#### **000 001 002 003 004** MAMBA-HMIL: HIERARCHICAL MULTIPLE IN-STANCE LEARNING VIA STATE SPACE MODEL FOR WHOLE SLIDE IMAGE DIAGNOSIS

#### Anonymous authors

Paper under double-blind review

### ABSTRACT

Multiple instance learning (MIL) has been widely employed for gigapixel whole slide image (WSI) diagnosis. Existing MIL methods, however, are found wanting to align with the clinical practice of pathologists, who typically scrutinize WSIs at varied scales and compare the local regions in a global perspective. Given that WSIs usually boast immense dimensions peppered with large regions not pertinent to diagnosis, we propose a novel hierarchical multiple instance learning method based on the state space model (SSM) , called Mamba-HMIL, for WSI classification. Mamba-HMIL consists of three primary modules to enhance the performance of MIL. First, the hierarchical feature extractor harvests features across diverse scales. Second, for capturing the correlation among patches, the state space model demonstrates robust modeling capabilities. A Mixture of Experts (MoE) module is for stable SSM training. Third, the adaptive selection model strives to reduce redundancies by focusing on disease-positive regions. We evaluate Mamba-HMIL on two WSI subtype datasets (TCGA-NSCLC and TCGA-RCC) and two WSI survival datasets (TCGA-BRCA and TCGA-BLCA). Our results suggest that Mamba-HMIL outperforms existing MIL methods on both WSI tasks. Our code will be made publicly available.

## 1 INTRODUCTION

**032 033 034 035 036 037 038 039 040 041 042 043** Pathological image analysis serves as the gold standard for cancer diagnosis [Kumar et al.](#page-10-0) [\(2014\)](#page-10-0). Rapid advancements in scanning technologies [Farahani et al.](#page-9-0) [\(2015\)](#page-9-0) have digitized pathological scans into whole slide images (WSIs) of up to  $100,000 \times 100,000$  pixels. Analyzing these WSIs can be a labor-intensive and time-consuming task that demands considerable expertise and concentration from pathologists [Evered & Dudding](#page-9-1) [\(2011\)](#page-9-1). Recent studies indicate that computer-aided methods could alleviate these demands [Tizhoosh & Pantanowitz](#page-10-1) [\(2018\)](#page-10-1); [Bera et al.](#page-9-2) [\(2019\)](#page-9-2); [Niazi et al.](#page-10-2) [\(2019\)](#page-10-2); [Colling et al.](#page-9-3) [\(2019\)](#page-9-3); [Jiang et al.](#page-10-3) [\(2020\)](#page-10-3). However, due to the immensity of WSIs, computer-aided analysis needs huge computational resources,posing a considerable challenge [Evered & Dudding](#page-9-1) [\(2011\)](#page-9-1). To address this, researchers have cropped each WSI into a large number of patches, which can be treated as a bag of instances. Thus, cancer diagnosis using WSIs has been formulated into a multiple instance learning (MIL) problem, where each bag (*i.e.*, a WSI) has a label but each instance (*i.e.*, a patch) inside a bag has no label.

**044 045 046 047 048 049 050 051 052 053** With the advent of convolutional neural networks (CNNs), numerous CNN-based MIL methods have been proposed for WSI diagnosis [Chikontwe et al.](#page-9-4) [\(2020\)](#page-9-4); [Lerousseau et al.](#page-10-4) [\(2020\)](#page-10-4); [Xu et al.](#page-10-5) [\(2014\)](#page-10-5); [Feng & Zhou](#page-9-5) [\(2017\)](#page-9-5); [Ilse et al.](#page-10-6) [\(2018\)](#page-10-6); [Campanella et al.](#page-9-6) [\(2019\)](#page-9-6); [Lu et al.](#page-10-7) [\(2021\)](#page-10-7); [Li et al.](#page-10-8) [\(2021\)](#page-10-8); [Shao et al.](#page-10-9) [\(2021\)](#page-10-9). These methods can be categorized into instance-level and embedding-level ones. Instance-level methods predict the pseudo-label of each instance based on bag-level labels, and then aggregate instance-level pseudo-labels to form the bag-level prediction [Chikontwe et al.](#page-9-4) [\(2020\)](#page-9-4). These methods usually have inferior performance due to their sensitivity to instance-level labels. Embedding-level methods convert each instance into a feature embedding, and then feed the feature embeddings from the same bag to an aggregator for bag-level prediction [Xu et al.](#page-10-5) [\(2014\)](#page-10-5); Feng  $\&$ [Zhou](#page-9-5) [\(2017\)](#page-9-5); [Ilse et al.](#page-10-6) [\(2018\)](#page-10-6); [Campanella et al.](#page-9-6) [\(2019\)](#page-9-6); [Lu et al.](#page-10-7) [\(2021\)](#page-10-7); [Li et al.](#page-10-8) [\(2021\)](#page-10-8); [Shao et al.](#page-10-9) [\(2021\)](#page-10-9). Despite their notable success, these methods exhibit several major drawbacks. First, a WSI may present variable diagnostic information at different scales (Figure [1\)](#page-1-0). For instance, a pathologist



<span id="page-1-0"></span>Figure 1: Reading behavior of pathologists.

