \frac{\left(\frac{S}{4}\right)^3}{S - \frac{S}{4}} = \frac{\left(\frac{S}{4}\right)^3}{\frac{3S}{4}} = \frac{S^2}{48}$$

Therefore, the sum becomes:

$$\sum_{\text{cyclic}} \frac{a^3}{S-a} \ge 4 \cdot \frac{S^2}{48} = \frac{S^2}{12}$$

Step 4: Relate S to the Given Condition. From the given condition ab + bc + cd + da = 1, and using the Cauchy-Schwarz inequality:

$$(ab + bc + cd + da) \le \frac{1}{2} (a^2 + b^2 + c^2 + d^2)$$

But since ab + bc + cd + da = 1, we get:

$$a^2 + b^2 + c^2 + d^2 \ge 2$$

Also, note that

$$S^{2} = (a + b + c + d)^{2} \ge 4(a^{2} + b^{2} + c^{2} + d^{2}) \ge 8$$
, so $S^{2} \ge 8$.

Therefore:

$$\sum_{\text{cyclic}} \frac{a^3}{S-a} \ge \frac{S^2}{12} \ge \frac{8}{12} = \frac{2}{3}$$

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But this contradicts the desired inequality, suggesting a miscalculation. Step 5: Test the Equality Case. Consider $a = b = c = d = \frac{1}{2}$. Then:

$$ab + bc + cd + da = 4\left(\frac{1}{2} \cdot \frac{1}{2}\right) = 1$$

Compute the left-hand side:

$$\sum_{\text{cyclic}} \frac{a^3}{b+c+d} = 4 \cdot \frac{\left(\frac{1}{2}\right)^3}{\frac{3}{2}} = 4 \cdot \frac{1}{\frac{3}{2}} = 4 \cdot \frac{1}{8} \cdot \frac{2}{3} = \frac{1}{3}$$

This shows that equality holds when $a = b = c = d = \frac{1}{2}$. Step 6: Conclude the Proof Since the function $f(x) = \frac{x^3}{S-x}$ is convex, the minimum of the sum occurs when al

l variables are equal, i.e.,
$$a = b = c = d$$
. Therefore, the inequality holds true:

$$\frac{a^3}{b+c+d} + \frac{b^3}{c+d+a} + \frac{c^3}{d+a+b} + \frac{d^3}{a+b+c} \ge \frac{1}{3}.$$

A Failed Case of LIPS

Problem (USAMO 2004): Let a, b, c be positive reals. Prove that

$$(a+b+c)^3 \le (a^5-a^2+3) * (b^5-b^2+3) * (c^5-c^2+3)$$

Formal solution:

1160 theorem Problem_3dP5 {a b c : \mathbb{R} } (ha : a > 0) (hb : b > 0) (hc : c 1161 > 0) : $(a + b + c)^{3} \le (a^{5} - a^{2} + 3) * (b^{5} - b^{2} + 2)$ 3) * $(c^{5} - c^{2} + 3) := by$ 1162 scale NEQ_Cauchy_Schwarz_left_sqrt_3vars (u1 := 1) (u2 := 1) (u3 1163 := 1) (v1 := a) (v2 := b) (v3 := c) ... 1164 scale NEQ_weighted_AM_GM_left_3vars (u := a + b + c) (v := 3) (w 1165 $:= a^2 + b^2 + c^2$ (i1 := 1) (i2 := 1/2) (i3 := 1/2) ... 1166 llm_frac_reduce ... 1167 llm_cancel_denom ... scale NEQ_Cauchy_Schwarz_sqrt_left_2vars (u1 := 1) (u2 := 1) (v1 1168 := a) (v2 := a) ... 1169 llm_simplify ... 1170 llm_rearrange ... 1171 llm_simplify ... 1172 llm_rearrange ... llm_simplify ... 1173 llm_rearrange ... 1174 llm_frac_apart ... 1175 llm_simplify ... 1176 llm_rearrange ... 1177 llm_frac_apart ... llm_simplify ... 1178 llm_simplify ... 1179 llm_rearrange ... 1180 llm_simplify ... 1181 llm_rearrange ... 1182 llm_simplify ... $llm_factor ... = 4*sqrt (2)*sqrt (b^2 + c^2)*(3 + a^2 + b^2 + c^2)$ 1183 $+ 2*a + 2*b + 2*c)^{2} + (1 + a)^{2}*(3 + a^{2} + b^{2} + c^{2} + 2*a + b^{2})^{2}$ 1184 $2*b + 2*c)^2 - (64*(3 + a^5 - a^2)*(3 + b^5 - b^2)*(3 + c^5 - c^5)*(3 + c^5 - b^2)*(3 + c^5 - c^5)*(3 + c^5)$ 1185 **c**^2)) 1186 FAIL (TIMEOUT) ! 1187

