

UNSUPERVISED PANOPTIC INTERPRETATION OF LATENT SPACES IN GANS USING SPACE-FILLING VECTOR QUANTIZATION

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ABSTRACT

Generative adversarial networks (GANs) learn a latent space whose samples can be mapped to real-world images. Such latent spaces are difficult to interpret. Some earlier supervised methods aim to create an interpretable latent space or discover interpretable directions that require exploiting data labels or annotated synthesized samples for training. However, we propose using a modification of vector quantization called space-filling vector quantization (SFVQ), which quantizes the data on a piece-wise linear curve. SFVQ can capture the underlying morphological structure of the latent space and thus make it interpretable. We apply this technique to model the latent space of pretrained StyleGAN2 and BigGAN networks on various datasets. Our experiments show that the SFVQ curve yields a general interpretable model of the latent space that determines which part of the latent space corresponds to what specific generative factors. Furthermore, we demonstrate that each line of SFVQ’s curve can potentially refer to an interpretable direction for applying intelligible image transformations. We also showed that the points located on an SFVQ line can be used for controllable data augmentation.

1 INTRODUCTION

Generative adversarial networks (GANs) (Goodfellow et al., 2014) are powerful deep generative models applied to various applications such as data augmentation (Antoniou et al., 2017), image editing (Härkönen et al., 2020), video generation (Wang et al., 2018a). For image data, GANs map a latent space to an output image space by learning a non-linear mapping (Voynov & Babenko, 2020). After learning such mapping function, GANs can create realistic high-resolution images by sampling from the latent space (Karras et al., 2019). However, this latent space is a black box such that it is difficult to interpret the mapping between the latent space and generative factors such as gender, age, pose (Shen et al., 2020). In addition, the interpretable directions to change these factors are not known (Voynov & Babenko, 2020). Hence, having a more comprehensive interpretation of the latent space is an important research problem that, if solved, leads to more controllable generations.

In the literature, supervised and unsupervised methods exist to find interpretable directions in the latent space. Supervised methods (Jahanian et al., 2019; Plumerault et al., 2020; Yang et al., 2021; Goetschalckx et al., 2019; Shen et al., 2020) require large data collection together with the use of pretrained classifiers or human labelers to label the collected data with respect to the user-predefined directions (Shen & Zhou, 2021). In addition, these methods only find the directions that the user defines (Voynov & Babenko, 2020). On the other hand, in unsupervised methods (Härkönen et al., 2020; Shen & Zhou, 2021; Voynov & Babenko, 2020; Yüksel et al., 2021; Tzelepis et al., 2021; Aoshima & Matsubara, 2023) the user has to choose the hyper-parameter K (the number of interpretable directions to discover) before training, where a large value for K results in discovering repetitive directions (Yüksel et al., 2021). Furthermore, in all these unsupervised methods, there is no prior knowledge about the specific transformation each of these K discovered directions yields. Hence, the user has to do an exhaustive search over all available K directions to determine which directions are practical and what they refer to. For instance, as GANSpace (Härkönen et al., 2020) applies principal component analysis (PCA) on the latent space, the number of directions (K) to be examined is large (equal to the latent space dimension). Also, as stated in Härkönen et al. (2020), not all PCA directions are necessarily useful to change a generative factor.

In this paper, we used a modification of vector quantization, called space-filling vector quantization (SFVQ) (Vali & Bäckström, 2023), to interpret the latent spaces of the pre-trained StyleGAN2 (Karras et al., 2020) and BigGAN (Brock et al., 2018) models using FFHQ (Karras et al., 2019), AFHQ (Choi et al., 2020), LSUN Cars (Yu et al., 2015), CIFAR10 (Krizhevsky et al., 2009), and ImageNet (Deng et al., 2009) datasets. Regarding the intrinsic arrangement of SFVQ’s codebook vectors (see fig. 1(b)), SFVQ can capture the underlying structure of the latent space such that subsequent codebook vectors refer to similar contents. In contrast to supervised approaches, our unsupervised method neither needs human labeling nor puts any constraint on the learned latent space as it uses the original learned latent spaces from pretrained models. Moreover, our method does not need any

hyper-parameter tuning, e.g. choosing the number of directions (K) as in Shen & Zhou (2021); Voynov & Babenko (2020); Yüksel et al. (2021); Tzelepis et al. (2021); Aoshima & Matsubara (2023) or tuning the coefficients of the training loss terms as in Voynov & Babenko (2020); Yüksel et al. (2021); Tzelepis et al. (2021); Aoshima & Matsubara (2023). In our proposed method, to explore the latent space structure and find its interpretable directions, the only required effort is that the user has to visually observe the generated images from learned SFVQ’s codebook vectors (fig. 2(a), fig. 4(a)) only once. By observing the generated images, the user would have prior knowledge of the potential edit type for a discovered direction in advance, contrary to other unsupervised methods. Therefore, we reduce the search effort to achieve the desired edit by only searching for the suitable layers in StyleGAN2 or BigGAN to modify. Our method’s implementation is publicly available at <https://github.com/ICLR2025-Interpretable-SFVQ/Interpretable-GANs-SFVQ.git>.

Our experiments show that our proposed method makes StyleGAN2’s latent space interpretable such that the user knows what type of generations to expect from each part of the latent space regarding age, gender, pose, accessories (for FFHQ), color, breed, pose (for AFHQ) and class of data (for CIFAR10). Furthermore, we discovered that the directions of the space-filling lines (lines connecting SFVQ’s codebook vectors) can be used as interpretable directions leading to meaningful image transformations. We improved SFVQ’s training (first contribution below) and observed that the learned space-filling lines are mainly located inside the latent space. Hence, by interpolating between subsequent codebook vectors, we have numerous meaningful latent vectors (located on the SFVQ’s lines), which we use for controllable data augmentation. Our main contributions are:

- Contrary to Vali & Bäckström (2023) where the outlier codebook vectors were an issue, we improved the initialization and codebook expansion scheme of SFVQ such that we did not encounter any outlier codebook vectors throughout our experiments.
- We explored SFVQ from a new viewpoint and discovered that SFVQ lines can refer to interpretable directions. This SFVQ’s property was not explored in (Vali & Bäckström, 2023).
- We found joint interpretable directions that can change several attributes at the same time (to the authors’ knowledge, joint directions have not been found before in the literature).
- We uncovered another new property of SFVQ, which is controllable data augmentation.
- This is the first time that SFVQ is introduced to this field of research to interpret the latent spaces of GANs.

2 RELATED WORK

Prior works can be categorized into three principal approaches that aim to make the latent space of generative models more interpretable.

1. Introducing structure into the latent space using data labels. The main rationale behind these approaches (Klys et al., 2018; Xue et al., 2019; An et al., 2021) is that they take advantage of labeled data (with respect to the features of interest) and train the generative model in a supervised manner to learn a structured latent space in which data with specific labels reside in isolated subspaces of the latent distribution. Hence, this structured latent space can be interpretable such that the user could have control over data generation and manipulation with respect to the labels. However, these

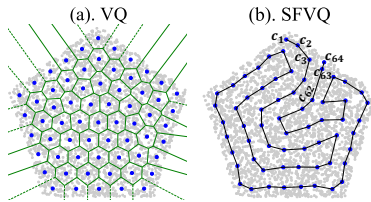


Figure 1: Codebook vectors (blue points) of a 6 bit (a) vector quantization, and (b) space-filling vector quantization (curve in black) on a pentagon distribution (gray points). Voronoi regions for VQ are shown in green.

supervised methods suffer from two main drawbacks. First, they require human labeling, whose cost can increase excessively when increasing the dataset size (Voynov & Babenko, 2020). Second, they might prevent the latent space from learning some intrinsic structures that a human labeler is unaware of (Voynov & Babenko, 2020).

