Instruct-SCTG: Guiding Sequential Controlled Text Generation through **Instructions**

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Abstract

 Instruction-tuned large language models have shown remarkable performance in aligning gen- erated text with user intentions across various tasks. However, maintaining human-like dis- course structure in the generated text remains a challenging research question. In this pa- per, we propose Instruct-SCTG, a flexible and effective sequential framework that harnesses instruction-tuned language models to generate structurally coherent text in both fine-tuned and zero-shot setups. Our framework generates ar- ticles in a section-by-section manner, aligned with the desired human structure using natural language instructions. Furthermore, we intro- duce a new automatic metric that measures dis- course divergence in a fuzzy manner. Extensive experiments on three datasets from representa- tive domains of news and recipes demonstrate 019 the state-of-the-art performance of our frame- work in imposing discourse structure during text generation, as verified by both automatic and human evaluation. Our code will be avail-able on Github.

⁰²⁴ 1 Introduction

 The recent progress in Language Models (LMs) have attracted widespread attention from both academia and industry. These models, pow- ered by massive corpora and advanced hardware, have demonstrated improving performance across various NLP benchmarks, ranging from genera- tive tasks, such as Machine Translation or Data- to-Text generation, to understanding tasks, e.g. GLUE [\(Wang et al.,](#page-9-0) [2018\)](#page-9-0). In particular, Large Language Models (LLMs) designed for instruction-**b** [f](#page-8-0)ollowing, such as ChatGPT^{[1](#page-0-0)} and Flan-T5 [\(Chung](#page-8-0) [et al.,](#page-8-0) [2022\)](#page-8-0), exhibit impressive capabilities in com- prehending instructions expressed in natural lan- guage and precisely aligning the model outputs with human intentions.

Generating high-quality text is essential for var- **040** ious Natural Language Generation (NLG) tasks. **041** However, certain tasks, such as news report genera- **042** tion, require more than just textual fluency. Effec- **043** tively organizing the underlying discourse structure **044** of an article can help readers quickly grasp key in- **045** formation, enhancing engagement and readability. **046** For example, an experienced journalist can coher- **047** ently structure the core event, background, conse- **048** quence, critics' evaluations and other elements of a **049** news report. As shown in Fig. [1,](#page-1-0) a well-structured **050** report can efficiently deliver event information, cap- **051** ture readers' attention and even convey opinions. **052** The task of text generation with specific discourse **053** structure constraints has long been a research focus **054** in the field covering various domains, including **055** stories, news, recipes and question answering. We **056** address this challenge as the task of Sequential **057** Controlled Text Generation (SCTG), previously **058** formulated by [Spangher et al.](#page-9-1) [\(2022\)](#page-9-1). In SCTG, **059** the goal is to generate coherent text following an **060** input prompt and a sequence of control code. **061**

In this paper, we propose Instruct-SCTG, a **062** simple yet effective framework that harnesses **063** instruction-following LMs to generate structurally **064** coherent text. Specifically, our framework breaks **065** down the generation task into a sequence of sub- **066** tasks and guides the Supervised Fine-tuned (SFT) **067** LMs sequentially to produce content section by **068** section through natural language instructions. This **069** approach effectively aligns the resulting articles **070** with the given discourse structures, enhancing the $\frac{071}{200}$ overall coherence and readability of the generated **072** text. We also investigate crucial factors to con- **073** sider during the SFT stage, such as different levels **074** of discourse information exposure. Furthermore, **075** to evaluate the adherence of generated articles to **076** the input control codes, we introduce a novel auto- **077** matic metric that measures discourse divergence in **078** a fuzzy positional manner. **079**

We conducted extensive experiments using three 080

¹ https://openai.com/blog/chatgpt

"Britain's Vision for 2100: Spaceports and Sky Farms Propel the Nation's Innovation"

<Main Event>, <Consequence>, <Future Consequences>, <Current Context>, <Journalist Evaluation>, <Historical Event>, <Previous Event>, <Anecdotal Event>

Figure 1: Comparing examples with discourse role labels: left (zero-shot ChatGPT) vs. right (Instruct-SCTG framework utilizing zero-shot ChatGPT as backbone generator). The right article exhibits improved content flow and enhanced discourse structure.

 datasets from two representative domains, i.e. news and recipes. For news articles, we utilized the All-**The-News dataset^{[2](#page-1-1)} from Kaggle and the News Dis-** course dataset [\(Choubey et al.,](#page-8-1) [2020\)](#page-8-1). For recipe generation, the experiments were performed on the 086 Recipe1M+ dataset [\(Marin et al.,](#page-9-2) [2019\)](#page-9-2). We assess the textual fluency and structural coherence of the generated text with both automatic and human eval- uations. The results demonstrate the effectiveness of our framework in controlling LMs to generate text adhering to the given discourse structures.

