#### **000 001 002 003 004** LEARNING FROM OTHERS' MISTAKES: FINETUNING MACHINE TRANSLATION MODELS WITH SPAN-LEVEL ERROR ANNOTATIONS

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### ABSTRACT

Despite growing interest in incorporating feedback to improve language models, most efforts focus only on sequence-level annotations. In this work, we explore the potential of utilizing fine-grained span-level annotations from offline datasets to improve model quality. We develop a simple finetuning algorithm, called Training with Annotations (TWA), to directly train machine translation models on such annotated data. TWA utilizes targeted span-level error information while also flexibly learning what to penalize within a span. Moreover, TWA considers the overall trajectory of a sequence when deciding which non-error spans to utilize as positive signals. Experiments on English-German and Chinese-English machine translation show that TWA outperforms baselines such as Supervised FineTuning on sequences filtered for quality and Direct Preference Optimization on pairs constructed from the same data.

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## 1 INTRODUCTION

**028 029 030 031 032 033 034** Language models have advanced to the point where it is often difficult to improve them substantially via supervised finetuning on high-quality human-written examples alone; instead, recent efforts to improve language model or sequence-to-sequence model performance have largely relied on annotations of model generations, from preferences to per-sequence scores [\(Bai et al., 2022;](#page-9-0) [Ethayarajh](#page-9-1) [et al., 2022;](#page-9-1) [Lambert et al., 2023;](#page-10-0) [Kopf et al., 2023\)](#page-10-1). Such data, coupled with techniques to learn from it [\(Christiano et al., 2017;](#page-9-2) [Rafailov et al., 2023;](#page-10-2) [Gulcehre et al., 2023;](#page-10-3) [Dong et al., 2023\)](#page-9-3), have yielded impressive results for many top language models.

**035 036 037 038 039 040 041 042** Most efforts, however, consider only sequence-level labels, usually in the form of a scalar score assigned to the entire output. In contrast, this work investigates the potential of using fine-grained span-level annotations from offline datasets to enhance language model training. Unlike sequencelevel annotations, span-level annotations provide information about specific segments within a sequence, offering more detailed information for model learning. Moreover, in many situations, collecting fine-grained information is similar effort to collecting sequence-level labels (?), making the former a practical form of data for improving model performance given a method that can take advantage of the information.

**043 044 045 046 047 048 049** To explore the potential of fine-grained annotations, we focus on the Multidimensional Quality Metrics (MQM) data from previous Workshop on Machine Translation (WMT) Shared Tasks [\(Freitag](#page-9-4) [et al., 2021a\)](#page-9-4). This data, used to evaluate the quality of machine translation systems, contains spanlevel annotations of the errors present in a given translation as well as their category (e.g., fluency, accuracy) and severity (e.g., major and minor). While MQM data has previously been used to develop auxiliary reward or metrics models [\(Juraska et al., 2023;](#page-10-4) [Rei et al., 2022\)](#page-10-5), it has not been directly employed for training machine translation (MT) models.

**050 051 052 053** To directly utilize these translations and their span-level annotations to finetune an MT model, we introduce a new algorithm called Training with Annotations (TWA). TWA utilizes span-level information from the annotations to treat error and non-error spans differently. For error spans, the TWA loss seeks to decrease the probability of the span given the context while allowing the model to learn which tokens in the span to penalize to do so. For non-error tokens, TWA takes into account

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**067 068 069** Figure 1: Overview of Training with Annotations (TWA). TWA proceeds by tokenizing the output text and its annotations. Then, a weighted span-level unlikelihood loss is applied to each error span to allow the model to learn what parts of the error span to penalize and non-error tokens following an error span are ignored as they are off-trajectory. All other tokens (i.e., non-error tokens preceding an error span) are trained with cross entropy loss.

**071 072** the overall sequence trajectory when deciding which spans should be treated as positive signals. A high-level summary of TWA can be found in Figure [1.](#page-1-0)

**073 074 075 076 077 078** Experiments on English-German and Chinese-English machine translation demonstrate that TWA yields significant improvements over baselines which either do not consider annotation information or only utilize the information at the sequence level. Specifically, TWA can outperform methods such as supervised finetuning on sequences filtered for quality and Direct Preference Optimization (DPO) on preference pairs constructed from the same data. These results highlight the effectiveness of taking advantage of span-level annotations to improve model performance.