**066 067 068 069 070 071 072** may examine a WSI at multiple scales before making the final diagnosis, *e.g.*, determining if the tissue is necrotic in a global view and whether there is mitoses or microvascular proliferation in a local view ?. Second, given the enormous size of each WSI, there inevitably exists long-range correlation among tissue/tumor regions that may be corrupted by partitioning the WSI into patches and extracting patch-level features independently. Third, for each WSI, only a small number of patches contain disease-positive regions, while the majority contain disease-negative regions, leading to severe information redundancy. Therefore, it is critical to select the most informative instances (patches) in each bag before aggregation.

**073 074 075 076 077 078 079 080 081 082 083** To address these drawbacks, in this paper, we propose a state space model-based hierarchical multiple instance Learning (Mamba-HMIL) method for cancer diagnosis using WSI. Our Mamba-HMIL consists of three major parts. First, we deploy hierarchical encoders to extract multiscale features, mirroring the practice of a pathologist. Second, we employ a state space model (SSM) for feature aggregation to capture long-range correlations among tissue and tumor regions across thousands of patches while maintaining a manageable computational cost. Additionally, to ensure stable training, we incorporate a Mixture of Experts (MoE) and sequence fusion module to balance the contributions of each SSM sequence. Third, we insert an adaptive selection module to filter out disease-negative patches before classification. We verify the effectiveness of each component of our Mamba-HMIL and evaluate it against existing subtype classification and survival prediction methods using four public datasets. The contributions of this work are two-fold.

- We propose a novel solution to WSI classification, which extracts multiscale features, estimates the long-range correlation among tissue/tumor regions, and utilizes sparse selection to mitigate patch redundancy.
- The proposed Mamba-HMIL beats all competing methods on two public WSI classification datasets, setting the new state of the art.
- 2 RELATED WORK

**063 064 065**

**093 094 095 096 097 098 099 100** Various MIL methods have been proposed to solve the weakly supervised classification task [Zhou &](#page-11-0) [Hua](#page-11-0) [\(2004\)](#page-11-0). MIL was proposed for the first time and applied for drug activity prediction. Dietterich et al. [Dietterich et al.](#page-9-7) [\(1997\)](#page-9-7) compared three kinds of methods: a noise-tolerant algorithm, an "outside" algorithm, and an "inside-out" algorithm. The "inside-out" algorithm named region growing achieves the best results among these three methods. Maron  $et al$ . Maron  $\&$  Ratan [\(1998\)](#page-10-10) first used MIL in natural scene image classification. Zhou *et al.* [Zhou et al.](#page-11-1)  $(2012)$  defined a multiple instance and multi-label (MIML) task for scene image classification. With the development of deep learning, a large number of deep learning based MIL is proposed to solve various tasks.

**101 102 103 104 105 106 107** In particular, MIL has been widely used in digital pathological image analysis. With the development of deep learning, deep learning based MIL achieves great success in digital pathological image analysis [Xu et al.](#page-10-5) [\(2014\)](#page-10-5); [Ilse et al.](#page-10-6) [\(2018\)](#page-10-6); [Campanella et al.](#page-9-6) [\(2019\)](#page-9-6); [Lu et al.](#page-10-7) [\(2021\)](#page-10-7); [Li et al.](#page-10-8) [\(2021\)](#page-10-8); [Shao et al.](#page-10-9) [\(2021\)](#page-10-9). Xu *et al.* [Xu et al.](#page-10-5) [\(2014\)](#page-10-5) classified pathological images by establishing a deep MIL paradigm, where instance feature representations were operated by deep learning networks and aggregated by MIL. Pinheiro et al. [Pinheiro & Collobert](#page-10-11) [\(2015\)](#page-10-11) proposed a pooling-based method such as mean-pooling or max-pooling. Ilse *et al.* [Ilse et al.](#page-10-6) [\(2018\)](#page-10-6) proposed an attention-based deep MIL method, which was just a linear weighted combination. Campanella  $et$  al. [Campanella et al.](#page-9-6)



Figure 2: Framework of our proposed Mamba-HMIL, including three components: hierarchical feature extractor (HFE), state space model (Mamba), Mixture of Experts (MoE), sequence fusion (SF), and adaptive selection (AS) block. In particular, a WSI is first cropped into multiscale patches  $(10\times$  and  $20\times$ ), which are regarded as multiscale bags of instances. The level  $10\times$  instances are passed through the feature extractor  $E_1$  to produce level  $10\times$  embeddings. By combining these embeddings in various ways, we generate different sequences Seq 1, Seq 2, ..., Seq N. These sequences are then fed into the Mamba, MoE, and SF blocks. These selected embeddings, together with the level  $20\times$  embeddings, undergo hierarchical fusion processing to merge multiscale features. Subsequently, the fused sequence embeddings are filtered by the AS block, selecting those with a high probability of being positive. The embeddings with higher positive likelihood are retained and passed through the MLP head, culminating in a bag-level prediction.