 In summary, our contributions are three-folds: Firstly, we introduce a straightforward yet effec- tive framework that leverages instruction-following LMs to generate structurally coherent texts in the task of SCTG, achieving state-of-the-art (SOTA) performance on three datasets from two representa- tive domains. Secondly, we introduce a novel auto- matic metric that can effectively measure the fuzzy adherence of discourse structure. Lastly, our work is the first one that explore the design of instruc- tions to exert control over the underlying discourse structure during text generation.

¹⁰⁴ 2 Background and Related Works

105 2.1 Instruction Fine-tuned Language Model

106 Instruction-following LMs are language models **107** specially optimized to comprehend and execute nat-**108** ural language instructions. These models leverage

large-scale Pre-trained Language Models (PLM) **109** like GPT-3 and incorporate an additional supervised aligning fine-tuning process. Their recent **111** emergence has significantly advanced the under- 112 standing of human intentions and the generation **113** process conditioning on those intentions. **114**

For instance, InstructGPT [\(Ouyang et al.,](#page-9-3) [2022\)](#page-9-3) 115 fine-tunes GPT-3 [\(Brown et al.,](#page-8-2) [2020\)](#page-8-2) to achieve hu- **116** man desired model behavior through reinforcement **117** [l](#page-8-3)earning from human feedback (RLHF, [Christiano](#page-8-3) **118** [et al.](#page-8-3) [\(2017\)](#page-8-3); [Stiennon et al.](#page-9-4) [\(2020\)](#page-9-4)). Similarly, **119** Flan-T5 [\(Chung et al.,](#page-8-0) [2022\)](#page-8-0) fine-tunes the T5 lan- **120** guage model [\(Raffel et al.,](#page-9-5) [2020\)](#page-9-5) using a diverse **121** range of instruction templates from a collection of **122** data sources. Another example is Alpaca, proposed **123** by [Taori et al.](#page-9-6) [\(2023\)](#page-9-6), which is an instruction fine- **124** [t](#page-9-7)uned Language model based on LLaMA [\(Touvron](#page-9-7) **125** [et al.,](#page-9-7) [2023\)](#page-9-7), using an instruction dataset gener- **126** ated in the style of self-instruct [\(Wang et al.,](#page-9-8) [2022\)](#page-9-8). **127** These instruction-following LLMs showcase the **128** progress in leveraging instructions to guide lan- **129** guage generation, facilitating a more interactive **130** and controllable generation process. **131**

2.2 Discourse Structure **132**

Discourse structure investigates the organization **133** of language into larger units like paragraphs, sec- **134** tions, and complete articles. In this work, we fo- **135** cus on the communicative functions within entire **136** articles served by those linguistic units. There- **137** fore, texts from different domains are characterized **138**

²kaggle.com/snapcrack/all-the-news.

 units also play different functional roles. The dis- course roles of scientific papers or experimental abstracts [\(Liddy,](#page-8-4) [1991;](#page-8-4) [Mizuta et al.,](#page-9-9) [2006\)](#page-9-9) include background, methodology, experiments and find- ings. In the domain of long-form question answer- ing [Xu et al.](#page-9-10) [\(2022\)](#page-9-10), the discourse function of each sentence can be answer, summary, example and so on. [Liu et al.](#page-8-5) [\(2022\)](#page-8-5) developed a discourse schema for recipes based on actions and controlled the gen- eration process according to the predicted discourse sequences. The explicit functional discourse struc- ture of news reports was addressed [\(Van Dijk,](#page-9-11) [2013;](#page-9-11) [Choubey et al.,](#page-8-1) [2020\)](#page-8-1) by defining roles based on their relations with the main event, such as conse-quence and journalist evaluation.

139 by different discourse schemas, as their linguistic

 Multiple established frameworks also proposed different definition of discourse structure, which fo- cus on how each linguistic unit relates to each other through discourse connectives, such as causal, tem- poral, etc. For instance, Rhetorical Structure The- ory, RST [\(Mann and Thompson,](#page-8-6) [1988\)](#page-8-6), seeks to identify rhetorical relations between text segments and form a hierarchical organization of discourse. The Penn Discourse Treebank, PDTB [\(Prasad et al.,](#page-9-12) [2008\)](#page-9-12), defines its schema based on low-level dis-course connectives presented in the text.

166 2.3 Sequential Controlled Text Generation

 Extensive research has been conducted on Con- trolled Text Generation (CTG) to enable the control of attributes such as lexical constraints, style and length in the output of PLM. One notable example is prefix-tuning, introduced by [Li and Liang](#page-8-7) [\(2021\)](#page-8-7), which only optimizes a short task-specific vector (prefix) while keeping the rest of the PLM frozen, thereby controlling the domain of generation. An- [o](#page-8-8)ther representative work is PPLM by [Dathathri](#page-8-8) [et al.,](#page-8-8) which uses gradients from an attribute dis-criminant model to steer the text generation.

