# KiRAG: Knowledge-Driven Iterative Retriever for Enhancing Retrieval-Augmented Generation

#### Anonymous ACL submission

#### Abstract

Iterative retrieval-augmented generation(iRAG) models offer an effective approach for multihop question answering (QA). However, their retrieval process faces two key challenges: (1) it can be disrupted by irrelevant documents or factually inaccurate chain-of-thoughts; (2) their retrievers are not designed to dynamically adapt to the evolving information needs in multi-step reasoning, making it difficult to identify and retrieve the missing information required at 011 each iterative step. Therefore, we propose Ki- $\mathbf{RAG}^{1}$ , which uses a knowledge-driven iterative retriever model to enhance the retrieval process of iRAG. Specifically, KiRAG decom-015 poses documents into knowledge triples and performs iterative retrieval with these triples to enable a factually reliable retrieval process. Moreover, KiRAG integrates reasoning into the retrieval process to dynamically identify and retrieve knowledge that bridges information gaps, effectively adapting to the evolving informa-021 tion needs. Empirical results show that KiRAG significantly outperforms existing iRAG models, with an average improvement of 9.40% in R@3 and 5.14% in F1 on multi-hop QA.

# 1 Introduction

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Retrieval-augmented generation (RAG) models have demonstrated superior performance in question answering (QA) tasks (Lewis et al., 2020; Ram et al., 2023; Lin et al., 2024). While standard RAG models excel at single-hop questions, they often struggle with multi-hop questions (Trivedi et al., 2023), which require reasoning over multiple interconnected pieces of information to derive correct answers. The key limitation is that their singlestep retrieval process often fails to retrieve all the relevant information needed to answer multi-hop questions (Shao et al., 2023), leading to knowledge gaps in the reasoning process. To address this limitation, iterative RAG (iRAG) models have been

<sup>1</sup>Code: https://anonymous.4open.science/r/kirag

Question	According to the 2001 census, what was the population of the city in which Kirton End is located?							
KiRAG (Ours)	Step 1:       Kirton End       is a hamlet in the civil parish of         Kirton in the       Boston district of Lincolnshire, England         Step 2:       Boston       is a town and small port in Lincolnshire,         on the east coast of England       while the town itself       had a         population of 35,124 at the 2001 census.							
IRCoT	Step 1: Kirton End is a hamlet in the civil parish of         Kirton in the Boston district of Lincolnshire, England         Step 2: Kirton is a village in Nottinghamshire, England         According to the United Kingdom Census 2001 it had a         population of 273, reducing to 261 at the 2011 census.							
IRDoc	Step 1:       Kirton End       is a hamlet in the civil parish of         Kirton in the       Boston district of Lincolnshire, England         Step 2:       Ollerton       is a small town in Nottinghamshire         The population of this parish at the 2011 census was 9,840.							
88 88 80 0 0 72 64	IRDoc IRCoT KiRAG Iment Retrieval 70 Multi-Hop QA 60 8550 140 30							

Figure 1: *(top)* Example of top-ranked documents at each step, with relevant content marked in blue and distracting content in orange. We compare KiRAG with IRCoT (Trivedi et al., 2023) and its variant IRDoc, where we replace generated thoughts with top-ranked documents. *(Bottom)* The corresponding retrieval and QA performance on HotPotQA and 2Wiki datasets.

2Wiki

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HotPotQA

2Wiki

proposed (Trivedi et al., 2023; Asai et al., 2024; Su et al., 2024; Yao et al., 2024). These models employ multiple steps of retrieval and reasoning to iteratively gather the necessary information for addressing multi-hop questions.

Despite the effectiveness of existing iRAG models, their *retrieval process* faces two key challenges: (1) These models perform iterative retrieval by iteratively augmenting the query with either previously retrieved documents (Zhao et al., 2021) or generated chain-of-thoughts (Trivedi et al., 2023). However, retrieved documents often include noise or irrelevant information (Yoran et al., 2024), while generated chain-of-thoughts can contain factually inaccurate content (Wang et al., 2023; Luo et al., 2024). The propagation of these distracting contexts can degrade retrieval quality and ultimately

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multi-hop question requires multi-step reasoning, where the information needed to derive the correct answer evolves with each iteration. For example, to answer the question in Figure 1, the first iterative step requires retrieving the location of Kirton End (Boston). Once this information is obtained, the next step shifts to retrieving Boston's population in 2001, demonstrating how the information needed to answer a multi-hop question evolves with each iteration. However, existing iRAG models often rely on off-the-shelf retrieval models that retrieve information based on semantic similarity. These retrievers are not designed to dynamically adapt to the evolving information needs in multi-step reasoning, making it difficult to identify and retrieve the missing pieces of information needed at each iteration, thereby hindering the overall retrieval effectiveness. Figure 1 illustrates these two key challenges, highlighting the necessity of developing a retrieval approach that can mitigate the impact of irrelevant documents or inaccurate thoughts, and dynamically adapt to evolving information needs.

hinder overall RAG performance. (2) Answering a

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To this end, we propose KiRAG, which leverages a Knowledge-driven iterative retriever model to enhance the retrieval process of iRAG models. Specifically, to address the challenge of irrelevant documents and inaccurate thoughts, inspired by prior works (Fang et al., 2024a,b) that use knowledge triples for enhanced reasoning, KiRAG decomposes documents into knowledge triples, formatted as (*head entity, relation, tail entity*), and performs iterative retrieval with these triples. By leveraging knowledge triples, which are compact and grounded in documents, KiRAG enables a more focused and factually reliable retrieval process.

Moreover, to address the challenge of evolving information needs, KiRAG employs a knowledgedriven iterative retrieval framework to retrieve relevant knowledge triples from the corpus systematically. This framework integrates reasoning into *retrieval process*, enabling the system to identify and retrieve knowledge that bridges information gaps dynamically. Specifically, the iterative retrieval process incrementally builds a knowledge *triple-based reasoning chain*, such as "*Kirton End;* location; Boston, Boston; population in 2001 census; 35,124, by retrieving triples step-by-step. At each iteration, given the current step reasoning chain, e.g., "(Kirton End; location; Boston)", Ki-RAG dynamically identifies and retrieves the missing knowledge triples needed to coherently extend

the chain towards answering the question. This targeted approach can effectively guide the retrieval process in acquiring multiple interconnected pieces of information needed for addressing a question.

We evaluate KiRAG on five multi-hop and one single-hop QA datasets. KiRAG outperforms existing iRAG models, achieving average improvements of 9.40% in R@3 and 7.59% in R@5 on multi-hop QA, which lead to an improvement of 5.14% in F1. Despite that KiRAG is designed for multi-hop QA, it achieves comparable retrieval and QA performance with state-of-the-art baseline on the single-hop QA dataset, demonstrating its effectiveness across different types of questions.

Our contributions can be summarised as follows: (1) We propose KiRAG, which performs iterative retrieval with knowledge triples to enhance the retrieval process of iRAG models; (2) KiRAG uses a knowledge-driven iterative retrieval framework to dynamically adapt the retrieval process to the evolving information needs in multi-step reasoning; (3) Empirical results show that KiRAG achieves superior performance on multi-hop QA.

# **2** Problem Formulation

Our approach builds on the iRAG process. Given a question q and its answer a, iRAG is formalised as:

$$p_{\theta,\phi}(a|q,\mathcal{C}) \sim p_{\phi}(a|q,\mathcal{D}_q)p_{\theta}(\mathcal{D}_q|q,\mathcal{C}),$$
 (1)

$$p_{\theta}(\mathcal{D}_q|q, \mathcal{C}) \sim \prod_{i=1}^{L} p_{\theta}(\mathcal{D}_q^i|q, \mathcal{D}_q^{< i}),$$
 (2)

where  $p_{\theta}$  denotes the *retriever model* that iteratively retrieves documents  $\mathcal{D}_q = \{\mathcal{D}_q^i\}_{i=1}^L$  from a corpus  $\mathcal{C}$ and  $p_{\phi}$  is the *reader model*. At the *i*-th iteration, the retriever model retrieves documents  $\mathcal{D}_a^i$  based on question q and previously retrieved documents  $\mathcal{D}_q^{\leq i}$ . In this paper, we primarily focus on enhancing the *retriever model*,  $p_{\theta}$ , to effectively retrieve relevant documents from the corpus. To evaluate the effectiveness of our approach, we focus on multi-hop QA, a standard type of benchmark for assessing iRAG systems (Gao et al., 2023).

