Forecasting machine degradation of GPU Clusters

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Abstract

Large-scale training jobs, especially those utilizing GPU clusters, are vulnerable to various failure modes, including individual hardware faults, network issues, and software-level problems. These failures can lead to significant downtime, wasted computational resources, and delays in research or production workflows. We propose a ML based forecasting algorithm designed for predicting health status of GPU clusters. Through extensive ablation studies, we found that cascading 1D CNNs achieved the best performance. The model leverages time-series data representing various cluster metrics, such as temperature, power consumption, and resource utilization towards predicting cluster failures, enabling proactive maintenance and resource optimization. By tuning differently per use-case, the 5-hour forecasting model is able to achieve overall PRAUC of 0.90. This work is motivated by the need to improve the reliability and efficiency of large-scale training jobs that are susceptible to hardware and software failures.

1 Introduction

Large-scale machine learning training jobs, especially those dependent on extensive GPU clusters, represent a significant investment of resources[1-3]. However, this investment is perpetually at risk[4] due to a variety of failure vectors, including hardware malfunctions, network instability, and software-induced errors. These failures translate directly into substantial costs: lost compute cycles, wasted energy consumption, and ultimately, delays in delivering research outcomes or production-ready models. Consequently, achieving dependable and efficient training requires a system engineered for high fault tolerance and designed to rapidly recover from disruptions[5]. Currently, many of these failures are detected reactively[6-8], often after a job has already been disrupted. This reactive approach leads to several challenges such as lost progress due to failures, higher operational costs, difficulty in bug fixing, and a negative user experience.

Therefore, a proactive approach to predicting cluster health is crucial. By developing a machine-learning model capable of identifying clusters at risk of failure, we can trigger preemptive maintenance actions before failures occur, minimizing downtime and maximizing resource utilization. Besides, we could dynamically adjust resource allocation based on predicted health states, ensuring optimal

performance and efficiency. We could also identify potential failure patterns earlier, facilitating faster and more effective troubleshooting. By predicting failures, we can improve the overall reliability of the clusters and reduce the impact of failures in the users' workflows. Thus, we could reduce the incidence of job failures, leading to a more reliable and predictable user experience.

This work contributes to these efforts by developing a robust and accurate ML model that can effectively predict cluster health, thereby paving the way for a more reliable and efficient large-scale training infrastructure. The use of focal loss addresses the class imbalance in the data, a common problem in this type of predictive task, and the evaluation by categories provides a more detailed understanding of the model's performance. This will allow a more precise model deployment depending on the specific use case.

2 The Predictor Framework

2.1 Data Acquisition and Feature Engineering

Our proposed model operates on a comprehensive set of time-series data[9], representing various cluster metrics, to assess and forecast cluster health status. The system employs a sliding-window approach, monitoring cluster behavior over a defined *observation window* and predicting its health status for a subsequent *forecasting window*. Specifically, the system captures features over a 3-hour observation window. The system aims to predict machine health for the subsequent 5-hour forecasting window. Feature data is sampled at 10-minute intervals, resulting in 18 discrete snapshots of the cluster's state within the 3-hour observation window. We have performed forecasting on 5-hour window because that is the most valuable across different use cases such as scheduling jobs and elastic training.

The input feature set comprises a diverse collection of hardware and network metrics. Hardware metrics include: (i) Temperature, representing measured temperature readings, (ii) Tlimit, representing the maximum allowable temperature, (iii) SM Utilization, denoting the utilization of Streaming Multiprocessors, and (iv) Contamination Ratio, reflecting the level of contamination within the cluster. Network metrics include (i) throughput rx bytes delta and throughput tx bytes delta, representing the change in bytes received and transmitted, respectively, (ii) throughput rx bytes count and throughput tx bytes count, representing the total received and transmitted bytes, respectively, (iii) packets retransmission delta and packets retransmission count, representing the change in retransmitted packets and the total retransmitted packets, respectively, (iv) goodput rx bytes delta and goodput tx bytes delta, representing the change in goodput received and transmitted bytes, respectively, and (v) goodput rx bytes count and goodput tx bytes count, representing total goodput received and transmitted bytes respectively. In addition, we consider inter fabric bytes, intra tor bytes, intra fabric bytes and intra superblock bytes, representing the bytes transmitted between fabrics, within the top-of-rack switch, within the fabric and within the superblock respectively. Each metric is captured at discrete 10-minute intervals, forming a sequence of measurements, or snapshots, for each machine. Finally, a categorical feature, Health Status, reflecting the machine's current health state, is also included as part of the input features.



Figure 1: Illustration of the observation window.

