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# Efficient and Robust Spike Ensemble Coding of Signals

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## Abstract

Sensory stimuli in animals are encoded into spike trains by neurons. We present a signal processing framework that deterministically encodes continuous-time signals into spike trains and addresses the question of reconstruction bounds. The framework encodes a signal through spike trains generated by an ensemble of neurons using a convolve-then-threshold mechanism with various convolution kernels. A closed-form solution to the inverse problem, from spike trains to signal reconstruction, is derived in the Hilbert space of shifted kernel functions, ensuring sparse representation of a generalized Finite Rate of Innovation (FRI) class of signals. Additionally, inspired by real-time processing in biological systems, an efficient iterative version of the optimal reconstruction is formulated that considers only a finite window of past spikes, ensuring robustness of the technique to ill-conditioned encoding; convergence guarantees of the windowed reconstruction to the optimal solution are then provided. Experiments on a large audio dataset demonstrate excellent reconstruction accuracy at spike rates as low as one-fifth of the Nyquist rate, while showing clear competitive advantage in comparison to state-of-the-art sparse coding techniques in the low spike rate regime.

## 1 Introduction

In most animals, sensory stimuli are communicated to the brain via ensembles of discrete, spatio-temporally compact electrical events generated by neurons, known as action potentials or spikes Rieke et al. [1999]. The conversion of continuous-time stimuli to spike trains occurs at an early stage of sensory processing, such as in the retinal ganglion cells in the visual pathway or spiral ganglion cells in the auditory pathway. Nature likely resorts to spike-based encoding due to several advantages: sparsity of representation [Olshausen and Field, 1996] and energy efficiency [Laughlin and Sejnowski, 2003], noise robustness [London and Häusser, 2005], high temporal precision [Buzsáki, 2006] and facilitation of downstream computation [Földiák, 1990, Graham and Field, 2007]. Based on how neural spike responses are represented, coding models can be broadly divided into two categories [Authors, 2023]: 1) rate coding, where spike train responses are converted into an average rate, and 2) temporal coding, where the precise timing of spikes convey information about the stimuli. In the rate coding literature, spike responses to stimuli are converted to an average instantaneous rate  $r(t)$ , and stimulus reconstruction is typically formulated probabilistically by choosing the stimulus  $s$  that maximizes the likelihood  $P(s|r)$ . Rate coding is criticized for losing temporal precision, especially since studies have shown that neurons can exhibit sub-millisecond precision [Mainen and Sejnowski, 1995]. Temporal coding, on the other hand, although emphasizes the precise timing of individual spikes, in this literature stimulus reconstruction is often formulated probabilistically (e.g. Bayesian Inference [Pillow et al., 2008]) or through a linear transformation of spike-responses (e.g. reverse correlation [Rieke et al., 1997]). Probabilistic approaches to reconstruction complicate the development of a deterministic signal processing framework from spike trains, while simple linear transformations may be too restrictive for representing a generalized class of signals. Recent advanced temporal coding schemes, such as those by Sophie et al. [Brendel et al., 2017], leverage recurrent

networks and have shown near perfect reconstruction for certain signals. However, these techniques involve complex training procedures and do not provide reconstruction guarantees for a generalized class of signals. This paper is therefore motivated to build a signal processing framework that deterministically encodes continuous-time signals into biologically feasible spike trains, addressing questions of representable signal classes and reconstruction bounds. While a preliminary version of this framework was introduced in [Chattopadhyay and Banerjee, 2023] for continuous-time signal coding and reconstruction, this work extends that framework by analyzing the stability of solutions and presenting more comprehensive experimental results. Specifically, the paper extends the analysis from section 5 onwards.

## 2 Coding

For encoding, we make the following assumptions: (1) We consider the set of input signals  $\mathcal{F}$  to be the class of all *finite-support, bounded functions* (formally,  $\mathcal{F} = \{X(t) | t \in [0, \tau], |X(t)| \leq b\}$ , for some arbitrary but fixed  $\tau, b \in \mathbb{R}^+$ ) that satisfy a finite rate of innovation bound [Vetterli et al., 2002]. Naturally,  $X(t) \in L^2$ , i.e., square integrable. (2) We assume an ensemble of  $m$  spiking neurons  $\Phi = \{\Phi^j | j \in \mathbb{Z}^+, 1 \leq j \leq m\}$ , each characterized by a continuous kernel function  $\Phi^j(t)$ , where  $\forall j, \Phi^j(t) \in C[0, \tau], \tau \in \mathbb{R}^+$ . Also we assume that each kernel  $\Phi^j$  is normalized, i.e.,  $\|\Phi^j\|_2 = 1, \forall j$ . (3) Finally, we assume that  $\Phi^j$  has a time varying threshold  $T^j(t)$ . The ensemble of kernels  $\Phi$  encodes a given input signal  $X(t)$  into a sequence of spikes  $\{(t_i, \Phi^{j_i})\}$ , where the  $i$ th spike is produced by the  $j_i$ th kernel  $\Phi^{j_i}$  at time  $t_i$  if and only if:  $\int X(t)\Phi^{j_i}(t_i - t)dt = T^{j_i}(t_i)$ .

In our implementation a threshold function is assumed in which the time varying threshold  $T^j(t)$  of the  $j$ th kernel remains constant at  $C$  until that kernel produces a spike, at which time an *after-hyperpolarization potential (ahp)* increments the threshold by a value  $M$ . This increment then returns to zero linearly within a refractory period  $\delta$ . Formally,

$$T^j(t) = C + \sum_{t_p^j \in [t-\delta, t]} M(1 - \frac{t - t_p^j}{\delta}) \quad (C, M, \delta \in \mathbb{R}^+) \quad (1)$$

where the sum is taken over all spike times  $t_p^j$  in the interval  $[t - \delta, t]$  at which the kernel  $\Phi^j$  generated a spike. We would like to note that the paper adheres to a consistent notation, which is clarified in the appendix. Various sections of the appendix include proofs to theorems as well.

**Corollary 0.1.** Let  $\Phi^j$  be a function in  $C[0, \tau]$ , where  $\tau \in \mathbb{R}^+$  and  $\|\Phi^j\|_2 = 1$ . Let  $X(t) \in \mathcal{F} = \{f(t) | t \in [0, \tau'], |f(t)| \leq b\}$ , where  $b, \tau' \in \mathbb{R}^+$ , be the input to our model. Then: (a) The convolution  $C^j(t)$  between  $X(t)$  and  $\Phi^j(t)$ , defined by  $C^j(t) = \int X(t')\Phi^j(t - t')dt'$  for  $t \in [0, \tau + \tau']$ , is a bounded continuous function. Specifically, one can show that  $|C^j(t)| < b\sqrt{\tau}$  for all  $t \in [0, \tau + \tau']$ . (b) Suppose the parameter  $M$  in the Eq. 1 is chosen such that  $M > 2b\sqrt{\tau}$ . Then the interspike interval between any two spikes produced by the given neuron  $\Phi^j$  is greater than  $\frac{\delta}{2}$ .

**Corollary 0.2.** Suppose the assumptions of the Corollary 0.1 hold true. Then, the spike rate generated by our framework for any input signal  $X(t)$  is bounded. Consequently, the maximum number of preceding spikes that can overlap with any given spike is bounded above by a constant value.

**Corollary 0.3.** Let  $X(t)$  be an input signal and  $\Phi^j$  be a kernel. Suppose the convolution between  $X(t)$  and  $\Phi^j(t)$  at time  $t_p$  is denoted by  $C^j(t_p) = \langle X(t), \Phi^j(t_p - t) \rangle > 0$ , and let the absolute refractory period be  $\delta$  as modeled in Eq. 1. If the baseline threshold  $C$  is set such that  $0 < C \leq C^j(t_p)$ , then the kernel  $\Phi^j$  must produce a spike in the interval  $[t_p - \delta, t_p]$ , according to the threshold model defined in Eq. 1.

**Assumption 1.**  $\|\mathcal{P}_{\mathcal{S}(\{\phi_1, \dots, \phi_{n-1}\})}(\phi_n)\| \leq \beta < 1$ , for some  $\beta \in \mathbb{R}, \forall n \in \{1, \dots, N\}$ , where  $N$  is the total number of spikes produced by the system. In words, the norm of the projection of every spike onto the span of all previous spikes is bounded from above by some constant strictly less than 1.

**Justification:** See the appendix for justification of the assumption. This assumption supports the subsequent Theorem 1.

## 3 Decoding

The objective of the decoding module is to reconstruct the original signal from the encoded spike trains. Considering the prospect of the invertibility of the coding scheme, we seek a signal that

satisfies the same set of constraints as the original signal when generating all spikes apropos the set of kernels in ensemble  $\Phi$ . Recognizing that such a signal might not be unique, we choose the reconstructed signal as the one with minimum  $L_2$ -norm. Formally, the reconstruction  $X^*$  of the input signal  $X$  is formulated to be the solution to:

$$X^* = \underset{\tilde{X}}{\operatorname{argmin}} \|\tilde{X}\|_2^2 \quad \text{s.t.} \quad \int \tilde{X}(\tau) \Phi^{j_i}(t_i - \tau) d\tau = T^{j_i}(t_i); 1 \leq i \leq N \quad (2)$$

where  $\{(t_i, \Phi^{j_i}) | i \in \{1, \dots, N\}\}$  is the set of all spikes generated by the encoder. The choice of  $L_2$  minimization is in congruence with the dictum of energy efficiency in biological systems. The assumption is that, of all signals, the one with the minimum energy that is consistent with the spike trains is desirable. Also, an  $L_2$  minimization in the objective of (2) reduces the convex optimization problem to a solvable linear system of equations as described below.

## 4 Signal Class for Perfect Reconstruction

We observe that in general the encoding of  $L^2[0, T]$  signals into spike trains is not an injective map; the same set of spikes can be generated by different signals so as to result in the same convolved values at the spike times. Naturally, with a finite and fixed ensemble of kernels  $\Phi$ , one cannot achieve perfect reconstruction for all  $L^2[0, T]$  signals. Assuming, additionally, a finite rate of innovation, as  $\mathcal{F}$  was previously defined changes the story. We now restrict ourselves to a subset  $\mathcal{G}$  of  $\mathcal{F}$  defined as  $\mathcal{G} = \{X | X \in \mathcal{F}, X = \sum_{p=1}^N \alpha_p \Phi^{j_p}(t_p - t), j_p \in \{1, \dots, m\}, \alpha_p \in \mathbb{R}, t_p \in \mathbb{R}^+, N \in \mathbb{Z}^+\}$  and address the question of reconstruction accuracy. Essentially  $\mathcal{G}$  consists of all linear combinations of arbitrarily shifted inverted kernel functions.  $N$  is bounded above by the total number of spikes that the ensemble  $\Phi$  can generate over  $[0, T]$ . For the class  $\mathcal{G}$  the *perfect reconstruction theorem* is presented below. The theorem is proved with the help of two lemmas.

**Theorem 1. (Perfect Reconstruction Theorem)** *Let  $X \in \mathcal{G}$  be an input signal. The solution  $X^*$  to the reconstruction problem Eq. (2) can be written as:  $X^* = \sum_{i=1}^N \alpha_i \Phi^{j_i}(t_i - t), \alpha_i \in \mathbb{R}$ . If the set of spikes  $\{t_i, \Phi^{j_i}\}_{i=1}^N$  produced is linearly independent (as guaranteed by Assumption 1), the coefficients can be uniquely solved from a system of linear equations of the form  $P\alpha = T$  (where  $P$  is an  $N \times N$  gram matrix with  $[P]_{ik} = \langle \Phi^{j_i}(t - t_i), \Phi^{j_k}(t - t_k) \rangle$  and  $T$  is an  $N$ -dim vector of thresholds  $T^{j_i}(t_i)$ ). And therefore, for appropriately chosen time-varying thresholds of the kernels, the reconstruction  $X^*$ , is accurate with respect to the  $L_2$  metric, i.e.,  $\|X^* - X\|_2 = 0$ .*

## 5 Approximate Reconstruction

Theorem 1 stipulates the conditions under which perfect reconstruction is feasible in the purview of our framework. Specifically the theorem shows the ideal conditions—when the input signal lies in the span of shifted kernel functions and the spikes are generated at certain desired locations—where perfect reconstruction is attainable. However, under realistic scenarios such conditions may not be feasible and hence the need for quantification of reconstruction error as the system deviates from the ideal conditions. For example, even though corollary 0.2 shows that a spike can be produced arbitrarily close to the desired location by setting the *ahp* parameters  $C$  and the  $\delta$  of Eq. 1 at reasonably low values, it begs the question to what extent the reconstruction suffers due to small deviations in spike times. Likewise, the input signal may not perfectly fit in the signal class  $\mathcal{G}$ , i.e. the input may not be exactly representable by the kernel functions due to the presence of internal or external noise. Under such non-ideal scenarios how much the reconstruction suffers is addressed in the following theorem.

**Theorem 2. (Approximate Reconstruction Theorem).** *Let the input signal  $X$  be represented as  $X = \sum_{i=1}^N \alpha_i f^{p_i}(t_i - t)$ , where  $\alpha_i \in \mathbb{R}$  and  $f^{p_i}(t)$  are bounded functions on finite support. Assume that there is at least one kernel function  $\Phi^{j_i}$  in the ensemble for which  $\|f^{p_i}(t) - \Phi^{j_i}(t)\|_2 < \delta$  for all  $i \in \{1, \dots, N\}$ . Additionally, assume each kernel  $\Phi^{j_i}$  produces a spike within a  $\gamma$  interval of  $t_i$ , for some  $\delta, \gamma \in \mathbb{R}^+$ , for all  $i$ . Further, assume the functions  $f^{p_i}$  satisfy a frame bound type of condition:  $\sum_{k \neq i} \langle f^{p_i}(t - t_i), f^{p_k}(t - t_k) \rangle \leq \eta \forall i \in \{1, \dots, N\}$ , and that the kernel functions are Lipschitz continuous. Under such conditions, the  $L^2$  error in the reconstruction  $X^*$  of the input  $X$  has bounded SNR.*

## 6 Stability of the solution and Windowed Iterative Reconstruction:

Theorem 2 shows that our technique manages reconstruction error under non-ideal conditions with suitable parameter choices in equation 1. This may increase spike rates, worsening the condition number of the  $P$  matrix and causing instability. The *ahp* mitigates this by maintaining spike separation, but the condition number worsens exponentially with more spikes (see Theorem 4 in appendix). To address this, we propose an approximate reconstruction scheme using a smaller window of past spikes, ensuring robustness. We then identify conditions for the convergence of this solution in assumption 2 and present the Windowing Theorem.

**Windowed iterative reconstruction:** Theorem 1 establishes that the reconstruction  $X^*$  is the projection onto the span of all spikes, i.e.,  $X^* = \mathcal{P}_{\mathcal{S}(\{\phi_1, \dots, \phi_N\})}(X)$ . This observation enables us to formulate the reconstruction iteratively by updating an existing reconstruction on a set of  $n$  spikes  $\{\phi_i\}_1^n$  with each new incoming spike  $\phi_{n+1}$ , instead of solving the  $P\alpha = T$  equation for the full set of spikes as shown in lemma 1. The iterative update of the reconstruction then follows from:

$$\mathcal{P}_{\mathcal{S}(\bigcup_{i=1}^{n+1} \{\phi_i\})}(X) = \mathcal{P}_{\mathcal{S}(\bigcup_{i=1}^n \{\phi_i\})}(X) + \langle X, \phi_{n+1}^\perp \rangle \frac{\phi_{n+1}^\perp}{\|\phi_{n+1}^\perp\|^2} \quad (3)$$

where  $\phi_{n+1}^\perp$  is the orthogonal complement of the additional  $n + 1$ -th spike with respect to the span of all previous spikes, i.e.  $\phi_{n+1}^\perp = \phi_{n+1} - \mathcal{P}_{\mathcal{S}(\{\phi_1, \dots, \phi_n\})}(\phi_{n+1})$ . The above iterative scheme Eq. 3, motivates us to formulate a windowed iterative reconstruction. Here, when a new spike  $\phi_{n+1}$  appears, instead of calculating its orthogonal complement with respect to the span of all previous spike, the orthogonal projection of  $\phi_{n+1}$  is computed with respect to the span of  $w$  previous spikes, where  $w$  is chosen as a fixed window size. Mathematically, for the  $(n + 1)$ -th incoming spike, we define the *windowed iterative reconstruction*,  $X_{n+1,w}^*$ , for an input signal  $X$  with window size  $w$  iteratively as:

$$X_{n+1,w}^* = X_{n,w}^* + \langle X, \phi_{n+1,w}^\perp \rangle \frac{\phi_{n+1,w}^\perp}{\|\phi_{n+1,w}^\perp\|^2} \quad (4)$$

where  $\phi_{n+1,w}^\perp$  is defined as:

$$\phi_{n+1,w}^\perp = \phi_{n+1} - \mathcal{P}_{\mathcal{S}(\bigcup_{i=n-w+1}^n \{\phi_i\})}(\phi_{n+1})$$

The idea is that  $\phi_{n+1,w}^\perp$  closely approximates  $\phi_{n+1}^\perp$  for reasonably large window size  $w$ , allowing us to formulate an iterative reconstruction based only on a finite window of  $w$  spikes rather than inverting a large  $P$ -matrix of size  $N \times N$  as formulated in lemma 1. Eq. 4 involves computing  $\phi_{n+1,w}^\perp$  for each new spike, derived by inverting the  $w \times w$  gram matrix corresponding to the previous  $w$  spikes. Since  $w$  is chosen as a finite constant independent of  $N$ , it speeds up the decoding process and holds the condition number of the solution in check as per Theorem 4. The following Theorem 3 establishes how the window-based solution formulated in Eq. 4 converges to the optimal solution of Eq. 1 under an assumption feasible in the context of spikes produced by our framework via biological kernels. The assumption, an extension of assumption 1, is stated as follows:

**Assumption 2.**  $\|\mathcal{P}_{\mathcal{S}(\{\phi_1, \dots, \phi_N\} \setminus \{\phi_n\})}(\phi_n)\| \leq \beta < 1$ , for some  $\beta \in \mathbb{R}, \forall n \in \{1, \dots, N\}$ . In words, the norm of the projection of each spike onto the span of the remaining spikes is bounded above by a constant strictly less than 1.