2. Disentangling the latent space dimensions. These methods (Chen et al., 2016; Higgins et al., 2017; Ramesh et al., 2018; Lee et al., 2020; Liu et al., 2020) train the generative model in an unsupervised way to obtain a disentangled latent space. In a disentangled latent space, changes in each latent dimension make variations only in one specific generative factor while keeping the other generative factors unchanged. In other words, these approaches aim to model various generative factors existing in the data to different latent dimensions and make these dimensions (generative factors) independent of each other. Therefore, these methods are interpretable in that they allow control over data generation with respect to the generative factors. However, the downside of these techniques is their low efficiency in generation quality and diversity (Voynov & Babenko, 2020).

3. Exploring interpretable directions in the latent space. The main goal of these techniques is to find the directions in the latent space which lead to intelligible data transformations such as changing the age, pose, hairstyle in face synthesis task (Härkönen et al., 2020; Voynov & Babenko, 2020; Shen et al., 2020; Jahanian et al., 2019; Yüksel et al., 2021; Shen & Zhou, 2021; Abdal et al., 2021; Wang et al., 2018b; Plumerault et al., 2020; Yang et al., 2021; Goetschalckx et al., 2019; Alaluf et al., 2022; Roich et al., 2022; Pehlivan et al., 2023; Liu et al., 2023; Tzelepis et al., 2021; Aoshima & Matsubara, 2023). Here, the interpretability of the latent space refers to the user’s control over the generation process by manipulating latent vectors along these discovered interpretable directions.

3 METHODS

3.1 SPACE-FILLING VECTOR QUANTIZATION (SFVQ)

A space-filling curve is a piece-wise continuous curve created by recursion, and if the recursion repeats infinitely, the curve fills a multi-dimensional distribution (Sagan, 2012). Motivated by space-filling curves, space-filling vector quantization (SFVQ) (Vali & Bäckström, 2023) designs vector quantization (VQ) as mapping of input data on a space-filling curve, whose corner points are the codebook vectors of VQ. Similar to space-filling curves, SFVQ is trained recursively. SFVQ first starts with $N = 4$ codebook vectors (2 bit) and then it expands the codebook by doubling the number of codebook vectors at each recursion step. The recursion continues until SFVQ reaches $N = \log_2(B_{target})$ codebook vectors, where B_{target} refers to SFVQ’s target bitrate. Figure 1 illustrates a 6 bit VQ and SFVQ applied on a 2D pentagon distribution.

3.2 PROPOSED METHOD

3.2.1 SFVQ INITIALIZATION

As mentioned, SFVQ training starts with $N = 4$ codebook vectors. The initialization of these four codebook vectors significantly impacts the final learned SFVQ curve obtained at the end of training. In Vali & Bäckström (2023), these four codebook vectors were initialized randomly (from a normal distribution $\mathcal{N}(0, 1)$), and as the SFVQ codebook was expanded to reach the target bitrate, there were some outlier codebook vectors which ended up out of the latent space.

In this paper, we changed the codebook initialization. As we have access to the pretrained models, we sample 10^3 random vectors z from the normal distribution and generate their corresponding latent vectors in the layer where we intend to train the SFVQ (e.g. intermediate \mathcal{W} space in StyleGAN2). Then, we compute the Euclidean norm of all latent vectors and sort them in the ascending order of their norms. We split these sorted latent vectors into four groups and initialize the codebook vectors with the mean of these four groups. From a geometrical viewpoint, this initial SFVQ curve spans from one end of latent Euclidean space to its other end and brings a desirable order to SFVQ codebook vectors which aligns with the intrinsic SFVQ codebook arrangement, as the curve starts from low norm to high norm latent vectors.

3.2.2 SFVQ CODEBOOK EXPANSION

When training SFVQ, codebook expansion occurs at the beginning of a recursion step such that the codebook size is doubled. In Vali & Bäckström (2023), the new codebook vectors are defined in the center of the line connecting two adjacent codebook vectors (which already exist on the curve), i.e. $c_{new} = (c_i + c_{i+1}) / 2$, where c_i is the i -th codebook vector. However, c_{new} can be useless as it might be located outside the latent space and it takes a long time to be pushed inside. In this paper, we define the new codebook by shifting the existing codebook vectors slightly such that $c_{new} = 0.99 c_i + 0.01 c_{i+1}$. Now, the new codebooks are more likely to reside inside the latent space, and thus, after being selected actively during training, they will be optimized to better locations. In contrast to Vali & Bäckström (2023), our proposed codebook expansion and initialization for SFVQ lead to no outlier codebooks throughout our experiments.

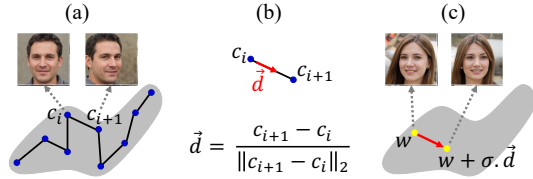


Figure 2: (a) Observation of the generated images from learned SFVQ codebook (similar to fig. 4(a)) to find a sensible direction between c_i and c_{i+1} . (b) Computation of the normalized direction d . (c) Applying the direction on a random latent vector w by shifting it with the magnitude σ .

3.2.3 SFVQ INTERPRETABLE DIRECTIONS

After training SFVQ on the latent space and obtaining the learned codebook, we generate the images corresponding to SFVQ codebook vectors (fig. 2(a) and fig. 4(a)). This visualization reveals the underlying structure of the latent space regarding generative factors, which we discuss in section 5.1. However, in this paper, we take one step forward to extract more information from SFVQ’s curve, which results in finding interpretable directions. Figure 2 shows how we find the interpretable directions using SFVQ. Because of the intrinsic arrangement in SFVQ codebook vectors, subsequent images refer to similar contents. In other words, they share many similar features while they are different in minimal attributes. For example, in fig. 2(a), the images for two subsequent codebook vectors of c_i and c_{i+1} share most of the attributes except the rotation. Hence, we can infer that the direction (d) connecting these two vectors (fig. 2(b)) refers to the rotation direction. Then, by shifting any latent vector along this direction (fig. 2(c)), we observe the change in rotation attribute.

By a quick observation of the subsequent generated images from the SFVQ codebook, the user can simply spot the interpretable direction. Hence, the user has a prior knowledge of the direction, and the only required action is to find the proper layers of the GAN to edit along this direction (Härkönen et al., 2020). In this way, the user achieves the desired edit with less search effort compared to other unsupervised methods (Härkönen et al., 2020; Shen & Zhou, 2021; Voynov & Babenko, 2020; Yüksel et al., 2021; Tzelepis et al., 2021; Aoshima & Matsubara, 2023), in which apart from the layer-wise search, they should do an exhaustive search over all K discovered directions to inspect whether they are practical and what direction they refer to.

4 EXPERIMENTS

To evaluate how SFVQ can be used to interpret the latent spaces in GANs, similarly to GANSpace (Härkönen et al., 2020), we chose the intermediate latent space (\mathcal{W}) of StyleGAN2 (Karras et al., 2020) and the first linear layer of BigGAN512-deep (Brock et al., 2018), and then trained the SFVQ on these layers. It has been shown that these layers are more favorable for interpretation because they render more disentangled representations, they are not constrained to any specific distribution, and they suitably model the structure of the real data (Karras et al., 2019; Härkönen et al., 2020; Shen et al., 2020). For StyleGAN2, we employ the pretrained models on FFHQ, AFHQ, LSUN Cars, CIFAR10 datasets, and also the pretrained BigGAN on ImageNet.