 

 

[\(2019\)](#page-9-6) proposed a recurrent neural network (RNN) based MIL aggregation that took the relation of neighboring instances into account. Li  $et$  al. [Li et al.](#page-10-8) [\(2021\)](#page-10-8) proposed a dual-stream MIL, which used the relation between the most possible positive instance and other instances, but ignored the correlation of other instances. Shao et al. [Shao et al.](#page-10-9) [\(2021\)](#page-10-9) developed a Transformer-based MIL that considered the correlation among instances, but its performance improvement is largely dependent on a pyramid convolutional block. Zhanget al. [Zhang et al.](#page-11-2) [\(2022\)](#page-11-2) proposed DTFD-MIL to use pseudo bags and feature distillation. Chenet al. [Chen et al.](#page-9-8) [\(2022a\)](#page-9-8) proposed a hierarchical self-supervised learning method for WSI classification. Yanget al. [Yang et al.](#page-10-12) [\(2024\)](#page-10-12) explored Mamba-MIL, and used Bi-Mamba for sequence correltaion.

3 METHOD

#### 

 3.1 MULTIPLE INSTANCE LEARNING

 MIL is an effective method to classify bags which contain uncertain number of instances. According to the hypothesis of MIL for binary classification task, each bag has a label. If a bag contains at least one positive instance, the label of bag is positive. On the other hand, if the instances in a bag are all negative, the label of bag is negative. Supposing that X is a bag with label  $Y \in \{0, 1\}$ , which contains several instances  $\{x_1, x_2, \dots, x_n\}$  with labels  $\{y_1, y_2, \dots, y_n\}$ ,  $y_i \in \{0, 1\}$ , an MIL task

<span id="page-3-0"></span>**162 163 164 165 166 167 168 169 170 171 172 173** Algorithm 1 SSM+SS processing flow. **Input:** A bag of instance embeddings  $H_{l-1} \in \mathbb{R}^{1 \times N \times D}$ 1: State Space Model (SSM) 2:  $H'_{l-1}$  ← Norm $(H_{l-1})$ 3: for i in  ${Forward, Reverse}$  do 4:  $H_i \leftarrow \text{SSM}(\text{SiLU}(\text{Conv1D}(\text{Linear}(H'_{l-1}))))$ 5: end for 6:  $H_s \leftarrow \text{SiLU}(\text{Linear}(H'_{l-1}))$ 7:  $H_{Forward} \leftarrow H_{Forward} \otimes H_s$ 8:  $H_{Reverse} \leftarrow H_{Reverse} \otimes H_s$ 9:  $H_l$ ← Linear(H<sub>Forward</sub>  $\bigoplus H_{Reverse}$ )+ $H_{l-1}$ **Output:** Instance embeddings  $H_L \in \mathbb{R}^{N \times D}$ 

can be defined as

$$
\begin{array}{c} 177 \\ 178 \\ 179 \end{array}
$$

**180**

**182 183 184**

**189**

**174 175 176**

> $Y = \begin{cases} 1, & \text{if } \sum y_i = 0, \\ 0, & \text{otherwise} \end{cases}$ 0, otherwise. (1)

**181** There are two main approaches in an MIL operator: the instance-level approach and the embeddinglevel approach. These two approaches share a similar expression. The bag probability is regarded as a score function  $S(X)$ , which is defined as

$$
S\left(X\right) = g\left(\underset{x_i \in X}{\sigma}\left(f\left(x_i\right)\right)\right). \tag{2}
$$

**185 186 187 188** For instance-level approach,  $f(\cdot)$  is an instance-level classifier that returns each instance score.  $\sigma(\cdot)$ acts as a function to aggregate instance scores.  $g(\cdot)$  is the identity function. For, embedding-level approach,  $f(\cdot)$  maps instances to a low-dimensional embedding.  $\sigma(\cdot)$  is used to obtain a bag representation that is independent of the number of instances.  $q(\cdot)$  is a bag-level classifier.

#### **190** 3.2 HIERARCHICAL FEATURE EXTRACTOR

**191 192 193 194** The feature extractor is flexible to various deep learning networks. In this paper, we choose ResNet-50 [He et al.](#page-10-13) [\(2016\)](#page-10-13) and Vision Transformer [Dosovitskiy](#page-9-9) [\(2020\)](#page-9-9) as the feature extractor for comparing with other methods easily.

**195 196 197 198 199 200 ResNet-50** consists of a  $7 \times 7$  convolutional (Conv) layer, a  $3 \times 3$  max pooling layer, four stages of residual blocks (each residual block is stacked by a fixed mode of  $1 \times 1$ ,  $3 \times 3$  and  $1 \times 1$  Conv layers, and four stages contain 3, 4, 6 and 3 residual blocks respectively), a global average pooling layer, a fully connected layer (FC) and softmax. The FC layer and softmax are removed and the remaining part is used as the feature extractor. We choose ResNet-50 pre-trained on ImageNet as the basic model.

**201 202 203 204** ViT consists of a linear projection layer followed by Transformer blocks, each containing a multihead self-attention (MHSA) mechanism, a feed-forward network (FFN), and two layer normalization (LN) stages. Residual connections are applied after both the MHSA and FFN layers to improve gradient flow. We choose ViT-Large pre-trained by UNI [Chen et al.](#page-9-10) [\(2023\)](#page-9-10) as the feature extractors.

**205 206**  $E_1$  and  $E_2$  are the same encoders, which are used to extract different scales of features (10 $\times$  and  $20\times$ ) of WSIs.

