Leveraging Large Language Models for NLG Evaluation: A Survey

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Abstract

In the rapidly evolving domain of Natural Language Generation (NLG) evaluation, introducing Large Language Models (LLMs) has opened new avenues for assessing generated content quality, e.g., coherence, creativity, and context relevance. This survey aims to provide a thorough overview of leveraging LLMs for NLG evaluation, a burgeoning area that lacks a systematic analysis. We propose a coherent taxonomy for organizing existing LLM-based evaluation metrics, offering a structured framework to understand and compare these methods. Our detailed exploration includes critically assessing various LLM-based methodologies, as well as comparing their strengths and limitations in evaluating NLG outputs. By discussing unresolved challenges, including bias, robustness, domain-specificity, and unified evaluation, this survey seeks to offer insights to researchers and advocate for fairer and more advanced NLG evaluation techniques.

1 Introduction

Natural Language Generation (NLG) stands at the forefront of AI-driven communication, with advancements in LLMs (Ouyang et al., 2022; OpenAI, 2023). These models demonstrate exceptional text generation proficiency, highlighting the need for robust evaluation. Traditional metrics such as BLEU (Papineni et al., 2002) and ROUGE (Lin, 2004) mainly focus on surface differences, inadequately capturing semantic quality (Freitag et al., 2020). Embedding-based methods (Liu et al., 2016; Sellam et al., 2020; Zhang et al., 2020) suffer from limited scope (Freitag et al., 2021a), low alignment with human judgment (Liu et al., 2023c), and lack of interpretability (Xu et al., 2023). These underscores the urgent need for more effective and flexible evaluation techniques in NLG.

The emergent capabilities of LLMs, such as Chain-of-Thought (CoT) (Wei et al., 2022) and

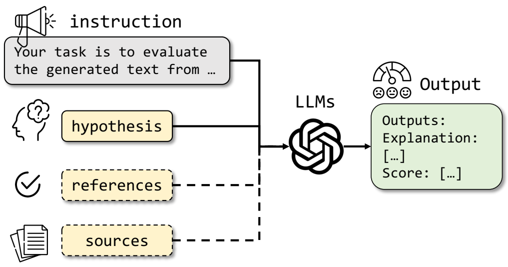
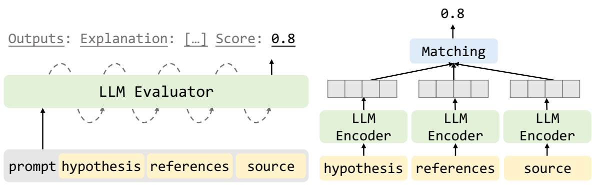


Figure 1: Illustration of LLMs for NLG evaluation. The dashed line means that the references and sources are optional based on the scenarios.

better alignment with human preferences (Ouyang et al., 2022), position them as effective tools for NLG evaluation, offering sophisticated and human-aligned assessments beyond traditional methods (Liu et al., 2023c; Kocmi and Federmann, 2023; Fu et al., 2023). For example, LLMs can provide explanations for scores (Xu et al., 2023), and reinforcement learning with human feedback (RLHF) further aligns LLMs with human judgment (Ouyang et al., 2022; Zheng et al., 2023). As illustrated in Figure 1, the key strategy involves prompting LLMs to evaluate texts from various aspects, with or without references or sources.

Given the burgeoning body of work on LLMs for NLG evaluation, there is an urgent need for a synthesized summary to navigate the advanced and varied works in this area. This survey aims to offer a comprehensive overview with a coherent taxonomy for categorizing existing research. We carefully outline the existing methods, and engage in an analytical discussion on their unique features and limitations. Additionally, we navigate through the unresolved challenges and open questions, highlighting potential directions for future research.

Organization of this survey: We start by setting up a formal framework for NLG evaluation and introduce a taxonomy to organize relevant research (§2). We then provide detailed discussions on these works (§3) and review meta-evaluation benchmarks for assessing LLM-based evaluators



(a) generative-based (b) matching-based

Figure 2: Illustration of NLG evaluation functions: (a) generative-based and (b) matching-based methods.

(§4). Acknowledging the field's swift progress, we highlight and explore potential open problems for future investigation (§5).

2 Formalization and Taxonomy

Formalization The goal of LLM-based NLG evaluation is to evaluate model-generated text across various dimensions, such as fluency, consistency, etc. To maintain generality, the LLM-based NLG evaluation framework for task t is defined as:

$$E = f_t(h, s, r), \tag{1}$$

where f represents the evaluation function executed by LLMs, h is the hypothesis text (i.e. the candidate generation) under evaluation, s stands for the source of the generation, which could include source text or supporting documents, and r denotes the ground truth references, essential for assessing tasks like text summarization against annotated reference summaries.

Taxonomy We classify works along three primary dimensions according to Eq. 1: *evaluation task*, *evaluation references* and *evaluation function*.

