# Diving into Unified Data-Model Sparsity for Class-Imbalanced Graph Representation Learning

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## Abstract

Even pruned by the state-of-the-art network compression methods, recent research shows that deep learning model training still suffers from the demand of massive data usage. In particular, Graph Neural Networks (GNNs) trained upon non-Euclidean graph data often encounter relatively higher time costs, due to its irregular and nasty density properties, compared with data in the regular Euclidean space (e.g., image or text). Another natural property accompanied with graphs is class-imbalance which cannot be alleviated even with massive data, therefore hinders GNNs' ability in generalization. To fully tackle these unpleasant properties, (i) theoretically, we introduce a hypothesis about to what extent a subset of the training data can approximate the full dataset's learning effectiveness. The effectiveness is further guaranteed and proved by the gradients' distance between the subset and the full set; (ii) empirically, we discover that during the learning process of a GNN, some samples in the training dataset are informative in providing gradients to update model parameters. Moreover, the informative subset evolves dynamically during the training process, for samples that are informative in the current training epoch may not be so in the next one. We refer this observation as dynamic data sparsity. We also notice that sparse subnets pruned from a well-trained GNN sometimes forget the information provided by the informative subset, reflected in their poor performance upon the subset. Based on these findings, we develop a unified data-model dynamic sparsity framework named Graph Decantation (GraphDec) to address challenges brought by training upon a massive class-imbalanced graph dataset. The key idea of GraphDec is to identify the informative subset dynamically during the training process by adopting sparse graph contrastive learning. Extensive experiments on multiple benchmark datasets demonstrate that GraphDec outperforms state-of-the-art baselines for the class-imbalanced graph classification and class-imbalanced node classification tasks, with respect to classification accuracy and data usage efficiency.

# 1 Introduction

Graph representation learning (GRL) [24] has shown remarkable power in dealing with non-Euclidean structure data (e.g., social networks, biochemical molecules, knowledge graphs). Graph neural networks (GNNs) [24, 12, 40], as the current state-of-the-art of GRL, have become essential in various graph mining applications. To learn the representation of each node reflecting its local structure pattern, GNNs gather the information from its neighborhoods, and pass the aggregated message along edges. This topology-aware mechanism enables GNNs to achieve superior performance over different tasks.

NeurIPS 2022 New Frontiers in Graph Learning Workshop (NeurIPS GLFrontiers 2022).

However, in many real-world scenarios, graph data often preserves two properties: massiveness [37, 19] and class-imbalance [31]. Firstly, message-passing over nodes of high degrees brings about heavy computation burdens. Some calculations are redundant in that not all neighbors are informative regarding learning task-related embeddings. Unlike regular data such as images or texts, the connectivity of irregular graph data invokes random memory access, which further slows down the efficiency of data readout. Secondly, class-imbalance naturally exists in datasets from diverse practical domains, such as bioinformatics and social networks. GNNs are sensitive to this property and can be biased toward the dominant classes. This bias may mislead GNNs' learning process, resulting in underfitting samples that are of real importance to the downstream tasks, and poor test performance at last.

Accordingly, recent studies [3, 47, 31] arise to address the issues of massiveness or class-imbalanced in graph data. To tackle the massiveness issue, [8, 2] explore efficient data sampling policies to reduce the computational cost from the data perspective. From the model improvement perspective, some approaches design the quantization-aware training and low-precision inference method to reduce GNNs' operating costs. For example, GLT [3] applies the lottery ticket technique [10] to simplify graph data and GNN model simultaneously. To deal with the imbalance issue in node classification on graphs, GraphSMOTE [47] tries to generate new nodes for the minority classes to



Figure 1: The principle of graph decantation. It decants data samples based on rankings of their gradient scores, and then uses them as the training set in the next epoch.

balance the training data. Improved upon GraphSMOTE, GraphENS [31] further proposes a new augmentation method by constructing an ego network to learn the representations of the minority classes. Despite progresses made so far, existing methods fail to tackle the two issues altogether. Furthermore, while one of the issues is being handled, extra computation costs are introduced at the same time. For example, the rewind steps in GLT [3] which search for lottery subnets and subsets heavily increase the computation cost, although the final lotteries are lightweight. The newly synthetic nodes in GraphSMOTE [47, 1] and GraphENS [31], although help alleviate the data imbalance, bring extra computational burdens for the next-coming training process.

Regarding the above issues, we observe that, compared with the original GNN model trained with class-imbalanced graph data, the one pruned upon it easily "forgets" the minorities as it yields worse performance than the original GNN model. To investigate the cause of the observation, we study how each graph sample affects the parameter updating process by taking a closer look at the gradients each of them brings about. Specifically, at early training stages, we identify a small subset of the samples providing the most informative supervisory signals reflected by the magnitudes of the gradient norms. We hypothesize that the training effectiveness of the full training set can be approximated, to some extent, by that of the subset. Furthermore, we believe that the effectiveness of the approximation is guaranteed by the distance between the gradients of the subset and the full dataset.

Based on the above, we propose a novel method called **Graph Dec**antation (GraphDec) to guide a dynamic sparsity training from both the model and data aspects. The principle behind GraphDec is shown in Figure 1. Since informative samples tend to bring about higher gradient magnitudes, our method relies on contrastive self-supervised learning to dynamically direct the model in identifying the disadvantaged but informative samples during the training process, coupled with our designed contrastive backbone with a sparse GNN. In comparison, other learning processes (e.g., graph auto-encoder, supervised learning) are either unable to identify informative samples or incapable of learning in a self-supervised manner. In a nutshell, our proposed framework scores samples in the current training set and keep only k most informative samples as training set for the next epoch. The framework also incorporate a data recycling process to randomly recycle prior discarded samples (i.e., samples that are considered unimportant in the previous training epochs) by re-involving them in the current training process. The dynamically updated subset supports the sparse GNN to learn relatively unbiased representations. To summarize, our contributions in this work are:

- We develop a novel framework, Graph Decantation, which leverages dynamical sparse graph contrastive learning on class-imbalanced graph data for efficient data usage. To our best knowledge, this is the first study to explore the dynamic sparsity property for class-imbalanced graphs.
- We introduce cosine annealing to dynamically control the sizes of the sparse GNN model and the graph data subset to smooth the training process. Meanwhile, we introduce data recycling to refresh the current data subset and avoid overfitting.
- Comprehensive experiments on multiple benchmark datasets demonstrate that GraphDec outperforms state-of-the-art methods for both the class-imbalanced graph classification and classimbalanced node classification tasks. Additional results show that GraphDec dynamically finds an informative subset across the training epochs effectively.

# 2 Related Work

**Graph Contrastive Learning.** Contrastive learning is first established for image tasks and then receives considerable attention in the field of graph representation learning [5]. Contrastive learning is based on utilizing instance-level identity as supervision and maximizing agreement between positive pairs in hidden space by contrast mode [39, 16, 46]. Recent research in this area seeks to improve the efficacy of graph contrastive learning by uncovering more difficult views [43, 45]. However, the majority of available approaches utilize a great deal of data. By identifying important subset from the entire dataset, our model avoids this issue.

**Training deep model with sparsity.** Parameter pruning aiming at decreasing computational cost has been a popular topic and many parameter-pruning strategies are proposed to balance the trade-off between model performance and learning efficiency [6, 25]. Some of them belong to the static pruning category and deep neural networks are pruned either by neurons [15, 14] or architectures (layer and filter) [17, 7]. In contrast, recent works propose dynamic pruning strategies where different compact subnets will be dynamically activated at each training iteration [27, 29, 33]. The other line of computation cost reduction lies in the dataset sparsity [22, 26, 32]. Recently, the property of sparsity is also used to improve model robustness [4, 11]. In this work, we attempt to accomplish dynamic sparsity from both the GNN model and the graph dataset simultaneously.

**Class-imbalanced learning on graphs.** Excepting conventional node re-balanced methods, like reweighting samples [47, 31] and oversampling [47, 31], an early work [48] characterizes rare classes through a curriculum strategy, while other previous works [35, 47, 31] tackles the class-imbalanced issue by generating synthetic samples to re-balance the dataset. Compared to the node-level task, graph-level re-balancing is under-explored. A recent work [41] proposes to utilize neighboring signals to alleviate graph-level class-imbalance. To the best of our knowledge, our proposed GraphDec is the first work to solve the class-imbalanced for both the node-level and graph-level tasks.

