### **000 001 002 003** SOURCE ATTRIBUTION FOR LARGE LANGUAGE MODEL-GENERATED DATA

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### ABSTRACT

The impressive performances of *large language models* (LLMs) and their immense potential for commercialization have given rise to serious concerns over the *intellectual property* (IP) of their training data. In particular, the synthetic texts generated by LLMs may infringe the IP of the data being used to train the LLMs. To this end, it is imperative to be able to perform source attribution by identifying the data provider who contributed to the generation of a synthetic text by an LLM. In this paper, we show that this problem can be tackled by watermarking, i.e., by enabling an LLM to generate synthetic texts with embedded watermarks that contain information about their source(s). We identify the key properties of such watermarking frameworks (e.g., source attribution accuracy, robustness against adversaries), and propose a source attribution framework that satisfies these key properties due to our algorithmic designs. Our framework enables an LLM to learn an accurate mapping from the generated texts to data providers, which sets the foundation for effective source attribution. Extensive empirical evaluations show that our framework achieves effective source attribution.

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### <span id="page-0-0"></span>1 INTRODUCTION

**029 030 031 032 033 034 035 036 037 038** *Large language models* (LLMs) [\(Ouyang et al., 2022;](#page-13-0) [Touvron et al., 2023a\)](#page-13-1) have recently demonstrated remarkable performances and hence received a surging interest. These LLMs, trained using massive text data, have displayed impressive text generation abilities. This has given rise to the immense potential of adopting LLM-generated texts for commercial use. However, this potential commercialization has led to major concerns regarding the *intellectual property* (IP) of training data for LLMs because the texts generated by an LLM may infringe the IP of the data being used to train the LLM. These concerns have been reflected by the increasing regulations on data protection related to AI models. For example, the Coalition for Content Provenance and Authenticity has stressed the necessity of certifying the *source* of online content produced by generative models [\(Rosenthol,](#page-13-2) [2022\)](#page-13-2). Therefore, it is of crucial importance for LLMs to be equipped with **source attribution** for their generated synthetic texts.

**040 041 042 043 044 045 046 047 048 049** In source attribution, given some texts generated by an LLM, its aim is to find the source responsible for the generation of these texts. That is, if the data from a data provider has been used to train the LLM and contributed to the generation of a sentence by the LLM, then source attribution identifies this data provider. Moreover, source attribution also improves the interpretability of LLMgenerated texts: for example, if the generated content from an LLM is attributed to a trustworthy source (e.g., a peer-reviewed academic paper), then the user is likely to consider the content more reliable. The ability to perform source attribution can endow the LLM with the capability of *data provenance*, which presents a *different problem* where a data provider can verify whether its data has been used to train the LLM. This problem can be solved with source attribution. Specifically, a data provider can check the source of the generated texts from an LLM via source attribution, and hence verify data provenance, as detailed in App. [E.1.6.](#page-21-0)

**050 051 052 053** While some recent works have addressed the problem of *data provenance* in LLMs [\(Kirchenbauer](#page-12-0) [et al., 2023;](#page-12-0) [Liu et al., 2023a\)](#page-12-1), to the best of our knowledge, effective source attribution for LLMs remains an open problem. In contrast to data provenance which presents a binary determination, *source attribution aims to identify the specific data source(s) influencing a particular output, which presents a more challenging task. Our work focuses on addressing source attribution rather than*

<span id="page-1-0"></span>

Figure 1: Illustration of WASA's problem setting. Watermarks are embedded into the texts from data providers for training the LLM. The LLM produced by our WASA framework can generate synthetic texts with embedded watermarks that allow for effective source attribution.

**063 064 065 066 067 068** *on data provenance.* Additionally, recent studies have explored data selection and can find the most influential training data for test points [\(Kwon et al.;](#page-12-2) [Xia et al., 2024;](#page-13-3) [Wettig et al., 2024\)](#page-13-4). However, *they are limited to supervised downstream tasks such as classification, question answering, or summarization, where test points with ground truths are available*. In contrast, our work focuses on attributing all varieties of LLM generations, encompassing both supervised tasks and unsupervised generations, which do not have predefined ground truths.

**069 070 071 072 073 074 075 076 077 078 079 080 081 082 083** To perform source attribution for LLM-generated texts, a natural solution involves *watermarking*, i.e., by enabling the LLM to generate synthetic texts with embedded watermarks that contain information about their source(s). Consequently, source attribution can be performed by examining the watermarks embedded in the generated texts. Our problem setting (Fig. [1\)](#page-1-0) involves 3 parties: *data providers* contributing text data that may be used for LLM training, an honest third-party *LLM platform operator* producing an LLM with generated texts that embed watermarks (hence allowing for source attribution), and *users* of the texts generated by this LLM. The users may request source attribution for the LLM-generated synthetic texts to find out which data provider is responsible for the generated texts. We consider scenarios where each data provider contributes ample balanced data with unique characteristics, i.e., the data from different data providers exhibit dissimilarities. This encompasses a wide variety of real-world scenarios: For example, online articles written by different authors (i.e., data providers) usually feature their unique writing styles. On the other hand, we do not consider individual documents/sentences as data providers since they have insufficient data. Additionally, this work focuses on single-source scenarios, where the generated content can be attributed to a single data provider.

**084 085 086 087 088 089 090 091 092 093 094 095 096** An effective source attribution framework has to satisfy some key properties: The framework should  $(1)$  achieve **accurate** source attribution,  $(2)$  be **robust** against malicious attacks on the watermarks, (3) preserve the performance (i.e., text generation ability) of the LLM,  $(4)$  be scalable to a large number of data providers, (5) ensure that the generated watermarks are **transferable** to (i.e., persist after being used as training data for) other LLMs, and (6) be adaptable to fit different LLMs. Sec. [2](#page-1-1) discusses these key properties in more detail. To this end, this paper introduces a *WAtermarking for Source Attribution* (WASA) framework which, to our best knowledge, is the first framework capable of enabling effective source attribution in text generated by large language models Our WASA framework assigns a unique watermark (i.e., imperceptible to human eyes) to every data provider, and enables an LLM (coined as WASA-LLM) to learn an accurate mapping from the texts of different data providers to their corresponding watermarks (Sec. [3\)](#page-3-0). So, if a data provider is responsible for generating a sentence, then our WASA-LLM is able to include the unique watermark of this data provider in this generated sentence, which naturally supports source attribution. Our contributions are summarized below:

- We propose to use watermarking for source attribution on LLM-generated synthetic texts and identify the key properties of such source attribution frameworks.
- We introduce the WASA framework which satisfies these key properties and is hence capable of producing LLMs whose generated texts allow for effective source attribution.
- We perform extensive empirical evaluations (Sec. [4\)](#page-5-0) to verify that our WASA framework satisfies these key properties and achieves effective source attribution.
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- <span id="page-1-1"></span>2 KEY PROPERTIES OF WATERMARKING FOR SOURCE ATTRIBUTION

**106 107** Here, we first present a clear definition of source attribution. For a piece of LLM-generated synthetic text s, if s correlates the most with the LLM's training data provided by one data provider compared to other providers, we recognize that data provider as the source for  $s$  and denote as a one-hot label

**108 109 110**  $y_s := \{0, 0, \ldots, 1, \ldots, 0\}$  where  $y_s[i] = 1$  if  $y_s[i]$  is the source, otherwise  $y_s[i] = 0$ ; the dimension is  $n$ , which is the total number of data providers and is fixed. The goal of source attribution is: given a piece of LLM-generated text s, we want to find a mapping  $s \rightarrow y_s$  that attributes s to its source  $y_s$ .

**111 112 113 114 115 116 117 118** To simplify the problem, we discuss the following scenarios: (1) While  $x$  may correlate with multiple training data from provides, meaning that y may not necessarily be a one-hot vector, we *only consider attribution to a single data source* (that x correlates the most with), restricting the y to be one-hot vector in our case, and present case studies when attributing to more than one data source in App. [G.3;](#page-35-0) (2) There might be an edge case where the generated content  $x$  correlates the most with pretraining data (from public training datasets) rather than data from data providers. We do not consider this case in our paper and ensure that in our evaluations the generated contents are related to the data from providers by carefully designing controlled experiments.

**119 120 121 122 123 124 125 126 127 128 129 130 131** In this paper, we would like to address the problem of source attribution with watermarking. Specifically, to use watermarking for source attribution, we first transform the data providers  $y$  to watermarks wtm correspondingly: encoder(y) = wtm where encoder denotes the watermark encoder. During LLM training, we aim to allow the LLM to learn a mapping  $g : s \to wtm$  to generate watermarks along with synthetic texts. Then during inference, we can perform the mapping  $s \rightarrow y_s$ by  $y_s = \text{decoder}(g(s))$  where  $\text{decoder}(wtm) = y$  is the watermark decoder function, translating the watermark to sources for the user. Importantly, since each generated content  $s$  must correlate with some pieces of training data, there always exists a source  $y_s$  which is the most correlated data source with s. Hence, under all conditions (except the special case mentioned above), as long as a user requests, s should be attributed to its source  $y_s$ . In our WASA framework, since we assume that all data providers provide watermarked training data, we can perform source attribution under all conditions: Upon request, we can perform  $y_s = \text{decoder}(g(s))$  and map the generated watermark to the corresponding data provider  $y_s$ .

**132 133** Subsequently, we discuss the key properties for an effective watermarking source attribution framework and how our WASA framework satisfies them.

**134 135 136 137 138 139** Accuracy. Accurate source attribution should be enforced. Our WASA framework achieves this by training the WASA-LLM to map texts from different data providers to their respective watermarks. Specifically, we first train WASA-LLM using watermarked texts (Sec. [3.1\)](#page-3-1) and separate the prediction/generation spaces for the texts and watermarks to both *reduce the complexity of watermark prediction* (Sec. [3.2\)](#page-3-2) and *explicitly enforce watermark generation* (Sec. [3.3\)](#page-5-1). Empirical results in Sec. [4.1](#page-5-2) demonstrate the effectiveness in source attribution.

**140 141 142 143 144** Robustness. Generated text with watermarks should be robust against malicious attacks. Since our trained WASA-LLM is able to learn an accurate mapping from the texts to the watermarks as mentioned (a) it can be exploited to *regenerate* the watermarks even if generated texts are tampered with and (b) it maintains generating the correct watermarks even if the input texts (prompts) are perturbed, which are empirically verified in Sec. [4.2.](#page-6-0)

**145 146 147** Scalability. The framework should cater to a large number of data providers. The design of the watermark (Sec. [3.1\)](#page-3-1) facilitates the generation of numerous unique watermarks and the scalability can be empirically verified in Sec. [4.3.](#page-7-0)

**148 149 150 151 152** Performance Preservation. The introduction of watermarks should (a) not significantly degrade the text generation ability of the LLM (b) nor affect the readability of the LLM-generated synthetic texts too much. We empirically show in Sec. [4.4](#page-7-1) that our WASA-LLM preserves (a), and the water-marks are carefully designed to achieve (b) (see App. [G.1\)](#page-35-1).

**153 154 155** Transferability. After the generated watermarked texts are used as training data for other LLMs, their generated texts should preserve the watermarks. We achieve this by ensuring that the watermarked training data of our WASA-LLM has the same structure as the generated watermarked data.

**156 157 158** Adaptability. The framework should be easily adapted to fit different LLMs. Our WASA framework only requires mild modifications to the LLMs and can hence adopt a wide variety of LLMs using the transformer architecture, as shown in Sec. [4.1.](#page-5-2)

**159 160 161** We have only listed above the most essential properties of such source attribution frameworks; there may be additional considerations depending on specific applications. In Sec. [3,](#page-3-0) we will discuss in more detail how our WASA framework satisfies these key properties due to our algorithmic designs.

<span id="page-3-3"></span>**162** This sentence is embedded with a 10-character watermark. **163** This sentence is not embedded with a 10-character watermark. **164**

This sentence is embeddedU+200BU+200DU+2063U+200CU+200C U+2064U+2064U+2062U+2064U+2063with a 10-character watermark.

Figure 2: Sentences embedded (the first one) and not embedded (the second one) with our imperceptible watermark visualized in the bottom sentence.

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### <span id="page-3-0"></span>3 WATERMARKING FOR SOURCE ATTRIBUTION (WASA) FRAMEWORK

Sec. [3.1](#page-3-1) discusses watermark design and embedding process. Sec. [3.2](#page-3-2) details the training of WASA-LLM with watermarked texts and its alignment with key properties. Sec. [3.3](#page-5-1) explains how our trained WASA-LLM produces synthetic texts with watermarks for source attribution.

<span id="page-3-1"></span>**174 175** 3.1 EMBEDDING WATERMARKS INTO TEXTS

**176** Firstly, the LLM platform operator embeds a unique watermark for each data provider's texts.

**177 178 179 180 181 182 183 184 185 186 187 Design of Watermarks.** We construct the watermarks using Unicode characters which are imperceptible to human eyes (yet can be decoded by machine learning models). Some of these invisible characters have also been adopted in other studies with language models [\(Boucher et al., 2022\)](#page-10-0). Every watermark is made up of 10 characters, each of which is chosen among the following 6 Unicode characters: U+200B, U+200C, U+200D, U+2062, U+2063, U+2064. We chose these characters because they are found to be invisible on many commonly used platforms. So, these watermarks preserve the semantic meaning of the original texts to human readers (Fig. [2\)](#page-3-3). Also, our WASA framework can easily adopt other choices of characters depending on the use cases. Moreover, these 10 character watermarks allow us to construct numerous combinations and hence achieve **scalability** to a large number of data providers. As shown in App. [F.10,](#page-33-0) reducing the watermark length trades off scalability for source attribution accuracy.

**188 189 190 191 192 193 194 195 196 197 198 199** Embedding Watermarks into Sentences. To enable our WASA-LLM to learn the mapping from the texts of different data providers to their watermarks, it is important to only embed watermarks into the sentences that are *representative of the unique characteristics of the data providers*. To this end, we calculate the *term frequency-inverse document frequency* (TF-IDF) scores of all sentences from a data provider and select the sentences with the top 20% of the TF-IDF scores (i.e., most representative sentences) for watermarking, which empirically yields the best trade-off of source attribution accuracy vs. text generation performance among different tested proportions, as reported in App. [F.8.](#page-32-0) For every selected sentence, we embed our 10-character watermark at a random position in the sentence, which allows the LLM to learn to map texts of different lengths to the watermarks and also makes it harder for an adversary to remove/modify the watermarks. As empirically verified in App. [F.2,](#page-28-0) our method of selecting sentences for watermarking based on TF-IDF indeed leads to more accurate source attribution than random selection.

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<span id="page-3-2"></span>3.2 TRAINING WASA-LLM

**202 203 204** We consider a practical scenario where the LLM is already pre-trained before being used by WASA framework, and we refer to our training of the LLM as *second-stage pre-training*. Our framework can also be used to train an LLM from scratch.