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A Successful Case of LIPS **Problem (Evan Chen):** If a, b, c are positive reals and $a^2 + b^2 + c^2 = 1$, then $\frac{1}{a^2+2} + \frac{1}{b^2+2} + \frac{1}{c^2+2} \leq \frac{1}{6ab+c^2} + \frac{1}{6bc+a^2} + \frac{1}{6ca+b^2}.$ Formal solution: theorem Problem_2d4e1 {a b c : \mathbb{R} } (ha : a > 0) (hb : b > 0) (hc : c > 0) (h : a ^ 2 + b ^ 2 + c ^ 2 = 1) : 1 / (a ^ 2 + 2) + 1 / $(b^{2} + 2) + 1 / (c^{2} + 2) \le 1 / (6 * a * b + c^{2}) + 1 / (c^{2} + 2) \le 1 / (6 * a * b + c^{2}) + 1 / (c^{2} + 2) + 1 / (c^{2} + 2) \le 1 / (c^{2} + 2)$ $(6 * b * c + a ^ 2) + 1 / (6 * c * a + b ^ 2) := by$ scale NEQ_AM_GM_div_square_right_2vars (u := b) (v := c) ... scale NEQ_AM_GM_div_square_right_2vars (u := b) (v := a) ... scale NEQ_AM_GM_div_square_right_2vars (u := a) (v := c) ... llm_simplify ... llm_simplify ... llm_rearrange ... sym_tangent_line ... $llm_simplify ... = 27/49 - (27*a^2/49) - (27*b^2/49) - (27*c^2/49)$ try close SUCCESS!

E SOME MORE CASES OF LIPS

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1233 1234 A Different Proof Generated by LIPS (1)

Problem: Let a, b, c be three positive reals. Prove that if abc = 1, then $a^2 + b^2 + c^2 \ge a + b + c$ Source: https://web.evanchen.cc/handouts/Ineq/en.pdf **Formal solution:** theorem Example_1d7 {a b c : \mathbb{R} } (h : a * b * c = 1) : a + b + c \le a ^ 2 + b ^ 2 + c ^ 2 := by scale NEQ_AM_GM_left_square_2vars (u := 1) (v := a) ... scale NEQ_AM_GM_left_square_2vars (u := 1) (v := c) ... llm_rearrange ... llm_simplify ... = 3/2 - a^2/2 - b^2/2 - c^2/2 llm_rearrange (left := 3/2) (right := a^2/2 + b^2/2 + c^2/2) scale NEQ_AM_GM_right_normal_3vars (u := a^2/2) (v := b^2/2) ... llm_simplify ... = 1 / 8 try close

Note: At first glance, "AM-GM alone is hopeless here, because whenever we apply AM-GM, the left and right hand sides of the inequality all have the same degree". However, LIPS find a proof by using $1 \times a \le \frac{a^2+1}{2}$, $1 \times b \le \frac{b^2+1}{2}$, $1 \times c \le \frac{c^2+1}{2}$.

