

TRANSFORMMIX: LEARNING TRANSFORMATION AND MIXING STRATEGIES FOR SAMPLE-MIXING DATA AUGMENTATION

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ABSTRACT

Data augmentation improves the generalization power of deep learning models by synthesizing more training samples. Sample-mixing is a popular data augmentation approach that creates additional training samples by combining existing images. Recent sample-mixing methods, like Mixup and Cutmix, adopt simple mixing operations to blend multiple input images. Although such a heuristic approach shows certain performance gains in some computer vision tasks, it mixes the images blindly and does not adapt to different datasets automatically. A mixing strategy that is effective for a particular dataset does not often generalize well to other datasets. If not properly configured, the methods may create misleading mixed images, which jeopardize the effectiveness of sample-mixing augmentations. In this work, we propose an automated approach, *TransformMix*, to learn better transformation and mixing augmentation strategies from data. In particular, *TransformMix* applies learned transformations and mixing masks to create compelling mixed images that contain correct and important information for the target tasks. We demonstrate the effectiveness of *TransformMix* in multiple datasets under the direct and transfer settings. Experimental results show that our method achieves better top-1 and top-5 accuracy as well as efficiency when compared with strong sample-mixing baselines.

1 INTRODUCTION

Modern deep learning models achieve remarkable success in important computer vision tasks, like object classification (Krizhevsky et al., 2012; He et al., 2016b; Zagoruyko & Komodakis, 2016) and semantic segmentation (Ren et al., 2015; Bochkovskiy et al., 2020). Despite these reported successes, deep learning models can easily overfit when the training set is quantitatively deficient. To generalize deep learning models beyond finite training sets, data augmentation is a widely adopted approach that synthesizes additional samples to expand the training sets (Shorten & Khoshgoftaar, 2019). Conventional data augmentation applies pre-defined image processing functions, such as random cropping, flipping and color adjustment, to create additional views of the same data. To reduce the manual effort in searching for the appropriate augmentation configuration, automated data augmentation (AutoDA) methods have been proposed to search for the optimal augmentation policy for a dataset (Cubuk et al., 2019; Ho et al., 2019; Lim et al., 2019; Cheung & Yeung, 2021; 2022). Given the right choice of augmentation, these transformation-based techniques induce constructive inductive biases in the dataset, thereby improving the generalization power of machine learning models.

Sample-mixing is a different data augmentation approach that synthesizes additional samples by combining multiple images. Unlike conventional data augmentation, sample-mixing does not require the specification of domain-specific transformations, allowing it to be flexibly deployed to other data domains. The seminal work Mixup interpolates two training images with their one-hot-encoded label proportionally (Zhang et al., 2018), while CutMix randomly replaces a patch of an image with another image (Yun et al., 2019). Although these mixing strategies can bring slight improvements in some computer vision tasks (Bochkovskiy et al., 2020), images are combined without considering their content. Consequently, the mixed images may contain misleading training signals and undermine the model performance. Specifically, Mixup may lead to the manifold collision

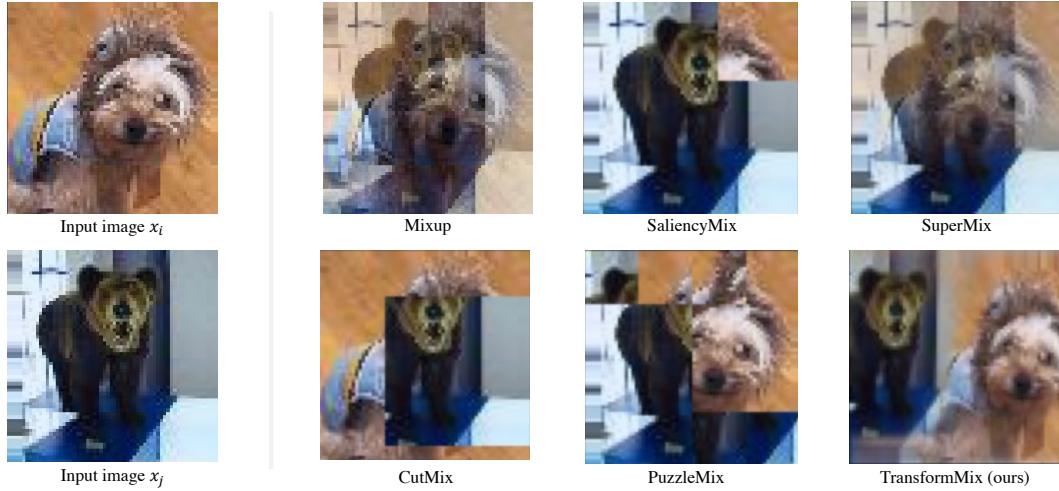


Figure 1: Visual comparison of different sample-mixing methods on a dog and bear image. Our method better preserves the important region of the input images.

problem where an interpolated image sits on the real data manifold (Guo et al., 2019); the random replacement in CutMix may remove important regions that are crucial in identifying an object instance in classification tasks. To this end, recent works apply additional saliency constraints to avoid the crucial information being removed during the mixing process (Kim et al., 2020; Dabouei et al., 2021; Kim et al., 2021; Liu et al., 2021; Uddin et al., 2021).

Inspired by recent AutoDA advancements and saliency-aware mixing methods, we develop an automated method, named *TransformMix*, that learns a better mixing strategy from a dataset with two criteria: (1) the mixing strategy should create mixed outputs that maximally preserve the visual saliency of the input images; (2) the mixing strategy should be learned from the dataset automatically. For more practical usage in transfer learning, we also investigate whether a discovered mixing strategy can be transferred to create new augmented images on unseen datasets. At a high level, *TransformMix* exploits self-supervision signals from a pre-trained teacher network to learn a mixing module for predicting the transformations and mixing masks to create mixed images that preserve the salient information of the input images. To summarize, we make four major contributions.

- We propose *TransformMix*, which employs a novel mixing module that predicts the transformations and mixing masks to create more advantageous mixed images with maximal preservation of visual saliency.
- We introduce an automated framework that trains the mixing module on a given dataset efficiently.
- We demonstrate that our method improves state-of-the-art results on several popular classification benchmarks and achieves approximately $4\times$ speed-up compared to other saliency-aware sample-mixing baselines.
- We demonstrate that our method can be transferred to augment new unseen datasets. The transferred method provides non-trivial improvements over other sample-mixing methods when training new classifiers or fine-tuning pre-trained models on downstream tasks.

