# Are Language Models Robust Coreference Resolvers?

#### Anonymous ACL submission

#### Abstract

 Recent work on extending coreference resolu- tion across domains and languages relies on an- notated data in both the target domain and lan- guage [\(Xia and Van Durme,](#page-9-0) [2021\)](#page-9-0). At the same time, pre-trained large language models (LMs) have been reported to exhibit strong zero- and few-shot learning abilities across a wide range of NLP tasks. However, prior work mostly stud- ied this ability using artificial sentence-level datasets such as the Winograd Schema Chal- lenge. In this paper, we assess the feasibil- ity of prompt-based coreference resolution by evaluating instruction-tuned language models on difficult, linguistically-complex coreference benchmarks (e.g., CoNLL-2012). We show 016 that prompting for coreference can outperform current unsupervised coreference systems, al- though this approach appears to be reliant on high-quality mention detectors. Further investi- gations reveal that instruction-tuned LMs gen- eralize surprisingly well across domains, lan- guages, and time periods; yet continued fine- tuning of neural models should still be pre- ferred if small amounts of annotated examples are available.  $<sup>1</sup>$  $<sup>1</sup>$  $<sup>1</sup>$ </sup> **025**

### **<sup>026</sup>** 1 Introduction

 Entity coreference resolution aims to find all spans within an input text that refer to the same entity. As an important information extraction sub-task, coreference resolution has received considerable attention from the NLP community over the years, with recent progress driven mostly by neural coref- erence models [\(Lee et al.,](#page-8-0) [2017;](#page-8-0) [Wu et al.,](#page-9-1) [2020;](#page-9-1) [Joshi et al.,](#page-8-1) [2020\)](#page-8-1). There has also been an increas- ing interest in the generalization of coreference systems to domains and languages beyond the pop- ular CoNLL-2012 benchmark [\(Xia and Van Durme,](#page-9-0) [2021;](#page-9-0) [Bohnet et al.,](#page-8-2) [2022\)](#page-8-2). Most work on extend-ing coreference resolution to new domains and languages relies on target language annotated data in **040** the targeted domain, however the amount of labeled **041** data needed to cover every possible domain in all **042** languages is prohibitively expensive. Meanwhile, **043** unsupervised [\(Haghighi and Klein,](#page-8-3) [2010\)](#page-8-3) and few- **044** shot [\(Le et al.,](#page-8-4) [2022\)](#page-8-4) coreference resolution has **045** received less attention, despite the fact that learn- **046** ing with less labels is desirable when adapting to **047** new languages or domains. **048**

Concurrently, there has been a great deal of **049** progress on zero- and few-shot learning using pre- **050** trained language models (LMs) [\(Ouyang et al.,](#page-9-2) **051** [2022;](#page-9-2) [Touvron et al.,](#page-9-3) [2023\)](#page-9-3). Attempts have been **052** made at evaluating pre-trained LMs' coreference **053** [a](#page-8-5)bilities under zero- and few-shot settings: [Brown](#page-8-5) **054** [et al.](#page-8-5) [\(2020\)](#page-8-5) demonstrated that prompting GPT-3 **055** can resolve coreference on the Winograd Schema **056** Challenges (WSC), [Yang et al.](#page-9-4) [\(2022\)](#page-9-4) showed that **057** coreference resolution was a challenging task for **058** GPT-2 when prompted with multiple-choice tem- **059** plates, and [Agrawal et al.](#page-8-6) [\(2022\)](#page-8-6) successfully re- **060** framed clinical pronoun resolution as span genera- **061** tion. While these studies reveal some evidence of **062** the coreference abilities in large LMs, they either **063** use methods that fail to beat reasonable baselines, **064** or evaluate on sentence-level, non-standard coref- **065** erence datasets that are designed more for AI chal- **066** lenge tasks. In contrast, the traditional dataset for **067** coreference resolution, CoNLL-2012/OntoNotes, **068** contains real-world document-level examples with **069** complex linguistic annotations [\(Pradhan et al.,](#page-9-5) **070** [2012\)](#page-9-5). Evaluating LMs using more realistic in- **071** puts in this setting is arguably more suitable for the **072** evaluation of models' coreference capabilities. **073**

In this paper, we aim to bridge the gap between **074** the coreference and language modeling literature **075** by investigating to what extent instruction-tuned **076** language models can perform coreference reso- **077** lution via prompting. We show that prompting **078** LMs is a feasible strategy for coreference resolu- **079** tion, outperforming previous unsupervised systems. **080**

<span id="page-0-0"></span> $1$ Our code is available at [https://anonymous.4open.](https://anonymous.4open.science/r/coref-llms-8424) [science/r/coref-llms-8424](https://anonymous.4open.science/r/coref-llms-8424)

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Figure 1: An example of coreference resolution with LMs prompting. Here we show two prompt templates experimented in this work: Question-Answer and Document templates. In the QA template, the language model generates the answer when given a passage and an open-ended wh-question [\(Ouyang et al.,](#page-9-2) [2022\)](#page-9-2). In contrast, the document template marks the candidate mentions and asks the LM to annotate the cluster IDs for each mention directly within the text (represented by different colors). Both templates require a mention detector to generate candidate mentions.

 Nonetheless, it still trails behind state-of-the-art supervised models and relies heavily on a robust mention detector. Finally, we explore the general- ization ability of this approach by extending our analysis to a diverse range of domains, languages, and time periods. Our results indicate that contin- ued learning should still be the preferred option if a large out-of-domain corpus and a few annotated in- domain documents are available. However, large instruction-tuned LMs can generalize surprisingly well across domains and languages, making them a robust option if no target language or in-domain data is available for fine-tuning.

#### **<sup>094</sup>** 2 Prompt-based Coreference Resolution

 Previous work in zero- and few-shot coreference resolution assumes access to candidate mentions to resolve [\(Ouyang et al.,](#page-9-2) [2022;](#page-9-2) [Agrawal et al.,](#page-8-6) [2022\)](#page-8-6). We adopt this formulation: given a document, we assume the existence of a set of candidate mentions (gold or predicted), then prompt an autoregressive language model, and extract the predicted corefer-ence links (Figure [1\)](#page-1-0).