Evaluation Task t: NLG encompasses a diverse range of tasks, such as Machine Translation (MT) (Farhad et al., 2021; Bapna et al., 2019), Text Summarization (TS) (Liu and Liu, 2021; Zhang et al., 2023a), Dialogue Generation (DG) (Wang et al., 2022; Kann et al., 2022), Story Generation (SG) (Yang et al., 2022; Fan et al., 2018), etc, each with its unique evaluation requirements. The specific nature of each task determines the target evaluation aspects and scenarios.

Evaluation References r: Evaluation scenarios are categorized into *reference-based* and *reference-free* based on the availability of references. In *reference-based* evaluation, the generated text h is assessed against ground truth references r, focusing on accuracy, relevance, coherence, and similarity to the references. Conversely, the *reference-free* method evaluates h without external references, concentrating on its intrinsic qualities or alignment with the source context s. It is suitable for evaluating fluency, originality, context relevance, etc.

Evaluation Function f: The evaluation function can be categorized as either *matching-based* or *generative-based*, depending on how LLMs are utilized. As depicted in Figure 2, *matching-based* methods assess the semantic similarity between the hypothesis and the reference or source text. These methods include token-level matching in representation space (Zhang et al., 2020; Zhao et al., 2019) or in discrete string space (Lin, 2004; Papineni et al., 2002), and sequence-level evaluation (Sellam et al., 2020; Rei et al., 2020; Peyrard et al., 2017). On the other hand, *generative-based* methods use LLMs to produce textual evaluations directly, tapping into the generative powers of LLMs to design instructions for assessing text quality.

Scope of this survey *Matching-based* methods are typically based on encoder-based language models to calculate a score-specific aspect of evaluation. Most of them often face challenges such as limited interpretability, lower correlation with human judgments, and restricted aspects (Xu et al., 2023; Fu et al., 2023). In contrast, generative LLMs tend to have huge size with powerful emergent abilities. These abilities include improved interpretability through CoT, higher customization via instruction-following capabilities, and better alignment with human evaluations through RLHF (Xu et al., 2023; Zheng et al., 2023). *Given the abundance of recent surveys primarily focusing on matching-based evaluation methods (refer to (Celikyilmaz et al., 2020; Sai et al., 2022; Goyal et al., 2023) for comprehensive summaries), this survey is dedicated to exploring more burgeoning generative-based methods (Figure 3).*

3 Generative Evaluation

Amidst the rapid evolution of LLMs, a burgeoning body of research has directed its focus toward leveraging LLMs as NLG evaluators, which we refer to as generative evaluation. This category, broadly classified into ***prompt-based evaluation*** and ***tuning-based evaluation***, hinges on whether the parameters of LLM evaluators require fine-tuning. The former typically involves directly prompting LLMs to assess generated text through prompt engineering, while the latter relies on open-source LLMs that are specifically calibrated for NLG evaluation. Both approaches cater to diverse