#### 3 **KiRAG**

This section begins with an overview of KiRAG in §3.1. Next, we present a detailed explanation of each component from §3.2 to §3.3. Finally, the training strategy is introduced in §3.4.

# 3.1 Overview

Figure 2 provides an overview of our approach. Ki-RAG uses a knowledge-driven iterative retrieval

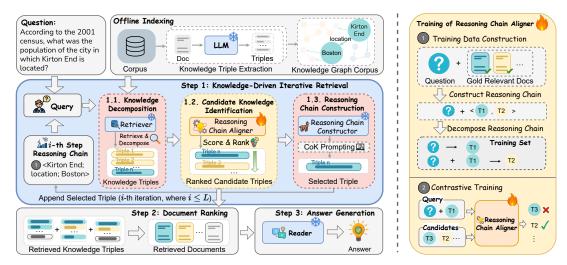


Figure 2: (*left*) Overview of KiRAG. Given a question, it employs a knowledge-driven iterative retrieval process (**Step 1**) to retrieve relevant knowledge triples, including three iterative steps: knowledge decomposition, candidate knowledge identification and reasoning chain construction. The retrieved triples are used to rank documents (**Step 2**), which are passed to the reader for answer generation (**Step 3**). (*right*) Training strategy for the Reasoning Chain Aligner, designed to optimise the identification of relevant knowledge triples at each step of the retrieval process.

framework to systematically retrieve a comprehensive set of relevant knowledge triples  $\mathcal{T}_q$  (see §3.2). Next, it leverages the retrieved knowledge triples  $\mathcal{T}_q$  to identify and rank documents based on their relevance to the question (see §3.3). Therefore, the retriever model of KiRAG can be formulated as:

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$$p_{\theta}(\mathcal{D}_q|q, \mathcal{C}) \sim p_{\theta}(\mathcal{D}_q|q, \mathcal{T}_q) \prod_{i=1}^{L} p_{\theta}(\mathcal{T}_q^i|q, \mathcal{T}_q^{< i}, \mathcal{C}), \quad (3)$$

where  $\mathcal{T}_q^i$  is the set of knowledge triples retrieved at the *i*-th iteration,  $\mathcal{T}_q^{<i}$  represents all previously retrieved triples and *L* is the maximum number of iterations. Once we obtain the retrieved documents  $\mathcal{D}_q$ , KiRAG employs an LLM-based reader model  $q_\phi$  to generate the answer to the question.

#### 3.2 Knowledge-Driven Iterative Retrieval

KiRAG retrieves relevant knowledge triples from 171 172 the corpus by progressively building a *knowledge* triple-based reasoning chain, i.e., a sequence of 173 174 logically connected knowledge triples that support answering a given question. For instance, the chain (Kirton End; location; Boston), (Boston; popula-176 tion in 2001 census; 35,124 provides relevant 177 knowledge for answering the question in Figure 2. 178 The reasoning chain is built iteratively by select-179 ing triples step-by-step. At the *i*-th iteration, given the *i*-th step reasoning chain, which is a sequence 181 of triples obtained up to the *i*-th iteration, such 182 as *(Kirton End; location; Boston)*, the framework retrieves and selects the next triple to extend the reasoning chain through the following three steps: 185

186 Knowledge Decomposition. To enable a factually
187 reliable retrieval process, KiRAG decomposes doc-

uments into knowledge triples. At the *i*-th iteration, the query  $q_i$  is formed by concatenating the question with the *i*-th step reasoning chain in the format "*question*]. *knowledge triples: {triple1*}...". Ki-RAG employs an off-the-shelf *Retriever* model to retrieve  $K_0^2$  documents from the corpus, providing an initial pool of information for extracting relevant knowledge (see Step 1.1 in Figure 2).

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Building on recent advancements in extracting knowledge triples using LLMs (Edge et al., 2024; Fang et al., 2024b), we employ in-context learning to prompt an LLM to extract knowledge triples for each retrieved document independently. Since the extraction process is query-independent, triples can be precomputed offline for all documents in the corpus<sup>3</sup>. This enables the construction of a *knowledge graph (KG) corpus*, effectively improving retrieval efficiency<sup>4</sup>. The prompt used for extracting knowledge triples is provided in Appendix A.1, where the LLM is instructed to extract all knowledge triples contained within a document in a single pass. We denote the set of knowledge triples extracted from all the retrieved documents at step *i* as  $\tilde{T}^i$ .

**Candidate Knowledge Identification**. To adapt the retrieval process to evolving information needs, KiRAG retrieves a subset of candidate knowledge triples, i.e.,  $\mathcal{T}_q^i$ , from all the extracted triples that are most likely to address the information gaps in the *i*-th step reasoning chain. These candidate

<sup>4</sup>Efficiency analysis of KiRAG is in Appendix C.8.

<sup>&</sup>lt;sup>2</sup>We provide analysis of the effect of  $K_0$  in Appendix C.6. <sup>3</sup>The knowledge triples for retrieved documents can be obtained using the document IDs during the retrieval process.

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triples are selected based on their relevance to the question and their potential to form a coherent reasoning process with the *i*-th step reasoning chain.

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To achieve this, we propose a *Reasoning Chain* Aligner, which is designed to identify candidate triples that advance the reasoning process (see Step 1.2 in Figure 2). We instantiate the Aligner as a bi-encoder model. At the *i*-th iteration, the Aligner encodes the query  $q_i$ , comprising the question and the i-th step reasoning chain, and each triple t in  $\mathcal{T}^i$  independently into a shared space. The score of each triple for addressing the information gaps in the *i*-th step reasoning chain is computed by taking the inner-product of the query and triple embeddings:  $s_{\theta}(q_i, t) = f_{\theta}(q_i)^{\top} f_{\theta}(t), \ \forall t \in \mathcal{T}^i,$ where  $f_{\theta}(\cdot)$  denotes the embedding function parameterised by  $\theta$ . The top- $N^5$  triples with the highest scores are selected as candidate triples to extend the *i*-th step reasoning chain, i.e.,  $\mathcal{T}_{q}^{i}$ . The reasoning chain Aligner is trained to retrieve triples that contribute to building a coherent reasoning chain. Details of the training process are provided in  $\S3.4$ .

**Reasoning Chain Construction**. Given the candidate triples  $\mathcal{T}_q^i$  from the Aligner at the *i*-th iteration, KiRAG employs an LLM-based *Reasoning Chain Constructor* to select a single triple from the candidates to extend the *i*-th step reasoning chain (see Step 1.3 in Figure 2). Our approach is inspired by IRCoT (Trivedi et al., 2023), which iteratively generates individual sentences in a chainof-thought (CoT). However, instead of relying on potentially inaccurate CoTs, we instruct the LLM to generate a chain-of-knowledge (CoK) (Wang et al., 2024a), where free-form thoughts are replaced with document-grounded knowledge triples to ensure factual reliability.

The prompt used by the Constructor is provided in Appendix A.2. The inputs include the question, the *i*-step reasoning chain and candidate triples  $\mathcal{T}_q^i$ . The Constructor selects triples from  $\mathcal{T}_q^i$  to complete the *i*-th step reasoning chain. The first triple in the generated result is appended to the *i*-th step reasoning chain, forming a new chain that serves as input for subsequent iterations. Note that the Constructor aims to complete the whole chain, but we only take the first triple. Asking the Constructor to complete the whole chain reduces hallucination, and avoids a sub-optimal greedy approach.

The iterative process terminates when the Constructor generates a reasoning chain containing *"the answer is"* or reaches the maximum number of iterative steps *L*. The candidate knowledge triples collected during the iterative process, i.e.,  $\mathcal{T}_q = \{\mathcal{T}_q^i\}_{i=1}^L$ , along with their associated scores, are output for document retrieval and ranking.