 Prior work applying language models to resolve co-referring entity mentions has mainly experi- mented with Question-Answer (QA) prompts for [p](#page-8-6)ronoun resolution [\(Ouyang et al.,](#page-9-2) [2022;](#page-9-2) [Agrawal](#page-8-6) [et al.,](#page-8-6) [2022\)](#page-8-6) and demonstrated its effectiveness when comparing with other templates such as multiple-choice [\(Arora et al.,](#page-8-7) [2022\)](#page-8-7). However, in a 109 preliminary study ([§A.1\)](#page-11-0), we found that prompting **110** GPT-4 with a QA template struggled to compete **111** with Stanford's deterministic coreference system 112 [\(Lee et al.,](#page-8-8) [2013\)](#page-8-8), achieving  $67 \text{ F}_1$  when comparing 113 to  $72 \text{ F}_1$  from [Lee et al.](#page-8-8) [\(2013\)](#page-8-8). We also experimented with an alternative document-level tem- **115** plate that is able to elicit more coreference links **116** than the traditional QA template, achieving  $86 F_1$  117 (Table [A.1\)](#page-11-1). In this template, the mentions of the **118** input text are first marked with special tokens indi- **119** cating a span to annotate (e.g., *Mr. Clinton*  $\rightarrow$  *[Mr.* **120** *Clinton](#))*. The LM is then given instructions to  $121$ annotate this marked span with the cluster ID, (e.g., **122** *[Mr. Clinton](#)*  $\rightarrow$  *[Mr. Clinton](#cluster\_1)).* 123 Given strong results over the QA template, we used 124 this document template for all subsequent experi- **125** ments. **126**

#### <span id="page-1-1"></span>3 CoNLL-2012 Experiments **<sup>127</sup>**

We investigate the coreference abilities of large **128** [L](#page-9-5)Ms on the CoNLL-2012 benchmark [\(Pradhan](#page-9-5) **129** [et al.,](#page-9-5) [2012\)](#page-9-5). We found that GPT models **130** (InstructGPT, ChatGPT, and GPT-4) [\(OpenAI,](#page-9-6) **131** [2023\)](#page-9-6) yield competitive results with previous unsu- **132** pervised and rule-based models, while significantly **133** outperforming them when gold mentions are pro- **134 vided.** 135

#### **136** 3.1 Experimental Details

 Dataset and Evaluation Metrics We evaluate our approach on the traditionally benchmarked English OntoNotes 5.0 dataset [\(Weischedel et al.,](#page-9-7) [2011;](#page-9-7) [Pradhan et al.,](#page-9-5) [2012\)](#page-9-5), which spans seven dis- tinct genres such as news, telephone conversations, and religious text. We follow the standard train-dev- test splits from previous work and report CoNLL F1, which averages over three coreference-based **metrics MUC, B<sup>3</sup>, and CEAF** $_{\phi_4}$ .

**Settings** We report results under two settings: predicted mentions, where only raw text is provided as input, and gold mentions, where the gold men- tion boundaries are provided as input. To obtain predicted mentions, we use the mentions output by dcoref as input into language model prompts.

#### **152** 3.2 Models

 We report performance on seven instruction-tuned language models from the Llama-2 [\(Touvron et al.,](#page-9-3) [2023\)](#page-9-3) and OpenAI GPT [\(Ouyang et al.,](#page-9-2) [2022\)](#page-9-2) model families. We compare these models with various competitive supervised and unsupervised baselines from coreference literature.

**Baselines** We mainly consider Stanford's deter- [m](#page-8-8)inistic resolver, which we refer to as dcoref [\(Lee](#page-8-8) [et al.,](#page-8-8) [2013\)](#page-8-8). This coreference resolver consists of multiple sieves, where each sieve is a set of hand- crafted rules that filter out mentions. The sieves are ordered from highest to lowest precision to mini- mize cascading errors from previous sieves. We use the open-sourced implementation of dcoref 167 to obtain the results in this study.<sup>[2](#page-2-0)</sup> For super- [v](#page-8-2)ised systems, we compare to coref-mt5 [Bohnet](#page-8-2) [et al.](#page-8-2) [\(2022\)](#page-8-2) and coref-T0 [\(Zhang et al.,](#page-10-0) [2023\)](#page-10-0), two text-to-text approaches based on seq2seq mod- els, as well as SpanBERT+e2e, a span-based neu- ral coreference system [\(Joshi et al.,](#page-8-1) [2020\)](#page-8-1). For unsupervised baselines, we include results from weak-SpanBERT [\(Stolfo et al.,](#page-9-8) [2022\)](#page-9-8), a system that trained a SpanBERT-based coarse-to-fine architec-ture on dcoref coreference predictions.

 Llama 2 Models We use models from the Llama 2 model family [\(Touvron et al.,](#page-9-3) [2023\)](#page-9-3) as the primary open-sourced language models. In particularly, we consider Llama-2-Chat 7B and 70B, as well as CodeLlama 7B and 34B. Both Llama-2-Chat and CodeLlama were instruction-tuned versions of base Llama-2, with CodeLlama

<span id="page-2-1"></span>

Table 1: Result on English OntoNotes test set for predicted mentions (top) and gold mentions (bottom). Fully supervised systems are *italicized*. The F1 improvements of InstructGPT and GPT-4 over dcoref are statistical significant with  $p < 0.05$ , under the paired bootstrap resample test [\(Koehn,](#page-8-9) [2004\)](#page-8-9).

[b](#page-9-9)eing specifically fine-tuned on code datasets [\(Roz-](#page-9-9) **184** [ière et al.,](#page-9-9) [2023\)](#page-9-9). To avoid hallucinations, we con- **185** strain the generations as follows: for each given **186** mention, we ask the model to generate the cluster 187 ID. We then update the input sequence by append- **188** ing the generated ID with the text segment between **189** the current mention and the next mention. The **190** process is repeated until all the mentions in the **191** document are annotated, as in Figure [1.](#page-1-0) **192**

GPT Models We also investigate the instruction- **193** tuned 175B GPT-3 model (text-davinci-003) **194** from the InstructGPT series, which we refer to **195** as InstructGPT [\(Ouyang et al.,](#page-9-2) [2022\)](#page-9-2). In addition, **196** we report performance on the most recent OpenAI **197** language models, ChatGPT (gpt-35-turbo) and **198** GPT-4 [\(OpenAI,](#page-9-6) [2023\)](#page-9-6). Due to the cost of running **199** these models, we generate outputs using greedy **200** decoding with a single generation per input docu- **201** ment. **202** 

#### 3.3 Results **203**

LLM-based coreference outperforms previous **204** unsupervised systems Table [1](#page-2-1) shows the re- **205** sults between different coreference systems. We **206** note that prompting InstructGPT and GPT-4 out- **207** performs weak-SpanBERT and dcoref for pre- **208** dicted mentions, with performance gaps increas- **209**

<span id="page-2-0"></span><sup>2</sup> https://nlp.stanford.edu/software/dcoref.html

 ing for gold mentions. However, this ap- proach still considerably underperforms fully su- pervised systems. While all Llama-2 model variants underperform the dcoref baseline, we note that CodeLlama significantly outperforms Llama-2-Chat. CodeLlama-7B even matches the performance of Llama-2-Chat-70B.