2 RELATED WORKS

Automated Data Augmentation. Conventional data augmentation applies label-preserving transformations to augment data. However, the specification and choice of data augmentation functions rely heavily on expert knowledge or repeated trials. To address this shortcoming, AutoDA learns the optimal policy to augment the target dataset. AutoAugment takes a reinforcement learning approach to learn the probability and magnitude of applying different transformations to the target dataset (Cubuk et al., 2019). PBA (Ho et al., 2019) and RandAugment (Cubuk et al., 2020) study

more efficient search methods to reduce the expensive search effort of AutoAugment. Inspired by AutoAugment, AdaAug (Cheung & Yeung, 2022) learns an adaptive augmentation strategy for each data instance, while MODALS (Cheung & Yeung, 2021) learns the optimal augmentation strategy to apply four transformations in the latent space, thereby allowing the method to be deployed to multiple modalities. Despite the successes reported by these AutoDA methods, they require domain knowledge in designing domain-specific label-preserving transformations. Moreover, they have not studied the optimal way to mix multiple images.

MixUp. MixUp (Zhang et al., 2018) uses the convex combination of input images as new augmented images: $x' = \lambda x_1 + (1 - \lambda)x_2$, where x_1 and x_2 are two training images, λ is the mixing coefficient and x' is the augmented image. Following Mixup, Manifold Mixup (Verma et al., 2019) applies Mixup to mix the latent representations, while CutMix (Yun et al., 2019) replaces a random patch of an image with another image. These techniques disregard the image content and may dilute or occlude the salient information, which is crucial for the target task.

Saliency-aware Sample-mixing. To mitigate the mentioned problem, several recent works attempted to create more advantageous mixed images by preserving the salient information in the mixed image. SaliencyMix (Uddin et al., 2021) and ResizeMix (Qin et al., 2020) detect the saliency information and prevents CutMix from replacing the image patch that contains rich information. SuperMix utilizes a teacher model to optimize some mixing masks applied to the input images: $x' = m_1 \odot x_1 + m_2 \odot x_2$, where m_1 and m_2 denote the mixing masks and \odot denotes the element-wise multiplication. In AutoMix (Liu et al., 2021), the mixing masks are computed by a mix block based on the image features. However, consider two input images having the salient information at the same pixel location, for example, the face of a dog in input image x_i and the face of a bear in input image x_j as illustrated in Figure 1, optimizing a mixing mask alone cannot prevent the two faces being blended in the mixed results. Hence, PuzzleMix (Kim et al., 2020) optimizes the mixing masks together with transport plans Π_1 and Π_2 that specify the mass to be transported between different pixel locations: $x' = m_1 \odot \Pi_1 x_1 + m_2 \odot \Pi_2 x_2$. Although the method avoids the overlapping of the salient regions to a certain degree, the method splits the input images into different blocks and shifts their locations. This creates puzzle-like artifacts in the resulting image, violating the natural image prior (see Figure 1). We summarize the properties of various sample-mixing methods and highlight the strength of *TransformMix* in Table 1.

Table 1: Comparison between the properties of various sample-mixing data augmentation methods.

	Mixup	Cutmix	Saliency-Mix	Puzzle-Mix	SuperMix	Transform-Mix
Content-aware	○	○	●	●	●	●
Saliency separation	○	○	●	●	○	●
Natural blend of images	○	○	○	●	●	●
Effective	●	●	●	●	●	●
Fast inference	●	●	●	○	○	●
Transferable	●	●	●	●	○	●

● = provides property; ● = partially provides property; ○ = Not tested or does not provide property

Style-mixing. On a different line of research, StyleMix (Hong et al., 2021) and AlignMix Venkataraman et al. (2022) study the mixing of image content and style by utilizing a style transfer autoencoder network and feature alignment techniques, respectively.

TransformMix aims to learn the transformation and mixing strategies that generate more advantageous mixed data automatically. Learning such strategies poses two major challenges. First, a mixing strategy needs to decide the output of every pixel location, which is harder than learning an augmentation policy from a set of 10 to 20 augmentation functions in previous AutoDA works. Therefore, formulating the transformation and mixing strategy and optimizing it efficiently is a challenging problem. Second, previous works create mixed input with unnatural mixing boundaries or require manual specification of additional constraints to create more realistic outputs. Designing an automated approach to generate more natural blends of images is non-trivial. Hence, developing a transferable mixing strategy like *TransformMix* to reduce the computation efforts on new datasets is a favorable solution for data practitioners.

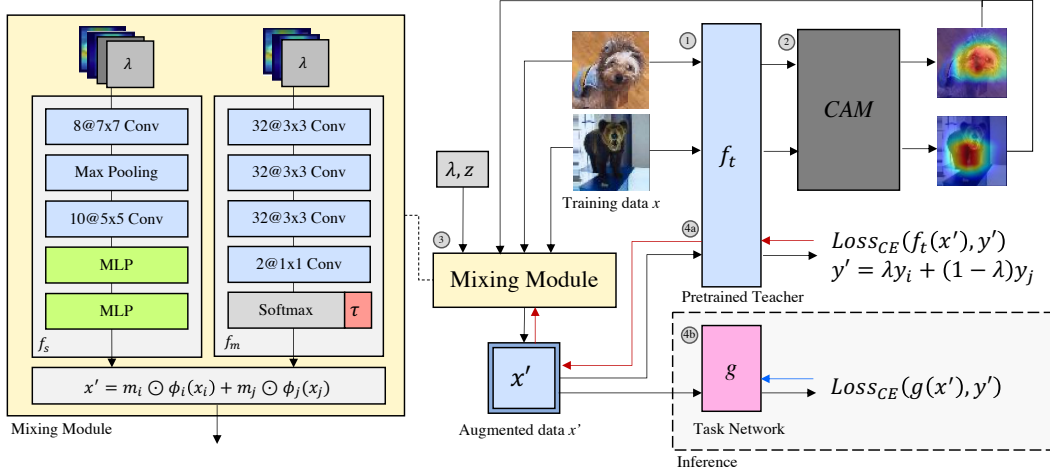


Figure 2: Overview of *TransformMix*. The black arrows indicate the forward pass; the red arrows indicate the gradient flow when training the spatial transformation network f_s and mask prediction network f_m ; the blue arrow indicates the gradient flow when training the task network g . **Step 1&2:** the CAMs of the input images (x_i, x_j) are extracted from the pre-trained teacher f_t . **Step 3:** the CAMs, input images and sampled mixing coefficient λ are supplied to the mixing module to compute the transformations (ϕ_i, ϕ_j) and mixing masks (m_i, m_j) to create the mixed image x' , which is used in **step 4a:** training the mixing module, or **step 4b:** training the task network.