**Justification:** Appendix A.5 provides a detailed explanation for extending Assumption 1 and demonstrates why it is valid in the context of biological encoding.

**Theorem 3 (Windowing Theorem).** For an input signal  $X$  with bounded  $L_2$  norm, suppose our framework produces a set of  $n + 1$  successive spikes  $S = \{\phi_1, \dots, \phi_{n+1}\}$ , sorted by their time of occurrence and satisfying Assumption 2. The error in the iterative reconstruction of  $X$  with respect to the last spike  $\phi_{n+1}$  due to windowing, as formulated in Eq. 4, is bounded. Specifically,

$$\forall \epsilon > 0, \exists w_0 > 0 \text{ s.t. } \|\mathcal{P}_{\phi_{n+1,w}^\perp}(X) - \mathcal{P}_{\phi_{n+1}^\perp}(X)\| < \epsilon, \quad (5)$$

where  $w_0$  is independent of  $n$  for arbitrarily large  $n \in \mathbb{N}$ .

The proposed framework was tested on audio signals. **Dataset:** We chose the Freesound Dataset Kaggle 2018, an audio dataset of natural sounds referred in [Fonseca et al., 2018], containing 18,873 audio files. All audio samples in this dataset are provided as uncompressed PCM 16bit, 44.1kHz, mono audio files. **Set of Kernels:** We chose gammatone filters ( $at^{n-1}e^{-2\pi bt}\cos(2\pi ft + \phi)$ ) in our experiments since they are widely used as a reasonable model of cochlear filters in auditory systems [Patterson et al., 1988]. **Results:** The proposed framework was tested extensively against the mentioned dataset. Comprehensive results with 600 randomly selected audio snippets using 50 kernels are shown in Figure 1(a). In the experiment, kernels were normalized, and parameters for the time-varying threshold function (1) were selected through a systematic grid search on a smaller dataset of 20 randomly chosen snippets. In each trial, an audio snippet of length  $\approx 2.5s$  was processed with fixed parameter values, except for the refractory period, which was gradually decreased leading to improvement in reconstructions at higher spike rates. The refractory period varied from approximately 250ms to 5ms. After converting an audio snippet into a sequence of spikes, reconstruction was performed iteratively using a fixed-sized window of spikes as described in Section 6. The *ahp* period was systematically varied, but each trial on an audio snippet was run with a single value of the *ahp* period. Correspondingly, the window size was set to be inversely proportional to the *ahp* period, varying from 5k to 15k as per Theorem 3. The results in Figure 1(a) show that increasing the spike rate by tuning the refractory period allows near-perfect reconstruction, aligning with our theoretical analysis. Some variability in reconstruction accuracy across signals can be attributed to dataset idiosyncrasies, e.g. certain audio samples could be noisy or ill-represented in the kernels. However, the overall trend shows promise, with an average of  $\approx 20dB$  at 1/5th Nyquist Rate. This in conjunction with the fact that signals are represented in this scheme only via set of spike times and kernel indexes (thresholds can be inferred) shows potential for an extremely efficient coding mechanism. Since the generation of spikes requires scanning through convolutions in a single pass, encoding is highly efficient. However, decoding is slightly more time-consuming because it involves solving the linear system  $P\alpha = T$  to derive the coefficients. But then reconstruction is performed iteratively on a finite window, as described in Section 6. Considering  $O(w^3)$  as the time complexity for inverting a  $w \times w$  matrix, the overall time complexity of decoding is  $O(Nw^3)$ , where  $N$  is the length of the signal and  $w$  is the chosen window size. Thus the overall process still remains linear, making it a suitable choice for lengthy continuous-time signals.

**Comparison With Convolutional Orthogonal Matching Pursuit:** Our proposed framework is comparable to Convolutional Sparse Coding (CSC) techniques [Garcia-Cardona and Wohlberg, 2018]. In CSC, the objective is to efficiently represent signals using a small number of basis functions convolved with sparse coefficients. Finding sparse code for signals, in general is an NP-Hard problem [Davis and Avellaneda, 1997], and several heuristic-based approaches are used to address this challenge. In [Chattopadhyay and Banerjee, 2023], the proposed framework was compared against one such heuristic-based implementation of CSC, specifically Convolutional Orthogonal Matching Pursuit (COMP), using a small dataset of about 20 audio snippets. COMP employs a greedy technique to iteratively find dictionary atoms and is relatively slow due to the orthogonalization it performs to the atoms in each step. Most of the current leading CSC algorithms [Wohlberg, 2014, et. al., 2015, Wohlberg, 2016] use  $L_1$  regularization as a relaxation of  $L_0$  regularization in their sparse reconstruction objectives, making them amenable to efficient optimization methods such as the Alternating Direction Method of Multipliers (ADMM). In this paper, we extensively compare our technique with the efficient CBPDN algorithm implemented within the state-of-art SPORCO python library [Wohlberg, 2017]. In this experiment, we used 200 randomly chosen audio snippets from the same dataset, utilizing 10 gammatone kernels. The snippet lengths varied from 0.5s to 3.5s to compare processing times as a function of snippet length. Figure 1(b) shows the reconstruction accuracy comparison between our framework and CBPDN. CBPDN, which is based on  $L_1$  optimization, achieved reconstructions at different sparsity levels by varying the regularization parameter  $\lambda$ , while our framework achieved different spike rates by varying the *ahp* period. The results in Figure 1(b) reveal that our framework outperforms CBPDN, particularly in the low spike rate regime, achieving better SNR values on average at consistently lower spike rates. In the high spike rate regime, CBPDN occasionally outperforms our framework. This occurs because our framework is not well suited for high spike rates, where spikes begin to overlap significantly, leading to poorer bounds as discussed in our theoretical analysis. Additionally, the limited datapoints where CBPDN outperforms (around 30 dB) make these specific results unreliable.

Overall, our framework shows promise as a superior alternative to CSC techniques in specific scenarios, particularly for low spike rate representation of natural audio signals with biological kernels, as demonstrated in our experiments.

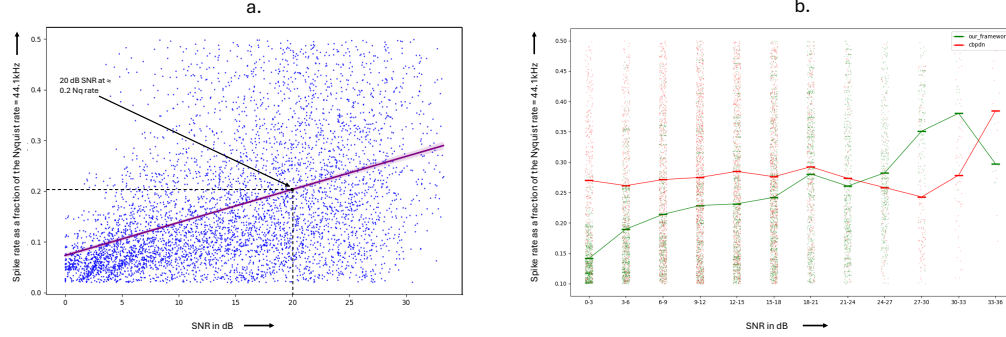


Figure 1: shows a sample reconstruction in an experiment with 10 kernels after training. **(a)** Comprehensive results of experiments on 600 audio snippets with 50 kernels. Scatter plot of reconstructions where each dot represents a single reconstruction performed on one of the 600 sound snippet for a particular setting of the *ahp* parameters as described in Section 7. The plot shows the SNR value of the reconstructions (x-axis) against corresponding spike-rate of the ensemble (y-axis). The trend line in purple is generated using seaborn regression fit, and the black dot on the line highlights the point on the trend line at 20 dB SNR which has an average spike-rate of approximately one-fifth the Nyquist rate. **(b)** Experimental results of comparison between our framework and cbpdn in terms of overall reconstruction accuracy and spike rate. Strip plot comparing spike rates of reconstruction between our framework and cbpdn. Like (a), the x-axis bins SNR values, and the strips of dots (red dots for cbpdn and green dots for our framework) in each bin show the distribution of reconstructions for that bin. The y-axis denotes the spike-rate of the ensemble as a fraction of the Nyquist rate for each reconstruction. The two lines through the strip plot connect the corresponding average spike rates of each bin for our framework (green) and cbpdn (red). As is evident, in the low spike rate regime the green line lies well below the red line, indicating a much lower spike rate achieved by our framework. Although briefly in the higher SNR regime, cbpdn outperforms our framework, the very few reconstruction data points available for cbpdn, as evidenced in (a), makes it challenging to draw reliable conclusions.

## 8 Conclusion

The experimental results establish the efficiency and robustness of the proposed spike-based encoding framework, which clearly outperforms state-of-the-art CSC techniques in the low spike rate regime. Notably, this high-fidelity coding and reconstruction is achieved through a simplified abstraction of a complex biological sensory processing system, which typically involves numerous neurons across multiple layers with diverse goals—such as feature extraction, decision making, classification and more—rather than being limited to reconstruction. For context, the human auditory system’s cochlear nerve contains about 50,000 spiral ganglion cells (analogous to 50,000 kernels). The fact that our framework, with a single layer of roughly 100 neurons using a simple convolve-and-threshold model, achieves such high-quality reconstruction underscores the potential of fundamental biological signal processing principles. Our framework differs fundamentally from the Nyquist-Shannon theory, primarily in its mode of representation and coding. Instead of sampling the value of a function at uniform or non-uniform pre-specified points, our coding scheme identifies the non-uniform points where the function takes specific convolved values. This efficient coding scheme, combined with our proposed window-based fast processing of continuous-time signals, shows great promise for achieving significant compression in real-time signal communication.

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## 307 A Appendix / supplemental material

### 308 A.1 Notations followed in the paper:

- 309 •  $t_i$ : Time of occurrence of the  $i$ th spike.
- 310 •  $T_i$ : The threshold value.
- 311 •  $X(t)$  or  $X$ : The input signal. For ease of notation we drop the time  $t$  as function argument  
 312 and simply indicate the input as  $X$  instead of  $X(t)$ .
- 313 •  $X^*$ : The reconstructed signal.
- 314 •  $m$ : The number of kernels that comprise our framework.
- 315 •  $N$ : The total number of spikes produced by the system.
- 316 •  $(\phi^{j_i}, t_i)$ : Tuple denotes the  $i$ th spike produced by kernel  $\phi^{j_i}$  at time  $t_i$ .
- 317 •  $\Phi^{j_i}(t_i - t)$  or  $\phi_i$ : The kernel function producing the  $i$ th spike, inverted and shifted to the  
 318 time of the spike's occurrence  $t_i$ . For brevity's sake, this function is alternatively termed  
 319 as the  $i$ th spike instead of the tuple notation  $(\phi^{j_i}, t_i)$  and is denoted via the shorthand  
 320  $\phi_i$  whenever appropriate. Also, the mathematical definition of the term "spike" must not  
 321 be confused with the real physical object representing the elicitation of a neuron's action  
 322 potential. These distinctions should be clear from the context.
- 323 •  $\mathcal{S}(V)$ : Subspace spanned by  $V$  in a Hilbert space  $\mathcal{H}$ ,  $V \subseteq \mathcal{H}$ .
- 324 •  $\mathcal{P}_v(u)$ : In a Hilbert space  $\mathcal{H}$ , the projection of  $u$  on a vector  $v$  for  $u, v \in \mathcal{H}$ .
- 325 •  $\mathcal{P}_{\mathcal{S}(V)}(u)$ : In a Hilbert space  $\mathcal{H}$  the projection of  $u$  on the subspace  $\mathcal{S}(V)$  for  $u \in \mathcal{H}$ ,  $V \subseteq \mathcal{H}$ .  
 326 Note that this notation is similar to the above notation of  $\mathcal{P}_v(u)$  except here the projection  
 327 is taken w.r.t. a subspace  $\mathcal{S}(V)$  instead of a single vector  $v$ . This should be clear from the  
 328 context.

### 329 A.2 Proof of Corollary 0.1

330 **Corollary 0.1:** Let  $\Phi^j$  be a function in  $C[0, \tau]$ ,  $\tau \in \mathbb{R}^+$  and  $\|\Phi^j\|_2 = 1$ . Let  
 331  $X(t) \in \mathcal{F} = \{f(t) | t \in [0, \tau'], |f(t)| \leq b\}$ , where  $b, \tau' \in \mathbb{R}^+$ , be the input to our model.  
 332 Then: (a) The convolution  $C^j(t)$  between  $X(t)$  and  $\Phi^j(t)$  defined by  $C^j(t) = \int X(t')\Phi^j(t - t')dt'$ ,  
 333 for  $t \in [0, \tau + \tau']$ , is a bounded and continuous function. Specifically, one can show that  
 334  $|C^j(t)| < b\sqrt{\tau}$  for all  $t \in [0, \tau + \tau']$ . (b) Suppose the parameter  $M$  in the threshold equation 1 is  
 335 chosen such that  $M > 2b\sqrt{\tau}$ . Then the interspike interval between any two spikes produced by the  
 336 given neuron  $\Phi^j$  is greater than  $\frac{\delta}{2}$ .

337  
 338 **Proof:** (a) For showing continuity, we observe that  $\Phi^j \in C[0, \tau]$  is uniformly continuous.  
 339 Therefore, for  $t, h \in \mathbb{R}$  we have:

$$|C^j(t) - C^j(t + h)| =$$

$$| \int \Phi^j(t - t')X(t')dt' - \Phi^j(t + h - t')X(t')dt' |$$

340

$$\leq (\sup_{t \in \mathbb{R}} |\Phi^j(t) - \Phi^j(t + h)|) \int |X(t')|dt'$$

$$\leq \|\Phi^j(t) - \Phi^j(t + h)\|_\infty \|X\|_1 \rightarrow 0 \text{ as } h \rightarrow 0$$



where the convergence follows from the fact that  $\Phi^j(t)$  is uniformly continuous and  $\|X\|_1$  is a bounded quantity as the input is a bounded function on compact support. A bound on  $|C^j(t)|$  can be shown as follows-

$$\begin{aligned}
|C^j(t)| &= \left| \int X(\tau)(\Phi^j(t-\tau))d\tau \right| \leq \int |X(\tau)| |(\Phi^j(t-\tau))| d\tau \\
&< b \int |(\Phi^j(t-\tau))| d\tau \quad (\text{since } |X(t)| < b) \\
&= b \int_0^\tau 1 \cdot |(\Phi^j(\tau))| d\tau = b \|\Phi^j\|_2 \sqrt{\int_0^\tau 1 d\tau} \leq b\sqrt{\tau} \\
&\quad (\text{Using Cauchy-Schwarz inequality and } \|\Phi^j\|_2 = 1)
\end{aligned} \tag{6}$$

(b) Assume that  $t_0, t_1, \dots, t_k, t_{k+1}, \dots, t_L$  be a sequence of times at which kernel  $\Phi^j$  produced a spike. We want to establish the above corollary by induction on this sequence. Let us denote the interspike intervals by  $\delta_i$ , i.e.  $\delta_i = t_i - t_{i-1}$  and the corresponding differences in the spiking thresholds by  $\Delta_i$ , i.e.  $\Delta_i = T^j(t_i) - T^j(t_{i-1}) = C^j(t_i) - C^j(t_{i-1}), \forall i \in \{1, \dots, L\}$ . Clearly,  $\sum_{i=1}^k \Delta_i \geq 0, \forall k \in \{1, \dots, L\}$ , as any deviation from this condition would result in the convolution value falling below the baseline threshold  $C$ , consequently rendering the system incapable of producing a spike. Also, since the convolution  $C^j(t)$  is a continuous function with  $C^j(0) = 0$  (by definition) convolution value for the first spike equals the baseline threshold, i.e.  $C^j(t_0) = C > 0$ . Then, based on equation 6,  $|C^j(t_k) - C^j(t_0)| = |\sum_{i=1}^k \Delta_i| < b\sqrt{\tau}, \forall k \in \{1, \dots, L\}$ . The threshold equation 1 formulates that for each spike at time  $t_i$ , due to the *ahp* effect the threshold value is immediately incremented by  $M$  and then within time  $\delta$  the *ahp* effect linearly drops to zero. Let  $A_k^i$  denote the drop in the *ahp* due to the spike at time  $t_i$  in the interval  $\delta_k$ . Note that  $A_k^i = 0$  whenever  $i \geq k$  and  $\sum_k A_k^i = M$ , i.e. the total *ahp* drop for each spike across all intervals is  $M$ . The proof follows by establishing the following two invariant by induction on  $k$ .

$$\begin{aligned}
A_k^{k-1} &= M - \sum_{i=1}^k \Delta_i, \\
\delta_k &< \frac{\delta}{2} \quad \forall k \in \{1, \dots, L\}
\end{aligned}$$

The base case for  $k = 1$ , is clearly true. Because,  $C^j(t_0) = T^j(t_0) = C$  and  $C^j(t_1) = T^j(t_1) = C + M(1 - \frac{\delta_1}{\delta} I_{(\delta_1 < \delta)})$  (by equation 1). Here  $I_{(\delta_1 < \delta)} = 1$  if  $\delta_1 < \delta$  and 0 otherwise. When  $\delta_1 < \delta$ , we get:

$$\begin{aligned}
C^j(t_1) &= C + M(1 - \frac{\delta_1}{\delta}) \\
&= C^j(t_0) + \Delta_1 \\
\Rightarrow \delta_1 &= \frac{M - \Delta_1}{M} \delta > \frac{M - b\sqrt{\tau}}{M} \delta > \frac{\delta}{2}.
\end{aligned}$$

And the *ahp* drop due to spike at  $t_0$  in the interval  $\delta_1$  is:  $A_1^0 = M - (C^j(t_1) - C^j(t_0)) = M - \Delta_1$ . For the other case when  $\delta_1 \geq \delta$ , trivially  $\delta \geq \frac{\delta}{2}$ . Also in that case  $C^j(t_1) = C$  since there is no spike in the previous  $\delta$  interval of  $t_1$  and therefore  $\Delta_1 = 0$ . But the *ahp* drop of the spike at  $t_0$  in interval  $\delta_1$  is:  $A_1^0 = M$  (since total drop is  $M$ )  $= M - \Delta_1$ . This establishes the invariants for the base case,  $k = 1$ .