 To further understand the strengths and weak- nesses of instruction-tuned LMs for coreference, we break down the results according to different *resolution classes* [\(Lu and Ng,](#page-9-10) [2020\)](#page-9-10). Specifically, for each coarse-grained mention class (named en- tity, pronoun, nominal), we compute the *resolu- tion accuracy*, which is the percentage of anaphors correctly linked to an antecedent (Figure [2\)](#page-3-0). We observe that InstructGPT does particularly well in pronoun resolution, corroborating previous work [\(Agrawal et al.,](#page-8-6) [2022\)](#page-8-6). It struggles more for named entities and the particularly difficult nominal reso- lution. However, InstructGPT still remains com- petitive with dcoref for these classes, with the gaps increasing when gold mentions are provided. In particular, InstructGPT (and even CodeLlama in gold mention setting) outperforms dcoref on challenging nominal phrases (Figure [2\)](#page-3-0).

 A simple yet effective approach for supervised fine-tuning coreference with **Llama-2** To fairly compare our approach with supervised coreference models, we finetuned Llama-2 7B and 13B using the full OntoNotes train set. The models are fine- tuned to generate the output document marked with coreference cluster IDs, given the document inputs formatted using the Document template. Gold men- tions are provided during both training and testing. To enable efficient fine-tuning on Llama-2 13B, we used QLoRA [\(Dettmers et al.,](#page-8-10) [2023\)](#page-8-10) integrated with the HuggingFace library [\(Wolf et al.,](#page-9-11) [2019\)](#page-9-11).

 Table [2](#page-3-1) compares two finetuned Llama-2 mod- els with two aforementioned supervised systems, coref-T0 11B parameters and SpanBERT+e2e. We note that finetuned Llama-2 achieves competitive results in this setting, surpassing SpanBERT+e2e and approaching coref-T0 despite having simpler text formats and generation procedures (e.g., no constrained beam search, no task-specific decoding actions).

<span id="page-3-3"></span>

**257** While prompting of LMs can be competitive with **258** previous coreference systems, the quality of can-**259** didate mentions has a considerable effect on the

<span id="page-3-0"></span>

Figure 2: Resolution accuracy by mention types (amongst the recalled mentions) on OntoNotes dev set.

<span id="page-3-1"></span>

System	CoNLL F <sub>1</sub>
coref- $T\theta$ (Zhang et al., 2023) SpanBERT+e2e (Joshi et al., 2020) $Llama-2(7B)$ $Llama-2(13B)$	94.8 91.1 91.2 92.8

Table 2: Supervised finetuning result on English OntoNotes development set, using gold mentions.

final performance. We quantify the importance **260** of high-quality Mention Detection (MD) by mea- **261** suring the models' performance when inputting **262** candidate mention sets generated by different men- **263** tion detectors (Figure [3\)](#page-4-0). Furthermore, we analyze **264** the performance of prompting LMs for mentions **265** with a simple template that outputs a list of named 266 entities, pronouns, and nominal phrases, given an **267** input text (Table [3\)](#page-3-2). We discuss these results below. **268**

<span id="page-3-2"></span>

Table 3: Mention detection recall broken down by mention types. In addition to being overall worse than dcoref, InstructGPT and GPT-4 particularly struggle with recalling nominal noun phrases.

<span id="page-4-1"></span>

Table 4: Qualitative examples of InstructGPT mention detection (top) and coreference resolution when gold mentions are given (bottom). Spans predicted by the model are wrapped around square brackets; Blue and red denote incorrect and correct predictions, respectively. Mention Detection: InstructGPT can predict most of the named entities and pronouns, but it still made numerous errors including extra entities (*Nine years*, *January 1992*), span errors (*Bill Clinton* vs *Bill Clinton's*), and missing mentions (*Mr. Clinton*). Antecedent Linking: InstructGPT exhibits near perfect antecedent linking ability, with the only exception being incorrectly linking *an affair with her* to *allegations of infidelity* (i.e. conflated entities error). Notably, it correctly resolved challenging cases like linking *claims* to *allegations of infidelity*. InstructGPT also exhibits some evidence of long-range ability when correctly resolving *it* to *allegations of infidelity*.

<span id="page-4-0"></span>

Figure 3: CoNLL  $F_1$  as a function of MD  $F_1$ , on OntoNotes dev set. All models were fed the same outputs from mention detection systems detailed in [§A.2.](#page-11-2)

 **InstructGPT** consistently outperforms **dcoref** as MD performance increases. In general, coref- erence performances of all models improve as men- tion detection score increases. This is not surpris- ing, as it has been similarly reported in previous work studying mention detection of neural corefer- ence resolution systems [\(Lu and Ng,](#page-9-10) [2020\)](#page-9-10). We fur- ther observe that CodeLlama underperforms while ChatGPT performs comparable to dcoref baseline. Nonetheless, InstructGPT again consistently out-performs dcoref, regardless of MD performance.

