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# Meta-sketch: A Neural Data Structure for Estimating Item Frequencies of Data Streams

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## Abstract

1 To estimate item frequencies of data streams with limited space, sketches are widely  
2 used in real applications, including real-time web analytics, network monitoring,  
3 and self-driving. Sketches can be viewed as a model which maps the identifier of a  
4 stream item to the corresponding frequency domain. Starting from the premise, we  
5 envision a neural data structure, which we term the *meta-sketch*, to go beyond the  
6 basic structure of conventional sketches. The meta-sketch learns basic sketching  
7 abilities from meta-tasks constituted with synthetic datasets following *Zipf* distribu-  
8 tions in the pre-training phase, and can be fast adapted to real (skewed) distributions  
9 in the adaption phase. Extensive experiments demonstrate the performance gains  
10 of the meta-sketch and offer insights into our proposals.

## 11 1 Introduction

12 Estimating item frequency is a basic topic in data stream processing, which finds applications in  
13 the fields of networking, databases, and machine learning, such as real-time data analyzing [1–4],  
14 network traffic monitoring [5–7], natural language processing [8] and search ranking [9]. Towards  
15 infinite data streams, a common class of solutions [10–15] use a compact structure taking sublinear  
16 space for counting the number of occurrences of each stream item, called the *sketch*.

17 Under the prevalent evidence of skewed distributions in data streams, *basic sketches* achieve the space  
18 compactness by hashing and approximately aggregating stream items. Basic sketches, including  
19 CM-sketch [10], C-sketch [11] and CU-sketch [12], use a 2D array of counters as the core structure.  
20 To optimize the sketching performance, there arise *augmented sketches* [13, 14], which attach filters to  
21 basic sketches, to capture the preliminary patterns of skewed distributions (e.g., high/low-frequency  
22 items). By separately maintaining the filtered high/low-frequency items, augmented sketches strive  
23 to eliminate the estimation error incurred by hash collisions between the high- and low-frequency  
24 items. Further, *learned augmented sketches* [15] improve the filters of the augmented sketches by  
25 memorizing short-term high/low-frequency items via a pre-trained neural network (NN in short)  
26 classifier. But it is not clear how the pre-trained NN can be adapted to dynamic streaming scenarios,  
27 where the correspondence between items and frequencies varies. In a nutshell, sketches are structures  
28 compactly summarizing stream distributions to count item frequencies with limited space budgets.

29 From the retrospective analysis of sketches, an observation can be drawn that the evolution of  
30 sketches conforms with the exploitation of data distributions. It is thus a natural evolution to consider  
31 a sketch that generally and automatically captures more distribution patterns with limited space  
32 budgets. In this paper, we envision a novel neural sketch, called the *meta-sketch*, with techniques  
33 of meta-learning and memory-augmented neural networks. The meta-sketch learns the sketching  
34 abilities from automatically generated meta-tasks. Depending on the types of meta-tasks, we study  
35 two versions of the meta-sketch, called *basic* and *advanced meta-sketches*.

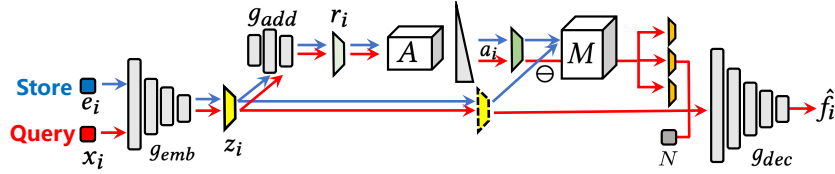


Figure 1: The Framework of the Meta-sketch

36 The basic meta-sketch implements the simulation of basic sketches, through the training process with  
 37 basic meta-tasks following *Zipf* distributions, which are prevalent in the scenes of real data streams [16–  
 38 20]. The advanced meta-sketch extends the basic version to fast adapt to the specific runtime of stream  
 39 processing, through the training with adaptive meta-tasks, which are generated by online sampling  
 40 of real data streams. Our work follows a typical setting where the distribution of item frequencies  
 41 follows a skewed distribution, but the correspondence between items and frequencies varies. For  
 42 example, in software-defined networks (SDN), sketches are deployed to programmable switches to  
 43 collect per-flow statistics, where IP packets follow *heavy-tailed* distributions [15, 21]. In distributed  
 44 databases, it gives advances to collect statistics of data shards to optimize data placement and  
 45 query caching, where query phrases follow approximate *Zipf* distributions [15]. Given that the item  
 46 population follows a specific distribution, the local distributions, i.e., item-frequency correspondences  
 47 on shards or flows, are different. Instead of retraining learned augmented sketches on each local  
 48 distribution, the advanced-sketch can be quickly adapted to different local distributions once trained.

49 As a member of the neural data structure family [15, 22–24], the meta-sketch significantly differs  
 50 from conventional sketches, in terms of the structure and working mechanism. The meta-sketch  
 51 utilizes NN’s powerful encoding/decoding capabilities to perceive data distributions and express  
 52 and compress explicit or implicit information to retrieve item frequencies with better accuracies.  
 53 Meanwhile, the meta-sketch is differentiable to fully perceive frequency patterns for self-optimization.

54 Our contributions are as follows. **1)** We propose the meta-sketch, the first neural data structure for the  
 55 problem of item frequency estimation, based on meta-learning. **2)** The basic meta-sketch acquires  
 56 sketching abilities by learning from synthetic datasets, and outperforms basic sketches in real datasets.  
 57 The advanced meta-sketch automatically encompasses the ability analogous to the auxiliary structures  
 58 deliberately devised in (learned) augmented sketches, yet yielding better accuracies and robustness  
 59 when adapted to dynamic scenes. **3)** Through extensive empirical studies on real and synthetic  
 60 datasets, we evaluate our proposed meta-sketches and analyze the mechanism of major modules.

## 61 2 Meta-sketch Structure

### 62 2.1 Preliminaries

63 We consider a standard data stream scenario [19]. Suppose a data stream  $\mathcal{S}_N : \{e_1, \dots, e_N\}$  with  $N$   
 64 items and  $n$  distinct items. Each item  $e_i \in \mathcal{S}_N$  takes a value from the item domain  $\mathbb{X} = \{x_1, \dots, x_n\}$   
 65 where  $x_i \neq x_j$ . The frequency  $f_i$  is equal to the number of times that item  $x_i$  appears in  $\mathcal{S}_N$ .