#### 3.3 Document Ranking

Since the retrieved knowledge triples  $\mathcal{T}_q$  may lack certain contextual information, we use these triples to identify and rank their source documents, i.e.,  $p(\mathcal{D}_q|q, \mathcal{T}_q)$  in Eq. 3, to provide a more comprehensive and precise context. Specifically, the retrieved documents  $\mathcal{D}_q$  are collected by aggregating all the documents from which the triples in  $\mathcal{T}_q$  are derived. To rank these documents, we assign each document the score of its associated triple(s)  $s_{\theta}(q_i, t)$  from the iterative process. For a document associated with multiple triples, its score is determined by taking the highest one. These documents are ranked in descending order of their scores, with top-Kdocuments returned as the final retrieval results.

Given the question q and the ranked documents  $\mathcal{D}_q$ , KiRAG leverages an LLM-based reader model to directly generate the answer. The prompt used for answer generation is provided in Appendix A.3, which instructs the model to leverage the context provided by the documents to answer the question.

#### 3.4 Training Strategy

In KiRAG, the Reasoning Chain Aligner is the key component that requires training to effectively identify candidate triples for extending reasoning chain, while the other components, i.e., Retriever and Constructor, remain frozen. This section outlines the training strategy for the Aligner. Due to the lack of existing datasets specifically designed for this task, we construct a silver training dataset by adapting data from existing multi-hop QA datasets. Specifically, given a question and its ground-truth relevant documents, we construct a knowledge triple-based reasoning chain that supports answering the question. The reasoning chain and the question will serve as the labeled data for training the Aligner.

To train the Aligner, we decompose the complete reasoning chain into multiple incomplete reasoning chains and the corresponding next triples (see the right part of Figure 2). For each incomplete reasoning chain, the correct next triple is treated as the positive sample, while the other triples from the candidate set  $\tilde{T}^i$  are treated as negative samples. The aligner is trained with contrastive learning loss:

<sup>&</sup>lt;sup>5</sup>We provide analysis of the effect of N in Appendix C.7.

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$$\mathcal{L} = -\sum_{(q,r,t^+)\in\mathcal{P}} \log \frac{g_{\theta}(q_r,t^+)}{g_{\theta}(q_r,t^+) + \sum_{t^-\in\tilde{\mathcal{T}}^{|r|}} g_{\theta}(q_r,t^-)}, \quad (4)$$

where  $\mathcal{P}$  is the training set. Each data-point includes a question q, an incomplete reasoning chain r and a positive triple  $t^+$ . The query  $q_r$  is the concatenation of q and r, and the function  $g_{\theta}(q_r, t) = \exp(s_{\theta}(q_r, t))/\tau$  computes the logits, with  $\tau$  being the temperature. Further details on the training data and training process are provided in Appendix B.3.

# 4 Experiments

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#### 4.1 Experimental Setup

Datasets. We conduct experiments on five multihop QA datasets: HotPotQA (Yang et al., 2018), 2WikiMultiHopQA (2Wiki) (Ho et al., 2020), MuSiQue (Trivedi et al., 2022), Bamboogle (Press et al., 2023) and WebQuestions (WebQA) (Berant et al., 2013). We also use a single-hop QA dataset: Natural Questions (NQ) (Kwiatkowski et al., 2019). We report the performance on the *full* test sets of these datasets. For datasets with nonpulic test sets (HotPotQA, 2Wiki and MuSiQue), we use their development sets as test sets and report corresponding results. Detailed statistics and corpus information are provided in Appendix B.1.

Baselines. Since KiRAG aims to improve the retrieval performance of iRAG models, we primarily 341 compare it with iRAG models. We compare Ki-RAG with models from the following categories: 343 (1) Standard RAG model; (2) iRAG models, such as IRCoT (Trivedi et al., 2023), FLARE (Jiang 345 et al., 2023b), and DRAGIN (Su et al., 2024); (3) Enhanced retrieval models, which improve the re-347 trieval performance by using feedback from earlier retrieval steps, such as BeamDR (Zhao et al., 2021) and Vector-PRF (Li et al., 2023). Moreover, to evaluate the effectiveness of using knowledge triples for iterative retrieval, we introduce two variants: KiRAG-Doc and KiRAG-Sent, where the triples are 353 replaced with documents and sentences, respectively. Both variants follow the same procedure as KiRAG to retrieve documents. More details about the baselines can be found in Appendix B.2.

Evaluation. To evaluate the retrieval performance,
we follow previous works (Trivedi et al., 2023;
Gutiérrez et al., 2024) and use R@{3, 5} as the
metrics. To evaluate the QA performance, we use
Exact Match (EM) and F1 as evaluation metrics,
which are the standard metrics for these datasets.

Model	HotP	otQA	2W	Viki	MuS	iQue
Model	R@3	R@5	R@3	R@5	R@3	R@5
RAG	65.47	70.78	60.87	65.20	41.29	46.53
Vector-PRF	65.37	70.06	60.60	64.85	40.93	45.46
BeamDR	67.07	71.89	36.07	42.08	24.17	28.18
FLARE	54.79	59.72	60.84	70.04	39.79	45.81
DRAGIN	69.95	75.85	61.30	70.43	48.67	<u>54.67</u>
IRCoT	<u>71.44</u>	<u>77.57</u>	<u>64.30</u>	75.56	45.61	52.21
KiRAG-Doc	67.80	72.20	45.85	63.07	25.86	39.49
KiRAG-Sent	54.43	69.26	43.53	59.33	31.08	43.69
KiRAG	$80.32^{\dagger}$	<b>84.08</b> <sup>†</sup>	77 <b>.</b> 76†	85.32 <sup>†</sup>	54.53 <sup>†</sup>	61.16 <sup>†</sup>

Table 1: Retrieval performance (%) on multi-hop QA datasets, with the best and second-best results marked in bold and underlined, respectively, and  $^{\dagger}$  denotes p-value<0.05 compared with best-performing baseline.

Model	HotP	otQA	2W	Viki	MuSiQue		
mouer	EM	F1	EM	F1	EM	F1	
RAG	34.54	47.35	14.78	30.48	9.10	16.98	
Vector-PRF	34.40	47.31	14.96	30.37	9.23	16.98	
BeamDR	38.34	51.64	14.42	27.25	7.08	14.42	
FLARE	35.58	47.74	26.36	41.82	13.07	21.94	
DRAGIN	41.74	55.69	25.58	40.83	16.87	26.71	
IRCoT	<u>42.38</u>	<u>56.38</u>	25.12	41.36	15.76	24.94	
KiRAG-Doc	33.87	46.43	14.37	27.54	7.49	15.34	
KiRAG-Sent	34.14	46.63	14.22	27.50	10.51	18.21	
KiRAG	<b>45.09</b> <sup>†</sup>	59.76 <sup>†</sup>	$30.72^{\dagger}$	50.57 <sup>†</sup>	19.16 <sup>†</sup>	30.00	

Table 2: QA performance (%) on multi-hop QA datasets, with the best and second-best results marked in bold and underlined, respectively.  $^{\dagger}$  denotes p-value<0.05 compared with best-performing baseline.

**Training and Implementation Details**. To train the Aligner, we use TRACE (Fang et al., 2024b), which constructs knowledge triple-based reasoning chains from a fixed set of documents, to generate ground-truth reasoning chains. The reasoning chain that leads to the correct answer is used for training. Training data is generated from the training sets of three multi-hop QA datasets: HotPotQA, 2Wiki and MuSiQue. The combined data is used to train the Aligner. The Aligner is initialised with E5 (Wang et al., 2022) and finetuned with the constructed training data.