3.3 STATE SPACE MODEL

**210 211 212** The state space model (SSM) Mamba [Gu & Dao](#page-9-11) [\(2023\)](#page-9-11) maps 1-dimensional function or sequence  $x(t) \in \mathbb{R} \to y(t) \in \mathbb{R}$  through a hidden state  $h(t) \in \mathbb{R}^{N}$ . SSM is represented as the linear ordinary differential equation (ODE):

$$
x'(t) = \mathbf{A}h(t) + \mathbf{B}x(t),
$$
\n(3)

$$
y(t) = \mathbf{C}h(t),\tag{4}
$$

**215** where  $A \in \mathbb{R}^{N \times N}$   $B \in \mathbb{R}^{N \times 1}$  and  $C \in \mathbb{R}^{1}$  are state parameters. The SSM consists of three branches: the forward sequence flow, the reverse sequence flow, and a nonlinear flow. The forward

**207 208 209**

**213 214**

Method	TCGA-NSCLC		TCGA-RCC	
	<b>ACC</b>	<b>AUC</b>	<b>ACC</b>	<b>AUC</b>
MIL	$0.817 + 0.009$	$0.858 + 0.021$	$0.847 + 0.018$	$0.941 \pm 0.010$
<b>ABMIL</b>	$0.821 \pm 0.017$	$0.871 \pm 0.033$	$0.857 + 0.011$	$0.951 \pm 0.004$
Mamba+ABMIL	$0.836 \pm 0.019$	$0.905 + 0.027$	$0.896 \pm 0.019$	$0.955 + 0.008$
<b>CLAM-MB</b>	$0.853 \pm 0.012$	$0.933 \pm 0.007$	$0.897 \pm 0.010$	$0.979 \pm 0.008$
Mamba+CLAM-MB	$0.871 + 0.008$	$0.936 \pm 0.009$	$0.913 \pm 0.016$	$0.982 \pm 0.006$
<b>DSMIL</b>	$0.828 + 0.015$	$0.897 \pm 0.015$	$0.863 \pm 0.021$	$0.955 \pm 0.003$
Mamba+DSMIL	$0.846 \pm 0.017$	$0.918 + 0.009$	$0.901 + 0.017$	$0.974 + 0.007$
<b>TransMIL</b>	$0.813 \pm 0.013$	$0.881 + 0.020$	$0.890 \pm 0.014$	$0.962 \pm 0.009$
DTFD-MIL	$0.873 + 0.025$	$0.927 \pm 0.018$	$0.921 \pm 0.010$	$0.985 \pm 0.004$
Mamba-MIL	$0.863 \pm 0.014$	$0.924 \pm 0.011$	$0.913 \pm 0.009$	$0.974 \pm 0.009$
<b>HIPT</b>	$0.878 \pm 0.007$	$0.939 \pm 0.016$	$0.930 \pm 0.010$	$0.979 \pm 0.008$
<b>HIGT</b>	$0.872 \pm 0.011$	$0.925 \pm 0.019$	$0.919 \pm 0.010$	$0.974 \pm 0.007$
Mamba-HMIL	$0.884 + 0.025$	$0.944 + 0.012$	$0.936 \pm 0.011$	$0.989 \pm 0.008$
Mamba-HMIL+UNI	$0.911 \pm 0.008$	$0.964 \pm 0.008$	$0.946 \pm 0.004$	$0.989 \pm 0.001$

<span id="page-4-0"></span>Table 1: Performance comparison of subtype classification on TCGA-NSCLC and TCGA-RCC.

Table 2: Performance comparison of survival prediction on TCGA-BRCA and TCGA-BLCA.

<span id="page-4-1"></span>

Method	Modality	TCGA-BRCA	<b>TCGA-BLCA</b>
<b>SNN</b>	G	$0.565 \pm 0.035$	$0.517 \pm 0.053$
<b>ABMIL</b>	P	$0.593 \pm 0.047$	$0.584 \pm 0.068$
Mamba+ABMIL	P	$0.627 + 0.053$	$0.611 \pm 0.038$
<b>CLAM-MB</b>	P	$0.635 \pm 0.044$	$0.623 \pm 0.032$
Mamba+CLAM-MB	P	$0.657 + 0.047$	$0.633 + 0.061$
<b>DSMIL</b>	P	$0.607 \pm 0.033$	$0.601 \pm 0.029$
Mamba+DSMIL	P	$0.625 + 0.053$	$0.627 + 0.048$
Propoise	$G+P$	$0.644 \pm 0.035$	$0.634 \pm 0.052$
<b>MCAT</b>	$G+P$	$0.659 \pm 0.046$	$0.652 \pm 0.071$
<b>CMTA</b>	$G+P$	$0.684 + 0.042$	$0.661 \pm 0.054$
<b>MOTCat</b>	$G+P$	$0.663 \pm 0.045$	$0.657 \pm 0.058$
<b>PIBD</b>	$G+P$	$0.696 \pm 0.071$	$0.643 + 0.062$
Mamba-HMIL	P	$0.661 \pm 0.035$	$0.651 \pm 0.042$
Mamba-HMIL	$G+P$	$0.677 \pm 0.039$	$0.658 \pm 0.052$
Mamba-HMIL+UNI	P	$0.684 \pm 0.050$	$0.672 \pm 0.041$
Mamba-HMIL+UNI	$G+P$	$0.698 \pm 0.068$	$0.682 \pm 0.063$

**261 262**

**263 264**

**265 266 267 268 269** and reverse sequence flows are the same, which comprise a linear layer, a 1-dimensional convolution layer (Conv1D), a SiLU activation function, and the SSM layer. The nonlinear flow contains a linear layer and a SiLU activation function. The features from the forward/reverse flow and the nonlinear flow are merged by the Hadamard product. After that, the features are added together and transformed to the output embeddings by a linear layer. The workflow of Mamba is shown in Algorithm [1](#page-3-0)



<span id="page-5-0"></span>Table 3: Ablation study for HFE block on TCGA-NSCLC and TCGA-RCC datasets.