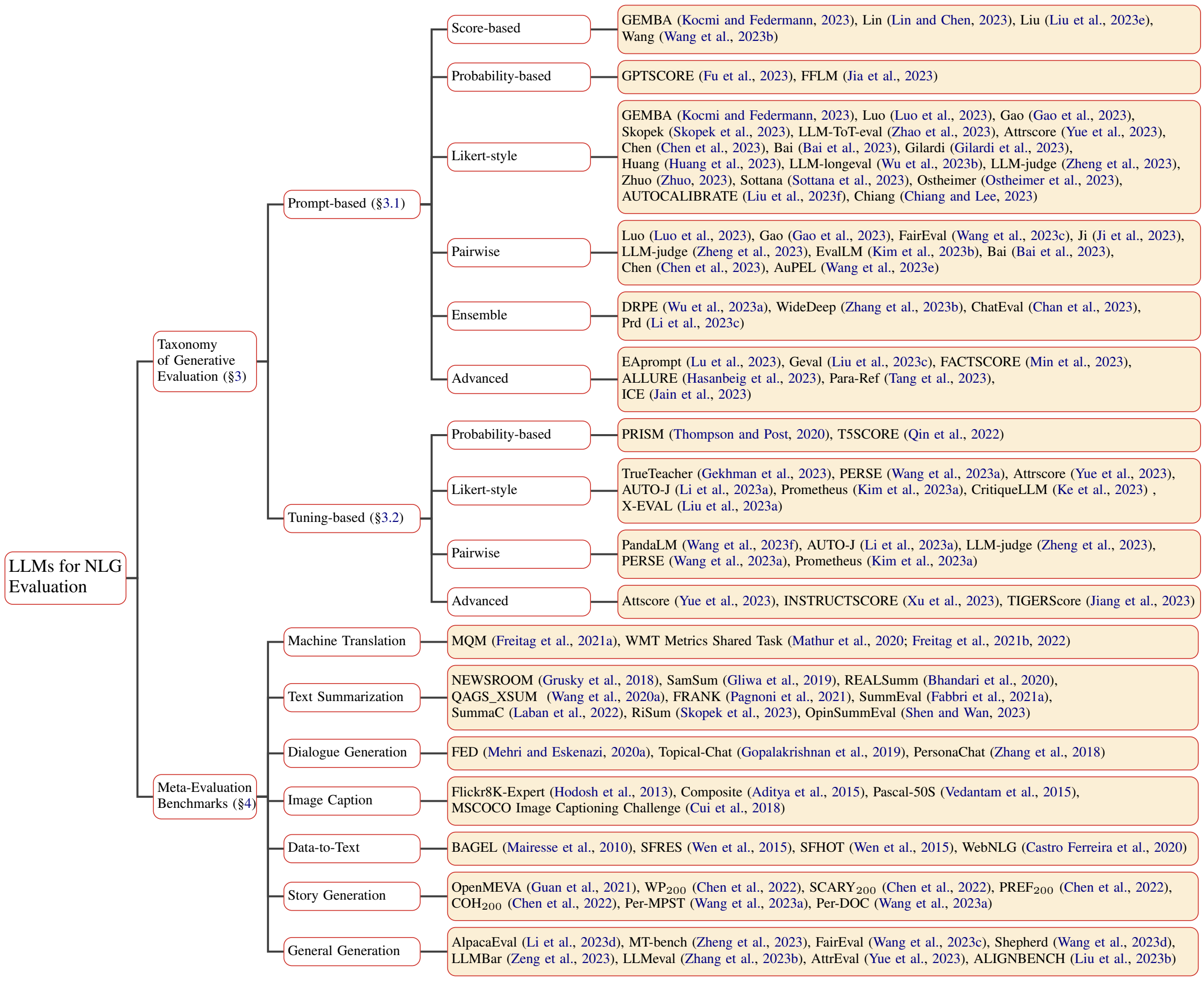


Figure 3: Taxonomy of research in NLG evaluation with large language models.

evaluation protocols for measuring the quality of the generated text.

Some endeavors deploy LLM evaluators to yield continuous scalar quality scores for generated texts—termed as ❶ *score-based evaluation*. Others calculate the generation probability of generated texts based on prompts, sources or reference texts (optional) as the evaluation metric, denoted as ❷ *probability-based evaluation*. Certain works assess the quality of generated text by assigning it to a specific quality level using quality labels or likert scales—referred to as ❸ *likert-style evaluation*. Meanwhile, ❹ *pairwise comparison methods* involve using LLM evaluators to compare quality of pairs of generated texts. Additionally, ❺ *ensemble evaluation methods* utilize multiple LLM evaluators, orchestrating communication among evaluators to yield final evaluation results. Finally, some recent studies explore ❻ *advanced evaluation methods* that consider fine-grained criteria or combine the capabilities of chain-of-thought or in-context leaning. Table 1 provides a comprehensive overview of current representative prompt-based and tuning-based evaluation methods. This section delves into a detailed exploration of these two overarching categories, each accompanied by their respective evaluation protocols.

3.1 Prompt-based Evaluation

Prompt-based text evaluation stands at the forefront of advancements in NLG, particularly leveraging the capabilities of LLMs. In this method, the evaluation process is intricately woven into the crafting of prompts – specialized cues designed to guide LLMs in assessing the quality of generated text. More recently, the Eval4NLP workshop held a shared task on prompting LLMs as explainable metrics (Leiter et al., 2023). By harnessing the prowess of LLMs, prompt-based evaluation not only provides a comprehensive understanding of NLG system performance but also offers a nuanced approach to extracting valuable insights.

Score Evaluation. An intuitive and widely employed protocol for text evaluation involves prompting LLM evaluators to generate a continuous quality score. A concrete example is illustrated in the