**079 080 081 082 083** First, we describe the MQM data and the information provided in the span-level annotations (Section [2\)](#page-1-1). Then, we discuss existing work which either utilizes the MQM data or the fine-grained annotations (Section [3\)](#page-1-2). Then, we introduce our method, Training with Annotations (TWA), in Section [4.](#page-2-0) We outline our experimental setup in Section [5](#page-4-0) and present the results in Section [6.](#page-5-0) Finally, we conclude with a discussion of our findings and future work in Section [7.](#page-8-0)

# <span id="page-1-1"></span>2 MQM DATA

**087 088 089 090 091 092 093 094 095** Each year, the Workshop on Machine Translation (WMT) hosts a shared task competition to assess general machine translation capabilities across different domains and genres. Submitted MT systems are scored and evaluated by humans, with top systems annotated via the Multidimensional Quality Metrics (MQM) scheme [\(Freitag et al., 2021b;](#page-9-5) [Rei et al., 2022\)](#page-10-5). Namely, given the source text and MT output, professional translators annotate any error spans in the output translation. Each error span is annotated with the category of the error as well as the severity of the error. Each error span is assigned a score of 25 for a non-translation, 5 for a major error, 0.1 for a minor punctuation error, and 1 for any other minor error. The overall MQM score of an example sequence is the sum of the MQM scores of the annotated error spans in the sequence.

**096 097 098 099** MQM annotations have been used to evaluate MT systems, as described above, but not as additional training signal to finetune MT models. Utilizing these annotations during training requires developing a method that can take this information into account. We describe our proposed method, Training with Annotations, in Section [4.](#page-2-0)

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## <span id="page-1-2"></span>3 RELATED WORK

**103 104 105 106 107** Utilizing MQM data. TWA is the first method to use span-level MQM data to directly finetune machine translation models, but there exist other methods which also utilize sequence-level MQM data indirectly. Namely, existing automated metrics in machine translation such as MetricX [\(Juraska](#page-10-4) [et al., 2023\)](#page-10-4) utilize MQM scores as labels for training data, so methods which utilize these neuralbased automated metrics indirectly benefit from MQM data. Such approaches include QE reranking [\(Fernandes et al., 2022\)](#page-9-6) or MBR decoding [\(Freitag et al., 2022\)](#page-9-7) with neural quality metrics. Both

**108 109 110 111 112 113** methods can be used in tandem with TWA, as one could always decode a TWA-trained model with either of these approaches. One could also use the results of such decoding methods to directly finetune a model, commonly known as MBR or QE finetuning [\(Finkelstein & Freitag, 2024\)](#page-9-8). However, given the models powering automated metrics such as Metric-X are trained on multiple sources of data beyond that of MQM data alone, MBR and QE finetuning are not directly comparable with TWA.

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**115 116 117 118 119 120 121 122 123 124 125 126** Utilizing fine-grained annotations. There exist other methods which consider fine-grained annotations, but they consider a different setting than TWA. Fine-grained RLHF (FG-RLHF) [\(Wu et al.,](#page-11-0) [2023\)](#page-11-0) adapts RLHF to reward models which provide finer-grained feedback than a single sequencelevel score. Similar to our work, [Wu et al.](#page-11-0) [\(2023\)](#page-11-0) achieve better performance using fine-grained RLHF with span-level rewards than using RLHF with sequence-level rewards. The difference between FG-RLHF and TWA is that the former is a reinforcement learning method that requires an auxiliary fine-grained reward model to annotate model generations online, while the latter is a finetuning method that can work directly with offline annotated data without the need for additional models during training. The performance of FG-RLHF depends on the quality of the fine-grained annotator model, which can be difficult to develop (see [Pang et al.](#page-10-6) [\(2023\)](#page-10-6) and Appendix [C\)](#page-11-1). Moreover, accuracy of the annotations aside, a reinforcement learning approach which only takes into account online data misses out on the opportunity to learn from offline examples themselves, not just their annotations.

**127 128 129 130 131 132 133 134 135 136** Next, Targeted Negative Training (TNT) [\(Zhang et al., 2024\)](#page-11-2) is a method for training on token-level annotations of negative examples, but its motivation is to achieve a targeted update, i.e., reducing unwanted behavior while minimally changing the model otherwise. TWA, on the other hand, is not concerned with making precise updates but rather improving overall quality as much as possible. Finally, FUDGE [\(Yang & Klein, 2021\)](#page-11-3) is an alternative decoding technique which utilizes a tokenlevel auxiliary reward model to sample from the model conditioned on a given attribute  $a$ ; namely, given reward model which approximates  $p(a|y_{\leq t}, x)$ , FUDGE samples from  $p(y_t|y_{\leq t}, x, a)$  using the original model  $p(y_t|y_{\leq t}, x)$  and reward model  $p(a|y_{\leq t}, x)$ . TWA, on the other hand, is a finetuning-based approach that does not alter the test-time behavior of the model and does not require an auxiliary reward model.