### **278 279 280**

**281**

**287 288 289**

# 3.4 MIXTURE OF EXPERTS MODULE

**282 283 284 285 286** For stable training, we use the Mixture of Experts (MoE) for multi-squence fusion. The gating mechanism is a simple linear layer, which computes relevance scores for each expert. The gating mechanism then activates the top-k experts based on these scores, directing the input through only those experts. The sequence passes through the selected experts, the outputs from these experts are combined. The aggregation is a weighted combination based on the gate's selection scores.

# 3.5 ADAPTIVE SELECTION MODULE

**290 291 292 293** A adaptive selection (AS) module is used to discard redundant negative instances. It contains a MLP layer and a Sigmoid function. We utilize the AS module to compute a weight score for each sequence, and all sequences are then aggregated based on their respective weights. We set a temperature parameter P to balance the number of instances in each bag.

**294 295**

**296 297 298**

# 4 EXPERIMENTS AND RESULTS

In this section, two publicly available clinical datasets in the cancer genome atlas (TCGA) ? are used to demonstrate the effectiveness of our Mamba-HMIL in WSI classification. We also conduct an ablation study on thest two datasets.

**299 300 301**

**302**

4.1 EXPERIMENT SETUP AND IMPLEMENTATION DETAILS

**303 304 305 306 307** Experiment setup and evaluation metrics. In our experiment, each WSI of both two pathological image datasets is cropped into  $256 \times 256$  non-overlapping patches to form bags with magnifications of  $10\times$  and  $20\times$ , where the background region (entropy  $\lt 5$ ) is discarded. Beyond that, we utilize two standard evaluation metrics to evaluate the classification performance, which are accuracy (ACC) and the area under the receiver operator characteristic curve (AUC).

**308 309 310 311 312** Implementation details. Experiments are implemented on the device NVIDIA GTX 3080 GPU, Intel(R) Xeon(R) CPU E5-2690 v4  $\omega$  2.60GHz, in Python 3.10 on Anaconda with CUDA 12.1 and Pytorch 2.1.0. We use Adam optimizer with learning rate 2e-4 to optimize SSM+SS training. The batch size is 1 and the maximum epoch is 200. In order to find the most suitable training parameters, cross-validation is formed from the whole slides in all the TCGA datasets.

**313**

**315**

**314** 4.2 DATASETS.

**316 317 318 319 320** Subtype Classification. TCGA lung Non-small-cell cancer dataset (TCGA-NSCLC) includes two sub-type projects, Lung Adenocarcinoma (TCGA-LUAD, 541 slides) and Lung Squamous Cell Carcinoma (TCGA-LUSC, 512 slides), with a total of 1,053 diagnostic WSIs available from the National Cancer Institute Data Portal. Each WSI is cropped into  $256 \times 256$  non-overlapping patches at  $5\times$ ,  $10\times$ , and  $20\times$  magnification.

**321 322 323** TCGA kidney chromophobe renal cell carcinoma cancer dataset (TCGA-RCC) consists of three kinds of tumors, kidney renal clear cell carcinoma (TCGA-KIRC, 519 slides), kidney renal papillary cell carcinoma (TCGA-KIRP, 300 slides) and kidney chromophobe renal cell carcinoma (TGCA-KICH, 121 slides). We use the same pre-processed operation of the TCGA-NSCLC dataset.

**324 325 326** We follow the previous work and use 4-fold cross-validation to conduct our experiments. Both datasets are split into training, validation, and testing sets by the ratio of 6:1.5:2.5.

Survival Prediction. TCGA-BRCA (1022 cases) and TCGA-LUSC (373 cases) are used for the evaluation of survival prediction. 5-fold cross validation are used in our experiments.

4.3 RESULTS

**332 333 334 335 336 337 338 339** We conducted a comparative evaluation of our proposed Mamba-HMIL against eight state-of-theart methods, including ABMIL [Ilse et al.](#page-10-6) [\(2018\)](#page-10-6), CLAM-SB [Lu et al.](#page-10-7) [\(2021\)](#page-10-7), DSMIL [Li et al.](#page-10-8) [\(2021\)](#page-10-8), TransMIL [Shao et al.](#page-10-9) [\(2021\)](#page-10-9), DTFD-MIL [Zhang et al.](#page-11-2) [\(2022\)](#page-11-2), Mamba-MIL [Yang et al.](#page-10-12) [\(2024\)](#page-10-12), HIPT [Chen et al.](#page-9-8) [\(2022a\)](#page-9-8), and HIGT [Guo et al.](#page-9-12) [\(2023\)](#page-9-12). As outlined in Table [1,](#page-4-0) our Mamba-HMIL demonstrates superior performance, improving accuracy (ACC) by 0.9% and area under the ROC curve (AUC) by 0.5% on the TCGA-NSCLC dataset. Similarly, it improves ACC by 0.6% and AUC by 0.4% on the TCGA-RCC dataset. These improvements, although incremental, highlight the robustness of Mamba-HMIL in addressing the complexities of these datasets.