66 To leverage learning techniques for item frequency estimation, a naïve way is to train a NN model  
 67 (e.g., MLP/LSTM) that learns/memorizes the mapping relationship between items and frequencies  
 68 with multiple training iterations, similar to [15, 22, 24]. However, it violates the typical setting of  
 69 stream processing where item observations are transient and are therefore handled in one pass [18].  
 70 More, the costly procedure has to be repeated from the scratch for a new data stream. Inspired by the  
 71 meta-bloom filter [23], we consider a case of one-shot learning (fitting for one-pass stream processing)  
 72 by using meta-learning [25, 26] and memory-augmented networks [27, 28]. Meta-learning employs  
 73 sampled meta-tasks to learn the ability to solve a class of domain tasks rather than memorizing patterns  
 74 for a specific task. The memory-augmented networks incorporate external memories into NN models,  
 75 significantly enhancing the potentials of NN models with more learnable parameters. Meanwhile, it  
 76 performs efficient and explicit operations (i.e., reading and storing) for external memories, allowing  
 77 NN models to process information similarly to conventional data structures.

78 The framework of the meta-sketch consists of 4 functional modules, *Embedding* ( $\mathcal{F}_E$ ), *Sparse*  
 79 *addressing* ( $\mathcal{F}_{Sa}$ ), *Compressed storage matrix* ( $M$ ), and *Decoding* ( $\mathcal{F}_{dec}$ ), as shown in Figure 1. Like  
 80 traditional sketches, the meta-sketch encodes and memorizes online stream items in one pass, and  
 81 answers queries by decoding corresponding item-frequency information from the structure.

82 Thus, we define 2 operations, *Store* and *Query*. Specifically, the *Store* operation first passes each  
 83 incoming stream item to  $\mathcal{F}_E$  for the embedding representation, and then writes the embedding vector  
 84 into  $M$ , according to the address derived by  $\mathcal{F}_{Sa}$ . When estimating the frequency of an item, the

85 *Query* operation calculates the item’s address in  $M$  via  $\mathcal{F}_{Sa}$ , reads the corresponding information  
 86 vector from  $M$ , and decodes the item frequency by  $\mathcal{F}_{dec}$  from the retrieved information vector .

## 87 2.2 Modules

88 **Embedding.** The module  $\mathcal{F}_E$  has two purposes: **1)** performing representational transformation for  
 89 an incoming item  $e_i$  and mapping it into a dense embedding vector  $z_i$  that holds implicit features  
 90 about item-frequency distributions and serves as the basis for identifying stream items; **2)** decoupling  
 91 the embedding vector  $z_i$  to obtain a refined vector  $r_i$ , which is used to derive the address for  
 92 reading/writing on the compressed storage matrix  $M$ .

93 Accordingly,  $\mathcal{F}_E$  consists of the embedding network  $g_{emb}$  and the address network  $g_{add}$ . We assume  
 94 that an item  $e_i \in \mathcal{S}_N$  is numerically encoded for the unique identification, following the conventions  
 95 of stream processing [18, 19]. Thus, we have  $z_i, r_i \leftarrow \mathcal{F}_E(e_i)$ , where  $z_i \leftarrow g_{emb}(e_i)$  and  $r_i \leftarrow$   
 96  $g_{add}(z_i)$ . Here,  $z_i \in \mathbb{R}^{l_z}$  is an embedding vector of length  $l_z$ , and  $r_i \in \mathbb{R}^{l_r}$  is a refined vector of  
 97 length  $l_r$ . The vector  $z_i$  serves multiple intents: **1)** it makes a basis for deriving the address of an item  
 98 in  $\mathcal{F}_{Sa}$ ; **2)** it serves as the compressed vector of an item written into  $M$ ; **3)** it works as a partial input  
 99 of  $\mathcal{F}_{dec}$  for decoding the item frequency; **4)** it also plays the role of perceiving/compressing patterns  
 100 of a specific frequency distribution, as discussed in Section 5. In addition, to enhance the addressing  
 101 functionality and eliminate other interference factors, we decouple  $z_i$  to generate a refined vector  $r_i$ ,  
 102 instead of using  $z_i$  directly for the addressing.

103 **Sparse addressing.** The module  $\mathcal{F}_{Sa}$  aims to derive the address  $a_i$  for storing the embedding vector  
 104  $z_i$  into the storage matrix:  $a_i \leftarrow \mathcal{F}_{Sa}(r_i)$ . In terms of functionality,  $\mathcal{F}_{Sa}$  is analogous to the hash  
 105 functions of traditional sketches, except that  $\mathcal{F}_{Sa}$  is parameterized and differentiable. Specifically,  
 106 the addressing of the meta-sketch is done via a 3D addressing matrix  $A$  of parameters to be learned  
 107 and a sparse SoftMax function:  $a_i \leftarrow SparseMax(r_i^T A)$ , where  $A \in \mathbb{R}^{d_1 \times l_r \times d_2}$ . Then, the batch  
 108 matrix multiplication of  $A$  and the transpose of  $r_i$  results in the addressing vector  $a_i \in \mathbb{R}^{d_1 \times 1 \times d_2}$ .

109 The setting of  $d_1$  and  $d_2$  determines the size of address space for storing the embedding vectors.  
 110 Typical addressing methods [23, 28] use a 2D matrix ( $l_r \times d_2$ ) for recording the mapping of an  
 111 embedding vector to a slot ( $d_2$  is the number of slots). In contrast, we add one more dimension  $d_1$   
 112 to simulate the multi-hash setting of traditional sketches, in view of that a 2D addressing matrix  
 113 can reach a differentiable simulation of a hash function [23, 24]. Matrix  $A$  simulates multiple hash  
 114 functions, yielding robust frequency decoding and the rationality of the learning optimization. Note  
 115 that each 2D slice  $A^*$  of  $A$  is stacked from  $d_2$ -unit vectors  $b_i \in \mathbb{R}^{l_r}$  by normalizing the parameters  
 116 of  $A$  at each gradient update of the training process. Normalized  $A$  can avoid overflowing when  
 117 compressing its size by reducing data precisions and enhance the interpretability (see Section 5).

118 In addition, we utilize sparse SoftMax [29, 30] instead of SoftMax to normalize the address  $a_i$ .  
 119 It brings the following benefits by constraining some bits of  $a_i$  to zero, which **1)** promotes quick  
 120 derivation during the back-propagation; **2)** reduces the overhead of storage matrix accessing by  
 121 skipping the slots of  $M$  corresponding to the “0” bits of  $a_i$ ; **3)** leads to de-noising with the vector  
 122 compression.

123 **Compressed storage matrix.** We use a matrix  $M \in \mathbb{R}^{d_1 \times l_z \times d_2}$ <sup>1</sup> to store an embedding vector  
 124  $z_i \in \mathbb{R}^{l_z}$  in accordance to its address  $a_i \in \mathbb{R}^{d_1 \times 1 \times d_2}$ . The functionality of  $M$  is similar to the 2D  
 125 array of counters in traditional sketches, yet yielding better capabilities in the storage compression.  
 126 Traditional sketches store item counts. Differently,  $M$  stores embedding vectors, which have richer  
 127 information compression capabilities, due to the diversity of value changes on different bits.