**280** Instruction-tuned LMs struggle with generat-**281** ing candidate mentions. Table [3](#page-3-2) shows that

InstructGPT and GPT-4 perform much worse than **282** dcoref. Further analysis by mention types shows **283** they particularly struggle to recall nominal men- **284** tions. A qualitative example in Table [4](#page-4-1) demon- **285** strates that while InstructGPT was able to recover **286** a considerable portion of named entities and pro- **287** nouns, it also made numerous errors, including **288** span errors, extra entities, and missing mentions **289** [\(Kummerfeld and Klein,](#page-8-11) [2013\)](#page-8-11). **290**

Given that what constitutes a mention can de-<br>291 pend heavily on the annotation guidelines of spe- **292** cific datasets and domains, it may be challenging to **293** ask a MD system to predict mentions without any **294** labeled examples. Since Mention Detection plays a **295** [c](#page-9-12)rucial role in coreference resolution [\(Wu and Gard-](#page-9-12) **296** [ner,](#page-9-12) [2021\)](#page-9-12) as well as its generalizability to different **297** domains, high-quality mention detection appears **298** to be a pre-requisite for prompt-based coreference **299** resolution. Fortunately, however, mention annota- **300** tion has been shown to be much less costly than **301** annotating full coreference chains [\(Gandhi et al.,](#page-8-12) **302** [2022\)](#page-8-12). **303**

#### 4 Generalization Beyond OntoNotes **<sup>304</sup>**

Although supervised neural models achieve supe- **305** rior results for coreference, they are also known to **306** struggle when generalizing across domains, some- **307** times even underperforming rule-based systems **308** [\(Moosavi and Strube,](#page-9-13) [2017\)](#page-9-13). As such, recent re- **309** search in coreference largely focus on the gen- **310**

<span id="page-5-2"></span>

<b>Dataset</b>	Test	Toks/Doc	$%$ Sing.
OntoNotes <sup>en</sup>	348	489	0.0
LitBank	10	2105	19.8
Character Iden.	192	262	6.4
WikiCoref	30	1996	0.0
<b>QuizBowlCoref</b>	400	126	26.0
OntoNotes <sup>zh</sup>	218	412	0.0
OntoNotes <sup>ar</sup>	44	681	0.0
SemEval <sup>ca</sup>	167	293	45.9
SemEval <sup>nl</sup>	72	666	13.0
SemEval <sup>1t</sup>	46	891	61.9
SemEval <sup>es</sup>	168	303	47.7
WSJ-1989	56	632	0.0
WSJ-2019	56	858	0.0
WSJ-2023	56	688	0.0

Table 5: Dataset statistics. The first five datasets are used as benchmarks in [Toshniwal et al.](#page-9-14) [\(2021\)](#page-9-14).We only include the number of test documents (first col.) since we evaluate the models on these datasets and did not explicitly use any train/dev data.

 eralization ability of neural models beyond the OntoNotes dataset [\(Xia and Van Durme,](#page-9-0) [2021;](#page-9-0) [Gandhi et al.,](#page-8-12) [2022\)](#page-8-12). Given that large LMs are pre-trained on lots of general-purpose data and are not optimized for a single coreference dataset, it seems plausible that instruction-tuned language models might also be effective across diverse texts. To explore this question, we examine how well instruction-tuned LMs generalize to different do- mains ([§4.1\)](#page-5-0), languages ([§4.2\)](#page-5-1), and time periods ([§4.3\)](#page-6-0). We mainly report results for InstructGPT and ChatGPT, given its competitive performance on OntoNotes while being less expensive than GPT-4 ([§3\)](#page-1-1). The diverse coreference datasets considered in this analysis are given in Table [5.](#page-5-2) Since mention detection has been shown to be fairly challenging ([§3.4\)](#page-3-3), we evaluate the experiments in this section using gold mentions.

## <span id="page-5-0"></span>**329** 4.1 Can LLMs resolve coreference across **330** domains?

 To study the robustness of our approach across domains, we use the datasets benchmarked in [Toshniwal et al.](#page-9-14) [\(2021\)](#page-9-14) due to the diversity in genres (news, Wikipedia, conversations), docu- ment lengths (long vs. short), and annotation guidelines (singletons vs. non-singletons). For evaluation, we follow the annotation schema of the corresponding dataset (i.e., if the dataset con-tains singletons, then we also output singletons). Similar to previous work in coreference domain **340** [a](#page-9-14)daptation [\(Xia and Van Durme,](#page-9-0) [2021;](#page-9-0) [Toshni-](#page-9-14) **341** [wal et al.,](#page-9-14) [2021\)](#page-9-14), we explore different systems  $342$ where different types of source and target train-  $343$ ing data are available. Specifically, in addition **344** to dcoref as in [§3,](#page-1-1) we include the *trained mod-* **345** *els* TRANSFER-ON [\(Xia and Van Durme,](#page-9-0) [2021\)](#page-9-0) and **346** longdoc-PC [\(Toshniwal et al.,](#page-9-14) [2021\)](#page-9-14), which were **347** respectively trained on the train set of OntoNotesen **<sup>348</sup>** (2,802 annotated documents of newswire and re- **349** ligious texts) and PreCo (36,120 documents of **350** reading comprehension examinations, collected in **351** [Chen et al.](#page-8-13) [\(2018\)](#page-8-13)). TRANSFER-ON was then further **352** finetuned on 10 labeled documents from the target **353** domains. Additionally, we include the *pretrained* **354** *encoder* SpanBERT [\(Xia and Van Durme,](#page-9-0) [2021\)](#page-9-0) as **355** a fine-tuning baseline (on a small amount of anno- **356** tated data), where a pretrained SpanBERT encoder **357** was not trained on a large source corpus and instead **358** directly finetuned on 10 target documents. [3](#page-5-3)

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**InstructGPT** appears to be robust for coref- **360** erence domain adapation. Table [6](#page-6-1) shows the **361** coreference domain generalization for various sys- **362** tems. While InstructGPT is competitive with **363** longdoc-PC, it still trails behind TRANSFER-ON **364** considerably. This indicates that transfer learn- **365** ing is still a preferred method for coreference do- **366** main adaptation, particularly when a large corpus **367** of training data and a few annotated documents in **368** the target domain are available. On the other hand, **369** when compared to models that were not trained **370** on source coreference datasets such as dcoref and **371** SpanBERT, InstructGPT outperforms them by a **372** significant margin. This demonstrates the robust- **373** ness of InstructGPT for coreference domain adap- **374** tation when using as a black-box model. **375**

## <span id="page-5-1"></span>4.2 Can LMs also generalize coreference **376** across languages? **377**

To test the generalization of InstructGPT on re- **378** solving coreference across multiple languages, **379** we experimented with Chinese and Arabic por- **380** tions of OntoNotes and the multilingual coref- **381** erence SemEval-2010 dataset [\(Recasens et al.,](#page-9-15) **382** [2010\)](#page-9-15). A notable difference between OntoNotes **383** and SemEval-2010 is the annotations of singletons, **384** which has led to different evaluation methods for **385** SemEval-2010. We follow the evaluation setting of **386** previous work for each of the evaluated languages: **387**