 In this work, we focus specifically on the task of Sequential Controlled Text Generation (SCTG), recently formalized by [Spangher et al.](#page-9-13) [\(2023\)](#page-9-13). In SCTG, a model is provided with an input prompt and a sequence of control codes, and the output is a text sequence comprising multiple sentences. Each control code specifies the desired content or style of the corresponding output sentence, enabling con- trol over the inter-sentence structure of the gener- ated text. The task of SCTG is different from the conventional CTG tasks, which focuses on control-ling isolated local attributes at a time. However,

SCTG tackles a more intricate challenge. The gen- **190** eration conditions not only on the discourse of the **191** current sentence or paragraph but also on previous **192** text and contextual discourse structure to maintain **193** contextual coherence throughout the articles. **194**

Previous works relevant to this task include [Liu](#page-8-5) **195** [et al.](#page-8-5) [\(2022\)](#page-8-5), who proposed a plug-and-play guided **196** decoding method that predicts content plans to **197** control the generation process accordingly. For **198** coherent text generation that considers discourse, **199** [Bosselut et al.](#page-8-9) [\(2018\)](#page-8-9) modeled discourse structure **200** [a](#page-9-13)s cross-sentence ordering. Furthermore, [Spangher](#page-9-13) **201** [et al.](#page-9-13) [\(2023\)](#page-9-13) introduced a pipeline method that im- **202** proves discourse through guided generation and an **203** overall editing process. **204**

3 Methodology **²⁰⁵**

3.1 Overview 206

We propose a novel framework called Instruct- **207** SCTG (Instruction Sequential Control Text Gener- **208** ation) to incorporate discourse structure into gener- **209** ated articles, by decomposing the generation pro- **210** cess into a series of sub-tasks. Each sub-task is **211** designed to generate a single specific text section, **212** such as a main event section or journalist evalua- **213** tion section, based on the given discourse sequence. **214** In this section, we explain our framework in de- **215** tails and how we design the SFT instruction for **216** our generator LM. Additionally, we introduce an **217** automatic metric that measures the adherence of **218** the discourse structure. **219**

3.2 Instruct-SCTG Framework **220**

Task Formulation. The goal of SCTG is to gener- **221** ate a coherent article represented by a sequence of **222** linguistic units, e.g. sentences, $x = \{x_1, ..., x_{|\boldsymbol{x}|\}}$. 223 Each unit x_i is denoted as $x_i = \{x_{i,1}, ..., x_{i,|x_i|}\},\$ 224 where $x_{i,j}$ is the *j*-th token of x_i . In the formulation of SCTG, we assume that the input infor- **226** mation \mathcal{I} , such as news headlines or recipe title **227** and ingredients, and discourse structure are pro- **228** vided. The discourse structure is represented as **229** a control code sequence $\mathbf{c} = \{c_1, ..., c_s\}$, where 230 each code denotes the expected discourse role for **231** its corresponding unit x_s . Hence, the objective of 232 generation is to model the conditional distribution **233** of the document x, expressed by Equation [1.](#page-2-0) **234**

$$
P(\boldsymbol{x}|\boldsymbol{c},\mathcal{I})=\prod_{i=1}^s p(x_i|\boldsymbol{x}_{
$$

Figure 2: Overview of the instruction tuning of the backbone generator for the Instruct-SCTG.

 Our Framework. We decompose the document- level conditional distribution into a series of unit- level sub-tasks. During each iteration, we instruct the backbone generator to continue writing for the current linguistic unit according to the specified control code. We can use either a fine-tuned LM with task-specific instructions or a zero-shot large LM as the backbone generator. The control code c, or discourse roles, are predefined categories based on a specific discourse schema designed for differ- ent domains and tasks. In Section [3.3,](#page-3-0) we explain the design of our SFT instructions.

248 3.3 Task-specific Instruction Tuning

 To prepare the backbone generator for our sequen- tial framework, we design task-specific instructions for fine-tuning LMs. As shown in Figure [2,](#page-3-1) our approach segments articles into sentences or para- graphs. We then create instruction–paragraph pairs as the Supervised Fine-tuning data.

 In this section, we also explore the impact of different instruction designs on the resulting fine- tuned generator. The instructions, as shown in the example in Table [1,](#page-4-0) consists of three main compo- nents: (i) discourse context, (ii) input information and (iii) textual context.