128 **Decoding.** Given a query item  $x_i$ , the module  $\mathcal{F}_{dec}$ , consisting of one NN component  $g_{dec}$ , decodes  
 129 the information corresponding to  $x_i$ , in order to obtain the estimated frequency  $\hat{f}_i$ . The vector fed  
 130 into  $g_{dec}$  is the concatenation of vector  $\{M \ominus a_i\}$ , vector  $z_i$ , and the current number of items (i.e.,  $N$ )  
 131 recorded in a counter,  $\hat{f}_i \leftarrow g_{dec}(\{M \ominus a_i\}, z_i, N)$ . The operator  $\ominus$  refers to the reading operation  
 132 for the storage matrix. The basic form of  $\ominus$  gives the operation as  $M \ominus a_i = M a_i^T$ <sup>2</sup> [27, 28]. For  
 133 optimization, we consider two optimized forms of  $\ominus$ , inspired by the “count-min” mechanism of the  
 134 CM-sketch. The first one gives the minimum value of each row in  $M a_i^T$ , aiming to remove the noise  
 135 of other items. The second one gives the minimum value of each row in  $M a_i^T \circ \frac{1}{z_i}$ , a normalized

<sup>1</sup>In this paper, we control  $l_r : l_z \approx 1 : 5$  to compress  $A$ .

<sup>2</sup> $a_i^T$  means transpose operation for dim 1 and  $d_2$

136 form of  $Ma_i^T$ . Here,  $\circ$  denotes the Hadamard product, and  $z_i$  requires broadcast operations to comply  
 137 with its requirements. So,  $\{M \ominus a_i\}$  refers to the concatenation of vectors generated by the basic  
 138 form and the two optimized forms. Please refer to supplement materials for more details.

## 139 2.3 Operations

140 **Operation Store** is performed by feeding an incoming item  $e_i$  to  $\mathcal{F}_E$  and  $\mathcal{F}_{Sa}$  to obtain embedding  
 141 vector  $z_i$  and address  $a_i$ , and then additively writing  $z_i$  to  $M$ , weighted by  $a_i$ :  $M \leftarrow M + z_i a_i$ . Here,  
 142 other writing types [23, 26–28] can also be employed, but simple additive writing is more efficient  
 143 and allows to compute gradients in parallel [23]. In addition, additive writing also allows to define an  
 144 optional *Delete* operation for the meta-sketch (see the supplement materials).

145 **Operation Query** estimates the frequency of a given query item  $x_i$ . First,  $z_i$  and  $a_i$  are obtained,  
 146 similar to that of operation Store. Then, the vectors  $\{M \ominus a_i\}$  are retrieved from  $M$  and  $N$  can be  
 147 easily obtained by a small counter. Finally,  $\{M \ominus a_i\}$ ,  $z_i$  and  $N$  are jointly fed into  $g_{dec}$  to get the  
 148 estimated frequency  $\hat{f}_i$  of  $x_i$  as the returned value. The two operations are shown in Algorithm 1.

Algorithm 1: Operations	Algorithm 2: Training Framework
<pre> 1 <b>Operation Store</b>(<math>e_i, M</math>): 2   <math>z_i, r_i \leftarrow \mathcal{F}_E(e_i)</math>; 3   <math>a_i \leftarrow \mathcal{F}_{Sa}(r_i)</math>; 4   <math>M \leftarrow M + z_i a_i</math>; 149 5 <b>Operation Query</b>(<math>x_i, M, N</math>): 6   <math>z_i, r_i \leftarrow \mathcal{F}_E(x_i)</math>; 7   <math>a_i \leftarrow \mathcal{F}_{Sa}(r_i)</math>; 8   <math>\hat{f}_i \leftarrow \mathcal{F}_{dec}(\{M \ominus a_i\}, z_i, N)</math>; 9   <b>return</b> <math>\hat{f}_i</math>; </pre>	<pre> <b>Data:</b> Meta-sketch with all learnable parameters <math>\theta</math>, Meta-task sampler <math>R</math>; 1 <b>while</b> <math>i</math> not reach max training steps <b>do</b> 2   Sample a meta-task <math>t_i : \{s_i, q_i\} \sim R</math> and count <math>N</math>; 3   <b>for</b> <math>e_j^{(i)} \in s_i</math> <b>do</b> Store(<math>e_j^{(i)}, M</math>); <b>end</b> 4   <b>for</b> <math>x_j^{(i)}, f_j^{(i)} \in q_i</math> <b>do</b> <math>\hat{f}_j^{(i)} \leftarrow</math> Query(<math>x_j^{(i)}, M, N</math>); <math>\mathcal{L} +=</math> LossFun(<math>f_j^{(i)}, \hat{f}_j^{(i)}</math>); 5   Backprop through: <math>d\mathcal{L}/d\theta</math> and update parameters: <math>\theta \leftarrow</math> Optimizer(<math>\theta, d\mathcal{L}/d\theta</math>); 6   Normalize A; 7   Clear <math>M</math>; 8 <b>end</b> </pre>

## 150 3 Meta-sketch training

### 151 3.1 Training Framework

152 The meta-sketch employs an efficient one-shot meta-training method [31]. The training process thus  
 153 contains two phases, *pre-training* and *adaption* phases. In the pre-training phase, the meta-sketch  
 154 learns an initial set of module parameters, including  $g_{emb}$ ,  $g_{add}$ ,  $A$ , and  $g_{dec}$ . The pre-training  
 155 goes offline across training units, i.e., basic meta-tasks, to acquire the ability of stream frequency  
 156 estimation. Then, in the adaption phase, the pre-trained meta-sketch goes fast across a set of light-  
 157 weighted training units, i.e., adaptive meta-tasks, to quickly acquire the task-specific knowledge, i.e.,  
 158 parameters for sketching real data streams at runtime.

159 The training units, i.e., meta-tasks, are crucial for both phases. The training process of the meta-sketch  
 160 on a single meta-task is equivalent to simulating storing and querying an instance of data streams  
 161 while computing the estimation error to optimize the learnable parameters. Thus, a meta-task  $t_i$   
 162 consists of a store set  $s_i$  (also called a support set) and a query set  $q_i$ . The store set  $s_i$  can be viewed  
 163 as an instance of data streams,  $s_i : \{e_1^{(i)}, \dots, e_{N_i}^{(i)}\}$ , where  $N_i$  is the number of stream items in  $s_i$ . The  
 164 query set  $q_i$  can be represented by a set of items from the stream instance with paired frequencies in  
 165 the store set  $s_i$ , formally,  $q_i : \{(x_1^{(i)} : f_1^{(i)}), \dots, (x_{n_i}^{(i)} : f_{n_i}^{(i)})\}$ , where  $n_i$  is the number of distinct items  
 166 in  $s_i$ . In this work, we define two types of meta-tasks, *basic* (Section 3.2) and *adaptive* (Section 3.3)  
 167 meta-tasks, corresponding to the pre-training and adaption phases, respectively.