2.2 Model Architecture

Our proposed system employs a dual-model architecture, consisting of two distinct 1D Convolutional Neural Network (1D-CNN) models, designed to capture different aspects of the anomaly detection problem. These models, referred to as Hard Sample Detection (Model 1) and Easy Sample Detection (Model 2), are trained separately and leveraged in a cascading manner.

Both models share a similar architecture, beginning with an Input Layer that accepts a sequence of features, represented as a tensor with dimensions (number of snapshots, number of features). The input data then passes through three sets of Convolutional Layers, each comprising a 1D convolution (Conv1D) followed by a MaxPooling1D layer. The Conv1D layers utilize Rectified Linear Unit (ReLU) activation functions to introduce non-linearity and learn local temporal patterns within the time-series data. The number of filters for each Conv1D layer is set to 64, 32, and 32, respectively, for Model 1, and the same values for Model 2. MaxPooling layers are used to downsample the data, reducing the dimensionality and computational complexity. The specific kernel sizes are configurable. Following the convolutional layers, the output is flattened by a Flatten Layer into a single vector. This vector is then passed through a Dense Layer, also with ReLU activation, for further feature transformation. To mitigate overfitting, a Dropout Layer with a rate of 0.5 is applied after the dense layer. Finally, a Dense Output Layer, with a single neuron and a Sigmoid activation function, generates a probability score in the range of [0, 1], representing the likelihood of the cluster transitioning to an unhealthy state.

To address the class imbalance commonly observed in this type of predictive task, we incorporate a Focal Loss function during model training. This loss function adjusts the weights of the samples based on their classification difficulty, providing greater emphasis to misclassified samples.

Model 1, the Hard Sample Detection model, is trained using time-series data of GPU and network metrics from samples where the labels of the observation window and the prediction window are different, including both samples with clean and contaminated data. This model is designed to be robust to cases where the cluster exhibits unstable or rapidly changing behavior.

Model 2, the Easy Sample Detection model, is trained using time-series data of GPU and network metrics, including the contamination ratio as an extra input feature, from samples where the labels of the observation window and the prediction window are the same, and the data is considered to be more clear, using only samples with clean data. This model is employed for samples where the cluster's behavior is more consistent and well-defined.

The outputs from both models are combined using a cascading logic strategy, which is detailed in the section below.

2.3 Cascading Logic

We propose a two-stage anomaly detection framework leveraging a cascading model architecture. Initially, the input data is processed by Model 1, a Hard Sample Detection model, which generates a probabilistic score for each sample. This score reflects the model's confidence in classifying a sample's health status. Samples are then categorized based on this confidence: those with scores in the range [0, 0.3] are considered healthy, while those in (0.3, 1] are deemed unhealthy. Furthermore, samples are subjected to a contamination ratio check. Specifically, samples that Model 1 labels as unhealthy and where the observation window contamination ratio is 0, or those labeled as healthy with a contamination ratio > 0, retain the probability generated by Model 1. The remaining samples, for which Model 1 exhibits lower confidence, are subsequently processed by Model 2, an Easy Sample Detection model. This model generates a probability score for these samples. Finally, the anomaly prediction is determined by combining the probability scores from Model 1 and Model 2 following a cascading strategy. The output of this combined prediction is then classified as healthy if the final probability score is in the range [0, 0.5) or as unhealthy otherwise. Additionally, we provide a default categorization of the final result to users: [0, 0.4) is classified as healthy, [0.4, 0.5) as at risk, and (0.5, 1] as unhealthy.

2.4 Output Threshold Selection

Following model predictions, we establish a framework for output thresholding and performance evaluation. Both Model 1 and Model 2 produce a continuous probability score (ranging from 0 to 1), reflecting the likelihood of a sample being unhealthy; a higher score indicates a higher likelihood. For evaluation, we apply a binary classification (healthy/unhealthy) using a threshold of 0.5, though this can be adjusted based on specific application needs. For user feedback, we provide a categorical prediction using thresholds of 0.4 and 0.5, with scores in [0, 0.4) classified as "HEALTHY," [0.4, 0.5) as "AT RISK," and (0.5, 1] as "UNHEALTHY." To assess model performance across different data subsets, we conduct a stratified evaluation based on the observation window's contamination ratio.

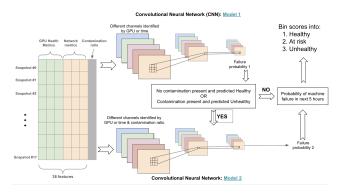


Figure 2: Model Training Pipeline including Cascading Logic.

Furthermore, we utilize Receiver Operating Characteristic (ROC) and Precision-Recall (PR) curves for a comprehensive analysis, enabling the selection of an optimal probability threshold. Finally, the training process incorporates a focal loss function with tunable hyperparameters α and γ to influence the importance of samples during training.

