000 ENHANCING ADVERSARIAL TRANSFERABILITY THROUGH EXPLOITING MULTIPLE RANDOMIZED Trajectories for Better Global Guidance

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Abstract

Deep neural networks are well-known for their vulnerability to adversarial examples, particularly demonstrating poor performance in white-box attack settings. However, most white-box attack methods heavily depend on the target model, and the adversarial samples often get trapped in local optima, leading to limited adversarial transferability. Although techniques such as momentum, variance reduction, and gradient penalty mitigate overfitting by combining historical information with information from local regions around adversarial examples, still, much of the global loss landscape remains unexplored, hindering further performance improvements.

In this work, we find that random initialization influences the optimization of adversarial examples, making them converge at multiple local optima, leaving the rest of the loss landscape unexplored. Based on this insight, we propose two strategies: randomized global initialization and dual examples. These strategies utilize multiple optimization trajectories to capture global optimization directions, enhancing adversarial transferability. Our approach integrates seamlessly with existing adversarial attack methods and significantly improves transferability, as demonstrated by empirical evaluations on the standard ImageNet dataset.

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1 INTRODUCTION

033 Adversarial examples, which involve subtle perturbation to being samples that can mislead 034 deep neural networks (DNNs), have garnered considerable attention in recent years (Szegedy et al., 2013; Goodfellow et al., 2015; Wang et al., 2019). These examples underscore the susceptibility of DNNs and raise significant security issues across various fields, including 037 autonomous driving (Cao et al., 2019; Nesti et al., 2022; Girdhar et al., 2023), facial 038 authentication (Chen et al., 2017; 2019; Joos et al., 2022), and object detection (Li et al., 2021; Nezami et al., 2021; Zhang and Wang, 2019), among others. The investigation into adversarial examples has led to extensive research focused on enhancing the robustness (Madry et al., 2018; Shafahi et al., 2019; Zheng et al., 2020; Jia et al., 2022) and comprehension (Shumailov 041 et al., 2019) of DNNs. In summary, adversarial examples have become essential for identifying 042 vulnerabilities and improving the robustness of DNNs. 043

044 Without any knowledge about the architecture, parameters, or logits of remote victim models used in real-world applications, attackers often use local surrogate models to generate adver-045 sarial examples that can deceive these victim models, a method known as transfer adversarial 046 attacks. Various methods have been developed to improve adversarial transferability. These 047 methods include gradient-based attacks (Dong et al., 2018; Wang and He, 2021; Wang et al., 048 2021b; Ge et al., 2023), input transformation-based attacks (Xie et al., 2019; Dong et al., 049 2019; Wang et al., 2021a; 2024; 2023b; Wang and Yin, 2023), and model-related attacks (Liu 050 et al., 2017; Xiong et al., 2022; Gubri et al., 2022; Wang et al., 2023a;c). 051

Gradient-based methods form the foundation of various attack techniques, including input 052 transformation and model-related approaches. Goodfellow et al. (2015) introduced FGSM, using gradient ascent for adversarial transferability, while Kurakin et al. (2018) enhanced this

054 with iterative steps. However, adversarial optimization often stagnates in local maxima when 055 relying solely on gradients. Techniques like momentum (Dong et al., 2018), Nesterov (Lin et al., 2020), variance reduction (Wang et al., 2021b; Wang and He, 2021), and gradient norm 057 penalization (Ge et al., 2023) have improved transferability. Input transformation-based 058 methods, by incorporating input diversity at each step, further enhance generalization and attack performance. These methods underscore the importance of exploring loss landscapes for better global guidance. However, as input transformations often require predefined 060 transformations and involve higher memory and computation costs, a natural question arises: 061 Can broader loss landscape exploration be integrated into the iterative attack process of 062 gradient-based methods? 063

Unlike previous methods that focus on exploring regions around adversarial examples, our approach broadens the exploration by navigating around benign samples. Specifically, we investigate the often-overlooked role of initialization in adversarial attacks. While initialization may not significantly impact performance, it can lead adversarial optimization to multiple local optima. Based on this finding, we propose two simple yet effective strategies—randomized global initialization and dual examples—to leverage the entire loss landscape around benign samples, thereby enhancing global guidance and improving adversarial transferability.