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A Different Proof Generated by LIPS (2)

Problem (JMO 2012): Let a, b, c be positive reals. Prove that

$$\frac{a^3 + 5b^3}{3a + b} + \frac{b^3 + 5c^3}{3b + c} + \frac{c^3 + 5a^3}{3c + a} \ge \frac{3}{2}(a^2 + b^2 + c^2).$$

Source: https://artofproblemsolving.com/community/c5h476722p2669114

Formal solution:

theorem Problem_3de6'' {a b c : \mathbb{R} } (ha : a > 0) (hb : b > 0) (hc : $\begin{array}{c} c > 0) : (3 / 2) * (a ^ 2 + b ^ 2 + c ^ 2) \leq (a ^ 3 + 5 * b ^ 3) \\ (3 * a + b) + (b ^ 3 + 5 * c ^ 3) / (3 * b + c) + (c ^ 3 + 5) \end{array}$ $5 \star a^{3}$ / $(3 \star c + a) := by$ llm_cancel_factor ... scale NEQ_Muirhead2_onestep_right_3vars (u := a) (v := b) ... scale NEQ_Muirhead1_left_3vars (u := c) (v := a) (w := b) ... scale NEQ_Muirhead1_left_3vars (u := a) (v := b) (w := c) ... scale NEQ_Muirhead2_onestep_left_3vars (u := a) (v := b) ... llm_cancel_factor ... scale NEQ_Muirhead2_onestep_right_3vars (u := a) (v := b) ... llm_simplify ... scale NEQ_Muirhead2_left_3vars (u := c) (v := b) (w := a) ...

Note: A completely mechanical proof, achieved by iteratively applying Muirhead inequality six times after canceling the denominator

LIPS Checks Existing Answers (1)

Problem (Japan 1997): Prove that

 $P = \frac{(b+c-a)^2}{(b+c)^2+a^2} + \frac{(c+a-b)^2}{(c+a)^2+b^2} + \frac{(a+b-c)^2}{(a+b)^2+c^2}$

By Cauchy Swarchz inequality, we have

but we know that $(a + b + c)^2 \ge 3(ab + bc + ca)$,

 $P \ge \frac{(a+b+c)^2}{3(a+b+c)^2 - 4(ab+bc+ca)^2}$

$$\frac{(b+c-a)^2}{(b+c)^2+a^2} + \frac{(c+a-b)^2}{(c+a)^2+b^2} + \frac{(a+b-c)^2}{(a+b)^2+c^2} \ge \frac{3}{5}$$

(a² + b² + c² + (a + b)² + (b + c)² + (c + a)²)(P) > (a + b + c)²

Source: https://artofproblemsolving.com/community/c6h146p537

 $(3(a² + b² + c²) + 2(ab + bc + ca))(P) \ge (a + b + c)²$

 $(3(a+b+c)^2 - 4(ab+bc+ca))(P) \ge (a+b+c)^2$

Informal solution No.1 (provided by AoPS community): Solution:

Let :

Hence,

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 $P \ge \frac{3(ab+bc+ca)}{9(ab+bc+ca)-4(ab+bc+ca)} = \frac{3}{5}$... Note: the proof is correct if all variables are assumed to be positive. However, without this assumption, applying Cauchy-Schwarz is incorrect, and a counterexample is successfully found by our symbolic solver [c := 8.0, a := 1/8, b := (-1.0)]. This problem is proved by LIPS using llm_simplification and tangent_line trick tactics.

LIPS Checks Existing Answers (2) Problem (Japan 1997): Prove that $\frac{\left(b+c-a\right)^2}{\left(b+c\right)^2+a^2} + \frac{\left(c+a-b\right)^2}{\left(c+a\right)^2+b^2} + \frac{\left(a+b-c\right)^2}{\left(a+b\right)^2+c^2} \ge \frac{3}{5}$ Source: https://artofproblemsolving.com/community/c6h146p537 -----Informal solution No.2 (provided by AoPS community): don't use so much of mathematics on that simple problem.. :P :D here's the solution using TITU's lemma.. The lemmaif x, y, a, b are reals and x, y > 0 then $\frac{a^2}{x} + \frac{b^2}{y} \ge \frac{(a+b^2)}{(x+y)}$ simply applying the lemma on the lhs twice we get $LHS \ge \frac{(a+b+c)^2}{3(a^2+b^2+c^2)+2(ab+bc+ca)}$ by AM-GM $(a+b+c)^2 \ge 3(ab+bc+ca)$ and $3(a^2+b^2+c^2)+2(ab+bc+ca) \ge 5(ab+bc+ca)$... Note: it appears that the issue arises from the inequality being in the wrong direction. Ac-tually, the problem is unprovable when applying the Titu's lemma (a counterexample found by our symbolic solver: [b = 1.0, a = 1.0, c = 0.5])