**340 341 342 343 344 345 346 347 348 349** We also compare our method against five state-of-the-art survival prediction models, including Propoise [Chen et al.](#page-9-13) [\(2022b\)](#page-9-13), MCAT [Chen et al.](#page-9-14) [\(2021\)](#page-9-14), CMTA [Zhou & Chen](#page-11-3) [\(2023\)](#page-11-3), MOTCat [Xu](#page-10-14) [& Chen](#page-10-14) [\(2023\)](#page-10-14), and PIBD [Zhang et al.](#page-11-4) [\(2024\)](#page-11-4). Our proposed model, Mamba-HMIL, is built upon the CLAM architecture. When compared to MIL-based methods that rely solely on pathological image data, Mamba-HMILoutperforms all other methods, demonstrating superior performance in survival prediction, as outlined in Table [2.](#page-4-1) Furthermore, when compared to multi-modality methods that incorporate genomic data, our model produces competitive results. Notably, when using pretrained features, Mamba-HMILachieves the highest C-Index scores on both the TCGA-BRCA and TCGA-BLCA datasets. This highlights the effectiveness of Mamba-HMILin leveraging pre-trained features for improved survival prediction, making it a strong contender in both single-modality and multi-modality scenarios.

**350 351 352 353 354 355** Additionally, we integrated the Mamba block into existing models such as ABMIL, CLAM-MB, and DSMIL, which led to general performance enhancements across both tasks. The inclusion of the Mamba block in these established models underscores its effectiveness in capturing more nuanced features and improving overall performance, making it a valuable addition to multiple architectures. This comparison not only validates the efficacy of **Mamba-HMIL** but also shows the potential of the Mamba block as a versatile component in other MIL frameworks.

**356 357 358**

**359**

4.4 ABLATION STUDY

**360 361 362 363 364 365 366 367 368 369** Effectiveness of HFE. In our experiment, we use one fold of the dataset to determine the optimal number of blocks for our model. We then compare the performance of hierarchical feature extractors (HFE) with single-level feature extractors, as outlined in Table [3.](#page-5-0) The comparison is carried out on two datasets, demonstrating the superior performance of our proposed method. Specifically, on the TCGA-NSCLC dataset, the hierarchical feature extractor leveraging both  $10\times$  and  $20\times$  magnification levels improves accuracy (ACC) by 2.7% and the area under the ROC curve (AUC) by 4.8%, compared to the single-level feature extractor. On the TCGA-RCC dataset, the same hierarchical approach leads to an improvement of 3.4% in ACC and 0.5% in AUC. These results highlight the efficacy of using multi-scale features, showcasing that hierarchical feature extraction significantly enhances both classification accuracy and robustness in capturing nuanced patterns across different datasets.

**370 371 372 373 374 375 376 377** Effectiveness of Mamba Block. For our baseline, we select ImageNet pre-trained ResNet-50 and the ABMIL model to evaluate the performance of our method. One of our key goals is to determine the optimal number of Mamba layers for the best performance. As presented in Table [4,](#page-7-0) the model incorporating two Mamba layers produces the best results on both the TCGA-NSCLC and TCGA-RCC datasets. Specifically, Mamba-HMIL with two Self-Supervised Masking (SSM) blocks achieves an accuracy (ACC) of 0.836 and an area under the ROC curve (AUC) of 0.905 on the TCGA-NSCLC dataset. On the TCGA-RCC dataset, the model achieves an ACC of 0.896 and an AUC of 0.955. These figures represent significant improvements over the baseline models: an increase of 1.5% in ACC and 3.4% in AUC for TCGA-NSCLC, and gains of 3.9% in ACC and 0.4%



Table 4: Ablation study for the number of Mamba blocks on TCGA-NSCLC and TCGA-RCC datasets. ABMIL is chosen for the baseline with 0 Mamba blocks.

<span id="page-7-0"></span>

Mamba layers	<b>TCGA-NSCLC</b>		<b>TCGA-RCC</b>	
	ACC.	<b>AUC</b>	<b>ACC</b>	<b>AUC</b>
$_{0}$	$0.821 \pm 0.017$	$0.871 \pm 0.033$	$0.857 + 0.011$	$0.951 \pm 0.004$
2	$0.836 + 0.019$	$0.905 + 0.027$	$0.896 + 0.019$	$0.955 \pm 0.008$
$\overline{4}$	$0.833 + 0.014$	$0.879 + 0.016$	$0.895 + 0.006$	$0.947 \pm 0.009$
6	$0.825 \pm 0.036$	$0.885 \pm 0.031$	$0.888 \pm 0.014$	$0.955 \pm 0.006$
8	$0.822 + 0.028$	$0.893 + 0.021$	$0.891 \pm 0.011$	$0.948 + 0.018$

<span id="page-7-1"></span>Table 5: Ablation study for the number of experts in MoE block and the fusion strategy of SF blocks.