<span id="page-5-3"></span><sup>&</sup>lt;sup>3</sup> Figure 1 of [Xia and Van Durme](#page-9-0) [\(2021\)](#page-9-0). Models summary detailed in Table [14](#page-14-0)

<span id="page-6-1"></span>

Model	# Train Docs	ON <sup>en</sup>	LB	CI	WC-	<b>OBC</b>	Avg.
TRANSFER-ON (Xia and Van Durme, 2021)	$2.8k \rightarrow 10$		85.0		$\overline{\phantom{0}}$	85.0	85.0
SpanBERT (Xia and Van Durme, 2021)	$0 \rightarrow 10$	$\overline{\phantom{a}}$	69.0	$\overline{\phantom{a}}$	$\blacksquare$	65.0	67.0
dcoref (Lee et al., $2013$ )	$0 \rightarrow 0$	72.9	55.4	$\blacksquare$	72.4	34.8	59.0
longdoc-PC (Toshniwal et al., 2021)	$36k \rightarrow 0$	76.8	81.1	66.5	67.0	77.3	73.7
CodeLlama $(34B)$	$0 \rightarrow 0$	61.7	47.8	58.3	67.9	58.8	58.9
InstructGPT	۰	80.8	77.0	72.6	72.9	68.3	74.3
ChatGPT		77 9	70.8	67.2	70.8	69.9	71.3

Table 6: CoNLL  $F_1$  on different English coreference datasets, with the macro average shown in the last column. Best result is in bold while the second best is underlined. # train docs column indicates the number of train documents from the source domain  $\rightarrow$  number of train documents from target domains. TRANSFER-ON and longdoc-PC were trained on large corpus of source examples; TRANSFER-ON and SpanBERT were fine-tuned on limited target examples; dcoref was not trained on any corpus. Overall, InstructGPT exhibits strong generalization results when using out-of-the-box.

<span id="page-6-2"></span>

Lang.	TRANSFER-EN $2.8k \rightarrow 10$	XLM-R $0 \rightarrow 10$	<b>InstructGPT</b>
Chinese (zh)	75.0	70.0	77.3
Arabic (ar)	80.0	49.0	65.6
Catalan (ca)	52.0	29.0	41.9
Dutch (nl)	71.0	42.0	70.8
Italian (it)	46.0	25.0	41.4
Spanish (es)	57.0	35.0	42.2

Table 7: CoNLL  $F_1$  on the non-English portions of OntoNotes (Chinese and Arabic) and the SemEval-2010 dataset. Best result is in bold while the second best is underlined.

 excluding singletons from both predicted and eval- uation clusters for Chinese and Arabic, while ex- cluding singletons from predicted set but keeping them in evaluation sets for other languages. We refer to Section 5 of [Bohnet et al.](#page-8-2) [\(2022\)](#page-8-2) for more discussion on this.

 Similar to [§4.1,](#page-5-0) we compare InstructGPT with [n](#page-9-0)eural transfer-learning models from [Xia and](#page-9-0) [Van Durme](#page-9-0) [\(2021\)](#page-9-0), TRANSFER-EN and XLM-R. Both use a pretrained XLM-RoBERTa-large encoder fine- tuned with 10 documents from the target language. We note that TRANSFER-EN was previously trained on English OntoNotes before continuing training on the target language, which makes it a stronger model than XLM-R. TRANSFER-EN and XLM-R corre- spond to TRANSFER-ON and SpanBERT from [§4.1,](#page-5-0) respectively, with the only difference being the pre-trained encoder (XLM-R vs. SpanBERT).

 **InstructGPT** can also effectively resolve coref- erence across languages. From Table [7,](#page-6-2) we ob- serve similar conclusions to [§4.1:](#page-5-0) continued learn-ing using a large source corpus with a handful of

annotated examples from target languages still per- **410** forms the best. Nonetheless, InstructGPT was **411** able to outperform XLM-R across all languages, and **412** is even on par with TRANSFER-EN for Chinese and **413** Dutch. This result indicates the importance of a **414** source English coreference corpus for continued **415** learning. 416

#### <span id="page-6-0"></span>4.3 What about different time periods? **417**

An interesting dimension to analyze the robust- **418** ness of coreference generalization is temporal **419** [c](#page-9-16)hanges [\(Agarwal and Nenkova,](#page-8-14) [2022;](#page-8-14) [Liu and](#page-9-16) **420** [Ritter,](#page-9-16) [2023\)](#page-9-16), since having coreference systems **421** that can generalize beyond datasets that were cre- **422** ated over a decade ago (e.g., OntoNotes) can **423** be beneficial. To that end, we compare dcoref **424** and several instruction-tuned LMs on three new **425** silver-annotated coreference datasets from different **426** time periods: **WSJ-1989**, **WSJ-2019**, and **WSJ-2023**, **427** each containing 56 Wall Street Journal articles **428** from 1989, 2016-2019, and 2023, respectively. **429** WSJ-1989 is a subset of the OntoNotes dev set **430** and thus contains gold coreference annotation. **431** WSJ-2019 was sampled from the RealNews dataset **432** [\(Zellers et al.,](#page-9-17) [2019\)](#page-9-17) dated from February 2015 to **433** February 2019, and WSJ-2023 from the WSJ web- **434** site between May and June 2023. Since these two **435** datasets do not have coreference annotations, we **436** used SpanBERT [\(Joshi et al.,](#page-8-1) [2020\)](#page-8-1), which was **437** fine-tuned on the in-domain OntoNotes train set, **438** to obtain *silver annotations* for all three datasets. **439** We then evaluate the models on these silver an- **440** notations, with mentions given as before. Further **441** details on how we sampled and annotated these **442** datasets are presented in [§A.3.](#page-11-3) 443

<span id="page-7-0"></span>

<b>Dataset</b>	1989 (G)	1989 (S)	2019 (S)	2023 (S)	
dcoref	72.4	70.8	63.6	66.9	15.7
CodeLlama-34B	61.9	57.4	55.7	55.3	9.1
InstructGPT	80.9	78.2	80.5	81.7	2.3
ChatGPT	76.8	753	76 7	74 3	2.5

Table 8: CoNLL  $F_1$  and variance (last column) on Wall Street Journal articles from different time periods. G and S denote Gold and Silver annotations, respectively. Prompting LMs appears more robust to temporal changes than dcoref.