# 3 Methodology

In this section, we first theoretically illustrate our sparse subset approximation hypothesis, which states that if the gradients of a data subset approximate well to those of the full data set, the model trained on subset performs closely well to the one trained with the full set. Guided by this hypothesis, we design GraphDec to continuously refine a compact training subset with the dynamic graph contrastive learning methodology. In detail, we describe procedures about how to rank the importance of each sample, smooth the refining procedure, and avoid overfitting. The relevant preliminaries of GNNs, graph contrastive learning, and network pruning are provided in Appendix B.

## 3.1 Sparse Subset Approximation Hypothesis

Firstly, we propose the sparse subset approximation hypothesis to show how a model trained with a subset data  $\mathcal{D}_S$  can approximate the effect of a model trained with full data  $\mathcal{D}$ . This hypothesis explains why the performance of a model trained with a subset data selected by specific methods (e.g., data diet [32]) achieves performance close to the one's trained on the full dataset.

**Theorem 1** For a data selection algorithm, we assume the model is optimized via full gradient descent. At epoch t where  $t \in [1, T]$ , denote the model's parameters as  $\theta^{(t)}$  where  $\|\theta^{(t)}\|^2 \leq d^2$  and d is constant, the optimal model's parameters as  $\theta^*$ , subset data as  $\mathcal{D}_S^{(t)}$ , and learning rate as  $\alpha$ .



Figure 2: The overall framework of GraphDec: (i) The dynamic sparse graph contrastive learning model computes gradients for graph/node samples; (ii) The input samples are sorted according to their gradients; (iii) Part of samples with the smallest gradients are thrown into the recycling bin; (iv) Part of samples with the largest gradients in the current epoch and some sampled randomly from the recycling bin are jointly used as training input in the next epoch.

Define gradient error  $Err(\mathbb{D}_{S}^{(t)}, \mathcal{L}, \mathcal{L}_{train}, \theta^{(t)}) = \left\| \sum_{i \in \mathbb{D}_{S}^{(t)}} \nabla_{\theta} \mathcal{L}_{train}^{i}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)}) \right\|$ , where  $\mathcal{L}$  denotes training loss  $\mathcal{L}_{train}$  over the full training data or validation loss  $\mathcal{L}_{val}$  over the full validation data.  $\mathcal{L}$  is a convex function. Then we have the following guarantee:

If  $\mathcal{L}_{train}$  is Lipschitz continuous with parameter  $\sigma_T$  and  $\alpha = \frac{d}{\sigma_T \sqrt{T}}$ , then  $\min_{t=1:T} \mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*) \leq \frac{d\sigma_T}{\sqrt{T}} + \frac{d}{T} \sum_{t=1}^{T-1} Err(\mathcal{D}_S^{(t)}, \mathcal{L}, \mathcal{L}_{train}, \theta^{(t)}).$ 

The detailed proof is provided in Appendix A. According to the above hypothesis, one intuitive illumination is that reducing the distance between gradients of the subset and the full set, formulated as  $\left\|\sum_{i\in\mathcal{D}_{S}^{(t)}} \nabla_{\theta}\mathcal{L}_{train}^{i}(\theta^{(t)}) - \nabla_{\theta}\mathcal{L}(\theta^{(t)})\right\|$ , is the key to minimize the gap between the performance of the model trained with the subset and the optimal model, denoted as  $\mathcal{L}(\theta) - \mathcal{L}(\theta^*)$ . From the perspective of minimizing  $\left\|\sum_{i\in\mathcal{D}_{S}^{(t)}} \nabla_{\theta}\mathcal{L}_{train}^{i}(\theta^{(t)}) - \nabla_{\theta}\mathcal{L}(\theta^{(t)})\right\|$ , the success of data diet [32] (a prior coreset algorithm) is understandable: data diet computes each sample's error/gradient norm based on a slightly-trained model, then deletes a small portion of the full set, which can be represented as  $\mathcal{D}_{S}^{(t)} = D - \mathcal{D}_{S}^{(t)}$ . The gradients  $\sum_{j\in\mathcal{D}_{S}^{(t)}} \nabla_{\theta}\mathcal{L}_{train}^{i}(\theta^{(t)})$  of the removed data samples are much smaller than that of the remaining data samples  $\sum_{i\in\mathcal{D}_{S}^{(t)}} \nabla_{\theta}\mathcal{L}_{train}^{i}(\theta^{(t)})$ . As we will show in the experiments (Section 4.5), the static data diet cannot always capture the most important samples across all epochs during training [32]. Although the rankings of all elements in  $\mathcal{D}_{S}$  are seemly kept static and unchangeable, the rankings of the elements in full training dataset  $\mathcal{D}$  change much more actively than the diet subset  $\mathcal{D}_{S}$ 's, which implies the gradients of the one-shot subset  $\sum_{i\in\mathcal{D}_{S}^{(t)}} \nabla_{\theta}\mathcal{L}_{train}^{i}(\theta^{(t)})$ ).

#### 3.2 Graph Decantation

We follow the above Theorem 1 to design GraphDec to achieve competitive performance and efficient data usage simultaneously by filtering out the most influential data subset. The overall framework of GraphDec is illustrated in Figure 2. The training processes are summarized into four steps: (i) First, compute gradients of all  $M^{(t)}$  graph/node samples in *t*-th epoch from contrastive learning loss; (ii) The gradients are then normalized and the corresponding graph/node samples are ranked in a descending order by their magnitudes; (iii) We then decay the number of samples from  $M^{(t)}$  to  $M^{(t+1)}$  with cosine annealing and only keep the top  $(1 - \epsilon)M^{(t+1)}$  samples ( $\epsilon$  is the exploration rate which controls the ratio of the randomly re-sampled samples from the recycle bin. The rest samples will be thrown into the recycle bin temporarily; (iv) Finally, randomly re-sample  $\epsilon M^{(t+1)}$  samples from the recycled bin, and these samples union the ones selected in step (iii) will be used for model training in the t + 1 epoch. We describe each of these four steps in details in the followings.

**Compute gradients by dynamic sparse graph contrastive learning model.** Given a graph training set  $\mathcal{D} = \{G_i\}_{i=1}^N$  as input, our dynamic sparse graph contrastive learning model (DS-GCL) takes two augmented views G' and G'' of the original graph  $G \in \mathcal{D}$  as inputs. In detail, for each graph sample, DS-GCL has two GNN branches  $f_{\theta_1}(\cdot)$  and  $f_{\theta_2}(\cdot)$ , which are pruned on-the-fly from an original GCN  $f_{\theta}(\cdot)$  by a dynamic sparse pruner. For example, at  $l_{th}$  graph convolutional layer of  $f_{\theta}(\cdot)$ , a fraction of connections with the largest weight magnitudes are kept, which are chosen by the following formulation:

$$\theta_{pruned}^{l_{th}} = \text{TopK}(\theta^{l_{th}}, k), k = \alpha^{(t)} \times |\theta^{l_{th}}|,$$
(1)

where  $\text{TopK}(\cdot, k)$  refers to the operation to choose the top-k largest elements of  $\theta^{l_{th}}$  and  $\alpha^{(t)}$  is the fraction of remaining neural connections, controlled by the cosine annealing:

$$\alpha^{(t)} = \frac{\alpha^{(0)}}{2} \left\{ 1 + \cos(\frac{\pi t}{T}) \right\}, t \in [1, T],$$
(2)

where  $\alpha^{(0)}$  is initialized as 1. In addition, some new connections are activated using the current gradient information. Every few epochs, the pruned neural connections are all re-involved in loss backward by the following formulation:

$$\mathbb{I}_{\theta^{l_{th}}} = \operatorname{ArgTopK}(\nabla_{\theta^{l_{th}}}\mathcal{L}, k), k = \alpha^{(t)} \times |\theta^{l_{th}}|,$$
(3)

where  $\operatorname{ArgTopK}$  returns indices of top-k largest elements and  $\mathbb{I}_{\theta_{pruned}^{lth}}$  denotes elements' indices in

 $l_{th}$  layer weights  $\theta^{l_{th}}$ . These reactivated weights are then combined with other remaining connections for model pruning in the next iteration. We save the gradient values of all samples and use them in the next step. The benefits brought from DS-GCL reflects in two perspectives: (a) it scores the graph samples without any labeling effort from humans, compared with graph active learning; (b) it is more sensitive in selecting informative samples, verified in Appendix D.