Now for the induction step we assume that the invariants hold for all  $k \in \{1, \dots, n\}$ , for some  $n < L$ , and we show that the invariants are true for  $k = n + 1$ . Specifically, we assume that  $\delta_k > \frac{\delta}{2}$  and  $A_k^{k-1} = M - \sum_{i=1}^k \Delta_i$ , for all  $k \leq n$ . By assumption,  $C^j(t_{n+1}) = C^j(t_n) + \Delta_{n+1} = T^j(t_{n+1})$ . But  $T^j(t_{n+1})$ , the threshold at time  $t_{n+1}$ , is the sum of  $T^j(t_n)$  and the *ahp* effect of the spike at time  $t_n$  and the *ahp* drop due to the spike at time  $t_{n-1}$ . Note that for  $T^j(t_{n+1})$  we don't need to consider the *ahp* effects of spikes prior to  $t_{n-1}$  since the spikes prior to  $t_{n-1}$  are outside the  $\delta$  interval of  $t_{n+1}$

373 by induction assumption and therefore have zero *ahp* effect. Mathematically,

$$\begin{aligned}
T^j(t_{n+1}) &= T^j(t_n) + M \left( 1 - \frac{\delta_{n+1}}{\delta} \mathbf{I}_{(\delta_{n+1} < \delta)} \right) - A_{n+1}^{n-1} \\
&\Rightarrow C^j(t_{n+1}) = C^j(t_n) + M \left( 1 - \frac{\delta_{n+1}}{\delta} \mathbf{I}_{(\delta_{n+1} < \delta)} \right) - A_{n+1}^{n-1} \\
&= C^j(t_n) + \Delta_{n+1} \\
&\Rightarrow \Delta_{n+1} = M \left( 1 - \frac{\delta_{n+1}}{\delta} \mathbf{I}_{(\delta_{n+1} < \delta)} \right) - A_{n+1}^{n-1}.
\end{aligned}$$

374 Therefore for the case when  $\delta_{n+1} < \delta$  we get:

$$\begin{aligned}
\Delta_{n+1} &= M \left( 1 - \frac{\delta_{n+1}}{\delta} \right) - A_{n+1}^{n-1} \\
&\Rightarrow M \frac{\delta_{n+1}}{\delta} = M - \Delta_{n+1} - A_{n+1}^{n-1} \\
&\geq M - \Delta_{n+1} - \sum_{i=1}^n \Delta_i \quad (\text{since } A_{n+1}^{n-1} + A_n^{n-1} \leq M). \\
&\Rightarrow M \frac{\delta_{n+1}}{\delta} \geq M - \sum_{i=1}^{n+1} \Delta_i > M - b\sqrt{\tau} \Rightarrow \delta_{n+1} > \frac{\delta}{2}.
\end{aligned}$$

375 Since  $\delta_n + \delta_{n+1} > \delta$ , the total drop in *ahp* during  $\delta_n$  and  $\delta_{n+1}$  due to spike at  $t_{n-1}$  is  $M$ , i.e.  
376  $A_{n+1}^{n-1} + A_n^{n-1} = M$ . But,

$$\begin{aligned}
A_{n+1}^{n-1} + A_n^{n-1} &= M - \Delta_{n+1} \\
\Rightarrow A_{n+1}^n &= M - \Delta_{n+1} - (M - A_n^{n-1}) = M - \sum_{i=1}^{n+1} \Delta_i,
\end{aligned}$$

377 establishing the invariant. For the case  $\delta_{n+1} \geq \delta$ , trivially we get  $\delta_{n+1} > \frac{\delta}{2}$ . Since the *ahp* effect  
378 drops to zero for every spike within time  $\delta$ , the threshold at time  $t_{n+1}$  must come down to the  
379 baseline value  $C$ , i.e.  $T^j(t_{n+1}) = C = C^j(t_{n+1}) \Rightarrow \sum_{i=1}^{n+1} \Delta_i = 0$ . Also, since  $\delta_{n+1} \geq \delta$ , the *ahp*  
380 drop due to the spike at  $t_n$  in interval  $\delta_{n+1}$  is  $M$ , i.e.  $A_{n+1}^n = M = M - \sum_{i=1}^{n+1} \Delta_i$ , establishing  
381 the invariant for this case and hence, completing the proof.

### 382 A.3 Proof of Corollary 0.2

383 **Corollary 0.2:** Suppose the assumptions of the Corollary 0.1 hold true. Then, the spike rate  
384 generated by our framework for any input signal  $X(t)$  is bounded. Consequently, the maximum  
385 number of preceding spikes that can overlap with any given spike is bounded above by a constant  
386 value.

387

388 **Proof:** Based on Corollary 0.1, the total spike rate is bounded above by  $\frac{2m}{\delta}$ , where  $m$  is  
389 the number of kernels employed by our model. Since each kernel  $\Phi^j \in C[0, \tau]$  is compact support,  
390 the maximum number of preceding spikes any given spike can overlap with is bounded above by  
391  $\tau \frac{2m}{\delta}$ , where  $\tau$  is the maximum length of support for any kernel  $\Phi^j$ .

### 392 A.4 Proof of Corollary 0.2

393 **Corollary 0.3:** Let  $X(t)$  be an input signal and  $\Phi^j$  be a kernel. Suppose the convolution between  $X(t)$   
394 and  $\Phi^j(t)$  at time  $t_p$  is denoted by  $C^j(t_p) = \langle X(t), \Phi^j(t_p - t) \rangle > 0$ , and let the absolute refractory  
395 period be  $\delta$  as modeled in Eq. 1. If the baseline threshold  $C$  is set such that  $0 < C \leq C^j(t_p)$ , then the  
396 kernel  $\Phi^j$  must produce a spike in the interval  $[t_p - \delta, t_p]$ , according to the threshold model defined  
397 in Eq. 1.

398 **Proof:** We prove by contradiction, utilizing the continuity of the convolution function,  $C^j(t)$ . **Case**  
399 **1:** No spike is produced by the kernel  $\Phi^j$  before or at  $t_p$ . By definition  $C^j(0) = 0$ . However, since

400  $0 < C \leq C^j(t_p)$ , the continuous function  $C^j(t)$  must intersect the baseline threshold  $C$  between  
401  $t = 0$  and  $t = t_p$  by the intermediate value theorem. This contradiction implies that the kernel  
402  $\Phi^j$  must produce a spike prior to or at time  $t_p$ . **Case 2:** Assuming spikes occurred before or at  
403 time  $t_p$  by the kernel  $\Phi^j$ , let  $t_l$  be the time of last spike produced by  $\Phi^j$  before or at  $t_p$ . Suppose  
404  $t_l < t_p - \delta$  to ensure no spike in the interval  $[t_p - \delta, t_p]$ . Then, by Eq. 1, the threshold of kernel  
405  $\Phi^j$  at  $t_p$  is  $T^j(t_p) = C$ . However,  $C^j(t_p) = \langle X(t), \Phi^j(t_p - t) \rangle \geq C$ . Since  $C^j(t_l) = T^j(t_l)$  and  
406  $C^j(t)$  is continuous, and considering Eq. 1, where the *ahp* raises by a high value  $M$  at  $t_l$  and then  
407 linearly decreases to  $C$  before  $t_p$ , the intermediate value theorem implies that  $C^j(t)$  must cross the  
408 threshold between  $t_l$  and  $t_p$ . However, since  $t_l$  was the last spike of  $\Phi^j$  before  $t_p$ , this contradicts our  
409 assumption. Hence, there must be a spike in  $[t_p - \delta, t_p]$ .

## 410 A.5 Justification of Assumption 2

411 Corollary 0.1 suggests that for appropriately chosen parameters to the threshold Eq. 1, the spikes  
412 produced by the same kernel are sufficiently disjoint in time. Therefore, each new spike  $\phi_n$  comes  
413 with a component that is disjoint in time with respect to the spikes produced by the same kernel.  
414 Since the biological kernels of our framework are causal in nature, due to the disjoint component in  
415 time a new spike maintains an orthogonal component with respect to all the previous spikes by the  
416 same kernel. For spikes produced by different kernels, we observe that different biological kernels  
417 correspond to different frequency responses (e.g., it has been observed that the responses of the  
418 auditory nerves can be well approximated by a bank of linear gammatone filters [Lewicki, 2002,  
419 Patterson et al., 1988]). Since there are only finitely many kernels in our framework, this leads to the  
420 fact that a new spike is poorly represented by the previous spikes produced by other kernels. Overall,  
421 a new spike  $\Phi_n$ , for appropriately chosen *ahp* parameters in Eq. 1 will not be fully represented by  
422 previous spikes either due to disjointness in time or frequency. Hence, the overall set of spikes grows as  
423 a *linearly independent* set. The technical need for this assumption will become clear in later sections.

## 424 A.6 Detailed Proof of the Perfect Reconstruction Theorem

425 The following section provides a detailed proof of the Perfect Reconstruction Theorem as presented  
426 in the main text. While the main text includes the theorem and its basic proof, this supplementary  
427 section offers a full proof of Lemma 2 and includes a formal claim that is referenced in the main text  
428 but not explicitly stated there. These additions provide a more comprehensive understanding and are  
429 included here to complement the content of the main text.

430 The theorem assumes that the input signal belongs to a more restrictive class of signals,  $\mathcal{G}$ , which is a  
431 subset of the class  $\mathcal{F}$ . The class of input signals was initially modeled by  $\mathcal{F}$  in the Coding Section of  
432 the main text. The class  $\mathcal{G}$  is defined as:

433  $\mathcal{G} = \{X | X \in \mathcal{F}, X = \sum_{p=1}^N \alpha_p \Phi^{j_p}(t_p - t), j_p \in \{1, \dots, m\}, \alpha_p \in \mathbb{R}, t_p \in \mathbb{R}^+, N \in \mathbb{Z}^+\}$ . The  
434 theorem is restated below exactly as it appears in the main text, followed by its proof using two  
435 lemmas, as described in the original text.

436 **Theorem 1. (Perfect Reconstruction Theorem)** Let  $X \in \mathcal{G}$  be an input signal. The solution  $X^*$  to  
437 the reconstruction problem Eq. (2) can be written as:  $X^* = \sum_{i=1}^N \alpha_i \Phi^{j_i}(t_i - t)$ ,  $\alpha_i \in \mathbb{R}$ . If the  
438 set of spikes  $\{t_i, \Phi^{j_i}\}_{i=1}^N$  produced is *linearly independent* the coefficients can be uniquely solved  
439 from a system of linear equations of the form  $P\alpha = T$  (where  $P$  is an  $N \times N$  gram matrix with  
440  $[P]_{ik} = \langle \Phi^{j_i}(t - t_i), \Phi^{j_k}(t - t_k) \rangle$  and  $T$  is an  $N$ -dim vector of thresholds  $T^{j_i}(t_i)$ ). And therefore,  
441 for appropriately chosen time-varying thresholds of the kernels, the reconstruction  $X^*$ , is accurate  
442 with respect to the  $L2$  metric, i.e.,  $\|X^* - X\|_2 = 0$ .

443  
444 **Lemma 1.** *The solution  $X^*$  to the reconstruction problem Eq. (2) can be written as:*  
445  $X^* = \sum_{i=1}^N \alpha_i \Phi^{j_i}(t_i - t)$  *where the coefficients  $\alpha_i \in \mathbb{R}$  can be uniquely solved from a*  
446 *system of linear equations if the set of spikes  $\{\phi_i = \Phi^{j_i}(t_i - t)\}_{i=1}^N$  produced is linearly independent.*  
447

**Proof:** An argument similar to that of the Representer Theorem [Schölkopf et al., 2001] on (2)  
directly results in:  $X^* = \sum_{i=1}^N \alpha_i \Phi^{j_i}(t_i - t)$  where the  $\alpha_i$ 's are real valued coefficients. This holds  
true because any component of  $X^*$  orthogonal to the span of the  $\Phi^{j_i}(t_i - t)$ 's does not contribute  
to the convolution (inner product) constraints. In essence,  $X^*$  is an orthogonal projection of  $X$

on the span of the spikes  $\{\phi_i = \Phi^{j_i}(t_i - t) | i \in \{1, 2, \dots, N\}\}$ . Therefore, the coefficients can be derived by solving the linear system:  $P\alpha = T$  where  $P$  is the  $N \times N$  Gram matrix of the spikes, i.e.,  $[P]_{ik} = \langle \Phi^{j_i}(t_i - t), \Phi^{j_k}(t_k - t) \rangle$ , and  $T = \langle T^{j_1}(t_1), \dots, T^{j_N}(t_N) \rangle^T$ . Furthermore, the system has a unique solution if the Gram Matrix  $P$  is invertible. And the Gram Matrix  $P$  would be invertible if the set of spikes  $\{\phi_i\}_{i=1}^N$  is linearly independent, which in turn follows from the assumption 1. We claim that even when the  $P$ -matrix is non-invertible, a unique reconstruction  $X^*$  can still be obtained following Eq. (2) in the main text, which can be calculated using the pseudo-inverse of  $P$ .  $\square$

Next, we prove the claim we just made about the uniqueness of existence of  $X^*$  as per Eq. (2), before proceeding to the next lemma and the subsequent proof of the theorem.

**Claim:** Let  $X$  be an input signal to our framework, generating a set of  $N$  spikes,  $\{\phi_i = \Phi^{j_i}(t_i - t)\}_{i=1}^N$ . Let  $X_1$  and  $X_2$  be two possible reconstructions of  $X$  from these  $N$  spikes, obtained by solving the optimization problem in Eq. (2) of the main text. Then  $X_1 = X_2$ .

**Proof:** The uniqueness of the reconstruction of  $X$ , as formulated in Eq. (2) follows from the fact that the reconstruction is essentially the projection of  $X$  onto the span of the spikes  $\{\phi_i = \Phi^{j_i}(t_i - t)\}_{i=1}^N$ . We now provide a formal proof. Let  $S$  be the subspace of  $L^2$ -functions spanned by  $\{\phi_i = \Phi^{j_i}(t_i - t)\}_{i=1}^N$  with the standard inner product. Since each  $\Phi^{j_i}(t_i - t)$  is assumed to be in  $L^2$ ,  $S$  is a subspace of the larger space of all  $L^2$ -functions. Clearly,  $S$  is a Hilbert space with  $\dim(S) \leq N$ . Therefore, there exists an orthonormal basis  $\{e_1, \dots, e_M\}$  for  $S$ , where  $M \leq N$ . Assume for contradiction that  $X_1 \neq X_2$ . Then there exist coefficients  $\{a_i\}$  and  $\{b_i\}$  such that  $X_1 = \sum_{i=1}^M a_i e_i$  and  $X_2 = \sum_{i=1}^M b_i e_i$ , where not all  $a_i$  are equal to the corresponding  $b_i$ . Hence, there exists some  $k$  such that  $a_k \neq b_k$ , which implies:

$$\langle X_1, e_k \rangle = a_k \neq b_k = \langle X_2, e_k \rangle$$

However, since  $e_k$  is in the span of  $\{\phi_i = \Phi^{j_i}(t_i - t)\}_{i=1}^N$ , there exists  $\{c_1, \dots, c_N\}$  such that  $e_k = \sum_{i=1}^N c_i \Phi^{j_i}(t_i - t)$ . Therefore:

$$\langle X_1, e_k \rangle = \sum_{i=1}^N c_i \langle X_1, \Phi^{j_i}(t_i - t) \rangle = \sum_{i=1}^N c_i T^{j_i}(t_i)$$

Since both  $X_1$  and  $X_2$  are solutions to the optimization problem in Eq. (2), it follows that:

$$\langle X_1, e_k \rangle = \sum_{i=1}^N c_i T^{j_i}(t_i) = \langle X_2, e_k \rangle$$

448 This leads to a contradiction to the assumption that  $a_k \neq b_k$ . Thus,  $X_1 = X_2$ , completing the proof.  $\square$

449

450 **Lemma 2.** Let  $X^*$  be the reconstruction of an input signal  $X$  by our framework with  
 451  $\{\phi_i = \Phi^{j_i}(t_i - t)\}_{i=1}^N$  being the set of generated spikes. Then, for any arbitrary signal  $\tilde{X}$  within the  
 452 span of the spikes given by  $\tilde{X} = \sum_{i=1}^N a_i \phi_i$ ,  $a_i \in \mathbb{R}$ , the following holds:  $\|X - X^*\| \leq \|X - \tilde{X}\|$ .