We trained the SFVQ with various bitrates ranging from 2 to 12 bit (4 to 4096 codebook vectors). Since the training of SFVQ is not sensitive to hyper-parameter tuning, we adopt a general setup that works for all pretrained models and datasets. In this setup, we trained SFVQ with the batch size of 64 over 100 k number of training batches (for each recursion step) using Adam optimizer with the initial learning rate of 10^{-3} . We used a learning rate scheduler such that during each recursion step, we halved the learning rate after 60 k and 80 k training batches.

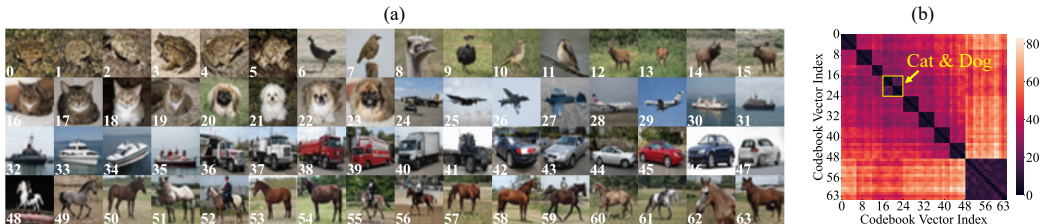


Figure 3: (a) Generated images from codebook of a 6 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on CIFAR10. (b) Heatmap of Euclidean distances between all codebook vectors.

5 RESULTS AND DISCUSSIONS

5.1 STYLEGAN2: UNIVERSAL INTERPRETATION

To explore a universal interpretation of latent space, we apply the SFVQ on that distribution and plot the generated images from the obtained SFVQ’s codebook vectors. According to the inherent arrangement of SFVQ’s codebook vectors, we expect SFVQ to capture a universal morphology of the latent space. As the first experiment, we apply the SFVQ on the intermediate latent space (\mathcal{W}) of StyleGAN2 (Karras et al., 2020) pretrained on the CIFAR10 dataset. During training, the number of extracted latent vectors is unbiased for all CIFAR10 classes. In fig. 3(a), we plot the generated images corresponding to 6 bit SFVQ codebook, i.e. each image corresponds to a codebook vector. At first glance, we observe a clear arrangement with respect to the image class, such that images from an identical category are organized into groups. Also, apart from the *horse* class, all animal types and industrial vehicles are located next to each other. Furthermore, there are some visible similarities for subsequent codebook vectors within a class, such as similar objects’ rotation, scale, color, and background. We also see these observations consistently over different bitrates of SFVQ. When increasing the SFVQ’s bitrate by one (doubling the codebook size), the number of specified codebook vectors for each class will be approximately doubled, and as a result, the proportion of each class remains unchanged. Therefore, from the SFVQ curve (for any bitrate), we can infer the portion that each class occupies the latent space. For instance, the *horse* class is always the dominant class of data in the StyleGAN2 latent space by occupying about 25% of codebook vectors.

To inspect the learned SFVQ from another viewpoint, we plotted the heatmap of Euclidean distances between all SFVQ’s codebook vectors in fig. 3(b). Again, we observe a clear separation between different classes, as each dark box shows a data class. It is important to note that the SFVQ captures this class separation property because of its inherent orderliness and in a completely unsupervised way. Also, we spot a bigger dark box shared between *cat* and *dog* classes because they are the most similar classes and reside close to each other in the latent space.

In the second experiment, we applied a 5 bit SFVQ on the \mathcal{W} space of the pretrained StyleGAN2 on the FFHQ dataset. Images corresponding to the SFVQ’s codebook are represented in fig. 4(a). We observe similarities among neighboring codebook vectors such as baby-aged faces for indices 6-7, hat accessory for indices 13-16, eyeglasses for indices 18-19, rotation from right to left from index 17 to 20, and rotation from left to right from index 27 to 31. Based on our investigations, the StyleGAN2’s \mathcal{W} space for FFHQ, AFHQ, and LSUN Cars are much denser and entangled than CIFAR10 because they are trained on not very diverse data like CIFAR10. That is why the learned SFVQ curve shown in fig. 4(a) does not show a perfect distinctive universal interpretation. We provided a similar figure for a 6 bit SFVQ for the AFHQ dataset in Appendix A.1.

As the third experiment, we examined a 2 bit SFVQ applied on the \mathcal{W} space of StyleGAN2 pretrained on the FFHQ dataset and displayed the generated images in fig. 4(b). We observe a clear separation between females and males, while we only have two individual identities, each representing the average face for females and males. From this SFVQ curve, we can infer some more interesting properties. We hypothesize that each SFVQ line corresponds to an interpretable direction shown in fig. 4(c). Direction I (direction from codebook vector 1 to 2) is for changing rotation to the right, direction II refers to the gender change, and direction III is for changing rotation to the left. For more clarification, we compute the angles between these directions in degrees, which somewhat confirms our hypothesis. Direction II is almost orthogonal to two other directions, and directions I and III are approximately inverse (with a difference of 159.6 degrees).

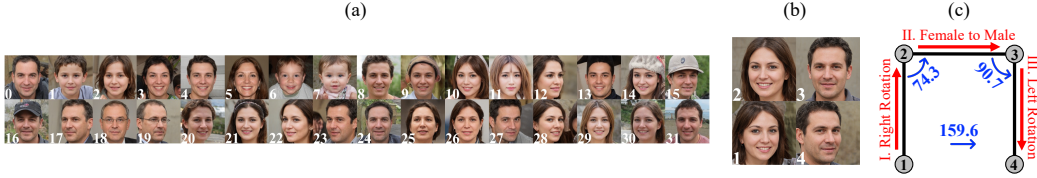


Figure 4: (a) Generated images from codebook of a 5 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ. (b) Codebook of a 2 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ and (c) their semantic directions. Numbers (in blue) show the angle between directions.

5.2 STYLEGAN2: INTERPRETABLE DIRECTIONS

Figure 4(b) reminds us of the PCA-based method of GANSpace (Härkönen et al., 2020) which finds PCA directions as interpretable directions. Similar to the first two PCA directions of GANSpace that refer to the change of gender and rotation, the SFVQ lines are also located along the directions in which the training data has the most variance, i.e. gender and rotation. However, in the pretrained \mathcal{W} space of StyleGAN2, the interpretable directions are not necessarily orthogonal to each other, and that is why only the first 100 (out of 512) GANSpace’s PCA orthogonal directions lead to noticeable changes (Härkönen et al., 2020). In contrast, in the SFVQ curve, each direction (SFVQ’s line) could potentially work for a meaningful and obvious change. These observations and discussions motivate us to use the SFVQ’s curve to discover interpretable directions, which we study in the following.

We applied SFVQ curves (from 2 to 12 bit) on the \mathcal{W} space of StyleGAN2 pretrained on FFHQ, AFHQ, and LSUN Cars datasets and observed the generated images of SFVQ curves. By observation, we spotted some useful interpretable directions, shown in fig. 5. Columns (a) and (b) represent the discovered direction from two SFVQ’s subsequent codebook vectors, column (c) is the test vector in the latent space to which we apply the direction, and column (d) is the final result after applying the direction. Similar to the GANSpace naming convention, the term W_i-W_j means we only manipulate the style blocks within the range $[i:j]$. Note that we take the directions only from SFVQ’s subsequent codebook vectors, but not from two necessarily similar though far apart codebook vectors. Otherwise, one can accidentally find directions by taking two codebook vectors from an ordinary VQ that might lead to a meaningful direction. To show the practicality of the discovered directions better, we applied all of them only on one identical test image (except for the *Beard* and *Bald* directions which are specified to males).