168 The two training phases, that are based on different types of meta-tasks, follow the same training  
 169 framework, as shown in Algorithm 2, except for the sampler and initial parameters. To optimize on  
 170 reducing both absolute and relative frequency estimation errors<sup>3</sup>, we devise an adaptive hybrid loss  
 171 function [32] for the meta-sketch:  $\frac{1}{2\sigma_1^2}(f_i - \hat{f}_i)^2 + \frac{1}{2\sigma_2^2}|f_i - \hat{f}_i|/f_i + \log\sigma_1\sigma_2$ , where  $\sigma_1$  and  $\sigma_2$  are  
 172 learned parameters, and  $f_i$  and  $\hat{f}_i$  are the true and estimated frequencies of item  $x_i$ , respectively.

<sup>3</sup>Average Absolute Error:  $AAE = \frac{1}{n} \sum_{i=1}^n |f_i - \hat{f}_i|$ ; Average Relative Error:  $ARE = \frac{1}{n} \sum_{i=1}^n \frac{|f_i - \hat{f}_i|}{f_i}$ .

### 173 3.2 Basic Meta-task Generation

174 In the pre-training phase, basic meta-tasks should make the meta-sketch to simulate traditional  
175 sketches and preserve certain generality without relying too much on the patterns of specific distribu-  
176 tions (Section 5). Therefore, we generate meta-tasks based on the Zipf distribution, which is found to  
177 be prevalent in real scenes of data streams [16–20].

178 A meta-task is essentially a data stream instance with item size  $n$ , which can be determined by the  
179 total number of items  $N$  and the relative frequency distribution  $p$ . Alternatively, we can generate  
180 meta-tasks by presupposing different  $n$ ,  $\bar{f}$  and  $p$ , where  $\bar{f}$  is the frequency mean, since  $N = \bar{f} \times n$ .  
181 Thus, basic meta-task generation is based on a sampler  $R : \{I, L, P\}$ , as follows.

182 An **item pool**  $I$  is a subset of the item domain  $\mathbb{X}$ . The cardinality of  $I$  is in relevance to the  
183 identification capability of the meta-sketch. If the item domain is known a-priori, it can be directly  
184 taken as the item pool. Otherwise, in applications where the item domain is only partially known or  
185 even unknown, the item pool can be constructed by sampling from the historical records. Even in the  
186 case that the item pool does not completely cover the item domain, the “missing” item can still be  
187 identified, due to the homogeneity of the domain-specific embedding space, given that the number of  
188 distinct items does not meet the item pool capacity  $|I|$ .

189 A **frequency mean range**  $L$  is the range for the frequency mean  $\bar{f}$ . One can get the value of  $\bar{f}$  by  
190 statistics of each sampled stream instance and extract the minimum and maximum  $\bar{f}$ s to build  $L$ .

191 A **distribution pool**  $P$  consists of many instances generated according to different parameters of  
192 relative frequency distributions. In this paper, we consider a family of *Zipf* distributions [33] with  
193 varied parameter  $\alpha$ , as the base for constructing  $P$ .  $\alpha$  can be selected from a wide range to have a  
194 good coverage of different distributions.

195 Notice that the meta-tasks are for the meta-sketch to learn the sketching ability, instead of spoon-  
196 feeding the meta-sketch to mechanically memorize the parameters of  $R$ . It means that the trained  
197 meta-sketch has the generalization ability to handle the case not covered in  $R$  (see Section 4.2).

198 The generation of a meta-task  $t_i$  can be done based on sampler  $R$ , as follows. We first randomly  
199 sample a subset of  $n_i$  items from  $I$ , and a frequency mean  $f_i \in L$ . Then, we sample a distribution  
200 instance  $p_i \in P$  and make the  $n_i$  items’ frequencies conform to  $p_i$  and  $f_i$ . For example, the  
201 frequencies of  $n_i$  items can be set as  $n_i \times \bar{f}_i \times p_i$ , where  $p_i \sim Zipf(\alpha)$  is a random variable. The  
202 above steps are repeated until the store set  $s_i$  and query set  $q_i$  are built.

### 203 3.3 Adaptive Meta-task Generation

204 While processing real data streams, we can get the item set  $I_r$  and its distribution  $p_r$  by online  
205 sampling.  $I_r$  and  $p_r$  are then used for generating the set of adaptive meta-tasks. For each adaptive  
206 meta-task, an item subset is sampled from  $I_r$ , and the relative frequency corresponding to each item  
207 is sampled from  $p_r$ . The process is similar to the generation of basic meta-tasks. The only difference  
208 from basic meta-task generation is that, there is no distribution pool anymore, because the real data  
209 stream is unique. Also, we intentionally randomize the correspondence between an item and its real  
210 relative frequency on the original data records. It is equivalent to constructing meta-tasks where  
211 the item frequencies dynamically change. For example, the frequency of an item may first increase,  
212 then suddenly drop [21]. With adaptive meta-tasks, the meta-sketch learns to quickly adapt to the  
213 distribution  $p_r$ , while being flexible against the item frequency change. The detailed algorithms of  
214 generating basic/adaptive meta-tasks are shown in supplement materials.

## 215 4 Experiments

### 216 4.1 Basic Setup

217 **Dataset.** We use two real datasets. *Word-query* is a streaming record of search queries, where each  
218 query contains multiple words (e.g., “News today”) [15]. *IP-trace* consists of IP packets, where each  
219 packet is identified by a unique source/destination address pair (e.g., 192.168.1.1/12.13.41.4) [21].  
220 We assume that query phrases and IP addresses are numerically encoded, similar to [15].