- 071 Our contributions are summarized as follows,
 - Using t-SNE to project the optimization trajectory of adversarial examples into a visualizable latent space, we empirically validate that random initialization can guide adversarial optimization to multiple local optima without compromising adversarial transferability.
 - We propose two simple yet effective strategies—randomized global initialization and dual examples—to enhance adversarial transferability by usling multiple trajectories to explore broader loss landscapes, utilizing multiple continuous optimization trajectories to capture global information.
 - Extensive experiments on the ImageNet-1K dataset demonstrate the effectiveness of our approach, achieving state-of-the-art performance in gradient-based transferable attack settings.
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2 Related work

087 2.1 Adversarial attack and adversarial transferability 088

Since Szegedy et al. (2013) uncovered the vulnerability of DNNs to adversarial examples, 089 numerous adversarial attacks have been proposed, including 1) white-box attacks: the attacker 090 has the full knowledge of the victim model (Goodfellow et al., 2015; Moosavi-Dezfooli et al., 091 2016; Carlini and Wagner, 2017), e.q., architecture, logits. 2) black-box attacks: the attacker 092 has no prior information of the victim model. It is often impossible to access information about the target victim model in real-world scenarios, necessitating black-box attack techniques. 094 Existing black-box attacks can be grouped into three classes: score-based (Andriushchenko et al., 2020; Yatsura et al., 2021), decision-based (Li et al., 2022; Chen et al., 2020; Wang 096 et al., 2022b), and transfer-based (Dong et al., 2018; Lin et al., 2020; Wang et al., 2021a) attacks. Score-based and decision-based attacks typically require a significant number of 098 queries on the victim model, while transfer-based attacks adopt the adversarial examples generated on surrogate models to fool different victim models. This makes transfer-based 099 attacks more computationally efficient and better suited for real-world applications. Hence, 100 we focus on transfer-based attacks. Numerous researchers have devised strategies to enhance 101 adversarial transferability, concentrating mainly on three approaches: iterative gradient-based 102 optimization, input transformation-based methods, and model-related techniques. 103

Gradient-based optimization methods. I-FGSM (Kurakin et al., 2018) extends
FGSM (Goodfellow et al., 2015) into an iterative version to substantially enhance the
attack effectiveness under the white-box setting but exhibits poor transferability. MIFGSM (Dong et al., 2018) incorporates momentum to improve adversarial transferability,
while NI-FGSM (Lin et al., 2020) applies Nesterov momentum for optimization acceleration.

PI-FGSM (Gao et al., 2020) recycles the clipped adversarial perturbation to the neighbor pixels to enhance the transferability. VMI-FGSM (Wang and He, 2021) adjusts the gradient based on the gradient variance of the previous iteration to stabilize the update direction.
EMI-FGSM (Wang et al., 2021b) enhances the momentum by averaging the gradient of data points sampled from the optimization direction. GIMI-FGSM (Wang et al., 2022a) initializes the momentum by running the attacks in several iterations for gradient pre-convergence.

114 Input transformation methods. Input transformation-based attacks have shown great 115 effectiveness in improving transferability. For instance, diverse input method (DIM) (Xie 116 et al., 2019) resizes the input image to a random size, which is then padded to a fixed 117 size for gradient calculation. TIM (Dong et al., 2019) adopts Gaussian smooth on the 118 gradient to approximate the average gradient of a set of translated images to update the adversary. Scale-invariant method (SIM) (Lin et al., 2020) calculates the gradient on a 119 collection of scaled images. Admix (Wang et al., 2021a) incorporates a fraction of images 120 from other categories into the inputs to generate multiple images for gradient calculation. 121 SSA (Long et al., 2022) randomly transforms the image in the frequency domain to craft 122 more transferable adversarial examples. 123

Model-related methods. Liu et al. (Liu et al., 2017) initially discovered that an ensemble attack, which generates adversarial examples on multiple models, can result in better transferability. Li et al. (Li et al., 2020) simultaneously attack several ghost networks, which are generated by adding dropout layers to the surrogate model. Xiong et al. (Xiong et al., 2022) minimize the gradient variance across different models to enhance ensemble attacks. Gubri et al. (Gubri et al., 2022) train the model with a high learning rate to produce multiple models and attack them sequentially to improve existing attacks' transferability.