**Rank graph samples according to their gradients'**  $L_2$  **norms.** Since gradients of all graph samples in  $\mathcal{D}_S^{(t)}$  ( $\mathcal{D}_S^{(t)} = \mathcal{D}$  when t = 0) at t-th epoch are already saved, we can calculate their gradients'  $L_2$  norms. For example, a graph input  $G_i \in \mathcal{D}_S^{(t)}$  will be scored by its gradient norm:

$$g(x^{(t)}) = \left\| \nabla_{f_{\theta_{pruned}}} \mathcal{L}(f_{P\theta_{pruned}(G')}, f_{\theta_{pruned}}(G'')) \right\|_{2}.$$
 (4)

In this work, we adopt the popular contrastive loss InfoNCE [38], and the gradient of G is computed as:

$$\nabla_{f_{\theta_{pruned}}} \mathcal{L}(f_{\theta_{pruned}}(G'), f_{\theta_{pruned}(G'')}) = p(\theta_{pruned}, G') - p(\theta_{pruned}, G''), \tag{5}$$

where  $p(\theta_{pruned}, G')$  and  $p(\theta_{pruned}, G'')$  denote model's predictions of G' and G'' with pruned parameters  $\theta_{pruned}$ , respectively. All the graph samples in  $\mathcal{D}_S^{(t)}$  are ranked according to their scores, which are of later use.

**Decay the size of**  $\mathcal{D}_S$  **by cosine annealing.** In this step, we aim to prune the size of the subset for the next t + 1 training epoch. To smooth this pruning procedure, we apply cosine annealing to control the decay rate. Specifically, the size  $M^{(t+1)}$  is computed as follows:

$$M^{(t+1)} = \frac{M^{(0)}}{2} \left\{ 1 + \cos(\frac{\pi(t+1)}{T}) \right\}, t \in [1,T].$$
(6)

It smoothly refines  $\mathcal{D}_S$  and avoids manually choosing the training epoch for one-shot selection as in data diet [32].  $M^{(t+1)}$  sets the number of graph samples in  $\mathcal{D}_S^{(t+1)}$  for the next t + 1 epoch.

As we will show in Figure 3 for the experiments, at early training, some graph samples have low scores/importance. However, in the later training epochs, these graph samples yield much higher scores once given more patience in training. Upon this observation, we believe that it is worthwhile to not permanently discard samples with low scores at the current training epoch, since some samples in removal set  $\bar{\mathcal{D}}_S = \mathcal{D} - \mathcal{D}_S^{(t)}$  might be re-identified as high-scored samples if they can be re-involved into the training process. From the opposite direction, if a model is only trained with a subset of graph samples that are highly scored in the early training stage, the training effect of such a model cannot approximate the full training set's gradient effects well. Based on this analysis, this step ease the dilemma by applying cosine annealing to control the removal rate of  $\mathcal{D}_S^{(t)}$  during training, instead of hastily scoring out a subset in one-shot mode like data diet.

Recycle removed graph samples for next training epoch. In the last step, we already have the ranked  $\mathcal{D}_S^{(t)}$  and the subset size  $M^{(t+1)}$  for t+1 epoch. Our next goal is to update the elements in  $\mathcal{D}_S^{(t+1)}$  for the next epoch. When updating elements in  $\mathcal{D}_S^{(t+1)}$ , since we think currently low-scored samples may still have the potential to be highly-scored, removed samples are randomly recovered. We use an exploration rate  $\epsilon$  to remove  $\epsilon M^{(t+1)}$  lowest-scores graph samples in  $\mathcal{D}_S^{(t)}$  and recycles  $\epsilon M^{(t+1)}$  samples from  $\mathcal{D}_S^{(t-1)}$ . At the same time, we keep  $(1 - \epsilon)M^{(t+1)}$  graph samples with highest scores from  $\mathcal{D}_S^{(t)}$  to  $\mathcal{D}_S^{(t+1)}$ . The overall  $\mathcal{D}_S^{(t+1)}$ 's update is formulated as follows:

$$\mathcal{D}_{S}^{(t+1)} = \operatorname{TopK}(\mathcal{D}_{S}^{(t)}, (1-\epsilon)M^{(t+1)}) \bigcup \operatorname{SampleK}(\bar{\mathcal{D}_{S}}^{(t-1)}, \epsilon M^{(t+1)}),$$
(7)

where SampleK( $\bar{\mathcal{D}}_{S}^{(t-1)}, \epsilon M^{(t+1)}$ ) returns randomly sampled  $\epsilon M^{(t+1)}$  samples from  $\bar{\mathcal{D}}_{S}^{(t-1)}$ . Given the compact sparse subset  $\mathcal{D}_{S}^{(t+1)}$ , we use it for model training in the next epoch and repeatedly execute this pipeline until T epoch.

## 4 Experiments

In this section, we conduct extensive experiments to validate the effectiveness of our proposed model for both the graph and node classification tasks under imbalanced datasets. We also conduct ablation study and informative subset evolution analysis to further prove the effectiveness. Due to space limit, more analysis validating GraphDec's properties and resource cost are provided in Appendix D and E.

#### 4.1 Experimental Setup

**Datasets**. We validate our model on various graph benchmark datasets for the two classification tasks under the class-imbalanced data scenario. For the class-imbalanced graph classification task, we choose the seven validation datasets in G<sup>2</sup>GNN paper [41], i.e., MUTAG, PROTEINS, D&D, NC11, PTC-MR, DHFR, and REDDIT-B in [28]. For the class-imbalanced node classification task, we choose the five datasets in the GraphENS paper [31], i.e., Cora-LT, CiteSeer-LT, PubMed-LT [34], Amazon-Photo, and Amazon-Computers. Detailed descriptions of these datasets are provided in the Appendix C.1.

**Baselines**. We compare our model with a variety of baselines methods with different rebalance methods. For class-imbalanced graph classification, we consider three rebalance methods, i.e., vanilla (without re-balancing when training), up-sampling [41], and re-weight [41]. For each rebalance method, we run three baseline methods including GIN [44], InfoGraph [36], and GraphCL [46]. In addition, we adopt two versions of  $G^2GNN$  (i.e., remove-edge and mask-node) [41] for indepth comparison. For class-imbalanced node classification, we consider nine baseline methods including vanilla, re-weight [20], oversampling [31], cRT [21], PC Softmax [18], DR-GCN [35], GraphSMOTE [47], and GraphENS [31]. We adopt Graph Convolutional Network (GCN) [24] as the default architecture for all rebalance methods. Further details about the baselines are illustrated in Appendix C.2.

**Evaluation Metrics**. To evaluate model performance, we choose F1-micro (F1-mi.) and F1-macro (F1-ma.) scores as the metrics for the class-imbalanced graph classification task, and accuracy (Acc.), balanced accuracy (bAcc.), and F1-macro (F1-ma.) score for the node classification task.

**Experimental Settings**. We adopt GCN [24] as the GNN backbone of GraphDec for both the tasks. In particular, we concatenate a two-layers GCN and a one-layer fully-connected layer for node classification, and add one extra average pooling operator as the readout layer for graph classification. We follow [41] and [31] varying the imbalance ratios for graph and node classification tasks, respectively. In addition, we take GraphCL [46] as the graph contrastive learning framework, and cosine annealing to dynamically control the sparsity rate in the GNN model and the dataset. The initial sparsity rate for the model  $\alpha^{(0)}$  is set to 0.8, and the one for the dataset  $\beta^{(0)}$  is set to 1.0. After the contrastive pre-training, we take the GCN output logits as the input to the Support Vector Machine for fine-tuning. GraphDec is implemented in PyTorch and trained on NVIDIA V100 GPU.

#### 4.2 Class-imbalanced Graph Classification Performance

The evaluated results for the graph classification task on class-imbalanced graph datasets are reported in Table 1, with the best performance and runner-ups bold and underlined, respectively. From the