**205 206 207 208 209 Preliminaries on LLMs.** Denote an unsupervised corpus by D, in which every sequence  $s_i$  =  $[u_1, u_2, \ldots, u_k]$  is with a block of k tokens. We focus on decoder-only language models (e.g., GPT [\(Radford et al., 2019\)](#page-13-5), OPT [\(Zhang et al., 2022\)](#page-14-0), Llama2 [\(Touvron et al., 2023b\)](#page-13-6)). When presented with a sub-sequence  $s = s_i[1 : j - 1] = [u_1, \ldots, u_{j-1}]$ , the LLM predicts  $P(u_j)$  using feed-forward operations, as detailed below:

<span id="page-3-4"></span>
$$
h_0 = s \cdot W_e + W_p,
$$
  
\n
$$
h_\tau = \text{decoder}(h_{\tau-1}) \text{ for } \tau = 1, \dots, l,
$$
  
\n
$$
z = h_l[j-1] \cdot W_e^\top,
$$
  
\n
$$
P(u_j) = \text{softmax}(z).
$$
  
\n(1)

**215**  $W_e$  represents the embedding matrix with a dimension of vocabulary size  $V$  by embedding/hidden dimension  $E$ , and  $W_p$  is the positional encoding. The training objective is to maximize the log-

<span id="page-4-0"></span>

Figure 3: Separation of token embeddings and prediction spaces for texts and watermarks.

likelihood  $L(s_i)$  of a sequence  $s_i$  of tokens:

 $j$ 

$$
L(s_i) = \sum_{j=2}^{k} \log P(u_j | u_1, \dots, u_{j-1})
$$
\n(2)

**230 231** where  $P(u_i | u_1, \ldots, u_{i-1})$  (i.e., similar to  $P(u_i)$  in equation [1\)](#page-3-4) is the probability of j-th token  $u_i$ conditioned on the preceding  $j - 1$  tokens  $|u_1, \ldots, u_{j-1}|$ .

**232 233 234 235 Forward Pass.** To ease exposition, we consider one watermark in a block. Denote a sequence with an embedded watermark by  $s_i' = [u_1, u_2, \dots, u_t, w_1, w_2, \dots, w_m, u_{t+1}, \dots, u_{k-m}]$  where  $m = 10$ for 10-character watermark and the  $u$ 's and  $w$ 's are the word and watermark tokens, respectively. Hereafter, we will use  $t$  to denote the token index before the first watermark token.

**236 237 238 239 240 241** To begin with, we augment the original vocabulary by our  $V' = 6$  watermark characters (Sec. [3.1\)](#page-3-1), leading to our modified token embedding matrix  $W'_e$  is  $(V + V') \times E$  (Fig. [3\)](#page-4-0). For a sequence  $s'_i$ , given a sub-sequence  $s' = s'_i[1 : j - 1]$  comprising the first  $j - 1$  tokens, the same feed-forward operations in equation [1](#page-3-4) are applied to produce  $h_l$ . Next, depending on whether the ground-truth jth token being predicted is a word token u or watermark token w, we adopt *two separate prediction* spaces (i.e., separate softmax layers): For a *word token* u,  $(W'_e[1:V])^{\top}$  forms the linear layer:

<span id="page-4-1"></span>
$$
z_u = h_l[j-1] \cdot (W'_e[1:V])^\top,
$$
  
\n
$$
P_u(u) = \text{softmax}(z_u).
$$
\n(3)

(4)

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For a *watermark token*  $w$ ,  $(W'_{e}[V + 1 : V + V'])^{\top}$  forms the linear layer:

<span id="page-4-2"></span>
$$
z_w = h_l[j-1] \cdot (W'_e[V+1:V+V'])^\top,
$$
  

$$
P_w(w) = \text{softmax}(z_w) .
$$

**249 250 251 252 253 254 255 256** This separation of the prediction/generation spaces of the word tokens equation [3](#page-4-1) and watermark tokens equation [4](#page-4-2) allows us to use *a small number of additional parameters* (i.e.,  $E \times V'$  instead of  $E \times (V + V')$  for watermark prediction based on the hidden states of WASA-LLM. Moreover, this separation allows us to explicitly enforce the generation of watermarks (i.e., using its designated generation space) when we use the trained WASA-LLM to generate synthetic texts, as discussed in Sec. [3.3.](#page-5-1) Therefore, the watermarks can be *regenerated* using cleaned texts after being attacked, and the correct watermarks can still be generated even if the input texts (i.e., prompts) are perturbed, hence ensuring the **robustness** of our WASA framework; more details are in Sec. [4.2.](#page-6-0)

The two separate softmax layers naturally lead to the following separate log-likelihoods:

<span id="page-4-3"></span>
$$
L_{\text{lm}}(s_i') = \sum_{j=2}^t \log P_u(u_j|u_1,\dots,u_{j-1}) + \sum_{k=m}^{k-m} \log P_u(u_j|u_1,\dots,u_t,w_1,\dots,w_m,u_{t+1},\dots,u_{j-1}),
$$
\n(5)

<span id="page-4-4"></span>
$$
t_{wtm}(s_i') = \sum_{j=1}^{m} \log P_w(w_j|u_1,\ldots,u_t,w_1,\ldots,w_{j-1})
$$
 (6)

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where  $L_{lm}(s_i)$  equation [5](#page-4-3) is the log-likelihood of word tokens, and  $L_{wm}(s_i)$  equation [6](#page-4-4) is the log-

likelihood of watermark tokens, which encourages the LLM to learn texts-to-watermarks mapping.<sup>[1](#page-4-5)</sup> The overall log-likelihood we aim to maximize is therefore  $L_{\text{WASA-LLM}}(s_i') = L_{\text{lm}}(s_i') + L_{\text{wtm}}(s_i').$ 

<span id="page-4-5"></span><sup>&</sup>lt;sup>1</sup>To simplify exposition, for the second sum in equation [5,](#page-4-3) when  $j = t + 1$ , the term reduces to  $\log P_u(u_j | u_1, \ldots, u_t, w_1, \ldots, w_m)$ . In equation [6,](#page-4-4) when  $j = 1$ , the term reduces to  $\log P_w(w_j | u_1, \ldots, u_t)$ .

**270 271 272 273** The maximization of the log-likelihood of the watermarks conditioned on the texts equation [6,](#page-4-4) together with the separation of the prediction/generation spaces, enables WASA-LLM to **accurately** learn the mapping from the texts to watermarks and achieve a high **accuracy** in source attribution, which will be empirically verified in Sec. [4.1.](#page-5-2) The backward pass is further elaborated in App. [B.](#page-15-0)

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### <span id="page-5-1"></span>3.3 GENERATING TEXTS WITH EMBEDDED WATERMARKS USING WASA-LLM

**277 278 279 280 281 282 283 284 285 286 287 288 289 290** After our WASA-LLM is trained (Sec. [3.2\)](#page-3-2), it can generate synthetic texts which naturally include both the word and watermark tokens due to their *separate prediction/generation spaces*. To further improve the alignment between our training and generation stages, we introduce a *special token*  $[WTM]$  which is similar to other specialized tokens and in the vocabulary of V word tokens: When training our WASA-LLM using the watermarked texts,  $[WTM]$  is added right before the watermark tokens during tokenization so that the presence of  $[WTM]$  indicates that the subsequent  $m = 10$ tokens are watermark tokens; when generating texts, if  $[WTM]$  is encountered/generated, then it indicates that our WASA-LLM should switch to generating watermark tokens. After watermark tokens have been generated, our WASA-LLM resumes the word token generation. Fig. [9](#page-35-2) (App. [G.1\)](#page-35-1) shows the WASA-LLM-generated synthetic texts with embedded watermarks, which verifies that the watermarks are imperceptible to human eyes. Subsequently, when a user requests source attribution for some synthetic texts generated by our WASA-LLM, the LLM platform operator uses a designated *watermark decoder* algorithm to extract the generated watermark from the texts and then attribute these texts to the source (data provider) whose watermark matches the generated watermark (Fig. [1\)](#page-1-0). The matching algorithm is elaborated in App. [C.](#page-16-0)

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### <span id="page-5-0"></span>4 EXPERIMENTS

**294 295 296** We perform extensive empirical evaluations to validate that our WASA framework satisfies the 6 key properties in Sec. [2.](#page-1-1) The experimental results are the average taken from 5 random seeds. We consider two datasets in the main experiments:

**297 298** ArXiv is collected by post-processing academic papers from ArXiv [\(Clement et al., 2019\)](#page-10-1). This dataset contains academic papers from several fields, each field functions as a *data provider*.

**299 300** BookSum (Kryściński et al., 2022) consists of various books, each considered as a *data provider*.

**301 302 303 304 305 306 307 308** We adopt 10 data providers for each dataset in our main experiments and show that our WASA can scale to a larger number of data providers in Sec. [4.3.](#page-7-0) We further incorporate more diverse datasets and conduct experiments on them in App. [E.1.7.](#page-22-0) They comprise contents crawled from different websites and the data providers offer similar information, thus presenting more challenging scenarios for source attribution. We obtain WASA-LLM from our second-stage pre-training (Sec. [3.2\)](#page-3-2) of the pre-trained GPT2-Large , OPT-1.3B, and Llama2-7B. The results from OPT-1.3B are presented in App. [E.](#page-20-0) More details on the datasets and model training are given in App. [D,](#page-17-0) and an ablation study on generalizing to a frontier model, Llama3-8B model [\(Dubey et al., 2024\)](#page-10-2), is in App. [E.1.8.](#page-23-0)

**309 310 311 312 313 314** Baseline. Since WASA is the first effective source attribution framework, there is no existing baseline. We extend BM25 [\(Trotman et al., 2014\)](#page-13-7), which is a famous search engine algorithm that estimates the relevance of generated texts to data providers, machine learning-based technique as an additional baseline which compares between the semantic representations of generated text from each contributor and synthetic text, following a similar setup to [Foley et al.](#page-11-0) [\(2023\)](#page-11-0) (detailed in App. [E.1.3\)](#page-21-1).

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<span id="page-5-2"></span>4.1 ACCURACY

**317 318 319 320 321 322 323** We design the following experiment to facilitate easier evaluations of the single-source attribution accuracy. Specifically, for each data provider, we use the sentences selected for watermarking (after removing the watermarks) as the inputs/prompts to the trained WASA-LLM, and perform source attribution on the generated texts. This simplifies the evaluations: specifically, while LLM-generated text doesn't come with a ground-truth source, the data provider corresponding to the input sentence can naturally serve as the ground-truth source of the generated text. We verify the effectiveness of this evaluation method in App. [D.3.](#page-18-0) Subsequently, we select 50 sentences from each data provider after removing the watermarks (i.e., 50 trials) as the input/prompt to the trained WASA-LLM, which gen<span id="page-6-1"></span>**324 325 326** Table 1: Accuracies of top-1, top-3, and top-5 source attribution (resp. denoted by 'acc.', 'top-3.', and 'top-5.') and F1 score by BM25 and WASA-LLM from second-stage pre-training of different models on various datasets.



**334 335 336 337** erates texts (by continuing the sentence) together with watermarks. More details are in App. [E.1.1.](#page-20-1) The watermark in the generated sentence is then decoded, and the source attribution is correct if this watermark matches the watermark of the data provider corresponding to the input sentence (Sec. [3.3\)](#page-5-1). Therefore, for every data provider, the source attribution accuracy is calculated as

<span id="page-6-2"></span>
$$
accuracy = \frac{number\ of\ correct\ watermarks}{number\ of\ trials}.\tag{7}
$$

**340 341 342 343 344 345 346 347 348 349 350** The macro F1 score is also reported in the results with the definition detailed in App. [E.1.2.](#page-20-2) To mitigate the impact of the length of the generated sentence on our evaluations (i.e., a watermark may not be generated if the generated sentence is too short), we use a simple technique to enforce watermark generation: If a watermark is not generated, then we force the generation of a watermark by adding the token  $[WTM]$  to the end of the sentence (Sec. [3.3\)](#page-5-1). This is only adopted to simplify the evaluations; as verified in App. [F.3,](#page-29-0) naturally and forcefully generated watermarks lead to comparable source attribution accuracy. We also show in App. [F.9](#page-33-1) that this enforced watermark generation is not necessary if the generated texts are long enough. Tab. [1](#page-6-1) reports the source attribution accuracy averaged over 10 data providers. Our WASA framework consistently achieves *more accurate source attribution for both datasets and both language models*; Tabs. [9](#page-22-1) and [10](#page-22-2) in App. [E.1.4](#page-21-2) gives the source attribution accuracy for different data providers.

**351 352 353 354 355 356 357 358 359 360 Top-k Source Attribution.** In addition to attributing a generated sentence to a single source by using one watermark, it may be acceptable for some users to attribute a generated sentence to multiple possible sources that contain the true source. To account for these scenarios, we propose *top-*k *source attribution* in which we modify our watermark generation (Sec. [3.3\)](#page-5-1) so that when the token  $[WTM]$  is encountered, we generate the top  $k > 1$  watermarks with the largest beam search scores. In this case, source attribution is successful if the true watermark is contained in these  $k$  watermarks, so the *top-*k *accuracy* can be defined by replacing the number of correct watermarks in equation [7](#page-6-2) with the number of generated sentences whose top  $k$  watermarks contain the true watermark. Note that even though the methodology and main evaluation are targeted at single-source, an extension to multiple data providers can be handled by our top-k source attribution, and we present a case study when true sources are multiple sources in App. [G.3.](#page-35-0)

**361 362 363 364 365 366 367 368 369 370 371 372 373** Fine-grained Error Analysis. To better understand the incorrect attributions, where the generated text is not correctly attributed to its true source, we conduct a detailed error analysis on the ArXiv dataset. For every category (i.e., data provider), we separate the source attribution errors into two types of errors: (a) *misclassification* in which the generated watermark matches the watermark of another incorrect category, and (b) *incorrect watermark* in which the generated watermark does not match the watermark of any category. The results are presented in Tab. [11](#page-23-1) in App. [E.1.5,](#page-21-3) which show that the vast majority of our errors result from misclassification and our WASA-LLM rarely generates incorrect watermarks not belonging to any category. This further substantiates the reliability of our WASA-LLM. The results also suggest that errors are mostly caused by the generated texts exhibiting the characteristics of multiple data providers. Additionally, an edge case of incorrect attribution may arise when the true source is not watermarked, such as the public pre-training data. In such cases, content cannot be attributed to any recognized provider. To investigate this phenomenon, we have designed a controlled experiment detailed in App. [F.4.](#page-29-1)

#### <span id="page-6-0"></span>**374** 4.2 ROBUSTNESS

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**376 377** Our WASA framework is robust against malicious attacks aiming to disrupt the source attribution. We introduce the threat model as follows: We identify potential attackers as those intending to alter the LLM-generated text to remove IP acknowledgments to data contributors or alter input sentences to <span id="page-7-2"></span>**378 379 380 381** Table 2: Source attribution accuracy using regenerated watermarks by WASA-LLM (from secondstage pre-training of GPT2 on ArXiv dataset) under various attacks on generated sentences with embedded watermarks (*in addition to watermark removal/modification attacks*) and on input sentences. std is given in Tabs. [16](#page-26-0) and [17](#page-26-1) (App. [E.2\)](#page-24-0).



<span id="page-7-3"></span>Table 3: Source attribution accuracy and F1 score for different numbers of data providers on ArXiv dataset. 'BM25' denotes the source attribution obtained from BM25 on Llama2 as a baseline.



disrupt the watermark generation and hence the source attribution results. The attackers do not have access to the LLM itself but can query the model and modify the generated outputs. The attackers may also possess tools that can remove the Unicode characters (hence the watermark) inside a text.