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# <span id="page-2-0"></span>4 TRAINING WITH ANNOTATIONS

**140 141 142 143 144 145** Training with annotations (TWA) is a finetuning algorithm that takes into account example outputs and their span-level error annotations. TWA proceeds as follows: first, the example is tokenized and given weights corresponding to its annotations: tokens which contain any characters within an error span are given a negative weight, and tokens outside an error span are given a non-negative weight. Then, during training, the TWA loss for a given sequence is a sum of the losses from the error spans and the non-error tokens. Below, we describe and motivate the choices for the constituent losses.

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## 4.1 HANDLING ERROR SPANS

**148 149 150 151 152 153 154** An annotated error span provides information to the model that such a continuation is undesirable given the preceding context (and thus should be unlikely under the model). To decrease the probability of error spans given their context, TWA utilizes the unlikelihood loss,  $-\log(1-p)$ . The loss is high when the probability  $p$  is high and 0 when  $p$  is zero. In Section [6,](#page-5-0) we consider alternative choices of loss for error tokens and find that the unlikelihood loss outperforms other choices. Moreover, the unlikelihood loss is efficient to compute as it only requires access to the current model being trained.

**155 156 157 158 159 160 161** Applying unlikelihood to each token in an error span may not be desirable, however. Take the output in Figure [1,](#page-1-0) for example. Imagine the correct translation was "Give me an example of a blessing in adversity", but the submitted translation was "Give me a story about a blessing in disguise", as shown in the figure. Moreover, say the sequence was tokenized in the way shown in the figure, with "disguise" being tokenized into "dis" and "guise". First, even though "disguise" is an inaccurate translation of "adversity", "guise" is perhaps the most reasonable continuation of the sequence given the prefix ends with "blessing in dis". Penalizing "guise" given its prefix does not necessarily reflect the intention of the error span; rather, it is probably more appropriate to assign a low probability to **162 163 164 165 166** "dis" given its prefix while maintaining a high probability for "guise" given a prefix ending in "a blessing in dis". Second, the error annotation around "a story about" does not necessarily mean that the article 'a' and the preposition "about" should be assigned a low probability given their prefixes. The above examples are just a few instances of the broader idea that not all tokens in an error span should be penalized.

**167 168 169 170 171 172 173 174** Given these examples and others, one might be able to come up with a series of heuristics to transform the resulting span-level errors into corresponding token-level losses. However, as is common in natural language, manually creating rules can be difficult and error-prone (whether due to low precision or recall). Instead, we choose to let the model learn what to penalize within a span by utilizing a span-level unlikelihood term instead of a token-level one. We additionally take into account the severity of the error by scaling the loss by the absolute value of the severity weight  $w$  assigned to the span, equal to the error span's negative MQM score: -0.1 for minor punctuation, -1 for all other minor errors, and -5 for major errors.<sup>[1](#page-3-0)</sup> The loss for an error span is the following:

<span id="page-3-1"></span>
$$
\mathcal{L}_{\text{TWA}}(\text{error span}) = -|w| \log(1 - p_{\text{span}}) = -|w| \log(1 - \exp \sum_{t \in \text{span}} \log p_t). \tag{1}
$$

Rather than forcing the model to push down probability over all tokens in a span given their prefixes, the span-level unlikelihood loss allows the model to learn which tokens to penalize in order to decrease the overall probability of the span.

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#### **182** 4.2 HANDLING NON-ERROR SPANS

**183 184 185 186 187 188 189 190 191** When the overall quality of the data is high relative to the base model, using supervised finetuning (SFT) to maximize the likelihood of the translations in the data can improve the model. On the other hand, when the overall quality of the data is low relative to the model, SFT can hurt performance, by teaching the model to reproduce errors. Thus, to optimize model quality, most efforts seek to filter out low-quality examples and train just on high-quality ones. However, in reality, there is likely often a spectrum of translation quality even within an example itself. Fine-grained annotations provide extra information about this variation in quality by pinpointing exactly where errors exist. Then, for all other tokens, we can proceed with typical maximum likelihood training via cross entropy loss, without worrying about maximizing the likelihood of errors.

**192 193 194 195 196 197 198** However, all the subsequent tokens after an error are out-of-support since their prefixes contain an error that should be low or zero probability under the intended new model. We call these subsequent tokens *off-trajectory*. Generalization aside, off-trajectory tokens at best are irrelevant to the model distribution and at worst could provide noisy signal. While there is an argument that high-quality offtrajectory tokens could provide signal that generalizes to trajectories the model will actually sample, we find empirically that ignoring these tokens in the overall loss can greatly improve performance in some settings (see Table [4\)](#page-7-0). TWA on non-error spans is thus as follows:

<span id="page-3-2"></span>
$$
\mathcal{L}_{\text{TWA}}(\text{non-error span}) = \begin{cases} 0 \text{ if span after first error} \\ -\log p_{\text{span}} \text{ otherwise.} \end{cases} \tag{2}
$$

Note that this is equivalent to employing per-token cross entropy loss on non-error tokens before an error span, as  $\log p_{\text{span}} = \sum_{t \in \text{span}} \log p_t$ .