Metric	MT	TS	DG	IC	D2T	SG	GE	REF	LLMs	Protocol	Aspects
Prompt-based Evaluation											
BARTScore (Yuan et al., 2021)	✓	✓	*	*	✓	*	*	✓	BART	Prob	CON/COH/REL/FLU/ INF/COV/ADE
GPTScore (Fu et al., 2023)	✓	✓	✓		✓	*	*		GPT3	Prob	CON/COH/REL/FLU/COV/ACC MQM/INF/FAC/INT/ENG/NAT
G-EVAL (Liu et al., 2023c)	*	✓	✓		*	*	*		ChatGPT/GPT-4	Advanced	CON/COH/REL/FLU /NAT/ENG/GRO
ICE (Jain et al., 2023)	*	✓	*		*	*	*		GPT-3	Score	CON/COH/REL/FLU
GEMBA (Kocmi and Federmann, 2023)	✓	*	*		*	*	*		ChatGPT	Score/Likert	NONE
LLM_eval (Chiang and Lee, 2023)	*	*	*		*	✓	*		ChatGPT	Likert	GRAM/COH/REL/LIK
FairEval (Wang et al., 2023c)	*	*	*		*	*	✓		ChatGPT/GPT-4	Pairwise	NONE
AuPEL (Wang et al., 2023e)	*	*	*		*	*	✓		PaLM-2	Pairwise	PER/QUA/REL
DRPE (Wu et al., 2023a)	*	✓	*	*	*	*	*	✓	GPT-3	Ensemble	CON/COH/REL/FLU/INT/USE
ChatEval (Chan et al., 2023)	*	*	✓		*	*	✓		ChatGPT/GPT-4	Ensemble	NAT/COH/ENG/GRO
WideDeep (Zhang et al., 2023b)	*	*	*		*	*	✓		ChatGPT	Ensemble	COH/REL/HARM/ACC
PRD (Li et al., 2023c)	*	*	*		*	*	✓		GPT-4/GPT-3.5 Vicuna/Claude/Bard	Ensemble	INF/COH
FACTSCORE (Min et al., 2023)		*					✓		ChatGPT	Advanced	FAC
EAprompt (Lu et al., 2023)	✓	*	*		*	*	*		ChatGPT/text-davinci-003	Advanced	NONE
AUTOCALIBRATE (Liu et al., 2023f)	*	✓	*		*	*	*		GPT-4	Likert	CON/COH/REL/FLU/INF/NAT
ALLURE (Hasanbeig et al., 2023)	*	✓	*		*	*	✓		GPT-4	Advanced	CON/COH/FLU/REL
Tuning-based Evaluation											
PRISM (Thompson and Post, 2020)	✓	*	*	*	*	*	*	✓	Transformer	Prob	NONE
T5Score (Qin et al., 2022)	✓	✓	*	*	*	*	*	✓	T5	Prob	NONE
TrueTeacher (Gekhman et al., 2023)	*	✓	*		*	*	*		T5	Likert	CON
X-EVAL (Liu et al., 2023a)	*	✓	✓		✓	*	*		FLAN-T5-large	Likert	DEP/LIK/UND/FLE/INF/INQ INT/SPE/COR/SEM/COH/ENG NAT/GRO/CON/REL/FLU
AUTO-J (Li et al., 2023a)	*	*	*		*	*	*		LLaMA	Likert/Pairwise	ACC/CLA/FEA/CRE/THO STR/LAY/COM/INF
PERSE (Wang et al., 2023a)	*	*	*	*	*	✓	*	✓	LLaMA	Likert/Pairwise	INT/ADA/SUR/CHA/END
PandaLM (Wang et al., 2023f)	*	*	*		*	*	✓		LLaMA	Pairwise	CLA/COM/FOR/ADH
Attscore (Yue et al., 2023)	*	*	*		*	*	✓		Roberta/T5/GPT2 LLaMA/Vicuna	Advanced	CON
TIGERScore (Jiang et al., 2023)	✓	✓	*		✓	✓	✓		LLaMA	Advanced	COH/INF/ACC/COM
INSTRUCTSCORE (Xu et al., 2023)	✓	*	*	*	*	*	*	✓	LLaMA	Advanced	NONE
Prometheus (Kim et al., 2023a)	*	*	*		*	*	✓		LLaMA-2	Likert/Pairwise	NONE
CritiqueLLM (Ke et al., 2023)	*	*	*		*	*	✓		ChatGLM	Likert	NONE

Table 1: Automatic metrics proposed (✓) and adopted (*) for various NLG tasks. **REF** indicate the method is source context-free. **MT**: Machine Translation, **TS**: Text Summarization, **DG**: Dialogue Generation, **IC**: Image Captioning, **D2T**: Data-to-Text, **SG**: Story Generation, **GE**: General Generation. We adopted the evaluation aspects for different tasks from Fu et al. (2023). Specifically, for each evaluation aspect, *CON*: consistency, *COH*: coherence, *REL*: relevance, *FLU*: fluency, *INF*: informativeness, *COV*: semantic coverage, *ADE*: adequacy, *NAT*: naturalness, *ENG*: engagement, *GRO*: groundness, *GRAM*: grammaticality, *LIK*: likability, *PER*: personalization, *QUA*: quality, *INT*: interest, *USE*: usefulness, *HARM*: harmlessness, *ACC*: accuracy, *FAC*: factuality, *ADA*: adaptability, *SUR*: surprise, *CHA*: character, *END*: ending, *FEA*: feasibility, *CRE*: creativity, *THO*: thoroughness, *STR*: structure, *LAY*: layout, *CLA*: clarity, *COM*: comprehensiveness, *FPR*: formality, *ADH*: adherence, *DEP*: topic depth, *UND*: understandability, *FLE*: flexibility, *INQ*: inquisitiveness, *SPE*: specificity, *COR*: correctness, *SEM*: semantic appropriateness. *NONE* means that the method does not specify any aspects and gives an overall evaluation. The detailed explanation of most evaluation aspect can be found in Fu et al. (2023).

first row of Table 2 in the appendix. Pioneering this method, GEMBA (Kocmi and Federmann, 2023) proposed to utilize LLM evaluators to assign translation quality scores ranging from 0 to 100 with or without reference. Building on this foundation, Lin and Chen (2023) and Liu et al. (2023e) extended score evaluation methods to open-domain and closed-end conversations evaluation. Furthermore, Wang et al. (2023b) prompted LLM to generate quality scores for generated texts across various tasks, both with and without reference.