 In the discourse context, we specifically explore the influence of various facets of contextual dis- course information on the generator's control per- formance. While exposure to extensive discourse context offers more information, it can potentially introduce additional noise to the current generation process. Previous research [\(Spangher et al.,](#page-9-1) [2022\)](#page-9-1) employed three levels of discourse dependency as- sumptions (local, past-aware and Full-sequence) when setting up the discriminator in their post- processing controlling algorithm. In contrast, in this work, we include diverse levels of discourse

context in our instructions. This variation enables **273** us to simulate the those dependency approxima- **274** tions, such that we can directly condition the text **275** generation process on them. **276**

Local discourse. If we assume the generation 277 of the current linguistic unit only depends on its **278** corresponding discourse role, but not the contex- **279** tual discourse structure, the conditional distribution **280** Equation [1](#page-2-0) can be simplified as below. **281**

$$
P(\boldsymbol{x}|\boldsymbol{c}, \mathcal{I}) \approx \prod_{i=1}^{|\boldsymbol{x}|} \prod_{j=1}^{|\boldsymbol{x}_i|} p(x_{i,j}|x_{i,
$$

Past-aware. If we relax the complete indepen- **283** dence assumption and allow the previous discourse **284** structure to influence the generation of the current **285** sentence, the Equation [1](#page-2-0) will be simplified as below. **286** The discourse context in the instruction template **287** includes only previous discourse sequence but not **288** the future. **289**

$$
P(\boldsymbol{x}|\boldsymbol{c},\mathcal{I}) \approx \prod_{i=1}^{|\boldsymbol{x}|} \prod_{j=1}^{|x_i|} p(x_{i,j}|x_{i,
$$

Full-structure. If we make no assumption and **291** provide the full discourse structure, the Equation [1](#page-2-0) **292** should be expressed as below. Articles generated **293** under different discourse information exposure are **294** compared to determine the optimal instruction tem- **295** plate. Experimental results are presented in Sec- **296** tion [5.3.](#page-6-0) **297**

$$
P(\boldsymbol{x}|\boldsymbol{c},\mathcal{I}) = \prod_{i=1}^{|\boldsymbol{x}|} \prod_{j=1}^{|\boldsymbol{x}_i|} p(x_{i,j}|x_{i,
$$

In the input information section, we specify the **299** input prompt of the overall generation task and the **300**

Table 1: Instruction example. The discourse context, current discourse role and headline are dynamically adjusted based on the context and position of the current sentence. The **textual context** is all previous text before the current target sentence.

 discourse role of the current generation unit. For example, in Figure [2,](#page-3-1) the instruction shown is for generating news report, where the input prompt is the news headline. In the case of the recipe domain, dish title and ingredient list serve as the input, populating the corresponding template.

 In the final component, we incorporate textual context to guide the generator in continuing writing the current text segment. During SFT, preceding segments of the article up to the current target one are aggregated to form the previous text, while for inference, all previously generated texts are used.

313 3.4 Zero-shooting LLMs

 While we fine-tuned LMs with above-mentioned instructions as the backbone generators of our se- quential framework. However, we also explored the option of using zero-shot prompting LLMs with minor modifications to the instruction template. Specifically, for the SFT paradigm, we fine-tuned Flan-T5-base [\(Chung et al.,](#page-8-0) [2022\)](#page-8-0) and GPT-2 base [\(Radford et al.\)](#page-9-14). In the case of the zero-shot setup, we opted GPT-3.5-turbo and Flan-T5-xxl. These models have exhibited strong performance in gen- eral tasks but are either expensive or not readily available for further training.

 To enhance the LLMs' comprehension of the dis- course schema, we introduced a natural langauge definition of the target discourse role at the begin- ning of the instruction template. Further details on the discourse definition are listed in Section [A.4.](#page-10-0) In Section [5.3,](#page-6-0) we present the results achieved us-ing backbone generators under both fine-tuned and

zero-shot paradigms. The results demonstrate the **333** effectiveness and applicability of our framework **334** across different settings. **335**

3.5 Measuring the discourse structure **336**

Intuitively, for texts of a certain genre, they tend **337** to follow similar discourse sequences while allow- **338** ing for some degree of local flexibility. In other **339** words, the distributions of discourse roles in simi- **340** lar areas of the articles are expected to be roughly **341** similar. For instance, in news reports, it is common **342** to have a sentence introducing the main event or **343** consequence at the beginning to quickly capture **344** readers' attention, but the exact position may vary. **345** In Figure [3,](#page-5-0) we present the disparity between the **346** discourse distributions of the articles generated by **347** the zero-shot LLM and the reference texts written **348** by humans is evident. **349**

Therefore, to measure the positional difference **350** between the discourse distributions in a fuzzy man- **351** ner, we introduce the Positional Divergence D_{nos} 352 as an automatic metric. Equation [2](#page-4-1) demonstrates **353** the calculation of the Positional Divergence. **354**

$$
D_{pos} = \frac{1}{N} \sum_{n=1}^{N} D_{KL}(p^n(r)||q^n(r)) \qquad (2) \qquad 355
$$