3 METHODOLOGY

TransformMix first learns a mixing strategy from a dataset under the supervision of a pre-trained teacher model and then creates mixed data for training some end task networks. We formulate the mixing strategy by predicting some transformations and mixing masks applied to the input images. Given two distinct instances (x_i, y_i) and (x_j, y_j) , where $x_i \in \mathbb{R}^{C \times W \times H}$ is the i -th training sample with class label y_i , C channels and $W \times H$ dimension, *TransformMix* aims to find the effective transformations ϕ_i and ϕ_j and mixing masks $m_i, m_j \in [0, 1]^{W \times H}$ applied to x_i and x_j for creating better mixed images x' according to:

$$x' = m_i \odot \phi_i(x_i) + m_j \odot \phi_j(x_j), \quad y' = \lambda y_i + (1 - \lambda) y_j, \quad (1)$$

where λ is a mixing coefficient for mixing x_i and x_j . Under this formulation, the transformations can help to separate the salient regions even if they completely overlap in the input images, while the mixing masks can help to reveal the more important regions of the candidate images with respect to the target task. To better preserve visual saliency, *TransformMix* utilizes the class activation maps (CAMs) of the input images when predicting the mixing strategy. An overview of *TransformMix* is depicted in Figure 2. In the following section, we explain the details of each component and then the training framework of *TransformMix*.

3.1 TRANSFORMMIX

TransformMix comprises three components: a teacher model, a saliency detector and a mixing module. *TransformMix* first trains the mixing module under the supervision of the teacher model. Once the mixing module is trained, it is used to create mixed images for training a task network.

Saliency Detector. It was previously suggested that considering the image saliency during mixing can improve the quality of mixed images (Kim et al., 2020; Uddin et al., 2021). In our method, we adopt a neural network approach, which takes visual saliency as input features to make prediction of a mixing strategy. We propose to condition on the visual saliency rather than the image or latent image representation because visual saliency is a generic measure applicable to all images, whereas the image or latent image representation is mostly restricted to a dataset. Therefore, learning from visual saliency facilitates transferring the trained mixing module to unseen data.



Figure 3: Illustrations of the intermediate results during mixing. From left to right, the columns show the visualizations of the input images, CAMs, transformed images and predicted masks.



Figure 4: Illustrations of the effect when using different temperature values τ . The bottom left image is the mixed result with learned τ .

Typically, a saliency detector generates a heatmap $s_i \in [0, 1]^{W \times H}$ that highlights the important regions of x_i . In practice, we can use any saliency detection algorithms or explainable AI methods to extract the salient information from input images. As *TransformMix* employs a teacher network in the later training stage, we exploit the readily available pre-trained weights and use CAMs (Zhou et al., 2016) to estimate the salient regions of an image. In essence, CAMs are the summation of global averaged features weighted by the weights learned by the classification layer. The pixel location that is more important to the classification task will be assigned a larger value in the heatmap.

Spatial Transformer Network. Unlike PuzzleMix (Kim et al., 2020) which divides the input images into multiple blocks and re-organizes them to avoid overlapping salient regions, *TransformMix* encompasses a more comprehensive set of transformations and creates mixed outputs complying with the natural image prior. As a learnable transformation method, Spatial Transformer Network (STN) is a convolutional neural network module that spatially transforms an image by predicting the appropriate transformation for each data instance (Jaderberg et al., 2015). Different from the previous works that predict the transformation based on a single image, we propose a novel approach using an STN to predict 6 affine parameters for each of the two input images based on their CAMs, a mixing coefficient λ and a sampled noise z . The mixing coefficient λ allows more emphasis on different proportions of mixing and is sampled from the Beta distribution with parameter α . Sampled from the Normal distribution, z adds certain diversity when transforming the images. The mixing coefficient and sampled noise are resized and appended to the CAMs as additional channels. We use $f_s : \mathbb{R}^{4 \times W \times H} \rightarrow \mathbb{R}^{2 \times 6}$ to denote the STN and θ to denote the predicted affine parameter, which is given by:

$$\theta_i, \theta_j = f_s(s_i, s_j, \lambda, z), \text{ where } \lambda \sim \text{Beta}(\alpha, \alpha), z \sim N(0, I) \quad (2)$$

Using the affine parameters predicted by f_s , the transformations are then performed on the input images accordingly to avoid overlapping of salient regions. Although recent AutoDA methods can search in a larger set of transformations, like color adjustments and other non-differentiable operations, the spatial transformations, including scaling, cropping, rotations, as well as non-rigid deformations, are sufficient to tackle the saliency overlapping issue. Therefore, we opt for the differentiable STN approach, which can be optimized efficiently using gradient-based methods, instead of costly AutoDA search methods, to characterize ϕ in equation 1.

Mask Prediction Network. The mask prediction network f_m receives the transformed CAMs and mixing coefficient λ to predict the mixing masks (m_i, m_j) . We implement f_m as a spatial-preserving convolutional neural network, i.e., $f_m : \mathbb{R}^{3 \times W \times H} \rightarrow [0, 1]^{2 \times W \times H}$. The softmax function is applied to the output layer to ensure that the mixing masks sum to 1 at each pixel location (w, h) . In addition, a learnable temperature parameter τ is introduced to control the smoothness of the mixing boundary. Specifically, a lower temperature value will result in a sharper blending boundary (see Figure 4). With o denoting the hidden feature before the softmax layer and $\varphi(\cdot; \theta)$ denoting the affine transformation with parameter θ , the mixing masks are computed as:

$$m_i, m_j = f_m(\varphi(s_i; \theta_i), \varphi(s_j; \theta_j), \lambda), \quad m_i^{(w, h)} = \frac{\exp(o_i^{(w, h)} / \tau)}{\sum_{k \in \{i, j\}} \exp(o_k^{(w, h)} / \tau)} \quad (3)$$

3.2 TRAINING

TransformMix employs a two-stage training process. At the searching stage, the mixing module is trained to learn the transformation and mixing strategy from a dataset. At the inference stage, the learned mixing module will generate new mixed images for training a new classifier on the dataset.