**401 402 403 404 405 406 407 408 409** Watermark Removal/Modification Attack. An adversary may remove/modify the watermarks in our generated sentence to sabotage the source attribution accuracy. Due to the ability of our WASA-LLM in learning an accurate texts-to-watermarks mapping, the watermark can be *regenerated* if it is manipulated. Specifically, we clean the generated sentence by removing the corrupted watermark, and use the cleaned sentence as input/prompt to WASA-LLM to regenerate the watermark (without generating synthetic texts) which is then used for source attribution. The regenerated watermarks by WASA-LLM (from second-stage pre-training of GPT2 on ArXiv dataset) lead to an overall accuracy (top-3 accuracy) of  $71.60\%(93.76\%)$  which is comparable to the original  $74.84\%(95.76\%)$  (Tab. [1\)](#page-6-1). So, our watermark regeneration is an effective defense mechanism. Besides removing/modifying the watermark, an adversary may *additionally modify the content of the generated sentence*:

**410 411 412 413 414 415 416 417 418 419** Additional Attacks. We also consider additional attacks on generated sentences with embedded watermarks and on input sentences, including insertion, deletion, synonym substitution, syntactic transformation attacks, and an oracle-based attack [\(Zhang et al., 2023\)](#page-14-1). Tab. [2](#page-7-2) reports the source attribution accuracy under the first 3 attacks, where the attack strength relates to how many words in the sentence are attacked, and App. [E.2](#page-24-0) reports the accuracy under the last 2 attacks along with all the attacks descriptions. For such attacks (*in addition to watermark removal/modification attacks*) on generated sentences, watermark regeneration is used. The results show that although the attacks deteriorate attribution accuracy, high source attribution accuracy can still be preserved. This can again be explained by the reliable texts-to-watermarks mapping of our WASA-LLM, which is robust against perturbations to the input/prompt.

<span id="page-7-0"></span>**420 421** 4.3 SCALABILITY

**422 423 424 425 426 427** Here, we verify WASA's ability to scale to a large number of data providers. We follow the experimental setup in Sec. [4.1](#page-5-2) and increase the number of data providers. Results in Tab. [3,](#page-7-3) Tab. [20,](#page-27-0) and Tab. [21](#page-28-1) (App. [E.3,](#page-26-2) which includes 500 data providers) show that as the number of data providers increases, the source attribution accuracy inevitably decreases yet still remains high compared with the BM25 baseline. With more data providers, we recommend using  $k > 1$  in top-k attribution due to higher resulting accuracy and identifying the true source from among them.

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- <span id="page-7-1"></span>4.4 PERFORMANCE PRESERVATION
- **431** Here, we show that our WASA-LLM preserves the text generation ability of the original LLM by comparing it with the original GPT2-Large model which we denote as *originalGPT*. We train orig-



<span id="page-8-0"></span>**432 433** Table 4: Comparison of the text generation performances achieved by our WASA-LLM vs. the baseline model. The coherency and naturalness are evaluated by GPT4.

**438 439 440 441 442 443 444 445 446 447 448 449** inalGPT using the same (but un-watermarked) data from the ArXiv dataset as that used for our WASA-LLM. We assess the text generation performance using several commonly used evaluation metrics (with a separate evaluation dataset, as explained in App. [D.1\)](#page-17-1): perplexity, distinct-1, and distinct-2 scores [\(Li et al., 2016\)](#page-12-4). To further assess the naturalness and coherence of the generated text, we have also employed the GPT4 zero-shot prompt method (i.e., introduced in the work of [Yao](#page-14-2) [et al.](#page-14-2) [\(2023\)](#page-14-2)) to assess the text's naturalness and coherence. The results in Tab. [4](#page-8-0) show that the text generation performance of our WASA-LLM is comparable to that of originalGPT, which indicates that our WASA framework preserves the ability of the LLM to generate high-quality texts (Sec. [2\)](#page-1-1). The larger degradation in naturalness may stem from the embedded watermarks (Unicode characters). We validate that our WASA-LLM balances between the number of embedded watermarks and source attribution accuracy in App. [F.8.](#page-32-0) We show that our framework also ensures decent readability of generated text in App. [G.1.](#page-35-1)

**450 451** 4.5 OTHER KEY PROPERTIES

**452** Transferability and Adaptability are elaborated in Apps. [E.4](#page-27-1) & [E.5.](#page-27-2)

**453 454 455 456 457 458 459 460** Ablation Studies are carried out to assess the effectiveness of the designs, including (a) the designated embedding space for watermark tokens and separation of the prediction/generation spaces  $(App. F.1)$  $(App. F.1)$ , (b) adopting TF-IDF to select sentences for embedding watermarks  $(App. F.2)$  $(App. F.2)$ , and  $(c)$ the enforced watermark generation (App. [F.3\)](#page-29-0). Additional analysis, including (d) unattributable content (App. [F.4\)](#page-29-1), (e) the effectiveness in supervised fine-tuning (App. [F.5\)](#page-30-0),  $(f)$  the relative positions of the generated watermarks (App. [F.6\)](#page-31-0), and (f) the application in continuous training pipeline (App. [F.7\)](#page-31-1), are examined. We also explored the impact of hyperparameters from App. [F.8](#page-32-0) to App. [F.13.](#page-34-0)

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# <span id="page-8-1"></span>5 RELATED WORK

**464 465 466 467 468 469 470 471 472 473 474 475 476** In this section, we will review related works on source attribution and data provenance; further discussions on watermarking natural languages and models as well as text steganography are in App. [A.](#page-15-1) Recent studies by [Song & Shmatikov](#page-13-8) [\(2019\)](#page-13-8) verify dataset usage in language model training through membership inference attacks. [Liu et al.](#page-12-1) [\(2023a\)](#page-12-1) have proposed to plant backdoor triggers in training texts to check for data usage, but they can impair text generation performance. Importantly, the above works have only focused on data provenance and *cannot be easily adapted to perform effective source attribution*. [Abdelnabi & Fritz](#page-10-3) [\(2021\)](#page-10-3) have embedded messages post-generation via adversarial training, which means the messages can only be used for IP protection and *cannot be used for source attribution* during generation. Studies on data selection [\(Lin et al., 2024;](#page-12-5) [Xia et al.,](#page-13-3) [2024;](#page-13-3) [Wettig et al., 2024\)](#page-13-4) can potentially attribute data in supervised downstream tasks but cannot handle LLM generation in general settings when lacking test points with ground truth. Some works in computer vision have tackled the problem of source attribution [\(Marra et al., 2018;](#page-12-6) [Yu et al., 2019;](#page-14-3) [2021\)](#page-14-4). However, to the best of our knowledge, effective source attribution for the texts generated by language models remains an open problem and is the focus of our work here.

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# 6 CONCLUSION

**480 481 482 483 484 485** This paper describes our proposed WASA framework which allows for effective source attribution as a solution to intellectual property infringement in the context of LLMs. By embedding unique watermarks into LLM-generated texts, WASA not only enhances the reliability and interpretability of LLM-generated content but also provides a crucial tool for data protection, allowing data providers to verify the use of their contributions in LLM training processes. The extensive empirical evaluations of the WASA framework affirm its effectiveness in achieving accurate source attribution while satisfying the key properties we have identified above. Since our WASA is the first effective source

 attribution framework for LLM-generated texts, it faces some limitations which may call for future work. For example, though we have shown that our WASA is robust against various adversarial attacks, it is unclear whether it is robust against more advanced/sophisticated attacks, which may be achieved through adversarial training in future work.

 

### REPRODUCIBILITY STATEMENT

We have given the necessary details for reproducing the results of our work in this paper. Detailed descriptions of the datasets used and the experimental settings have been included in Sec. [4](#page-5-0) and App. [D,](#page-17-0) including the 5 specific random seed numbers for the experiment runs. Our code to reproduce the experiments has been included in the supplementary material.

### <span id="page-9-0"></span>ETHICAL CONSIDERATIONS

 Similar to other research topics on LLMs, watermarking the synthetic texts generated by LLMs for source attribution requires a thoughtful and ethical approach due to its potential societal implications. That is, it is important to take necessary measures to avoid causing harm to certain parties. Potential risks related to our watermarking framework include the following:

- Privacy Risks. Watermarking can potentially reveal sensitive information about data providers, thus leading to privacy breaches or the possibility of re-identification if not handled carefully. In our WASA framework, only the watermark can be seen in the generated data, which does not directly imply personal information about the data providers. Privacy can be preserved given that the mapping from watermarks to data providers is kept confidential.
- • Chilling Effects. Watermarking may discourage some data providers from sharing their datasets, especially if they fear potential misuse or unintended consequences of having their data linked to specific research outcomes.
- • Data Manipulation. While watermarks are meant to be unobtrusive and our WASA framework has been shown to be robust against various adversarial attacks, there can be unforeseen real-world instances where malicious actors attempt to manipulate the watermark, which may lead to negative consequences such as the dissemination of altered or misleading information.

 To address these potential risks, it is essential to carefully consider the ethical implications of our watermarking framework and implement measures to protect the privacy and interests of all involved parties, particularly those who are more susceptible to harm. Researchers should conduct comprehensive risk assessments and engage in transparent communication with data providers to ensure the responsible and ethical use of watermarked data. Additionally, incorporating diverse perspectives and involving vulnerable communities in the decision-making process can help identify and mitigate potential harm effectively.

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<span id="page-14-9"></span><span id="page-14-8"></span><span id="page-14-7"></span><span id="page-14-6"></span><span id="page-14-5"></span><span id="page-14-4"></span><span id="page-14-3"></span><span id="page-14-2"></span><span id="page-14-1"></span><span id="page-14-0"></span>

#### **810 811** A ADDITIONAL RELATED WORKS

**812 813**

**814 815 816** <span id="page-15-1"></span>In addition to the previous works discussed in Sec. [5](#page-8-1) that are most closely related to ours, we will give a review of additional related works on watermarking natural languages and text steganography, as well as recent works on watermarking language models.

**817 818 819 820 821 822 823 824 825 826 827 828 829** Watermarking Natural Language/Text Stegano-graphy. In natural language processing, watermarking and steganography are closely related in that they both desire stealthiness and robustness. However, there are also important differences because watermarking emphasizes the importance of ownership, whereas steganography focuses on the secret communication of messages. Language watermarking is used to protect the integrity and authorship of digital texts [\(Kamaruddin et al., 2018;](#page-12-7) [Podilchuk & Delp, 2001\)](#page-13-9). Early approaches of language watermarking are mostly rule-based and make use of linguistic techniques such as synonym substitution [\(Topkara et al., 2006b\)](#page-13-10) and sentence structure alteration [\(Topkara et al., 2006a\)](#page-13-11) to embed watermarks while attempting to preserve the semantic meaning of the original texts. However, these approaches usually lead to deteriorated text quality and are not scalable. Some recent works have aimed to develop advanced text steganography methods using deep learning. The work of [Yang et al.](#page-14-5) [\(2019\)](#page-14-5) has utilized recurrent neural networks to automatically generate steganographic texts, and the work of [Ziegler et al.](#page-14-6) [\(2019\)](#page-14-6) has proposed to first convert the secret messages into bit strings and then map them to the cover text based on arithmetic coding with the help of GPT2 [\(Radford et al., 2019\)](#page-13-5).

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**832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855** Watermarking Language Models. Some recent works have proposed methods to add watermarks to language models in order to protect the IP of the models [\(Dai et al., 2022;](#page-10-4) [Gu et al., 2023;](#page-11-1) [He et al.,](#page-12-8) [2022;](#page-12-8) [Zhao et al., 2022\)](#page-14-7). These methods allow the verification of model ownership and are hence able to protect the economic interests of model owners. Specifically, the work of [He et al.](#page-12-8) [\(2022\)](#page-12-8) has employed lexical replacement to watermark the language model output and used hypothesis testing for post-hoc model ownership verification. The work of [Gu et al.](#page-11-1) [\(2023\)](#page-11-1) has adopted backdoor attacks to embed black-box watermarks into pre-trained language models, which is achieved by using rare words as well as a combination of common words as backdoor triggers and verifying the watermarks by calculating the extraction success rate. Apart from model protection, multiple methods [\(Kirchenbauer et al., 2023;](#page-12-0) [Kuditipudi et al., 2023;](#page-12-9) [Lu et al., 2024\)](#page-12-10) have been proposed to use watermarking to distinguish between human-generated and model-generated synthetic texts. [Kirchenbauer et al.](#page-12-0) [\(2023\)](#page-12-0) softly constrain the word choices when the model generates synthetic texts and use hypothesis testing to make the distinction. More recently, the work of [Kuditipudi et al.](#page-12-9) [\(2023\)](#page-12-9) has improved the above method by developing a distortion-free method, which ensures that the watermarks do not change the sampling distribution of the texts. The work of [Lu et al.](#page-12-10) [\(2024\)](#page-12-10) also refines the same method by ensuring the influence of a token during watermark detection to be proportional to its entropy. Finally, in terms of security in watermarking models, [Liu et al.](#page-12-11) [\(2024b\)](#page-12-11) develop a compact watermarking model that embeds a semantic watermark within model outputs, enhancing their robustness against adversarial text modifications. Meanwhile, [Liu et al.](#page-12-12) [\(2024a\)](#page-12-12) employ two distinct neural networks to generate and detect watermarks, enabling public verification of the watermark while maintaining the confidentiality of the secret key throughout the watermark generation process. Additionally, [He et al.](#page-12-13) [\(2024\)](#page-12-13) introduce a Cross-lingual Watermark Removal Attack (CWRA), which can effectively remove watermarks by interfering with the watermark generation process through translation into another language. Importantly, these methods cannot be used to perform source attribution for the texts generated by language models, which we focus on in this work.