Here, $p^{n}(r)$ represents the distribution of dis-
356 course role r for the reference data in the n -th 357 position bin and $q^n(r)$ represents the distribution 358 for the generated articles. To compute this met- **359** ric, we firstly segment the reference and generated **360** articles from the evaluation set into N bins based **361** on their relative positions in the articles. Then, **362** for each bin n, we calculate the KL divergence **363** $D_{KL}(p^{n}(r)||q^{n}(r))$ between the discourse distri- 364 butions with add-one smoothing to avoid zero prob- **365** abilities. 366

Because the divergence is calculated based on **367** their relative positions in the articles, it mitigates **368** the impact of variations in segmentation styles or **369** the total number of sentences, which cannot be **370** solved by simply calculating the exact match rate. **371** We further elaborate the difference and show that **372** our positional divergence has high correlation with **373** human evaluations in Section [A.5.](#page-10-1) We note that, **374** for this metric, a discourse role classifier is required **375** to label the generated articles. **376**

4 Dataset and Schema 377

In this work, we demonstrate the application of our **378** framework in two representative domains: News **379**

Figure 3: Comparison of discourse distributions at each relative position within news articles. The x-axis represents the relative position from the beginning to the end (0-9), while the y-axis represents different discourse roles based on the news schema of [Choubey et al.](#page-8-1) [\(2020\)](#page-8-1). Our framework (mid) demonstrates closer discourse distributions to the human-written articles (right), compared with the vanilla baseline (left).

 and Recipe. News generation is considered an open-ended task, where there is no fixed predefined answer, allowing more room for creative variations. Whereas Recipe generation is regarded as a closed- ended task, where there exists a correct reference recipe for a given input title. To create the train- ing data, we segment articles into sentences and label them with the assistance of discourse role classifiers.

 For news domain, we adopt an existing theory of functional discourse schema proposed by [Van Dijk](#page-9-15) [\(1988,](#page-9-15) [2013\)](#page-9-11), which defines a discourse schema based on eight types of relations between each sen- tence and the main event. A recent News Discourse dataset [\(Choubey et al.,](#page-8-1) [2020\)](#page-8-1) is manually anno- tated following the functional discourse schema, which contains 802 documents spanning over four domains and three media sources. We utilize the training set of this dataset to train our discourse role classifier and the test set for evaluating the perfor- mance of our framework. In addition, we label the Kaggle All-The-News dataset using our trained dis- course role classifier, creating silver-labelled data. Our backbone generators are fine-tuned on the All- The-News training set and evaluated on the News Discourse test set and All-The-News validation set.

 For the domain of Recipe, we adopt the dis- course schema proposed by [Liu et al.](#page-8-5) [\(2022\)](#page-8-5) which includes seven discourse roles based on cooking actions specifically designed for recipes. We re- implement their discourse role classifier trained on [a](#page-9-2) subset of the Recipe1M+ validation set [\(Marin](#page-9-2) [et al.,](#page-9-2) [2019\)](#page-9-2), where the discourse annotations are generated using a rule-based system. We apply this classifier to the remaining Recipe1M+ dataset to generate the silver discourse labels. The fine-tuning of backbone generators for the Recipe domain is performed on the Recipe1M+ training set, **417** and the evaluation is conducted on the Recipe1M+ **418** test set. Before using these datasets, we apply pre- **419** processing and filtering based on specified condi- **420** tions, as elaborated in Appendix [A.6.](#page-11-0) For eval- **421** uation, we randomly sample 200 examples from **422** each evaluation set to assess the performance of **423** our framework and the baseline models, and the **424** results are reported in Table [2](#page-6-1) and [4.](#page-7-0) **425**

5 Experiments **⁴²⁶**

5.1 Implementation Details **427**

In the news domain, the Flan-T5-base backbone **428** generator is trained on the Kaggle All-The-News **429** pre-processed training set for 200k steps, using a **430** batch size of 4. For recipe domain, training is con- **431** ducted on the processed Recipe1M+ training set for **432** 100k steps with a batch size of 8. Both generators **433** [a](#page-8-10)re optimized using the Adam optimizer [\(Kingma](#page-8-10) **434** [and Ba,](#page-8-10) [2014\)](#page-8-10) with a learning rate of $3e - 5$ and an **435** L2 decay rate of 0.05. As for the zero-shot back- **436** bone generators, we employ the GPT-3.5-turbo **437** (ChatGPT) and Flan-T5-xxl. During inference, a **438** temperature value of 0.7 is set for news genera- **439** tion and 0.2 for recipes. For news generation, we **440** utilize the top-p sampling method with a value of **441** $p = 0.8$, while for recipe generation, we employ 442 beam search decoding with a beam size of 5. **443**

Regarding the Flan-T5, it has limits on the max- **444** imum sequence length for both input and output. **445** Therefore, we truncate the input textual context **446** from the beginning to ensure the instruction prompt **447** does not exceed 1024 tokens. The maximum output **448** length is set at 256 tokens. **449**

For the discourse role classifiers, we fine-tune **450** a DistilBERT model [\(Sanh et al.,](#page-9-16) [2019\)](#page-9-16) using the **451** News Discourse training set for the news domain **452**