In AutoDA, augmentation policies are optimized with the goal to maximize the validation performance on a hold-out dataset directly. The process requires the training of multiple child models, which is computationally expensive to achieve. Specifically, AutoAugment spends over 5,000 GPU hours learning the augmentation policy on CIFAR-10 (Cubuk et al., 2019). To this end, we adopt a surrogate objective that correlates to the effectiveness of mixed training images. Inspired by SuperMix (Dabouei et al., 2021), we utilize a pre-trained teacher model f_t to guide the learning of the mixing module. Specifically, we update the mixing module to minimize the classification loss on the mixed input x' with label y' in equation 4. With the supervision signals given by the pre-trained network, the mixing module (f_s, f_m) learns to construct mixed images such that f_t can uncover the constituting objects in x' . This encourages the mixing module to fit more important information in the mixed results, avoiding the salient information being diluted implicitly.

$$x' = m_i \odot \varphi(x_i; \theta_i) + m_j \odot \varphi(x_j; \theta_j), \quad y' = \lambda y_i + (1 - \lambda) y_j \quad (4)$$

At inference time, the trained mixing module creates mixed images to train a new classifier following the standard model training process. In the previous sections, we explained the details of *TransformMix* to mix two input images. In practice, *TransformMix* can be generalized to mix more images by replacing the Beta distribution with Dirichlet distribution and modifying the input and output sizes of f_s and f_m accordingly. The general training step to mix k images by *TransformMix* is depicted in Algorithm 1.

Algorithm 1 Training Step of *TransformMix* for k images

- 1: **procedure** TRAIN(set of k images $\{x_i\}_{i=1}^k$, teacher network f_t , spatial transformer network f_s , mask prediction network f_m , task network g , mixing parameter α)
 - 2: $S = \{CAM(x_i; f_t)\}_{i=1}^k$
 - 3: Sample $\Lambda = (\lambda_1, \dots, \lambda_k)$ from $\text{Dir}(\alpha)$
 - 4: Sample z from $N(0, I)$
 - 5: $\Theta = f_s(S, \Lambda, z)$
 - 6: $M = f_m(\{\varphi(s_i; \theta_i)\}_{i=1}^k, \Lambda)$
 - 7: $x' = \sum_{i=1}^k m_i \odot \varphi(x_i; \theta_i)$
 - 8: $y' = \sum_{i=1}^k \lambda_i y_i$
 - 9: **if** *SEARCH* **then** # Training the mixing module
 - 10: $\mathcal{L} = \text{CrossEntropyLoss}(f_t(x'), y')$
 - 11: Update f_s, f_m
 - 12: **else** # Training the task network
 - 13: $\mathcal{L} = \text{CrossEntropyLoss}(g(x'), y')$
 - 14: Update g
-

4 EXPERIMENTS AND RESULTS

4.1 EXPERIMENT SETUP

Mixing Module. The mixing module comprises a spatial transformer network and a mask prediction network. We follow the implementation of the spatial transformer network proposed by Jaderberg et al. (2015) except the last layer is modified for additional outputs to predict the transformation parameters for more input images. The mask prediction network has three convolution layers with 3×3 kernel size, 32 channels and ReLU activation, followed by a 1×1 convolution layer. Padding is applied to ensure that the mixing masks share the same spatial dimension as the input images. The mixing module is trained using an SGD optimizer with a 0.0005 learning rate and 0.01 weight decay for 100 epochs using a batch size of 128.

Table 2: Test-set Top-1 / Top-5 accuracy (%) on CIFAR-100, Tiny-ImageNet and ImageNet datasets.

Method	CIFAR-100		Tiny-ImageNet	ImageNet
	WRN-28 \times 10	PreActResNet-18	PreActResNet-18	ResNet-50
Simple	78.86 / 93.67	76.33 / 91.02	57.23 / 73.65	75.69 / 92.66
Mixup	81.73 / 95.02	76.84 / 92.42	56.59 / 73.02	77.01 / 93.52
Manifold MixUp	82.60 / 95.63	79.02 / 93.37	58.01 / 74.12	76.85 / 93.50
CutMix	82.50 / 95.31	76.80 / 91.91	56.67 / 75.52	77.08 / 93.45
AugMix	79.56 / 94.26	75.31 / 91.62	55.97 / 74.68	76.75 / 93.30
PuzzleMix	84.05 / 96.08	80.38 / 94.15	63.48 / 81.05	77.51 / 93.76
SuperMix	83.60 / -	- / -	- / -	77.60 / 93.70
TransformMix	84.07 / 96.97	80.39 / 95.37	65.72 / 85.01	77.60 / 93.89
(ours)	± 0.05 / ± 0.07	± 0.04 / ± 0.05	± 0.16 / ± 0.25	± 0.04 / ± 0.06

Direct Classification. Following the line of sample-mixing research, we evaluate *TransformMix* on CIFAR-100 (Krizhevsky & Hinton, 2009), Tiny-ImageNet (Chrabaszcz et al., 2017) and ImageNet (Deng et al., 2009) using WideResNet-28 \times 10 (Zagoruyko & Komodakis, 2016), PreActResNet-18 (He et al., 2016a) and ResNet-50 (He et al., 2016b). We compare our results with simple sample-mixing methods: Input Mixup (Zhang et al., 2018), Manifold Mixup (Verma et al., 2019), CutMix (Yun et al., 2019) and AugMix (Hendrycks et al., 2020) as well as saliency-aware mixing methods: PuzzleMix (Kim et al., 2020) and SuperMix (Dabouei et al., 2021). In the direct classification experiment, we first train the mixing module (i.e., the spatial transformer network and mask prediction network) on the target dataset, and then utilize the mixing module to create new mixed images for training a task classifier from scratch.

Transfer Classification. We study whether a mixing module trained on a dataset can be exploited to create effective mixed data for other datasets. We consider a realistic scenario, where a pre-trained classifier is fine-tuned (instead of training from scratch) on some downstream tasks. We follow the transfer setting in Cheung & Yeung (2022) to fine-tune an ImageNet pre-trained network on four classification datasets: Oxford Flowers (Nilsback & Zisserman, 2008), Oxford-IIIT Pets (Em et al., 2017), FGVC Aircraft (Maji et al., 2013) and Stanford Cars (Krause et al., 2013).