453

454 **Proof:**

$$\begin{aligned} \|X(t) - \tilde{X}(t)\| &= \left\| \underbrace{X(t) - X^*(t)}_A + \underbrace{X^*(t) - \tilde{X}(t)}_B \right\| \\ \langle A, \Phi^{j_i}(t_i - t) \rangle &= \langle X(t), \Phi^{j_i}(t_i - t) \rangle \\ &\quad - \langle X^*(t), \Phi^{j_i}(t_i - t) \rangle, \quad \forall i \in \{1, 2, \dots, N\} \\ &= T^{j_i}(t_i) - T^{j_i}(t_i) = 0 \text{ (by Eq (2) \& (2) of main text)} \\ \langle A, B \rangle &= \langle A, \sum_{i=1}^N (\alpha_i - a_i) \phi_i \rangle \text{ (By Lemma 1 } X^*(t) = \sum_{i=1}^N \alpha_i \phi_i) \\ &= \sum_{i=1}^N (\alpha_i - a_i) \langle A, \phi_i \rangle = 0 \Rightarrow A \perp B \end{aligned}$$

Therefore,

$$\begin{aligned}
\|X(t) - \tilde{X}(t)\|^2 &= \|A + B\|^2 = \|A\|^2 + \|B\|^2 \quad (A \perp B) \\
&\geq \|A\|^2 = \|X(t) - X^*(t)\|^2 \\
\Rightarrow \|X(t) - \tilde{X}(t)\| &\geq \|X(t) - X^*(t)\| \quad \square
\end{aligned}$$

**Proof of the Theorem 1:** The proof of the theorem follows directly from Lemma 2. Since the input signal  $X \in \mathcal{G}$ , let  $X$  be given by:  $X = \sum_{p=1}^N \alpha_p \Phi^{j_p}(t_p - t)$  ( $\alpha_p \in \mathbb{R}, t_p \in \mathbb{R}^+, N \in \mathbb{Z}^+$ ). Assume that the time varying thresholds of the kernels in our kernel ensemble  $\Phi$  are set in such a manner that the following conditions are satisfied:  $\langle X, \Phi^{j_p}(t_p - t) \rangle = T^{j_p}(t_p) \quad \forall p \in \{1, \dots, N\}$  i.e., each of the kernels  $\Phi^{j_p}$  at the very least produces a spike at time  $t_p$  against  $X$  (regardless of other spikes at other times). Clearly then  $X$  lies in the span of the set of spikes generated by the framework. Applying Lemma 2 it follows that:  $\|X - X^*\|_2 \leq \|X - X\|_2 = 0$ .  $\square$

## 462 A.7 Proof of Condition Number Theorem

**Theorem 4** (Condition Number Theorem). *Let  $\{P_k\}$  denote the set of all Gram matrices corresponding to any set of  $k$  successive spikes  $\{\phi_1, \dots, \phi_k\}$ , i.e.,  $P_k[i, j] = \langle \phi_i, \phi_j \rangle$ , where each spike satisfies the Assumption 1:  $\|\mathcal{P}_{S(\{\phi_1, \dots, \phi_{i-1}\})}(\phi_i)\| \leq \beta < 1, \forall i \in \{2, \dots, k\}$ . If  $C_k$  denotes the least upper bound on the condition number of the class of matrices  $\{P_k\}$ , then  $(1 - \beta^2)^{-k+1} \leq C_k \leq (1 + (k - 1)\beta)(\frac{1 - \beta^2}{2})^{-k+1}$*

**Proof:** The condition number of  $P_k$  is defined as  $\frac{\Lambda_{max}(P_k)}{\Lambda_{min}(P_k)}$ , where  $\Lambda_{max}(P_k)$  and  $\Lambda_{min}(P_k)$  denote the maximum and minimum eigen values of  $P_k$ . First we find the infimum  $L_k$  on  $\Lambda_{min}$  over all  $\{P_k\}$ , the class of all gram-matrices of  $k$  successive spikes. We find the infimum inductively on  $k$ . By definition,

$$\begin{aligned}
\Lambda_{min}(P_k) &= \min_{e, \|e\|=1} \|\sum_{i=1}^k e_i \phi_i\|^2 \\
&\text{(where } e = [e_1, \dots, e_k]^T, \text{ a } k\text{-vector)} \\
&= \min_{e, \|e\|=1} [e_k^2 + 2e_k \langle \phi_k, \sum_{i=1}^{k-1} e_i \phi_i \rangle + \|\sum_{i=1}^{k-1} e_i \phi_i\|^2] \\
&\geq \min_{e, \|e\|=1} [e_k^2 - 2|e_k| \beta \sqrt{1 - e_k^2} \|\sum_{i=1}^{k-1} \frac{e_i}{\sqrt{1 - e_k^2}} \phi_i\| + \\
&\quad \|\sum_{i=1}^{k-1} \frac{e_i}{\sqrt{1 - e_k^2}} \phi_i\|^2] \quad (\text{since, } \|\mathcal{P}_{S(\{\phi_1, \dots, \phi_{i-1}\})}(\phi_i)\| \leq \beta) \\
&\geq \min_{e, \|e\|=1} [e_k^2 - 2|e_k| \beta z \sqrt{1 - e_k^2} + (1 - e_k^2) z^2] \tag{7} \\
&\quad (\text{denoting, } z = \|\sum_{i=1}^{k-1} \frac{e_i}{\sqrt{1 - e_k^2}} \phi_i\|)
\end{aligned}$$

Now we set  $|e_k| = \cos \theta, |e_k| \leq 1$  to obtain:

$$\begin{aligned}
\Lambda_{min}(P_k) &\geq \min_{e, \|e\|=1} [\cos^2 \theta - 2\beta z \cos \theta \sin \theta + z^2 \sin^2 \theta] \\
&\geq \min_{e, \|e\|=1} [\frac{1 + z^2}{2} + \frac{1 - z^2}{2} \cos 2\theta - \beta z \sin 2\theta] \\
&\geq \min_{e, \|e\|=1} [\underbrace{\frac{1 + z^2}{2} - \sqrt{(\frac{1 - z^2}{2})^2 + \beta^2 z^2}}_{g(z^2)}] \tag{8}
\end{aligned}$$

$$\text{But, } z^2 = \|\sum_{i=1}^{k-1} \frac{e_i}{\sqrt{1 - e_k^2}} \phi_i\|^2 \geq L_{k-1}$$

(Since,  $\sum_{i=1}^{k-1} \frac{e_i^2}{1 - e_k^2} = 1$  we get this inductively)

Since for  $|\beta| < 1$  the expression  $g(z^2)$  in 8 is a monotonic in  $z^2$ , and  $L_k$  is the infimum of  $\Lambda_{min}(P_k)$ , we may write,

$$L_k \geq \left[ \frac{1 + L_{k-1}}{2} - \sqrt{\left(\frac{1 - L_{k-1}}{2}\right)^2 + \beta^2 L_{k-1}} \right] \quad (9)$$

But  $L_k$  is a lower bound of  $\Lambda_{min}$ , and all the inequalities above are tight. Specifically, Eq. 7 & 8 show how given  $P_{k-1}$ , a Gram matrix of  $k-1$  successive spikes with  $\Lambda_{min} = L_{k-1}$ , one can choose  $\phi_k$  and  $e$  to result in a matrix  $P_k$ , so that  $\Lambda_{min}(P_k)$  achieves the lower bound of 9. Therefore, the inequality of 9 can be turned into an equality.

473

$$L_k = \left[ \frac{1 + L_{k-1}}{2} - \sqrt{\left(\frac{1 - L_{k-1}}{2}\right)^2 + \beta^2 L_{k-1}} \right] \quad (10)$$

$$L_k = \left[ \frac{(1 - \beta^2)L_{k-1}}{\frac{1 + L_{k-1}}{2} + \sqrt{\left(\frac{1 - L_{k-1}}{2}\right)^2 + \beta^2 L_{k-1}}} \right]$$

Since,  $|\beta| \geq 0$ , setting  $|\beta| = 0$  in denominator of 10,

$$L_k \leq (1 - \beta^2)L_{k-1} \quad (11)$$

Again,  $L_1 = 1$  and using induction we can get  $L_k \leq 1$ .

Therefore, setting  $L_{k-1} = 1, \beta = 1$  in denominator of 10,

$$L_k \geq \frac{(1 - \beta^2)L_{k-1}}{2} \quad (12)$$

$$\Rightarrow \frac{(1 - \beta^2)L_{k-1}}{2} \leq L_k \leq (1 - \beta^2)L_{k-1} \quad (\text{Using 12 \& 11})$$

$$\Rightarrow \left(\frac{1 - \beta^2}{2}\right)^{k-1} \leq L_k \leq (1 - \beta^2)^{k-1} \quad (13)$$

Eq. 13 establishes a bound on the infimum of  $\Lambda_{min}$ . To complete the proof and establish a bound on  $C_k$  we need to show a bound on the supremum of  $\Lambda_{max}(P_k)$ , call it  $U_k$ .

A bound on  $U_k$  can be shown as follows:

$$\begin{aligned} \Lambda_{max}(P_k) &\leq \sup_i (P_k[i, i] + \sum_{i \neq j} |P_k[i, j]|) \\ &\quad (\text{using Gershgorin Circle Theorem}) \\ &= \sup_i \{ \langle \phi_i, \phi_i \rangle + \sum_{i \neq j} |\langle \phi_i, \phi_j \rangle| \} \leq (1 + (k-1)\beta) \\ &\quad (\text{Since } \|\mathcal{P}_{S\{\phi_1, \dots, \phi_{i-1}\}}(\phi_i)\| \leq \beta \Rightarrow |\langle \phi_i, \phi_j \rangle| \leq \beta) \\ &\Rightarrow 1 \leq U_k \leq (1 + (k-1)\beta) \\ &\quad (1 \leq U_k \text{ is trivial because } \Lambda_{max} = 1 \text{ for } P_k = I) \end{aligned} \quad (14)$$

Combining 13 & 14 we get:

$$(1 - \beta^2)^{-k+1} \leq C_k \leq (1 + (k-1)\beta) \left(\frac{1 - \beta^2}{2}\right)^{-k+1} \quad \square$$

474 The above theorem provides a tight upper bound on the condition number of the  $P$ -matrix and clearly  
475 shows how the condition number can degrade even if the spikes are sufficiently disjoint in time, i.e.  
476  $\beta \approx 0$ .

## 477 A.8 Proof of Approximate Reconstruction Theorem

478 **Theorem 2.** (Approximate Reconstruction Theorem)

479 Let the input signal  $X$  be represented as  $X = \sum_{i=1}^N \alpha_i f^{p_i}(t_i - t)$ , where  $\alpha_i \in \mathbb{R}$  and  $f^{p_i}(t)$  are

480 bounded functions on finite support that constitute the input signal. Assume that there is at least  
 481 one kernel function  $\Phi^{j_i}$  in the ensemble for which  $\|f^{p_i}(t) - \Phi^{j_i}(t)\|_2 < \delta$  for all  $i \in \{1, \dots, N\}$ .  
 482 Additionally, assume that each of these kernels  $\Phi^{j_i}$  produces a spike within a  $\gamma$  interval of  $t_i$ , for  
 483 some  $\delta$  and  $\gamma \in \mathbb{R}^+$ , for all  $i$ . Also, assume that the functions  $f^{p_i}$  satisfy a frame bound type of  
 484 condition:  $\sum_{k \neq i} \langle f_{p_i}(t - t_i), f_{p_k}(t - t_k) \rangle \leq \eta \forall i \in \{1, \dots, N\}$ , and that the kernel functions are  
 485 Lipschitz continuous. Under such conditions, the  $L^2$  error in the reconstruction  $X^*$  of the input  $X$   
 486 has bounded SNR. Specifically one can show that  $\frac{\|X(t) - X^*(t)\|^2}{\|X(t)\|^2} \leq \frac{(\delta + C\gamma)^2(x_{\max} + 1)}{1 - \eta}$ , where  $\eta < 1$ ,  
 487  $C$  is a Lipschitz constant, and  $x_{\max} \in [0, N - 1]$  is a constant depending on the overlap of the  
 488 components in the input representation.

489 **Proof:** By hypothesis each kernel  $\Phi^{j_i}$  produces a spike at time  $t'_i \forall i \in \{1, \dots, N\}$ . Let us call these  
 490 spikes as *fitting spikes*. But the coding model might generate some other spikes against  $X$  too. Other  
 491 than the set of *fitting spikes*  $\{(t'_i, \Phi^{j_i}) | i \in \{1, \dots, N\}\}$ , let  $\{(\tilde{t}_k, \Phi^{\tilde{j}_k}) | k \in \{1, \dots, M\}\}$  denote those  
 492 extra set of spikes that the coding model produces for input  $X$  against the bag of kernels  $\Phi$  and call  
 493 these extra spikes as *spurious spikes*. Here,  $M$  is the number of spurious spikes. By Lemma1, the  
 494 reconstruction of  $X$ , denoted  $X^*$ , can be represented as below:

$$495 \quad X^* = \sum_{i=1}^N \alpha_i \Phi^{j_i}(t'_i - t) + \sum_{k=1}^M \tilde{\alpha}_k \Phi^{\tilde{j}_k}(\tilde{t}_k - t)$$

497 where  $\alpha_i$  and  $\tilde{\alpha}_k$  are real coefficients whose values can be formulated again from Lemma1. Let  $T_i$  be  
 498 the thresholds at which kernel  $\Phi^{j_i}$  produced the spike at time  $t'_i$  as given in the hypothesis. Hence for  
 499 generation of the *fitting spikes* the following condition must be satisfied:

$$\langle X, \Phi^{j_i}(t'_i - t) \rangle = T_i \quad \forall i \in \{1, 2, \dots, N\} \quad (15)$$

500 Consider a hypothetical signal  $X_{hyp}$  defined by the equations below:

$$\begin{aligned} X_{hyp} &= \sum_{i=1}^N a_i \Phi^{j_i}(t'_i - t), a_i \in \mathbb{R} \\ \text{s.t. } \langle X_{hyp}, \Phi^{j_i}(t'_i - t) \rangle &= T_i, \forall i \end{aligned} \quad (16)$$

501 Clearly this hypothetical signal  $X_{hyp}$  can be deemed as if it is the reconstructed signal where we are  
 502 only considering the *fitting spikes* and ignoring all *spurious spikes*. Since,  $X_{hyp}$  lies in the span of  
 503 the shifted kernels used in reconstruction of  $X$  using Lemma 3 we may now write:

$$\|X - X_{hyp}\| \geq \|X - X^*\| \quad (17)$$

$$\begin{aligned} \|X - X_{hyp}\|_2^2 &= \langle X - X_{hyp}, X - X_{hyp} \rangle \\ &= \langle X - X_{hyp}, X \rangle - \langle X - X_{hyp}, X_{hyp} \rangle \\ &= \langle X - X_{hyp}, X \rangle - \sum_{i=1}^N a_i \langle X - X_{hyp}, \Phi^{j_i}(t - t'_i) \rangle \\ &= \|X\|_2^2 - \langle X, X_{hyp} \rangle \\ &= \sum_{i=1}^N \sum_{k=1}^N \alpha_i \alpha_k \langle f_i(t - t_i), f_k(t - t_k) \rangle \\ &\quad - \sum_{i=1}^N \sum_{k=1}^N \alpha_i a_k \langle f_i(t - t_i), \Phi^{j_k}(t - t'_k) \rangle \\ &= \alpha^T F \alpha - \alpha^T F_K a \end{aligned} \quad (18)$$

(denoting  $a = [a_1, a_2, \dots, a_N]^T$ ,  $\alpha = [\alpha_1, \alpha_2, \dots, \alpha_N]^T$ ,  
 $F = [F_{ik}]_{N \times N}$ , an  $N \times N$  matrix, where  $F_{ik} =$   
 $\langle f_i(t - t_i), f_k(t - t_k) \rangle$  and  $F_K = [(F_K)_{ik}]_{N \times N}$  where  
 $(F_K)_{ik} = \langle f_i(t - t_i), \Phi^{j_k}(t - t'_k) \rangle$ )

But using Lemma1  $a$  can be written as:

$$a = P^{-1}T, P = [P_{ik}]_{N \times N}, P_{ik} = \langle \Phi^{j_i}(t - t'_i), \Phi^{j_k}(t - t'_k) \rangle$$