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# <span id="page-15-0"></span>B BACKWARD PASS

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**860 861 862 863** In the main paper, we introduce the forward pass of our model in Sec. [3.2.](#page-4-0) Here, we delve into the backward pass in our framework. Remember that the most important design of the framework is the separation of the prediction/generation spaces of the word tokens equation [3](#page-4-1) and watermark tokens equation [4.](#page-4-2) We represent the overall log-likelihood as  $L_{\text{WASA-LLM}}(s_i) = L_{\text{lm}}(s_i') + L_{\text{wtm}}(s_i')$ . Notice that maximizing these log-likelihoods is equivalent to minimizing the cross-entropy loss

**864 865**  $Loss_{\text{WASA-LLM}}(s_i') = Loss_{\text{lm}}(s_i') + Loss_{\text{wtm}}(s_i')$  in which

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<span id="page-16-1"></span>
$$
Loss_{lm}(s'_{i}) = \sum_{j=2}^{t} CE(P_{u}(u_{j}), u_{j}) + \sum_{j=t+1}^{k-m} CE(P_{u}(u_{j}), u_{j}),
$$
  
\n
$$
Loss_{wtm}(s'_{i}) = \sum_{j=1}^{m} CE(P_{w}(w_{j}), w_{j})
$$
\n(8)

**868 869 870**

**871 872 873** represent the losses for the word and watermark tokens, respectively. For simplicity, in equation [8,](#page-16-1) we omit the conditioning on the preceding tokens in  $P_u(u_i)$  and  $P_w(w_i)$ , which can be found in equation [5](#page-4-3) and equation [6.](#page-4-4)

**874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890** Due to the design above, the backward pass for updating the parameters  $W'_e$  in the last linear layer is also separated. That is, the gradients of word token loss  $Loss_{lm}(s'_{i})$  and watermark token loss  $Loss_{\text{wtm}}(s'_i)$  equation [8](#page-16-1) are responsible for updating  $(W'_e[1:V])^{\top}$  equation [3](#page-4-1) and  $(W'_e[V+1:$  $(V + V')^{\top}$  equation [4,](#page-4-2) respectively. Specifically, the gradient update rule for  $W'_{e}$  (with learning rate  $\alpha$ ) can be expressed as  $W_e^j \leftarrow W_e^j - \alpha h_l \cdot \nabla_z$  where  $\nabla_z$  is a  $(\hat{V} + V')$ -dimensional gradient vector allowing the separated gradient updates to be easily achieved in a unified manner, as described below. Next, using the respective losses for word and watermark tokens equation [8,](#page-16-1) the gradient vectors w.r.t.  $z_u$  and  $z_w$  are calculated as V-dimensional  $\nabla_{z_u} = \partial \text{CE}(P_u(u_j), u_j)/\partial z_u$  and  $V'$ -dimensional  $\nabla_{z_w} = \partial \text{CE}(P_w(w_j), w_j) / \partial z_w$ , respectively. When the loss is calculated from predicting a *word token*  $u_j$  equation [8,](#page-16-1) let  $\overline{V}_z = [\nabla_{z_u}, 0_{V'}]$  where  $0_{V'}$  is a V'-dimensional all-zero vector. When the loss results from predicting a *watermark token*  $w_j$  equation [8,](#page-16-1) let  $\nabla_z = [0_V, \nabla_{z_w}]$ . Note that for the parameters in the last linear layer which are responsible for predicting the *word tokens* using the hidden state (i.e., parameters  $(W_e^j[1:V])^\top$  in equation [3\)](#page-4-1), the gradient updates are *not affected by the loss for the watermark tokens*. This helps us to further limit the impact of the added watermarks on the original ability of the LLM to generate high-quality synthetic texts and hence **preserve its** performance. For the parameters in the other transformer layers (except for the frozen layers), their updates are performed using the gradients w.r.t. the losses for both the word and watermark tokens; see App. [D.2](#page-17-2) for more details.

Note that both our forward pass and backward pass only require mild modifications to an LLM. Therefore, our WASA framework can be easily adapted to fit a wide variety of LLMs, which ensures its adaptability property.

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### <span id="page-16-0"></span>C WATERMARK MATCHING

**898 899 900 901 902 903** Exact Matching. In this work, we adopt exact matching to determine the correctness of the generated watermarks. That is, given a piece of generated text with watermarks and the corresponding ground-truth watermark, the generated watermark is correct only if they are strictly equal in string matching. In addition, in case multiple watermarks are generated in the synthetic data, all generated watermarks have to match the ground-truth watermark to affirm the correctness. The pseudocode for the matching algorithm is given in Alg. [1:](#page-16-2)

<span id="page-16-2"></span>



**912 913**

**914 915 916 917 Soft Matching.** To further improve the source attribution accuracy in some applications, we may relax the requirement of exact watermarking matching and instead attribute the generated texts to the data provider whose watermark has the smallest Levenshtein distance to the generated watermark. However, in all our experiments, our WASA is able to achieve accurate source attribution without soft matching.

#### **918 919** D DETAILED EXPERIMENTAL SETUP

### <span id="page-17-1"></span><span id="page-17-0"></span>D.1 DATASETS

**920 921**

**922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939** ArXiv: To simulate different data providers with unique characteristics, we create the Clean-ArXiv-Corpus (or ArXiv for short) dataset which consists of academic papers from ArXiv. The dataset contains academic papers from various sub-disciplines, including computer science, physics, mathematics, public health, and other related fields. We make use of the provided metadata from the work of [Clement et al.](#page-10-1) [\(2019\)](#page-10-1) to download the corresponding PDF files and retrieve the categorization information associated with each article. Subsequently, we employ GROBID [\(Lopez, 2008–2023\)](#page-12-14) to parse and extract the main body of the papers, excluding the abstract and reference sections. Our Clean-ArXiv-Corpus dataset covers a comprehensive collection of 100 distinct categories, each comprising a number of papers ranging from 2827 to 2984. We treat *every category as a data provider*, so one data provider/category is the source of each piece of text. Our main experiments in Sec. [4](#page-5-0) are conducted using 10 categories (i.e., data providers) and we use 33% of papers from each category due to computational constraints. However, in our ablation study (App. [F.12\)](#page-34-1), we have also tested utilizing more data from every data provider (including 100% of the data), which has led to further improved performances and consistent conclusions. For each of the 10 categories, we further randomly split its data into training and evaluation datasets with a ratio of 9 : 1 according to the seed number. In our ablation study, we will use more categories and also use all papers in each category. More detailed information about the full Clean-ArXiv-Corpus dataset, including all 100 categories and all papers in each category, is shown in Tab. [5;](#page-17-3) Tab. [5](#page-17-3) shows an instance of the random split into training and evaluation datasets based on seed number 2023.

**940 941 942 943 944 945 946** BookSum: In addition to the Clean-ArXiv-Corpus dataset, we also adopt the BookSum dataset (Kryściński et al., 2022). This dataset contains documents from the literature domain including novels, plays, and stories. The BookSum dataset contains 181 books and we treat *every book as a data provider*. For every data provider (i.e., book), we adopt all the text data from the book in all our experiments. More information on the BookSum dataset is shown in Tab. [6;](#page-17-4) Tab. [6](#page-17-4) shows an instance of the random split into training and evaluation datasets based on seed number 2023. Additionally, we have adopted more diverse datasets, details of which are found in App. [E.1.7.](#page-22-0)

<span id="page-17-3"></span>**947 948**

Table 5: Information on the Clean-ArXiv-Corpus (or ArXiv for short) dataset.



Table 6: Information on the BookSum dataset.

<span id="page-17-4"></span>

### <span id="page-17-2"></span>D.2 EXPERIMENTAL SETTING

**969 970 971** In our experiments, we build our WASA-LLM based on the open-source pre-trained GPT2-Large model [\(Radford et al., 2019\)](#page-13-5), OPT-1.3B model [\(Zhang et al., 2022\)](#page-14-0) and Llama2-7B model [\(Tou](#page-13-6)[vron et al., 2023b\)](#page-13-6). Based on the pre-trained weights, we perform our second-stage pre-training (Sec. [3.2\)](#page-3-2) of the pre-trained GPT2-Large model, OPT-1.3B model, or the Llama2-7B model on the

 watermarked (Sec. [3.1\)](#page-3-1) text data for one epoch to obtain WASA-LLM. We find that training for one epoch already allows our WASA framework to achieve compelling performances, as shown in our experiments in Sec. [4.](#page-5-0) We have also tested more training epochs in App. [F.13](#page-34-0) and the results suggest that our performances can potentially be further improved with more training epochs. We plot the convergence of the training of our WASA-LLM in terms of the losses for the word and watermark tokens in Fig. [4,](#page-18-1) which shows that our second-stage pre-training effectively reduces both losses. Importantly, the watermark token loss rapidly declines after a small number of steps, which suggests that our WASA-LLM can quickly learn an accurate texts-to-watermarks mapping.

<span id="page-18-1"></span>

Figure 4: Training losses for word tokens (Loss lm) and watermark tokens (Loss wtm) when obtaining WASA-LLM from second-stage pre-training of the GPT2 model on ArXiv dataset.

 Here, we give more details on the hyperparameters we adopted. We fix 5 seed numbers at 2021, , 2023, 2024, and 2025 for obtaining reproducible results on GPT2 and OPT models, and 3 seed numbers at 2022, 2023, 2024 for the Llama2 model. The results shown in this work are the average taken across that from the seeds. We adopt the Adam optimizer with a learning rate of  $5 \times 10^{-5}$  and no weight decay. We make use of the fp16 technique and a gradient accumulation of 8 to speed up training. We also adopt a gradient checkpoint to reduce memory usage so that batch size can be slightly increased. We use a block size of 512 and a batch size of 3 for most of the experiments and a batch size of 16 in the experiments to evaluate scalability. To further preserve the ability of the original pre-trained LLM models, during the second-stage pre-training, we freeze the first 12 layers of GPT2-Large (among a total of 36 layers) and freeze the first 8 layers of OPT-1.3B (among a total of 24 layers). For the second-stage pre-training of Llama2-7B, we adopt LoRA [\(Hu et al., 2021\)](#page-12-15) and set the rank and alpha to 32, 'q\_proj', 'k\_proj', 'v\_proj', 'o\_proj', 'gate\_proj', 'gate\_proj', 'gate\_proj', 'up proj', 'down proj' as the target modules, and 'lm head', 'embed tokens' as the modules to save. When generating the synthetic texts (see Sec. [3.3\)](#page-5-1), we use the multinomial sampling of top-60 with temperature  $= 0.7$ . We also make use of a 1.2 repetition penalty and a 2.0 length penalty to generate better synthetic data. The generation of watermarks for our WASA-LLM adopts a pure beam search, as discussed in Sec. [3.3,](#page-5-1) with a beam size of 5. For the baseline model used in the ablation studies (i.e., GPT2-Large), watermark generation is performed in the same way as text generation, so we use the same hyperparameters as that specified in the baseline model. All second-stage pre-training is performed using NVIDIA RTX A5000 and A100. In our implementation, we adopt the GROBID library to process the PDF files. For model training, we adopt the Hugging Face Trainer pipeline which embeds necessary tricks to speed up the training process. The open-source GPT2-Large, OPT-1.3B, and Llama[2](#page-18-2)-7B are also adopted from Hugging Face.<sup>2</sup>

 

### <span id="page-18-0"></span>D.3 EFFECTIVENESS OF EVALUATION

 In our experiment design, we assign the ground truth source of each generated text to be identical to that of the prompt sentence. Here, we would like to verify that our method of using the source of the

<span id="page-18-2"></span><https://huggingface.co/facebook/OPT-1.3B>, [https://huggingface.co/](https://huggingface.co/meta-llama/Llama-2-7b-hf) [meta-llama/Llama-2-7b-hf](https://huggingface.co/meta-llama/Llama-2-7b-hf), and <https://huggingface.co/GPT2-Large>.

 

<span id="page-19-0"></span>

**1068 1069** prompt sentence as the ground truth source for the generated sentence is indeed a reliable approach, in addition to its benefit of simplifying the experimental evaluation.

**1070 1071 1072 1073 1074 1075 1076 1077 1078 1079** A natural and reliable method to find the ground truth source of a generated text is to consult the opinion of human experts. Therefore, we would like to show that our method to determine the ground truth source is an accurate approximation to human evaluations. To avoid the substantial costs and resources associated with human evaluators, we have employed GPT4, noted for its human-level performance across various benchmarks [\(OpenAI, 2023\)](#page-13-12), as a surrogate 'human-like labeler'. Then, we examine whether the ground truth source determined by our method (i.e., using the source of the prompt sentence) aligns well with those determined by GPT4. Specifically, we use GPT4 to categorize generated texts into one of the ten ArXiv categories (i.e., data providers) using a carefully constructed prompt, as shown in Tab. [7.](#page-19-0) After evaluating 500 generated texts, we have found that 89.6% of GPT4's decisions align with our source determination method (i.e., using the source of the prompt sentence). This validates that our method to determine the ground truth source of a generated text is a reasonable and reliable approach.

**1080 1081 1082 1083 1084 1085 1086** We would like to add that employing GPT4 as a 'human-like labeler' is only feasible in our controlled setting here because it requires prior knowledge about all sources and detailed descriptions of the sources; see the detailed prompt in Tab. [7.](#page-19-0) Moreover, it also incurs excessive costs in terms of monetary expenses and computations when the number of data providers is large. Therefore, we would like to clarify that this GPT4-based method is not a realistic alternative method for source attribution and is instead only employed here to verify the reliability of our method of source determination.

**1087 1088 1089 1090 1091 1092 1093 1094 1095 1096 1097 1098 1099** Additionally, note that the reason why we have used watermarked training data as the prompt sentences in our evaluation is because it leads to simple and reliable evaluations. Here, we justify this using the GPT4-based experiment as well. We use GPT4 to examine the reliability of the ground truth source determination when sentences from two held-out sets are used as the prompt sentences: when the prompt sentences are selected from unwatermarked training data and when the prompt sentences are from the validation data. The results show that when the prompt sentences are selected from unwatermarked training data, 81.6% of GPT4's decisions align with the source of the prompt sentences; when the prompt sentences are from the validation data, the alignment becomes 75.0%. The results suggest that when the sentences from both held-out sets are used as the prompt sentences, our method to determine the ground truth source is still reasonably reliable. However, our ground truth source determination is the most reliable when sentences from watermarked training data are used as the prompt, as we have done in our main experiments. Therefore, the results justify the rationale behind our choice of using watermarked training data as prompts because it enhances the reliability of our source determination and hence the fidelity of our evaluation results.

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- <span id="page-20-0"></span>**1101**

#### **1102 1103** E MORE EXPERIMENTAL RESULTS

<span id="page-20-3"></span>**1104**

<span id="page-20-1"></span>**1106**

**1108**

**1105** E.1 ACCURACY

#### **1107** E.1.1 MORE DETAILS ON EXPERIMENTAL SETUP.

**1109 1110 1111 1112 1113 1114 1115 1116 1117 1118 1119** In our experiments on the source attribution accuracy, for the ArXiv dataset, we select 50 papers from each of the 10 categories (App. [D.1\)](#page-17-1) and for every selected paper, we choose the first sentence that has been selected for watermarking (to obtain our WASA-LLM from second-stage pre-training of various pre-trained LLMs, see Sec. [3.1](#page-3-1) for more details on how we select the sentences for watermarking) as well as contains at least 200 characters. Next, we use the first 200 characters of every selected sentence (after removing the watermarks) as the input/prompt to the trained WASA-LLM , which generates texts with a token length of 100. Similarly, for every book (i.e., data provider) in the BookSum dataset, we select the first 50 sentences that have been selected for watermarking as well as have at least 200 characters. As a result, for both datasets, we have selected 50 sentences to be used as the inputs/prompts to our WASA-LLM, which corresponds to 50 trials of source attribution for each of the 10 data providers. In addition, the source attribution accuracy and F1 score for OPT-1.3B model are presented in App. [E.3,](#page-26-2) together with the scalability results.

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- <span id="page-20-2"></span>**1121 1122** E.1.2 F1 SCORE.
- **1123**

**1124 1125 1126 1127 1128 1129 1130** In our main experiments, we have reported the macro F1 score for a more comprehensive evaluation. To compute the F1 score, here we first define precision as the number of correct watermarks (watermarks that correctly correspond to its true source) for the data provider  $i$  divided by the number of all generated watermarks that correspond to the data provider  $i$  and define recall as the number of correct watermarks divided by the number of trails of the data provider  $i$ . We calculate the precision and recall for each data provider and obtain  $precision_i$  and  $recall_i$ . Subsequently, We obtain  $precision_{ma}$  and  $recall_{ma}$  by averaging the precisions and recalls from all data providers. Therefore, the macro F1 score can be computed as:

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$$
F_1 = 2 \times \frac{precision_{ma} \times recall_{ma}}{precision_{ma} + recall_{ma}}.\tag{9}
$$



<span id="page-21-6"></span>**1134 1135** Table 8: Source attribution accuracy for different numbers of data providers on ArXiv dataset. 'ML' denotes the source attribution obtained from the ML baseline.