Rebalance	Basis	MUTAC	G (5:45)	PROTEI	NS (30:270	))   D&D	D&D (30:270)		NCI1 (100:900)		ity (%)	
Method	Dusis	F1-ma.	F1-mi.	F1-ma.	F1-mi.	F1-ma.	F1-mi.	F1-ma.	F1-mi.	data	model	
vanilla	GIN InfoGraph GraphCL	52.50 69.11 66.82	56.77 69.68 67.77	25.33 35.91 40.86	28.50 36.81 41.24	9.99 21.41 21.02	11.88 27.68 26.80	18.24 33.09 31.02	18.94 34.03 31.62	100 100 100	100 100 100	
up-sampling	GIN InfoGraph GraphCL	78.03 78.62 80.06	78.77 79.09 80.45	65.64 62.68 64.21	71.55 66.02 65.76	41.15 41.55 38.96	70.56 71.34 64.23	59.19 53.38 49.92	71.80 62.20 58.29	>100 >100 >100	100 100 100	
re-weight	GIN InfoGraph GraphCL	77.00 80.85 80.20	77.68 81.68 80.84	54.54 65.73 63.46	55.77 69.60 64.97	28.49 41.92 40.29	40.79 72.43 67.96	36.84 53.05 50.05	39.19 62.45 58.18	100 100 100	100 100 100	
G <sup>2</sup> GNN	remove edge mask node	80.37 <u>83.01</u>	81.25 <u>83.59</u>	<u>67.70</u> 67.39	73.10 <u>73.30</u>	43.25 43.93	<u>77.03</u> <b>79.03</b>	63.60 <u>64.78</u>	72.97 <u>74.91</u>	100 100	100 100	
GraphDec	dynamic sparsity	85.71	85.71	68.32	75.84	44.01	77.02	65.73	76.02	50	50	
Rebalance	Basis	PTC-M	R (9:81)	DHFR (	12:108)	REDDIT-B	EDDIT-B (50:450)		Avg. Rank		Sparsity (%)	
Method		F1-ma.	F1-mi.	F1-ma.	F1-mi.	F1-ma.	F1-mi.	F1-ma.	F1-mi.	data	model	
vanilla	GIN InfoGraph	17.74 25.85	20.30	35.96	49.46	33.19	36.02	12.00	12.00	100	100	
	GraphCL	23.85	26.71 25.16	50.62 50.55	56.28 56.31	57.67 53.40	67.10 62.19	11.00 10.71	11.14 10.57	100 100	100 100	
up-sampling						57.67	67.10					
up-sampling re-weight	GraphCL GIN InfoGraph	24.22 44.78 44.29	25.16 55.43 48.91	50.55 55.96 59.49	56.31 59.39 61.62	57.67 53.40 66.71 67.01	67.10 62.19 83.00 78.68	10.71 6.00 6.00	10.57 5.43 6.00	100 >100 >100 >100	100 100 100	
	GraphĊL GIN InfoGraph GraphCL GIN InfoGraph	24.22 44.78 44.29 45.12 36.96 44.09	25.16 55.43 48.91 53.50 43.09 49.17	50.55 55.96 59.49 60.29 55.16 58.67	56.31           59.39           61.62           61.71           57.78           60.24	57.67 53.40 66.71 67.01 62.01 45.17 65.79	67.10 62.19 83.00 78.68 75.84 51.92 77.35	10.71 6.00 6.00 6.29 9.86 5.43	10.57 5.43 6.00 6.43 9.86 5.29	$ \begin{array}{r rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	100 100 100 100 100 100	

Table 1: Class-imbalanced graph classification results. Numbers after each dataset name indicate imbalance ratios of minority to majority categories. Best/second-best results are in bold/underline.

table, we find that GraphDec outperforms baseline methods on both the metrics across different datasets, while only uses an average of 50% data and 50% model weights per round. Although a slight F1-micro difference has been detected on D&D when comparing GraphDec to the best baseline  $G^2$ GNN, it is understandable due to the fact that the graphs in D&D are significantly larger than those in other datasets, necessitating specialized designs for graph augmentations (e.g., the average graph size in terms of node number is 284.32 for D&D, but 39.02 and 17.93 for PROTEINS and MUTAG, respectively). However, in the same dataset, G<sup>2</sup>GNN only achieves 43.93 on F1-macro while GraphDec reaches to 44.01, which complements the 2% difference on F1-micro and further demonstrates GraphDec's ability to learn effectively even on large graph datasets. Specifically, models trained under the vanilla setting perform the worst due to the ignorance of the class-imbalance. Up-sampling strategy improves the performance, but it introduces additional unnecessary data usage by sampling the minorities multiple times. Similarly, re-weight strategy tries to address the classimbalanced issue by assigning different weights to different samples. However, it requires the labels for weight calculation and thus may not generalize well when labels are missing.  $G^2GNN$ , as the best baseline, obtains decent performance by considering the usage of rich supervisory signals from both globally and locally neighboring graphs. Finally, the proposed model, GraphDec, achieves the best performance due to its ability in capturing dynamic data sparsity on from both the model and data perspectives. In addition, we rank the performance of GraphDec with regard to baseline methods on each dataset. GraphDec ranks 1.00 and 1.14 on average, which further demonstrates the superiority of GraphDec. Notice that all existing methods utilize the entire datasets and the model weights while GraphDec only uses half of the data and weights to achieve superior performance.

#### 4.3 Class-imbalanced Node Classification Performance

For the class-imbalanced node classification task, we first evaluate GraphDec on three long-tailed citation graphs (i.e., Cora-LT, CiteSeer-LT, PubMed-LT) and report the results on Table 2. We find that GraphDec obtains the best performance compared to baseline methods for different metrics. GraphSMOTE and GraphENS achieve satisfactory performance by generating virtual nodes to enrich the involvement of the minorities. In comparison, GraphDec does not rely on synthetic virtual nodes to learn balanced representations, thereby avoiding the unnecessary computational costs. Similarly to the class-imbalanced graph classification task in Section 4.2, GraphDec leverages only half of the

Method	Cora-LT			CiteSeer-LT			PubMed-LT			A.P. (ρ =82)		A.C. (ρ	A.C. ( $\rho = 244$ )   Spars		ity (%)
	Acc.	bAcc.	F1-ma.	Acc.	bAcc.	F1-ma.	Acc.	bAcc.	F1-ma.	(b)Acc.	F1-ma.	(b)Acc.	F1-ma.	data	mode
vanilla	73.66	62.72	63.70	53.90	47.32	43.00	70.76	57.56	51.88	82.86	78.72	68.47	64.01	100	100
Re-Weight	75.20	68.79	69.27	62.56	55.80	53.74	77.44	72.80	73.66	92.94	92.95	90.04	90.11	100	100
Oversampling	77.44	70.73	72.40	62.78	56.01	53.99	76.70	68.49	69.50	92.46	92.47	89.79	89.85	>100	100
cRT	76.54	69.26	70.95	60.60	54.05	52.36	75.10	67.52	68.08	91.24	91.17	86.02	86.00	100	100
PC Softmax	76.42	71.30	71.24	65.70	61.54	61.49	76.92	75.82	74.19	93.32	93.32	86.59	86.62	100	100
DR-GCN	73.90	64.30	63.10	56.18	49.57	44.98	72.38	58.86	53.05	N/A	N/A	N/A	N/A	100	100
GraphSmote	76.76	69.31	70.21	62.58	55.94	54.09	75.98	70.96	71.85	92.65	92.61	89.31	89.39	>100	100
GraphENS	<u>77.76</u>	72.94	73.13	66.92	60.19	58.67	78.12	74.13	74.58	93.82	93.81	<u>91.94</u>	<u>91.94</u>	>100	100
GraphDec	78.29	73.94	74.25	66.90	61.56	61.85	78.20	76.05	76.32	93.85	94.02	92.19	92.16	50	50

Table 2: Class-imbalanced node classification results. Best/second-best results are in bold/underline.

Table 3: Ablation study results for both tasks. Four rows of red represent removing four individual components from data sparsity perspective. Four rows of blue represent removing four individual components from model sparsity perspective. Best results are in bold.

		Class-imbal	anced C	Graph C	assification	(F1-ma.	)	Class-imbalanced Node Classification (Acc.)					
Variant	MUTAG	PROTEINS	D&D	NCI1	PTC-MR	DHFR	REDDIT-B	Cora-LT	CiteSeer-LT	PubMed-LT	A. Photos	A. Computer	
GraphDec	85.71	68.32	44.01	65.73	47.07	62.25	69.70	78.29	66.90	78.20	93.85	92.19	
w/o GS	80.10	63.42	36.61	61.80	42.12	48.57	61.40	68.96	60.33	56.22	73.22	67.84	
w/o SS	80.95	63.55	42.19	62.30	45.21	61.99	70.61	77.15	64.67	76.15	79.09	91.33	
w/o CAD	78.41	57.99	40.23	60.61	44.96	50.00	67.15	74.87	62.62	75.35	90.71	83.23	
w/o RS	83.21	59.32	41.65	60.51	35.21	60.99	67.61	73.27	61.32	72.02	87.11	90.38	
w/o RM	44.37	40.42	38.45	34.39	32.14	43.75	64.82	70.97	54.58	70.16	79.01	65.38	
w/o SG	82.63	65.96	42.50	69.10	35.19	61.42	69.16	77.54	67.43	72.43	91.25	90.05	
w/o CAG	83.50	54.04	40.21	51.82	34.20	62.41	64.14	75.78	63.43	73.07	92.77	87.40	
w/o RW	79.25	56.33	38.34	63.00	38.00	61.53	63.16	76.46	65.36	75.54	90.54	89.10	
w/o S.S.	80.07	63.90	39.77	57.22	38.60	62.30	65.67	74.82	65.28	74.00	86.14	86.40	

data and weights to achieve the best performance, whereas all baselines perform worse even with the complete dataset and weights. To validate the efficacy of the proposed model on the real-world data, we evaluate GraphDec on naturally class-imbalanced benchmark datasets (i.e., Amazon-Photo and Amazon-Computers). We see that GraphDec has the best performance on both datasets, which demonstrates our model's effectiveness with data sourced from different practical scenes.