Table 2: Results of automatic evaluations conducted on the News domain. The top half shows the outcomes for three types of baseline methods, while the bottom half for various model settings within our Instruct-SCTG (I-SCTG) framework. In the table, "L" denotes the setting for local-discourse, "P" for past-aware, and "F" for full-structure. Our framework shows better ability in controlling the discourse structure of the generated text. For fine-tuned backbone generators, our framework also achieves better surface fluency.

 and the recipe1M+ training set for recipes. Both classifiers are trained for 10k steps with a batch sizes of 32. The remaining hyper-parameters are the same with the settings of the backbone gen- erators. The DistilBERT model, being relatively lightweight, demonstrates promising performance as discussed in Appendix [A.1.](#page-9-17)

460 5.2 Experimental setup

 Metrics We assess our framework from two main perspectives: Surface fluency and adherence to the discourse structure. To measure the surface flu- ency, we utilize established metrics such as BLEU (B) [\(Papineni et al.,](#page-9-18) [2002\)](#page-9-18), ROUGE-L R-L [\(Lin,](#page-8-11) [2004\)](#page-8-11) and perplexity (PPL.) by another language model OPT-2.7B [\(Zhang et al.,](#page-9-19) [2022\)](#page-9-19). As for discourse structure control, we measure the exact match accuracy (Acc.), which is the average per- centage of matched discourse sequences between the generated text and the reference. Addition- ally, we use the previously described positional discourse divergence (Pos.) with the number of **bins** $N = 10$.

 Traditional automatic metrics often struggle to capture inter-sentence coherence, especially in open-ended generation tasks. Following a recent work [\(Kocmi and Federmann,](#page-8-12) [2023\)](#page-8-12), we employ ChatGPT to perform evaluation on both textual flu- ency (C-F) and structural coherence (C-S) with a scale from 1 to 5. Furthermore, we also perform human evaluations on these aspects by hiring three native English speakers. They evaluate a randomly **483** selected subset of 100 examples for each evalua- **484** tion dataset, producing ratings on a scale of 1 to 5. **485** Detailed information about the evaluation prompts **486** for ChatGPT and the setup for human evaluation **487** can be found in Appendix [A.2](#page-10-2) and [A.3.](#page-10-3) **488**

Baselines We evaluate our framework against **489** three types of baselines: 1) Vanilla Fine-tuned **490** LMs (**F-T**): We fine-tune a GPT-2-base and a Flan- 491 T5-base using only input headlines and reference **492** text pairs from the All-The-News and Recipe1M+ **493** training sets, without incorporating discourse infor- **494** mation. We employ the top-k sampling decoding **495** method with a value of $k = 5$. 2) Controlled Text 496 Generation methods (CTG): We compare against 497 the approach proposed by [Liu et al.](#page-8-5) [\(2022\)](#page-8-5), which **498** utilizes a discourse classifier to guide the decod- **499** ing of a fine-tuned GPT-2-base backbone decoder. **500** 3) Zero-shot large language models (Z-S): We ex- **501** periment with the GPT-3.5-turbo and Flan-T5-xxl **502** models, prompting them only with the input but **503** no discourse information. The remaining hyper- **504** parameters remain consistent with our framework. **505**

5.3 Results **506**

5.3.1 News articles 507

Experimental results were obtained using a ran- **508** domly selected subset of 200 samples for each **509** dataset. Table [2](#page-6-1) displays the averaged experimental **510** results over 5 runs with different random seeds for **511** news generation using various methods. **512**

Model	Fluency	Coherence
$FT5_{Base}$ - FT	2.4	2.5
GPT3.5-ZS	4.5	4.0
$FT5_{Base} - P$	2.5	3.5
$GPT3.5-P$	43	

Table 3: Results of human evaluations on the News Discourse test set comparing baselines with our Instruct-SCTG framework. Our framework demonstrates improved structural coherence while maintaining a comparable level of surface fluency.

 The results demonstrate that our framework out- performs all baseline models on surface fluency and structural coherence metrics when using fine-tuned backbone generators. Among the different contex- tual discourse information settings, past-aware ex- hibits better performance. This could be attributed to the fact that subsequent discourse structures might not provide informative enough guidance and could distract the attentions from the more important current discourse roles. When employ- ing zero-shot generators, our framework only uti- lizes past-aware discourse structure setup to min- imize the computational cost. Although vanilla zero-shot LLMs achieve satisfactory surface flu- ency, our framework can still further enhance the structural coherence of the generated text.

 In terms of human evaluations, our framework is compared to two representative baseline mod- els, and the results are presented in Table [3.](#page-7-1) The human evaluations align with the findings from au- tomatic metrics, confirming that our Instruct-SCTG framework can effectively control the generation process to adhere to the provided discourse struc- ture, resulting in improved structural coherence, while maintaining comparable surface fluency.