4.2 DIRECT CLASSIFICATION

CIFAR-100. Following the experiment setting adopted by Kim et al. (2020), we train WRN-28 \times 10 (Zagoruyko & Komodakis, 2016) and PreActResNet-18 (He et al., 2016a) on CIFAR-100. We follow the training protocol in Kim et al. (2020) to train WRN-28 \times 10 for 400 epochs and PreActResNet-18 for 1200 epochs. We use an initial learning rate of 0.1 and decay it by a factor of 0.1 at the 200th and 300th epochs for WRN-28 \times 10 and at the 400th and 800th epochs for PreActResNet-18. We adopt the reported baseline performances from Kim et al. (2020), which are tested under the same experiment setup. We repeat the experiments with three random seeds and report the averaged top-1 and top-5 test-set accuracy together with the standard deviation in Table 2.

Experimental results show that our method achieves comparable top-1 accuracy as state-of-the-art methods. In addition, *TransformMix* achieves greater gains in the top-5 accuracy with 0.89% and 1.22% improvements over the best performed baseline on WRN-28 \times 10 (Zagoruyko & Komodakis, 2016) and PreActResNet-18 (He et al., 2016a), respectively. This provides evidence that *TransformMix* can preserve more object information, facilitating the task network to learn more effectively.

Tiny-ImageNet. Tiny-ImageNet contains 500 training images of 200 classes with a resolution of 64×64 (Chrabaszcz et al., 2017). We follow Kim et al. (2020) to train the PreActResNet18 network on the Tiny-ImageNet dataset for 1,200 epochs with an initial learning rate of 0.2 and decay it by a factor of 0.1 at the 600th and 900th epochs. As shown in Table 2, *TransformMix* outperforms PuzzleMix by 2.24% and 3.96% in terms of top-1 and top-5 accuracy, respectively.

ImageNet. We also evaluate our methods on the large-scale ImageNet dataset with ResNet-50. The dataset contains 1,281,167 training images in 1,000 categories. Following the experiment setup prescribed in Kim et al. (2020), we train ResNet-50 on the ImageNet for 100 epochs using an initial

Table 3: Test-set Top-1 / Top-5 accuracy (%) for fine-tuning a pre-trained network to the Flower, Pet, Car and Aircraft datasets using the mixing module learned from CIFAR-100.

	Flower	Pet	Car	Aircraft
Simple	97.55 / 99.87	92.88 / 99.48	79.43 / 95.52	68.79 / 92.34
Mixup	97.92 / 99.75	93.04 / 99.31	80.84 / 95.77	70.83 / 93.00
CutMix	97.67 / 99.63	92.09 / 99.20	80.91 / 95.94	69.63 / 93.06
SaliencyMix	98.16 / 99.75	92.83 / 99.34	78.90 / 95.32	69.42 / 92.25
PuzzleMix	98.28 / 99.75	92.66 / 99.50	80.62 / 95.87	70.89 / 92.97
TransformMix	98.16 / 99.87	93.34 / 99.50	82.11 / 96.48	72.36 / 93.12
(ours)	± 0.06 / ± 0.06	± 0.13 / ± 0.04	± 0.47 / ± 0.18	± 0.35 / ± 0.16

learning rate of 0.5 with learning rate warm-up and weight decay on resized ImageNet images. Similar to the CIFAR-100 experiment, our method achieves comparable top-1 accuracy and better top-5 accuracy than other baselines (see Table 2).

Other Settings. In appendix A and B, we compare the effectiveness of TransformMix on CIFAR-10 and mixing three images for Tiny-ImageNet, respectively.

4.3 TRANSFER CLASSIFICATION

Fine-tuning pre-trained classifiers. Fine-tuning ImageNet pre-trained model is one of the most promising transfer-learning techniques nowadays. Here, we use ResNet-50 with pre-trained IMAGENET1K_V1 weights provided by `torchvision`¹. We extract the CAMs of input images from the pre-trained model and fine-tune the model on four downstream datasets: Oxford Flowers (Nilsback & Zisserman, 2008), Oxford-IIIT Pets (Em et al., 2017), FGVC Aircraft (Maji et al., 2013) and Stanford Cars (Krause et al., 2013). Compared to Tiny-ImageNet and ImageNet, these datasets have a larger number of classes but few samples per class.

We apply the mixing module pre-trained on TinyImageNet for the TransformMix baseline. For the other baselines, we use the optimal hyperparameters for Tiny-ImageNet suggested by the original papers. The pre-trained model is fine-tuned on the downstream datasets for 100 epochs using a learning rate of 0.001 and batch size of 64. The results in Table 3 demonstrate that *TransformMix* outperforms Mixup, CutMix, SaliencyMix and PuzzleMix on the Pet, Car and Aircraft datasets and achieves similar performance on the Flower dataset in terms of top-1 and top-5 accuracy.

Comparing direct and transfer TransformMix. In addition, we compare the effectiveness between direct TransformMix and transfer TransformMix. In particular, we find that transfer TransformMix does not degrade the performance of direct TransformMix much. The details can be found in appendix C.

4.4 ABLATION STUDY

In this section, we investigate the effectiveness of different components in *TransformMix*. Specifically, we test *TransformMix* with only the STN and only the mask prediction network (MPN) on CIFAR-10 and CIFAR-100 using ResNet-18 and WRN28-10, respectively. In addition, we implement the softmax+CAM baseline, which applies softmax to the CAMs directly to obtain the mixing mask without using the mask prediction network. We also use the same way to compute the mixing mask for the w/ STN only baseline. The results in Table 4 show that transforming the input images with softmax+CAM (i.e., the w/ STN only baseline) increases the accuracy the most, while adding the mask prediction network further improves the performance.

4.5 EXECUTION TIME

We compare the execution time of our method with the saliency-aware mixing methods: PuzzleMix and SuperMix. At inference time, our method uses a single forward pass to predict the mixing mask

¹<https://pytorch.org/vision/main/models/generated/torchvision.models.resnet50.html>

Table 4: Test-set accuracy (%) on CIFAR-10 and CIFAR-100 with different mixing configurations.