#### 4.4 Ablation Study

Since GraphDec is a unified learning framework relying on multiple components (steps) to employ dynamic sparsity training from both the model and dataset perspectives, we conduct ablation study to prove the validity of each component. Specifically, GraphDec relies on four components to address data sparsity and imbalance, including pruning samples by ranking gradients (GS), training with sparse dataset (SS), using cosine annealing to reduce dataset size (CAD), and recycling removed samples (RS), and the other four to address model sparsity and data imbalance, including pruning weights by ranking magnitudes (RM), using sparse GNN (SG), using cosine annealing to progressively reduce sparse GNN's size (CAG), and reactivate removed weights (RW). In addition, GraphDec employs self-supervision to calculate the gradient score. The details of model variants are provided in Appendix C.3. We analyze the contributions of different components by removing each of them independently. Experiments for both tasks are conducted comprehensively for effective inspection. The results are shown in Table 3.

From the table, we find that the performance drops after removing any component, demonstrating the effectiveness of each component. In general, both mechanisms for addressing data and model sparsity contribute significantly to the overall performance, demonstrating the necessity of these two mechanisms in solving sparsity problem. Self-supervision contributes similarly to the dynamic sparsity mechanisms, in that it enables the identification of informative data samples without label supervision. In the dataset dynamic sparsity mechanism, GS and CAD contribute the most as sparse GNN's discriminability identifies hidden dynamic sparse subsets accurately and efficiently. Regarding the model dynamic sparsity mechanism, removing RM and SG leads to a significant performance drop, which demonstrates that they are the key components in training the dynamic sparse GNN from the full GNN model. In particular, CAG enables the performance stability after the model pruning and helps capture informative samples during decantation by assigning greater gradient norms. Among these variants, the full model GraphDec achieves the best result in most cases. indicating the importance of the combination of the dynamic sparsity mechanisms from the two perspectives, and the self-supervision strategy.



Figure 3: Evolution of data samples' gradients computed by data diet [32] (upper figures) and our GraphDec (lower figures) on NCI1 data.

#### 4.5 Analyzing Evolution of Sparse Subset by Scoring All Samples

To show GraphDec's capability in dynamically identifying informative samples, we show the visualization of sparse subset evolution of data diet and GraphDec on class-imbalanced NCI1 dataset in Figure 3. Specifically, we compute 1000 graph samples with their importance scores. These samples are then ranked according to their scores and marked with sample indexes. From the upper figures in Figure 3, we find that data diet is unable to accurately identify the dynamic informative nodes. Once a data sample has been removed from the training list due to the low score, the model forever disregards it. However, the fact that a sample is currently unimportant does not imply that it will remain unimportant indefinitely, especially in the early training stage when the model cannot detect the true importance of each sample, resulting in premature elimination of vital nodes. Similarly, if a data sample is considered important at early epochs (i.e., marked with higher sample index), it cannot be removed during subsequent epochs. Therefore, we observe that data diet can only increase the scores of samples within the high index range (i.e., 500-1000), while ignoring samples within the low index range (i.e., <500). However, GraphDec (Figure 3 (bottom)) can capture the dynamic importance of each sample regardless of the initial importance score. We see that samples with different indexes all have the opportunities to be considered important and therefore be included in the training list. Correspondingly, GraphDec takes into account a broader range of data samples when shrinking the training list, meanwhile maintaining flexibility towards the previous importance scores.

## 5 Conclusion

In this paper, to take up the graph data imbalance challenge, we propose an efficient and effective method named **Graph Dec**antation (GraphDec), by leveraging the dynamic sparse graph contrastive learning to dynamically identified a sparse-but-informative subset for model training, in which the sparse GNN encoder is dynamically sampled from a dense GNN, and its capability of identifying informative samples is used to rank and update the training data in each epoch. Extensive experiments demonstrate that GraphDec outperforms state-of-the-art baseline methods for both node classification and graph classification tasks in the class-imbalanced scenario. The analysis of the sparse informative subset among the training periods effectively.

**Limitations**: Our method has not been validated on very large scale data due to the lack of very large-scale (e.g., OGB level) class-imbalanced graph datasets. In the future, we plan to create new larger-scale class-imbalanced graph benchmarks and extend our work to them.

**Ethics Statement** We do not find that this work is directly related to any ethical risks to society. In general, we would like to see that imbalanced learning algorithms (including this work) are able to perform better on minority groups in real-world applications.

**Reproducibility Statement** For the reproducibility of this study, we provide the source code for GraphDec in the supplementary materials. The datasets and other baselines in our experiments are described in Appendix C.1 and C.2.

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## A Proof of Theorem 1

**Theorem 1.** For a data selection algorithm [23, 32], we assume model training is optimized with full gradient descent. At  $t \in [1,T]$  epoch, we denote the model's parameter as  $\theta^{(t)}$  (satisfying  $\|\theta^{(t)}\|^2 \leq d^2$ , d is constant), the optimal model's parameter as  $\theta^*$ , subset data as  $\mathcal{D}_S^{(t)}$ , learning rate as  $\alpha$ . We also introduce the gradient error term as  $\operatorname{Err}(\mathcal{D}_S^{(t)}, \mathcal{L}, \mathcal{L}_{train}, \theta^{(t)}) =$  $\|\sum_{i \in \mathcal{D}_S^{(t)}} \nabla_{\theta} \mathcal{L}_{train}^i(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)})\|$ , where  $\mathcal{L}$  denotes training loss  $\mathcal{L}_{train}$  over full training data or validation loss  $\mathcal{L}_{val}$  over full validation data and  $\mathcal{L}$  is a convex function. Then we have following guarantee:

If  $\mathcal{L}_{train}$  is Lipschitz continuous with parameter  $\sigma_T$  and  $\alpha = \frac{d}{\sigma_T \sqrt{T}}$ , then  $\min_{t=1:T} \mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*) \leq \frac{d\sigma_T}{\sqrt{T}} + \frac{d}{T} \sum_{t=1}^{T-1} Err(\mathcal{D}_S^{(t)}, \mathcal{L}, \mathcal{L}_{train}, \theta^{(t)}).$ 

**Proof 1** The gradients of  $\mathcal{L}_{val}$  and  $\mathcal{L}_{train}$  are supposed to be  $\sigma$ -bounded by  $\sigma_V$  and  $\sigma_T$  respectively. According to gradient descent, we have:

$$\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \frac{1}{\alpha^{(t)}} (\theta^{(t)} - \theta^{(t+1)})^{\mathrm{T}} (\theta^{(t)} - \theta^{*}), \tag{8}$$

$$\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \frac{1}{2\alpha^{(t)}} \left( \left\| \theta^{(t)} - \theta^{(t+1)} \right\|^{2} + \left\| \theta_{t} - \theta^{*} \right\|^{2} - \left\| \theta^{(t+1)} - \theta^{*} \right\|^{2} \right).$$
(9)

Since one update step  $\theta^{(t)} - \theta^{(t+1)}$  can be optimized by gradient multiplying with learning rate  $\alpha^{(t)} \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})$ , we have:

$$\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \frac{1}{2\alpha^{(t)}} \left( \left\| \alpha^{(t)} \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) \right\|^{2} + \left\| \theta_{t} - \theta^{*} \right\|^{2} - \left\| \theta^{(t+1)} - \theta^{*} \right\|^{2} \right).$$
(10)

Since  $\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*})$  can be represented as follows:

$$\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) + \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}),$$
(11)

then based on the combination of the Equation (10) and Equation (11), we have:

$$\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) + \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \frac{1}{2\alpha^{(t)}} \left( \left\| \alpha^{(t)} \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) \right\|^{2} + \left\| \theta_{t} - \theta^{*} \right\|^{2} - \left\| \theta^{(t+1)} - \theta^{*} \right\|^{2} \right)$$
(12)

$$\nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \frac{1}{2\alpha^{(t)}} \left( \left\| \alpha^{(t)} \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) \right\|^{2} + \left\| \theta_{t} - \theta^{*} \right\|^{2} - \left\| \theta^{(t+1)} - \theta^{*} \right\|^{2} \right) - \left( \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)}) \right)^{\mathrm{T}}(\theta^{(t)} - \theta^{*}).$$
(13)