Table 1: Results of Basic Meta-sketch ( $T_r$ )

Method	Metrics	Word-query				IP-trace			
		n=5K, B=9KB	n=10K, B=11KB	n=20K, B=13KB	n=40K, B=15KB	n=5K, B=9KB	n=10K, B=11KB	n=20K, B=13KB	n=40K, B=15KB
Basic MS	ARE	<b>12.3</b>	<b>14.74</b>	<b>10.98</b>	<b>13.79</b>	<b>3.00</b>	<b>1.51</b>	<b>2.97</b>	<b>1.13</b>
	AAE	<b>31.54</b>	<b>38.54</b>	<b>40.63</b>	<b>53.67</b>	<b>5.57</b>	<b>5.01</b>	<b>6.94</b>	<b>5.56</b>
CS	ARE	32.94	57.97	98.01	162.43	6.08	9.94	15.57	24.49
	AAE	57.54	101.44	172.44	282.59	10.42	16.82	26.46	41.91
CMS	ARE	21.34	48.33	111.82	239.11	8.12	16.07	32.77	65.19
	AAE	38.04	84.62	195.61	416.01	13.67	27.39	55.29	110.65

Table 2: Results of Basic Meta-sketch ( $T_s$ )

Method	Metrics	n=5K,B=9KB			n=10K,B=11KB			n=20K,B=13KB			n=40K,B=15KB		
		0.5	1.1	1.5	0.5	1.1	1.5	0.5	1.1	1.5	0.5	1.1	1.5
Basic MS (Word-query)	ARE	<b>0.43</b>	<b>1.05</b>	<b>2.63</b>	<b>0.73</b>	<b>3.25</b>	<b>3.14</b>	<b>0.47</b>	<b>1.67</b>	<b>1.35</b>	<b>0.43</b>	<b>2.58</b>	<b>9.65</b>
	AAE	<b>24.7</b>	<b>17.72</b>	<b>8.93</b>	<b>31.24</b>	<b>27.02</b>	<b>9.41</b>	<b>27.29</b>	<b>22.19</b>	<b>9.2</b>	<b>25.04</b>	<b>26.95</b>	<b>19.87</b>
Basic MS (IP-trace)	ARE	<b>0.59</b>	<b>2.27</b>	<b>9.38</b>	<b>0.73</b>	<b>0.86</b>	<b>1.02</b>	<b>0.72</b>	<b>1.73</b>	<b>7.52</b>	<b>0.73</b>	<b>0.79</b>	<b>2.33</b>
	AAE	<b>26.45</b>	<b>21.49</b>	<b>14.73</b>	<b>38.33</b>	<b>19.32</b>	<b>7.95</b>	<b>35.48</b>	<b>22.28</b>	<b>15.74</b>	<b>39.57</b>	<b>21.75</b>	<b>14.06</b>
CS	ARE	1.98	6.72	10.99	2.7	12.12	16.9	3.73	20.8	27.46	5.17	37.96	43.76
	AAE	74.96	47.98	15.89	102.05	75.83	23.8	140.65	118.29	38.7	194.32	198.4	59.96
CMS	ARE	4.96	7.52	5.47	9.27	15.85	9.44	17.29	32.7	16.38	32.24	66.35	27.89
	AAE	187.52	53.81	8.17	350.08	99.82	13.58	651.63	185.54	22.88	1213.38	347.32	38.18

221 **Baseline.** We hereby evaluate the basic and advanced meta-sketches. From now on, we use MS to  
 222 represent the term meta-sketch for brevity. We compare basic MS (after the pre-training phase) with  
 223 CM-sketch (CMS) and C-sketch (CS). We compare the advanced MS (after the adaptation phase)  
 224 with learned augmented sketch (LS) and cold filter (CF), which are two variants of CM/C sketches  
 225 with auxiliary structures. According to the default setting [10, 11], the number of hash functions for  
 226 all sketches is 3. We adopt two commonly accepted metrics for evaluating the accuracies of stream  
 227 frequency estimation, AAE and ARE<sup>3</sup>.

228 **Parameters.** We implement  $g_{emb}$  or  $g_{add}$  in MLP with 2-layers of sizes 128 and 48, followed by  
 229 batch normalization, and  $g_{dec}$  in an MLP with 3-layers of 256 with residual connections. We use  
 230 the  $relu$  function for layer connections. The space budget  $B$  is spent on storing  $M$ , the same as the  
 231 setting in neural data structures [23]. Other modules, like hashing libraries, are commonly accepted  
 232 as reusable and amortizable resources for multi-deployment of sketches [21, 23]. Note that due to  
 233 space limitations, the details and methods of parameter settings of  $M(A)$ , the ablation experiments  
 234 and some parameter discussions are shown in the supporting material.

## 235 4.2 Basic Meta-sketch

236 **Settings.** For each dataset, we train the basic MSs under 4 item pools with  $\{5K, 10K, 20K, 40K\}$   
 237 different items, respectively. The meta-task sampler are with *Zipf* distributions. We build the  
 238 distribution pools set with  $\alpha \in [0.8, 1.3]$  and set frequency mean range  $L = [50, 500]$ . For basic  
 239 meta-sketch training, the default maximum number of training steps  $\phi$  is 5 million, the learning rate  
 240 is 0.0001, and the *Adam* optimizer is used. For evaluation, we consider two types of tasks,  $T_r$  and  
 241  $T_s$ .  $T_r$  are directly obtained by random sampling on two real data streams with different values of  $n$ ,  
 242 i.e., the number of distinct items. Note that the frequency distributions of  $T_r$  are not necessarily obey  
 243 *Zipf* distributions.  $T_s$  are the synthetic tasks, where the item frequency follows the *Zipf* distribution  
 244 with  $\alpha \in \{0.5, 1.1, 1.5\}$ . To evaluate the generability and stability of basic MS, both  $T_s(0.5)$  and  
 245  $T_s(1.5)$ 's distributions are not covered by the distribution pool of the meta-task samplers.

246 **Performance.** Table 1 shows the performance of all competitors based on real dataset  $T_r$ . It shows  
 247 that the basic MS outperforms traditional basic sketches, i.e., CMS and CS, on all testing cases. For  
 248 example, the results on IP-trace show that, when  $n=40K$  and  $B=15KB$ , the ARE of basic MS is  
 249 1.13, while AREs of CMS and CS are 65.19 and 24.49, respectively. The advantage of meta-sketch  
 250 is significant when testing on  $T_s$  with different  $\alpha$ s, as shown in Table 2. Note that we use random  
 251 choices to simulate the ideal hash functions for traditional sketches like [15], so that CS and CMS  
 252 have the same result on test tasks with the same  $\alpha$  in both datasets.

253 We show the trend of ARE w.r.t. the space budget, in Figure 2 ( $T_r$ ,  $n=5K$ , Word-query). Compared to  
 254 the dramatic performance degrading of traditional sketches, basic MS holds stable performance. We  
 255 show that the trend of ARE w.r.t. the number of distinct items in Figure 3 ( $T_r$ ,  $B=9KB$ , Word-query).  
 256 Compared to traditional sketches, the ARE of basic MS increases sub-linearly w.r.t. the value of  $n$ .  
 257 Note that AAE has similar results for the above experiments, see the supplement materials.