#### <span id="page-21-1"></span>**1143** E.1.3 SOURCE ATTRIBUTION BASELINE.

**1144 1145 1146 1147 1148 1149 1150** BM25 is a well-known search engine algorithm that can potentially be utilized to perform source attribution given the generated sentences. In our experiments, we have implemented the BM25 from GitHub<sup>[3](#page-21-4)</sup> as a source attribution baseline for comparison. Specifically, we apply BM25 and take the unwatermarked training data as the corpus, and take the same generated sentences from our WASA-LLM (the watermarks are cleaned) as input. Subsequently, we can use BM25 to find the top- $k$ closest data providers in the training data. BM25 operates as a post-hoc process, which may slow down source identification, especially for a larger number of potential sources.

**1151 1152 1153 1154 1155 1156 1157 1158 1159 1160 1161 1162 1163 ML baseline.** In addition, we consider a machine learning baseline, following a similar setup to [Fo](#page-11-0)[ley et al.](#page-11-0) [\(2023\)](#page-11-0). Specifically, we first select 10, 000 prompts for each contributor. While [Foley et al.](#page-11-0) [\(2023\)](#page-11-0) uses manually curated prompts, due to the large number of data points and limited domain knowledge, we opted for an automated approach to identify 10, 000 examples per provider. We filter out the 10, 000 sentences with the highest TF-IDF scores for each provider and use that as the prompts. Next, we obtain the semantic representation of the prompts and generate sentences using a BERT model <sup>[4](#page-21-5)</sup>. For each data provider, we used representations from that provider as positive examples and representations from all other providers as negative examples to train a binary classifier. The evaluation setup is the same as in Sec. [4.1.](#page-5-2) For each prompt and generated text, we first obtain the semantic representation and feed it to each data provider's classifier to get attribution results. Similar to BM25, this ML baseline also operates as a post-hoc process and requires additional time for prompt generation, semantic representation extraction, and classifier training, especially for a larger number of potential sources.

**1164 1165 1166 1167 1168 1169** Here, we present the results of source attribution accuracy of the ML baseline and our WASA using the Arxiv dataset up to 50 data providers in Table [8.](#page-21-6) As demonstrated in the results, the ML baseline still falls short compared to our WASA. Moreover, beyond the second-stage pretraining on each data provider's data, this ML baseline requires additional time for prompt generation, semantic representation extraction, and classifier training, hence is less efficient than our WASA . Furthermore, since this ML handles source attribution as a "classification" task, the results also show that trivializing the source attribution problem to a typical classification task may not perform well.

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<span id="page-21-2"></span>**1172** E.1.4 SOURCE ATTRIBUTION ACCURACY FOR EACH DATA PROVIDER.

**1173 1174 1175** Tabs. [9](#page-22-1) and [10](#page-22-2) show the detailed results on source attribution accuracy and F1 score for the 10 different data providers, in addition to Tab. [1](#page-6-1) in Sec. [4.1.](#page-5-2) The results show that the accuracy remains balanced across the data providers.

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<span id="page-21-3"></span>E.1.5 FINE-GRAINED ERROR ANALYSIS OF SOURCE ATTRIBUTION.

**1178 1179 1180 1181** Tab. [11](#page-23-1) shows the errors of misclassification and incorrect watermark, as mentioned in Sec. [4.1.](#page-5-2) The results show that most source attribution errors are caused by generated texts exhibiting the characteristics of multiple data providers.

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<span id="page-21-0"></span>E.1.6 DATA PROVENANCE.

**1184 1185** We show here that WASA's ability to perform reliable source attribution also allows us to achieve accurate data provenance. Since the data providers are given both their own unique watermarks

**<sup>1187</sup>** <sup>3</sup>[https://github.com/dorianbrown/rank\\_bm25](https://github.com/dorianbrown/rank_bm25)

<span id="page-21-5"></span><span id="page-21-4"></span><sup>4</sup><https://huggingface.co/google-bert/bert-base-multilingual-cased>



<span id="page-22-1"></span>**1188 1189** Table 9: Source attribution accuracy and F1 score achieved by our WASA-LLM (i.e., obtained from second-stage pre-training of different models on various datasets) for the ArXiv dataset.

<span id="page-22-2"></span>Table 10: Source attribution accuracy and F1 score achieved by our WASA-LLM (i.e., obtained from second-stage pre-training of different models on various datasets) for BookSum dataset.

1203									Llama2	
1204	Data Provider	acc.	GPT2 $top-3$ .	F1	acc.	OPT $top-3$ .	F1	$top-3$ . acc.		F1
	Adam Bede	$82.40_{\pm 3.29}$	$95.60_{\pm 2.19}$	$0.805_{\pm 0.01}$	$85.20_{\pm 3.35}$	$96.00_{\pm 2.15}$	$0.745_{\pm0.01}$	$85.33_{\pm5.03}$	$94.67_{\pm 6.11}$	$0.820_{\pm 0.06}$
	David Copperfield	$80.00_{\pm 6.63}$	$88.40_{\pm 5.90}$	$0.670_{\pm 0.04}$	$77.20_{\pm 6.72}$	$91.60_{\pm 1.67}$	$0.820_{\pm 0.03}$	$80.67_{\pm 2.31}$	$96.67_{\pm 2.31}$	$0.755_{\pm 0.28}$
	Dracula	$66.80_{\pm 6.26}$	$86.00_{\pm 6.16}$	$0.880_{\pm 0.10}$	$,71.60_{\pm 8.17}$	$91.60_{\pm 2.97}$	$0.905_{\pm 0.12}$	$74.67_{\pm 6.11}$	$90.67_{\pm 4.16}$	$0.915_{\pm 0.06}$
	Hamlet	$.91.20_{\pm 4.38}$	$96.80_{\pm 2.28}$	$0.700_{\pm 0.08}$	$97.60_{\pm 2.19}$	$99.20_{\pm 1.10}$	$0.920_{\pm 0.10}$	$98.00_{\pm 0.00}$	$99.33_{\pm 1.15}$	$0.810_{\pm 0.03}$
	Henry IV Part 1	$90.40_{\pm 2.61}$	$98.40_{\pm 2.61}$	$0.375_{\pm 0.53}$	$97.20_{\pm 1.10}$	$99.60_{\pm 0.89}$	$0.885_{\pm0.13}$	$98.67_{\pm 1.15}$	$100.00_{\pm 0.00}$	$0.995_{\pm 0.01}$
	Ivanhoe	$83.60_{\pm 3.28}$	$94.40_{\pm 1.67}$	$0.790_{\pm 0.21}$	$89.20_{\pm 5.40}$	$93.60_{\pm 4.34}$	$0.920_{\pm 0.04}$	$85.33_{\pm 8.33}$	$94.67_{\pm 4.16}$	$0.820_{\pm 0.08}$
	Jane Evre	$,74.00_{\pm 6.16}$	$90.00_{\pm 4.00}$	$0.805_{\pm 0.11}$	$.80.00_{\pm 2.00}$	$96.40_{\pm 3.85}$	$0.810_{\pm 0.10}$	$77.33_{\pm 15.53}$	$94.67_{\pm 3.06}$	$0.785_{\pm 0.18}$
	Little Women	$85.60_{\pm 2.61}$	$94.00_{\pm 3.16}$	$0.650_{\pm 0.10}$	$94.00_{\pm 3.16}$	$98.00_{\pm 2.00}$	$0.820_{\pm 0.07}$	$92.67_{\pm 5.77}$	$100.00_{\pm 0.00}$	$0.815_{\pm 0.02}$
	Middlemarch	$72.80_{\pm 3.35}$	$94.40_{\pm 2.61}$	$0.755_{\pm 0.09}$	$76.00_{\pm 5.83}$	$93.20_{\pm 3.35}$	$0.755_{\pm0.06}$	$74.67_{\pm 7.02}$	$93.33_{\pm 4.62}$	$0.815_{\pm 0.02}$
	The Pickwick Papers	$52.40_{\pm 4.78}$	$80.00_{\pm 6.16}$	$0.775_{\pm0.11}$	$64.00_{\pm 9.27}$	$79.20_{\pm 5.76}$	$0.850_{\pm 0.21}$	$65.33_{\pm 6.43}$	$88.67_{\pm 1.15}$	$0.850_{\pm 0.21}$
	Overall	$77.92_{\pm 1.57}$	$91.80_{\pm 0.24}$		$0.723_{\pm0.08}$   $83.20_{\pm1.08}$	$93.84_{\pm 1.01}$	$0.840_{\pm 0.01}$	$83.27_{\pm 4.50}$	$95.27_{\pm 1.53}$	$0.840_{\pm 0.06}$

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**1214 1215 1216 1217 1218 1219 1220 1221 1222 1223 1224** (Sec. [3.1\)](#page-3-1) and the watermark decoder, they can request their *data provenance*. Specifically, when a data provider requests data provenance, it uses its own text data (without watermark) as the input/prompt to our trained WASA-LLM to verify whether the generated watermark matches its own (Fig. [1\)](#page-1-0). We consider 20 categories/data providers in the ArXiv dataset, including 10 categories whose data was used for second-stage pre-training of GPT2 to obtain WASA-LLM and 10 other categories whose data was not used. We select 50 papers from each category and choose a sentence from every selected paper to use as the input/prompt to WASA-LLM for generating a watermark. The results in Tab. [15](#page-25-0) show that for the first 10 categories whose data was *not used* to obtain WASA-LLM, we are consistently able to recognize that their data was not misused; for the other 10 categories whose data *was used* to obtain WASA-LLM, we can also identify this with high accuracy of 74.84% and top-3 accuracy of 95.76%. The results show that, due to its ability to perform accurate source attribution, our WASA framework can also achieve reliable data provenance.

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### <span id="page-22-0"></span>E.1.7 MORE DIVERSE DATASETS

**1228 1229 1230 1231** To verify the generalizability of our WASA framework on more diverse datasets from various domains, including those that are potentially less curated and less formal, we have adopted several additional datasets from other domains and selected 10 data providers for our experiment, including Wikipedia, news, and movie reviews. To elaborate, the additional datasets we consider are:

**1232 1233 1234 1235** DBpedia14 [\(Zhang et al., 2015\)](#page-14-8) is an ontology classification dataset taken from DBpedia 2014, containing 14 classes and 560k training samples. The content is extracted from information created in Wikipedia. In our experiments, we refer to the 'title' column, which denotes the ontology class of the content, to categorize the data providers.

**1236 1237 1238 1239 1240 1241** CC-News [\(Hamborg et al., 2017\)](#page-11-2) is a representative less-curated and less-formal dataset. It contains approximately 700K English language news articles sourced from various global news sites. The dataset is collected by crawling the news websites for main text content. Importantly, *no additional preprocessing is conducted* on the text content, resulting in a dataset that is less curated, quite noisy, and may include diverse elements such as different languages, emojis, URLs, Unicode, etc. In our experiments, we categorize data providers based on the 'domain' column, which denotes the distinct news media.

<span id="page-23-1"></span>**1242 1243 1244 1245** Table 11: Error analysis of watermarks incurred by our WASA-LLM that is obtained from secondstage pre-training of the GPT2 model on the ArXiv dataset. Note that the numbers shown here are the average taken across 5 runs with different random seeds and 'wtm' is the short form of "watermark".



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**1259 1260 1261 1262 1263 1264 1265** IMDB62 [\(Seroussi et al., 2014\)](#page-13-13) comprises movie reviews written by 62 distinct authors, with each author serving as an individual data provider. Each author contributes 1, 000 reviews, which are sampled from their complete collection of reviews. This dataset facilitates the evaluation of our approach in a context where the texts share similar thematic content. The dataset is relatively noisy, as it may include spelling and grammatical errors. In our experiments, we categorize data providers based on the 'userId' column. Note that specifically for this dataset, since each data provider contributes too few data samples, we perform 10 epochs of second-stage pretraining to obtain our WASA-LLM .

**1266 1267 1268 1269** Fake News Opensources<sup>[5](#page-23-2)</sup> comprises 8, 529, 090 individual articles, which were scraped from various news websites between late 2017 and early 2018, encompassing a total of 647 distinct sources. Similar to the CC-News dataset, this dataset is less curated. We categorize the data providers based on the 'domain' column, which specifies the distinct news media sources.

**1270 1271 1272 1273 1274 1275 1276** The source attribution accuracy on these more diverse datasets using our WASA-LLM adopting Llama2 as the pre-trained model is illustrated in Tab. [12.](#page-23-3) The results indicate that our framework consistently achieves decent accuracy in source attribution across various datasets that mostly remain higher than the BM25 baseline. This further verifies the effectiveness of our WASA framework on various datasets. However, it is also observed that the accuracy tends to be lower on the less curated and noisy datasets (i.e., CC-News, IMDB62, and Fake News) compared to the datasets with more formal language (i.e., ArXiv, BookSum, DBpedia14).

Table 12: Source attribution accuracy on the dataset from diverse domains.

<span id="page-23-3"></span>

<span id="page-23-0"></span>E.1.8 MORE RECENT MODEL

**1290 1291 1292 1293 1294** In addition, to verify the generalizability of our WASA framework on more recent models, we have adopted an additional pre-trained Llama3-8B model [\(Dubey et al., 2024\)](#page-10-2). The source attribution accuracy of our WASA-LLM adopting Llama3-8B on the ArXiv dataset with 10 providers is illustrated in Tab. [13.](#page-24-1) The results show that with the use of a model with better capability, the source attribution accuracy of our WASA improves further.

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<span id="page-23-2"></span><sup>5</sup>[https://huggingface.co/datasets/andyP/fake\\_news\\_en\\_opensources](https://huggingface.co/datasets/andyP/fake_news_en_opensources)



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<span id="page-24-1"></span>**1296**

#### **1303 1304** E.1.9 ANALYSIS OF DATA SOURCES

**1305 1306 1307 1308 1309 1310 1311 1312** In Sec. [1,](#page-0-0) we have mentioned that we consider data providers that contribute balanced data with unique characteristics. Here we analyze and show the balance and unique characteristics of the data sources in each dataset we have adopted in Tab. [14.](#page-24-2) Firstly, we calculate the imbalance ratio by dividing the number of tokens in the largest data source by that in the smallest, hence larger imbalance ratio suggests that the data sources are more imbalanced. The results shown in Table [14](#page-24-2) indicate that the data sources in our adopted datasets are not perfectly balanced while some are particularly imbalanced. This indicates that our proposed method can generalize to imbalanced data sources and achieve decent source attribution accuracy.

Llama2-7B | 77.40  $96.87$  99.40 Llama3-8B  $\vert$  80.20 98.20 99.00

**1313 1314 1315 1316 1317 1318 1319 1320** Our datasets also encompass a variety of unique characteristics, which ensures that our framework is applicable across different applications. These include academic fields (ArXiv), general knowledge (DBpedia14), and attributing authorship based on story or writing style (BookSum, CC-News, IMDB62, FakeNews). Our analysis reveals that both our framework and baselines face challenges in scenarios where the distinguishing features are restricted to writing style and word choice, naturally resulting in lower accuracy. This underscores the inherent difficulties of source attribution in homogeneous topic environments, yet our method consistently outperforms the baselines across these challenging conditions.