And,  $T = [T_i]_{N \times 1}$  where  $T_i = \langle X(t), \Phi^{j_i}(t - t'_i) \rangle$

$$= \sum_{k=1}^N \alpha_k \langle f_k(t - t_k), \Phi^{j_i}(t - t'_i) \rangle = F_K^T \alpha$$

$$\Rightarrow a = P^{-1}F_K^T \alpha$$

Plugging this expression of  $a$  in equations (18) we get,

$$\|X - X_{hyp}\|_2^2 = \alpha^T F \alpha - \alpha^T F_K P^{-1} F_K^T \alpha \quad (19)$$

$$\begin{aligned} \text{But, } (F_K)_{ik} &= \langle f_i(t - t_i), \Phi^{jk}(t - t'_k) \rangle \\ &= \langle \Phi^{ji}(t - t'_i), \Phi^{jk}(t - t'_k) \rangle \\ &\quad - \langle \Phi^{ji}(t - t'_i) - f_i(t - t_i), \Phi^{jk}(t - t'_k) \rangle \\ &= (P)_{ik} - (\mathcal{E}_K)_{ik} \end{aligned} \quad (20)$$

(denoting  $\mathcal{E}_K = [(\mathcal{E}_K)_{ik}]_{N \times N}$ ,

$$\begin{aligned} \text{where } (\mathcal{E}_K)_{ik} &= \langle \Phi^{ji}(t - t'_i) - f_i(t - t_i), \Phi^{jk}(t - t'_k) \rangle \\ \text{Also, } (F)_{ik} &= \langle f_i(t - t_i), f_k(t - t_k) \rangle \\ &= \langle f_i(t - t_i) - \Phi^{ji}(t - t'_i) + \Phi^{ji}(t - t'_i), \\ &\quad f_k(t - t_k) - \Phi^{jk}(t - t'_k) + \Phi^{jk}(t - t'_k) \rangle \\ &= (\mathcal{E})_{ik} - (\mathcal{E}_K)_{ik} - (\mathcal{E}_K)_{ki} + (P)_{ik} \end{aligned} \quad (21)$$

Combining (19), (20) and (21) we get,

$$\begin{aligned} \|X - X_{hyp}\|_2^2 &= \alpha^T F \alpha - \alpha^T F_K P^{-1} F_K^T \alpha \\ &= \alpha^T \mathcal{E} \alpha - \alpha^T \mathcal{E}_K \alpha - \alpha^T \mathcal{E}_K^T \alpha + \alpha^T P \alpha \\ &\quad - \alpha^T P \alpha + \alpha^T \mathcal{E}_K \alpha + \alpha^T \mathcal{E}_K^T \alpha - \alpha^T \mathcal{E}_K P^{-1} \mathcal{E}_K^T \alpha \\ &= \alpha^T \mathcal{E} \alpha - \alpha^T \mathcal{E}_K P^{-1} \mathcal{E}_K^T \alpha \leq \alpha^T \mathcal{E} \alpha \\ \text{(Since, } P \text{ is an SPD matrix, } \alpha^T \mathcal{E}_K P^{-1} \mathcal{E}_K^T \alpha > 0) \end{aligned} \quad (22)$$

504 We seek for a bound for the above expression. For that we observe the following:

$$\begin{aligned} |(\mathcal{E})_{ik}| &= |\langle f_i(t - t_i) - \Phi^{ji}(t - t'_i), f_k(t - t_k) - \Phi^{jk}(t - t'_k) \rangle| \\ &= \|f_i(t - t_i) - \Phi^{ji}(t - t'_i)\|_2 \|f_k(t - t_k) - \Phi^{jk}(t - t'_k)\|_2 \cdot x_{ik} \\ \text{(where } x_{ik} \in [0, 1]. \text{ We also note that } x_{ik} \text{ is close to 0 when} \\ &\quad \text{the overlaps in the supports of the two components and their} \\ &\quad \text{corresponding fitting kernels are minimal.)} \\ \Rightarrow (\mathcal{E})_{ik} &\leq (\|f_i(t - t_i) - \Phi^{ji}(t - t'_i)\| + \\ &\quad \|\Phi^{ji}(t - t_i) - \Phi^{ji}(t - t'_i)\|) \cdot \\ &\quad (\|f_k(t - t_k) - \Phi^{jk}(t - t'_k)\| \\ &\quad + \|\Phi^{jk}(t - t_k) - \Phi^{jk}(t - t'_k)\|) \cdot x_{ik} \\ \Rightarrow (\mathcal{E})_{ik} &\leq x_{ik} \cdot (\delta + C\gamma)^2 \end{aligned} \quad (23)$$

(Assuming  $C$  is a Lipschitz constant that each kernel  $\Phi^{ji}$  satisfies, and by assumption we have  $|t_i - t'_i| < \delta$  for all  $i$ .)  
Now, using Gershgorin circle theorem, the maximum eigen value of  $\mathcal{E}$  can be obtained as follows:

$$\begin{aligned} \Lambda_{max}(\mathcal{E}) &\leq \max_i ((\mathcal{E})_{ii} + \sum_{k \neq i} |(\mathcal{E})_{ik}|) \\ &\leq (\delta + C\gamma)^2 (x_{max} + 1) \quad \text{(Using (23))} \end{aligned} \quad (24)$$

(where  $x_{max} \in [0, N - 1]$  is a positive number that depends on the maximum overlap of the supports of the component signals and their fitting kernels.)

Similarly, the minimum eigen value of  $F$  is:

$$\begin{aligned} \Lambda_{min}(F) &= \min_i ((F)_{ii} - \sum_{k \neq i} |\langle f_{p_i}(t - t_i), f_{p_k}(t - t_k) \rangle|) \\ &\geq 1 - \eta \end{aligned} \quad (25)$$

(By assumption  $\sum_{i \neq k} |\langle f_{p_i}(t - t_i), f_{p_k}(t - t_k) \rangle| \leq \eta$ )

Combining the results from (22), (24) and (25) we get:



$$\begin{aligned}
& \|X(t) - X_{hyp}(t)\|^2 / \|X(t)\|^2 \leq \alpha^T \mathcal{E} \alpha / \alpha^T F \alpha \\
& \leq \Lambda_{max}(\mathcal{E}) / \Lambda_{min}(F) \\
& \leq (\delta + C\gamma)^2 (x_{max} + 1) / (1 - \eta)
\end{aligned} \tag{26}$$

Finally using (17) we conclude,

$$\begin{aligned}
& \|X(t) - X^*(t)\|^2 / \|X(t)\|^2 \leq \|X(t) - X_{hyp}(t)\|^2 / \|X(t)\|^2 \\
& \leq (\delta + C\gamma)^2 (x_{max} + 1) / (1 - \eta)
\end{aligned}$$

where  $\eta < 1$ , and  $x_{max} \in [0, N - 1]$ , a constant depending on the overlap of the components in the input representation.  $\square$

## 505 A.9 Justification of Assumption 2

506 Assumption 2 extends Assumption 1 to the future. For large  $N$ , it is possible to construct a  
507 spike sequence where each spike satisfies Assumption 1, yet the norm of the projection of an  
508 individual spike onto the set of remaining spikes (including both past and future spikes), i.e.  
509  $\|\mathcal{P}_{\mathcal{S}(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\| \rightarrow 1$ . An example illustrating this scenario is shown in Fig. 5, where  
510 each spike corresponds to one full cycle of a sine wave, and for any given spike  $\phi_n$  the half wave  
511 of its tail (head) precisely overlaps with the half wave of the head (tail) of its previous (next) spike  
512  $\phi_{n-1}$  ( $\phi_{n+1}$ ). One can show that in such a scenario  $\|\mathcal{P}_{\mathcal{S}(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\|$  converges to 1 for  
513 large values of  $n$  and  $N$  (see Appendix A.10 for details). This convergence occurs because within  
514 the compact support of a spike, the spike is fully represented by the overlapping components of  
515 the neighboring spikes. Conversely, if a spike is poorly represented within its compact support by  
516 the overlapping components of its neighboring spikes, it satisfies the condition of Assumption 2.  
517 Specifically, if within its compact support, a spike  $\phi_n$  can produce a component  $\hat{\phi}_n$ , orthogonal to  
518 all overlapping parts of the neighboring spikes with  $\|\hat{\phi}_n\| > 0$ , then  $\phi_n$  satisfies the condition of  
519 Assumption 2, because  $\hat{\phi}_n$  is orthogonal to the overlapping parts of the neighboring spikes and hence  
520  $\hat{\phi}_n$  is orthogonal to every spike other than  $\phi_n$ . This observation enables us to assert Assumption  
521 2 for spikes produced by our framework via the biological kernels (e.g. the gammatone kernels  
522 corresponding to the auditory processing [Patterson et al., 1988]) which inherently exhibit causal and  
523 fading memory properties, so that the overlapping parts of the neighboring spikes do not represent  
524 the given spike within its support. Figure 2 visually illustrates this property using the dot product  
525 of overlapping portions of gammatone kernels. We show that due to the *ahp*, overlapping spikes  
526 corresponding to the same gammatone kernel poorly represent each other as they temporally separate.  
527 Additionally, spikes corresponding to gammatones of different frequencies poorly represent each  
528 other, irrespective of temporal shifts. Thus, with appropriately chosen *ahp* parameters, the spikes  
529 produced by our framework's biological kernels are poorly represented within their supports by over-  
530 lapping components of neighboring spikes, either due to temporal disjointness or frequency mismatch.  
531 Since every spike overlaps with only finitely many other spikes (corollary 0.2), Assumption 2 holds.

## 532 A.10 Analysis of Overlapping Kernels in Figure 5

533 This section contains an analysis of the overlapping sine kernels scenario depicted in Figure 5. The  
534 assumption 1 from the main text states that the norm of the projection of each spike onto the span  
535 of all previous spikes is bounded from above by some constant strictly less than 1. In other words,  
536 each incoming spike has a component orthogonal to the span of all previous spikes, the norm of  
537 which is bounded below by some constant strictly greater than 0. However, this assumption does  
538 not necessarily imply that each spike is poorly represented in the span of the set of all other spikes,  
539 including both past and future spikes. Figure 5 provides a counterexample to this by constructing a  
540 sequence of spikes where, despite each spike having a bounded orthogonal component with respect  
541 to all previous spikes, one can show that a particular spike in this sequence can be almost perfectly  
542 represented by all others. Specifically, the projection of one spike onto the span of the others  
543 approaches a norm of 1 as the sequence length increases. In the figure, each spike is generated by a  
544 kernel function that consists of a single cycle of a sine wave. The spikes are arranged so that tail of  
545 one spike perfectly overlaps with the head of the previous spike. In this setup, each spike overlaps  
546 only with one previous spike and one subsequent spike.

547 **Claim:** Let  $\phi_1, \dots, \phi_N$  be the sequence of spikes arranged in time as shown in Figure 5, where  
548 each spike is generated by a normalized sine wave kernel. In this setup, the projection of any spike

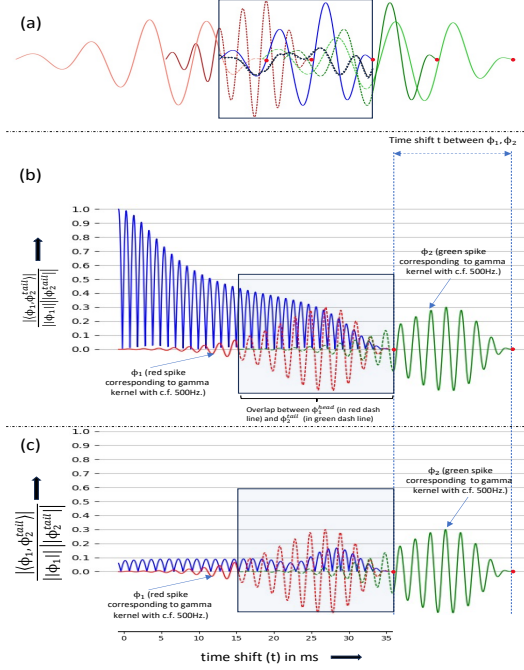


Figure 2: Illustration of why a spike produced by our framework using gammatone kernels is poorly represented by other overlapping spikes within its temporal support. **(a)** Shows a diagram of five spikes; the central spike (blue dashed line within the rectangle) overlaps with two past spikes (red) and two future spikes (green). Despite the overlaps, the distinct shapes of the gammatone kernels result in a poor representation of the central spike, leaving a component orthogonal to its neighbors (shown in black). **(b)** Details the interaction between two spikes,  $\phi_1$  and  $\phi_2$  (red and green curves), both using a gammatone kernel with a center frequency of 500 Hz. It examines how well  $\phi_1$  is represented by the overlapping tail of  $\phi_2$  (denoted  $\phi_2^{tail}$ , green dashed line), focusing on representation within this overlapping support only. The graph in blue measures the dot product  $\frac{|\langle \phi_1, \phi_2^{tail} \rangle|}{\|\phi_1\| \|\phi_2^{tail}\|}$  as a function of the time lag  $t$  between the two spikes, illustrating how the representation deteriorates rapidly as the lag increases, thus demonstrating the poor representational quality induced by the *ahp-effect*. **(c)** A similar plot of interaction between two spikes,  $\phi_1$  and  $\phi_2$  as in **(b)**, except here the center frequencies of the corresponding gammatone kernels are different (500 Hz for  $\phi_1$  and 400 Hz for  $\phi_2$ ). The blue graph represents the dot product  $\frac{|\langle \phi_1, \phi_2^{tail} \rangle|}{\|\phi_1\| \|\phi_2^{tail}\|}$  as a function of time shift  $t$ , highlighting systematic poor representation due to frequency differences. Each spike's time of occurrence is marked by a red dot.

549  $\phi_n$  onto the span of all other spikes in the sequence satisfies  $\|\mathcal{P}_{\mathcal{S}(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\| \rightarrow 1$  for  
 550  $n \rightarrow \infty$  and  $N - n \rightarrow \infty$ .

551 **Proof:** Each spike  $\phi_i$  can be decomposed into two components: the positive half wave at the tail  
 552 of the spike, denoted  $\phi_i^t$ , and the negative half-wave at the head of the spike, denoted  $\phi_i^h$ . By  
 553 assumption, each spike is normalized, so the norm of each component is  $\frac{1}{\sqrt{2}}$ . Due to how the spikes  
 554 are aligned,  $\phi_i^h = -\phi_{i+1}^t$  for all  $i \in [1, N - 1]$ , which means that  $\langle \phi_i, \phi_j \rangle = -\frac{1}{2}$  for  $|i - j| = 1$  and  
 555 0 for  $|i - j| > 1$ . To show that a spike  $\phi_n$  in this sequence is almost perfectly represented by the  
 556 others, we calculate the projection of  $\phi_n$  onto the span of all the other spikes. This projection can be  
 557 expressed as the sum of two components: one projection onto the span of the preceding spikes and  
 558 one onto the span of the succeeding spikes. The coefficients of these projections can be determined  
 559 by solving a system of linear equations involving the Gram matrix formed by the inner products of  
 560 the spikes similar to the approach used Lemma 1 from the main text. The projection can be written as  
 561 follows:  
 562

$$\begin{aligned} \mathcal{P}_{\mathcal{S}(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n) &= \mathcal{P}_{\mathcal{S}(\cup_{i=1}^{n-1} \{\phi_i\})}(\phi_n) \\ &\quad + \mathcal{P}_{\mathcal{S}(\cup_{i=n+1}^N \{\phi_i\})}(\phi_n) \\ (\text{As } \mathcal{S}(\cup_{i=n+1}^N \{\phi_i\}) &\perp \mathcal{S}(\cup_{i=1}^{n-1} \{\phi_i\}) \text{ due to disjoint support}) \\ \Rightarrow \mathcal{P}_{\mathcal{S}(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n) &= \sum_{i=1}^{n-1} \alpha_i \phi_i + \sum_{i=n+1}^N \alpha_i \phi_i; \alpha_i \in \mathbb{R} \end{aligned}$$

564 The values of  $\alpha_i$  for  $i \in [1, n-1]$ , following Lemma 1 from the main text, can be obtained by solving  
 565 a linear system of equations of the form  $P_1 \alpha = T$  where  $P_1$  is an  $(n-1) \times (n-1)$  matrix and  
 566  $\alpha = [\alpha_{n-1}, \dots, \alpha_1]^T$ . Similarly, the values of  $\alpha_i$  for  $i \in [n+1, N]$  can be obtained by solving a  
 567 linear system of equations of the form  $P_2 \alpha' = T$ , where  $P_2$  is an  $(N-n) \times (N-n)$  matrix and  
 568  $\alpha' = [\alpha_{n+1}, \dots, \alpha_N]^T$ . Specifically we have the following.

$$P_1 \alpha = \begin{bmatrix} 1 & -\frac{1}{2} & 0 & \cdots & 0 \\ -\frac{1}{2} & 1 & -\frac{1}{2} & \cdots & 0 \\ 0 & -\frac{1}{2} & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & -\frac{1}{2} \\ 0 & 0 & 0 & -\frac{1}{2} & 1 \end{bmatrix} \begin{bmatrix} \alpha_{n-1} \\ \vdots \\ \alpha_1 \end{bmatrix} = \begin{bmatrix} -\frac{1}{2} \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \quad (27)$$

569 In the above equation (27), the matrix  $P_1$  is a symmetric tridiagonal matrix with unit diagonal  
 570 elements and constant off-diagonal entries, the inverse of which can be calculated using *Chebyshev*  
 571 *polynomials of the second kind* [da Fonseca and Petronilho, 2001]. Specifically, the elements of  $P_1^{-1}$   
 572 are given by:

$$(P_1^{-1})_{i,j} = (-1)^{i+j+1} \cdot 2 \cdot \frac{U_{i-1}(-1) \cdot U_{(n-1)-j}(-1)}{U_{n-1}(-1)}, \quad i \leq j$$

where  $U_k$  denotes order- $k$  Chebyshev polynomial of second kind. This simplifies to:

$$\Rightarrow (P_1^{-1})_{i,j} = \begin{cases} 2 \cdot \frac{i(n-j)}{n} & \text{for } i \leq j \\ 2 \cdot \frac{j(n-i)}{n} & \text{for } i > j \quad (\text{using symmetry}) \end{cases}$$