538 5.3.2 Recipes

 Having the same experiment setup as the news do- main, we present the results in Table [4.](#page-7-0) We observe similar trend with the results on news datasets: Our framework improves the structure coherence for both types of generators, while only fine-tuned gen- erators exhibit better surface fluency. This can be attributed to fact that the recipes generated by lat- est large-scale LMs already achieve satisfactory fluency, leaving limited room for further improve- ment. By applying our framework, the order of ac- tions can be adjusted to better align with the input discourse sequence, while the fluency level remains comparable due to the strong generation capabili-ties of LLMs. On the other hand, for the fine-tuned

Table 4: Automatic evaluation results for the Recipe domain. Our framework exhibits excellent performance in controlling discourse structure. Improvements in textual fluency are observed when applied our framework to the fine-tuned generators.

generators, incorporating more natural discourse **553** structures can effectively enhance fluency. **554**

6 Conclusion **⁵⁵⁵**

In this work, we address the task of controlling the **556** discourse structure during the generation process. **557** We propose a sequential framework, the Instruct- **558** SCTG, which decomposes article generation into **559** sentence-level tasks. Our framework effectively **560** leverages supervised fine-tuned LMs or zero-shot **561** LLMs as backbone generators to produce struc- **562** turally more coherent text. We also propose the **563** automatic metric, positional discourse divergence, **564** measuring the discrepancy in discourse distribu- **565** tions across relative positions within the articles. **566** Extensive evaluations demonstrate that our frame- **567** work can effectively leverage instruction-following **568** LMs to align the discourse structures and achieve **569** SOTA performance on SCTG tasks in both News **570** and Recipe domains. **571**

Limitations **⁵⁷²**

Hallucination of news content In our experi- **573** mental setup, our primary focus is on controlling **574** the discourse structure of the generated text, rather **575** than the content itself. Consequently, there is a **576** potential for hallucination or the generation of in- **577** accurate information. We acknowledge that in the **578** domain of news reports, the presence of unfactual **579** content can pose problems for readers, as it may **580** compromise the credibility and reliability of the **581**

582 generated articles.

 Length limitations News articles are typically lengthy, but current LLMs often have constraints on maximum input or output token length. We ac- knowledge that the truncation method employed in our study may not be optimal, and alternative approaches for encoding/decoding extra-long arti- cles could be explored to capture more contextual information.

 Granularity of discourse annotations When ap- plying our framework on the zero-shot backbone generators, we observe instances of local repeti- tion where consecutive sentences conveyed similar meanings. This may be attributed to the LLMs' differing understanding of the granularity of dis- course structure compared to the reference annota- tions. LLM-generated articles tend to have fewer sentences, resulting in shorter discourse sequences. We recognize that this issue could potentially be improved by employing more suitable granularity when annotating the discourse labels.

 Data leakage in LLMs Modern LLMs use enor- mous corpora during pre-training stage, some of which may not be publicly disclosed. News data, in particular, has a tendency to be easily acces- sible, because for an event there might be multi- ple source of reporting, which makes them easily scraped for the pre-training. As a result, experi- ments conducted on news datasets may not be as indicative as before due to potential data leakage concerns.

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A Appendix **⁷⁷⁸**

A.1 Discourse Classifier Results **779**

For the News domain, the discourse role classi- **780** fier is trained on the News Discourse training set **781** and evaluated on the validation set using human- **782** annotated gold labels. The classifier achieves an **783** accuracy of 67%. **784**

In the Recipe domain, the discourse role classi- **785** fier is trained on the Recipe1M+ training set and **786** evaluated on the validation set using silver annota- **787** tions generated by the rule-based system proposed **788** by [Liu et al.](#page-8-5) [\(2022\)](#page-8-5). The classifier achieves an **789** accuracy of 92% . 790 We use the following instruction to prompt the ChatGPT to rate the textual fluency C-F and struc- tural coherence C-S of the generated texts. "You are a helpful virtual journalist. Please rate the textual fluency of the following news report with a score from 1 to 5. Only return the value:" "You are a helpful virtual journalist. Please rate the structural coherence and the discourse structure quality of the following new report with a score from 1 to 5. Only return the value:"

791 A.2 ChatGPT Evaluation Templates

- **802** A.3 Human Evaluation Guidance Questions
- **803** Please rate the following article from two aspects: **804** 1) Textual fluency and 2) structural coherence with
- **805** score 1 to 5. When evaluating the article, please **806** consider the following guidance.
- **807** Introduction and lead: Does the article have **808** a clear and engaging introduction that effec-
- **809** tively presents the main topic and captures the **810** reader's attention?
- 811 **Structure organizatio**: Do the sections and **812** paragraphs follow a clear structure that con-
- **813** tributes to the overall understanding of the **814** topic? Are the paragraphs well-structured,
- **815** with clear topic sentences and appropriate sup-
- **816** porting details? Do the paragraphs transition **817** smoothly, maintaining a consistent flow of
- 819 **Clarity and precision:** Is the language clear,
- **820** concise, and precise? Are the ideas expressed **821** in a way that is easy to understand for the
- **822** target audience? 823 • Use of evidence and sources: Are relevant
- **824** sources and evidence used to support the arti-**825** cle's claims and arguments?