258 **Generalization.** We test the generality of basic MS to new items that are not in the item pool of  
 259 the meta-task sampler in Figure 4(a). We make the experiments ( $n=5K$ ,  $B=9KB$ , Word-query)  
 260 by replacing some items in  $T_r$  with new items, and vary the fraction of new items to observe  
 261 the trend of the performance. It shows that the ARE/AAE moderately increases w.r.t. the ratio

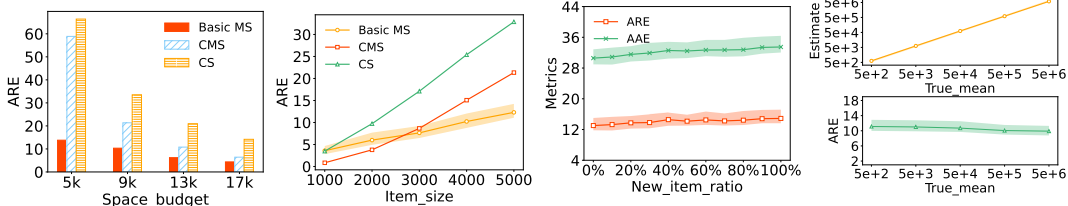


Figure 2: ARE w.r.t.  $B$

Figure 3: ARE w.r.t.  $n$

(a) New Items

(b) New frequency means

Figure 4: Generality of Meta-sketch

Table 3: Results of Advanced Meta-sketch

Method	Metrics	Word-query				IP-trace			
		n=5K B=9KB	n=10K, B=11KB	n=20K B=13KB	n=40K B=15KB	n=5K B=9KB	n=10K B=11KB	n=20K B=13KB	n=40K B=15KB
Advanced MS	ARE	<b>3.05</b>	<b>2.83</b>	<b>4.06</b>	<b>5.20</b>	0.87	<b>0.89</b>	<b>1.38</b>	<b>2.29</b>
	AAE	21.42	<b>26.11</b>	<b>35.00</b>	<b>43.81</b>	3.77	4.46	<b>5.13</b>	<b>6.55</b>
CF90	ARE	3.58	14.53	141.70	1127.11	<b>0.85</b>	2.74	4.20	16.71
	AAE	<b>21.13</b>	59.18	381.63	2217.28	<b>1.32</b>	<b>3.01</b>	7.71	31.20
CF70	ARE	7.95	29.02	139.87	541.37	1.51	3.10	8.95	46.79
	AAE	29.02	76.58	295.63	970.94	2.57	5.51	16.83	82.84
CF40	ARE	91.16	138.64	244.24	407.83	12.62	33.50	103.76	155.61
	AAE	174.86	252.22	421.85	693.47	24.16	60.79	175.14	279.72
LCMS(1%)	ARE	20.52	48.69	111.85	266.50	8.34	17.09	35.22	77.79
	AAE	37.80	81.93	194.15	451.28	13.72	28.39	59.10	129.86
LCS(1%)	ARE	25.53	40.84	67.21	104.54	5.20	7.80	11.33	17.12
	AAE	44.53	78.17	122.57	180.56	8.78	13.10	18.97	28.38

262 of new items. The performance is acceptable considering the fact that the item domain is often  
 263 stable in practical applications. We then test the generality of meta-sketches to varied frequency  
 264 means that are not in range  $L$  of the meta-task sampler, as shown in Figure 4(b). The experiment  
 265 ( $n=5K, B=9KB, \text{Word-query}$ ) is done by sampling a series of  $T_s$  tasks with frequency means in  
 266  $\{500, 5K, 50K, 500K, 5000K\}$ . It shows that as the mean of the true frequencies increases, the  
 267 estimated frequencies of the meta-sketch increase linearly, so that the ARE keeps stable.

### 268 4.3 Advanced Meta-sketch

269 **Settings.** The generation of adaptive meta-tasks is similar to that of basic meta-tasks (Section 3.2), ex-  
 270 cept that each item pool reads real frequency distributions for the adaption as described in Section 3.3.  
 271 In the adaption phase, the maximum number of training steps is  $0.002 * \phi$ .

272 **Performance.** Table 3 compares the performance of advanced MS with traditional sketches and their  
 273 variants, LS and CF, on real dataset  $T_r$ . We implement two LSs according to [15], learned CM-sketch  
 274 (LCMS) and learned C-sketch (LCS), following the default setting that (top 1%) high-frequency  
 275 items are separately stored. For CF, we follow the parameter setting in [14], and use CF40, CF70, and  
 276 CF90 for setting the filter percentages to 40%, 70%, and 90% of the total size, respectively. It shows  
 277 that the advanced MS achieves a better performance than LSs and CFs. Also, AAE/ARE of advanced  
 278 MS increases more moderately w.r.t. the number of distinct items  $n$ , compared to its competitors.

279 Furthermore, we compare the performance of the advanced MS and the LS under dynamic streaming  
 280 scenarios, as shown in Figure 5. We select a set of  $T_r$  ( $n=5K, B=9KB, \text{Word-query}$ ), and gradually  
 281 shuffle the correspondence between items and frequencies. Here, the shuffle ratio is increased from 0  
 282 to 100%. It shows that the average ARE of advanced MS only slightly fluctuates between 3.26 and  
 283 4.0, and the average AAE is in the range of 21.28 and 21.68. In contrast, AAE of LCS or LCMS starts  
 284 above 37, and increase significantly w.r.t. the increase of the shuffle ratio. Actually, the classifier of  
 285 LS tends to incur more errors due to the gradual shift of high- and low-frequency items, resulting in  
 286 an increased number of hash collisions, thus deteriorating the estimation accuracy.

## 287 5 Analysis

288 The meta-sketch is trained based on meta-tasks, consisting of various stream distributions. We  
 289 expected that the meta-sketch can learn the ability to sketch item frequencies. Somehow, it is  
 290 unavoidable that the meta-sketch’s ability is limited by patterns of given meta-tasks. Thus, setting  
 291 up the two training phases benefits the balance of the trade-offs. In the pre-training phase, we select  
 292 the most representative *Zipf* distribution to form basic meta-tasks, making the basic meta-sketch  
 293 adaptable to a wide range of data streams. In the adaptation phase, we sample adaptive meta-tasks  
 294 from raw data streams to make the advanced meta-sketch more specialized. Next, we analyze the