KiRAG uses Llama3 (Dubey et al., 2024) to extract triples and serve as the Constructor to build reasoning chains. It uses frozen E5 or BGE (Xiao et al., 2024) as the Retriever. We use different readers, including Llama3, Qwen2.5 (Yang et al., 2024), Flan-T5 (Chung et al., 2024) and TRACE (Fang et al., 2024b) to generate answers. We mainly report results using E5 as the retriever and Llama3 as the reader, with additional results from other retrievers and readers provided in Appendix C.1. For fair comparison, RAG baselines employ the same retriever and reader as KiRAG. More training and implementation details are in Appendix B.3. 364

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Model	Baml	oogle	Wel	bQA	NQ	
lituti	R@3	R@5	R@3	R@5	R@3	R@5
RAG	20.80	25.60	64.91	70.32	73.07	78.56
Vector-PRF	20.60	24.80	64.86	69.54	72.82	78.03
BeamDR	12.00	15.20	41.63	50.25	33.88	42.16
FLARE	32.80	37.60	55.91	60.97	68.98	73.43
DRAGIN	<u>36.80</u>	<u>40.40</u>	65.11	70.03	68.98	73.43
IRCoT	28.00	32.80	<u>65.50</u>	<u>70.42</u>	73.38	78.59
KiRAG-Doc	20.80	27.20	62.40	68.60	68.59	74.99
KiRAG-Sent	26.40	32.00	62.16	68.06	67.48	74.13
KiRAG	<b>45.60</b> <sup>†</sup>	<b>49.60</b> †	<b>69.05</b> †	<b>73.08</b> <sup>†</sup>	72.11	77.28

Table 3: Retrieval performance (%) on unseen multihop and single-hop QA datasets, where  $^{\dagger}$  denotes pvalue<0.05 compared with best-performing baselines.

#### 4.2 **Results and Analysis**

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We present our primary results in this section. Additional results are provided in Appendix C.

(RQ1): How does KiRAG perform in multi-hop
QA compared with baselines? The retrieval and
QA<sup>6</sup> results are provided in Table 1 and Table 2,
respectively, which yield the following findings:
(1) KiRAG consistently outperforms all baselines
in retrieval performance on all datasets. Compared
to the strongest baselines, KiRAG achieves statistically significant average improvements of 9.40%
in R@3 and 7.59% in R@5, demonstrating its superior ability to enhance retrieval performance.

(2) KiRAG consistently achieves the best QA per-402 403 formance on all datasets. It significantly outperforms best-performing baselines, with average im-404 provements of 3.12% in EM and 5.14% in F1. The 405 results validate the effectiveness of KiRAG in facili-406 tating multi-hop QA through high-quality retrieval. 407 (3) Compared to KiRAG-Doc and KiRAG-Sent, 408 which perform iterative retrieval at document and 409 sentence levels, KiRAG achieves substantially 410 411 higher retrieval performance. The suboptimal performance of these variants stems from the iterative 412 retrieval process being misled by noise in docu-413 ments and sentences. In contrast, KiRAG uses 414 finer-grained knowledge triples, reducing the im-415 pact of noise and improving retrieval recall. 416

(4) Compared to IRCoT, which uses CoT for iterative retrieval, KiRAG achieves superior retrieval results, with an average improvement of 10.42% in R@3. This improvement stems from LLM's tendency to generate hallucinated CoT. By using document-grounded knowledge triples, KiRAG ensures a more reliable and faithful retrieval process.

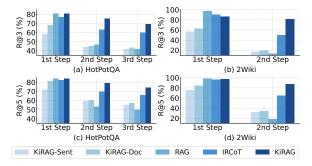


Figure 3: Retrieval performance (%) for relevant documents required across different steps, where most questions in 2Wiki have only two relevant documents.

(RQ2): Why does KiRAG improve retrieval performance for multi-hop questions? To explain why KiRAG achieves superior retrieval performance, we analyze its ability to retrieve relevant documents required at different steps of the reasoning process. For a multi-hop question, there are multiple logically ordered relevant documents. For instance, the first relevant document for the question in Figure 2 is about "Kirton End", while the second relevant document relates to "Boston". At step *i*, we only consider the document required at that specific step as relevant and compute its recall. This approach allows us to assess how well KiRAG retrieves the necessary information at each step.

The results on HotPotQA and 2Wiki are shown in Figure 3, yielding the following findings: (1) The recall of both KiRAG and baselines declines with increasing steps, highlighting the growing challenge of retrieving relevant documents for later steps in the reasoning process; (2) Compared to RAG and IRCoT, KiRAG shows comparable, and occasionally slightly lower, retrieval recall at the first step. However, it achieves substantially higher retrieval recall in subsequent steps, which contributes to its overall retrieval effectiveness. This improvement stems from KiRAG's iterative retrieval process, which dynamically adapts to evolving information needs, enabling the effective retrieval of relevant documents required at each step.

(**RQ3**): Can KiRAG effectively generalise to unseen multi-hop and single-hop QA datasets? To evaluate the generalisation ability of KiRAG, we conduct additional experiments on two multi-hop QA datasets, Bamboogle and WebQA, as well as a single-hop QA dataset, NQ, none of which were included during training. The retrieval results<sup>7</sup> are presented in Table 3, which shows that KiRAG sig-

<sup>&</sup>lt;sup>6</sup>QA performance is based on the top-3 retrieved documents. The results for the top-5 retrieved documents are provided in Appendix C.2, which demonstrate similar results.

<sup>&</sup>lt;sup>7</sup>The QA performance, presented in Table 13 of the Appendix, shows consistent results with retrieval performance.

Model	Retriever	Aligner	Aligner Constructor HotPotQA		2Wiki			MuSiQue				
	100110101	. inglivi	constructor	R@3	R@5	F1	R@3	R@5	F1	R@3	R@5	F1
KiRAG	1	✓(trained)	✓	80.32	84.08	59.76	77.76	85.32	50.57	54.53	61.16	30.00
KiRAG w/o Retriever	X	✓(trained)	<ul> <li>Image: A start of the start of</li></ul>	74.09†	78.03†	57.07†	77.29	83.65†	48.76†	53.93	60.68	27.14†
KiRAG w/o Aligner	~	X	1	73.34†	75.79†	53.47†	$67.66^{\dagger}$	70.73†	39.06†	45.60†	49.62†	$21.80^{\dagger}$
KiRAG w/o Constructor	~	✓(trained)	X	74.96†	79.51†	55.67†	$72.64^{\dagger}$	$80.89^{\dagger}$	45.69†	46.98†	$55.12^{\dagger}$	$23.71^{\dagger}$
KiRAG w/o Training	1	✓ (w/o training)	1	76.35†	$81.56^{\dagger}$	$59.03^{\dagger}$	$75.37^{\dagger}$	$82.50^{\dagger}$	$48.33^{\dagger}$	$51.33^{\dagger}$	$58.66^{\dagger}$	$28.08^{\dagger}$

Table 4: Ablation studies of KiRAG, where  $\dagger$  indicates p-value < 0.05 compared with KiRAG.

Model	Retr	ieval	QA		
	R@3	R@5	EM	F1	
E5	29.50	43.25	23.00	31.56	
KiRAG w/o Constructor	$76.50^{\dagger}$	79.25 <sup>†</sup>	$31.00^{\dagger}$	$48.22^{\dagger}$	
KiRAG	<b>84.25</b> <sup>†</sup>	86.50 <sup>†</sup>	<b>39.00</b> †	53.63 <sup>†</sup>	

Table 5: Performance in retrieving relevant knowledge triples for the 100 manually labeled questions on 2Wiki, where  $^{\dagger}$  denotes p-value<0.05 compared with E5.

nificantly outperforms all baselines on two multihop QA datasets, and demonstrates comparable retrieval performance to IRCoT, the best-performing baseline, on the single-hop QA dataset NQ. These findings highlight the strong generalisation ability of KiRAG in handling diverse QA tasks.

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(RQ4): What are the effects of each component and the training strategy in KiRAG? To evaluate the impact of the Retriever, we introduce *Ki*-*RAG w/o Retriever*, where the retriever is removed and candidate triples are directly retrieved from the knowledge graph corpus using the Reasoning Chain Aligner. Table 4 shows that removing the Retriever leads to a significant performance drop on HotPotQA while maintaining comparable performance on 2Wiki and MuSiQue. This demonstrates the Aligner's effectiveness in identifying relevant knowledge triples but highlights the limitations of relying solely on the knowledge graph, which may loss contextual information present in documents.