### 4.3 OVERALL METHOD

**207 208 209 210 211 212 213 214** Combining the insights from the above two sections, we have a simple finetuning algorithm for TWA as depicted in Figure [1.](#page-1-0) First, we tokenize the output sequence and its corresponding annotations. The latter become weights which are negative values for tokens with characters contained in an annotated error span, zero for all tokens following the first error span, and one for all other non-error tokens. Then, we group tokens into spans based on weight (i.e., all contiguous tokens with the same weight are in the same span) and employ either the TWA error span loss (Equation [\(1\)](#page-3-1)) or the TWA non-error span loss (Equation [\(2\)](#page-3-2)). The overall TWA loss for a given sequence is the sum of all the span losses.

<span id="page-3-0"></span>**<sup>215</sup>** <sup>1</sup>Under the MQM rating system, some major errors are given a score of -25 (namely those categorized as non-translations), but we use a weight of -5 for these errors as well.

#### <span id="page-4-0"></span>**216 217** 5 EXPERIMENTS

#### **218 219** 5.1 DATA

**220 221 222 223 224 225 Pretraining.** We pretrain En $\rightarrow$ De and Zh $\rightarrow$ En models using the parallel WMT'23 training data [\(Kocmi et al., 2023\)](#page-10-7), which consists of 296 million sentence-level examples. For En→De, we additionally construct multi-sentence examples from a subset of this data where the overall documents can be recovered and partitioned into longer blocks than those of individual sentences. The multisentence examples have a max length of 1024 tokens, with 512 tokens each for the input source and output target.

**226 227 228 229 230** Finetuning. For both language pairs, we then apply TWA on top of the pretrained model, using MQM data from WMT'20 [\(Barrault\)](#page-9-9) and WMT'21 [\(Akhbardeh\)](#page-9-10) for training. In total, the training dataset contains roughly 2,900 and 3,100 source texts, with around 28,000 and 31,000 submission outputs for  $En\rightarrow De$  and  $Zh\rightarrow En$ , respectively (around ten submissions per source on average).

**231 232** 5.2 BASE MODEL

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**233 234 235 236 237 238** For both language pairs (En→De and Zh→En), we use a 602-million-parameter Transformer encoder-decoder architecture implemented in *Pax*<sup>[2](#page-4-1)</sup>. The model has 8 encoder and 8 decoder layers (rather than 6), but otherwise is similar to the *transformer-big* setting in [Vaswani et al.](#page-11-4) [\(2017\)](#page-11-4), with model dimension of 1024, hidden dimension of 8192, and 16 multi-attention heads. For each language pair, we use a bilingual vocabulary of 32k subword units trained on the WMT'23 training dataset [\(Kocmi et al., 2023\)](#page-10-7). We pretrain with the standard cross entropy loss.

**239 240 241** See Table [1](#page-4-2) for a comparison of the quality of our base model relative to the average quality of the WMT'20-'21 submissions, and Table [2](#page-4-3) for the range of quality across submissions (best and worst systems). On average, the submissions are higher quality than our starting base model.

**242 243 244 245** See Appendix [A](#page-11-5) for additional statistics between the base model and submissions data, including error token distributions (Figure [3\)](#page-12-0) and histograms per-sequence of quality scores between model generations and data (Figure [4\)](#page-12-1).

<span id="page-4-2"></span>Table 1: Quality of original base model and submissions data (all systems in aggregate).



Table 2: Quality of best and worst system submissions.

<span id="page-4-3"></span>

# <span id="page-4-4"></span>5.3 BASELINES

**265 266 267 268** We compare TWA with Supervised FineTuning (SFT) and Direct Preference Optimization (DPO) [\(Rafailov et al., 2023\)](#page-10-2) as baselines. SFT on the MQM annotated data is analogous to distilling the outputs of other MT systems, without taking into account the annotations. DPO is a preference learning algorithm which operates on pairs of responses to the same input given the knowledge that

<span id="page-4-1"></span><sup>2</sup><https://github.com/google/paxml>

**270 271 272 273 274** one response in the pair is preferred to another. We construct response pairs for DPO using the sequence-level MQM scores (i.e., the sum of the MQM scores of all the error spans), creating pairs from all combinations of system translations to the same source input where the MQM score is distinct. We arrived at this setting after testing multiple variations; see Appendix [B](#page-11-6) for details. In other words, DPO utilizes the annotations as additional information, but only at a sequence level.