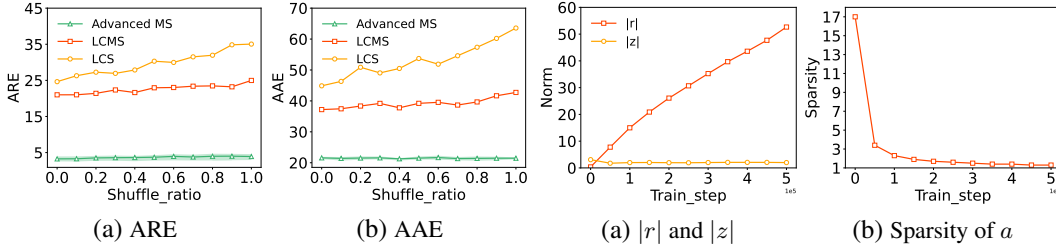


Figure 5: Learned sketch vs. Meta-sketch

Figure 6:  $|r|$  and  $|z|$  w.r.t. Sparsity of  $a$

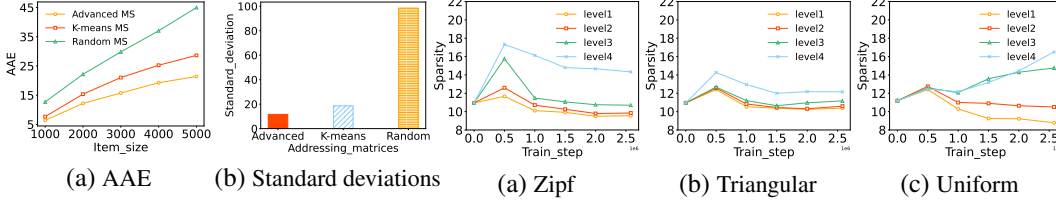


Figure 7: Three addressing matrices

Figure 8: The sparsity of embedding vectors

295 working mechanism of the three modules of the meta-sketch as well as their roles in acquiring the  
 296 two abilities.

297 **Sparse Addressing Module.** We take a 2D slice  $A^*$  (size is  $l_r \times d_2$ ) of the  $A$  matrix to analyze  
 298 the process of a refined vector  $r$  getting addressing  $a$  through this module. First, we have  $a \leftarrow$   
 299  $SparseMax(r^T A^*) \Rightarrow a \leftarrow SparseMax(\langle r \cdot b_1, r \cdot b_2, \dots, r \cdot b_{d_2} \rangle)$ . Since  $b_i$  are unit vectors, we  
 300 can get  $a \leftarrow SparseMax(|r|c)$ ,  $c = \langle \cos\theta_1, \cos\theta_2, \dots, \cos\theta_{d_2} \rangle$ , where  $\theta_i$  is the angle between  $r$  and  
 301  $b_i$ . We continue to transform the form to get addressing  $a \leftarrow Sparsegen(c; u; \frac{|r|-1}{|r|})$  [30], where  $u$   
 302 is a component-wise transformation function applied on  $c$ . in this paper, we set  $u(c)=c$ .

303 Based on the principle of *Sparsegen* [30],  $|r|$  mainly affects the sparsity (i.e., the proportion of  
 304 non-zero bits in the vector) of  $a$  during training process, while  $c$  determines the positions and values  
 305 of non-sparse bits. The Figure 6 shows a strong correlation between the average  $|r|$  and the sparsity  
 306 of  $a$  during training from scratch ( $n=5K$ ,  $B=9KB$ , Word-query, Basic MS). Since the embedding  
 307 vector  $z$  does not directly participate in the addressing process, the average  $|z|$  remains stable. Further,  
 308 we observe that the sparsity of  $a$  will eventually converge to around 1, which means that each item  
 309 is generally stored in a slot corresponding to the refined vector  $r$  and the unit vector in  $A^*$  with the  
 310 maximum cosine similarity.

311 Therefore, the role of  $A^*$  is to map refined vectors to the addressing vectors. The  $d_2$  unit vectors in  
 312  $A^*$  are the reference standard for mapping, which is equivalent to the mutually exclusive  $d_2$ -divisions  
 313 of the refined vector space. Follow this point, we construct two matrices  $K^*$  and  $R^*$  of the same size  
 314 as  $A^*$ . Among them, the  $d_2$  unit vectors in  $K^*$  come from the cluster centers of the sampled refined  
 315 vectors. To achieve mutually exclusive division, we perform *Kmeans* clustering with  $K = d_2$  and  
 316 *Cosine similarity* criterion. Then, we normalize the resulting  $d_2$  cluster centers and stack them as  $K^*$ .  
 317 In contrast, the unit vectors in  $R^*$  are entirely randomly generated.

318 Figure 7 (a) shows the results of replacing  $A^*$  on the trained meta-sketch with  $K^*$  and  $R^*$ . The  
 319 meta-sketch with  $R^*$  shows the worst performance, but the performance of the meta-sketch with  $K^*$   
 320 is close to the original  $A^*$ . Furthermore, We count the number of items mapped in every slot of  $A^*$ ,  
 321  $K^*$ ,  $R^*$  and show their standard deviation in Figure 7 (b). The standard deviation of  $R^*$  is much  
 322 higher than  $A^*$  and  $K^*$ , and a better meta-sketch tends to store items more evenly in each slot. Thus,  
 323 The addressing module simulates the traditional sketch mechanism. Its principal function is to store  
 324 the embedding vectors of items as evenly as possible in multiple memory slots, and an item is written  
 325 to only one slot.

326 **Embedding Module.** The major source of conflicts in the meta-sketch is the stacking of different  
 327 embedding vectors in a single slot. Thus, the sparsity of the embedding vector becomes an important  
 328 indicator to determine the degree of conflicts. Figure 8 shows the relation between the sparsity of  
 329 embedding vectors and the stream distributions ( $n=5K$ ,  $B=9KB$ , Word-query, advanced MS). We  
 330 select the meta-tasks under *Zipf*, *Triangular*, and *Uniform* distributions with different skewness levels  
 331 (the definition of skewness and corresponding distribution parameters are shown in the supplement  
 332 materials). The results show that the sparsity of the embedding vector is positively proportional to



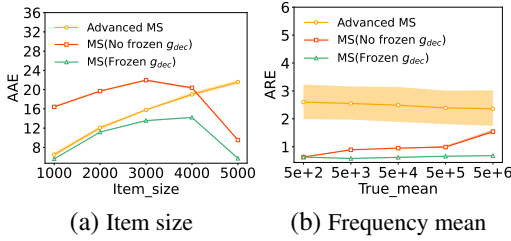


Figure 9: Generality w.r.t. Decoding module

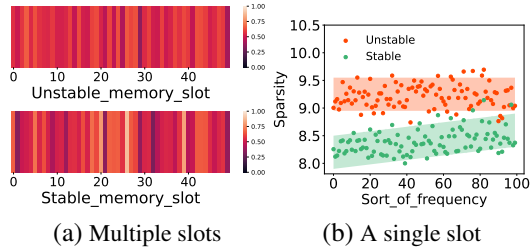


Figure 10: Unstable case vs. Stable case

333 the skewness of a distribution. Therefore, we speculate that the meta-sketch memorizes the pattern  
 334 information of the distribution being adapted by self-tuning the sparsity of embedding vectors.