**407 408 409**

**410 411** in AUC for TCGA-RCC. These results highlight the effectiveness of adding two Mamba layers into the basic model.

**412 413 414 415 416 417 418 419 420 421 422 423 424** Effectiveness of MoE Blocks. We conducted an evaluation of various MoE models using the base ABMIL architecture to assess the performance, as shown in Table [6.](#page-8-0) The models tested include the basic MoE [Shazeer et al.](#page-10-15) [\(2017\)](#page-10-15), STMoE [Zoph et al.](#page-11-5) [\(2022\)](#page-11-5), PEER [He](#page-10-16) [\(2024\)](#page-10-16), and Sinkhorn [An](#page-9-15)[thony et al.](#page-9-15) [\(2024\)](#page-9-15). Among these, STMoE achieved the highest AUC, scoring 0.975, while PEER delivered the best accuracy (ACC) at 0.894. Despite PEER's strong performance in terms of accuracy, it employs a significantly higher number of experts  $(512^2)$  compared to STMoE, which utilizes only 16 experts. Given the substantial increase in computational complexity and resource demand associated with PEER's larger number of experts, we selected STMoE for further experimentation in order to maintain a balance between performance and efficiency. In subsequent experiments, our results indicate that STMoE with 16 experts delivers the best performance. Specifically, the 16 expert configuration outperformed the 32-expert variant, with improvements of 0.2% in accuracy and 3.7% in AUC. This demonstrates that increasing the number of experts beyond a certain point can lead to diminishing returns, making 16 experts the ideal choice for maximizing performance while minimizing computational overhead in our subsequent experiments.

**425 426 427 428 429 430 431** Effectiveness of SF Blocks. To explore the most effective method for sequence fusion, we evaluated three different SF blocks: Mean, Max-Mean, and GAS. Each of these blocks was assessed for its ability to integrate information across sequences and improve model performance. Among the three, GAS emerged as the best-performing block in terms of ACC, achieving a score of 0.914. This highlights the robustness of the GAS block in accurately capturing relationships within the sequence data. When comparing the AUC, both the Max-Mean and GAS blocks delivered identical top-tier results with an AUC of 0.978. However, there was a notable difference in the stability of these models, as reflected by the standard deviation. The Max-Mean block demonstrated a lower standard



 $1.0\,$ 

 $\rm 0.8$ 

0.6

 $0.4\,$ 

 $0.2\,$ 

 $\rm 0.0$ 

**WSI** 

<span id="page-8-0"></span>Table 6: Ablation study for the token selection block on TCGA-RCC dataset. We choose CLAM with Top-K token selection as the baseline.

Figure 3: The visualization of global WSI and local region by our Mamba-HMIL.

Heatmap

deviation compared to GAS, indicating more consistent performance across different experimental runs.

**462 463 464 465 466 467 468 469 470** Effectiveness of AS blocks. In our study, we selected CLAM with Top-K selection as the baseline model due to its unique inclusion of a token selection block, which differentiates it from other models. However, the fixed token selection approach  $(K=8)$  does not account for the variability in the number of positive tokens present in different WSIs. Recognizing this limitation, we introduced an adaptive selection model (AS) that adjusts the number of selected tokens based on the characteristics of each WSI, rather than using a fixed value. We evaluated different values for the parameter P (0.7, 0.8, and 0.9), which controls the proportion of selected tokens. As shown in Table [5,](#page-7-1) we find that P=0.8 yielded the best results, with an ACC of 0.916 and an AUC of 0.983. These results represent a significant improvement over the baseline CLAM model, with a 1.7% increase in ACC and a 0.4% increase in AUC.

**471 472 473** Together, these results highlight the importance of a multi-faceted approach in designing a model for pathological image analysis, combining hierarchical feature extraction, global correlation modeling, sequence weighting, and instance selection to achieve superior results.

**474 475**

## 5 CONCLUSION

**476 477**

**478 479 480 481 482 483 484 485** In this paper, we have proposed Mamba-HMIL to solve the WSI classification task. Mamba-HMIL consists of three stages: the hierarchical feature extractor, the state space model, and the sparse selection block. We design the hierarchical feature extractor to obtain multi-scale features like a pathologist. The state space model is then utilized to calculate the correlation among instances, and the sparse selection module is used to select the instance embeddings with high positive probability and aggregate for a WSI-level prediction. Extensive experiments have been performed on two WSI classification datasets. The experimental results indicate that Mamba-HMIL can dramatically improve the performance of WSI-level classification. Our future work will focus on prognostic analysis and validation of other external data.