**275 276 277 278 279 280 281 282 283** When using both submissions and references for finetuning, we treat references as error-free for TWA and TWA-seq, and treat them as better than all submissions for constructing DPO pairs; the resulting dataset for DPO thus contains all the pairs constructed from submissions only, plus additional (reference, submission) pairs for every submission. We also consider two additional baselines. First, given the quality of the data makes a big difference in the efficacy of SFT, we construct a dataset of only the references and error-free submissions and run SFT on this filtered dataset. We call this baseline Filter + SFT. Second, we also run a sequence-level analogue to TWA, where we apply a sequence-level unlikelihood loss to an output if it contains any error and cross entropy loss otherwise. We call this baseline TWA-seq.

**284 285 286** For all the methods, we use a batch size of 8192 (4096 pairs for DPO), a learning rate of 2e-6 with a constant schedule, and no label smoothing. Greedy decoding is used throughout the experiments.

**287** 5.4 EVALUATION

**289 290 291 292 293 294 295 296 297** For evaluation, we use MetricX-23 [\(Juraska et al., 2023\)](#page-10-4) and COMET-20 [\(Rei et al., 2020\)](#page-10-8) as quality metrics. MetricX-23 is a reference-based metric which scores a translation based on a reference and a hypothesis, without taking into account the source text. COMET-20 takes into account the source text, hypothesis, and reference translation. Moreover, MetricX-23 has been finetuned on MQM WMT'20-'21 data, while COMET-20 has not. Given their differences, considering both automated quality metrics helps guard against overfitting to the idiosyncrasies of either. Lower is better for MetricX while higher is better for COMET-20; hence, for checkpoint selection, we average the values of MetricX-23 and the negative COMET-20 on the validation set every 500 steps, selecting the checkpoint with the lowest score. Throughout the rest of the paper, we use MetricX and COMET to denote MetricX-23 and COMET-20, respectively.

**298 299 300 301 302 303** We use the generalMT2022 test set [\(Kocmi et al., 2022\)](#page-10-9) as our validation set for checkpoint selection, and report all results on the WMT'23 [\(Kocmi et al., 2023\)](#page-10-7) test set. The validation set contains roughly 2, 000 and 1, 900 source texts (along with their corresponding reference translations) for En→De and Zh→En, while the test set contains 600 and 2,000 examples for En→De and Zh→En, respectively. Note that the WMT'23  $En \rightarrow De$  test set is paragraph-level.

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<span id="page-5-0"></span>6 RESULTS

## 6.1 MAIN RESULTS

**308 309 310 311 312 313 314 315 316 317 318 319 320** First, we compare TWA to the baselines described in Section [5.3.](#page-4-4) We perform experiments using the submissions data alone, as well as in tandem with the human-written reference translations (one per source). We also report performance clusters based on statistically significant performance differences between pairs. For each language pair and data source (i.e. submissions only vs. submissions+references), we verify whether the measured differences between each system pair is sta-tistically significant via a paired permutation test<sup>[3](#page-5-1)</sup> using  $1000$  re-sampling runs and a significance level of  $p = 0.05$ . We then group systems with similar performance by following the clustering procedure from [\(Freitag et al., 2023\)](#page-10-10). Namely, given significance results (p-values) for all pairs of systems, we assign ranks as follows. Starting with the highest-scoring system, we move down the list of systems in descending order by score, and assign rank 1 to all systems until we encounter the first system that is significantly different from any that have been visited so far in the latter cluster. That system is assigned rank 2, and the process is repeated until all systems have been assigned a rank. This clustering is done independently for each automated metric.

<span id="page-5-1"></span>**<sup>321</sup> 322 323** <sup>3</sup>Considering each system as its distribution of the MetricX or COMET scores for each sourcetranslation pair, we test how likely a given result between pairs of systems would be if their underlying distribution of scores were the same. In code, we use  $scipy$ . stats.permutation test( $\star$ , statistic=np.mean, permutation\_type='samples')

**324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340** Table [3](#page-6-0) summarizes the results. We find that TWA significantly outpeforms all baselines in  $En \rightarrow De$ translation and is always within the top-performing cluster for all settings. All methods improve quality over the base model, which is in line with the fact that the submissions data are of higher quality overall than the base model's generations. TWA's consistent improvement over SFT suggests that even when the data is overall of better quality than the current model being finetuned (i.e., training on all the data still improves performance), it can still be beneficial to treat some spans differently than others. The fact that sequence-level baselines that take into account negative information (i.e., DPO, TWA-seq) do not necessarily improve performance over SFT highlights the challenge of attribution when utilizing sequence-level information. Namely, both DPO and TWAseq utilize more information than SFT (i.e., DPO takes into account that one sequence is preferred over another, while TWA-seq knows which sequences have errors and which ones are error-free), but they are not able to effectively utilize this information to gain a systematic improvement over a baseline that ignores this information. These results suggest that even when extra information is available, it is non-trivial to develop a method which can effectively take advantage of this information. TWA, on the other hand, is able to take advantage of span-level annotation information to outperform SFT and Filter+SFT, highlighting the effectiveness of the method. TWA's improvement over Filter + SFT (significant for  $En \rightarrow De$ ) demonstrates that it is able to utilize useful signal that is otherwise thrown away with sequence-level filtering.