To assess the impact of the Aligner, we introduce *KiRAG w/o Aligner*, where the Aligner is removed and all knowledge triples from the retrieved documents are passed to the Reasoning Chain Constructor. Table 4 shows that *KiRAG w/o Aligner* suffers an average decrease of 8.67% in R@3 and 11.47% in R@5 compared to KiRAG. This decline is due to the absence of filtering or ranking by the Aligner, resulting in noisy and irrelevant triples that hinder the Reasoning Chain Constructor's ability to build coherent reasoning chains, which is essential for guiding the iterative retrieval process effectively.

Moreover, to evaluate the impact of the Constructor, we introduce *KiRAG w/o Constructor*, which constructs reasoning chain using only the top-ranked triple identified by the Aligner. Table 4

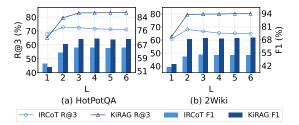


Figure 4: The effect of the number of iterative steps L.

indicates that removing the Constructor leads to significantly inferior performance, highlighting the importance of the LLM-based Constructor in building coherent reasoning chains through its advanced reasoning and contextual understanding capability.

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To assess the impact of training the Aligner for retrieving and integrating triples, we introduce *Ki*-*RAG w/o Training*, where the Aligner is replaced with a frozen E5, which is trained for general text retrieval. Table 4 shows that *KiRAG w/o Training* exhibits a significant decline in both retrieval and QA results. These results highlight the effectiveness of our training strategy in enabling the Aligner to identify relevant knowledge triples.

(RQ5): Can KiRAG retrieve relevant knowledge triples to address multi-hop questions? To evaluate the quality of knowledge triples retrieved by KiRAG, we randomly select 100 questions from the 2Wiki test set and manually identify knowledge triples that are useful in answering these questions. These manually selected triples are considered relevant<sup>8</sup>. We use R@K to measure retrieval performance and compute QA metrics (EM and F1) using the retrieved triples as context. We compare KiRAG with E5, which directly retrieves knowledge triples from the knowledge graph corpus, and the Reasoning Chain Aligner, which iteratively retrieves triples using the trained Aligner. Table 5 shows that KiRAG significantly outperforms E5 in both retrieval and QA performance, demonstrating its effectiveness in retrieving relevant knowledge triples. This superior performance is attributed to the Aligner and the Constructor, which are specifically designed to identify relevant triples.

<sup>&</sup>lt;sup>8</sup>Appendix C.5 provides details and examples of the manually curated data, which will be released alongside the code.

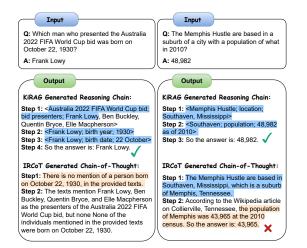


Figure 5: Case study of KiRAG and IRCoT on Hot-PotQA test set, where the relevant and irrelevant context are marked in blue and orange, respectively.

(RQ6): How does the number of iterative steps L affect the performance of KiRAG? Table 4 shows the retrieval and QA results of KiRAG with different values of L on HotPotQA and 2Wiki development sets. The results show that as the value of L increases, both retrieval and QA performance initially improve and then reach a plateau, with KiRAG achieving optimal performance at a moderate value of L. This highlights the importance of selecting a proper value of L in KiRAG to balance high accuracy and efficiency in multi-hop QA.

Case Study. We conduct a case study to examine the reasoning chains generated by KiRAG. Figure 5 shows examples of the reasoning chains produced by KiRAG and the CoTs generated by IRCoT. The examples show that KiRAG can generate coherent and contextually relevant reasoning chains for answering multi-hop questions, which are essential for effectively guiding the iterative retrieval process. In contrast, IRCoT may struggle with missing information or hallucinations, hindering its ability to retrieve the necessary knowledge.

# 5 Related Work

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RAG Models. RAG models have shown superior performance in QA tasks (Lewis et al., 2020; Izacard and Grave, 2021b; Ram et al., 2023). These models typically employ the *retriever-reader* architecture, which consists of a retriever (Karpukhin et al., 2020; Wang et al., 2022; Fang et al., 2023) and a reader (Izacard and Grave, 2021b; Jiang et al., 2023b). Efforts to improves RAG models generally follows three main directions: (1) enhance the retriever for better retrieval performance (Izacard and Grave).

Grave, 2021a; Shi et al., 2023; Wang et al., 2024b); (2) enhance the reader for better comprehension and answer generation (Lin et al., 2024; Xu et al., 2024; Wang et al., 2024c); (3) introduce additional modules to bridge the retriever and the reader (Yu et al., 2023; Xu et al., 2023; Ye et al., 2024). 564

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**Iterative RAG Models for Multi-Hop QA.** Iterative RAG models (Trivedi et al., 2023; Shao et al., 2023; Asai et al., 2024; Liu et al., 2024; Yao et al., 2024) address multi-hop QA by performing multiple steps of retrieval and reasoning. For instance, IRCoT (Trivedi et al., 2023) use LLM-generated chain-of-thoughts for retrieval, while DRAGIN (Su et al., 2024) dynamically decides when and what to retrieve based on the LLM's information needs. However, these models all rely on LLM-generated thoughts, making them prone to hallucination. In contrast, KiRAG employs knowledge triples and a trained retriever to actively identify and retrieve missing information, enabling a more reliable and accurate retrieval for multi-hop QA.

KG-Enhanced RAG Models. Recently, KGs have been integrated into RAG models (Peng et al., 2024). Some studies leverage information from existing KGs (Vrandečić and Krötzsch, 2014) for additional context (Yu et al., 2022; Sun et al., 2024), while others generate KGs from documents to improve knowledge organisation (Edge et al., 2024; Gutiérrez et al., 2024; Chen et al., 2024) or enhance reader comprehension (Li and Du, 2023; Fang et al., 2024a,b; Panda et al., 2024). These models primarily follow the standard RAG pipeline, whereas our work focuses on the iRAG pipelines. Moreover, while they rely on single-step retrieval with pre-existing retrievers, KiRAG employs a trained retriever tailored for iterative retrieval, allowing it to dynamically adapt to the evolving information needs in multi-step reasoning.

# 6 Conclusion

This paper proposes KiRAG to enhance retrieval process of iRAG models. KiRAG decomposes documents into knowledge triples and employs a knowledge-driven iterative retrieval framework to systematically retrieve relevant knowledge triples. The retrieved triples are used to rank documents, which serve as inputs for answer generation. Empirical results show that KiRAG achieves significant retrieval and QA improvements, with an average increase of 9.40% in R@3 and 5.14% in F1, highlighting its effectiveness in multi-hop QA.

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# 614 Limitations

We identify the following limitations of our work: (1) The Aligner model is trained using silver data 616 constructed from only three multi-hop QA datasets. 617 While our results demonstrate its effectiveness, we 618 leave the exploration of methods to construct larger-619 scale and higher-quality training data for future work; (2) In KiRAG, we train only the Aligner model and keep the Constructor model frozen. While further training the Constructor could potential improve performance, we choose to keep it frozen to maintain our framework' adaptability to different LLMs, rather than relying on a specific fine-tuned LLM. Appendix C.9 provides a detailed analysis of the performance using different LLMbased Constructor within our framework. 629

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#### **A** Prompts

#### A.1 Prompt for Knowledge Triple Extraction

The prompt used for extracting knowledge triples from a document is illustrated in Figure 6.

#### A.2 Prompt for Reasoning Chain Construction

The prompt used by the reasoning chain constructor to build reasoning chains is illustrated in Figure 7, where we instruct the Reasoning Chain Constructor to complete the *i*-th step reasoning chain with the provided candidate knowledge triples.

#### A.3 Prompt for Answer Generation

The prompt used by the reader to generate answers is illustrated in Figure 8.

#### Prompt Used for Knowledge Triple Extraction

**Instruction**: You are a knowledge graph constructor tasked with extracting knowledge triples in the form of <head entity; relation; tail entity> from a document. Each triple denotes a specific relationship between entities or an event. The head entity and tail entity can be the provided title or phrases in the text. If multiple tail entities share the same relation with a head entity, aggregate these tail entities using commas. Format your output in the form of <head entity; relation; tail entity>.