	Simple	Mixup	softmax +CAM	w/ STN only	w/ MPN only	Transform- Mix
CIFAR-10	95.28	95.55	95.62 \pm 0.06	96.03 \pm 0.02	96.02 \pm 0.01	96.40 \pm 0.02
CIFAR-100	78.86	81.73	82.66 \pm 0.08	83.79 \pm 0.04	83.52 \pm 0.01	84.07 \pm 0.05

and transformation, while PuzzleMix and SuperMix iteratively compute the optimal mixing masks and transport plans. We test the average processing time to generate a batch of 128 mixed images for 10 trials. All the experiments are conducted using an NVIDIA RTX3090 GPU card. It is found that *TransformMix* is $3.61\times$ faster than PuzzleMix and $3.97\times$ faster than SuperMix. The details can be found in Table 5.

Table 5: Comparison between the execution time of PuzzleMix, SuperMix and *TransformMix*.

Method	Puzzle Mix	Super Mix	<i>TransformMix</i>
Time (s)	0.163	0.179	0.045
Speed Up	$3.61\times$	$3.97\times$	$1\times$

4.6 QUALITATIVE ANALYSIS

We illustrated the learned mixing strategy in Figure 3 from Section 3. Apparently, the spatial transformer network learns to separate the salient regions of the two input images by squeezing one image to the left and another to the right. Based on the transformed images, the mask prediction network applies the mixing masks that reveal the important areas of the input images. Moreover, the learned temperature value τ results in a smooth mixing boundary between two objects (see Figure 4). We further validate that *TransformMix* adapts to the mixing coefficient λ well in Figure 5. The mask prediction model learns to better reveal the second image (the dog) with the increased value of λ . We provide more visualizations of the mixed results for different datasets in Appendix G.

Figure 5: Illustration of the mixed outputs with increasing value of the mixing coefficient λ .

5 CONCLUSION

This paper proposes an automated approach, *TransformMix*, to learn transformation and mixing augmentation strategies from data. Based on the class activation maps of the input images, *TransformMix* employs a spatial transformer network to predict the transformation and a mask prediction network to blend the input images. The mixing module is optimized through self-supervision signals given by a pre-trained teacher network efficiently. Through qualitative and quantitative analysis, we demonstrate the effectiveness of *TransformMix* in preserving the salient information and improving the end classification performance on multiple datasets under the direct and transfer settings. As our method does not rely on transformations defined in a specific domain, it is beneficial to study whether the method can be modified and deployed to other domains and data modalities in the future.

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A EXPERIMENT ON CIFAR-10

We test the effectiveness of *TransformMix* on the smaller CIFAR-10 dataset and compare the results with Mixup and CutMix. Table 6 shows that *TransformMix* achieves higher top-1 accuracy than the other baselines.

Table 6: Test-set Top-1 accuracy (%) on CIFAR-10 with ResNet-18 and WRN28-10

	Simple	Mixup	Cutmix	Transform-Mix
ResNet-18	95.28	95.55	96.22	96.40 ±0.026
WideResNet28-10	96.13	96.9	97.13	97.21 ±0.016

B MIXING MORE IMAGES

TransformMix can be generalized to mix more than 2 images. Figure 6 visualizes the learned mixing strategy when mixing 3 input images on Tiny-ImageNet. The spatial transformer network learns to squeeze the input images to different corners so that the salient regions do not overlap in the mixed results. We compare the end classification performance of *TransformMix* mixing 3 input images on Tiny-ImageNet with Mixup, CutMix and Co-mixup (Kim et al., 2021) in Table 7. Our method achieves higher top-1 and top-5 accuracy scores over other baselines. Using the learned mixing strategy with 3 inputs also achieves slightly better performance than 2 inputs on Tiny-ImageNet.

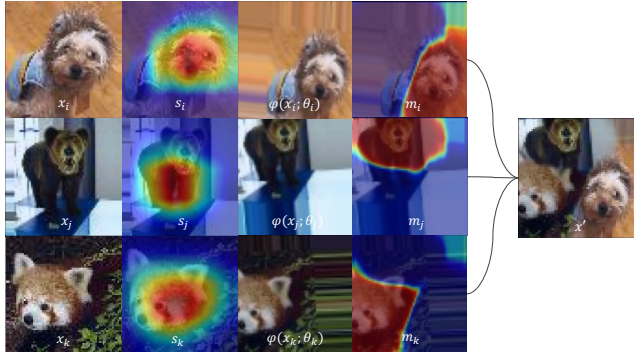


Figure 6: Illustration of the mixing strategy for mixing 3 images from Tiny-ImageNet.

Method	Acc.
Simple	57.23 / 73.65
Mixup	56.59 / 73.02
Cutmix	56.67 / 75.52
Co-Mixup	64.15 / -
Ours ($k = 2$)	65.72 / 85.01
Ours ($k = 3$)	66.03 / 85.16

Table 7: The top-1 and top-5 accuracy of different baselines and TransformMix with 2 and 3 input images on Tiny-ImageNet.

C COMPARING DIRECT AND TRANSFER CLASSIFICATION

In this section, we compare the effectiveness between direct TransformMix and transfer TransformMix. Specifically, we utilize the mixing module trained on CIFAR-10 to create new augmented images from unseen CIFAR-100 and TinyImageNet. These new images are used to train a WRN-28×10 model on CIFAR-100 and a PreActResNet-18 model on Tiny-ImageNet from scratch using the same training protocol in the direct classification experiments. Since Tiny-ImageNet has a larger image size than CIFAR-10, we resize the CAMs to match the CIFAR-10 images before inputting them to the spatial transformer network. Table 8 shows that the task models trained with *TransformMix* images outperform those with Input Mixup, Manifold Mixup and CutMix by a large margin.

The experiment results of the transfer mixing module degrade only slightly when compared to the direct mixing module, which is trained on the target datasets.

Table 8: Test-set Top-1 accuracy (%) for training the task network on CIFAR-100 and Tiny-ImageNet datasets using the mixing module learned from CIFAR-10.

	Simple	Mixup	Manifold Mixup	CutMix	TransformMix (transfer)	TransformMix (direct)
CIFAR-100	78.86	81.73	82.60	82.50	84.02 \pm 0.045	84.07 \pm 0.05
Tiny-ImageNet	57.23	56.59	58.01	56.67	65.62 \pm 0.29	65.72 \pm 0.16

D ADDITIONAL EXPERIMENT ON TINY-IMAGENET

We compare the classification accuracy with strong baseline using the training protocol prescribed in Liu et al. (2021). Table 9 shows that our proposed *TransformMix* is a strong methods when compare with the AutoMix baseline on Tiny-ImageNet.