- **826** A.4 Discourse Schema
- **827** The definition of the discourse schema we used for **828** news articles:
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830 article.

818 ideas?

829 • **Main Event**: The major subject of the news

831 • **Consequence:** An event or phenomenon that **832** is caused by the main event.

- 833 Previous Event: A specific event that oc-**834** curred shortly before the main event.
- 835 **Current Context:** The general context or **836** world state immediately preceding the main **837** event.
- 838 **Historical Event**: An event occurring much **839** earlier than the main event.
- Future Consequences: An analytical insight **840** into future consequences or projections. **841**
- Journalist Evaluation: A summary, opinion **842** or comment made by the journalist. **843**
- Anecdotal Event: An event that is uncertain **844** and cannot be verified. The primary purpose **845** is to provide more emotional resonance to the **846** main event.

The definition of the discourse schema we used for **848** recipes: **849**

- Pre-processing means the preparations of in- **850** gredients or cooker. 851
- Mixing includes actions of combining one or **852** more ingredients together. **853**
- Transferring is for the actions of moving or **854** transferring food or intermediate food to a **855** specific place. 856
- Cooking represents the actual cooking ac- **857** tions, which could vary drastically across dif- **858** ferent recipes. **859**
- Post-processing usually refers to the follow- **860** ing up actions after the 'cooking' stage, such **861** as 'cooling down', 'garnish'. **862**
- Final refers to the last few actions before serv- **863** ing the food or the serving action itself. **864**
- General includes the rest of actions which **865** cannot be classified into the above categories. **866**

A.5 Further details on Positional Divergence **867**

Metric Necessity. We clarify two main practical **868** benefits of our proposed metric: **869**

- For open-ended generation tasks, it is com- **870** mon for the generated text to have differ- **871** ent length (different total number of sen- **872** tences) or different paragraph layout (dif- **873** ferent number of sentences for each para- **874** graph) as compared to reference text. How- **875** ever, these variations do not necessarily mean **876** a substantial deviation in discourse structure. **877** To address this, our proposed positional diver- **878** gence focuses only on comparing discourse **879** role distributions based on the corresponding **880** relative positions. The continual labels merg- **881** ing strategy couldn't provide a correct para- **882** graph segmentation due to the aforementioned **883** discontinuity. 884
- The discourse labels for the existing dataset **885** don't usually have multi-sentence continu- **886** ity, either because the labels are noisy or the **887** flexible nature of the text from open-ended **888** domains. For instance, below we show the **889**

	ρ (Acc., H.C.)	ρ (Pos., H.C.)
$FT5_{base} - FT$	0.19	0.32
$FT5_{base} - P$	0.28	0.36
$GPT3.5-ZS$	0.26	0.33
$GPT3.5-P$	0.24	0.36

Table 5: The correlations between Human Coherence (H.C) and Exact Match (Acc.) and between H.C. and Positional Divergence. Our proposed metric has shown better correlation with human evaluation.

 discourse labels for the sentences in the first paragraph of the Number 18 datapoint of the News Discourse dataset test set: ['main', 'pre- vious_event', 'main', 'journalist_evaluation', 'main', 'main', 'main', 'main', 'main', 'con- sequence']. While the main role of the para-**graph** is to describe the \langle main event \rangle , sen- tences within it might be assigned different 898 role labels such as \langle evaluation $>$ or \langle conse- quence>. In such cases, a simplistic strategy like merging continual sentences cannot effec- tively handle the evaluation unless guided by a sophisticated merging policy.

Metric Effectiveness. We conducted supple- mentary evaluations to further justify the effective- ness of our metric. We compare the correlation of our metric and the exact match rate to the human evaluation results. In Table [5,](#page-11-1) we show correla- tions on the same 100 examples from the News Discourse dataset as shown in Table [3.](#page-7-1) The results show that our positional divergence has gener-ally higher correlations than the exact match.

A.6 Data Preprocessing

 For Kaggle All-The-News, we filtered the dataset based on the following conditions:

- Containing special characters: @, [, +.
- Having total number of words over 800 or below 100.
- Containing random comments.
- Containing more than two reports.

Then we pre-process the data by

- Removing extra space.
- Removing reporting source.
- Removing journalist names.
- Removing emoji.

 For Recipe1M+, we filter it based on the following codintions:

• Containing irrelevant information, such as ad-

vertisements, reviews and comments. **929** • Having total number of words over 300 or **930 below 50.** 931

• Duplicate recipes. **932**