Now, using this and Eq. (27) we get:

$$\begin{aligned} \begin{bmatrix} \alpha_{n-1} \\ \vdots \\ \alpha_1 \end{bmatrix} &= P_1^{-1} \begin{bmatrix} -\frac{1}{2} \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} = \begin{bmatrix} -\frac{1}{2}(P_1^{-1})_{1,1} \\ -\frac{1}{2}(P_1^{-1})_{2,1} \\ \vdots \\ -\frac{1}{2}(P_1^{-1})_{n-1,1} \end{bmatrix} = \begin{bmatrix} -\frac{n-1}{n} \\ -\frac{n-2}{n} \\ \vdots \\ -\frac{1}{n} \end{bmatrix} \\ \Rightarrow \sum_{i=1}^{n-1} \alpha_i \phi_i &= -\sum_{i=1}^{n-1} \frac{i}{n} \phi_i = -\sum_{i=1}^{n-1} \frac{i}{n} (\phi_i^h + \phi_i^t) \\ &= -\sum_{i=2}^{n-1} \frac{i}{n} (\phi_i^h - \phi_{i-1}^h) - \frac{1}{n} \phi_1 \\ &= -\frac{n-1}{n} \phi_{n-1}^h + \frac{1}{n} \sum_{i=1}^{n-2} \phi_{n-2}^h - \frac{1}{n} \phi_1^t \end{aligned}$$

(since  $\phi_i^h = -\phi_{i+1}^t$  for all  $i \in [1, N-1]$  by construction)

Therefore,

$$\left\| \sum_{i=1}^{n-1} \alpha_i \phi_i \right\|^2 = \left( \frac{(n-1)^2}{n^2} + \frac{n-2}{n^2} + \frac{1}{n^2} \right) \|\phi_1^t\|^2 = \frac{n-1}{n} \cdot \frac{1}{2}$$

(Since  $\phi_i^t$ s are mutually orthogonal and

$$\|\phi_{n-1}^h\| = \|\phi_{n-2}^h\| = \cdots = \|\phi_1^h\| = \|\phi_1^t\| = \frac{1}{\sqrt{2}})$$

$$\Rightarrow \left\| \sum_{i=1}^{n-1} \alpha_i \phi_i \right\|^2 \rightarrow \frac{1}{2} \text{ as } n \rightarrow \infty \quad (28)$$

Likewise using symmetrical arguments we can show that:

$$\left\| \sum_{i=n+1}^N \alpha_i \phi_i \right\|^2 = \frac{N-n-1}{N-n} \cdot \frac{1}{2} \rightarrow \frac{1}{2} \text{ as } N-n \rightarrow \infty \quad (29)$$

Therefore combining (28) and (29) we get:

$$\begin{aligned} & \|\mathcal{P}_{S(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\|^2 \\ &= \left\| \sum_{i=1}^{n-1} \alpha_i \phi_i \right\|^2 + \left\| \sum_{i=n+1}^N \alpha_i \phi_i \right\|^2 \rightarrow 1 \text{ for } n, N - n \rightarrow \infty \quad \square \end{aligned}$$

### 573 A.11 Proof of Lemma 3

574 An important consequence of Assumption 2 is that the norm of the projection of every unit vector in  
575 a subspace of a finite partition of spikes onto the remaining subspace of spikes is strictly less than 1.  
576 This condition forms the basis for establishing the convergence of *windowed iterative reconstruction*  
577 to optimal reconstruction in our subsequent windowing theorem 3. We formally state the condition in  
578 Lemma 3 before presenting Windowing theorem 3.

579 **Lemma 3.** Let  $S = \{\phi_i\}_{i=1}^N$  denote the set of spikes generated by our framework, satisfying  
580 Assumption 2, i.e.,  $\forall n \in \{1, \dots, N\}$ ,  $\|\mathcal{P}_{S(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\| \leq \beta$ , where  $\beta \in \mathbb{R}$  is a constant  
581 strictly less than 1. Consider a subset  $V \subseteq S$  of a finite size  $d$ ,  $d < N$ . Then, for every  $v \in S(V)$   
582 with  $\|v\| = 1$ ,  $\exists \beta_d < 1$ , such that  $\|\mathcal{P}_{S(S \setminus V)}(v)\| \leq \beta_d$  where  $\beta_d$  is a real constant that depends on  
583  $\beta$  and  $d$ . Specifically, we can show that  $\beta_d^2 \leq (1 + \frac{1-\beta^2}{d^2\beta^2})^{-1} < 1$ .

584 **Proof:** Let  $S \supseteq V = \{\phi_{v_1}, \dots, \phi_{v_d}\}$  where  $\phi_{v_1}, \dots, \phi_{v_d}$  are  $d$  distinct spikes from  $S$ . Also,  $\forall i \in$   
585  $\{1, \dots, d\}$  let us assume that:  $\phi_{v_i}^\parallel = \mathcal{P}_{S(S \setminus V)}(\phi_{v_i})$  and  $\hat{\phi}_{v_i} = \phi_{v_i} - \phi_{v_i}^\parallel$ , i.e. each  $\phi_{v_i}$  is decomposed  
586 into two components,  $\phi_{v_i}^\parallel$  - the component which is the projection of  $\phi_{v_i}$  in the span of  $S \setminus V$  and  
587  $\hat{\phi}_{v_i}$  - the component of  $\phi_{v_i}$  which is the orthogonal complement of  $\phi_{v_i}^\parallel$ . By assumption we have the  
588 following hold true:

589  $\|\phi_{v_i}^\parallel\| \leq \beta$  and  $\|\hat{\phi}_{v_i}\|^2 \geq (1 - \beta^2)$ .

590 Also for any  $v \in V$ ,  $\|v\| = 1$  let us assume the following:

$$\begin{aligned} v &= \sum_{i=1}^d \alpha_i \phi_{v_i} = \underbrace{\sum_{i=1}^d \alpha_i \phi_{v_i}^\parallel}_Y + \underbrace{\sum_{i=1}^d \alpha_i \hat{\phi}_{v_i}}_Z \\ \text{s.t. } \|v\|^2 &= \|\sum_{i=1}^d \alpha_i \phi_{v_i}^\parallel\|^2 + \|\sum_{i=1}^d \alpha_i \hat{\phi}_{v_i}\|^2 = 1 \end{aligned}$$

591 Here by definition  $Y = \sum_{i=1}^d \alpha_i \phi_{v_i}^\parallel = \sum_{i=1}^d \alpha_i \mathcal{P}_{S(S \setminus V)}(\phi_{v_i}) = \mathcal{P}_{S(S \setminus V)}(v)$ , i.e.  $Y$  is the projection  
592 of  $v$  in the span of  $S \setminus V$  and  $Z = \sum_{i=1}^d \alpha_i \hat{\phi}_{v_i}$  is the orthogonal complement with respect  $S \setminus V$ .  
593 And hence the objective of the Lemma is to establish an upper bound on  $\|Y\|$ . Assume that  
594  $|\alpha_m| = \max(|\alpha_1|, \dots, |\alpha_d|)$ , for some  $m \in \{1, \dots, d\}$ .

$$\begin{aligned} \|Y\|^2 &= \|\sum_{i=1}^d \alpha_i \mathcal{P}_{S(S \setminus V)}(\phi_{v_i})\|^2 \\ &\leq (\sum_{i=1}^d |\alpha_i| \|\mathcal{P}_{S(S \setminus V)}(\phi_{v_i})\|)^2 \quad (\text{Using Triangle inequality}) \\ &\leq \beta^2 (\sum_{i=1}^d |\alpha_i|)^2 \leq d^2 \beta^2 \alpha_m^2 \tag{30} \\ &\quad (\text{Since } \forall n, \|\mathcal{P}_{S(\cup_{i=1}^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\| \leq \beta) \end{aligned}$$

$$\text{Again, } \|Z\|^2 = \|\alpha_m \hat{\phi}_{v_m} + \sum_{i \in \{1, \dots, d\} \setminus \{m\}} \alpha_i \hat{\phi}_{v_i}\|^2 \tag{31}$$

But,  $\hat{\phi}_{v_m}$  can be decomposed into two components:  $\hat{\phi}_{v_m}^\parallel =$   
 $\mathcal{P}_{S(\cup_{i \in \{1, \dots, d\} \setminus \{m\}} \{\hat{\phi}_{v_i}\})}(\hat{\phi}_{v_m})$  and  $\hat{\phi}_{v_m}^\perp = \hat{\phi}_{v_m} - \hat{\phi}_{v_m}^\parallel$ , the ortho-  
gonal complement of  $\hat{\phi}_{v_m}^\parallel$ . But  $\hat{\phi}_{v_m}^\perp$  can be written as :

$$\begin{aligned} \hat{\phi}_{v_m}^\perp &= \hat{\phi}_{v_m} - \hat{\phi}_{v_m}^\parallel = \phi_{v_m} - \phi_{v_m}^\parallel - \hat{\phi}_{v_m}^\parallel \\ &= \phi_{v_m} - \mathcal{P}_{S(S \setminus V)}(\phi_{v_m}) - \mathcal{P}_{S(\cup_{i \in \{1, \dots, d\} \setminus \{m\}} \{\hat{\phi}_{v_i}\})}(\hat{\phi}_{v_m}) \\ &= \phi_{v_m} - \mathcal{P}_{S((S \setminus V) \cup (\cup_{i \in \{1, \dots, d\} \setminus \{m\}} \{\hat{\phi}_{v_i}\}))}(\phi_{v_m}) \\ &\quad (\text{since every } \hat{\phi}_{v_i} \text{ is orthogonal to } S \setminus V) \end{aligned}$$

But  $\mathcal{S}((S \setminus V) \cup (\cup_i \{\hat{\phi}_{v_i}\} \setminus \{\hat{\phi}_{v_m}\})) \subseteq \mathcal{S}(S \setminus \{\phi_{v_m}\})$  since every  $\hat{\phi}_{v_i} = \phi_{v_i} - \mathcal{P}_{\mathcal{S}(S \setminus V)}(\phi_{v_i})$ , except for  $\hat{\phi}_{v_m}$ , can be written as a linear combination of spikes in  $S \setminus \{\phi_{v_m}\}$ . Hence,  $\|\hat{\phi}_{v_m}^\perp\|^2 =$   
595  $1 - \|\mathcal{P}_{\mathcal{S}((S \setminus V) \cup (\cup_i \{\hat{\phi}_{v_i}\} \setminus \{\hat{\phi}_{v_m}\}))}(\phi_{v_m})\|^2 \geq 1 - \|\mathcal{P}_{\mathcal{S}(S \setminus \{\phi_{v_m}\})}(\phi_{v_m})\|^2 \geq 1 - \beta^2$  (by assumption)  
(32)

Combining (31) and (32) we get:

$$\begin{aligned} \|Z\|^2 &= \|\alpha_m \hat{\phi}_{v_m} + \sum_{i \in \{1, \dots, d\} \setminus \{m\}} \alpha_i \hat{\phi}_{v_i}\|^2 \\ &\geq \alpha_m^2 \|\hat{\phi}_{v_m}^\perp\|^2 \geq \alpha_m^2 (1 - \beta^2) \end{aligned} \quad (33)$$

From (30) and (33) we get:  $\frac{\|Y\|^2}{d^2 \beta^2} \leq \alpha_m^2 \leq \frac{\|Z\|^2}{1 - \beta^2}$ . But,

$$\begin{aligned} 1 &= \|Y\|^2 + \|Z\|^2 \leq \|Z\|^2 + d^2 \beta^2 \alpha_m^2 \leq \frac{d^2 \beta^2}{1 - \beta^2} \|Z\|^2 + \\ \|Z\|^2 &\Rightarrow \|Z\|^2 \geq \frac{1 - \beta^2}{1 + (d^2 - 1)\beta^2} \Rightarrow \beta_d^2 = \|\mathcal{P}_{\mathcal{S}(S \setminus V)}(v)\|^2 \\ &= 1 - \|Z\|^2 \leq 1 - \frac{1 - \beta^2}{1 + (d^2 - 1)\beta^2} \Rightarrow \beta_d^2 \leq (1 + \frac{1 - \beta^2}{d^2 \beta^2})^{-1} \end{aligned}$$

Here  $\beta_d$  is a quantity strictly less than 1 when  $\beta < 1$  and  $d$  is a finite positive integer.  $\square$

## 596 A.12 Complete Proof of Windowing Theorem

597 The following section presents a detailed proof of the *Windowing Theorem* as discussed in the main  
598 text.

599 **Theorem 3** (Windowing Theorem). For an input signal  $X$  with bounded  $L_2$  norm, suppose our  
600 framework produces a set of  $n + 1$  successive spikes  $S = \{\phi_1, \dots, \phi_{n+1}\}$ , sorted by their time of  
601 occurrence and satisfying Assumption 2. The error in the iterative reconstruction of  $X$  with respect  
602 to the last spike  $\phi_{n+1}$  due to windowing, as formulated in Eq. 4, is bounded. Specifically,

$$\begin{aligned} \forall \epsilon > 0, \exists w_0 > 0 \text{ s.t. } \|\mathcal{P}_{\phi_{n+1,w}^\perp}(X) - \mathcal{P}_{\phi_{n+1}^\perp}(X)\| &< \epsilon, \\ \forall w \geq w_0 \text{ and } w \leq n & \\ \text{where } w_0 \text{ is independent of } n \text{ for arbitrarily large } n \in \mathbb{N}. & \end{aligned} \quad (34)$$

603 **Import and Proof Idea:** The Theorem implies that the error from windowing can be made arbitrarily  
604 small by choosing a sufficiently large window size  $w$ , independent of  $n$ , when  $n$  is arbitrarily large.  
605 At first glance, one might think that the condition in Equation 34 is trivially satisfied by choosing  
606  $w = n$ , i.e., a window inclusive of all spikes. However, the key aspect of the theorem is that  $w$   
607 should be independent of  $n$  when  $n$  is arbitrarily large. This allows us to use the same window size  
608 regardless of the number of previous spikes, even for large signals producing many spikes, thereby  
609 maintaining the condition number of the overall solution as per Theorem 4. Our proof demonstrates  
610 this by showing that the reconstruction error converges geometrically as a function of the window  
611 size, depending only on the spike rate which in turn depends on the *ahp* parameters in Equation  
612 1, but not on  $n$ . The proof hinges on a central lemma showing that the  $L_2$  norm of the difference  
613 between  $\phi_{n+1,w}^\perp$  and  $\phi_{n+1}^\perp$  decreases steadily as  $w$  increases, based on the assumptions stated in  
614 the theorem. This ensures that  $\phi_{n+1,w}^\perp$  becomes a good approximation of  $\phi_{n+1}^\perp$ . The proof of the  
615 theorem then follows by establishing a bound on  $\|\mathcal{P}_{\phi_{n+1,w}^\perp}(X) - \mathcal{P}_{\phi_{n+1}^\perp}(X)\|$  for a given choice of  
616  $w$  for any bounded input  $X$ . The lemma is provided below:

617  
618 **Lemma 4.** Under the conditions of Theorem 3, for any  $\delta > 0$ , there exists  $w_0 \in \mathbb{N}^+$  such that  
619  $\|\phi_{n+1,w}^\perp - \phi_{n+1}^\perp\| < \delta \forall w \geq w_0, w \leq n$ , where the choice of  $w_0$  is independent of  $n$  for arbitrarily  
620 large  $n \in \mathbb{N}^+$ .

**Proof Idea:** The proof leverages Corollary 0.2, which states that the maximum number of spikes overlapping in time is bounded by a constant  $d \in \mathbb{N}^+$ , dependent on the *ahp* parameters. Using this corollary, we partition the set of all spikes in time into a chain of subsets, where each subset overlaps only with its neighboring subsets and is disjoint from all others. Each subset contains at most  $d$  spikes. The proof then demonstrates that the error in approximating  $\phi_{n+1}^\perp$  due to windowing, i.e.,  $\|\phi_{n+1,w}^\perp - \phi_{n+1}^\perp\|$ , decreases faster than a geometric sequence as more of these partitions are included within the window. This convergence is illustrated schematically in Figure 3.

**Proof of Lemma 4:** Let the set of spikes  $S = \{\phi_1, \dots, \phi_n\}$  be partitioned into a chain of subsets of spikes  $v_1, \dots, v_m$  in descending order of time, defined recursively. The first subset  $v_1$  consists of all previous spikes overlapping with the support of  $\phi_{n+1}$ . Recursively,  $v_{i+1}$  is the set of spikes overlapping with the support of any spike in  $v_i$  for all  $i \leq m$ . This process continues until the first spike  $\phi_1$  is included in the final subset  $v_m$ , where  $m \leq n$ . An example of this partitioning is illustrated in Figure 3. The individual spikes in each partition are indexed as follows:

$$v_i = \{\phi_{p_i}, \dots, \phi_{p_{i-1}-1}\}, \forall i \leq m$$

where  $1 = p_m < \dots < p_1 < p_0 = n + 1$ .