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2.2 Adversarial defense

133 To mitigate the threat of adversarial attacks, a variety of defense methods have been proposed, 134 including adversarial training Goodfellow et al. (2015); Zhang et al. (2019); Wang et al. 135 (2020), input pre-processing Guo et al. (2018), certified defense Cohen et al. (2019), etc. For 136 example, Liao et al. Liao et al. (2018) proposes a high-level representation guided denoiser 137 (HGD) to purify the adversarial examples. Madry et al. Madry et al. (2018) introduces an adversarial training method (AT) that utilizes PGD adversarial examples to train models, 138 aiming to enhance their adversarial robustness. Wong et al. (2020) employ 139 random initialization in FGSM adversarial training, leading to Fast Adversarial Training 140 (FAT), which achieves accelerated training and improved adversarial robustness comparable 141 to PGD training. Cohen et al. Cohen et al. (2019) propose a random smoothing technique 142 (RS) to provide the model with certified robustness against the adversarial examples. Naseer 143 et al. Naseer et al. (2020) design a neural representation purifier (NRP) to remove harmful 144 perturbations of images.

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146 147 3 Methodology

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3.1 TRACING THE OPTIMIZATION TRAJECTORY IN RANDOMIZED ADVERSARIAL ATTACKS

150 Initialization techniques (e.g., random start, Xavier (Glorot and Bengio, 2010), Kaiming (He 151 et al., 2015)) are widely recognized for expediting convergence in optimization problems. 152 While prior studies (Lin et al., 2020; Wang et al., 2021a; 2023b) have drawn empirical connections between neural network training and adversarial example generation in terms of 153 generalization, the role of initialization in adversarial contexts remains underexplored. The 154 first work addressing this is GIMI-FGSM (Wang et al., 2022a), which initializes momentum 155 with a pre-computed value. In this work, we conduct a more detailed investigation into the 156 impact of initialization on adversarial example generation. 157

In particular, we explore the initialization strategy of randomly initializing the adversarial
perturbation. We evaluate three attack methods: I-FGSM (Kurakin et al., 2018), VMIFGSM (Wang et al., 2021b), and GIMI-FGSM (Wang et al., 2022a). To test this, we
generate 1,000 adversarial examples targeting the ResNet-18 (He et al., 2016) surrogate
model and assess their transferability across six models: ResNet-101 (He et al., 2016),

ResNeXt-50 (Xie et al., 2017), DenseNet-121 (Huang et al., 2017), MobileNet (Howard et al., 2017), ViT (Dosovitskiy et al., 2020), and Swin (Liu et al., 2021). We present the results of different random start experiments in fig. 1. Our results demonstrate that attacks initialized with different random perturbations perform comparably to each other, where the maximum difference between attack success rates is only 1.6%, which is indicated by the surrounded shadow area of each line. However, the question remains: what does change?

168 To gain deeper insights into how perturbation initial-169 ization influences the dynamics of adversarial attacks, 170 we propose using t-distributed Stochastic Neighbor 171 Embedding (t-SNE) to project the optimization tra-172 jectory of adversarial examples into a latent space for visualization. Specifically, for a benign sam-173 ple x, we generate a series of adversarial examples 174 x_1, x_2, \ldots, x_{20} using different attack methods with 175 increasing numbers of steps $t = 1, 2, \ldots, 20$ with fixed 176 step size. To obtain the projections z_1, z_2, \ldots, z_{20} 177 in the latent space, we optimize the following loss 178 function: 179

$$\mathcal{L} = \sum_{i \neq j} P_{ij} \log \left(\frac{P_{ij}}{Q_{ij}} \right), \tag{1}$$

182 where P_{ij} is the similarity between points x_i and x_j in 183 the high-dimensional space, modeled using a Gaussian 184 kernel, and Q_{ij} is the similarity between their projec-185 tions z_i and z_j in the latent space, modeled using a 186 Student's t-distribution. By minimizing \mathcal{L} through 187 gradient descent, we iteratively adjust $\{z_t\}_{t=1}^{20}$ to pre-

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Figure 1: Results of the attack success rate (ASR) versus the epoch for I-FGSM, VMI-FGSM, and GIMI-FGSM with fixed step size.

serve the local structure of the data. This approach allows us to visualize the optimization
trajectory of adversarial examples in the latent space, reflecting their relationships in the
original high-dimensional space.