We assume learning rate  $\alpha^{(t)}, t \in [0, T-1]$  is a constant value, then we have:

$$\sum_{t=0}^{T-1} \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) = \frac{1}{2\alpha} \|\theta_{0} - \theta^{*}\|^{2} - \|\theta_{T} - \theta^{*}\|^{2} + \sum_{t=0}^{T-1} \left( \frac{1}{2\alpha} \|\alpha \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})\|^{2} \right) \\ + \sum_{t=0}^{T-1} \left( \left( \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)}) \right)^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) \right).$$

Since we assume  $\|\theta_T - \theta^*\|^2 \ge 0$ , then we have:

$$\sum_{t=0}^{T-1} \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^{*}) \leq \frac{1}{2\alpha} \|\theta_{0} - \theta^{*}\|^{2} + \sum_{t=0}^{T-1} \left(\frac{1}{2\alpha} \|\alpha \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})\|^{2}\right) + \sum_{t=0}^{T-1} \left(\left(\nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)})\right)^{\mathrm{T}}(\theta^{(t)} - \theta^{*})\right).$$

$$(14)$$

We assume  $\mathcal{L}$  is convex and  $\mathcal{L}_{train}$  is lipschitz continuous with parameter  $\sigma_T$ . Then for convex function  $\mathcal{L}(\theta)$ , we have  $\mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*) \leq \nabla_{\theta} \mathcal{L}(\theta^{(t)})^{\mathrm{T}}(\theta^{(t)} - \theta^*)$ . By combining this result with Equation 14, we get:

$$\sum_{t=0}^{T-1} \mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*) \leq \frac{1}{2\alpha} \|\theta_0 - \theta^*\|^2 + \sum_{t=0}^{T-1} \left(\frac{1}{2\alpha} \|\alpha \nabla_\theta \mathcal{L}_{train}(\theta^{(t)})\|^2\right) + \sum_{t=0}^{T-1} \left( \left(\nabla_\theta \mathcal{L}_{train}(\theta^{(t)}) - \nabla_\theta \mathcal{L}(\theta^{(t)})\right)^{\mathrm{T}}(\theta^{(t)} - \theta^*) \right).$$
(15)

Since  $||L_T(\theta)|| \leq \sigma_T$ ,  $||\alpha \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)})|| \leq \sigma_T$ , and we assume  $||\theta - \theta^*|| \leq d$ , then we have:

$$\sum_{t=0}^{T-1} \mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*) \leqslant \frac{d^2}{2\alpha} + \frac{T\alpha\sigma_T^2}{2} + \sum_{t=0}^{T-1} d\left( \left\| \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)}) \right\| \right), \quad (16)$$

$$\frac{1}{T}\sum_{t=0}^{T-1}\mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*) \leq \frac{d^2}{2\alpha T} + \frac{\alpha\sigma_T^2}{2} + \sum_{t=0}^{T-1}\frac{d}{T}\left(\left\|\nabla_{\theta}\mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta}\mathcal{L}(\theta^{(t)})\right\|\right).$$
(17)

Since  $\min \left(\mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*)\right) \leq \frac{1}{T} \sum_{t=0}^{T-1} \mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*)$ , based on Equation 17, we have:

$$\min\left(\mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*)\right) \leqslant \frac{d^2}{2\alpha T} + \frac{\alpha \sigma_T^2}{2} + \sum_{t=0}^{T-1} \frac{d}{T} \left( \left\| \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)}) \right\| \right).$$
(18)

We set learning rate  $\alpha = \frac{d}{\sigma_T \sqrt{T}}$  and then have:

$$\min\left(\mathcal{L}(\theta^{(t)}) - \mathcal{L}(\theta^*)\right) \leqslant \frac{d\sigma_T}{\sqrt{T}} + \sum_{t=0}^{T-1} \frac{d}{T} \left( \left\| \nabla_{\theta} \mathcal{L}_{train}(\theta^{(t)}) - \nabla_{\theta} \mathcal{L}(\theta^{(t)}) \right\| \right).$$
(19)

## **B** Preliminaries: GNNs, Graph Contrastive Learning, Network Pruning

In this work, we denote graph as G = (V, E, X), where V is the set of nodes, E is the set of edges, and  $X \in \mathbb{R}^d$  represents the node (and edge) attributes of dimension d. In addition, we represent the neighbor set of node  $v \in V$  as  $N_v$ .

**Graph Neural Networks.** GNNs [42] learn node representations from the graph structure and node attributes. This process can be formulated as:

$$h_v^{(l)} = \text{COMBINE}^{(l)} \left( h_v^{(l-1)}, \text{AGGREGATE}^{(l)} \left( \left\{ h_u^{(l-1)}, \forall u \in N_v \right\} \right) \right), \tag{20}$$

where  $h_v^{(l)}$  denotes representation of node v at l-th GNN layer; AGGREGATE(·) and COMBINE(·) are neighbor aggregation and combination functions, respectively;  $h_v^{(0)}$  is initialized with node attribute  $X_v$ . We obtain the output representation of each node after repeating the process in Equation (20) for L rounds. The representation of the whole graph, denoted as  $h_G \in \mathbb{R}^d$ , can be obtained by using a READOUT function to combine the final node representations learned above:

$$h_G = \text{READOUT}\left\{h_v^{(L)} \mid \forall v \in V\right\},\tag{21}$$

Task	Dataset	# Graphs	# Nodes	# Edges	# Features	# Classes
	MUTAG	188	~17.93	~19.79	-	2
	PROTEINS	1,113	~39.06	~72.82	-	2
	D&D	1,178	~284.32	~715.66	-	2
Graph	NCI1	4,110	~29.87	~32.30	-	2
	PTC-MR	344	~14.29	~14.69	-	2
	DHFR	756	~42.43	~44.54	-	2
	REDDIT-B	2,000	~429.63	~497.75	-	2
	Cora	-	2,485	5,069	1,433	7
	Citeseer	-	2,110	3,668	3,703	6
Node	Pubmed	-	19,717	44,324	500	3
	A-photo	-	7,650	238,162	745	8
	A-computers	-	13,381	245,778	767	10

Table 4: Original dataset details for imbalanced graph classification and imbalanced node classification tasks.

where the READOUT function can be any permutation invariant, like summation, averaging, etc. **Graph Contrastive Learning.** Given a graph dataset  $\mathcal{D} = \{G_i\}_{i=1}^N$ , Graph Contrastive Learning (GCL) methods firstly implement proper transformations on each graph  $G_i$  to generate two views  $G'_i$  and  $G''_i$ . The goal of GCL is to map samples within positive pairs closer in the hidden space, while those of the negative pairs are further. GCL methods are usually optimized by a contrastive loss. Taking the most popular InfoNCE loss [30] as an example, the contrastive loss is defined as:

$$\mathcal{L}_{CL}(G'_i, G''_i) = -\log \frac{\exp\left(\sin\left(\mathbf{z}_{i,1}, \mathbf{z}_{i,2}\right)\right)}{\sum_{j=1, j \neq i}^N \exp\left(\sin\left(\mathbf{z}_{i,1}, \mathbf{z}_{j,2}\right)\right)},\tag{22}$$

where  $\mathbf{z}_{i,1} = f_{\theta}(G'_i)$ ,  $\mathbf{z}_{i,2} = f_{\theta}(G''_i)$ , and sim denotes the similarity function. **Network Pruning.** Given an over-parameterized deep neural network  $f_{\theta}(\cdot)$  with weights  $\theta$ , the network pruning is usually performed layer-by-layer. The pruning process of the  $l_{th}$  layer in  $f_{\theta}(\cdot)$  can be formulated as follows:

$$\theta_{pruned}^{l_{th}} = \text{TopK}(\theta^{l_{th}}, k), k = \alpha \times |\theta^{l_{th}}|,$$
(23)

where  $\theta^{l_{th}}$  is the parameters in the  $l_{th}$  layer of  $f_{\theta}(\cdot)$  and TopK $(\cdot, k)$  refers to the operation to choose the top-k largest elements of  $\theta^{l_{th}}$ . We use a pre-defined sparse rate  $\alpha$  to control the fraction of parameters kept in the pruned network  $\theta^{l_{th}}_{pruned}$ . Finally, only the top  $k = \alpha \times |\theta^{l_{th}}|$  largest weights will be kept in the pruned layer. The pruning process will be implemented iteratively to prune the parameters in each layer of deep neural network [13].