One great advantage of our proposed method over other approaches is that it almost keeps the identity of the test image (column (c)) fixed when applying the interpretable directions (see table 2). Another advantage is that we could find some new and unique directions that were not found in previous methods, such as *Hat*, *Beard* for FFHQ, *Age*, *Bicolor* for AFHQ, and *Classic* for LSUN Cars. These unique directions are not limited to these ones, as users can find other directions by their own observations. In addition, our approach detected an inclusive set of directions, whereas other methods in the literature could only find a portion of them. It is important to note that the directions for the AFHQ dataset are class-agnostic, i.e. the direction for one animal works for other animal species because in fig. 5 we found the directions from *Wolf* and *Cat* classes, but we applied them to a *Dog* class. However, some directions do not necessarily work for all animal species in the AFHQ because the transformations are restricted by the dataset bias of individual animal classes (Jahaniyan et al., 2019) (see Appendix A.2 for more detail). Another interesting observation is how the *Hat* direction (discovered for males) works logically but differently for females.

5.3 BIGGAN: INTERPRETABLE DIRECTIONS

BigGAN512-deep (Brock et al., 2018) samples a random vector z from a normal prior distribution $p(z)$ and maps it to an image. Since in BigGAN512-deep, the intermediate layers also take the random vector z as input (i.e. skip- z connections), the vector z has the most effect on the generated output image. Hence, we should find the semantic directions in $p(z)$ space. However, as $p(z)$ is an isotropic distribution, it is difficult to find useful directions from it (Härkönen et al., 2020). Therefore, similar to GANSpace, we first train the SFVQ on the first linear layer (\mathcal{L}) of BigGAN512-deep to search for interpretable directions within this space, and afterward, we transfer these directions back to $p(z)$ space. To this end, we sample 10^6 random vectors from $p(z)$ and generate their

corresponding vectors in \mathcal{L} space, and assign them to the learned SFVQ codebook vectors such that each sample will be mapped to its closest codebook vector using Euclidean distance. Finally, for each codebook vector in \mathcal{L} space, we find its corresponding codebook vector in $p(z)$ space by taking the mean of the vectors in $p(z)$, which get mapped to this SFVQ codebook vector. We obtain the corresponding SFVQ curve in $p(z)$ space by doing this operation for all codebook vectors. Now, we use this SFVQ (in $p(z)$ space) to interpret the latent space of BigGAN512-deep. Note that to compute the SFVQ curve for BigGAN, we selected a class label and kept it fixed.

We computed the SFVQ curve over different bitrates (from 2 to 12 bit) in the $p(z)$ space of BigGAN512-deep for *golden retriever* class and discovered some interpretable directions, which are shown in fig. 5. Columns (a) and (b) represent the discovered direction from two SFVQ’s subsequent codebook vectors, column (c) is the test vector in the $p(z)$ space to which we apply the direction, and column (d) is the final result after applying the direction. Similar to the GANSpace naming convention, the term Z_i-Z_j means we only manipulate the skip- z connections within the range $[i-j]$. Apart from basic geometrical directions (*Rotation* and *Zoom In*), we discovered some more specific directions such as *Lay Down* and *Open Mouth* as found in Yüksel et al. (2021), and *Add Grass* as found in Härkönen et al. (2020). Note that the discovered directions by SFVQ for *golden retriever* class are class-agnostic, i.e. they also work for other classes (see Appendix A.3).

5.4 COMPARISON WITH OTHER METHODS

We compared our interpretable directions with GANSpace (Härkönen et al., 2020) and LatentCLR (Yüksel et al., 2021) qualitatively and quantitatively. The reason for choosing these methods is that their interpretable directions for StyleGAN2-FFHQ were readily available in their GitHub repositories. Hence, we skipped other methods that were not trained on StyleGAN2-FFHQ or did not share their directions. We focus on StyleGAN2-FFHQ for comparisons, because we planned to use the pretrained networks for face attributes rating of Zhang et al. (2017); Karkkainen & Joo (2021); Jiang et al. (2021); Doosti et al. (2020); Deng et al. (2019) for our quantitative comparisons.

Figure 6 shows the qualitative comparison. To have a fair comparison, we use the same amount of shift (σ) toward each direction because, as mentioned in Tzelepis et al. (2021), it is the advantage of a direction if it reaches the desired change in the attribute within a shorter path. The image in the red square is the initial test image to which we apply the changes. In *Rotation* direction, our method rotates the face better than LatentCLR, while GANSpace yields slightly more rotation than ours in the positive path, and our method brings more rotation than GANSpace in the negative path. For *Smile* direction, our method opens and closes the smile effectively in both positive and negative paths while keeping the identity and age attributes almost fixed. Whereas both GANSpace and LatentCLR change the identity, and particularly, GANSpace is highly entangled with age attribute. In *Hair Color* direction, again, our method keeps the identity better than the others, whereas GANSpace alters the face highlights, and LatentCLR mutates the gender, age, beard, and race. For *Gender* direction, GANSpace is entangled with age direction, and our method remains in the valid range of generations better than GANSpace. For *Age* direction, our method covers a wider range of ages than LatentCLR, while LatentCLR alters the face highlights. It is possible to test and compare the directions for these methods in our GitHub demo page.

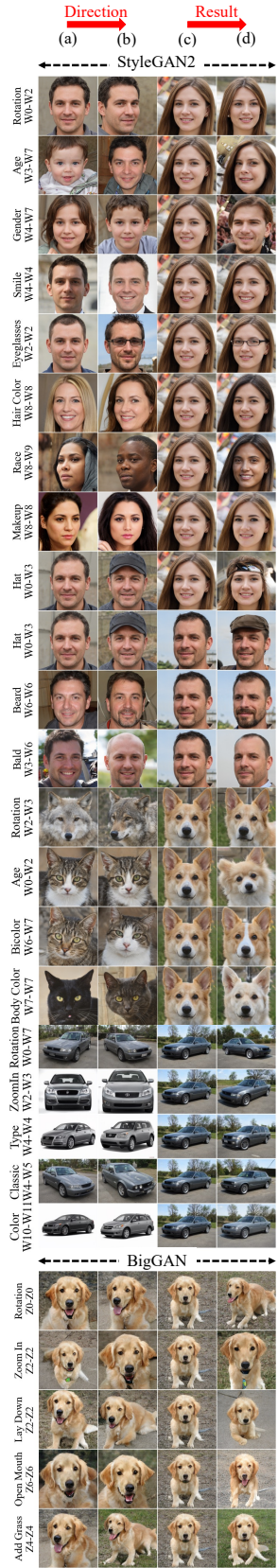


Figure 5: SFVQ interpretable directions.

For quantitative comparison, we adopted the evaluation criteria and pretrained networks (to rate an image’s attributes) used in Tzelepis et al. (2021) and Aoshima & Matsubara (2023). We used Zhang et al. (2017) to spot the face bounding box, FairFace (Karkkainen & Joo, 2021) to rate the age, race, and gender attributes, CelebA-HQ (Jiang et al., 2021) to measure the smile attribute, Hopenet (Doosti et al., 2020) to find the face direction (yaw, pitch, roll attributes), and ArcFace (Deng et al., 2019) to evaluate how much face’s identity is preserved after shifting along a direction.