Probability-based Evaluation. Recognizing that the quality of the generated text is often correlated with the ease of generation by LLMs based on source or reference text, some studies frame the evaluation task as a conditional generation task. In this context, the generative likelihood of the produced text is calculated, serving as the score indicative of text quality, as illustrated in the second row of Table 2. Yuan et al. (2021) first leveraged BART (Lewis et al., 2019) as an evaluator to compute the probability of the generated text based on source or reference text in machine translation, text summarization, and data-to-text tasks. Fu et al. (2023) prompt LLM evaluator to calculate the generation probability of generated text with definitions of evaluation tasks and aspects. Unlike conventional use of generation probability as a quality score, Jia et al. (2023) calculated three probability changes to evaluate the faithfulness of the generated summary including changes with prior and conditional probability.

Likert-Style Evaluation. Inspired by the human annotation process, many studies employ LLM evaluators to assess the quality levels of generated texts based on a likert-style scale (Bai et al., 2023; Gao et al., 2023; Ostheimer et al., 2023; Gilardi et al., 2023; Huang et al., 2023; Zhao et al., 2023; Wu et al., 2023b; Luo et al., 2023; Zheng et al., 2023; Zhuo, 2023; Sottana et al., 2023; Skopek et al., 2023). A representative likert-style prompt is depicted in the third line of Table 2. Chiang and Lee (2023) provided LLM evaluators with the same evaluation instructions as human annotators, prompting them to rate the quality of generated texts using a 5-point likert scale. Meanwhile, Gao et al. (2023) instructed ChatGPT to rate model-generated summarizations across multiple evaluation aspects, using a scale ranging from 1 (worst) to 5 (best) based on the provided source document. Ostheimer et al. (2023) designed multiple prompts, each guiding the LLM evaluator to assess a specific evaluation aspect of text style transfer task with a discrete scale. Liu et al. (2023f) utilized LLMs to draft, filter, and refine comprehensive evaluation criteria with a likert scale as score instructions when evaluating summarization, data-to-text and hallucination tasks.

Pairwise Evaluation. Compared with utilizing LLM evaluators to individually evaluate the quality of generated texts, another way is explicitly comparing with other generated text and decide which one is superior (Bai et al., 2023; Ji et al., 2023). A representative prompt is shown in the last row of Table 2. Wang et al. (2023c) employed LLM to assess a pair of model-generated responses, integrating a methodology involving multifaceted evidence and calibrated positioning, and leveraging human annotators if necessary to mitigate the influence of response pair order. Wang et al. (2023e) introduced a personalized evaluation framework prompting LLM to perform pairwise comparisons on three aspects: personalization, quality, and relevance.

Ensemble Evaluation. Since the evaluation process typically entails collaboration among multiple human annotators, some studies employ diverse LLM evaluators with varying base models or prompts, enabling assessments of text quality from different perspectives, as illustrated in Figure 5. Wu et al. (2023a) set multiple roles for the LLM to evaluate the quality of the generated summary by comparing it with the reference one on both subjective and objective dimensions. Li et al. (2023c) employed multiple LLM evaluators to conduct pairwise evaluations of model-generated responses which iteratively discuss comparison results. Besides, Chan et al. (2023) designed diverse communication strategies with various role prompts during collaborative discussions.

Advanced Evaluation. Some recent works investigate advanced evaluation to achieve comprehensive assessment outcomes by leveraging chain-of-thought, in-context learning capabilities, fine-grained analysis, etc (Jain et al., 2023; Min et al., 2023; Hasanbeig et al., 2023; Tang et al., 2023). A representative fine-grained evaluation method is shown in Figure 4. Liu et al. (2023c) utilized LLMs with chain-of-thought to evaluate the quality of generated texts across various NLG tasks and evaluation aspects. Lu et al. (2023) combined CoT to prompt the LLM evaluator to analyze different types of pre-defined errors in the generated translation, and then measured the quality of a generated translation. To enhance and improve the robustness of LLM-based evaluators, Hasanbeig et al. (2023) proposed ALLURE, a systematic protocol for auditing and improving LLM-based evaluation of text using iterative in-context-learning. Tang et al. (2023) leveraged LLMs to paraphrase a single reference into multiple high-quality ones in diverse expressions, which enhances evaluation methods on several NLG tasks. Liu et al. (2023f) mined and calibrated rubrics utilizing in-context learning to automatically align the LLM evaluator.