**341 342 343 344 345 346 347** Overall, TWA is best performing across the board, significantly so over all baselines for  $En \rightarrow De$  and consistently in the rank-1 cluster for  $Zh \rightarrow En$ . For  $Zh \rightarrow En$  submissions only, TWA is in the same cluster as DPO for Metric-X. While DPO may seem better on Metric-X (though not significantly so), it is substantially worse on COMET (less than half the COMET score of TWA), suggesting that DPO has exploited an idiosyncracy of the Metric-X model without truly improving in overall performance. For Zh→En references and submissions, only TWA and TWA-seq are in the rank-1 cluster for both Metric-X and COMET.



**349 350 351** Table 3: Results aggregated by language pair and automatic metric. We also indicate the data sources used for each result. Models with statistically significant performance improvements are grouped in quality clusters. We highlight the best ranked models in bold.

## 6.2 TWA ABLATIONS

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**368 369 370 371 372 373 374 375 376 377** Next, we isolate the effect of the individual components of TWA in Table [4.](#page-7-0) Starting from the base model, we note first that training on all the submissions (+ SFT on submissions) improves results. Then, given knowledge of span-level errors, the most obvious next step is to treat the tokens with and without errors differently. Absent a method to deal with errors, the most straightforward next step is to include only the non-error tokens in the loss, ignoring the error tokens to prevent the model from maximizing the likelihood of them given their context. We see that this step (+ on non-error tokens only) improves results over training on all error tokens, confirming our hypothesis that training on error tokens negatively contributes to model quality. Then, we incorporate the TWA loss on error spans, whose tokens make up on average 11.0% and 13.6% of the total tokens in a given translation (see Figure [3](#page-12-0) for additional statistics on the error- vs. non-error makeup of the data). This results in further improvements, demonstrating that it is possible to improve model quality by learning from

<span id="page-7-0"></span>**378 380** Table 4: A breakdown of the components of TWA and their isolated effect on model quality. Models with statistically significant performance improvements are grouped in quality clusters, and the best ranked scores are shown in bold.



negative information over ignoring errors entirely. Finally, we ignore off-trajectory tokens, which results in substantial gains in En→De but not in Zh→En.

### 6.3 NEGATIVE LOSSES FOR TWA

**397 401 404** A key component of TWA is how to utilize error spans as negative information. In Table [5,](#page-7-1) we compare the unlikelihood loss used in TWA with the negative likelihood loss also on the span level, i.e.,  $\mathcal{L}_{NL}(\text{span}) = \log p_{\text{span}}$ . Table [5](#page-7-1) shows that unlikelihood greatly outperforms negative likelihood. This is likely due to the fact that the negative likelihood only grows in its contribution to the loss and corresponding gradient as the probability of an error span goes to zero (i.e.,  $\lim_{p\to 0} \log p_{\text{span}} = -\infty$ and  $\lim_{p\to 0} \frac{\partial}{\partial p} \log p_{\text{span}} = \infty$ ) and can thus outweigh likelihood terms as the probability of positive spans moves towards 1 (i.e.,  $\lim_{p\to 1} -\log p_{\text{span}} = 0$  and  $\lim_{p\to 1} \frac{\partial}{\partial p} - \log p_{\text{span}} = -1$ ). In contrast, unlikelihood mirrors the loss and gradient of likelihood as the span probability moves towards the desired result (i.e.,  $\lim_{p\to 0} -\log(1-p_{\text{span}}) = 0$  and  $\lim_{p\to 0} \frac{\partial}{\partial p} - \log(1-p_{\text{span}}) = -1$ 

<span id="page-7-1"></span>**408 409** Table 5: Comparison of negative losses for use on error spans. We compare unlikelihood (UL), the choice in TWA, with negative likelihood (NL).