191 We present the results in fig. 2, where it becomes clear that for all three methods, different 192 random initializations lead the optimization of the same adversarial example to converge to distinct local optima. Specifically, while VMI-FGSM employs variance reduction to stabilize 193 the optimization trajectory compared to I-FGSM, it still fails to reach a consistent optimum 194 across different random initializations. Even with global momentum pre-computed for 195 momentum initialization, GIMI-FGSM does not achieve a unified global direction. Besides, 196 by examining the trajectories of different attacks, we observe that even with the same step 197 size and number of optimization steps, each attack pushes the adversarial example to different distances from the benign sample. Notably, I-FGSM converges the fastest, while VMI-FGSM 199 drives the adversarial example the farthest from the benign sample. 200

3.2 LEVERAGING MULTIPLE TRAJECTORIES TO ENHANCE THE ADVERSARIAL TRANSFERABILITY

From the visualization results, we observe that significant portions of the loss landscape remain
under-explored, causing the optimization of adversarial examples to become easily trapped
in multiple local optima around benign samples. To enhance adversarial transferability,
we propose two strategies: randomized global initialization and dual example generation.
These strategies leverage multiple parallel trajectories to explore the loss landscape more
comprehensively.

Randomized global initialization. Building on the design of GIMI-FGSM, which initializes
momentum using pre-computed global guidance, we take a further step to address the
challenge of accurately capturing true global momentum. Pre-computation is complicated
by the presence of multiple local optima near the initial benign samples. For instance, as
shown in Figure 2, running GIMI-FGSM from different random starting points often causes
adversarial examples to converge to distinct local optima, which can hinder adversarial
transferability, especially with a large number of iterations.



Figure 2: Visualization of I-FGSM, VMI-FGSM, and GIMI-FGSM with different random starts. The 20-step optimization trajectories are projected into the latent space, where the transparency indicates the step number: more transparent dots correspond to later steps.

Algorithm 1 Boosting the adversarial transferability of MI-FGSM with RGI and DE.

- **Input:** The neural network $f(\cdot)$, being sample x with the ground truth y, loss function \mathcal{L} , number of iterations T, number of dual examples K, momentum decay factor γ , number of samples used for computing the randomized global momentum N, increasing scheduled step size sequence $\{\alpha_t\}_{t=1}^T$. **Output:** The adversarial perturbation.
- 1: Initialize $\{\delta_{k,0}^{dual}\}_{k=1}^{K}$ using the random initialization, and $\delta_0 = 0$
 - 2: Initialize the momentum m_0 with 0
- 253 3: for n = 1 to N do 254
 - 4: Initialize the momentum $m_{n,0} = 0$, and randomly initialize $\delta_{n,0}$
 - for t = 1 to T' do 5:

6:
$$m_{n,t} \leftarrow \nabla_x \mathcal{L}(f(x + \delta_{n,t-1}), y) + \gamma \cdot m_{n,t-1}$$

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\delta_{n,t} \leftarrow \delta_{n,t-1} + \alpha \cdot \operatorname{sign}(m_{n,t})
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end for 8: 258

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- 9: end for 259 10: $m_0 \leftarrow \frac{1}{N} \sum_{n=1}^N m_{n,T'}$
- 260 11: for t=1 to T do
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- 12:for k=1 to K do 262
- 13:263
- $g_{k,t} \leftarrow \nabla_x \mathcal{L}(f(x + \delta_{k,t-1}^{dual}), y)$ $\delta_{k,t}^{dual} \leftarrow \delta_{k,t-1}^{dual} + \alpha_t \cdot \operatorname{sign}(g_{k,t})$ 14:264
- 15:end for 265
- $m_t \leftarrow \frac{1}{N} \sum_{n=1}^{N} g_{k,t} + \gamma \cdot m_{t-1}$ $\delta_t \leftarrow \delta_{t-1} + \alpha_t \cdot \operatorname{sign}(m_t)$ 16:266
- 17:267
- 18: end for 268
- 19: return δ_T 269

 \triangleright The $\{\delta_{k,0}^{dual}\}_{k=1}^{K}$ are periodically re-initialized

To address this issue, we posit that initialization of the global momentum warrants a thorough examination of the entire surrounding region. We randomly sample several samples in the ϵ -neighborhood of input image x to accumulate the momentum as the global momentum, denoted as randomized global initialization (RGI). By incorporating RGI, we aim to capture a more representative global momentum that takes into account the diverse local optima surrounding the initial benign sample.