#### **C** Experimental Details

#### C.1 Datasets Details

In this work, seven graph classification datasets and five node classification datasets are used to evaluate the effectiveness of our proposed model, we provided their detailed statistics in Table 4. For graph classification datasets, we follow the imbalance setting of [41] to set the train-validation split as 25%/25% and change the imbalance ratio from 5:5 (balanced) to 1:9 (imbalanced). The rest of the dataset is used as the test set. The specified imbalance ratio of each dataset is clarified after its name in Table 5. For node classification datasets, we follow [34] to set the imbalance ratio of Cora, CiteSeer and PubMed as 10. Besides, the setting of Amazon-Photo and Amazon-Computers are borrowed from [31], where the imbalance ratio  $\rho$  is set as 82 and 244, respectively.

#### C.2 Baseline Details

We compare our model with a variety of baseline methods using different rebalance methods:

I. For **imbalanced graph classification** [41], four models are included as baselines in our work, we list these baselines as follow:

(1) **GIN** [44], a popular supervised GNN backbone for graph tasks due to its powerful expressiveness on graph structure;

(2) **InfoGraph** [36], an unsupervised graph learning framework by maximizing the mutual information between the whole graph and its local topology of different levels;

(3) **GraphCL** [46], learning unsupervised graph representations via maximizing the mutual information between the original graph and corresponding augmented views;

(4)  $G^2$ GNN [41], a re-balanced GNN proposed to utilize additional supervisory signals from both neighboring graphs and graphs themselves to alleviate the imbalance issue of graph.

II. For imbalanced node classification, we consider nine baseline methods in our work, including

(1) vanilla, denoting that we train GCN normally without any extra rebalancing tricks;

(2) **re-weight** [20], denoting we use cost-sensitive loss and re-weight the penalty of nodes in different classes;

(3) **oversampling** [31], denoting that we sample nodes of each class to make the data's number of each class reach the maximum number of corresponding class's data;

(4) cRT [21], a post-hoc correction method for decoupling output representations;

(5) PC Softmax [18], a post-hoc correction method for decoupling output representations, too;

(6) **DR-GCN** [35], building virtual minority nodes and forces their features to be close to the neighbors of a source minority node;

(7) **GraphSMOTE** [47], a pre-processing method that focuses on the input data and investigates the possibility of re-creating new nodes with minority features to balance the training data.

(8) **GraphENS** [31], proposing a new augmentation method to construct an ego network from all nodes for learning minority representation.

We use Graph Convolutional Network (GCN) [24] as the default architecture for all rebalance methods.

#### C.3 Details of GraphDec Variants

The details of model variants are provided as follows:

I. Specifically, GraphDec contains four components to address data sparsity and imbalance: (1) **GS** is sampling informative subset data according to ranking gradients; (2) **SS** is training model with the sparse dataset, correspondingly; (3) **CAD** is using cosine annealing to reduce dataset size; (4) **RS** is recycling removed samples, correspondingly. To investigate their corresponding effectiveness, we remove them correspondingly as:

(1) w/o GS is that we randomly sample subset from the full set;

(2) w/o SS is that we train GNN with the full set;

(3) w/o CAD is that we directly reduce dataset size to target dataset size and it is same as data diet;

(4) w/o RS is not recycling any removed samples.

II. Another four components to address model sparsity and data imbalance: (1) **RM** samples model weights according to ranking magnitudes; (2) **SG** is using sparse GNN, correspondingly; (3) **CAG** is using cosine annealing to progressively reduce sparse GNN's size; (4) **RW** is reactivating removed weights. To investigate their effectiveness, we remove them correspondingly as:

(1) w/o RM is that we randomly sample activated weights from full GNN model;

(2) w/o SG is that we train full GNN during forward and backward;

(3) w/o CAG is that we directly reduce the model size to target sparsity rate;

(4) w/o RW is not reactivating any removed weights during sparse training.

Table 5: Imbalanced graph classification results. The numbers after each dataset name indicate the imbalance ratios of minority to majority categories. We report the macro F1-score and micro F1-score with the standard errors as Results are reported as  $mean \pm std$  for 3 repetitions on each dataset. We bold the best performance.

Rebalance	Basis	MU	TAG (5:45)	PROTEIN	S (30:270)	D&D	(30:270)	NCI1	(100:900)
Method		F1-ma.	F1-mi.	F1-ma.	F1-mi.	F1-ma.	F1-mi.	F1-ma.	F1-mi.
vanilla	GIN [44] InfoGraph [36] GraphCL [46]	$\begin{array}{c} 52.50 \pm 18. \\ 69.11 \pm 9.0 \\ 66.82 \pm 11. \end{array}$	$69.68 \pm 7.77$	$35.91 \pm 7.58$	$\begin{array}{c} 28.50 \pm 5.82 \\ 36.81 \pm 6.51 \\ 41.24 \pm 6.38 \end{array}$	$\begin{array}{c} 9.99 \pm 7.44 \\ 21.41 \pm 4.51 \\ 21.02 \pm 3.05 \end{array}$	$\begin{array}{c} 11.88 \pm 9.49 \\ 27.68 \pm 7.52 \\ 26.80 \pm 4.95 \end{array}$	$ \begin{vmatrix} 18.24 \pm 7.58 \\ 33.09 \pm 3.30 \\ 31.02 \pm 2.69 \end{vmatrix} $	$34.03 \pm 3.68$
up-sampling	GIN [44] InfoGraph [36] GraphCL [46]	$\begin{array}{c} 78.03 \pm 7.6 \\ 78.62 \pm 6.8 \\ 80.06 \pm 7.7 \end{array}$	$4 79.09 \pm 6.86$	$62.68 \pm 2.70$	$\begin{array}{c} 71.55 \pm 3.19 \\ 66.02 \pm 3.18 \\ 65.76 \pm 2.61 \end{array}$	$\begin{array}{c} 41.15 \pm 3.74 \\ 41.55 \pm 2.32 \\ 38.96 \pm 3.01 \end{array}$	$\begin{array}{c} 70.56 \pm 10.28 \\ 71.34 \pm 6.76 \\ 64.23 \pm 8.10 \end{array}$	$ \begin{vmatrix} 59.19 \pm 4.39 \\ 53.38 \pm 1.88 \\ 49.92 \pm 2.15 \end{vmatrix} $	$62.20 \pm 2.63$
re-weight	GIN [44] InfoGraph [36] GraphCL [46]	$77.00 \pm 9.5$ $80.85 \pm 7.5$ $80.20 \pm 7.5$	$5 81.68 \pm 7.83$	$65.73 \pm 3.10$	$\begin{array}{c} 55.77 \pm 7.11 \\ 69.60 \pm 3.68 \\ 64.97 \pm 2.41 \end{array}$	$\begin{array}{c} 28.49 \pm 5.92 \\ 41.92 \pm 2.28 \\ 40.29 \pm 3.31 \end{array}$	$\begin{array}{c} 40.79 \pm 11.84 \\ 72.43 \pm 6.63 \\ 67.96 \pm 8.98 \end{array}$	$\begin{vmatrix} 36.84 \pm 8.46 \\ 53.05 \pm 1.12 \\ 50.05 \pm 2.09 \end{vmatrix}$	$62.45 \pm 1.89$
G <sup>2</sup> GNN [41]	remove edge mask node	$\frac{80.37 \pm 6.7}{83.01 \pm 7.0}$			$\begin{array}{c} 73.10 \pm 4.05 \\ \underline{73.30 \pm 4.19} \end{array}$	$\begin{array}{c} 43.25 \pm 3.91 \\ \underline{43.93 \pm 3.46} \end{array}$	$\frac{77.03\pm9.98}{79.03\pm10.78}$	$\begin{array}{c c} 63.60 \pm 1.57 \\ \underline{64.78 \pm 2.86} \end{array}$	
GraphDec	dynamic sparsity	85.71±10.2	0 85.71±11.10	68.31±4.23	$75.84{\pm}6.80$	44.01±5.01	$77.02 \pm 6.26$	65.73±4.7	$\textbf{76.02}{\pm}\textbf{6.27}$
Rebalance	Basis		PTC-MR	(9:81)	DHI	FR (12:108)		REDDIT-B	(50:450)
Method			F1-ma.	F1-mi.	F1-ma.	F1-1	mi.   F	1-ma.	F1-mi.
vanilla	GIN [44 InfoGraph GraphCL	[36] 25	$.85 \pm 6.14$	$\begin{array}{c} 20.30 \pm 6.06 \\ 26.71 \pm 6.50 \\ 25.16 \pm 5.25 \end{array}$	$\begin{vmatrix} 35.96 \pm 8.5 \\ 50.62 \pm 8.5 \\ 50.55 \pm 10.55 \end{vmatrix}$	33 56.28	± 4.58 57.6	$0 \pm 14.26$ $7 \pm 3.80$ $0 \pm 4.06$	$\begin{array}{c} 36.02 \pm 17.38 \\ 67.10 \pm 4.91 \\ 62.19 \pm 5.68 \end{array}$
up-sampling	GIN [44 InfoGraph GraphCL	[36] 44	$.29 \pm 4.69$	$55.43 \pm 14.25$ $48.91 \pm 7.49$ $53.50 \pm 13.31$	$\begin{vmatrix} 55.96 \pm 10 \\ 59.49 \pm 5.2 \\ 60.29 \pm 9.2 \end{vmatrix}$	20 61.62	4.18 67.0	$\begin{array}{c} 1 \pm 3.92 \\ 1 \pm 3.34 \\ 1 \pm 3.97 \end{array}$	$\begin{array}{c} 83.00 \pm 5.18 \\ 78.68 \pm 3.71 \\ 75.84 \pm 3.98 \end{array}$
re-weight	GIN [44 InfoGraph GraphCL	[36] 44	$.09 \pm 5.62$	$\begin{array}{c} 43.09 \pm 20.01 \\ 49.17 \pm 8.78 \\ 52.22 \pm 13.24 \end{array}$	$\begin{vmatrix} 55.16 \pm 9. \\ 58.67 \pm 5. \\ 60.87 \pm 6. \end{vmatrix}$	82 60.24	± 4.80 65.7	$7 \pm 8.46$ $9 \pm 3.38$ $9 \pm 6.93$	$\begin{array}{c} 51.92 \pm 12.29 \\ 77.35 \pm 3.96 \\ 76.15 \pm 9.15 \end{array}$
G <sup>2</sup> GNN [41	] remove ed mask no			$\frac{56.61 \pm 13.72}{56.70 \pm 14.81}$	$\left  \begin{array}{c} \frac{61.63 \pm 10}{59.72 \pm 6.5} \right $			$\frac{9 \pm 2.97}{2 \pm 2.60}$	$\frac{86.35 \pm 2.27}{85.43 \pm 1.80}$
GraphDec	dynamic spa	arsity   <b>4</b>	7.07±8.22	58.15±10.24	62.25±9.5	63.61	±7.10   69.3	70±7.20	87.00±9.36