# **Examples**:

Title: Dana Blankstein

Text: Dana Blankstein- Cohen( born March 3, 1981) is the director of the Israeli Academy of Film and Television. She is a film director, and an Israeli culture entrepreneur.

Knowledge Triples: *<Dana Blankstein; full name; Dana Blankstein-Cohen>, <Dana Blankstein; birth date; March 3, 1981>, <Dana Blankstein; nationality; Israeli>, <Dana Blankstein; position; director of the Israeli Academy of Film and Television>, <Dana Blankstein; profession; film director, culture entrepreneur>* 

#### **Inputs**:

Title: *{document title}* Text: *{document text}* Knowledge Triples:

Figure 6: Prompt used for extracting knowledge triples.

#### **B** Experimental Details

#### **B.1** Datasets

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In our experiments, we employ five multi-hop QA datasets: HotPotQA, 2WikiMultiHopQA (2Wiki), MuSiQue, Bamboogle as well as WebQuestions (WebQA), and one single-hop QA dataset: Natural Questions (NQ). For HotpotQA, we use the corpus provided by its authors for retrieval. For 2WikiMultihopQA and MuSiQue, we construct the retrieval corpus following the exact same procedure outlined by Trivedi et al. (2023). For all other datasets, we leverage the Wikipedia corpus introduced by Karpukhin et al. (2020).

For datasets with public test sets (Bamboogle, WebQA and NQ), we report performance on their full test sets. For those with non-public test sets (HotPotQA, 2Wiki and MuSiQue), we use their full development sets as test sets and report the cor-

#### Prompt Used by Reasoning Chain Constructor

**Instruction**: Follow the examples to answer the input question by reasoning step-by-step. Output both reasoning steps and the answer.

# Examples:

**Question**: Consider the racer for whom the bend at the 26th Milestone, Isle of Man is dedicated. When were they born?

**Thought**: <26th Milestone, Isle of Man; named after; Joey Dunlop>,<Joey Dunlop; date of birth; 25 February 1952>. So the answer is 25 February 1952..... **Inputs**:

Context: {candidate triples} Question: {question} Thought: {i-th step reasoning chain}

Figure 7: Prompt used by Reasoning Chain Constructor.

# Prompt Used for Answer Generation

Instruction: Given some context and a question, please only output the answer to the question. Inputs: Context: {retrieved documents} Question: {question} Answer:

Figure 8: Prompt used by the Reader.

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responding performance. Since these three datasets are also used for training, we randomly select 500 questions from their original training sets to serve as development sets, while the remaining questions are used for training. The statistics of experimental datasets can be found in Table 6. Moreover, in KiRAG, we precompute knowledge triples for all the documents in the corpus. The statistics of the resulting KG corpus are also provided in Table 6.

#### **B.2** Baselines

Standard RAG model follows the vanilla retrieverreader pipeline, where the retriever model first retrieves top-K documents from the corpus and the reader model then generates answers based on these retrieved documents. For *IRCoT* and *FLARE*, we use the implementations provided by FlashRAG (Jin et al., 2024). For other models, including *DRAGIN*, *BeamDR* and *Vector-PRF*, we adapt the code released by their authors to align with our experimental setup. Notably, for fair comparison, both our KiRAG and baselines use the same retriever for retrieving documents from the corpus and the same reader for generating answers.

	HotPotQA			2Wiki		N	luSiQu	e	Bamboogle WebQA N	NQ		
	Train	Dev.	Test	Train	Dev.	Test	Train	Dev.	Test	Test	Test	Test
Statistics of Experimental Data	sets											
# Questions	89,947	500	7,405	166,954	500	12,576	19,438	500	2,417	125	2,032	3,610
Statistics of Retrieval Corpus												
Corpus	Н	otPotQ	A	2Wiki	MultiH	opQA	Ν	IuSiQu	e	Wikipedia	Wikipedia	Wikipedia
# Documents		5M			431K			117K		21M	21M	21M
Statistics of the Extracted Know	ledge Gr	aph Co	rpus									
Avg. # Entities per Document		6.93			8.16			9.40		11.12	11.12	11.12
Avg. # Triples per Document		5.91			7.32		8.20		8.33	8.33	8.33	

Table 6: Statistics of experimental datasets, retrieval corpus, and pre-computed knowledge graph corpus.

	Train	Dev.	Model	Huggingface Checkpoint
# Questions	115,567	815	E5	intfloat/e5-large-v2
Avg. Chain Length	2.36	2.35	BGE	BAAI/bge-large-en-v1.5
			Llama3	meta-llama/Meta-Llama-3-8B-Instruct

Table 7: Statistics of the data used for training the Reasoning Chain Aligner.

#### **B.3** Training and Hyperparameter Details

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Training Data Construction. We generate training data for the Reasoning Chain Aligner using existing multi-hop QA datasets. Specifically, for each multi-hop question and its ground-truth relevant documents, we apply TRACE (Fang et al., 2024b) (using the default hyperparameter setting) to construct five potential knowledge triple-based reasoning chains for answering the question. For each chain, we use Llama3 as the reader to generate an answer based on the context provided by the chain. The first chain that successfully produces the correct answer is selected as the ground-truth reasoning chain for that question. The question and its ground-truth reasoning chain will serve as labeled data for training. We filter out questions where all reasoning chains fail to produce the correct answer. In practice, we build training data from the *training* sets of three multi-hop QA datasets: HotPotQA, 2Wiki and MuSiQue. In addition, we use the same procedure to construct development data from the development sets of these three datasets for hyperparameter tuning. The statistics of the data used to train the Aligner are presented in Table 7.

**Training Details.** For an incomplete reasoning 1004 1005 chain r, we treat the correct next triple as positive sample. To generate negative samples, we 1006 follow the procedure described in "Knowledge De-1007 composition" section to obtain a set of candidate 1008 triples  $\mathcal{T}^i$ . The training process uses the Adam 1009 1010 optimizer (Kingma and Ba, 2015) with a learning rate of 2e-5 and a weight decay of 0.01. We set 1011 the batch size to 64, include 7 negative samples 1012 per data point, and use a temperature parameter  $\tau$ of 0.01. The Aligner is trained for 10 epochs, and 1014

Llama3meta-llama/Meta-Llama-3-8B-InstructMistralmistralai/Mistral-7B-Instruct-v0.2Gemma2google/gemma-2-9b-itQwen2.5Qwen/Qwen2.5-7B-InstructFlan-T5google/flan-t5-x1

Table 8: The specific hugging face checkpoints used	in
our experiments.	

we select the checkpoint with the best performance (R@5) on the development set.

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# **Implementation and Hyperparameter Details.** Throughout the experiments, we set the maximum number of iterative steps L to 5. The details of each component in our KiRAG are outlined as follows:

For the *Retriever* model, we use either E5 (Wang et al., 2022) or BGE (Xiao et al., 2024) to retrieve documents. The number of retrieved documents per iteration (i.e.,  $K_0$ ) is 10. For the *Knowledge Decomposition* component, we use Llama3 (Dubey et al., 2024) to extract knowledge triples for each retrieved document. For the *Reasoning Chain Aligner*, given the question and partial reasoning chain, it selects top-20 (i.e., N = 20) knowledge triples that are likely to extend the existing chain.

For the *Reasoning Chain Constructor*, we try different LLMs, including Llama3, Mistral (Jiang et al., 2023a) and Gemma2 (Team et al., 2024), to select a triple to extend the partial reasoning chain for subsequent retrieval. We main report the performance of using Llama3 as the Constructor as it achieves the best performance (see Appendix C.9). Moreover, when completing the partial reasoning chain, we filter triples that are not present in the provided candidate set to ensure factual reliability.