That is, the  $i^{th}$  partition  $v_i$  consists of spikes indexed from  $p_i$  to  $p_{i-1} - 1$ . Since the spikes  $\phi_1, \dots, \phi_n$  are sorted in order of their occurrence time, if both  $\phi_{p_i}$  and  $\phi_{p_{i-1}-1}$  are in partition  $v_i$ , then by construction,  $\phi_j \in v_i$  for all  $p_i \leq j \leq p_{i-1} - 1$ .

**Claim 4.1.** *The number of spikes in every partition,  $v_i \forall i \in \{1, \dots, m\}$ , is bounded by some constant  $d \in \mathbb{N}^+$ .*

**Proof:** This corollary follows from the observation that all spikes in a given partition  $v_{i+1}$  overlap in time with at least one spike from the preceding partition  $v_i$ , specifically the spike whose support extends furthest into the past, for all  $i \in \{2, \dots, m\}$  (see fig 3). In the case of the partition  $v_1$ , each spike overlaps with  $\phi_{n+1}$ . Then the proof follows from the corollary 0.2.  $\square$

Now, let  $V_1, \dots, V_m$  be subspaces spanned by the subsets of spikes  $v_1, \dots, v_m$  respectively. Before proceeding with the rest of the proof, we introduce the following notations.

$$\tilde{\phi}_i = \phi_i - \mathcal{P}_{\mathcal{S}(\bigcup_{j=i+1}^n \{\phi_j\})}(\phi_i) \quad \forall i \in \{1, \dots, n\}$$

where  $\tilde{\phi}_i$  denotes the orthogonal complement of the spike  $\phi_i$  with respect to all the future spikes up to  $\phi_n$ . We also denote,

$$\tilde{V}_k = \mathcal{S}(\bigcup_{j=p_k}^{p_{k-1}-1} \{\tilde{\phi}_j\}) \quad \forall k \in \{1, \dots, m\}$$

where  $\tilde{V}_k$  is the subspace spanned by spikes in the partition  $v_k$ , with each spike orthogonalized with respect to all future spikes.

**Claim 4.2.** *For the subspaces defined on the partitions as above, the following holds:*

$$\tilde{V}_k = \{x - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(x) | x \in V_k\} = \mathcal{S}(\bigcup_{j=p_k}^{p_{k-1}-1} \{\tilde{\phi}_j\}).$$

**Proof:** Denote  $A = \{x - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(x) | x \in V_k\}$  and  $B = \mathcal{S}(\bigcup_{j=p_k}^{p_{k-1}-1} \{\tilde{\phi}_j\})$ . We need to show that

$A = B$ . Before showing that, we rewrite  $\tilde{\phi}_i$  for  $p_k \leq i \leq p_{k-1} - 1$  as follows:

$$\begin{aligned} \tilde{\phi}_i &= \phi_i - \mathcal{P}_{\mathcal{S}(\bigcup_{j=i+1}^n \{\phi_j\})}(\phi_i) \quad (p_k \leq i \leq p_{k-1} - 1) \\ &= \phi_i - \mathcal{P}_{\mathcal{S}((\bigcup_{j=p_k-1}^n \{\phi_j\}) \cup (\bigcup_{j=i+1}^{p_{k-1}-1} \{\tilde{\phi}_j\}))}(\phi_i) \\ &= \phi_i - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(\phi_i) - \sum_{j=i+1}^{p_{k-1}-1} \mathcal{P}_{\tilde{\phi}_j}(\phi_i) \end{aligned} \tag{35}$$

(As  $\sum_{j=1}^{k-1} V_j$  and all  $\tilde{\phi}_i$ 's are mutually orthogonal)

for  $p_k \leq i \leq p_{k-1} - 1$ )

654 Using the formulation in 35, we will show  $A = B$ . First, we show that  $A \subseteq B$ . Let there be any  
 655  $y \in A$ . Then by assumption, we can write  $y$  as follows:

$$\begin{aligned}
 y &= x - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(x), \text{ for some } x = \sum_{i=p_k}^{p_{k-1}-1} \alpha_i \phi_i, \quad \alpha_i \in \mathbb{R} \\
 \Rightarrow y &= \sum_{i=p_k}^{p_{k-1}-1} \alpha_i \phi_i - \mathcal{P}_{\sum_{j=1}^{k-1} V_j} \left( \sum_{i=p_k}^{p_{k-1}-1} \alpha_i \phi_i \right) \\
 &= \sum_{i=p_k}^{p_{k-1}-1} \alpha_i (\phi_i - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(\phi_i)) \quad (\text{by linearity of projection}) \\
 \Rightarrow y &= \sum_{i=p_k}^{p_{k-1}-1} \alpha_i (\tilde{\phi}_i + \sum_{j=i+1}^{p_{k-1}-1} \mathcal{P}_{\tilde{\phi}_j}(\phi_i)) \quad (\text{using (35)})
 \end{aligned} \tag{36}$$

657 Equation (36) shows that  $y$  is written as a linear combination of  $\tilde{\phi}_i$  for  $p_k \leq i \leq p_{k-1} - 1$ , and hence  
 658  $y \in B$ . This proves that  $A \subseteq B$ .

659 To prove  $B \subseteq A$ , we observe that any  $z \in B$  can be written as a linear combination of  
 660  $\tilde{\phi}_i$  for  $p_k \leq i \leq p_{k-1} - 1$ . So it suffices to show that  $\tilde{\phi}_i \in A$  for  $p_k \leq i \leq p_{k-1} - 1$ . We  
 661 do that via induction on the set  $\{\tilde{\phi}_{p_{k-1}-1}, \dots, \tilde{\phi}_{p_k}\}$ , starting with  $\tilde{\phi}_{p_{k-1}-1}$  as the base case. The  
 662 base case is trivially true, i.e.,  $\tilde{\phi}_{p_{k-1}-1} \in A$ , because by equation 35, we immediately obtain  
 663  $\tilde{\phi}_{p_{k-1}-1} = \phi_{p_{k-1}-1} - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(\phi_{p_{k-1}-1})$ .

664 For the induction step, assume that  $\tilde{\phi}_i \in A$  for all  $i$  such that  $i \in [n, p_{k-1} - 1]$  for some  $n \in$   
 665  $\mathbb{N}^+$  and  $p_k < n \leq p_{k-1} - 1$ . We need to show that  $\tilde{\phi}_{n-1} \in A$ . For that, we again use equa-  
 666 tion (35) to observe the following:

$$\tilde{\phi}_{n-1} = (\phi_{n-1} - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(\phi_{n-1})) - \sum_{j=n}^{p_{k-1}-1} \mathcal{P}_{\tilde{\phi}_j}(\phi_{n-1}) \tag{37}$$

667 Analyzing the expression for  $\tilde{\phi}_{n-1}$  on the right-hand side of equation (37), we observe that the  
 668 expression  $(\phi_{n-1} - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(\phi_{n-1})) \in A$  by construction, and the expression  $\sum_{j=n}^{p_{k-1}-1} \mathcal{P}_{\tilde{\phi}_j}(\phi_{n-1})$ ,  
 669 a linear combination of  $\tilde{\phi}_i$ ,  $n \leq i \leq p_{k-1} - 1$ , is also in  $A$  because by induction hypothesis, each  
 670  $\tilde{\phi}_i$ , for  $n \leq i \leq p_{k-1} - 1$  is in  $A$ . Thus, we obtain  $\tilde{\phi}_{n-1} \in A$ . This proves that  $B \subseteq A$ . Therefore,  
 671  $A = B$ .  $\square$

672 Following the claim, we define the subspace  $U_k$  as below:

$$U_k = \sum_{i=k}^m \tilde{V}_i = \mathcal{S} \left( \bigcup_{j=1}^{p_{k-1}-1} \{\tilde{\phi}_j\} \right) \tag{38}$$

$$= \{x - \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(x) | x \in \sum_{i=k}^m V_i\} \tag{39}$$

where the equality between 38 and 39 follows from the Claim 4.2. Lastly, define  $\phi_{n+1, v_k}^\perp$  as:

$$\phi_{n+1, v_k}^\perp = \phi_{n+1} - \mathcal{P}_{\sum_{i=1}^k V_i}(\phi_{n+1})$$

673 i.e.  $\phi_{n+1, v_k}^\perp$  is the orthogonal complement of  $\phi_{n+1}$  with respect to window of spikes up to partition  
 674  $v_k$ . Now we proceed to quantify the norm of the difference of  $\phi_{n+1}^\perp$  and  $\phi_{n+1, v_k}^\perp$ . For that denote  $e_k$   
 675 as follows:

$$\begin{aligned}
 e_k &= \|\phi_{n+1, v_k}^\perp - \phi_{n+1}^\perp\| \\
 &= \|\mathcal{P}_{\sum_{i=1}^k V_i}(\phi_{n+1}) - \mathcal{P}_{\sum_{i=1}^m V_i}(\phi_{n+1})\|
 \end{aligned}$$

$$\begin{aligned}
&= \|\mathcal{P}_{\sum_{i=1}^k V_i}(\phi_{n+1}) - \mathcal{P}_{\sum_{i=1}^k V_i + U_{k+1}}(\phi_{n+1})\| \text{ (by def. of } U_k) \\
&= \|\mathcal{P}_{\sum_{i=1}^k V_i}(\phi_{n+1}) - (\mathcal{P}_{\sum_{i=1}^k V_i}(\phi_{n+1}) + \mathcal{P}_{U_{k+1}}(\phi_{n+1}))\| \\
&\quad \text{(Since by construction } U_{k+1} \perp \sum_{i=1}^k V_i) \\
&\Rightarrow e_k = \|\mathcal{P}_{U_{k+1}}(\phi_{n+1})\|
\end{aligned} \tag{40}$$

Note that by definition  $e_m = 0$  and by Assumption 2 we get,

$$e_k = \|\mathcal{P}_{U_{k+1}}(\phi_{n+1})\| \leq |\beta| < 1, \forall k \in \{1, \dots, m\} \tag{41}$$

Now Assume that:

$$\mathcal{P}_{U_{k+1}}(\phi_{n+1}) = \alpha_k \tilde{\psi}_k, \text{ where } \alpha_k \in \mathcal{R}, \tilde{\psi}_k \in U_{k+1}$$

Then it follows from the definition of  $U_{k+1}$  and Claim 4.2

that  $\tilde{\psi}_k$  is of the form:

$$\tilde{\psi}_k = \psi_k - \mathcal{P}_{\sum_{j=1}^k V_j}(\psi_k) \text{ for some } \psi_k \in \sum_{i=k+1}^m V_i$$

W.L.O.G. assume  $\|\psi_k\| = 1$  by appropriately choosing  $\alpha_k$ .

Since  $\alpha_k \tilde{\psi}_k$  is a projection of  $\phi_{n+1}$  we obtain:

$$\alpha_k = \frac{\langle \phi_{n+1}, \tilde{\psi}_k \rangle}{\|\tilde{\psi}_k\|^2} \tag{42}$$

For  $k > 0$  from 42 and 40 we obtain,

$$\begin{aligned}
e_k &= \|\alpha_k \tilde{\psi}_k\| = |\alpha_k| \|\tilde{\psi}_k\| = \frac{|\langle \phi_{n+1}, \tilde{\psi}_k \rangle|}{\|\tilde{\psi}_k\|} \\
&= \frac{|\langle \phi_{n+1}, \psi_k - \mathcal{P}_{\sum_{j=1}^k V_j}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} \\
&= \frac{|\langle \phi_{n+1}, \mathcal{P}_{\sum_{j=1}^k V_j}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} = \frac{|\langle \phi_{n+1}, \mathcal{P}_{\sum_{j=1}^{k-1} V_j + \tilde{V}_k}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} \\
&\text{(for } k > 0, \psi_k \in \sum_{i=k+1}^m V_i \perp \phi_{n+1} \text{ due to disjoint support)} \\
&= \frac{|\langle \phi_{n+1}, \mathcal{P}_{\sum_{j=1}^{k-1} V_j}(\psi_k) + \mathcal{P}_{\tilde{V}_k}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} \text{ (by def. } \tilde{V}_k \perp \sum_{j=1}^{k-1} V_j) \\
&\Rightarrow e_k = \frac{|\langle \phi_{n+1}, \mathcal{P}_{\tilde{V}_k}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} \text{ (} k > 0, \psi_k \in \sum_{i=k+1}^m V_i \perp \sum_{j=1}^{k-1} V_j)
\end{aligned} \tag{43}$$

Likewise,  $e_{k-1} = \|\mathcal{P}_{U_k}(\phi_{n+1})\| = \|\mathcal{P}_{U_{k+1} + \tilde{V}_k}(\phi_{n+1})\|$

$$\begin{aligned}
&\Rightarrow e_{k-1}^2 = \|\mathcal{P}_{U_{k+1}}(\phi_{n+1})\|^2 + \|\mathcal{P}_{\tilde{V}_k}(\phi_{n+1})\|^2 \\
&\quad \text{(Since by construction } U_{k+1} \perp \tilde{V}_k) \\
&\Rightarrow e_{k-1}^2 = e_k^2 + \|\mathcal{P}_{\tilde{V}_k}(\phi_{n+1})\|^2
\end{aligned} \tag{44}$$

Assume that  $\mathcal{P}_{\tilde{V}_k}(\phi_{n+1}) = \beta_k \tilde{\theta}_k$ , where  $\tilde{\theta}_k \in \tilde{V}_k, \beta_k \in \mathcal{R}$

$$\Rightarrow \|\mathcal{P}_{\tilde{V}_k}(\phi_{n+1})\| = \|\beta_k \tilde{\theta}_k\| = \frac{|\langle \phi_{n+1}, \tilde{\theta}_k \rangle|}{\|\tilde{\theta}_k\|} \tag{45}$$

$$\text{From 44 \& 45 we get, } e_{k-1}^2 = e_k^2 + \frac{|\langle \phi_{n+1}, \tilde{\theta}_k \rangle|^2}{\|\tilde{\theta}_k\|^2} \tag{46}$$

Again, for  $k > 0$  we further analyze  $e_k$  from 43 to obtain:



$$\begin{aligned}
e_k &= \frac{|\langle \phi_{n+1}, \mathcal{P}_{\tilde{V}_k}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} \\
&= \frac{|\langle \phi_{n+1}, \mathcal{P}_{\mathcal{S}(\{\tilde{\theta}_k\})}(\psi_k) + \mathcal{P}_{\tilde{V}_k/\mathcal{S}(\{\tilde{\theta}_k\})}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|}
\end{aligned}$$

The last line above is essentially written by breaking  $\psi_k$  into two mutually orthogonal subspaces:  $\mathcal{S}(\{\tilde{\theta}_k\})$ , subspace of  $\tilde{V}_k$  spanned by  $\tilde{\theta}_k$ , and  $\tilde{V}_k/\mathcal{S}(\{\tilde{\theta}_k\})$ , the subspace of  $\tilde{V}_k$  orthogonal to the subspace  $\mathcal{S}(\{\tilde{\theta}_k\})$ . Also, observe that  $\phi_{n+1} \perp \tilde{V}_k/\mathcal{S}(\{\tilde{\theta}_k\})$  since  $\mathcal{P}_{\tilde{V}_k}(\phi_{n+1}) = \beta_k \tilde{\theta}_k$ . Therefore,

$$\begin{aligned}
e_k &= \frac{|\langle \phi_{n+1}, \mathcal{P}_{\mathcal{S}(\{\tilde{\theta}_k\})}(\psi_k) \rangle|}{\|\tilde{\psi}_k\|} = \frac{|\langle \phi_{n+1}, \frac{\langle \psi_k, \tilde{\theta}_k \rangle \tilde{\theta}_k}{\|\tilde{\theta}_k\|^2} \rangle|}{\|\tilde{\psi}_k\|} \\
\Rightarrow e_k &= \frac{|\langle \phi_{n+1}, \tilde{\theta}_k \rangle|}{\|\tilde{\theta}_k\|} \frac{|\langle \psi_k, \frac{\tilde{\theta}_k}{\|\tilde{\theta}_k\|} \rangle|}{\|\tilde{\psi}_k\|} \tag{47}
\end{aligned}$$

Combining 46 and 47 we obtain:

$$e_{k-1}^2 = e_k^2 + e_k^2 \frac{\|\tilde{\psi}_k\|^2}{|\langle \psi_k, \frac{\tilde{\theta}_k}{\|\tilde{\theta}_k\|} \rangle|^2} \tag{48}$$

Since both  $\psi_k$  and  $\frac{\tilde{\theta}_k}{\|\tilde{\theta}_k\|}$  are unit norm  $|\langle \psi_k, \frac{\tilde{\theta}_k}{\|\tilde{\theta}_k\|} \rangle| \leq 1$ .

$$\Rightarrow e_{k-1}^2 \geq e_k^2 (1 + \|\tilde{\psi}_k\|^2) \tag{49}$$

Eq. 49 demonstrates that the sequence  $\{e_k\}$  converges geometrically to 0 when  $(1 + \|\tilde{\psi}_k\|^2) > 1$ , i.e.  $\|\tilde{\psi}_k\| > 0$ . To complete the proof of Lemma 4, we need to establish a positive lower bound on  $\|\tilde{\psi}_k\|$ , ensuring the convergence of the sequence  $e_k$ . The following Corollary provides the necessary lower bound on  $\|\tilde{\psi}_k\|$ .