 Prompting instruction-tuned LMs is robust to temporal changes. Table [8](#page-7-0) shows the results. We first observe a decrease when moving from gold to silver annotations for all models. More impor- tantly, we see more degradation and variance in performance of dcoref for the different temporal datasets, whereas the variance is less pronounced for InstructGPT and ChatGPT. While CodeLlama- 34B underperforms dcoref baseline, it also ob- serves less variance when evaluated on different temporal datasets.

#### **<sup>455</sup>** 5 Related Work

 Domain Adaptation for Coreference Previous work has reported that neural models trained on a single dataset struggled with out-of-domain gener- alization, with some performing worse than rule- based systems [\(Moosavi and Strube,](#page-9-13) [2017\)](#page-9-13). Several solutions to this challenge have been proposed with varying success: [Xia and Van Durme](#page-9-0) [\(2021\)](#page-9-0) shows that continued training can help generalize to dif- ferent domains and languages with as few as 10 annotated documents, and [Toshniwal et al.](#page-9-14) [\(2021\)](#page-9-14) leverages joint training on large coreference cor- pora with different annotations to help neural mod- els adapt to new domains. Recently, [Gandhi et al.](#page-8-12) [\(2022\)](#page-8-12) demonstrates that adapting mention anno- tations to new domains instead of the entire coref- erence chains is more cost-efficient while also im- proves domain adaptation performance. In contrast to the above work, we propose to prompt general- purpose language models for coreference resolu- tion and show promising generalization capabilities across domains. Our findings also align with con- temporaneous work [Nori et al.](#page-9-18) [\(2023\)](#page-9-18), which shows that prompting can unlock specialized capabilities in general-purpose LLMs.

**480** Conditional Text Generation for Coreference **481** Research in coreference resolution has been dominated by neural span-based models that score coref- **482** [e](#page-8-1)rence links between spans [\(Lee et al.,](#page-8-0) [2017;](#page-8-0) [Joshi](#page-8-1) **483** [et al.,](#page-8-1) [2020\)](#page-8-1). Recently, a new paradigm for coref- **484** erence starts to emerge: formulating coreference **485** resolution as conditional text generation [\(Liu et al.,](#page-9-19) **486** [2022;](#page-9-19) [Bohnet et al.,](#page-8-2) [2022;](#page-8-2) [Zhang et al.,](#page-10-0) [2023\)](#page-10-0). Both **487** [Liu et al.](#page-9-19) [\(2022\)](#page-9-19) and [Bohnet et al.](#page-8-2) [\(2022\)](#page-8-2) fine- **488** tuned T5-based models on sequences of structured- **489** building actions, with the former achieving com- **490** petitive results for structured prediction tasks and **491** the latter achieving SOTA results for coreference **492** resolution. [Zhang et al.](#page-10-0) [\(2023\)](#page-10-0) finetuned T0 mod- **493** els on a simpler text sequences that directly encode **494** coreference annotations, yet achieved comparable **495** results to [Bohnet et al.](#page-8-2) [\(2022\)](#page-8-2). While our work falls **496** into this category, we are interested the intrinsic **497** ability of the language model to resolve corefer- **498** ence, using an autoregressive language model on **499** an instruction-based prompt format. **500**

**Prompting LMs for Coreference** With the suc- 501 cess of zero-shot and few-shot prompting of large **502** language models on various NLP benchmarks, 503 we ask to what extent this success translates to  $504$ more traditional NLP tasks like coreference res- **505** olution. [Manning et al.](#page-9-20) [\(2020\)](#page-9-20) shows evidence **506** [o](#page-8-15)f linguistic abilities in masked LMs and [Blevins](#page-8-15) **507** [et al.](#page-8-15) [\(2022\)](#page-8-15) presents a structured prompting ap- **508** proach that achieves strong few-shot results for **509** sequence tagging tasks. For coreference resolution, 510 prior work has mostly focused on few-shot learn- **511** ing for sentence-level, syntactically simple corefer- **512** ence datasets such as Winograd Schema Challenge **513** [\(Levesque et al.,](#page-8-16) [2012\)](#page-8-16) and for pronoun resolution **514** on clinical data [\(Agrawal et al.,](#page-8-6) [2022\)](#page-8-6). **515**

### 6 Conclusion **<sup>516</sup>**

In this paper, we study how well instruction-tuned **517** language models resolve coreference via prompt- **518** ing. We demonstrate the feasibility of this approach **519** on the CoNLL-2012 benchmark, surpassing previ- **520** ous unsupervised systems but still underperforming **521** state-of-the-art supervised models. Interestingly, **522** prompting instruction-tuned LMs appears to gen- **523** eralize well across a wide range of domains, lan- **524** guages, and time periods, particularly if no training **525** examples are given. Nonetheless, it still trails be- **526** hind continued learning with a large training corpus **527** in the source domain and a handful of annotated **528** examples in the target domain. **529**

## **<sup>530</sup>** Limitations

 Because OpenAI GPT models are proprietary mod- els, we do not know whether or not OntoNotes was included in its training data. However, at the time of writing, there is some evidence against OntoNotes data contamination. First, a previous probe that aimes to measure data contamination and memorization of OntoNotes on ChatGPT showed 538 negative results. <sup>[4](#page-8-17)</sup> Second, our experiment in [§4.3](#page-6-0) includes data sampled after the models' training cutoff date (September 2021), yet still shows a ro- bust F<sub>1</sub>. Finally, the conclusions in this paper still stand regardless of whether or not these models trained on OntoNotes: (1) prompting instruction- tuned LMs is a feasible strategy for coreference res- olution, and (2) although this approach has unique strengths and weaknesses, it is robust across many domains, languages, and time periods.

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# **753** A.1 Preliminaries on Prompt Formatting

<span id="page-11-1"></span><span id="page-11-0"></span>

Table 9: Results of different prompt configurations for coreference on a subset of OntoNotes dev set, using gold mentions. Note that dcoref achieves  $71.9 \text{ F}_1$  on the same dataset.