We sampled 10^3 vectors from $\mathcal{N}(0, 1)$ and generated their corresponding latent vectors in the \mathcal{W} space of StyleGAN2. Following Tzelepis et al. (2021), to assess a discovered direction for each latent vector, we create a sequence of images by shifting the latent vector for 20 steps in both positive and negative paths along that direction. Therefore, each sequence contains 41 images such that the original intact image is in the middle. Then, for each image within this sequence, we use the above-mentioned pretrained networks to measure its attributes. Next, we calculate the correlation between the step index (from 1 to 41) and the attribute score for all attributes. Thus, for each direction, we obtain a vector of seven correlation values (one per each attribute) which is then L1-normalized similar to Tzelepis et al. (2021). Table 1 shows the results averaged over 10^3 latent vectors for our method, GANSpace and LatentCLR. We can claim that our results are statistically more significant than Tzelepis et al. (2021); Yüksel et al. (2021); Voynov & Babenko (2020), as we averaged the results over a larger pool of images.

Table 1 shows that for *Gender* direction, our method works better than GANSpace with a higher correlation to gender attribute, whereas GANSpace is correlated with age and race attributes. Regarding *Age* direction, our method’s correlation to age attribute is almost the same as LatentCLR. However, LatentCLR remarkably changes the gender and race attributes (shown in red), which is undesirable, whereas our method changes the smile and pitch attributes, which is visually more acceptable (see fig. 6). For *Smile* direction, our method performs more efficiently than others by having a higher correlation with the smile attribute. Our *Smile* direction is also correlated with gender attribute, which is definitely because of changing the hair length when closing the smile (see fig. 6). However, the smile direction of GANSpace and LatentCLR methods are improperly correlated the most with age and pitch attributes, respectively. In *Rotation* direction, similar to other methods, our method is mainly correlated with the yaw attribute with less correlation value than others, while it changes other face rotation’s attributes (i.e. pitch and roll) more than other methods. Our *Rotation* direction causes less changes in gender and age attributes compared to others. Since there is no bald attribute evaluator, it is expected in reality from the *Bald* direction to be mostly correlated with gender and age attributes. For *Bald* direction, we observe that our method is mostly correlated with gender and age attributes with a higher correlation than LatentCLR. Note that in table 1, if a method is not listed for a direction, it means that direction does not exist for the method.

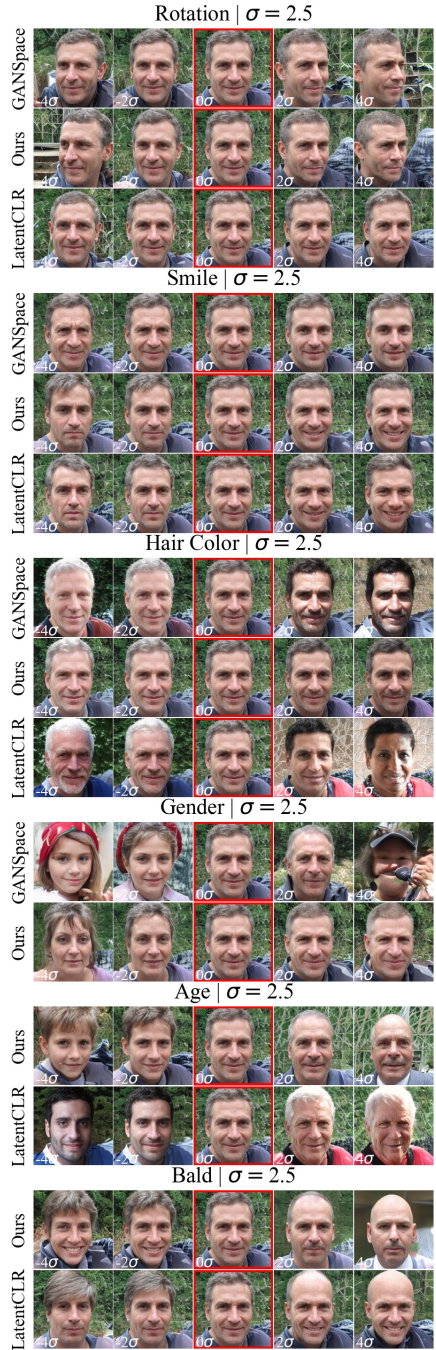


Figure 6: Qualitative comparison of the proposed method with GANSpace and LatentCLR.

We also compared our method with GANSpace and LatentCLR on how they could preserve the identity when shifting the latent vectors for various shift values over different directions. Table 2 provides the identity scores (averaged over 10^3 latent vectors) that range from 0 to 1, such that a higher value means a higher similarity to the original test image in terms of identity. We observe that our method keeps the identity better than others for *Gender*, *Age*, *Smile*, and *Hair Color* directions (with a big margin, particularly for *Gender* and *Hair Color* directions). For *Rotation* direction, LatentCLR performs better than the others, but the reason is that based on qualitative comparisons (fig. 6), LatentCLR does not rotate the face to the extent of GANSpace and our method. For *Bald* direction, our method performs almost the same as LatentCLR for lower shift values, but slightly worse when increasing the shift. Furthermore, we computed the *commutativity error* (defined in Aoshima & Matsubara (2023)) for our method, GANSpace, and LatentCLR over all directions. As expected, all three methods are *commutative* because they all apply linear transformations on the latent vectors. In the end, to better see the efficiency of our directions over other methods, we encourage the readers to make subjective comparisons with different random vectors using our GitHub demo page.

5.5 ABLATION STUDY

We did an ablation study on the effect of different SFVQ’s bitrates (from 2 to 12 bit) on the interpretations of StyleGAN2 models on FFHQ, AFHQ, and LSUN Cars datasets. Regarding *universal interpretation*, for all bitrates, we observe the inherent structure in SFVQ’s subsequent codebook vectors sharing similar generative factors such as rotation, background, and accessories for FFHQ. When increasing the bitrate, we see more diversity in the images (e.g. more identities for FFHQ) because we model the latent space with more clusters (or codebook vectors). We provided images corresponding to SFVQ codebooks from 2 to 8 bit in Appendix A.5.

Regarding *interpretable directions*, a higher SFVQ bitrate allows the curve to get more turned and twisted in the latent space, increasing the chance of spotting more detailed or intricate directions. Based on our investigations, the directions that alter images more structurally can be found from lower bitrates and vice versa. For example, for StyleGAN2-FFHQ, we found *rotation*, *gender* and *age* directions from 2, 5, and 6 bit SFVQ, respectively. On the other hand, we detected the directions that cause a partial change on the face such as *smile*, *hair color*, *makeup*, *race*, and *bald* from 12 bit SFVQ.

5.6 JOINT INTERPRETABLE DIRECTIONS

By observing images of the SFVQ’s curve (fig. 4(a)) to find interpretable directions, we can also discover joint interpretable directions from subsequent codebook vectors that differ in multiple attributes. By *joint*, we mean to change, for example, *rotation* and *gender* attributes simultaneously. To the authors’ knowledge, this is the first time such joint directions are extracted from the latent space. In fact, joint directions are the directions in which multiple attributes are entangled. Supervised methods cannot find joint directions because they use pretrained networks or labeled data with respect to only one attribute. Furthermore, finding joint directions will be laborious for the unsupervised methods because 1) their training strategy was not designed for this task, 2) they have to blindly search over all K found directions and hope to find the direction to change their desir-

Table 1: Quantitative evaluation of interpretable directions for our method, GANSpace, and LatentCLR. Values in a row show the L1-normalized correlation of each direction to all attributes.