335 **Decoding Module.** The decoding module, as the deepest NNs in the meta-sketch, integrates various  
 336 information to predict the item frequency and achieves generalization ability. To verify this, we adapt  
 337 the advanced MS ( $n=5K$ ,  $B=9KB$ , Word-query) to a special adaptive meta-task. The meta-task  
 338 was sampled from the real data stream but with a fixed item size (5000) and frequency mean (250).  
 339 Meanwhile, we do not change the correspondence between items and frequencies. Such meta-task  
 340 forces the meta-sketch to pay more attention to the fixed patterns and thus limit its generalization.

341 Thus, we train the advanced MS with (or without) freezing the decoding module parameters based  
 342 on the above meta-task. Figure 9 (a) shows the performance changes of the three models (advanced  
 343 MS as baseline) on the evaluation tasks ( $T_r$ ) of different item sizes. Without the frozen decoding  
 344 module, the meta-sketch loses generalization ability at extended item sizes other than 5000. On the  
 345 contrary, the meta-sketch with the frozen decoding module still retains the generalization ability and  
 346 further utilizes the data stream pattern compared to the advanced MS, achieving the best performance.  
 347 Similarly, as shown in Figure 9 (b), the meta-sketch without the frozen decoding module also loses a  
 348 certain generalization ability in terms of frequency mean.

349 Actually, the above meta-task (termed as the *stable case*) can be viewed as a special case of an ordinary  
 350 adaptive meta-task (termed as the *unstable case*). As a matter of fact, augmented sketches utilize  
 351 frequency patterns similar to the stable case. For example, the learned augmented sketch memorizes  
 352 (relatively) stable correspondence between items and frequencies, for filtering high-frequency items.  
 353 To understand the meta-sketch’s self-optimizing mechanism from the unstable case to the stable case,  
 354 we analyze the storage of high/low-frequency items between multiple slots and a single slot in the  
 355 memory. In Figure 10 (a), we show density heat-maps of low-frequency (below the top 20% high  
 356 frequencies) items, stored by meta-sketches of stable and unstable cases on a 2D slice ( $d_1=2$ ) of the  
 357 storage matrix  $M$ , where the x-axis is the index of slots. The two heat-maps show that the meta-sketch  
 358 under the stable case can store the low-frequency items concentratedly in some slots to avoid the  
 359 conflicts with high-frequency items. Interestingly, the meta-sketch does not intentionally do this like  
 360 augmented sketches. Instead, it is achieved by self-optimization during the training. Furthermore,  
 361 Figure 10 (b) shows the relation between the sparsity of the embedding vector of items stored in a  
 362 single slot and the frequency order, where the  $x$ -axis represents the frequencies in the ascending order.  
 363 We speculate that the meta-sketch autonomously adjusts the sparsity of the embedding vector within  
 364 a single slot in the stable case, so that the high/low-frequency items are automatically separated.

## 365 6 Conclusion

366 In this paper, we propose a neural data structure, called the meta-sketch, for estimating item fre-  
 367 quencies in data streams. Unlike traditional sketches, the meta-sketch utilizes meta-learning and  
 368 memory-augmented neural networks. The meta-sketch is pre-trained with *Zipf* distributions and can  
 369 be fast adapted to specific runtime streams. We study a series of techniques for constructing the  
 370 meta-sketch. We also devise the generation of basic and adaptive meta-tasks corresponding to the  
 371 pre-training and adaption phases, respectively. Extensive empirical studies on real datasets are done  
 372 to evaluate our proposals. In the future, it is interesting to extend our proposal to other sketching  
 373 tasks that are supported by traditional sketches.

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449 losses for scene geometry and semantics. In *CVPR*, pages 7482–7491, 2018.
- 450 [33] Lada A. Adamic. Zipf, power-laws, and pareto- a ranking tutorial.

## 451 7 Checklist

- 452 1. For all authors...
- 453 (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s  
454 contributions and scope? [Yes]
- 455 (b) Did you describe the limitations of your work? [Yes] Compared with traditional data  
456 structures,neural data structures are usually relative weak in term of time latency. In  
457 future research, We need to study and reduce time cost of meta-sketches’ operation or  
458 disign a framework to get a huge throughput utilizing parallel algebraic operations as a  
459 remedy.
- 460 (c) Did you discuss any potential negative societal impacts of your work? [N/A] There is  
461 no negative societal impacts of my work, since it is foundational research.
- 462 (d) Have you read the ethics review guidelines and ensured that your paper conforms to  
463 them? [Yes]
- 464 2. If you are including theoretical results...
- 465 (a) Did you state the full set of assumptions of all theoretical results? [N/A]
- 466 (b) Did you include complete proofs of all theoretical results? [N/A]
- 467 3. If you ran experiments...
- 468 (a) Did you include the code, data, and instructions needed to reproduce the main experi-  
469 mental results (either in the supplemental material or as a URL)? [Yes]
- 470 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they  
471 were chosen)? [Yes] We give the details of the implementation as much as possible,but  
472 some of them will put into appendix.
- 473 (c) Did you report error bars (e.g., with respect to the random seed after running exper-  
474 iments multiple times)? [Yes] We visualize the difference in line graphs by drawing  
475 shadows, which includes various of comparative experiments with all type of meta-  
476 sketches. But due to the huge amount of data ,error bars of table are not included. See  
477 section 4 and 5.

- 478 (d) Did you include the total amount of compute and the type of resources used (e.g.,  
479 type of GPUs, internal cluster, or cloud provider)? [Yes] All of our experiments are  
480 implemented in python and run at a NVIDIA DGX workstation with CPU E5-2698  
481 (2.20GHz, 20 cores), and 4 NVIDIA V100 GPUs (5120 CUDA cores and 16GB GPU  
482 memory on each GPU).
- 483 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
- 484 (a) If your work uses existing assets, did you cite the creators? [Yes]  
485 (b) Did you mention the license of the assets? [N/A]  
486 (c) Did you include any new assets either in the supplemental material or as a URL? [N/A]  
487
- 488 (d) Did you discuss whether and how consent was obtained from people whose data you're  
489 using/curating? [N/A]  
490 (e) Did you discuss whether the data you are using/curating contains personally identifiable  
491 information or offensive content? [N/A]
- 492 5. If you used crowdsourcing or conducted research with human subjects...
- 493 (a) Did you include the full text of instructions given to participants and screenshots, if  
494 applicable? [N/A]  
495 (b) Did you describe any potential participant risks, with links to Institutional Review  
496 Board (IRB) approvals, if applicable? [N/A]  
497 (c) Did you include the estimated hourly wage paid to participants and the total amount  
498 spent on participant compensation? [N/A]