276 Lines 1–10 in Alg. 1 outlines the implementation details of random global momentum 277 initialization. Given a benign sample x with its corresponding ground-truth label y, we 278 initialize N random perturbations. Each perturbation is added to a separate copy of the 279 being sample, resulting in N parallel perturbed copies. We then apply the MI-FGSM attack to each perturbed copy for a pre-defined number of iterations T'. During this process, we 280 calculate the global momentum achieved in each MI-FGSM run and compute the average 281 global momentum as the enhanced global momentum. Afterward, we reset the perturbation to 282 zero, set the momentum as the enhanced global momentum, and proceed with the adversarial attack using the enhanced global momentum in the subsequent iterations. 284

Dual Example. While RGI is introduced to capture global momentum for initialization, we further propose the dual example strategy to explore a broader loss landscape during the attack process, thereby capturing the global optimization direction more effectively. Unlike
previous approaches that explore multiple distinct points around the adversarial example at each step, we amplify the exploration region by sampling more continuous trajectories. In our strategy, each trajectory represents an independent and parallel instance of a dual example, allowing the adversarial example to be optimized across multiple trajectories simultaneously.

In detail shown in line 10–18 of algorithm 1, for an adversarial example x_{adv} to optimize, we first randomly generate N perturbations $\{\delta_n\}_{n=1}^N$ independently, draw from the Gaussian distribution and clip them to the perturbation budget ϵ . Then, we optimize the dual example by I-FGSM in line 12–15, which continuously collect diverse gradients to explore a broader loss landscape. Next, we average the collected gradients and apply them to the update policy of the main adversarial example to optimize.

298 Increasing step size. As shown in fig. 1 and fig. 2, 299 we can notice that all three attacks will converge to the local optima with converging adversarial transferability when increasing the number of iterations. 301 To further study the impact the gradients around the 302 benign sample on the adversarial transferability, we 303 adjust the step size to ϵ/T , where T = 1, 2, ..., 20, and 304 reproduce the experiments in fig. 1. With small T, 305 the utility of gradients near the benign sample and 306 the ability to escape from the local optima far away 307 are improved. As shown in fig. 3, while VMI-FGSM 308 significantly improves adversarial transferability with 309 the help of neighbor information, both I-FGSM and GIMI-FGSM, which rely more the pure gradients, 310 shows a degration with large iterations. It indicates 311 that the importance of near-sample gradients in craft-312 ing transferable adversarial examples. 313





Figure 3: Results of the attack success rate (ASR) versus the epoch for I-FGSM, VMI-FGSM, and GIMI-FGSM with varying step size.

incorporating an increasing step size and a restart mechanism into the dual example strategy.
 Instead of using a fixed step size, the increasing step size can be more efficiently to sample
 more gradients near the benign samples , thereby improving transferability. The restart
 mechanism is designed to generate more trajectories around the benign sample, allowing for
 the collection of more transferable gradients.

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³²⁴ 4 EVALUATIONS

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4.1 Experiment setup

Datasets and models. In our default setting, we randomly choose 1,000 images from the ImageNet-1K dataset (Deng et al., 2009) as our evaluation set. We use eight surrogate/victim models, comprising 1) Convolutional Neural Network (CNNs): ResNet-18 (He et al., 2016), ResNet-101 (He et al., 2016), ResNeXt-50 (Xie et al., 2017), DenseNet-121 (Huang et al., 2017), and MobileNet (Howard et al., 2017); and 2) transformers: ViT (Dosovitskiy et al., 2020) and Swin (Liu et al., 2021). We set the surrogate models as ResNet-18, DenseNet-121, and ViT, and evaluate their performance by reporting the mean attack success rate against a series of victim models.

335 Baseline methods and implementations. We apply our proposed randomized global 336 initialization (RGI) and dual example strategy to the VMI-FGSM for adversarial example generation. Since our method is gradient-based, we select a range of state-of-the-art gradient-338 based attack methods to compare with. These methods are: MI-FGSM (Dong et al., 2018), 339 EMI-FGSM Wang et al. (2021b), VMI-FGSM (Wang and He, 2021), MIG (Ma et al., 2023), 340 PGN (Ge et al., 2023), GIMI-FGSM (Wang et al., 2024), DTA (Yang et al., 2023), and 341 Anda (Fang et al., 2024). To further validate the scalability of our method, we integrate our 342 method as well as other gradient-based methods to the state-of-the-art input transformation-343 based mehtod SIA (Wang et al., 2023b). To validate the effectiveness of our proposed 344 strategies, we integrate the randomized global initialization, dual example, and decreasing 345 step size (log) to the VMI-FGSM, where the dual example is optimized by MI-FGSM 346 continuously with 5 as the number of epochs to restart.