	$En \rightarrow De$		$Zh \rightarrow En$	
	Loss Metric-X $\downarrow$ COMET $\uparrow$ Metric-X $\downarrow$ COMET $\uparrow$			
UЦ.	2.944	0.507	4.091	0.277
NL.	3477	0.491	4.730	0.108

### 6.4 ANALYZING TWA

**421 422 423 424 425 426 427 428 429 430 431** Next, we visualize how TWA changes the model distribution. For each submission output in the training data, we obtain its per-token log probabilities. Moreover, for each token we record its log probability rank under the model relative to all other tokens in the vocabulary. Both can be obtained through a single forward pass. We obtain log probability ranks for both the original base model as well as the TWA-trained model and compute the change in rank for each token from the base model to the TWA-trained model. Note that since the model is decoded via greedy decoding, changes in rank are more indicative of behavior shifts than changes in log probability. We visualize the changes in rank for four different sample training examples in Figure [2.](#page-8-1) Notably, the configuration of tokens penalized within the error span varies across different samples, demonstrating the flexibility of spanlevel error loss in enabling the model to learn which tokens to penalize—an outcome that would be challenging to encode manually with a set of heuristics. Quantitatively, we also find that utilizing a span-level error loss substantially outperforms using a token-level loss on each token in a span  $(3.325/0.495 \text{ MetricX/COMET vs. } 3.433/0.470 \text{ for token-level on } En \rightarrow De \text{ submissions only}).$ 

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Figure 2: Change in the rank of each token in the vocabulary from the base model to the TWAtrained model. Dashed red lines indicate annotated errors. Red bars show a worsening in rank, while green bars indicate improvement. TWA learns diverse patterns for penalizing specific token conditionals within an error span—patterns that would be challenging to capture with heuristics.

## 6.5 TWA WITH ON-POLICY SAMPLES

While the aforementioned experiments all utilize off-policy data generated from MT systems other than the one being finetuned, next we test the efficacy of TWA in an on-policy setting. Concretely, we obtain MQM annotations of the base model's translations and run TWA with this annotated data. We see substantial improvements in quality, from 4.203/0.429 Metric-X/COMET to 3.710/0.456 Metric-X/COMET. While these improvements from online data are not as large as those with the off-policy data, due to the fact that the submissions data is on average better quality than the base model's translations (see Table [1\)](#page-4-2), the fact that TWA significantly improves over the base model in this setting speaks to the ability of the method to specifically take advantage of annotation information.

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# <span id="page-8-0"></span>7 DISCUSSION

**463 464 465 466 467 468** In this work, we introduce Training with Annotations (TWA), a method for finetuning a language model on data with span-level error annotations. While most existing efforts have focused on utilizing sequence-level annotations, TWA can take advantage of finer-grained information for more effective learning. Our experiments on English-German and Chinese-English machine translation highlight the performance gains TWA offers compared to methods that focus solely on sequencelevel information.

**469 470 471 472 473 474** As model capabilities continue to improve, it will be increasingly difficult to rely on the collection or construction of high-quality examples as training signals. In fact, many of the MT system submissions in WMT'24 were found to surpass the quality of human-constructed reference translations, highlighting the need to move beyond demonstration data for improving existing models. MQM annotations of model generations offer a valuable alternative source of information for model training, and TWA unlocks the potential to utilize such rich information directly and simply.

**475 476 477 478 479 480 481 482 483** Yet while the experiments focus on MQM data for the task of machine translation, TWA can be used for span-level annotations broadly, paving the way for other applications of fine-grained annotations. While fine-grained information may be more expensive to collect than sequence-level information for some tasks, [Wu et al.](#page-11-0) [\(2023\)](#page-11-0) find that for long-form question-answering, the time required for humans to annotate span-level errors is comparable to the time required to label the sequence overall. Many other tasks likely fall into this same category: for instance, one needs to locate the hallucination in order to label a sequence as "has hallucination"; similarly, identifying specific spans of bias or misinformation is necessary before assigning a label such as "biased" or "inaccurate".

**484 485** There exist multiple ways to build upon TWA. One avenue for future work would be to apply TWA in settings beyond machine translation or to language models in general. Another would be to additionally take into account the fine-grained annotation information in other ways—for instance, given

**486 487 488 489 490 491 492** fine-grained information provides a natural ranking of inputs, one could consider directly providing the model with this relative quality information as well. Other interesting questions to investigate include assessing TWA on online data, analyzing the impact of the quality of the generations and annotations on resulting model performance, and exploring the repeated use of TWA for iterative refinement of a model. Finally, the fact that ignoring off-trajectory tokens was highly beneficial in one language pair but not in the other, provides an opportunity to further refine TWA to better handle off-trajectory tokens since the latter might contain additional useful information for training.

**493 494 495 496** In summary, TWA offers a straightforward method to capitalize on existing span-level annotation data as well as a reason to begin collecting span-level information in applications which currently do not. By taking advantage of previously overlooked sources of supervision, methods such as TWA can help unlock new avenues for pushing the frontier of model development.