3.2 Tuning-based Evaluation

In the ever-evolving landscape of NLG evaluation, a noteworthy paradigm shift is underway as researchers increasingly turn their attention towards fine-tuning open-source language models (e.g., LLaMA). In contrast to closed-based models demanding expensive API calls, the fine-tuning of smaller open-source LLMs provides a cost-effective alternative. Additionally, the process of prompting LLMs for NLG evaluation requires meticulous crafting of prompts, with variations potentially resulting in significant differences in outcomes. Furthermore, the consideration of domain adaptability underscores the evolving landscape of NLG evaluation. Fine-tuning open-source LLMs affords researchers the flexibility to tailor models to diverse domains and tasks, transcending the lim-

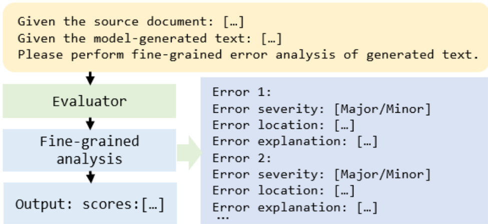


Figure 4: A example of fine-grained evaluation inspired by Jiang et al. (2023).

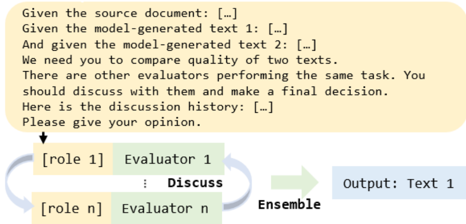


Figure 5: A example of ensemble evaluation inspired by Li et al. (2023c).

itations imposed by closed-based models confined to specific niches.

Likert-Style Evaluation. Some works tune LLMs to provide quality level or label for generated texts (Li et al., 2023a; Gekhman et al., 2023; Yue et al., 2023; Wang et al., 2023a; Kim et al., 2023a). Gekhman et al. (2023) employed FLAN-PaLM 540B (Chung et al., 2022) to annotate the quality of real model-generated summaries and utilized these annotated data as training data to tune a light-weight LLM (e.g., T5-11B) as a factual consistency summary evaluator. Li et al. (2023a) created a dataset containing multiple scenarios and used GPT-4 (OpenAI, 2023) to generate evaluation judgments for each scenario as supervision signals to tune LLaMA as a generative evaluator. Wang et al. (2023a) repurposed existing datasets with new personalized labels to tune LLaMA2 (Touvron et al., 2023) as a personalized story evaluation model which outputs a grade in [1, 10] and detailed reviews. Ke et al. (2023) collected referenced and reference-free data with dialogue-based prompting by instructing GPT-4, utilized which to tune LLMs for evaluating generated texts with explanations. Liu et al. (2023a) constructed a reference-free instruction-tuning dataset tailored for multi-aspect evaluation across various tasks, and tuned evaluator with auxiliary aspects additionally.

Probability-based Evaluation. Some works train generative LLMs to calculate the generation probability of generated texts to evaluate text quality. Thompson and Post (2020) trained a transformer as a multilingual reference-to-candidate paraphraser to obtain the generated probability of generated translation based on reference. Qin et al. (2022) tuned the T5 model in the generative and discriminative fashion, used which to calculate generative probability of generated text.

Pairwise Evaluation. There are also some works tuning LLMs for comparison between generated text pairs. Wang et al. (2023f) collected response pairs from LLMs and asked GPT-3.5 to generate output judgments, utilized which to tune LLaMA-7B to evaluate a pair of model-generated responses with the given query, accompanied by a concise description of the evaluation procedure. Zheng et al. (2023) performed fine-tuning on Vicuna using a human votes dataset from Chatbot Arena to pairwise evaluate two answers with the given query.

Advanced Evaluation. Nearly all tuning-based evaluators are trained to emulate evaluation behavior produced by strong closed models (e.g., GPT-4 or ChatGPT). Most studies gravitate towards ***holistic evaluation*** (Li et al., 2023a; Wang et al., 2023f,a; Kim et al., 2023a), which takes into account a diverse range of aspects to offer a holistic understanding of the quality of the hypothesis text. Besides, some studies explore ***error-oriented evaluation*** which focused on examining and explaining the specific errors in the hypothesis text, offering insights into why a particular score is derived. For instance, Yue et al. (2023) first defined different types of attribution errors, and then explored prompting LLMs or fine-tuning smaller LLMs on simulated and repurposed data from related tasks such as QA, NLI, and summarization. Xu et al. (2023) utilized GPT-4 to construct fine-grained analysis data to tune LLaMA as error-oriented evaluator, after which this work utilized real model-generated response-reference pairs to refine and self-train evaluator. Furthermore, Jiang et al. (2023) sampled data from diverse text generation datasets with real system output and GPT-4 synthesis, and tuned LLaMA using error analysis generated by GPT4 for fine-grained evaluation.

4 Benchmarks and Tasks

Numerous meta-evaluation benchmarks serve the purpose of validating the efficacy of NLG evaluators. These benchmarks incorporate human annotations gauging the quality of generated text, and evaluating the degree of concurrence between automatic evaluators and human preferences. Categorized based on the tasks involved, these benchmarks can be classified into single-scenario examples, such as summarization, as well as multi-scenario benchmarks. This section will provide an overview of these NLG tasks and their associated meta-evaluation benchmarks.