Table 9: Test-set Top-1 accuracy (%) for training the task network on Tiny-ImageNet.

	Tiny-ImageNet ResNet-18
Simple	61.68
MixUp	63.86
CutMix	65.53
ManifoldMix	64.15
SaliencyMix	64.60
FMix	63.47
PuzzleMix	65.81
Co-Mixup	65.92
ResizeMix	63.74
AutoMix	67.33
<i>TransformMix</i> (ours)	67.65 \pm 0.12

E KNOWLEDGE DISTILLATION

Table 10 compares *TransformMix* with SuperMix on the knowledge distillation task. We follow the SuperMix experiment, which uses a WRN-40-2 teacher model to provide the supervision signals for training a ShuffleNetV1 student model on the CIFAR-100 dataset with TransformMix augmentation. Despite the efforts to reproduce the results, we could not fully replicate the baseline performance. In our experiments, *TransformMix* improves the accuracy by 7.52% and 0.63% over the student model trained with no sample-mixing and MixUp, respectively. The gains are comparable to the reported results in SuperMix. We hope this can demonstrate the effectiveness of *TransformMix* beyond standard classification tasks.

Table 10: Top-1 test accuracy of the student model trained using knowledge distillation method on CIFAR-100.

	Teacher Acc.	Student Acc.	w/ MixUp	w/ Method	Gain over Student Acc. / MixUp
SuperMix	75.61	70.50	77.44	78.07	7.57 / 0.63
<i>TransformMix</i>	75.59	69.24	76.24	76.76	7.52 / 0.52

F SENSITIVITY ANALYSIS ON NETWORK CONFIGURATIONS

In *TransformMix*, the mask prediction network is implemented as a 3-layer convolutional neural network, where each layer has a channel size of 32 and kernel size of 3x3. We test the sensitivity of our method to the network configurations. Specifically, we compare the end performance of the ResNet-18 models trained on the images created by *TransformMix* with different implementations of the mask prediction network. The task model is trained on the CIFAR-100 dataset for 200 epochs, and the mask prediction network uses different number of layers, channel size and kernel size from $\{2, 3, 4\}$, $\{8, 16, 32, 64\}$ and $\{3, 5, 7\}$, respectively. We also explore the effect of using different α values in $\{0.2, 0.5, 1, 2, 3, 4\}$ when sampling the mixing coefficients. The experimental results illustrated in Figure 7a, 7b, 7c and 7d show that *TransformMix* is not sensitive to the neural architecture design and the α parameter. It is also worth noting that our method does not require fine-tuning of additional parameters on new datasets. This is an advantage over existing methods that require manual specification of additional hyperparameters, such as, the label smoothness, data local smoothness, transport cost and neighbor size in PuzzleMix (Kim et al., 2020); the roughness and sparsity coefficients in SuperMix (Dabouei et al., 2021).

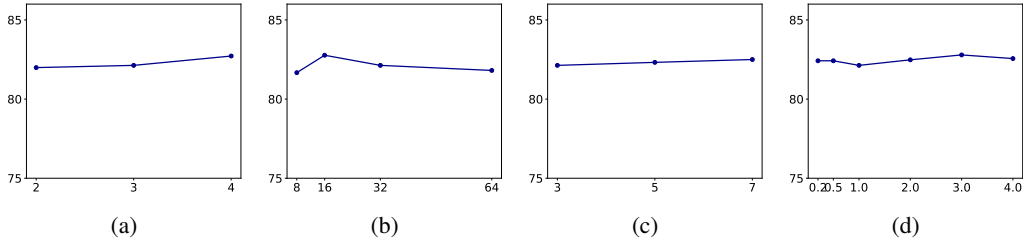


Figure 7: Sensitivity to different choices of (a) number of layers, (b) number of channels, (c) kernel size of the mask prediction network and (d) mixing parameter α . The vertical axis shows the top-1 test-set accuracy (%) and the horizontal axis shows the values of the tested configuration.

G MORE VISUAL ILLUSTRATIONS OF MIXED OUTPUT GENERATED BY TRANSFORMMIX

We present more visualizations of the intermediate results and mixed images generated by *TransformMix* on different datasets in Figure 8 and Figure 9. The illustrations show that *TransformMix* learns to separate the salient regions of the input images and apply appropriate mixing mask to expose the salient regions on the mixed results.