347 **Hyper-parameters**. We set the maximum perturbation magnitude $\epsilon = \frac{16}{255}$ under the L_{∞} 348 constraint. We set the number of iterations as 10, the step size as $\frac{1.6}{255}$, momentum decay factor γ as 1, the look-ahead factor for NI-FGSM as $\frac{1.6}{255}$, the number of additional samples used in EMI-FGSM and VMI-FGSM as 11 and 20, the number of pre-computing epochs for 349 350 351 GIMI-FGSM as 5. The balanced coefficient and number of samples for variance estimation 352 in PGN are set as 0.5 and 20, respectively. The order of the approximation of the integral in 353 MIG is set as 20. The relative value for the neighborhood and decay factor for the gradient update in DTA are set as 1.5 and 0.8, respectively. In our method, we set the number of 355 samples for computing the global momentum and dual examples as 5 and 20, respectively. We use the ln sequence as the scheduled increasing step size. 356

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4.2 Attack a Single Model

We first evaluate the effectiveness of our proposed method under the setting of attacking a single model. Specifically, we use different attack methods to generate adversarial examples on three surrogate models: *i.e.*, ResNet-18, DenseNet-121, and ViT. We use one surrogate model at a time. We then evaluate the adversarial transferability of the generated adversarial examples on the eight victim models: ResNet-18, RestNet-101, ResNeXt-50, DenseNet-121, ViT, PiT, Visformer, and Swin. We demonstrate the success rate averaged over the samples separately generated by the three surrogate models intable 1.

366 As shown in table 1, our proposed method achieves state-of-the-art performance in attacking 367 all models. Specifically, compared to the runner-up method, PGN, which penalizes the 368 gradient norm on the original loss function, our method more efficiently leverages the 369 gradients near the benign samples, resulting in an improvement in adversarial transferability 370 of up to 4.2% against ResNet-101, 5.4% against PiT and Swin, and 3.0% on average. It 371 is worth noting that while PGN focuses on utilizing gradients at each step, our proposed 372 method, including RGI and DE, focuses on the continuous first few steps, where the results 373 demonstrate the superiority of our strategy.

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- 4.3 INTEGRATION TO INPUT TRANSFORMATION-BASED METHODS
- We then evaluate the compatibility of our method. We integrate the state-of-the-art input transformation-based method structure invariant attack (SIA) into different adversarial

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383	Method	RN18	RN101	RX50	DN121	ViT	PiT	Vis	Swin	Avg.
384	MI	72.1	36.8	41.2	61.5	42.8	28.2	35.7	43.6	45.2
385	EMI	87.2	49.7	53.4	78.8	18.1	28.0	42.1	47.9	50.7
386	VMI	80.7	53.6	57.5	75.6	49.7	43.4	52.4	58.5	58.9
387	GIMI	80.2	44.9	49.1	71.3	44.4	33.1	43.1	50.6	52.1
388	MIG	79.1	48.5	53.2	74.3	47.5	38.6	46.5	55.7	55.4
389	PGN	89.5	65.9	69.3	86.4	53.5	53.3	62.4	69.2	68.7
390	DTA	77.1	44.4	49.0	68.6	45.0	31.4	42.7	50.1	51.0
391	Anda	83.0	62.0	66.0	83.0	53.1	50.4	61.2	63.8	65.3
392	Ours	90.1	70.1	73.7	87.7	$\overline{59.1}$	$\overline{58.3}$	67.9	$\overline{74.6}$	$\overline{72.7}$

Table 1: Average success rates (%) of attacking eight deep neural networks using various attack methods. The results are averaged over the samples generated using the three separate surrogate models. For simplicity, we denote ResNet-18 as RN18, ResNet-101 as RN101, ResNeXt-50 as RX50, DenseNet-121 as DN121, and Visformer as Vis.

Table 2: Average success rates (%) of attacking eight deep neural networks using various gradientbased attack methods integrated with SIA. The results are averaged over the samples generated using the three separate surrogate models.