Machine Translation (MT). MT task is centered around converting a sentence or document from a source language into a target language while preserving the same semantic meaning. The Annual WMT Metrics Shared tasks (Freitag et al., 2021b, 2022) annually introduce a set of benchmarks encompassing model-generated translations, source text, reference text, and human judgment across multiple languages. Simultaneously, Freitag et al. (2021a) curated and annotated outputs from 10 translated systems for translation pairs in the WMT 2020 news translation task (Barrault et al., 2020). They used professionals and crowd workers to rate translations on a 7-point scale using multi-dimensional metrics.

Text Summarizing (TS). TS involves generating a summary of a given text while capturing its essential meaning. There are many meta-evaluation benchmarks proposed (Grusky et al., 2018; Gliwa et al., 2019; Bhandari et al., 2020; Wang et al., 2020b; Pagnoni et al., 2021; Laban et al., 2022; Skopek et al., 2023; Shen and Wan, 2023). One of the widely used benchmarks is SummEval (Fabbri et al., 2021b) which includes summaries generated by 16 models from 100 source news articles. Each summary underwent annotation by crowd-sourced workers and experts on four dimensions: coherence, consistency, fluency and relevance. In addition, Shen and Wan (2023) presented a meta-evaluation benchmark for opinion summarization tasks, including human judgments and outputs from 14 models over four dimensions.

Dialogue Generation (DG). DG task aims to generate human-like responses in the context of a conversation which should be natural and consistent. Mehri and Eskenazi (2020b) performed human annotations across two open-domain dialog corpora Topical-Chat (Gopalakrishnan et al., 2019) and PersonaChat (Zhang et al., 2018), where each response is scored from 6 dimensions including naturalness, coherence, engagingness, groundedness, understandability and overall quality. Similaritily, Mehri and Eskenazi (2020a) sampled and annotated a subset from a set of conversations across eighteen dialog quality dimensions.

Image Caption (IC). The task involves generating textual descriptions or captions for images. Meta-evaluation benchmarks of IC contain human annotations for image-textual pairs or hypothesis-reference caption pairs (Aditya et al., 2015; Vedantam et al., 2015; Cui et al., 2018). For example, the commonly used Flickr 8k dataset (Hodosh et al., 2013) collected human annotations from both expert and CrowdFlower for each image-caption pair. Cui et al. (2018) collected human judgments for twelve submission entries with reference captions from the 2015 COCO Captioning Challenge on the COCO validation set (Vinyals et al., 2016).

Data-to-Text (D2T). D2T task involves generating fluent and factual human-readable text from structured data. Mairesse et al. (2010) proposed BAGEL, which contains 202 structured information samples about restaurants in Cambridge. Wen et al. (2015) further proposed SFRES and SFHOT, which contain 581 samples of restaurants and 398 samples of hotels in San Francisco, respectively.

Story Generation (SG). The task involves creating relevant narratives or stories with the given beginning of a story or writing requirement. Most meta-evaluation benchmarks of story generation always contain stories and corresponding manually annotated judgment scores (Guan et al., 2021; Chen et al., 2022). Besides, Wang et al. (2023a) created two personalized story evaluation benchmarks denoted as Per-MPST and Per-DOC. This work repurposed existing datasets (Kar et al., 2018; Yang et al., 2023) through anonymizing and summarizing. Both them provide personalized human judgements for each generated story.

General Generation (GE). As LLMs have been increasingly used in general NLG tasks, LLM evaluators have been proposed to effectively evaluate the generated texts across multiple scenario (Kim et al., 2023a; Ke et al., 2023). Accordingly, there are many multi-scenario meta-evaluation benchmarks (Wang et al., 2023c; Zheng et al., 2023; Wang et al., 2023d; Yue et al., 2023; Liu et al., 2023b; Zeng et al., 2023). Typically, Zhang et al. (2023b) sampled 2,553 evaluation samples, including instructions and generated responses with corresponding human-annotated labels from multiple tasks. Additionally, Zeng et al. (2023) introduced a benchmark divided into NATURAL and ADVERSARIAL sets. The former set comprises instances from human-preference benchmarks, ensuring objective preferences. The latter set contains instances created by authors to challenge evaluators, deviating from instructions but maintaining superficial quality.

5 Open Problems

This paper provides a comprehensive review of recent natural language generation evaluations based on LLMs, encompassing both prompt-based and tuning-based approaches. Despite significant efforts and notable achievements across various benchmarks, several challenges in the field persist.