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Table 14: Balance and unique characteristics of the data sources in each dataset.

<span id="page-24-2"></span>

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### <span id="page-24-0"></span>E.2 ROBUSTNESS

### <span id="page-24-3"></span>E.2.1 ADDITIONAL ATTACKS ON GENERATED SENTENCES WITH EMBEDDED WATERMARKS

**1336 1337 1338 1339 1340 1341 1342 1343 1344 1345 1346 1347 1348 1349** As discussed in Sec. [4.2,](#page-6-0) an adversary may *additionally modify the content of the generated sentence* while removing/modifying the generated watermarks. Here, we will consider insertion, deletion, synonym substitution, and syntactic transformation attacks. In insertion attacks on a generated watermarked sentence, either one word is randomly inserted into the sentence (i.e., *localized insertion attacks*), or various words are randomly interspersed throughout the sentence (i.e., *dispersed insertion attacks*) [\(Kamaruddin et al., 2018\)](#page-12-7). For dispersed insertion attacks, we vary the attack strengths by changing the number of inserted words from  $5\%$  to  $20\%$  of the total number of words in the sentence. In deletion attacks, some words in the text are randomly deleted. In synonym substitution attacks [\(Kamaruddin et al., 2018\)](#page-12-7), an adversary substitutes some words in the generated sentence with their synonyms while preserving the semantic meaning of the sentence. Again, we tested different attack strengths by varying the percentage of randomly deleted and substituted words. In addition, we also performed the **syntactic transformation attack** on the generated sentences whereby an adversary transforms the sentences (without altering their semantic meanings) via techniques such as modifying the prepositions, tenses, and other syntax components. Here, we adopt two strong variants of such attacks on our WASA-LLM obtained from Llama2: Firstly, we use the PEGASUS model fine-tuned for paraphrasing [\(Zhang et al., 2020\)](#page-14-9) to paraphrases the input

<span id="page-25-0"></span>**1350 1351 1352 1353** Table 15: Reliable data provenance can be achieved due to the ability of WASA-LLM to perform accurate source attribution. WASA-LLM is obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset. Note that the numbers shown here are the average taken across 5 runs with different random seeds. 'wtm' is the short form of "watermark".



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**1378 1379 1380 1381 1382 1383 1384 1385 1386 1387 1388** sentence. The accuracy (top-3 accuracy) with our regeneration defense after this syntactic transformation attack is 69.20% (91.80%). In addition, we consider the DIPPER paraphraser [\(Krishna et al.,](#page-12-16) [2024\)](#page-12-16), which performs semantically equivalent rewriting. The accuracy (top-3 accuracy) with our regeneration defense after using this paraphraser is 75.60% (96.40%). Besides the above attacks, we have further considered a more recent oracle-based attack as proposed in [\(Zhang et al., 2023\)](#page-14-1), which generates perturbation oracles with an open-source model and removes the watermarks in the attacked sentence. Under this attack, the watermark regeneration defense is also performed and we are still able to achieve a source attribution accuracy of 75.80%, which further validates the robustness of our WASA framework. The robustness of our WASA framework can be validated by the marginal performance degradation in Tab. [2.](#page-7-2) In addition, the standard deviations for this part of the results in Tab. [2](#page-7-2) are reported in Tab. [16.](#page-26-0)

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# E.2.2 ATTACKS ON INPUT SENTENCES (PROMPTS)

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**1394 1395 1396 1397 1398 1399 1400 1401 1402 1403** An adversary may also manipulate the input sentence (prompt) to our trained WASA-LLM to disrupt watermark generation and hence source attribution. The insertion, deletion, and syntactic transformation attacks are the same as those described in App. [E.2.1,](#page-24-3) except that these attacks are performed on the input sentences here. Similar to App. [E.2.1,](#page-24-3) we vary the attack strengths for these three types of attacks. The results in Tab. [2](#page-7-2) show that these attacks also only lead to marginal degradation in the source attribution accuracy. Moreover, under the strong syntactic transformation attacks, the source attribution remains accurate (with an accuracy of 63.00% and a top-3 accuracy of 89.00%), which provides further evidence for the robustness of our WASA framework against attacks on the input sentences. Its robustness against these attacks can again be explained by its reliable texts-to-watermarks mapping, which allows our WASA-LLM to consistently generate the correct watermarks even if the prompt is perturbed. The standard deviations for this part of the results in Tab. [2](#page-7-2) are reported in Tab. [17.](#page-26-1)

<span id="page-26-0"></span>**1404 1405 1406** Table 16: Source attribution accuracy and standard deviation using regenerated watermarks by WASA-LLM (from second-stage pre-training of GPT2 on ArXiv dataset) under attacks on **generated** sentences with embedded watermarks (*in addition to watermark removal/modification attacks*).

strength	attacks on generated sentences with embedded watermarks insertion attack deletion attack synonym substitution					
	acc.	$top-3$ .	acc.	$top-3$ .	acc.	$top-3$ .
$0\%$	$71.60_{\pm 1.33}$	$93.76_{+0.57}$	$71.60_{\pm 1.33}$	$93.76_{+0.57}$	$71.60_{+1.33}$	$93.76_{+0.57}$
Localized	$71.40_{\pm 0.89}$	$93.56_{\pm0.46}$				
$5\%$	$70.12_{+1.35}$	$93.20_{\pm 0.14}$	$71.08_{\pm 0.92}$	$93.92_{\pm 0.66}$	$70.52_{\pm 0.83}$	$93.52_{\pm 0.64}$
$10\%$	$69.12_{+1.90}$	$92.20_{\pm 0.47}$	$71.84_{\pm 1.36}$	$93.68_{\pm 0.78}$	$71.02_{\pm 0.81}$	$92.88_{+0.95}$
$15\%$	$66.92_{+1.32}$	$91.96_{+0.91}$	$71.36_{\pm 1.01}$	$94.04_{+0.79}$	$70.96_{+0.52}$	$92.72_{\pm 0.46}$
20%	$65.12_{\pm 2.37}$	$91.44_{\pm0.50}$	$70.00_{\pm 1.17}$	$93.24_{\pm 0.54}$	$69.20_{\pm 1.89}$	$93.20_{\pm 0.62}$

<span id="page-26-1"></span>**1416 1417 1418** Table 17: Source attribution accuracy and standard deviation using regenerated watermarks by WASA-LLM (from second-stage pre-training of GPT2 on ArXiv dataset) under attacks on **input** sentences (*in addition to watermark removal/modification attacks*).



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### **1429** E.2.3 CHARACTER-LEVEL ATTACKS

**1430 1431 1432 1433 1434 1435 1436 1437** Apart from the word-level attacks that *additionally modify the content of the generated sentence* while removing/modifying the generated watermarks, for the regenerated watermarks, we would also like to explore some character-level attacks on the generated sentences similar to the setting in the work of [Gao et al.](#page-11-3) [\(2018\)](#page-11-3). These attacks aim to disrupt the original texts at a character level, thus making them stronger than word-level attacks; however, it is also potentially easier to identify such attacks [\(Li et al., 2023\)](#page-12-17). Specifically, we consider character-level insertion, deletion, and characterswapping attacks. We also adopt our regeneration defense after these attacks are applied. Tab. [18](#page-27-4) shows the source attribution accuracy for the regenerated watermarks.

**1438 1439 1440 1441** As shown in Tab. [18,](#page-27-4) under these strong character-level attacks, the source attribution accuracy of our watermarks is lowered yet remains decent. In addition, we would like to clarify that since these character-level attacks can heavily influence the original readability of the texts, their feasibility in realistic scenarios may be limited.

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#### <span id="page-26-2"></span>**1443** E.3 SCALABILITY

- **1444 1445 1446 1447 1448 1449 1450 1451 1452 1453 1454** In Sec. [4.3,](#page-7-0) we have verified WASA's scalability to a large number of data providers using the ArXiv dataset. Here, we will also show in Tab. [19](#page-27-5) the attribution accuracy obtained from the OPT model and in Tab. [20](#page-27-0) the source attribution accuracy for a larger number of books (i.e., data providers) using the BookSum dataset. It can be observed that WASA generally does not scale as well (especially for GPT2 and OPT) on the BookSum dataset as compared to the ArXiv dataset because each data provider in the former offers much less data. It is also noteworthy that the larger Llama2 model produces higher accuracy than the smaller GPT2 and OPT models, especially when the number of providers is larger on the BookSum dataset. Nevertheless, the source attribution accuracy still remains relatively high compared with BM25. As mentioned in Sec. [4.3,](#page-7-0) with more data providers, we recommend using  $k > 1$  in top-k source attribution due to higher resulting accuracy and identifying the true source from among them.
- **1455** For an even larger number of data providers, we adopt the **Reddit Webis-TLDR-17** (Völske et al.,
- **1456 1457** [2017\)](#page-13-14) dataset, which comprises 3, 848, 330 posts, each with an average length of 270 words. These posts originate from various subreddits created by different users. Although the dataset was initially developed for summarization tasks, we utilize only the 'body' column for the text and the 'subreddit'

<span id="page-27-4"></span>**1458 1459 1460** Table 18: Source attribution accuracy using regenerated watermarks by WASA-LLM (from secondstage pre-training of GPT2 on ArXiv dataset) under character-level attacks on generated sentences with embedded watermarks (*in addition to watermark removal/modification attacks*).



**1468 1469 1470** column to identify the data providers. Using this dataset, we consider 500 data providers. Table [21](#page-28-1) shows the source attribution accuracy when the number of data providers increases to 500 trained on Llama2 model, where the accuracy still remains high compared with the BM25 baseline.

<span id="page-27-5"></span>Table 19: Source attribution accuracy and F1 score for OPT-1.3B model on ArXiv dataset.

$\mathbf{n}$	acc.	$top-3$ .	$top-5$ .	F1.
10 25 50	$78.36\pm_{2.04} \\69.76\pm_{0.21}$ $61.14\pm_{1.37}$ $100 \mid 48.86 \pm_{0.95}$	$99.04\pm_{1.22}$ $90.48 \pm_{0.71}$ $82.63\pm_{1.25}$ $73.34\pm_{0.76}$	$99.36\pm_{0.61}$ $95.76\pm_{0.79}$ $89.37\pm_{0.82}$ $81.54\pm_{0.27}$	$0.743\pm_{0.06}$ $0.697\pm_{0.01}$ $0.613\pm_{0.01}$ $0.487\pm_{0.01}$

<span id="page-27-0"></span>Table 20: Source attribution accuracy for different numbers of books (i.e., data providers) on the BookSum dataset. BM25 source attribution results are obtained using Llama2.



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#### <span id="page-27-1"></span>**1488** E.4 TRANSFERABILITY

**1490 1491 1492 1493 1494** Our generated watermarked text has *the same structure* as the watermarked text used to train our WASA-LLM: They both embed 10-character watermarks into texts with characters from the same vocabulary. So, our generated watermarked text can be readily used as training data for other LLMs that, like our WASA-LLM, can also generate synthetic text with watermarks. That is, our generated watermarked text is **transferable** to other LLMs as their training data.

#### <span id="page-27-2"></span>**1495 1496** E.5 ADAPTABILITY

**1497 1498 1499 1500 1501** Our WASA framework only requires mild modifications to existing LLMs (Sec. [3.2\)](#page-3-2) and can hence be easily adapted to fit various LLMs. This has been empirically verified by our results in Secs. [4.1&](#page-5-2)[4.3](#page-7-0) and App. [E.1&](#page-20-3)[E.3](#page-26-2) that given the same experimental setup, accurate source attributions can be achieved by WASA-LLM that is obtained from our second-stage pre-training of various LLMs (i.e., GPT2, OPT, Llama2).

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#### **1503** F DETAILED RESULTS FROM ABLATION STUDIES

**1505 1506** Here, we will present detailed results from our ablation studies. In all our ablation studies, we use second-stage pre-training of the GPT2-Large model on the ArXiv dataset to obtain WASA-LLM.

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- <span id="page-27-3"></span>**1508** F.1 EFFECTIVENESS OF OUR WASA-LLM TRAINING
- **1510 1511** We have mainly implemented two important algorithmic designs to help our WASA-LLM learn an accurate texts-to-watermarks mapping (Sec. [3.2\)](#page-3-2): (1) using a designated embedding space for watermark tokens and (2) separating the prediction/generation spaces for the word and watermark tokens.



<span id="page-28-1"></span>**1512 1513** Table 21: Source attribution accuracy for 500 data providers on Llama2 model trained on Reddit Webis-TLDR-17 dataset.

**1518 1519 1520**

**1521 1522 1523 1524 1525 1526 1527 1528 1529 1530 1531 1532 1533** Here, we compare our WASA-LLM with two baselines: *tokenizerGPT* implementing only the first design of a designated embedding space for watermark tokens, and *originalGPT* (original GPT2- Large) implementing neither design. We apply our second-stage pre-training to both baselines using the same (watermarked) data from the ArXiv dataset which was used for second-stage pre-training of the GPT2-Large model to obtain our WASA-LLM, and evaluate the source attribution accuracy following that of Sec. [4.1.](#page-5-2) The results in Tab. [22](#page-28-2) show that the first design alone does not improve the source attribution accuracy whereas the combination of both designs brings about a significant improvement. This is because merely creating the embedding space for watermark tokens does not help in learning the mapping from the texts to watermarks, and it is of particular importance to combine both designs for our WASA-LLM to perform well. Moreover, our WASA-LLM achieves a significantly better source attribution accuracy at the expense of incurring more computational time. Note that *originalGPT* takes longer training time than *tokenizerGPT* because there is no designated embedding space for watermark tokens in *originalGPT*, hence resulting in more training instances used.

<span id="page-28-2"></span>**1534 1535 1536 1537** Table 22: Comparison of source attribution accuracy achieved by WASA-LLM (obtained from second-stage pre-training of the GPT2 model) vs. the baseline models on the ArXiv dataset where 'n wtm' denotes the number of generated sentences with watermark, and 'acc.' denotes the source attribution accuracy.



**1544 1545 1546**

**1548**

<span id="page-28-3"></span>**1558**

#### <span id="page-28-0"></span>**1547** F.2 STRATEGY FOR SELECTING SENTENCES TO WATERMARK

**1549 1550 1551 1552 1553 1554 1555 1556 1557** As we have discussed in Sec. [3.1,](#page-3-1) for every data provider, we embed watermarks into the sentences with top TF-IDF scores and then use these watermarked sentences for the second-stage pre-training (Sec. [3.2\)](#page-3-2) of the GPT2 model to obtain our WASA-LLM. This is because the sentences with high TF-IDF scores are more representative of the text data from a data provider, which makes it easier to learn the mapping from the texts of different data providers to their corresponding unique watermarks. Here, we will evaluate whether this strategy is effective by comparing it with the natural baseline of randomly selecting sentences to embed watermarks. The results in Tab. [23](#page-28-3) show that when selecting 20% of the sentences for watermarking, the strategy of random embedding decreases the source attribution accuracy, which validates the effectiveness of our strategy of selecting sentences with high TF-IDF scores to watermark.