Method	RN18	RN101	RX50	DN121	ViT	PiT	Vis	Swin	Avg.
MI	84.9	64.7	69.0	83.5	49.1	51.4	62.1	66.5	66.4
EMI	90.6	70.8	75.5	88.6	50.6	56.5	68.0	72.4	71.6
VMI	89.9	77.4	79.8	89.7	59.2	64.3	74.6	78.4	76.7
GIMI	92.3	74.5	79.3	90.8	52.8	59.0	71.7	74.5	74.4
MIG	90.4	75.5	78.6	90.0	58.2	62.6	72.9	76.5	75.6
PGN	94.6	79.2	83.0	92.9	57.5	64.4	74.1	78.2	78.0
DTA	93.5	82.6	85.3	93.0	57.4	66.3	79.5	80.0	79.7
Anda	90.9	79.0	82.7	90.9	60.4	64.9	76.4	78.0	77.9
Ours	95.7	87.5	86.4	95.0	$\overline{64.3}$	70.5	85.6	87.1	84.0

attack methods. Following the setting in Wang et al. (2023b), we set the number of shuffled copies as 20 and the number of blocks as 3. Other settings during the attack process are aligned with the aforementioned experiments.

The results in table 2 demonstrate that integrating SIA into various adversarial attack methods significantly improves adversarial transferability across all tested models. Our proposed method achieves the highest average success rate of 84.0%, outperforming all other approaches. Compared to existing state-of-the-art methods like DTA and PGN, our method provides substantial improvements, with gains of up to 4.9% in average attack success rates. The largest improvements are seen in transformer-based models, with a 7.1%increase on Swin and a 4.2% increase on PiT, where traditional gradient-based methods tend to struggle. This demonstrates the effectiveness of our strategy in handling both CNNs and vision transformers, making it a powerful tool for adversarial transferability in various model architectures. These results solidify the scalability and superior performance of our method.

EVALUATION UNDER THE ENSEMBLE SETTING 4.4

Under the ensemble setting of the pool of three surrogate models, we use different methods to generate the adversarial examples and fool vanilla models as well as advanced defense methods, including adversarial training (AT) (Madry et al., 2018), high-level representation guided denoiser (HGD) (Liao et al., 2018), random smoothing (RS) (Cohen et al., 2019), and neural representation purification (NRP) (Naseer et al., 2020).

We report the results in table 3. In attacking vanilla models under the ensemble setting, our proposed method consistently achieves state-of-the-art performance, outperforming the

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436	Method	RN101	RX50	DN121	PiT	Vis	Swin	NRP	\mathbf{RS}	HGD	AT	Avg.
/37	MI	59.5	63.7	85.7	46.0	57.6	63.0	36.1	23.7	53.4	33.7	52.2
400	\mathbf{EMI}	80.4	83.5	95.6	66.3	78.4	81.5	50.8	27.3	73.9	37.0	67.5
438	VMI	75.9	78.9	92.8	63.7	72.8	75.9	52.8	27.7	70.1	36.6	64.7
439	GIMI	71.4	74.7	92.8	55.4	69.2	71.2	44.7	25.9	65.6	36.0	60.7
440	PGN	87.8	89.2	98.6	76.9	83.6	87.9	53.5	29.4	72.7	39.9	72.0
441	MIG	75.2	79.9	95.4	64.2	73.8	78.4	64.6	35.8	86.0	47.5	72.1
//2	DTA	70.2	72.8	90.4	51.2	64.6	69.3	36.2	23.0	62.4	33.8	57.4
440	Anda	87.6	89.4	98.6	77.7	84.3	85.2	57.5	28.0	88.0	37.7	73.4
443	Ours	90.1	90.6	99.5	82.3	86.2	88.9	65.3	38.2	89.5	48.6	77.7
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432 Table 3: Average success rates (%) of attacking eight deep neural networks using the adversarial 433 examples crafted by various gradient-based methods using three surrogate models under the ensemble setting. 434

runner-up method PGN by a margin of 1.9%. When targeting defense models, our method achieves the highest attack success rate of 38.2% against the most robust defense method, RS. This demonstrates the effectiveness of our approach, not only in attacking standard models but also in overcoming advanced defense mechanisms.

Ablation study and discussion 4.5

Table 4: Average attack success rate comparison for different momentum-based attacks. The left subtable presents results for global momentum initialization (GI and RGI), while the right subtable shows the success rate when applying dual example with/without ensemble strategy.

(a) Results of momentum-based attacks integrated with GI or RGI.