Table 6: Imbalanced node classification results. We report the accuracy, balanced accuracy and macro F1-score with the standard errors as  $mean \pm std$  for 3 repetitions on each dataset. We bold the best performance.

Method	1	Cora-LT		CiteSeer-LT			PubMed-LT			A.P. (ρ =82)		A.C. (ρ =244)	
	Acc.	bAcc.	F1-ma.	Acc.	bAcc.	F1-ma.	Acc.	bAcc.	F1-ma.	(b)Acc.	F1-ma.	(b)Acc.	F1-ma.
vanilla	73.66±0.28	$62.72 \pm 0.39$	63.70±0.43	53.90±0.70	$47.32 \pm 0.61$	$43.00 \pm 0.70$	70.76±0.74	$57.56 \pm 0.59$	$51.88 \pm 0.53$	$82.86 \pm 0.30$	$78.72 \pm 0.52$	68.47±2.19	$64.01 \pm 3.18$
Re-Weight [31]	75.20±0.19	$68.79 \pm 0.18$	69.27±0.26	62.56±0.32	$55.80 \pm 0.28$	$53.74 \pm 0.28$	77.44±0.21	$72.80 \pm 0.38$	73.66±0.27	92.94±0.13	92.95±0.13	90.04±0.29	90.11±0.28
Oversampling [31]	$77.44 \pm 0.09$	$70.73 \pm 0.10$	$72.40 \pm 0.11$	62.78±0.37	$56.01 \pm 0.35$	53.99±0.37	76.70±0.48	$68.49 \pm 0.28$	69.50±0.38	92.46±0.47	92.47±0.48	89.79±0.16	$89.85 \pm 0.17$
cRT [21]	$76.54 \pm 0.22$	$69.26 \pm 0.48$	$70.95 \pm 0.50$	60.60±0.25	$54.05 \pm 0.22$	52.36±0.22	75.10±0.23	67.52±0.72	$68.08 \pm 0.85$	91.24±0.28	91.17±0.29	86.02±0.55	86.00±0.56
PC Softmax [18]	$76.42 \pm 0.34$	$71.30 \pm 0.45$	$71.24 \pm 0.52$	65.70±0.42	$61.54 \pm 0.45$	61.49±0.49	76.92±0.26	$75.82 \pm 0.25$	74.19±0.25	93.32±0.25	93.32±0.25	86.59±0.92	$86.62 \pm 0.91$
DR-GCN [35]	73.90±0.29	64.30±0.39	63.10±0.57	$56.18 \pm 1.10$	$49.57 \pm 1.08$	44.98±1.29	72.38±0.19	$58.86 \pm 0.15$	$53.05 \pm 0.13$	N/A	N/A	N/A	N/A
GraphSmote [47]	76.76±0.31	69.31±0.37	$70.21 \pm 0.64$	62.58±0.30	$55.94 \pm 0.34$	54.09±0.37	75.98±0.22	70.96±0.36	$71.85 \pm 0.32$	92.65±0.31	92.61±0.32	89.31±0.34	89.39±0.35
GraphENS [31]	77.76±0.09	$72.94 \pm 0.15$	$73.13\pm0.11$	$66.92 \pm 0.21$	$60.19 {\pm} 0.21$	$58.67 {\pm} 0.25$	78.12±0.06	$74.13 {\pm} 0.22$	$74.58 \pm 0.13$	$93.82 \pm 0.13$	$93.81 \pm 0.12$	$91.94 \pm 0.17$	$91.94 \pm 0.17$
GraphDec	78.29±0.40	73.94±0.67	$74.25 \pm 0.83$	66.90±0.65	$61.56 {\pm} 0.72$	$61.85 {\pm} 0.96$	78.20±0.45	76.05±0.66	$76.32 {\pm} 0.66$	93.85±0.72	94.02±0.67	92.19±0.73	92.16±0.75

#### C.4 Full Results with Error Bars

We provide the F1-macro and F1-micro scores along with their standard deviation for our model and other baselines across both graph classification and node classification tasks in Table 5 and Table 6. We report their results as  $mean \pm std$  for 3 repetitions on each metric for each dataset.

# **D** Finding Informative Samples by Sparse GNN

Compared with the full GNN model, our dynamic sparse GNN model is more sensitive in recognizing informative data samples which can be empirically verified by Figure 4. As we can see in the figure, our dynamical pruned model assigns larger gradients to the minorities than the majories during the contrastive training, while the full model generally assigns relatively uniform gradients for both of them. Thus, the proposed dynamically pruned model demonstrates its discriminatory ability on the minority class.

# E Resource Cost

To evaluate the proposed GraphDec's computational cost on a wide range of datasets, results in Table 7 that include three different class-imbalanced node classification datasets (PubMed-LT, Cora-LT, CiteSeer-LT), three different class-imbalanced graph classification datasets (MUTAG, PROTEINS,



Figure 4: Results of data samples' gradients computed by full GNN model and our dynamic sparse GNN model on NCI1 data. Red dashed line: on the left side, points on the x-axis [0, 900] are majority class; on the right side, points on the x-axis [900, 1000] are minority class.

PTC\_MR), and four baselines (vanilla GCN, re-weight, re(/over)-sample, GraphCL). We run 200 epochs for each method to measure their computational time (second) for training. On NVIDIA GeForce RTX 3090 GPU device, we obtain the running time as reported in Table 7. All models are implemented in PyTorch Geometric [9].

Model   Method		PubMed-LT	Cora-LT	CiteSeer-LT	PROTEINS	PTC_MR	MUTAG
	vanilla	2.436	2.154	2.129	12.798	4.295	2.989
	re-weight	2.330	2.282	2.150	12.903	4.410	3.125
GCN	re(/over)-sample	3.241	2.860	2.794	15.996	5.734	4.022
	GraphCL	3.747	3.412	3.399	14.981	5.049	3.215
	GraphDec	2.243	1.995	1.952	10.614	4.212	2.090

Table 7: Computational time comparisons.

According to the results, our GraphDec encounters less computation cost than prior methods. The following explains why augmentation doubles the input graph without increasing overall computation costs: (i) The augmentations we adopt (e.g, node dropping and edge dropping) reduce the size of input graphs (i.e., node number decreases 25%, edge number decreases 25-35%); (ii) During each epoch, our GraphDec prunes datasets so that approximately only 50% of the training data is used. (iii) GraphDec prunes the model weights, resulting in a lighter model requiring less computational resources. (iv) Despite the fact that augmentation doubles the number of input graphs, the additional new views only consume forward computational resources without requiring a backward or weight update step, thereby only marginally increases the computation.