**Claim 4.3.** *Following Claim 4.1, if the number of spikes in each partition  $v_i$  is bounded by  $d$ , then  $\|\tilde{\psi}_k\|^2 \geq 1 - \beta_d^2$ , where  $\beta_d > 0$  is as defined in Lemma 3.*

**Proof:**  $\tilde{\psi}_k = \psi_k - \mathcal{P}_{\tilde{V}_k}(\psi_k), \|\psi_k\| = 1$ .

$$\begin{aligned}
\text{Let, } \mathcal{P}_{\tilde{V}_k}(\psi_k) &= \beta_k \tilde{\eta}_k \text{ for some } \tilde{\eta}_k \in \tilde{V}_k, \|\tilde{\eta}_k\| = 1 \\
\Rightarrow \beta_k &= \langle \psi_k, \tilde{\eta}_k \rangle \\
\Rightarrow \mathcal{P}_{\psi_k}(\tilde{\eta}_k) &= \langle \psi_k, \tilde{\eta}_k \rangle \psi_k, \text{ (Since, } \|\psi_k\| = 1 \text{)} \tag{50}
\end{aligned}$$

But  $\tilde{\eta}_k \in \tilde{V}_k \implies \tilde{\eta}_k = \eta_k - \mathcal{P}_{\sum_{i=1}^{k-1} V_i}(\eta_k)$

for some  $\eta_k \in V_k$ . Also,

$$\|\eta_k\|^2 = 1 + \|\mathcal{P}_{\sum_{i=1}^{k-1} V_i}(\eta_k)\|^2 \tag{51}$$

We observe,  $\mathcal{P}_{\psi_k}(\tilde{\eta}_k) = \mathcal{P}_{\psi_k}(\eta_k - \mathcal{P}_{\sum_{i=1}^{k-1} V_i}(\eta_k))$

$$= \mathcal{P}_{\psi_k}(\eta_k) \quad \left( \text{Since } \psi_k \perp \sum_{i=1}^{k-1} V_i \right) \tag{52}$$

Now, consider the vector  $\frac{\eta_k}{\|\eta_k\|} \in V_k$ . Here  $\frac{\eta_k}{\|\eta_k\|}$  is an unit vector in  $V_k$ , the span of a finite partition of spikes  $v_k$ , the size of which is bounded from above by some constant  $d$  according to Claim 4.1. Therefore, based on the assumption stated in the theorem 3, we can infer that the norm of any projection of  $\frac{\eta_k}{\|\eta_k\|}$  on any subspace spanned by a set of spikes other than those in  $v_k$ , would be bounded

687 from above by some constant  $\beta_d > 1$ . Hence, we can write the following.

$$\begin{aligned}
& \|\mathcal{P}_{S(\{\psi_k\}) + \sum_{i=1}^{k-1} V_i}(\frac{\eta_k}{\|\eta_k\|})\|^2 \leq \beta_d^2 \quad (|\beta_d| < 1) \\
& \Rightarrow \frac{\|\mathcal{P}_{\psi_k}(\eta_k)\|^2 + \|\mathcal{P}_{\sum_{i=1}^{k-1} V_i}(\eta_k)\|^2}{\|\eta_k\|^2} \leq \beta_d^2 \\
& \Rightarrow \frac{\|\mathcal{P}_{\psi_k}(\eta_k)\|^2 + \|\mathcal{P}_{\sum_{i=1}^{k-1} V_i}(\eta_k)\|^2}{1 + \|\mathcal{P}_{\sum_{i=1}^{k-1} V_i}(\eta_k)\|^2} \leq \beta_d^2 \quad (\text{using 51}) \\
& \Rightarrow \|\mathcal{P}_{\psi_k}(\eta_k)\|^2 \leq \beta_d^2(1 + \|\mathcal{P}_{\psi_k}(\eta_k)\|^2) - \|\mathcal{P}_{\psi_k}(\eta_k)\|^2 \\
& \Rightarrow \|\mathcal{P}_{\psi_k}(\eta_k)\|^2 \leq \beta_d^2 - (1 - \beta_d^2)\|\mathcal{P}_{\psi_k}(\eta_k)\|^2 \\
& \Rightarrow \|\mathcal{P}_{\psi_k}(\eta_k)\|^2 \leq \beta_d^2 \quad (\text{Since } |\beta_d| < 1) \\
& \Rightarrow \|\mathcal{P}_{\psi_k}(\tilde{\eta}_k)\|^2 = \|\mathcal{P}_{\psi_k}(\eta_k)\|^2 \leq \beta_d^2 \\
& \Rightarrow \|\mathcal{P}_{\tilde{\eta}_k}(\psi_k)\| = \|\mathcal{P}_{\psi_k}(\tilde{\eta}_k)\| \leq \beta_d^2 \\
& (\text{Since both } \tilde{\eta}_k \text{ and } \psi_k \text{ are unit vectors}) \\
& \text{Therefore, } \|\tilde{\psi}_k\|^2 = \|\psi_k - \mathcal{P}_{\tilde{\eta}_k}(\psi_k)\|^2 = 1 - \|\mathcal{P}_{\tilde{\eta}_k}(\psi_k)\|^2 \\
& \quad (\text{Since by assumption } \mathcal{P}_{\tilde{\eta}_k}(\psi_k) = \beta_k \tilde{\eta}_k \text{ and } \|\psi_k\| = 1) \\
& \Rightarrow \|\tilde{\psi}_k\|^2 \geq 1 - \beta_d^2 \quad (|\beta_d| < 1) \quad \square
\end{aligned}$$

688 Finally, combining 49 with Claim 4.3, we obtain:

$$e_{k-1}^2 \geq e_k^2(1 + (1 - \beta_d^2)) \Rightarrow e_k^2 \leq \frac{e_{k-1}^2}{\gamma^2} \quad (53)$$

where  $\gamma^2 = (1 + (1 - \beta_d^2))$  is a constant strictly greater than 1. Since  $e_m = 0$ , Eq. 53 shows that the sequence  $\{e_k\}_{k=1}^m$  converges to 0 faster than geometrically. Thus,  $\forall \delta > 0, \exists k_0 \in \mathbb{N}^+$  such that  $e_k < \delta$ , for all  $k \geq k_0$  and  $m \geq k$ . Given the geometric drop in Eq. 53 and the bound  $e_1 < 1$  (Eq. 41), for arbitrarily large  $m$  (hence  $n$ ) the choice of  $k_0$  is independent of  $m$  and depends only on  $\beta_d$ , which is determined by the *ahp* parameters in Eq. 1). Since the number of spikes in each partition is bounded by  $d$  (Claim 4.1), choosing a window size  $w_0 = k_0 * d$  ensures  $\forall \delta > 0, \exists w_0 \in \mathbb{N}^+$  such that  $\|\phi_{n+1,w}^\perp - \phi_{n+1}^\perp\| < \delta$  for all  $w \geq w_0$  and  $w \leq n$ . For arbitrarily large  $n$ , the choice of  $w_0$  is independent of  $n$ .  $\square$

**Proof of Theorem 3:** Having established a bound on the norm of the difference between  $\phi_{n+1}^\perp$  and  $\phi_{n+1,w}^\perp$ , we now need to bound the norm of the difference between the projections of the input signal  $X$  with respect to these two vectors. Specifically, we seek to bound  $\|\mathcal{P}_{\phi_{n+1,w}^\perp}(X) - \mathcal{P}_{\phi_{n+1}^\perp}(X)\|$  based on the window size. We use the following notations:

$$\begin{aligned}
\tilde{X} &= \mathcal{P}_{S(\{\phi_{n+1,w}^\perp, \phi_{n+1}^\perp\})}(X) \\
X_u &= \mathcal{P}_{\phi_{n+1}^\perp}(X) \\
X_v &= \mathcal{P}_{\phi_{n+1,w}^\perp}(X)
\end{aligned}$$

689 So that  $\tilde{X}$ ,  $X_u$  and  $X_v$  lie in the same plane (see Fig. 4). Assume the angle between  $\tilde{X}$  and  $\phi_{n+1}^\perp$  is  $a$ , and the angle between  $\tilde{X}$  and  $\phi_{n+1,w}^\perp$  is  $b$ . Hence, the angle between  $\phi_{n+1,w}^\perp$  and  $\phi_{n+1}^\perp$  is  $a - b$  (see Fig. 4). Note that the input  $X$  may not necessarily lie in the same plane as  $X_u$  and  $X_v$ . Hence, 690  
691  
692 for our calculation, we consider the projection  $\tilde{X}$  of  $X$  onto this plane. We also denote:

$$\begin{aligned}
p_w &= \phi_{n+1,w}^\perp - \phi_{n+1}^\perp \\
&= (\phi_{n+1} - \mathcal{P}_{S(\{\phi_{n-w+1}, \dots, \phi_n\})}(\phi_{n+1})) \\
&\quad - (\phi_{n+1} - \mathcal{P}_{S(\{\phi_1, \dots, \phi_n\})}(\phi_{n+1}))
\end{aligned} \quad (54)$$

(by definition) and thus:

$$p_w = \mathcal{P}_{S(\{\phi_{n-w+1}, \dots, \phi_n\})}(\phi_{n+1})$$

$$\begin{aligned}
& - \mathcal{P}_{\mathcal{S}(\{\tilde{\phi}_1, \dots, \tilde{\phi}_w\} \cup \{\phi_{n-w+1}, \dots, \phi_n\})}(\phi_{n+1}) \\
& = \mathcal{P}_{\mathcal{S}(\{\tilde{\phi}_1, \dots, \tilde{\phi}_w\})}(\phi_{n+1}) \\
& \quad (\text{Since } \mathcal{S}(\{\tilde{\phi}_1, \dots, \tilde{\phi}_w\}) \perp \mathcal{S}(\{\phi_{n-w+1}, \dots, \phi_n\})) \\
& = \mathcal{P}_{\mathcal{S}(\{\tilde{\phi}_1, \dots, \tilde{\phi}_w\})}(\phi_{n+1} - \mathcal{P}_{\mathcal{S}(\{\phi_{n-w+1}, \dots, \phi_n\})}(\phi_{n+1})) \\
& = \mathcal{P}_{\mathcal{S}(\{\tilde{\phi}_1, \dots, \tilde{\phi}_w\})}(\phi_{n+1,w}^\perp) \\
& \Rightarrow p_w = \phi_{n+1,w}^\perp - \phi_{n+1}^\perp = \mathcal{P}_{\mathcal{S}(\{\tilde{\phi}_1, \dots, \tilde{\phi}_w\})}(\phi_{n+1,w}^\perp) \\
& \Rightarrow p_w \perp \phi_{n+1}^\perp \\
& (\text{Since } \mathcal{P}_S(x) \perp (x - \mathcal{P}_S(x)) \text{ for any } x \in \mathcal{H}, S \subseteq \mathcal{H}) \\
& \text{Therefore, from Figure 4, we observe:} \\
& \sin^2(a - b) = \frac{\|p_w\|^2}{\|\phi_{n+1,w}^\perp\|^2} \tag{55}
\end{aligned}$$

693 Now, we can quantify the norm of the difference in two the projections of  $X$  with respect to  $\phi_{n+1}^\perp$   
694 and  $\phi_{n+1,w}^\perp$  as follows:

$$\begin{aligned}
& \|\mathcal{P}_{\phi_{n+1,w}^\perp}(X) - \mathcal{P}_{\phi_{n+1}^\perp}(X)\| = \|X_u - X_v\|^2 \\
& = \|X_u\|^2 + \|X_v\|^2 - 2\|X_u\|\|X_v\|\cos(a - b) \\
& = \|\tilde{X}\|^2[\cos^2 a + \cos^2 b - 2\cos a \cos b \cos(a - b)] \\
& \quad (\text{using the geometry of Figure 4}) \\
& = \|\tilde{X}\|^2\left[\frac{1 + \cos 2a}{2} + \frac{1 + \cos 2b}{2} - (\cos(a - b) + \cos(a + b))\cos(a - b)\right] \\
& = \|\tilde{X}\|^2\left[\frac{1}{2} - \frac{\cos 2(a - b)}{2}\right] = \|\tilde{X}\|^2 \sin^2(a - b) \\
& = \|\tilde{X}\|^2 \frac{\|p_w\|^2}{\|\phi_{n+1,w}^\perp\|^2} \quad (\text{from Eq. (55)}) \\
& \leq \frac{\|X\|^2}{1 - \beta^2} \|\phi_{n+1,w}^\perp - \phi_{n+1}^\perp\|^2 \\
& (\text{Since } \|\tilde{X}\| \leq \|X\| \text{ and } \|\phi_{n+1,w}^\perp\| \geq \|\phi_{n+1}^\perp\| \geq (1 - \beta^2) \\
& \text{by assumption})
\end{aligned}$$

695 Finally, since the input signal has a bounded  $L_2$  norm (i.e.  $\|X\|$  is bounded), setting  $\delta = \epsilon \frac{\sqrt{1 - \beta^2}}{\|X\|}$   
696 in Lemma 4 gives a window size  $w_0$  such that  $\|\mathcal{P}_{\phi_{n+1,w}^\perp}(X) - \mathcal{P}_{\phi_{n+1}^\perp}(X)\| \leq \frac{\|X\|}{\sqrt{1 - \beta^2}} \|\phi_{n+1,w}^\perp - \phi_{n+1}^\perp\|$   
697  $\|\phi_{n+1}^\perp\| < \epsilon, \forall \epsilon > 0, \forall w \geq w_0$  and  $w \leq n$ . The choice of  $w_0$  is independent of  $n$  for arbitrarily large  
698  $n \in \mathbb{N}$ . This completes the proof of the theorem.  $\square$

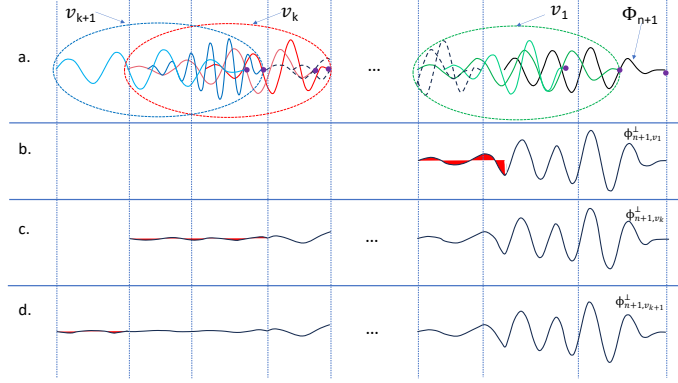


Figure 3: Illustration of Lemma 4. The diagram shows the convergence of the windowed orthogonal complement  $\phi_{n+1,w}^\perp$  of spike  $\phi_{n+1}$  to  $\phi_{n+1}^\perp$  by orthogonalizing  $\phi_{n+1}$  across the partitions of spikes. (a) Displays all spikes up to  $\phi_{n+1}$  (black), with partitions circled:  $v_1$  (green),  $v_k$  (red), and  $v_{k+1}$  (blue). Spikes contained in each partition are shaded accordingly, with the time of each spike marked by a purple dot. (b), (c), and (d) show orthogonal complements  $\phi_{n+1,v_1}^\perp$ ,  $\phi_{n+1,v_k}^\perp$ , and  $\phi_{n+1,v_{k+1}}^\perp$  respectively. The support of  $\phi_{n+1,w}^\perp$  extends as more partitions are included, with the extending tail for each additional partition highlighted in red. This tail's diminishing energy as more partitions are added illustrates Lemma 4.

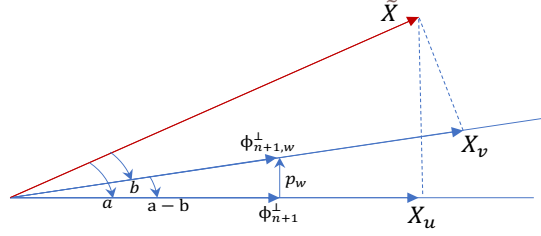


Figure 4: Figure illustrating the vector projections of the input signal  $X$  onto vectors  $\phi_{n+1}^\perp$  and  $\phi_{n+1,w}^\perp$ . The red vector represents  $\tilde{X}$ , the projection of  $X$  within the plane formed by  $\phi_{n+1}^\perp$  and  $\phi_{n+1,w}^\perp$ . The vectors  $\phi_{n+1}^\perp$  and  $\phi_{n+1,w}^\perp$ , as well as the projections  $X_u$  and  $X_v$  of  $\tilde{X}$  onto them, are indicated in blue. The vector  $p_w$ , representing the difference between  $\phi_{n+1,w}^\perp$  and  $\phi_{n+1}^\perp$ , is also shown in blue. The angles  $a$  between  $\tilde{X}$  and  $X_u$ ,  $b$  between  $\tilde{X}$  and  $X_v$ , and  $a - b$  between  $\phi_{n+1,w}^\perp$  and  $\phi_{n+1}^\perp$  are marked.

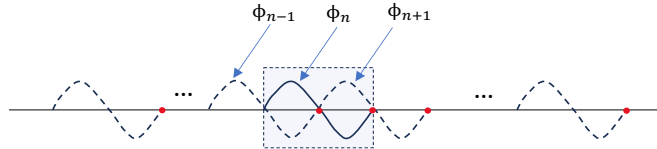


Figure 5: The scenario illustrating the need for Assumption 2. See text for details. For derivation of  $\|\mathcal{P}_{\mathcal{S}(\cup_1^N \{\phi_i\} \setminus \{\phi_n\})}(\phi_n)\| \rightarrow 1$  see appendix A.10.