Direction	Method	Gender	Age	Smile	Race	Yaw	Pitch	Roll
Gender	GANSpace	0.63	0.12	0.047	0.13	0.0093	0.05	0.0074
	SFVQ (Ours)	0.87	0.0027	0.037	0.039	0.011	0.031	0.0052
Age	LatentCLR	0.31	0.38	0.034	0.24	0.014	0.0049	0.0067
	SFVQ (Ours)	0.11	0.37	0.14	0.018	0.09	0.24	0.057
Smile	LatentCLR	0.15	0.15	0.17	0.1	0.052	0.32	0.056
	GANSpace	0.047	0.53	0.0052	0.36	0.0005	0.057	0.0008
SFVQ (Ours)		0.31	0.078	0.4	0.077	0.022	0.052	0.061
	Race	SFVQ (Ours)	0.037	0.07	0.33	0.52	0.0015	0.031
Rotation	LatentCLR	0.12	0.051	0.11	0.0027	0.67	0.032	0.01
	GANSpace	0.11	0.037	0.0023	0.022	0.76	0.063	0.0032
	SFVQ (Ours)	0.084	0.013	0.16	0.021	0.58	0.07	0.072
Bald	LatentCLR	0.25	0.083	0.17	0.16	0.032	0.23	0.073
	SFVQ (Ours)	0.47	0.14	0.12	0.019	0.059	0.16	0.027
HairColor	LatentCLR	0.33	0.099	0.27	0.22	0.0009	0.03	0.046
	GANSpace	0.006	0.084	0.47	0.4	0.012	0.014	0.0083
	SFVQ (Ours)	0.11	0.015	0.47	0.38	0.0022	0.012	0.019

Table 2: Identity preservation scores of interpretable directions for our method, GANSpace, and LatentCLR. Each row shows the identity scores for a direction over different shifts (σ).

Direction	Method	1-4 σ	5-8 σ	9-12 σ	13-16 σ	17-20 σ
Gender	GANSpace	0.85	0.58	0.39	0.22	0.078
	SFVQ (Ours)	0.93	0.76	0.61	0.47	0.35
Age	LatentCLR	0.93	0.73	0.53	0.39	0.29
	SFVQ (Ours)	0.94	0.76	0.56	0.39	0.25
Smile	LatentCLR	0.95	0.8	0.63	0.47	0.32
	GANSpace	0.94	0.76	0.57	0.41	0.29
	SFVQ (Ours)	0.96	0.85	0.72	0.59	0.48
Race	SFVQ (Ours)	0.98	0.88	0.74	0.6	0.48
Rotation	LatentCLR	0.98	0.92	0.85	0.79	0.75
	GANSpace	0.93	0.77	0.62	0.51	0.42
	SFVQ (Ours)	0.93	0.76	0.61	0.49	0.4
Bald	LatentCLR	0.96	0.86	0.73	0.61	0.51
	SFVQ (Ours)	0.96	0.84	0.7	0.55	0.41
HairColor	LatentCLR	0.96	0.81	0.63	0.47	0.36
	GANSpace	0.98	0.88	0.75	0.62	0.51
	SFVQ (Ours)	0.99	0.97	0.94	0.89	0.83



Figure 8: Generated images from 20 equally-spaced points on the line connecting two neighboring codebook vectors of VQ and two subsequent codebook vectors of SFVQ trained on the \mathcal{W} space of StyleGAN2 pretrained on FFHQ dataset.

able joint attributes, for example the methods of Härkönen et al. (2020) and Shen & Zhou (2021). However, prior knowledge of potential directions achieved by observing the SFVQ’s curve helps finding the desirable joint directions quickly. Figure 7 demonstrates some joint directions found by our proposed method. Note that the joint directions are not limited to these, as users can discover their desired directions by their own inspections.

5.7 CONTROLLABLE DATA AUGMENTATION

According to the training objective of SFVQ to map input vectors on the line connecting subsequent codebook vectors, SFVQ has the property that its lines are mainly located inside the distribution’s space. This property is desirable for controllable data augmentation because we have many meaningful points (located on SFVQ’s curve) available to generate valid images. By looking at images corresponding to SFVQ’s curve in fig. 4(a), we have an idea of the possible generations from each part of the curve. For instance, to generate baby-aged faces we select 20 equally-spaced points on the line connecting codebook vectors of indices 6 to 7 in fig. 4(a), and we plot the generations corresponding to these 20 points in the middle row of fig. 8. In a similar way, we take 20 equally-spaced points on the line connecting two subsequent codebook vectors of 15 and 16 in fig. 4(a) and generate their corresponding images in the bottom row of fig. 8. We observe that all 20 generations contain the *hat* accessory for a male person.

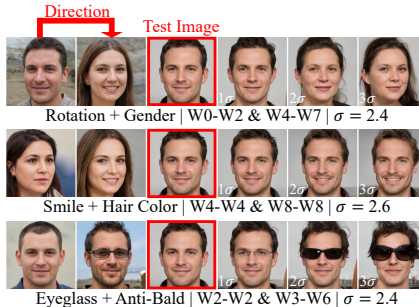


Figure 7: Some examples of SFVQ joint interpretable directions.

We also take the line connecting two neighboring codebook vectors (under Euclidean distance) of a 5 bit VQ and plot similar generations in the top row of fig. 8. To have more diverse generations, for all generations in fig. 8, we added normal noise ($\mathcal{N}(0, 0.3)$) to the selected points. As expected, all generations of SFVQ consistently follow the properties of their corner points, such that they are all faces of babies or males wearing hats. However, the generations for two neighboring codebook vectors of VQ do not follow any specific rule as we observe changes in gender, age, and race among them. Thus, here, by *controllable*, we mean that the users have control over what type of images with specific characteristics they intend to generate.

6 CONCLUSIONS

Generative adversarial networks (GANs) are well-known image synthesis models widely used to generate high-quality images. However, there is still not sufficient control over generations in GANs because their latent spaces act as a black box and are thus hard to interpret. In this paper, we used the unsupervised space-filling vector quantizer (SFVQ) technique to get a universal interpretation of the latent distribution of GANs and to find their interpretable directions. Our experiments showed that the SFVQ can capture the underlying morphological structure of the latent space and discover better and more consistent interpretable directions compared to GANSpace and LatentCLR methods. SFVQ gives the user proper control for generating images and manipulating them and reduces the search effort for finding the desired direction of a change. SFVQ is a generic tool for modeling distributions that is neither restricted to any specific neural network architecture nor any data type (e.g. image, video, speech, etc.).

7 REPRODUCIBILITY STATEMENT

In our GitHub repository, we uploaded the PyTorch code of the space-filling vector quantization (SFVQ) and an example of how to train it on a sample Gaussian distribution. In addition, we put some other Python codes that give instructions on how to track the training logs manually and make sure that SFVQ is getting trained correctly, how to initialize the codebook vectors for SFVQ, and how to expand the SFVQ’s codebook at each recursion step. We also shared the discovered interpretable directions of Figure 5 and Figure 7 along with a code that enables the user to apply and experiment with these directions. Furthermore, we uploaded the learned SFVQ’s codebook vectors for different datasets over different bitrates (ranging from 2 to 12 bit) for StyleGAN2 and BigGAN models together with a code to plot the corresponding images from these codebooks. We also put the code for controllable data augmentation (discussed in Section 5.7). In the `README.md` file, we mentioned all relevant details to make our proposed method reproducible.