**1559 1560 1561** Table 23: Source attribution accuracy achieved by WASA-LLM (obtained from second-stage pretraining of the GPT2 model on the ArXiv dataset) using different strategies to select the sentences for watermarking.



<span id="page-29-3"></span>**1566 1567 1568** Table 24: Comparison of source attribution accuracy and perplexity achieved by WASA-LLM (obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset) across different dataset sizes.



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**1578**

#### <span id="page-29-0"></span>**1577** F.3 IMPACT OF ENFORCED WATERMARK GENERATION

**1579 1580 1581 1582 1583 1584 1585 1586** As discussed in Sec. [4.1,](#page-5-2) to evaluate the source attribution accuracy in our experiments, we have adopted a simple technique to enforce watermark generation in order to simplify the evaluations. That is, if a watermark is not generated after the generation of the sentence is completed, we add the token  $[WTM]$  to the end of the sentence to enforce the watermark generation. Here, we will evaluate the impact of this enforced watermark generation. The results in Tab. [25](#page-29-2) show that the forcefully generated watermarks and naturally generated watermarks have comparable source attribution accuracy. This shows that the technique of enforced watermark generation we have adopted has minimal impact on the evaluations of the source attribution accuracy (Sec. [4.1\)](#page-5-2).

<span id="page-29-2"></span>**1587 1588 1589** Table 25: Source attribution accuracy achieved by WASA-LLM (i.e., obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset) for naturally generated watermarks (denoted by 'watermark\_nf') vs. forcefully generated watermarks (denoted by 'watermark\_f').



**1603**

#### <span id="page-29-1"></span>**1604 1605** F.4 UNATTRIBUTABLE CONTENT ANALAYSIS

**1606 1607 1608 1609 1610 1611 1612 1613 1614 1615 1616 1617 1618 1619** Here we consider the special case where the LLM-generated content is not attributable to any data provider. Note that in our main experiments, such a case does not exist since all data providers have watermarked their training data. Such unattributable content might be generated from public datasets used for pretraining the LLM, but we do not consider attributing sources to the public datasets in this paper as stated in Sec. [2;](#page-1-1) instead, we have focused on attributing to the data providers' watermarked private datasets. Moreover, it is hard to design prompts to enforce the model to generate content only from pretrain-knowledge, making it difficult to design corresponding experiments. Therefore, here we choose the setting by training our framework with data from both 5 watermarked data providers and 5 unwatermarked data providers to force our WASA-LLM to be able to generate content that is not attributable to the watermarked data providers. In this setting, our framework generates watermarks for 12% of the sentences generated from the 5 unwatermarked data providers while generating watermarks for 87.6% of the sentences generated from the 5 watermarked data providers. By analyzing the watermarks for sentences from unwatermarked data providers, we observe that 100% of these watermarks are from the watermarked data providers. This suggests that if there exists content not attributable to any data provider, our framework sometimes might misclassify it to the watermarked data providers.

#### <span id="page-30-0"></span>**1620 1621** F.5 EFFECTIVENESS OF WASA FOR SUPERVISED FINETUNING (SFT) TASK

**1622 1623 1624 1625 1626** In this section, we show that our WASA framework can be effective for SFT tasks as well. Overall, while finetuning for the SFT task, our WASA-LLM can also learn the mapping from the texts of the data providers to their unique watermarks using an algorithm akin to the one described in Sec. [3.2.](#page-3-2) Then, during sample prediction, our WASA-LLM can provide not only the predicted label but also the corresponding watermark.

**1627 1628 1629 1630 1631 1632 1633 1634 1635** Specifically, for the SFT task, we apply prompt finetuning [\(Gao et al., 2021\)](#page-11-4) where we introduce a prompt (manual template) after each training data. We then introduce the watermark following the training data by embedding it after the label. Each supervised data point  $s_i$  is a sequence of tokens:  $s_i = [u_1, u_2, \dots, u_{|s_i|}]$  where  $|s_i|$  is the token count for  $s_i$ . For instance,  $s_i$  = "What he can't do is read a book" in Fig. [5.](#page-30-1) We extend  $s_i$  by appending a template, which results in  $s_i^{\text{template}} = [u_1, u_2, \dots, u_{|s_i|}, u_{|s_i|+1}, \dots, u_{|s_i|+p}]$  with the template example being "Are you sarcastic? Yes/No". A data point embedded with a watermark is denoted as  $s_i^{\text{template}} = [u_1, u_2, \dots, u_{|s_i|+p}, w_1, \dots, w_m]$  where w's represent watermark tokens. As shown in Fig. [5,](#page-30-1) an invisible watermark may follow after the label "Yes".

### What he can't do is read a book Are you sarcastic? Yes



**1652**

**1656 1657**

<span id="page-30-1"></span>**1636**

### Figure 5: Example of training samples in the SFT dataset.

**1641 1642 1643** The training objective of WASA-LLM for SFT is a combination of maximizing the probability of label word prediction and the probability of watermark generation. Since we only need to predict the label word, the predictive distribution can be simplified to

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\n
$$
P(u_{|s_i|+p}|u_1, u_2, \dots, u_{|s_i|}, u_{|s_i|+1}, \dots, u_{|s_i|+p-1})
$$
\n
$$
= h_l[|s_i| + p - 1] \cdot W_e^{\top}
$$
\n[label word indices] (10)

**1647** where  $W_e^{\top}$ [label word indices] means to only use the label words' embedding. So,

1648  
1649 
$$
L_{\rm sft}(s_i^{\rm template'}) = \log P_u(u_{|s_i|+p}|u_1, u_2, \ldots, u_{|s_i|+p-1}),
$$

$$
L_{\rm wtm}(s_i^{\rm template'})
$$

$$
L_{\text{wtm}}(s_i) = \sum_{j=1}^m \log P_w(w_j|u_1, u_2, \dots, u_{|s_i|+p}, w_1, \dots, w_{j-1}).
$$

**1653 1654 1655** Then, the loss involves a combination of loss for label prediction, specifically in predicting the label word (i.e., Yes/No in the case of sarcasm), and loss for watermark generation. In particular, the loss is  $Loss_{\texttt{WASA-LLM}}(s^{\text{template}}_i)$  $\boldsymbol{f}'$ ) =  $Loss_{\text{sft}}(s_i^{\text{template}})$  $\bigl( s_i^{\text{template}} \bigr) + Loss_{\text{wtm}} \bigl( s_i^{\text{template}} \bigr)$  $\left( \right)$  in which

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\n1658  
\n
$$
Loss_{\text{sf}}(s_i^{\text{template'}} ) = \text{CE}(P(u_{|s_i|+p}), u_{|s_i|+p}),
$$
\n
$$
Loss_{\text{wtm}}(s_i^{\text{template'}} ) = \sum_{j=1}^{m} \text{CE}(P_w(w_j), w_j).
$$

**1659 1660 1661 1662 1663 1664 1665 1666 1667 1668 1669 1670** To demonstrate the effectiveness of WASA-LLM for SFT data, we conduct experiments using the Self-Annotated Reddit Corpus (SARC) [\(Khodak et al., 2018\)](#page-12-18) which is an SFT dataset. This dataset, which is designed for sarcasm detection, includes 1.3 million sarcastic comments sourced from Reddit; Tab. [27](#page-31-2) shows the details of this dataset. The dataset contains a column named 'subreddit' which indicates the sub-forums dedicated to specific topics. Different subreddits are used to represent various data providers. Similar to the setting in Sec. [4,](#page-5-0) we select 10 data providers in the experiment. We calculate the TF-IDF scores of all training points from each data provider and select those with the top 50% of the TF-IDF scores (i.e., most representative sentences) for watermarking. We also adopt GPT2-Large as the pre-trained model. For the sarcasm task's template, we adopt the Question Prompt [\(Liu et al., 2023b\)](#page-12-19). Then, in terms of evaluating the source attribution accuracy, we randomly select each data point as the input/prompt to the trained WASA-LLM and use the subreddit of that data point as the source. The other evaluation settings are the same as that in Sec. [4.1.](#page-5-2)

**1671 1672 1673** Tab. [26](#page-31-3) illustrates that a top-1 source attribution accuracy of 50.80% and a top-3 accuracy of 78.80% can be achieved using our WASA-LLM. The performance is inferior compared to that observed in generation tasks, primarily due to the increased challenge in learning mappings from texts to watermarks because texts in the SFT dataset contain fewer tokens on average. Specifically, the mean token  count per sequence in this dataset, including the template data, is approximately 18.4 which contrasts with the average of 512 tokens per sequence in unsupervised tasks. Despite this, the achieved accuracy significantly surpasses the baseline of 10.00%. Furthermore, the model exhibits a decent sarcasm prediction accuracy of 86.60% which even surpasses the performance of the original GPT2. One of the reasons may be that certain subreddits are more likely to contain sarcastic comments and our watermarking framework coincidentally captures this pattern. The results demonstrate that our WASA framework is still effective for SFT data and can maintain the performance preservation property.

<span id="page-31-3"></span> Table 26: Comparison of performances of the original GPT2 model trained with unwatermarked data and our WASA-LLM in terms of sarcasm prediction accuracy ('pred acc') and source attribution accuracy ('acc' and 'top-3').



<span id="page-31-2"></span>Table 27: Information on the Self-Annotated Reddit Corpus (SARC) dataset.



### <span id="page-31-4"></span><span id="page-31-0"></span>F.6 RELATIVE POSITIONS OF GENERATED WATERMARKS



 Figure 6: Distribution of the relative positions of the generated watermarks in the generated sentence.

 To further investigate the nature of our generated watermarks, we have analyzed the distribution of the relative positions of the generated watermarks in the generated sentences. As shown in Fig. [6,](#page-31-4) the generated watermarks are uniformly distributed within a sentence. This is because when we embed watermarks into the selected sentences for LLM training, the position of the embedded watermark is randomly selected. Therefore, after the LLM is trained, the position of the generated watermark in the generated sentence is also uniformly distributed. This uniform distribution of watermarks makes it harder for an adversary to remove the watermark, compared to the scenario where the watermarks are at a fixed position.

 

### <span id="page-31-1"></span>F.7 APPLICATION IN CONTINUOUS TRAINING PIPELINE

 Our WASA framework also naturally supports continuous training: since each data provider has independent watermarks, we can seamlessly integrate any new data provider's watermarked data into

**1728 1729 1730 1731 1732 1733 1734 1735** the current WASA-LLM by continuing the second-stage pre-training using those data. To empirically demonstrate this, we conduct the following experiment: initially, we obtain a WASA-LLM through second-stage pre-training of the Llama2-7B model using the data from 10 providers on the ArXiv dataset (the same one as Table [1,](#page-6-1) Sec. [4.1\)](#page-5-2). We then continue to perform second-stage pre-training with data from 10 additional providers, each with new watermarks, thereby increasing the total number of data providers to 20. The source attribution accuracy (top-3/top-5 accuracy) for the 10 additional providers is 84.20% (95.80%/98.40%), demonstrating that we can preserve high source attribution accuracy with the continuous training pipeline.

**1736**

#### <span id="page-32-0"></span>**1737 1738** F.8 IMPACT OF NUMBER OF WATERMARKS IN TRAINING DATA

**1739 1740 1741 1742 1743 1744 1745 1746 1747 1748 1749 1750 1751 1752** Here, we will evaluate the impact of the number of watermarks in the training data on the source attribution accuracy achieved by WASA-LLM. Following that of Sec. [3.1,](#page-3-1) we vary the percentage of sentences selected for watermarking (i.e., top  $X\%$  of the TF-IDF scores) and evaluate its impact on our WASA-LLM obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset. Fig. [7](#page-32-1) (left) shows that as the number of watermarks increases, the source attribution accuracy firstly increases and then declines. This is because an overly small number of watermarks results in insufficient data for learning an accurate texts-to-watermarks mapping; meanwhile, if watermarks are added to an excessively large number of sentences, then some of the watermarked sentences *may not be representative of the texts from their data providers*, which also increases the difficulty of learning the mapping from the texts of the data providers to their unique watermarks (see Sec. [3.1\)](#page-3-1). In addition, Fig. [7](#page-32-1) (right) shows that increasing the number of added watermarks in general leads to worse text generation performances (i.e., larger perplexity) of the WASA-LLM. The detailed results are provided in Tab. [28.](#page-32-2) Moreover, Fig. [8](#page-33-2) shows a clearer visualization of the results in smaller percentages.

<span id="page-32-1"></span>

**1765 1766 1767** Figure 7: Source attribution accuracy and perplexity achieved by WASA-LLM (i.e., obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset) vs. percentage of watermarked sentences in the training data.

**1768 1769**

<span id="page-32-2"></span>**1770 1771 1772 1773 1774** Table 28: Comparison of source attribution accuracy achieved by WASA-LLM (i.e., obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset) for different percentages of watermarked sentences in the training data. The percentage of blocks that are watermarked is given as well.

1775	pct. sentences	pct. blocks	acc.	$top-3$ .	perplexity
1776					
1777	20%	88.25\%	74.84	95.76	12.6570
	40\%	96.88%	74.16	95.45	12.9180
1778	60%	98.86\%		95.04	
			74.32		13.3096
1779	80%	99.38%	73.48	95.40	14.1952
1780	100%	100.00%	72.24	95.00	15.8465
1781					

<span id="page-33-2"></span>

 Figure 8: Source attribution accuracy and perplexity achieved by WASA-LLM (i.e., obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset) vs. percentage of watermarked sentences in the training data on a smaller scale of  $0.05\% - 1\%$  for a clearer visualization.

### 

### <span id="page-33-1"></span>F.9 IMPACT OF LENGTHS OF CONDITIONED SENTENCE AND GENERATED SENTENCE

 Recall that in our main experiments, we have used a sentence with 200 characters as the input/prompt (i.e., the conditioned sentence) to our WASA-LLM, and let the WASA-LLM generate synthetic texts with 100 tokens (Sec. [4.1\)](#page-5-2). In this section, we vary the character lengths of both the conditioned sentence and the generated synthetic texts, and evaluate their impact on the source attribution accuracy achieved by WASA-LLM (i.e., obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset). The results in Tab. [29](#page-33-3) show that longer conditioned sentences (i.e., inputs/prompts) lead to better performances. Moreover, when the length of the conditioned sentences is fixed (at ), increasing the length of the generated synthetic texts consistently reduces the number of forcefully generated watermarks (App. [F.3\)](#page-29-0) while preserving the source attribution accuracy achieved by WASA-LLM.

<span id="page-33-3"></span> Table 29: Impact of the lengths of the conditioned sentences (inputs/prompts) and the generated synthetic sentences on the source attribution accuracy achieved by WASA-LLM (obtained from secondstage pre-training of the GPT2 model on the ArXiv dataset) where 'len. cond.' stands for the character length of the conditioned sentences, 'tokens syn.' refers to the number of tokens in the generated synthetic sentences, and 'pct. wtm f' denotes the percentage of forcefully generated watermarks.