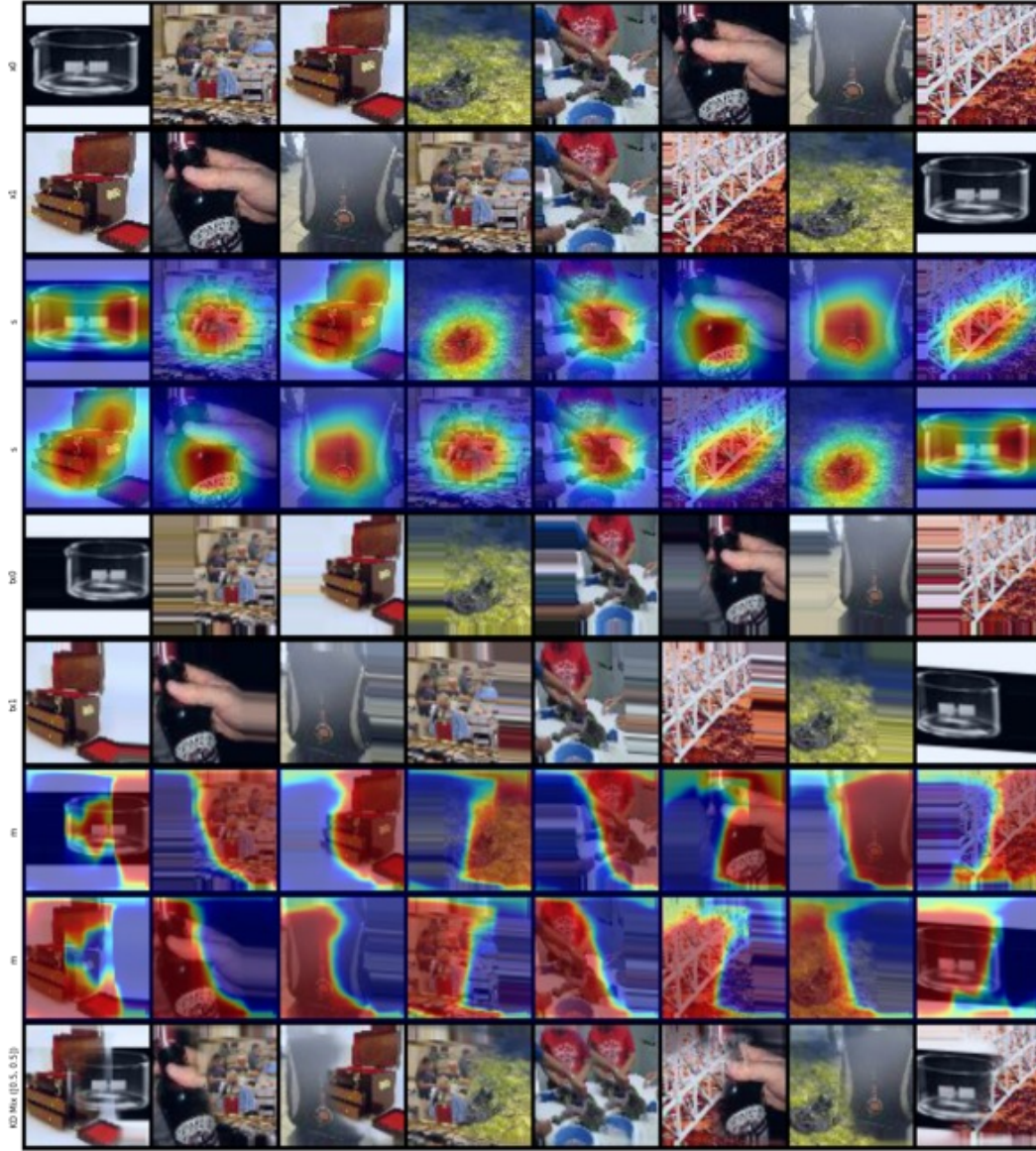


Figure 8: More illustration of the mixed outputs from a non-curved set of eight images. From top to bottom, the row indicates input image 1, input image 2, CAM of input image 1, input image 2, transformed input image 1, transformed input image 2, predicted mask of input image 1, predicted mask of input image 2, and mixed result.

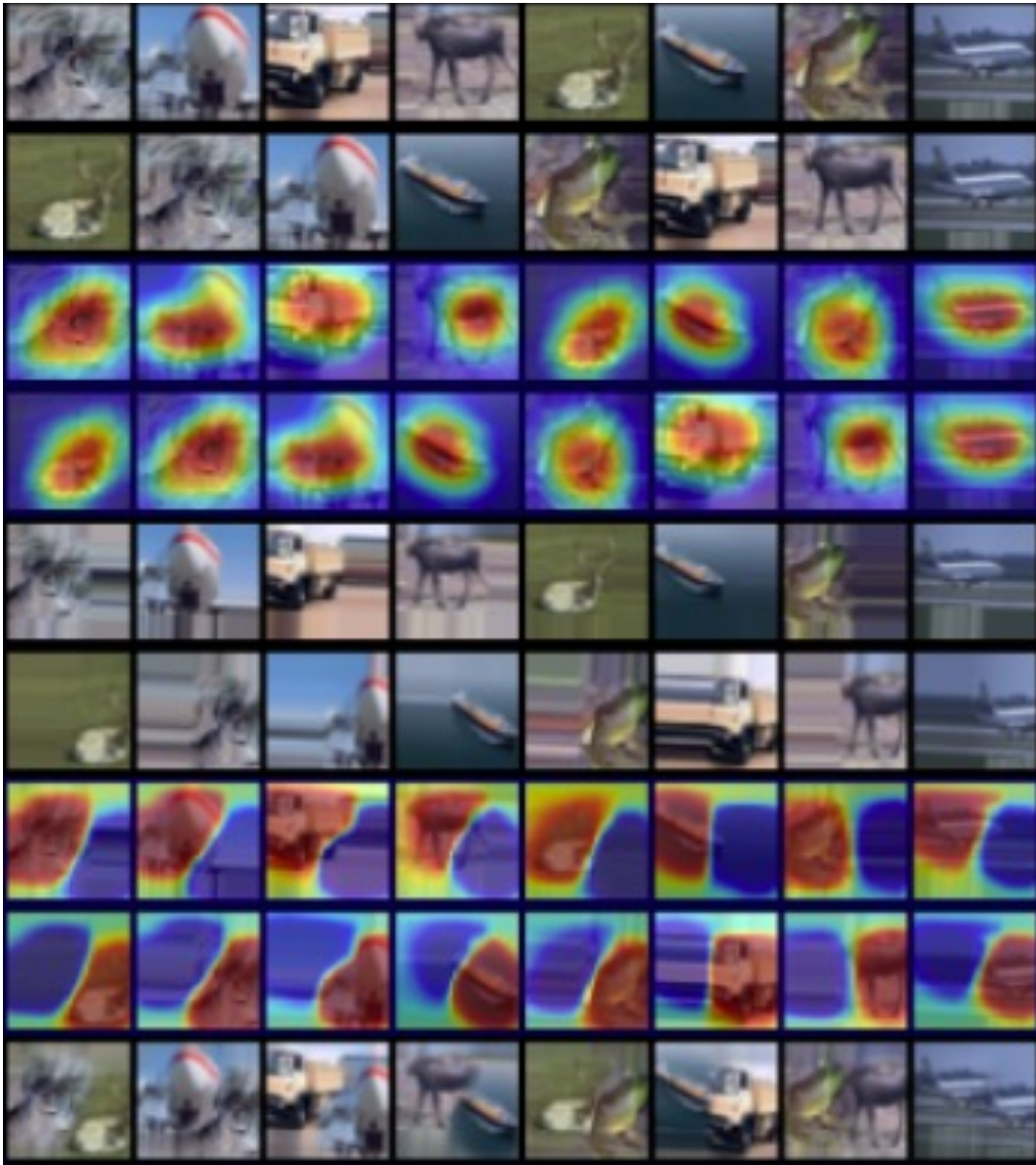


Figure 9: More illustration of the mixed outputs from a non-curated set of eight images. From top to bottom, the row indicates input image 1, input image 2, CAM of input image 1, input image 2, transformed input image 1, transformed input image 2, predicted mask of input image 1, predicted mask of input image 2, and mixed result.