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A APPENDIX

A.1 STYLEGAN2: UNIVERSAL INTERPRETATION (CONTINUATION)

Similar to what was discussed in Section 5.1 of the paper, we apply the SFVQ to capture a universal morphology of the latent space, and we expect that subsequent codebook vectors in SFVQ refer to similar images. Hence, we applied a 6 bit SFVQ on the \mathcal{W} space of the StyleGAN2 model pretrained on the AFHQ dataset. Images corresponding to the SFVQ’s codebook vectors are represented in fig. 9. We can see that similar animal species are generally located next to each other. In addition, there are some other similarities among neighboring codebook vectors, such as change in rotation (from right to left) when moving from index 0 to index 10, change in rotation (from left to right) when moving from index 26 to index 34, light-colored animals for indices 22-25, bi-colored animals for indices 26-29, and baby-aged cats for indices 61-62.



Figure 9: Codebook of a 6 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on AFHQ.

A.2 CLASS-AGNOSTIC DIRECTIONS FOR STYLEGAN2 PRETRAINED ON AFHQ DATASET

According to what was discussed in Section 5.2 of the paper, in this section we aim to test whether and how the discovered direction of *Bicolor* (in fig. 5 of the paper) is class-agnostic across different AFHQ animal classes. To this end, we applied this direction to all existing animal species in the AFHQ dataset and represented the results in fig. 10. We observe that this direction works well for *Cat* and *Dog* classes because there exists enough data (i.e. cats and dogs with bicolored faces) within the AFHQ dataset. Therefore, the learned latent space supports this transformation. In addition, this transformation works more or less for *Wolf* class, since *Wolf* looks like *Siberian husky* (which exists in AFHQ dataset), and this transformation leads the *Wolf* class to become similar to a *Siberian husky*. However, the *Bicolor* direction does not work for other animal classes of *Fox*, *Leopard*, *Cheetah*, *Tiger*, and *Lion*. The reason is that the learned latent space is constrained by dataset bias of individual classes (Jahani et al., 2019). In other words, the learned latent space does not support this transformation for them since there is no image with a bicolored face from these animal classes within the AFHQ dataset. The σ value determines the magnitude of the step we take toward the *Bicolor* direction. To make sure whether this direction works for these five animal classes, we used a larger σ value (bigger steps) for them. We observe that even with larger steps, not only there is no meaningful transformation effect of the desired direction, but also, in the very last step (3σ), the images turn to become unrealistic by having some artifacts.

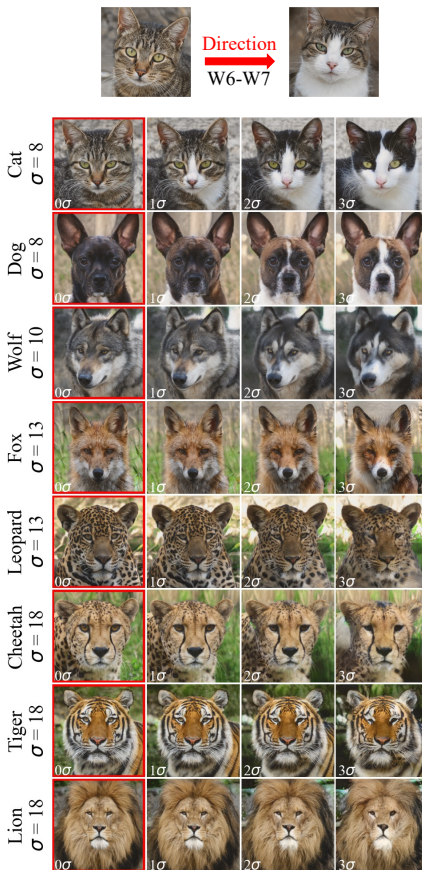


Figure 10: Applying *Bicolor* direction to different animal species of AFHQ.

A.3 CLASS-AGNOSTIC DIRECTIONS FOR BIGGAN PRETRAINED ON IMAGENET DATASET

As discussed in Section 5.3 of the paper, we found that the discovered directions by SFVQ (in $p(z)$ space of BigGAN) for the *golden retriever* class are class-agnostic. It means that the detected directions also work when applied to other data classes within the ImageNet dataset. To confirm this, we applied all five directions found for the *golden retriever* (in fig. 5 of the paper) on the *husky* class, and we illustrated the results in fig. 11. The image in the middle column (in red square) is the initial test image to which we apply the directions, such that we step along both sides of a direction. According to the figure, all five directions are valid for *husky* class, resulting in meaningful and expected transformations.

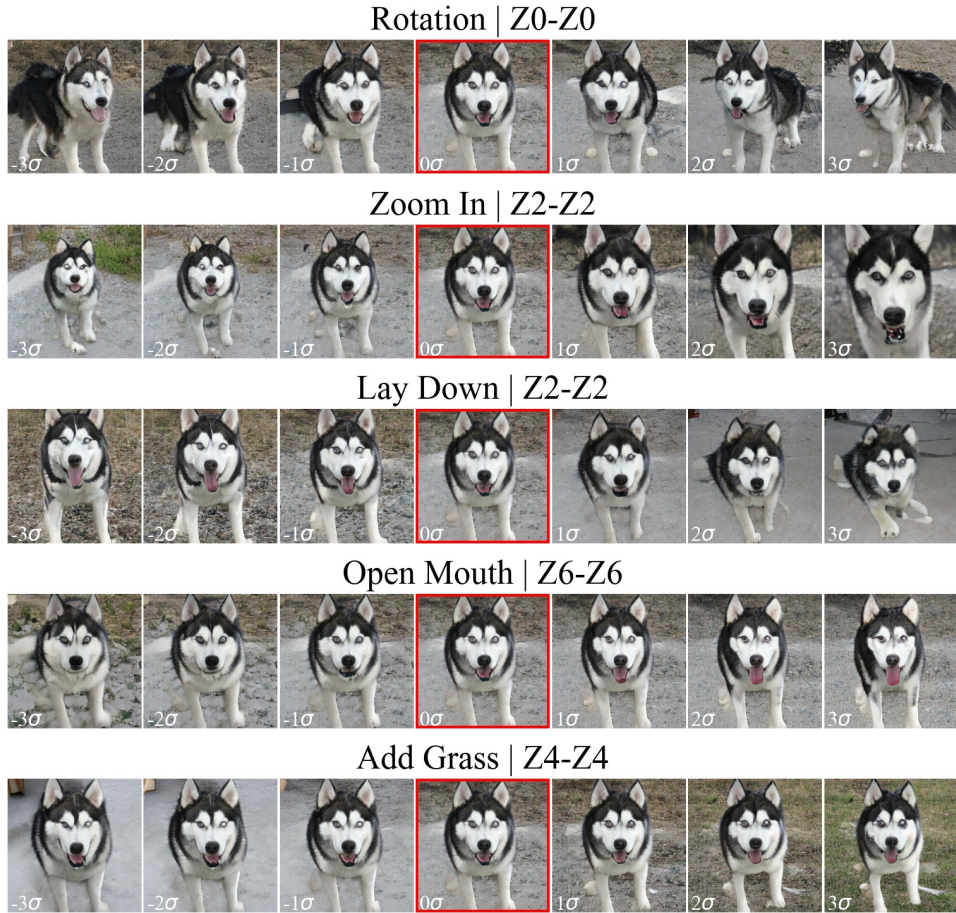


Figure 11: Class-agnostic directions; applying five SFVQ’s discovered directions for the *golden retriever* class on the *husky* class using BigGAN pretrained on ImageNet.