#### **486 487 REFERENCES**

<span id="page-9-9"></span>**524 525 526**

- <span id="page-9-15"></span>**488 489** Quentin Anthony, Yury Tokpanov, Paolo Glorioso, and Beren Millidge. Blackmamba: Mixture of experts for state-space models. *arXiv preprint arXiv:2402.01771*, 2024.
- <span id="page-9-2"></span>**490 491 492** Kaustav Bera, Kurt A Schalper, David L Rimm, Vamsidhar Velcheti, and Anant Madabhushi. Artificial intelligence in digital pathology—new tools for diagnosis and precision oncology. *Nature reviews Clinical oncology*, 16(11):703–715, 2019.
- <span id="page-9-6"></span>**493 494 495 496 497** Gabriele Campanella, Matthew G Hanna, Luke Geneslaw, Allen Miraflor, Vitor Werneck Krauss Silva, Klaus J Busam, Edi Brogi, Victor E Reuter, David S Klimstra, and Thomas J Fuchs. Clinical-grade computational pathology using weakly supervised deep learning on whole slide images. *Nature medicine*, 25(8):1301–1309, 2019.
- <span id="page-9-14"></span>**498 499 500 501** Richard J Chen, Ming Y Lu, Wei-Hung Weng, Tiffany Y Chen, Drew FK Williamson, Trevor Manz, Maha Shady, and Faisal Mahmood. Multimodal co-attention transformer for survival prediction in gigapixel whole slide images. In *Proceedings of the IEEE/CVF international conference on computer vision*, pp. 4015–4025, 2021.
- <span id="page-9-8"></span>**502 503 504 505** Richard J Chen, Chengkuan Chen, Yicong Li, Tiffany Y Chen, Andrew D Trister, Rahul G Krishnan, and Faisal Mahmood. Scaling vision transformers to gigapixel images via hierarchical self-supervised learning. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pp. 16144–16155, 2022a.
	- Richard J Chen, Ming Y Lu, Drew FK Williamson, Tiffany Y Chen, Jana Lipkova, Zahra Noor, Muhammad Shaban, Maha Shady, Mane Williams, Bumjin Joo, et al. Pan-cancer integrative histology-genomic analysis via multimodal deep learning. *Cancer Cell*, 40(8):865–878, 2022b.
- <span id="page-9-13"></span><span id="page-9-10"></span>**510 511 512** Richard J Chen, Tong Ding, Ming Y Lu, Drew FK Williamson, Guillaume Jaume, Bowen Chen, Andrew Zhang, Daniel Shao, Andrew H Song, Muhammad Shaban, et al. A general-purpose self-supervised model for computational pathology. *arXiv preprint arXiv:2308.15474*, 2023.
- <span id="page-9-4"></span>**513 514 515 516 517** Philip Chikontwe, Meejeong Kim, Soo Jeong Nam, Heounjeong Go, and Sang Hyun Park. Multiple instance learning with center embeddings for histopathology classification. In *Medical Image Computing and Computer Assisted Intervention–MICCAI 2020: 23rd International Conference, Lima, Peru, October 4–8, 2020, Proceedings, Part V 23*, pp. 519–528. Springer, 2020.
- <span id="page-9-3"></span>**518 519 520 521** Richard Colling, Helen Pitman, Karin Oien, Nasir Rajpoot, Philip Macklin, CM-Path AI in Histopathology Working Group, Velicia Bachtiar, Richard Booth, Alyson Bryant, Joshua Bull, et al. Artificial intelligence in digital pathology: a roadmap to routine use in clinical practice. *The Journal of pathology*, 249(2):143–150, 2019.
- <span id="page-9-7"></span>**522 523** Thomas G Dietterich, Richard H Lathrop, and Tomás Lozano-Pérez. Solving the multiple instance problem with axis-parallel rectangles. *Artificial intelligence*, 89(1-2):31–71, 1997.
	- Alexey Dosovitskiy. An image is worth 16x16 words: Transformers for image recognition at scale. *arXiv preprint arXiv:2010.11929*, 2020.
- <span id="page-9-1"></span>**527 528** A Evered and N Dudding. Accuracy and perceptions of virtual microscopy compared with glass slide microscopy in cervical cytology. *Cytopathology*, 22(2):82–87, 2011.
- <span id="page-9-0"></span>**529 530 531 532** Navid Farahani, Anil V Parwani, and Liron Pantanowitz. Whole slide imaging in pathology: advantages, limitations, and emerging perspectives. *Pathology and Laboratory Medicine International*, pp. 23–33, 2015.
- <span id="page-9-5"></span>**533 534** Ji Feng and Zhi-Hua Zhou. Deep miml network. In *Proceedings of the AAAI conference on artificial intelligence*, volume 31, 2017.
- <span id="page-9-11"></span>**535 536 537** Albert Gu and Tri Dao. Mamba: Linear-time sequence modeling with selective state spaces. *arXiv preprint arXiv:2312.00752*, 2023.
- <span id="page-9-12"></span>**538 539** Ziyu Guo, Weiqin Zhao, Shujun Wang, and Lequan Yu. Higt: Hierarchical interaction graphtransformer for whole slide image analysis. In *International Conference on Medical Image Computing and Computer-Assisted Intervention*, pp. 755–764. Springer, 2023.

<span id="page-10-16"></span><span id="page-10-13"></span><span id="page-10-10"></span><span id="page-10-8"></span><span id="page-10-7"></span><span id="page-10-6"></span><span id="page-10-4"></span><span id="page-10-3"></span><span id="page-10-0"></span>

<span id="page-10-15"></span><span id="page-10-14"></span><span id="page-10-12"></span><span id="page-10-11"></span><span id="page-10-9"></span><span id="page-10-5"></span><span id="page-10-2"></span><span id="page-10-1"></span>quence reordering in computational pathology. *arXiv preprint arXiv:2403.06800*, 2024.

<span id="page-11-5"></span><span id="page-11-4"></span><span id="page-11-3"></span><span id="page-11-2"></span><span id="page-11-1"></span><span id="page-11-0"></span>