 Question-Answer Prompting for Coreference During preliminary studies, we experimented with different approaches for prompting coreference [f](#page-9-2)rom previous work [\(Agrawal et al.,](#page-8-6) [2022;](#page-8-6) [Ouyang](#page-9-2) [et al.,](#page-9-2) [2022\)](#page-9-2). However, we found that the com- mon Question-Answer template performed con- sistently worse than the deterministic coreference system dcoref [\(Lee et al.,](#page-8-8) [2013\)](#page-8-8), despite adding in-context demonstrations to provide formatting guidance [\(Agrawal et al.,](#page-8-6) [2022\)](#page-8-6). Qualitative, while this format seems effective at resolving pronouns, it struggles with more ambiguous nominal noun phrases. For example, asking it to resolve *an af- fair with her* in Table [16](#page-16-0) using QA template would yield an incorrect answer *allegations of infidelity*.

 Question-Answer vs. Document Template We further found that the Document template (Table [12\)](#page-13-0) was more effective than the QA template at resolving coreference. Table [A.1](#page-11-1) shows the re- sults on several LMs and prompt configurations. For k-shot experiments, we first randomly sam- pled a set of 64 documents from the OntoNotes train set. For each development example, we again randomly sampled in-context demonstrations from this smaller train set until the max context len is exceeded (average 5 demonstrations for QA and 2 for Doc). We observe that larger LMs such as InstructGPT and GPT-4 outperformed dcoref us- ing Document template. Interestingly, adding in- context demonstrations for this approach did not improve the LMs performance. We hypothesize that the Document prompts need less formatting guidance in the answer compared to open-ended QA, hence in-context demonstrations would be less effective here. We further note that this template is loosely similar to the entity-based approach to coreference, where the model links a mention with

previous clusters, as opposed to the mention-paired **791** [a](#page-8-18)pproach exemplified by the QA template [\(Juraf-](#page-8-18) **792** [sky and Martin,](#page-8-18) [2000\)](#page-8-18). In addition, extracting the **793** predicted clusters from the generated text is easier **794** than other formats, as InstructGPT would directly **795** annotate the text with the cluster information (we **796** extract cluster information using a simple fuzzy **797** string matching algorithm by comparing the output **798** text to input text, sentence-by-sentence). **799**

#### <span id="page-11-2"></span>A.2 Mention Detection Experiments **800**

To experiment with different qualities of candi- **801** date mention sets, we adapting different exist- **802** ing methods for the task of Mention Detection: **803** given an input document, extract all the candi- **804** date mentions from the text. For mention detec- **805** tion, we mainly consider the mention detector from **806** dcoref as well as the prompting of InstructGPT **807** for MD using template in Table [12.](#page-13-0) In addi- **808** tion, to see the effects of having high-quality men- **809** tions on dcoref and InstructGPT, we also con- **810** sider outputs from SpanBERT-large trained on 811 OntoNotes train set [\(Joshi et al.,](#page-8-1) [2020\)](#page-8-1) and a **812** [N](#page-8-19)ER tagger with xlm-roberta-large [\(Conneau](#page-8-19) **813** [et al.,](#page-8-19) [2020\)](#page-8-19) trained on BIO labels adapted from **814** OntoNotes annotations. We note that these sys- **815** tems are not directly comparable to each other, **816** since they were trained on different annotatations: 817 SpanBERT-large on full coreference data and **818** xlm-roberta-large on non-nested MD data. **819**



Table 10: MD results of different systems considered in Figure [3.](#page-4-0) SpanBERT-large was trained on full coreference (CR) data, xlm-roberta-large trained on mention-annotated-only (MD) OntoNotes train set, dcoref was not trained on any corpus, and InstructGPT exact training procedures are unknown.

#### <span id="page-11-3"></span>A.3 Temporal Generalization for Coreference **820**

Data Sampling To sample the appropriate data **821** for this experiment, we start with the Wall Street **822** Journal sections of the RealNews [\(Zellers et al.,](#page-9-17) **823** [2019\)](#page-9-17) and OntoNotes dev set. We used SpanBERT **824** [\(Joshi et al.,](#page-8-1) [2020\)](#page-8-1) to label all 56 WSJ articles from **825** OntoNotes to obtain WSJ-1989 (CoNLL F<sub>1</sub> using 826

<span id="page-12-1"></span>

Figure 4: Distributions of WSJ-1989 (blue), WSJ-2019 (orange), and WSJ-2023 (green) based on document length (left) and number of mentions per document (right). The number of mentions per document is measured using the silver annotations from SpanBERT [\(Joshi et al.,](#page-8-1) [2020\)](#page-8-1).

**SpanBERT** on WSJ-1989 is shown on Table [11\)](#page-12-0). To create WSJ-2019, we first labeled all 191 WSJ articles from RealNews using SpanBERT as above. We then sampled 56 articles using stratified sam- pling based on two features: document length and number of mentions per document. Specifically, we partitioned the WSJ RealNews articles into bins based on document lengths (bin size = 500 tokens), and for each document-length bin we further parti- tioned based on the number of mentions (mention size = 50). We then sampled the appropriate num- ber of documents (i.e., the number of WSJ-1989 documents in each partition) for each bin to obtain WSJ-2019. For WSJ-2023, we randomly collected 56 articles from the WSJ website dated between May and June 2023 based on document lengths and topics. The distributions of three datasets are shown in Figure [4.](#page-12-1)

<span id="page-12-0"></span>

Dataset	$CoNLL F_1$						
<b>OntoNotes</b>	79.2						
WSJ-1989	74.5						

Table 11: CoNLL  $F_1$  when running SpanBERT [\(Joshi](#page-8-1) [et al.,](#page-8-1) [2020\)](#page-8-1) on OntoNotes dev set and WSJ-1989.

#### A.4 OpenAI API Details

 To maximize reproducibility, we use unconstrained greedy decoding with the temperature parameter set to 0 in all our GPT-related experiments. For InstructGPT, we generated approximately 18 mil- lion tokens for all our official experiments, or an equivalent of \$360. For ChatGPT and GPT-4, we generated approximately 15 million tokens

(\$50) and 1 million tokens (\$60), respectively. **853** InstructGPT experiments were conducted before **854** June 2023, and ChatGPT/GPT-4 experiments before **855** December 2023. **856**

#### <span id="page-13-0"></span>Question-Answer Template

**Instructions**: Please carefully read the following passages. For each passage, you must identify which noun the mention marked in \*bold\* refers to. **Context**: In the summer of 2005, a picture that people have long been looking forward to started emerging with frequency in various major Hong Kong media. With their unique charm, these well-known cartoon images once again caused Hong Kong to be a focus of worldwide attention. The world's fifth Disney park will soon open to the public here. The most important thing about Disney is that  $*it*$  is a global brand. **Question**: What does \*it\* refer to? **Answer**: \*it\* refers to Disney.