A.4 SUBSIDIARY STUDY: TRAVELING SALESMAN PROBLEM

Space-filling vector quantization (SFVQ) has some parallels with the classic *traveling salesman* problem (TSP) (Flood, 1956) in bringing order to a set of codebook vectors. One could ask whether we can achieve a better codebook arrangement than SFVQ by applying an ordinary vector quantization (VQ) as usual and, afterward, use one of the *traveling salesman* solutions to reorganize VQ codebook vectors. The scenario of TSP is that we have a list of cities (codebook vectors) and the distances between them, then we aim to discover the shortest possible route to visit each city only once. TSP is an NP-hard problem to solve. We can interpret these cities as the codebook vectors of a VQ. If we learn an 8 bit VQ as usual and intend to rearrange the codebook vectors to achieve the shortest route, then there are $256!$ possible permutations for rearrangement. This is an astronomically large number (8.5×10^{506}). It is thus practically infeasible to do an exhaustive search for all possible permutations in most relatively high bitrate cases of VQ. Hence, it is recommended to use heuristic TSP solvers that have lower computational complexity such as nearest neighbor (Johnson & McGeoch, 1997), greedy (Johnson & McGeoch, 1997), Christofides (Christofides, 1976), and etc.

To compare the performance of TSP heuristic solutions with the SFVQ, we examine their ability to model three sparse sample distributions of *circles*, *moons*, and *spiral* in 3D space. We chose the distributions to be sparse because it makes the task more challenging. We trained ordinary VQ and SFVQ with 9 bit (with identical initialization and hyper-parameter settings). After training the VQ, we rearranged its codebook vectors using the nearest neighbor (NN) and Christofides TSP heuristic solvers. Figure 12 demonstrates the results such that the order in the space-filling line is shown with color coding (light to dark color = first to last codebook vector) for both methods of VQ+TSP

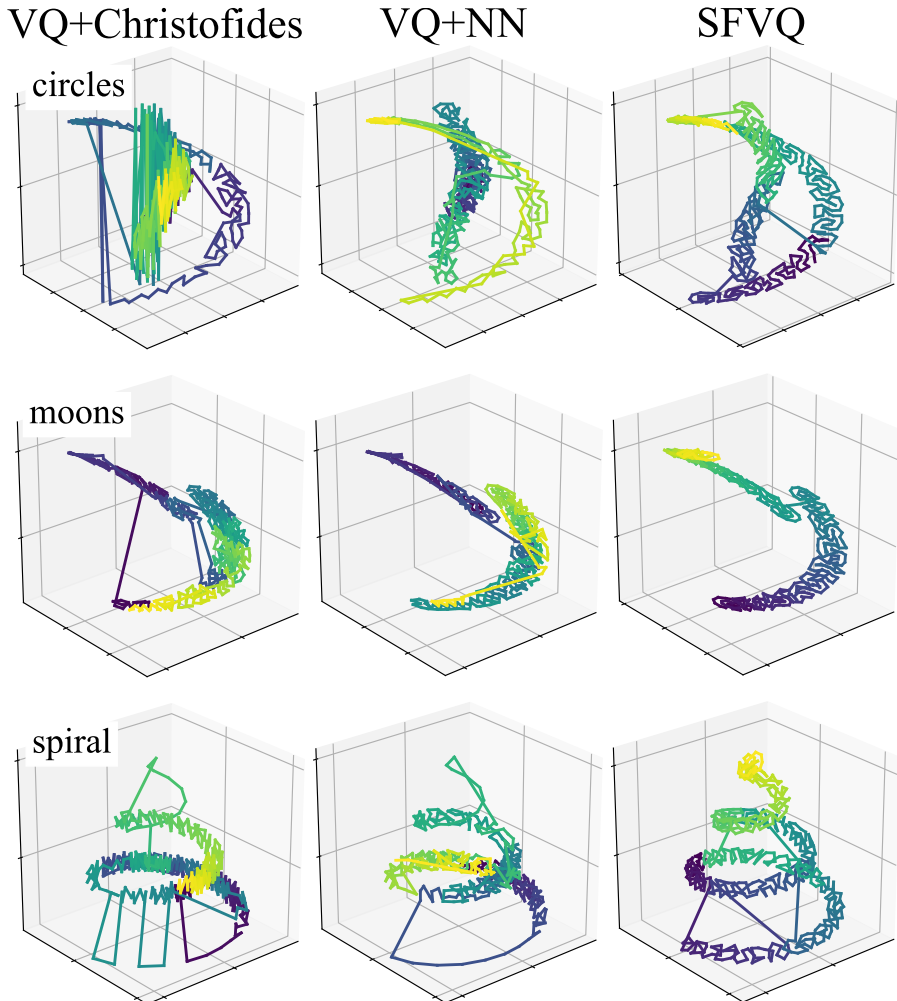


Figure 12: Comparison of the codebook arrangement property of SFVQ with ordinary VQ post-processed by *traveling salesman* heuristic solvers over three sparse distributions.

and SFVQ. Since the training objective of SFVQ is different from VQ, SFVQ locates the codebook vectors such that the line connecting the subsequent codebook vectors desires mainly to fill up the distribution space and as a result, the line ends up landing inside the distribution space. To affirm this fact, compare the upper and lower parts of *spiral* dataset arranged by VQ+Christofides and VQ+NN methods. VQ locates fewer codebook vectors for these two parts of the *spiral* data, and thus we observe a narrow line that does not fill the distribution’s space appropriately. Furthermore, we notice more unfavorable jumps (lines outside the distribution or lines breaking the arrangement) for VQ+TSP methods than the SFVQ due to their improper codebook arrangement. Therefore, we generally observe that the SFVQ achieves a much better codebook arrangement than VQ+TSP for all three distributions.

A.5 LEARNED SFVQ CODEBOOKS ON STYLEGAN2-FFHQ

As mentioned in Section 5.5 of the paper, here we provided the learned SFVQ curves trained on the \mathcal{W} space of pretrained StyleGAN2 on the FFHQ dataset. Figure 13 to Figure 19 demonstrate the generated images from learned SFVQ codebooks with the bitrates from 2 to 8 bit. We also provided similar figures for bitrates of 9 to 12 bit in our GitHub repository. The learned SFVQ codebooks and their corresponding generated images for pretrained StyleGAN2 on the FFHQ, AFHQ, and LSUN Cars for the bitrates ranging from 2 to 12 bit are available in our GitHub repository.



Figure 13: Codebook of a 2 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.



Figure 14: Codebook of a 3 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.



Figure 15: Codebook of a 4 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.



Figure 16: Codebook of a 5 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.

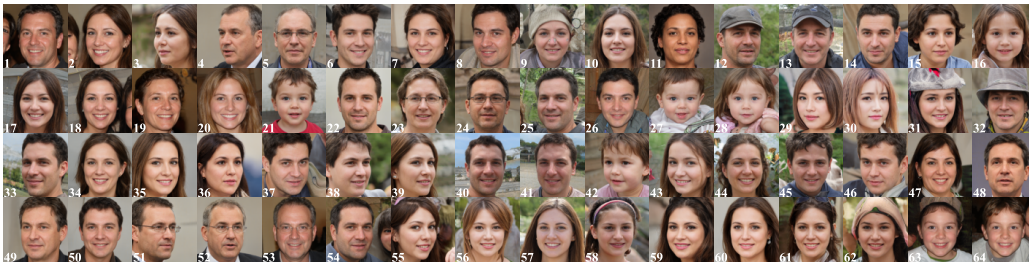


Figure 17: Codebook of a 6 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.



Figure 18: Codebook of a 7 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.



Figure 19: Codebook of a 8 bit SFVQ trained on \mathcal{W} space of StyleGAN2 pretrained on FFHQ.