3 Experiment

A comprehensive dataset spanning 180 days of time-series data, sampled at 10-minute intervals, was assembled for this study. This dataset encompasses approximately 1.8 million training data points and 610,000 testing data points, representing 13,000 and 4,000 distinct machines, respectively. To ensure generalizability of the results, the dataset was partitioned by machine name into mutually exclusive training (60%), validation (20%), and testing (20%) sets. The feature preprocessing pipeline, implemented using Flume, was designed for efficient processing, completing within a few hours.

All model trainings were conducted on a NVIDIA H100 and H200 GPU accelerator instance.

The model's performance is evaluated by different categories, based on if there are any unhealthy signals during the observation window. We mainly focus on two categories:

- (1) Category 1: Clean Set: Positive (Clean) + Negative (Clean): Only Healthy in the observation window and Healthy/Unhealthy in the Prediction Window
- (2) Category 2: Full Set: Healthy, Unhealthy in the Observation Window, Healthy/Unhealthy in Prediction Window

We compute standard metrics like Precision, Recall, Accuracy, PR-AUC and AU-ROC for two categories for the Cascading Model.

| | Precision | Recall | F1-score |
|-----------|-----------|--------|----------|
| Healthy | 1.00 | 0.76 | 0.86 |
| Unhealthy | 0.15 | 0.93 | 0.26 |
| Accuracy | 0.767659 | | |
| AUROC | 0.945526 | | |
| PRAUC | 0.901844 | | |

Table 1: Performance Metrics for Anomaly Detection

It is important to note that the proposed model architecture is specifically designed for and validated on NVIDIA H100 and H200 GPU accelerator platforms. The model's performance and applicability on other hardware configurations have not been evaluated.

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A Supplementary Experiment Results

This section provides supplementary ablation studies to further analyze the behavior and performance of our proposed model. We investigate the impact of different model architectures and variations in time horizon configurations.

Besides PRAUC and AUROC, additional metrics like False Rejection Rate (FRR) and False Acceptance Rate (FAR) are computed for each category for the five-hour Cascading Model.

- FRR at 4 FAR thresholds 0.1, 0.2, 0.3, 0.4
- FAR at 3 FRR thresholds 0.1, 0.05, 0.01

| | Category 1 | Category 2 |
|--------------|------------|------------|
| FRR@FAR=0.1 | 0.688078 | 0.001463 |
| FRR@FAR=0.2 | 0.458821 | 0.000000 |
| FRR@FAR=0.3 | 0.299794 | 0.000000 |
| FRR@FAR=0.4 | 0.193946 | 0.000000 |
| FAR@FRR=0.1 | 0.582722 | 0.071996 |
| FAR@FRR=0.05 | 0.744777 | 0.082865 |
| FAR@FRR=0.01 | 0.941276 | 0.096057 |

Table 2: FRR@FAR and FAR@FRR

A.1 Time Horizon Ablations

To understand the effect of the forecasting horizon on model performance, we conducted an ablation study by varying the forecasting window from 0.5 hours to 24 hours, while keeping the observation window fixed. The results, presented in Table 3, demonstrate distinct trends for Category 2.

Category 2 shows high predictive performance for shorter horizons, which degrades as the forecasting window increases. Both PRAUC and AUROC for Category 2 start at 0.97-0.98 for windows of 0.5-1 hour and steadily decrease to 0.81 (PRAUC) and 0.91 (AUROC) at the 24-hour mark. This indicates that predicting Category 2 outcomes becomes substantially more difficult over longer time frames.

The choice of a 5-hour forecasting window, highlighted in the table, represents a compromise maintaining strong performance (0.90 PRAUC and 0.95 AUROC) for Category 2. The optimal window length may depend on the specific application and the relative importance of detecting each category.

| Fcst. Window | Metric | Value |
|--------------|--------|-------|
| | PRAUC | 0.970 |
| _0.5 hours | AUROC | 0.970 |
| | PRAUC | 0.980 |
| 1 hour | AUROC | 0.980 |
| | PRAUC | 0.940 |
| 3 hours | AUROC | 0.960 |
| | PRAUC | 0.900 |
| 5 hours | AUROC | 0.950 |
| | PRAUC | 0.890 |
| 10 hours | AUROC | 0.940 |
| | PRAUC | 0.870 |
| _15 hours | AUROC | 0.940 |
| | PRAUC | 0.810 |
| 24 hours | AUROC | 0.910 |
| - <u></u> | | _ |

Table 3: Time Horizon Ablation Results. Impact of varying forecasting window lengths on model performance on the full set.

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