Moreover, we leverage different readers to eval-1041uate the QA performance, which includes Llama3,1042Qwen2.5 (Yang et al., 2024), Flan-T5 (Chung et al.,10432024) and TRACE (Fang et al., 2024b). The spe-1044cific huggingface checkpoints we used in our ex-1045

Model	HotP	otQA	2W	Viki	MuSiQue		
Model	R@3	R@5	R@3	R@5	R@3	R@5	
RAG	64.46	69.71	60.50	64.91	39.40	45.16	
Vector-PRF	64.38	69.34	60.19	64.37	39.16	43.86	
FLARE	53.63	58.83	60.28	69.30	37.10	43.16	
DRAGIN	<u>71.71</u>	<u>76.93</u>	<u>62.42</u>	71.14	<u>45.78</u>	<u>52.44</u>	
IRCoT	69.96	75.62	60.20	<u>72.23</u>	42.13	48.91	
KiRAG-Doc	52.42	67.81	41.42	56.55	28.64	39.82	
KiRAG-Sent	47.81	62.99	41.42	56.55	28.27	38.26	
KiRAG	<b>79.69</b> †	<b>83.61</b> <sup>†</sup>	$78.50^{\dagger}$	<b>88.94</b> †	$52.62^{\dagger}$	58.39 <sup>†</sup>	

Table 9: Retrieval performance (%) using BGE as the retriever model, where the best and the second-best results are marked in bold and underlined, respectively, and † denotes p-value<0.05 compared to the best-performing baseline. Results for BeamDR are omitted as it relies on its own trained BERT model for retrieval, yielding the same results as presented in Table 1.

Model	HotP	otQA	2W	Viki	MuS	iQue
mouer	EM	F1	EM	F1	EM	F1
RAG	34.11	46.68	14.73	30.16	8.77	17.01
Vector-PRF	33.94	46.58	14.70	30.00	8.65	16.97
BeamDR	38.34	51.64	14.42	27.25	7.08	14.42
FLARE	34.49	46.65	25.01	40.59	13.07	21.38
DRAGIN	41.73	55.68	24.62	40.69	<u>16.43</u>	26.29
IRCoT	43.18	57.08	24.25	40.12	14.89	23.99
KiRAG-Doc	30.47	42.52	11.97	23.97	7.03	14.59
KiRAG-Sent	30.44	42.00	12.82	25.22	8.56	16.16
KiRAG	<b>45.16</b> <sup>†</sup>	<b>59.85</b> †	35.02 <sup>†</sup>	<b>54.01</b> <sup>†</sup>	$18.87^{\dagger}$	$29.17^{\dagger}$

Table 10: QA performance (%) using BGE as the Retriever. The best and second-best performance are highlighted in bold and underlined, respectively. † indicates p-value<0.05 compared with best-performing baseline.

periments are provided in Table 8.

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# C Additional Experimental Results and Analysis

# C.1 Overall Performance of Using Different Retrievers and Readers

To validate the effectiveness of KiRAG, we provide additional results using different retrievers and readers. Specifically, we replace the E5 Retriever with BGE Retriever for retrieving documents from the corpus and the other components remain unchanged. The corresponding retrieval and QA performance are presented in Table 9 and Table 10, respectively. The results are consistent with those obtained using the E5 Retriever, demonstrating the adaptability and effectiveness of our KiRAG across different retriever models.

Moreover, to assess the quality of the documents retrieved by KiRAG, we report QA performance using different reader models in Table 11. The results suggest that KiRAG consistently outperforms all the baselines across different readers, demon-

Reader	Model	HotP	otQA	2W	Viki	MuS	iQue
Itelluti		EM	F1	EM	F1	EM	F1
	RAG	34.69	46.15	33.37	38.51	9.14	17.17
	Vector-PRF	34.54	46.12	33.41	38.51	8.90	16.91
	BeamDR	39.61	51.51	22.41	29.95	6.70	14.06
Owen2.5	FLĀRĒ	36.30	47.33	36.73	44.38	12.58	21.22
Qwen2.5	DRAGIN	44.07	56.91	36.75	44.49	18.16	28.68
	IRCoT	43.44	56.46	38.39	45.97	15.60	25.36
	KiRAG-Doc	35.68	47.15	29.23	34.51	7.61	15.27
	KiRAG-Sent	34.21	45.64	29.78	34.92	9.64	17.83
	KiRAG	<b>47.89</b> †	$61.41^{\dagger}$	<b>47.4</b> 2 <sup>†</sup>	56.02 <sup>†</sup>	<b>19.73</b> †	<b>30.79</b> †
	RAG	37.08	47.32	17.42	22.05	8.94	15.06
	Vector-PRF	37.02	47.23	31.58	36.26	8.98	15.16
	BeamDR	41.89	52.83	18.73	23.29	7.03	12.21
Flan-T5	FLARE	39.81	50.46	33.31	39.95	13.28	19.74
rian-15	DRAGIN	46.75	58.52	34.19	40.68	18.12	25.22
	IRCoT	47.32	59.05	35.90	42.31	16.42	23.61
	KiRAG-Doc	36.18	46.45	25.03	29.58	7.45	13.53
	KiRAG-Sent	38.86	49.59	31.27	36.58	11.34	17.98
	KiRAG	<b>49.31</b> †	61.38 <sup>†</sup>	<b>39.99</b> †	<b>46.51</b> †	19.07	27.29 <sup>†</sup>
	RAG	39.18	51.82	21.10	34.28	11.63	19.49
	Vector-PRF	38.85	51.54	21.91	34.81	11.58	19.59
	BeamDR	43.21	56.28	21.01	33.23	10.67	18.26
TRACE	FLARE	39.31	51.40	31.85	45.50	14.89	23.75
INACE	DRAGIN	44.29	57.64	31.88	45.55	18.11	27.41
	IRCoT	45.29	58.77	32.05	46.55	16.84	25.78
	KiRAG-Doc	45.36	58.82	29.41	43.99	13.69	22.44
	KiRAG-Sent	43.38	56.68	27.51	41.92	16.84	25.59
	KiRAG	46.41	$60.22^{\dagger}$	33.13	<b>48.49</b> †	19.32	$29.10^{\dagger}$

Table 11: QA performance (%) using different Reader models, where † indicates p-value<0.05 compared with best-performing baseline.

Model	HotPotQA		2Wiki		MuSiQue	
	EM	F1	EM	F1	EM	F1
RAG	34.34	47.72	12.95	29.94	9.43	17.50
Vector-PRF	34.56	47.87	13.76	30.42	10.10	17.74
BeamDR	38.60	52.24	14.49	28.71	7.74	15.13
FLARE	35.08	48.07	24.87	41.95	13.20	22.23
DRAGIN	41.16	55.48	24.47	41.37	17.54	27.74
IRCoT	41.61	56.01	24.89	<u>42.90</u>	14.85	24.48
KiRAG-Doc	36.87	50.68	15.54	32.17	9.23	17.50
KiRAG-Sent	36.58	50.09	15.49	31.59	12.16	20.66
KiRAG	<b>43.81</b> <sup>†</sup>	58.42 <sup>†</sup>	$27.26^{\dagger}$	<b>47.59</b> <sup>†</sup>	17.58	<b>28.92</b> <sup>†</sup>

Table 12: QA performance (%) using top-5 retrieved document as context. The best and second-best results marked in bold and underlined, respectively. <sup>†</sup> denote p-value<0.05 compared with best-performing baseline.

strating its ability to provide high-quality retrieval results that enhance downstream QA performance.

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# C.2 QA Performance based on Top-5 Documents

Table 12 presents the QA performance using the top-5 retrieved documents as the context, demonstrating similar results to those obtained with the top-3 retrieved documents.

# C.3 Retrieval Performance at Different Steps on MuSiQue Dataset

Figure 9 presents the retrieval performance of Ki-RAG and baseline methods at different steps on the MuSiQue dataset, showing similar trends to those observed on the HotPotQA and 2Wiki datasets.