Bias of LLM-based Evaluators. The use of LLMs as evaluators inherently cast the text evaluation as a generation task. Consequently, when LLMs are employed in this evaluator role, they may carry over biases intrinsic to their function as generators. These biases may include social biases, such as stereotypes related to specific demographic identities (e.g., race, gender, religion, culture, and ideology) (Sheng et al., 2021). In addition to these general biases, LLMs-as-evaluators are subject to specific biases unique to their evaluative role. These include order bias, where preference is given to options based on their sequence (Zheng et al., 2023; Wang et al., 2023c); egocentric bias, where a tendency exists to favor texts generated by the same LLM (Liu et al., 2023d; Koo et al., 2023); and length bias, which leads to a preference for longer or shorter texts (Zheng et al., 2023).

Robustness of LLM-based Evaluators. Most LLMs-based evaluation methods rely heavily on prompt engineering. However, the process of prompting LLMs for NLG evaluation demands careful crafting of prompts. The variations in these prompts can potentially lead to substantial differences in the outcomes of the evaluation process. As demonstrated in Liu et al. (2023e) and Koo et al. (2023), LLMs exhibit limited robustness when subjected to the adversarial dataset containing incorrect facts, irrelevant information, or fabricated statistics. The robustness of LLM-based evaluators emerges as a critical area of exploration, underscoring the need for further research to enhance their robustness in the face of challenging or misleading inputs.

Which came first, the chicken or the egg? If the evaluator possesses capabilities comparable to the model being evaluated, e.g. using GPT-4 to evaluate GPT-4 itself, there may exist egocentric issue of favoring their own generated responses (Bai et al., 2023). This scenario mirrors the chicken-and-egg dilemma: an LLM-based evaluator relies on a more powerful LLM, yet the development of a more powerful LLM depends on having a robust evaluator. To address this dilemma, a broader spectrum of evaluation method is necessary, involving various benchmark (Srivastava et al., 2022; Liang et al., 2022), evaluation criteria (Sellam et al., 2020), and human feedback (Xu et al., 2023; Ouyang et al., 2022) to ensure more comprehensive assessments.

Domain-Specific Evaluation. Most LLM-based evaluators are designed for general domains and are not specifically tailored to any particular domain. The domain-specific evaluation poses significant challenges of checking domain factuality and designing specific evaluation prompts. For example, while evaluating legal documents, aspects such as legal accuracy and adherence to the judicial system are crucial (Cui et al., 2023). Therefore, to enhance the efficacy of LLMs as evaluators in specialized domains, there's a pressing need to develop models that are not only domain-aware but also equipped with the capability to evaluate based on domain-specific criteria.

Unified Evaluation. As LLMs become increasingly versatile, there is a need for more comprehensive and flexible assessment methods. However, most current LLM-based evaluators are limited to constrained tasks and aspects (cf. Table 1). Some promising attempts have been made in this direction. For instance, MT-Bench (Zheng et al., 2023) uses GPT-4 as an evaluator across multiple domains for multi-turn questions. Another model, Auto-J (Li et al., 2023b), accommodates diverse evaluation protocols and has been validated in 58 different scenarios. In light of increasingly diverse user queries, developing a more unified evaluation protocol is a promising direction. Additionally, constructing high-quality, comprehensive datasets to train unified models holds great potential.

6 Conclusion

In this survey, we have meticulously surveyed the role of LLMs in the evaluation of NLG. Our comprehensive taxonomy classifies works along three primary dimensions: evaluation function, evaluation references and evaluation task. Additionally, we summarize holistic LLM-based approaches and prevalent meta-evaluation benchmarks for NLG evaluation. Through our survey, we highlight unresolved issues, including bias, robustness, and the need for domain-specific and unified evaluation within LLM-based evaluators. We anticipate that addressing these challenges will pave the way for more reliable, general, and effective LLM-based NLG evaluation techniques.

7 Limitations

In this paper, we propose a survey of leveraging large language models for NLG evaluation. This survey provides a comprehensive overview about the usage of LLM evaluators in evaluation of NLG tasks. Nevertheless, due to space restrictions, we are unable to provide further details on LLM evaluators and meta-evaluation benchmarks in this survey. Additionally, we do not compare the performance of various LLM evaluators in the survey. Furthermore, as LLM-based NLG evaluation field is rapidly evolving, our survey may not include the latest LLM evaluators which are emerged shortly before or after its completion. In the future, we plan to demonstrate more detailed information for each LLM evaluators and track the latest progress through updating periodically GitHub repository.

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A Appendix

Prompt Type	Prompt	Output

Score-based	`Given the source document: [. . . ]`
	`Given the model-generated text: [. . . ]`
	`Please score the quality of the generated text from 1 (worst) to 5 (best)`
		`Scores: 2`

Likert-style	`Given the source document: [. . . ]`
	`Given the model-generated text: [. . . ]`
	`Is the generated text consistent with the source document? (Answer Yes or No)`
		`Yes`

Pairwise	`Given the source document: [. . . ]`
	`Given the model-generated text 1: [. . . ]`
	`And given the model-generated text 2: [. . . ]`
	`Please answer which text is better-generated and more consistent.`
		`Text 1`

Table 2: Illustration of different types of prompts.