### 

# <span id="page-33-0"></span>

#### F.10 IMPACT OF LENGTH OF WATERMARK

 In our main experiments, we have adopted a watermark design that consists of 10 characters/tokens (Sec. [3.1\)](#page-3-1). However, our WASA framework allows for the use of watermarks with different lengths. Here, we will test the impact of the length of the watermarks on the source attribution accuracy achieved by WASA-LLM (obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset). The results in Tab. [30](#page-34-2) show that for watermarks with 5, 10, and 15 characters, their source attribution accuracies are comparable while the 5-character watermark achieves slightly better performances. This is likely because when the watermark is shorter, the resulting watermark prediction problem becomes relatively easier (i.e., the number of parameters in the last linear layer is smaller), which may lead to better watermark prediction and generation. However, note that a long watermark is favored when there is a need to scale to a large number of data providers. Therefore, our

 WASA framework offers the flexibility to choose watermarks with different lengths, and the preferred watermark length can be application-dependent.

<span id="page-34-2"></span>Table 30: Source attribution accuracy achieved by WASA-LLM (obtained from second-stage pretraining of the GPT2 model on the ArXiv dataset) using watermarks with different lengths.



 

 

### F.11 IMPACT OF NUMBER OF WATERMARK CHARACTERS

 In our main experiments, we have used 6 invisible Unicode characters to form each character in the 10-character watermark. Our WASA framework also allows for the use of watermarks such that each character in the watermark can be chosen among a different number of available characters. Tab. [32](#page-35-3) shows the source attribution accuracy achieved by WASA-LLM (obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset) when each character in the watermark can be chosen among only 2 available characters: U+200B: Zero Width Space and U+200C: Zero Width NonJoiner. The results are comparable while the one with 2 available characters shows slightly worse top-3 accuracy. This is likely because when fewer available characters are used, the watermarks for different categories are more similar to each other, which may make top-3 classification more difficult.

<span id="page-34-1"></span>

#### F.12 IMPACT OF AMOUNT OF DATA FOR SECOND-STAGE PRE-TRAINING TO OBTAIN WASA-LLM

 Here, we will evaluate the impact of using varying amounts of data from the ArXiv dataset for our second-stage pre-training (Sec. [3.2\)](#page-3-2) of the GPT2 model to obtain WASA-LLM. As discussed in App. [D.1,](#page-17-1) in our main experiments for the ArXiv dataset, we have used 33% of text data from every category (i.e., data provider) to reduce computations. Here, we will vary this percentage to evaluate its impact on both the source attribution accuracy and the text generation performance achieved by our WASA-LLM. The results in Tab. [24](#page-29-3) demonstrate that as more data is used, both the source attribution accuracy and the text generation ability (i.e., perplexity) achieved by our WASA-LLM are generally improved.

 

#### <span id="page-34-0"></span> F.13 IMPACT OF NUMBER OF TRAINING EPOCHS

 As we have discussed in App. [D.2,](#page-17-2) we have trained our WASA-LLM for one epoch during the secondstage pre-training (Sec. [3.2\)](#page-3-2). Here, we will evaluate the performance of WASA-LLM after training with more epochs. The results in Tab. [31](#page-34-3) show that training with multiple epochs in general further improves the performance. This demonstrates the potential of our WASA framework to achieve even better source attribution accuracy (than those presented in our current experiments) with more computations.

<span id="page-34-3"></span> Table 31: Source attribution accuracy achieved by WASA-LLM (obtained from second-stage pretraining of the GPT2 model on the ArXiv dataset) after training with more epochs.

$n\text{-epochs}$ acc. top-3.	
	$\begin{array}{c cc} 1 & 74.84 & 95.76 \\ 2 & 76.96 & 96.00 \\ 3 & 75.88 & 95.88 \end{array}$

<span id="page-35-3"></span>**1890 1891 1892** Table 32: Impact of the number of available characters (used to make up each character in the 10 character watermark) on the source attribution accuracy achieved by WASA-LLM (obtained from second-stage pre-training of the GPT2 model on the ArXiv dataset).



**1896 1897 1898**

**1893 1894 1895**

#### **1899 1900** G CASE STUDIES

<span id="page-35-1"></span>**1901 1902** G.1 GENERATED TEXTS WITH IMPERCEPTIBLE WATERMARKS

**1903 1904 1905 1906 1907** We have discussed in Sec. [3.3](#page-5-1) how our trained WASA-LLM can be used to generate synthetic texts with embedded watermarks. Fig. [9](#page-35-2) below shows an example of the watermarked texts generated by our WASA-LLM, which verifies that the generated watermarks that are embedded into the generated texts are indeed imperceptible to human eyes. Therefore, the readability of the generated texts will not be affected much.

<span id="page-35-2"></span>**1908** The complete data set of monochromatic opacities and a suite of codes to compute mean opacities and radiative accelerations (OPCD 2.11) **1909** have also been publicly released by Sea-Tek. \nTo obtain the most accuracte result, we used the OPD-C++ code version 1.4.0 [20] as well as the **1910** PASCAL code version 5.2.7 [21]. We used the following method: In the first step, we calculated the maximum possible value for each pixel in the image, and then we computed the maimum possible radii per pixel from this maximum value. Then we applied the same procedure to calculate **1911** the mean radius and radiative acceleration at every pixel in the image. The results are shown in Figure 4. For exaple, we obtained the radii for a **1912** given position with an average of 10 pixels. **1913**

**1914 1915** Figure 9: An example of our WASA-LLM-generated synthetic texts with embedded watermarks that are imperceptible to human eyes.

**1916**

**1917**

#### **1918** G.2 GENERATED DATA AND ITS SOURCE

**1919 1920 1921 1922 1923** To facilitate a better demonstration of the performance of our WASA framework, we perform a case study on the synthetic data generated by our WASA-LLM. The examples shown in Figs. [10](#page-35-4) and [11](#page-36-0) are the generated texts from our WASA-LLM trained with the ArXiv dataset and the Booksum dataset, respectively. They further verify the invisibility of the generated watermarks and demonstrate that our framework preserves the quality of the generated texts.

**1924 1925**

### <span id="page-35-0"></span>**1926** G.3 GENERATED DATA WITH TWO SOURCE

**1927 1928 1929 1930** Considering the special cases where the generated data is a combination of data from two providers, our current WASA framework naturally handles them: We can use the generated top-k watermarks to identify the  $k$  most likely data providers in order to account for cases where there are multiple data providers.

**1931 1932 1933 1934 1935 1936 1937** To demonstrate our framework's capability in this context, we have crafted several case studies simulating examples of text that are combinations of two data providers. We select two pieces of text generated by different data providers and manually concatenate them. Subsequently, we use the concatenated text as the prompt forWASA-LLM to generate the top-3 watermarks. As an example in Fig. [12,](#page-36-1) we have crafted the texts as the concatenation of the generated texts from two data providers *gr-qc* (with watermark 'U+200DU+2064U+200BU+200BU+200CU+200 BU+200BU+200DU+2063U+200C')

**1938**

<span id="page-35-4"></span>

**1940** In these Seyfert nuclei, the HCN(1-0) to CO (0-2) transition is characterized by a sharp decrease of the peak strength around 6.5 keV as

compared with the NGC 5194 case, while that for HCN(1+0) to CO is slightly enhanced near 4.3 keV by our approach. The increase of this **1941** transition temperature is attributed to an enhancement of the H 2 column density along with its reduction from nHCO 3 to nHCO 3 +

**1942 1943**

### Figure 10: Generated text from ArXiv dataset (*astro-ph* category).

<span id="page-36-1"></span><span id="page-36-0"></span>**1944** a large-boned, muscular man nearly six feet high, with a \nback so flat and a head so well poised that when he drew himself up \nto take a more distant survey of his work, he had the air of a soldier \nof fortune. He was dressed in fine black with large white sleeves, \nand wore a short **1945** grey coat over a brown waistcoat; also black boots. His face was very \nlarge, though not very strong, which gave him great dignity under the **1946** circumstances. \nThe two men were standing just opposite each other, with his arms folded \ntogether, and looking at one **1947 1948** Figure 11: Generated text from BookSum dataset (*Adam Bede* category). **1949 1950** and *quant-ph* (with watermark 'U+2062U+2063U+200CU+2063U+2063U+20 **1951** 63U+200CU+200CU+200BU+200D'). In such cases, our framework is able to produce the **1952** watermarks corresponding to both data providers among the top-3 generated watermarks. Note that **1953** in the above example and the next, we manually visualize the watermarks for illustrative purposes, **1954** while in real cases, the watermarks remain invisible. **1955** As another example, we have crafted the texts (i.e., shown in Fig. [13\)](#page-36-2) as the concate-**1956** nation of the generated texts from another two data providers *astro-ph* (with watermark **1957** 'U+2063U+200DU+200CU+200CU+200BU+200B U+2062U+200CU+2063U+200B') and *cs.CV* **1958** (with watermark 'U+200BU+2064U+200DU+200BU+200CU+200D U+2064U+2062U+2063 **1959** U+2064'). In this case, our framework is also able to generate the watermarks for both data providers **1960** among the top-3 watermarks. These results demonstrate the potential of our top-k source attribution **1961** to handle scenarios in which the generated data is a combination of multiple data providers. **1962 1963** gravity black hole entropy has been studied well for isolated horizons and of large area. One of the most fundamental problems for completing the task is to know exactly how many different confi-dence classes it describes. \nThe work reported here is based on an analysis of three very **1964** simple black ring solutions: (a) the Schwarzschild solution (which we call by WKB. manipulating quantum states as superposition and **1965** entangled states, and to implement quantum measurements. Motivated by the remarkable achievements in the quantum control of atomic **1966** ensembles [8,9,10,11] we have developed a novel algorithm for performing such operations on an arbitrary qubit. It can be shown that the state **1967** generated by this formalism has many important advantages: for example, it allows us to perform. Recently, a new class of matter systems **1968** called "black rings" with an interesting physical origin was formulated in [40],which have some properties that appear quite similar to those of black holes The key idea is that we replace the classical method (or perhaps also the more general non-local Hamiltonian) with an ontic **1969** entanglement technique which is computationally much faster than the classical one. [WTM]U+200DU+2064U+200BU+200BU+200CU+200B **1970** U+200BU+200DU+2063U+200C[WTM] U+2062U+2063U+200CU+2063U+2063U+2063U+200CU+200CU+200BU+200D[WTM]U+2063U+200C **1971** U+200CU+200BU+200DU+2063U+2063U+200CU+200BU+2062 **1972 1973** Figure 12: Combined generated text from ArXiv dataset (*gr-qc* and *quant-ph* categories) with top-3 **1974** watermarking covering both watermarks. **1975 1976** Evidence of dust clearing should be visible in the infrared (IR) spectral energy distribution (SED). The Spitzer Space Telescope, with its wide **1977** wavelength coverage and increased sensitivity, is sited to search for IR emission at  $z = 0.67$  and the same spatial resolution as the 1.6-m telescope, and thus can detect dust grains that are not detected by optical or nearinfrared imaging, scanning the printed document and using **1978** the resultant image to recognize characters. The scanned image is used to extract the features of characters. The recognition of characters **1979** was carried out by \n(i) extracting a set of images (a set of character vectors). (ii) applying a kernel function that is sensitive to character shape **1980** and (iii) finding a set of characters and then comparing them to their corresponding input image. We have implemented this part in Matlab **1981** software. Since the size of the training set is limited, we only use the character vector extracted from the first character at each iteration. In **1982** order to increase the However, it has been suggested that dust can disappear from the SED after a few days if they have an effective temperature below \u223c 10 -3 K (Brackett et al. 2000:Bertin et al. [WTM]U+2063U+200DU+200CU+200CU+200BU+200BU+2062U+200C **1983** U+2063U+200BIWTMlU+200BU+2064U+200DU+200BU+200CU+200DU+2064 U+2062U+2063U+2064IWTMlU+2064U+2063U+200DU+200C **1984**

**1985 1986**

**1987** Figure 13: Combined generated text from ArXiv dataset (*astro-ph* and *cs.CV* categories) with top-3 watermarking covering both watermarks.

**1988 1989**

#### **1990** H FREQUENTLY ASKED QUESTIONS

<span id="page-36-2"></span>U+200CU+200BU+200BU+2062U+200C U+2063

**1991**

**1992 1993 1994 1995 1996 1997** The paper assumes data providers are willing to embed watermarks in their data to track usage, but in practice, they may prioritize data privacy over adding any extra information. Firstly, the objective of this work is to protect the IP rights of the data providers under the setting that there is a necessity to certify the source of online content produced by LLMs, as discussed in Sec.1. Under this setting, the data providers are willing to have their identity disclosed and attributed to. In practice, this setting may correspond to authors of academic papers who are willing to be identified and cited for their work.

**1998 1999 2000 2001 2002 2003 2004** Meanwhile, as discussed in App. [6,](#page-9-0) in our WASA framework, only the watermark can be seen in the generated data, which does not imply personal information about the data providers. Therefore, data privacy can be preserved as long as the mapping from watermarks to data providers is kept confidential. In practice, if some data providers prioritize data privacy and do not want their identities to be revealed, they may request the LLM owner to not decode their watermarks and reveal them as sources to the public, in which case users will not be able to infer any private information from the watermark itself.

**2005 2006 2007** From another perspective, given our proposed watermarking scheme, data providers will also be able to check data provenance and see whether their watermarked data have been misused, which serves as a protection of data privacy in a different sense.

**2008**

**2009 2010 2011 2012 2013 2014 2015** It seems the removal of all invisible characters could render the watermarks ineffective. Firstly, we have considered various scenarios where the generated watermark is modified or removed in our paper (Sec. [4.2](#page-6-0) and App. [E.2\)](#page-24-0). We have tested our watermark regeneration defense against these scenarios to regenerate the attacked watermark and preserve a high source attribution accuracy of  $71.60\%$  (top-3  $93.76\%$ ), which is comparable to the original  $74.84\%$  (top-3  $95.76\%$ ). Thus, *our watermark regeneration is an effective defense mechanism* to address the straightforward removal of watermarks.

**2016 2017 2018 2019 2020 2021** Secondly, we would like to consider the usage of our framework where source attribution is performed immediately as the LLM generates text together with the watermark. Under this setting, the identification of the data provider of the generated text takes place right after LLM generation and there would be no opportunity for attackers to modify the generated watermarks. In practice, this setting may correspond to the scenario that when the user queries an LLM, the source is provided along with the output of the LLM.

**2022**

**2023 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033** How does the evaluation, particularly the experimental setup correlate with realistic scenarios where LLMs generate novel content? In real-world scenarios, source attribution is more likely to be performed on LLM-generated content to find the source for the generation. In our evaluation, the source attribution accuracy is also measured on the generated sentence of the LLMs, using the sentences selected from the training datasets as inputs/prompts. Hence, our evaluation design aligns with the real-world source attribution applications on both performing on synthetic data. Note that we use the sentences from the training datasets as inputs/prompts to LLMs solely to decide the ground-truth source for the generated content: On the one hand, we can determine the source of the generated sentence directly as the source (training data provider) for the input/prompt (as validated in App. E.3); On the other hand, if we choose inputs/prompts as those we do not know the source, it would be more challenging to decide the source for the generated sentence and make the evaluation of source attribution less reliable.

**2034 2035 2036 2037** Importantly, we have adopted various datasets in our experiments that correspond to different reallife use cases. The ArXiv and DBpedia datasets correspond to paper and knowledge attribution, while the BookSum dataset refers to story attribution. The CC-News, IMDB, and FakeNews datasets represent a more challenging use case: the attribution of word/expression usage.

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