Model	Bamboogle		WebQA		NQ	
	EM	F1	EM	F1	EM	F1
RAG	15.20	22.66	18.41	31.04	35.93	41.18
Vector-PRF	15.20	23.73	18.31	31.02	36.09	41.25
BeamDR	11.20	15.29	15.50	25.46	21.63	25.31
FLARE	24.00	31.93	20.57	31.47	31.22	35.17
DRAGIN	26.20	<u>37.68</u>	20.37	<u>32.31</u>	35.43	39.87
IRCoT	21.60	33.69	19.39	31.31	37.34	42.50
KiRAG-Doc	17.60	27.92	18.36	30.75	33.63	39.22
KiRAG-Sent	16.00	28.15	19.14	31.27	33.60	38.12
KiRAG	<b>29.60</b> †	$42.00^{\dagger}$	20.67	32.87	36.29	41.49

Table 13: QA performance (%) on unseen multi-hop and single-hop QA datasets, where  $^{\dagger}$  denotes p-value<0.05 compared with best-performing baselines.

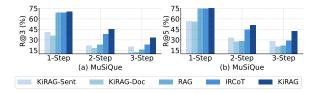


Figure 9: Retrieval performance (%) at different steps on MuSiQue dataset.

# C.4 QA Performance on Unseen Datasets

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Due to page limit, we present the QA performance on unseen multi-hop and single-hop QA datasets in Table 13, which aligns with the retrieval performance reported in Table 3. The results highlight that KiRAG can effectively generalise to different types of QA tasks, maintaining high performance without overfitting to specific training data.

# C.5 Details and Examples of Manually Labeled Relevant Knowledge Triples

To quantitatively evaluate the quality of knowledge triples retrieved using our proposed knowledgedriven iterative retrieval framework, we manually label relevant knowledge triples for 100 questions randomly sampled from the 2Wiki dataset. Specifically, for each multi-hop question and its groundtruth relevant documents, we use Llama3 to extract knowledge triples from these relevant documents, and then manually select a subset of knowledge triples that directly support answering the question. We provide some examples of the manually curated data in Table 14.

# C.6 Effect of the Number of Initially Retrieved Documents

1105During the iterative retrieval process of KiRAG, the1106Retriever model initially retrieves  $K_0$  documents1107from the corpus, from which relevant knowledge1108can be extracted. To examine the impact of  $K_0$ , we1109vary its value from 10 to 100. Figure 10 illustrates

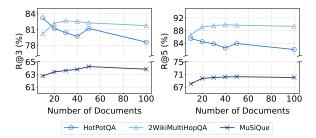


Figure 10: Retrieval performance (%) of KiRAG under different values of  $K_0$  on three multi-hop QA datasets.

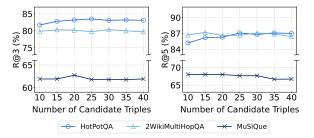


Figure 11: Retrieval performance (%) of KiRAG under different values of N on three multi-hop QA datasets.

the retrieval performance of KiRAG under different values of  $K_0$  on the development sets of three multi-hop QA datasets. The results indicate that increasing  $K_0$  beyond a certain point can degrade performance. This occurs because a larger document pool raises the likelihood of including noisy or irrelevant knowledge triples, making it more challenging for the Reasoning Chain Aligner to accurately identify the triples essential for answering multi-hop questions. Therefore, it is crucial to select a proper  $K_0$  to achieve superior retrieval performance. 1110

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# C.7 Effect of the Number of Candidate Triples

In the iterative retrieval process of KiRAG, the Rea-1124 soning Chain Aligner selects N knowledge triples 1125 that are most likely to form a coherent reasoning 1126 chain with the existing chain. To investigate the 1127 effect of N, we vary its value from 10 to 40. Fig-1128 ure 11 shows the retrieval performance of KiRAG 1129 under different values of N on the development 1130 sets of three multi-hop QA datasets. The results 1131 indicate that KiRAG is not sensitive to the value 1132 of N, as the performance remains relatively sta-1133 ble across different values. This stability can be 1134 attributed to the powerful reasoning and contex-1135 tual understanding abilities of the Reasoning Chain 1136 Constructor, which effectively identifies the most 1137 useful triple even from a potentially noisy set of 1138 candidates triples. 1139 Question: Which film came out first, Blind Shaft or The Mask Of Fu Manchu? Relevant Knowledge Triples: <Blind Shaft; release year; 2003>, <The Mask of Fu Manchu; release year; 1932>

**Question**: When did John V, Prince Of Anhalt-Zerbst's father die?

**Relevant Knowledge Triples**: <John V, Prince of Anhalt-Zerbst; father; Ernest I, Prince of Anhalt-Dessau>, <Ernest I, Prince of Anhalt-Dessau; death date; 12 June 1516>

**Question**: Which film has the director died first, Crimen A Las Tres or The Working Class Goes To Heaven? **Relevant Knowledge Triples**: <Crimen a las tres; director; Luis Saslavsky>, <The Working Class Goes to Heaven;

director; Elio Petri>, <Luis Saslavsky; death date; March 20, 1995>, <Elio Petri; death date; 10 November 1982> Question: Who died first, Fleetwood Sheppard or George William Whitaker?

**Relevant Knowledge Triples**: <Fleetwood Sheppard; death date; 25 August 1698>, <George William Whitaker; death date; March 6, 1916>

**Question**: Who is the spouse of the director of film Eden And After?

**Relevant Knowledge Triples**: <Eden and After; director; Alain Robbe-Grillet>, <Alain Robbe-Grillet; spouse; Catherine Robbe-Grillet>

Table 14: Examples of manually labeled relevant knowledge triples for multi-hop questions on the 2Wiki dataset.

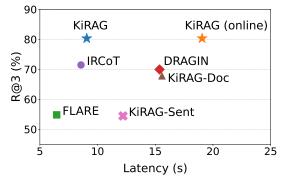


Figure 12: Retrieval performance (R@3) v.s. average latency per question for different models on the Hot-PotQA test set. KiRAG (online) represents a variant of our approach where knowledge triples extracted dynamically during iterative retrieval, without precomputation.

#### C.8 Efficiency Analysis

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We evaluate the efficiency of KiRAG in comparison to the baseline models. Specifically, we conduct experiments on a 3.5 GHZ, 32-cores AMD Ryzen Threadripper Process paired with an NVIDIA A6000 GPU. For fair comparison, both KiRAG and baselines leverage the same E5 model for document retrieval and the same Llama3 model as the reasoning component. It is worth noting that the knowledge triple extraction in our KiRAG is query-independent and precomputed, which helps to improve efficiency. To evaluate the impact of precomputing triples, we introduce a variant: *KiRAG* (online), where knowledge triples are dynamically extracted during the iterative retrieval process.

Figure 12 presents the average latency and retrieval performance of different models on the Hot-PotQA test set, which yields the following findings: (1) Compared with KiRAG (online), KiRAG sub-

Model	HotPotQA		2Wiki		MuSiQue	
mouer	R@3	R@5	R@3	R@5	R@3	R@5
IRCoT	71.44	77.57	64.30	75.56	45.61	52.21
KiRAG (Llama3)	80.32	84.08	77.76	85.32	54.53	61.16
KiRAG (Mistral)	74.14	79.51	74.14	82.30	49.10	56.65
KiRAG (Gemma2)	79.66	84.03	77.04	83.59	54.82	62.42

Table 15: Retrieval performance (%) of KiRAG using different LLM-based Reasoning Chain Constructor.

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stantially reduces latency without compromising retrieval performance, highlighting the efficiency benefits of precomputed knowledge triple extraction; (2) KiRAG exhibits latency comparable to IR-CoT while achieving significantly better retrieval performance, indicating that our approach effectively enhances retrieval effectiveness without introducing substantial computational overhead. (3) KiRAG achieves a better balance between retrieval effectiveness and efficiency compared to baselines, as evidenced by the relatively lower latency and higher retrieval recall.

### C.9 Performance of Using Different LLM-Based Constructor

KiRAG leverages a frozen LLM as the Reasoning 1173 Chain Constructor to maintain the adaptability of 1174 our framework. Figure 15 presents the retrieval 1175 performance of our KiRAG using different LLM-1176 based Constructor. The results indicate that KiRAG 1177 consistently outperforms IRCoT across different 1178 Constructors, indicating the robustness of our ap-1179 proach in improving retrieval performance regard-1180 less of the specific LLM used. 1181