((b) A	ttack	$\operatorname{success}$	rate wi	th none	e (K=0)	, single
(K=1	l) and	multip	le (K=5)) dual	example	es.

	MI	NI	PI	EMI	VMI		Κ	Ι	MI	PI	VMI	GIMI
Ori. GI RGI	62.1 67.6 70.5	$\begin{array}{c} 63.7 \\ 63.6 \\ 70.6 \end{array}$		$69.2 \\ 75.1 \\ 77.6$	$76.5 \\ 77.5 \\ 83.4$	• · ·	$ \begin{array}{c} 0 \\ 1 \\ 5 \end{array} $	$\begin{array}{c} 41.4 \\ 52.8 \\ 67.3 \end{array}$	$62.1 \\ 64.5 \\ 69.2$		$76.5 \\ 79.6 \\ 80.5$	$67.6 \\ 70.9 \\ 74.1$

On the effect of random global momentum. Tab. 4a presents the results of random 466 global momentum initialization. It can be observed that global initialization has a minor or negative effect on the adversarial transferability of a few baselines, including NI-FGSM (Lin 468 et al., 2020), PI-FGSM (Gao et al., 2020), and VMI-FGSM. In contrast, the RGI method significantly improves the adversarial transferability for all the baselines, surpassing the GI 470 method with a mean attack success rate of 4.92%. These results provide further confidence in supporting our argument that proper initialization of the global momentum requires a 472 comprehensive exploration of the neighborhood. The effectiveness of the RGI method in 473 enhancing the adversarial transferability across various baselines demonstrates the importance 474 of initializing the momentum in a way that encourages more effective directions.

475 On the effect of dual example strategy. The dual example strategy is plug-and-play, 476 easily integrating into multiple existing attack methods to achieve further performance 477 improvements. To demonstrate its scalability, we integrate it into I- (Goodfellow et al., 478 2015), MI-, PI-, VMI-, and GIMI-FGSM, using these enhanced attack methods to generate 479 adversarial examples on ResNet-18 and attack other models. The mean attack success rate 480 against the victim models is used as the metric for evaluating adversarial transferability. The 481 results, presented in Tab. 4b, demonstrate clear improvements over the baseline methods. Compared to the baselines, our dual example approach achieves significant performance 482 gains on ResNet-18. Specifically, we observe improvement margins of 25.9% on I-FGSM, 483 7.5% on MI-FGSM, 4.3% on VMI-FGSM, and 6.9% on GIMI-FGSM. These results further 484 demonstrate the effectiveness of the dual example and highlight the importance of the 485 exploration of the loss landscape in attacks to enhance the adversarial transferability.

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486 On the use of scheduled step size. In our 487 proposed method, we incorporate dual examples 488 with an increasing log sequence as the scheduled 489 step to fully exploit the gradients near the be-490 nign sample and bypass local optima, thereby enhancing adversarial transferability. To inves-491 tigate the impact of different sequences on trans-492 ferability, we conducted experiments, and the 493 results are shown in table 5. Compared to using 494 a constant step size, the scheduled step sequence 495 significantly improves adversarial transferability. 496 Notably, different base attacks benefit from dif-497

Table 5: Average attack success rates (%) of classical attack methods when applying dual example with K = 5 using different sequences to schedule step size.

Sequence	Ι	MI	PI	VMI	GIMI
constant log linear exp	$67.3 \\ 68.5 \\ 67.7 \\ 69.9$	69.2 69.6 69.1 69.3	70.2 70.9 71.5 70.2	80.5 81.9 83.0 79.9	$74.1 \\ 74.3 \\ 74.5 \\ 73.3$

ferent scheduled steps: log for MI, linear for PI, and VMI, highlighting the importance of
choosing the optimal step schedule for each attack method and the necessity to fully utilize
the gradient near the benign samples to boost the adversarial transferability.

501 5 CONCLUSION

In this work, we study the problem of randomness and local optima in adversarial transferability. By leveraging t-SNE to project the optimization trajectory into a low-dimensional space,
we observe that while random initialization of adversarial perturbations has little impact
on adversarial transferability, the optimization trajectories vary significantly. Motivated by
this observation, we propose a randomized global initialization and the use of dual examples
to explore more diverse trajectories, enabling the method to overcome multiple optima
for improved performance. Extensive experiments on ImageNet-1K demonstrate that our
method achieves state-of-the-art results.

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