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# <span id="page-11-5"></span>A ADDITIONAL DATASET & MODEL STATISTICS

**612 613** While Table [1](#page-4-2) and Table [2](#page-4-3) present average quality scores for the system submissions and base model, here we present additional statistics for both.

Table 6: Average length and percentage of error tokens for En-De and Zh-En translation pairs. Standard deviations are shown in parentheses.



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# <span id="page-11-6"></span>B DPO HYPERPARAMETER SWEEPS

**625 626 627 628 629 630 631 632 633 634 635 636 637 638** To ensure a fair comparison with baseline methods, we test many settings of DPO, varying the construction of the preference pairs and the method for scoring sequences to determined preferred vs. dispreferred in a pair. We set  $\beta = 0.1$ . Table [7](#page-13-0) summarizes the results. As the DPO loss seeks to increase the probability of the preferred sequence relative to its probability under the original model and decrease the probability of the dispreferred sequence relative to its probability under the original model, we first constructed pairs where the reference was always the preferred sequence in a pair. As the dispreferred sequence, we tested using the best submission (by MQM score), worst submission, or all submissions and found that using the worst submission yielded the best results. However, the performance in all these settings paled in comparison to the setting where we constructed as many pairs of distinct score submissions as possible, even without access to the reference data. Adding additional pairs using the reference data improved results further, so we chose this setting for constructing pairs. With this setting, we find that using the sum of the span-level MQM scores performs better than the mean MQM score when both references and all submissions are applied; given that sequence-level MQM scores are generally computed using the sum, we choose it over the mean.

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# <span id="page-11-1"></span>C FINE-GRAINED ANNOTATOR MODEL

**642 643 644 645 646 647** Here, we consider the endeavor of developing a model to output fine-grained annotations of a sequence. We consider two approaches, direct finetuning and in-context learning [\(Brown, 2020\)](#page-9-11) with Gemini Pro-1.5 [\(Team, 2024\)](#page-10-11). For the former, we use the WMT'20-'22 MQM datasets. For the latter, we use the MQM submissions data matching a given source input as in-context examples for annotating a given output translation for that same source. We utilize the following prompt preceding the ICL examples: "You are an annotator for the quality of machine translation. Your task is to identify errors and assess the quality of the translation". We test both approaches on the WMT'23

 

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Figure 3: Histograms of the proportion and number of errors in the training data. Left is En-De, right is Zh-En.

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Figure 4: Histogram of COMET scores across the submissions and base model generations. Source inputs come from the training data.

 test set and find that the latter (ICL) yields better results than the former (direct finetuning). Thus, we use the latter to annotate our base model generated translations.





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**714 715 716 717 718 719 720** We then ran a MQM human evaluation to collect the ground-truth annotations for these same translations and report the character-level F1 meta-evaluation metric [\(Blain et al., 2023\)](#page-9-12). In comparison to ground-truth annotations from the human MQM evaluation, ICL with Gemini achieves a characterlevel F1 meta-evaluation metric [\(Blain et al., 2023\)](#page-9-12) of only 19.14. These results highlight the loss in annotation accuracy incurred when utilizing model-based annotation of online data (required for reinforcement learning approaches). See [8](#page-13-1) for the performance of our fine-grained annotator model on the WMT'20-'21 test sets.

<span id="page-13-1"></span>**721 722 723** Table 8: Character-level F1, precision, and recall of our fine-grained annotator model when annotating outputs from our base translation model, computed with respect to human MQM annotations collected for the same translations.



# D SAMPLE TRANSLATIONS

Below, we present candidate translations from the  $Zh \rightarrow En$  experiment. Examples were chosen to emphasize differences between methods while representing a diversity of translation lengths. Concretely, we subsetted to examples where the edit distance between any pair of methods was at least 15. Then, we stratified examples into equal-sized bins based on the length of the TWA translation and chose one example from each bin. Within each strata, the example was chosen qualitatively based on ease of understanding and diversity in content.

- Reference So I simply waited patiently, when I checked my phone at midday, the order was still in the status and had not been delivered, I immediately contacted the customer service and requested that the order be canceled as quickly as possible, customer service responded that the operation was well. TWA Well, I waited patiently, and when I looked at the phone at noon, the order was still in the state of delivery, so I immediately contacted the customer service to request that the order be cancelled as soon as possible, and the customer service responded that it was OK.
	- SFT Well, I waited patiently, and when I looked at the phone at noon, the order was still in the state of delivery, and immediately contacted the customer service to ask for the order to be cancelled quickly, and the customer service responded that it was OK.
- **753 754 755** DPO I was nervous about the timing of the delivery, but when I saw the order was still in the order and the order had not been shipped, I immediately contacted the customer service to request that the order be cancelled.