#### Document Template

Annotate all entity mentions in the following text with coreference clusters. Use Markdown tags to indicate clusters in the output, with the following format [mention](#cluster\_name) **Input**: In the summer of 2005, a picture that people have long been looking forward to started emerging with frequency in various major [Hong Kong](#) media . With [their](#) unique charm, [these well-known cartoon images](#) once again caused [Hong Kong](#) to be a focus of worldwide attention. [The world's fifth [Disney](#) park](#) will soon open to the public here. The most important thing about [Disney](#) is that  $[it](#)$  is a global brand. **Output**: In the summer of 2005, a picture that people have long been looking forward to started emerging with frequency in various major [Hong Kong](#cluster\_0) media. With [their](#cluster\_1) unique charm, [these well-known cartoon images](#cluster\_1) once again caused [Hong Kong](#cluster\_0) to be a focus of worldwide attention. [The world's fifth [Disney](#cluster\_3) park](#cluster\_2) will soon open to the public here. The most important thing about [Disney](#cluster\_3) is that [it](#cluster\_3) is a global brand.

#### Mention Detection Template

In the following text, list all named entities, pronouns, and nominal noun phrases according to the OntoNotes conventions. **Input:** In the summer of 2005, a picture that people have long been looking forward to started emerging with frequency in various major Hong Kong media. With their unique charm, these well-known cartoon images once again caused Hong Kong to be a focus of worldwide attention. The world's fifth Disney park will soon open to the public here. The most important thing about Disney is that it is a global brand. **Output:** Named Entities: Hong Kong Pronouns: their, it, many, its, that, its, this Nominal Noun Phrases: these well-known cartoon images, the world's fifth Disney park

Table 12: Examples of coreference and mention detection prompt templates used in this work.



Table 13: Detailed statistics of datasets. Following prior work on multilingual coreference resolution [\(Bohnet et al.,](#page-8-2) [2022;](#page-8-2) [Xia and Van Durme,](#page-9-0) [2021\)](#page-9-0), we excluded SemEval English as the data overlaps with English OntoNotes, and SemEval-2010 German due to licensing issues. We also excluded GAP, WSC, and PreCo from the benchmarks in [Toshniwal et al.](#page-9-14) [\(2021\)](#page-9-14): GAP and WSC due to the simplicity of these datasets as well as being extensively studied by previous work, and PreCo for not being able to obtain it despite contacting the authors.

<span id="page-14-0"></span>

Table 14: Summary of models

System	<b>MUC</b>		B <sup>3</sup>		$CEAF_{\phi_4}$		CoNLL			
		$\mathbb{R}$	F <sub>1</sub>	P	$\mathbb{R}$	$F_1$	$\mathbf P$	$\mathbb{R}$	$F_1$	$F_1$
Predicted mentions										
<i>coref-mt5</i> (Bohnet et al., 2022)	87.4	88.3	87.8	81.8	83.4	82.6	79.1	79.9	79.5	83.3
SpanBERT+e2e (Joshi et al., 2020)	85.8	84.8	85.3	78.3	77.9	78.1	76.4	74.2	75.3	79.6
dcoref (Lee et al., $2013$ )	67.7	67.8	67.7	59.3	52.8	55.9	49.3	56.0	52.5	58.6
weak-SpanBERT (Stolfo et al., 2022)	67.4	69.8	68.6	52.4	61.8	56.7	54.1	51.4	52.7	59.3
Llama-2-Chat (Touvron et al., 2023)	60.2	29.6	39.7	55.8	34.0	42.3	14.7	45.5	22.2	34.7
CodeLlama (Rozière et al., 2023)	54.3	61.0	57.5	34.3	49.6	40.6	22.4	29.1	25.3	41.1
InstructGPT (Ouyang et al., 2022)	71.1	69.7	70.4	58.1	58.6	58.4	60.6	45.1	51.7	60.1
ChatGPT (OpenAI, 2022)	67.3	66.5	66.9	54.3	56.8	55.5	43.9	49.5	46.5	56.3
gpt-4 (OpenAI, 2023)	73.9	73.5	73.7	60.8	64.7	62.7	49.3	55.7	52.3	62.9
			Gold mentions							
dcoref (Lee et al., $2013$ )	90.0	74.5	81.6	84.2	59.7	70.0	74.4	61.4	67.3	72.9
11ama-2-7B-chat (Touvron et al., 2023)	60.3	11.8	19.7	86.8	26.2	40.2	15.9	40.5	22.8	27.6
Llama-2-Chat (Touvron et al., 2023)	86.7	43.8	58.2	88.8	52.2	65.7	24.0	60.3	34.4	52.8
codellama-7B (Rozière et al., 2023)	72.2	70.7	71.5	45.2	68.7	54.5	30.1	32.1	31.1	52.4
CodeLlama (Rozière et al., 2023)	78.5	72.9	75.6	63.5	69.9	66.5	39.0	48.3	43.1	61.7
InstructGPT (Ouyang et al., 2022)	89.6	88.9	89.2	76.0	89.2	79.4	84.8	65.2	73.7	80.8
ChatGPT (OpenAI, 2022)	88.2	84.4	86.2	79.3	79.3	79.3	65.6	71.2	68.3	77.9
gpt-4 (OpenAI, 2023)	93.8	93.7	93.7	86.5	91.1	88.8	83.5	82.0	82.8	88.4

Table 15: Result on English OntoNotes test set for predicted mentions (top) and gold mentions (bottom). Fully supervised systems are italicized.

<span id="page-16-0"></span>

Table 16: A qualitative examples of InstructGPT and dcoref coreference predictions under various setting: Row 1 shows InstructGPT mention detection result; Row 2 shows InstructGPT coreference results using dcoref predicted mentions; Row 3 and 4 show dcoref and InstructGPT coreference results using gold mentions; and last row is the gold output.



Table 17: An example where InstructGPT struggles to resolve coreference, even on gold mentions. The most notable case is with nested mentions (e.g.,  $[about two to three hundred of  $[them]_3]_3$ ).$