# Assessing Large Language Models for Valid AND CORRECT CODE REASONING

## ABSTRACT

Frontier large language models (LLMs) consider reasoning as first-class citizens: they learn to refine their reasoning process and try different strategies during training. Thereby, when prompted, can think through problems and respond better with proper reasoning. For programming tasks, this makes code reasoning a must. In this paper, we propose the task of *Code Execution Simulation (CES)* as a proxy for evaluating the code reasoning capabilities of LLMs. CES defines the notions of *valid* or *invalid* reasoning process, which enables it to *promptly* (1) determine where the execution simulation diverges from ground truth for incorrect output predictions (essential to understanding limitations of LLMs in code reasoning) and (2) identify suspiciously correct output predictions (essential to understanding reasoning shortcuts, hallucinations, or potential data leakage). In addition to evaluating LLMs' execution reasoning on a program with a single test, CES measures their reasoning consistency across tests with the same or different prime path coverage. This enables it to evaluate the code reasoning of LLMs in a spectrum: strong, weak, and random. Our results show that LLMs, to a great extent (83.32%), follow a valid reasoning process (results in 30.79% correct and 51.53% incorrect output predictions). However, their reasoning is mostly random (55.59%) or weak (41.69%), which explains their weakness in programming tasks that require flow- or path-sensitive program analysis to succeed.

# 1 INTRODUCTION

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026 Large Language Models (LLMs) have shown emerging abilities in code/test synthesis, bug/vulnera-027 bility detection, code translation, and program repair (Roziere et al., 2023; Zhu et al., 2024; Achiam 028 et al., 2023; Lozhkov et al., 2024; Reid et al., 2024; Yang et al., 2024; Mishra et al., 2024). The 029 extent to which they can reason about code execution is still under investigation. CRUXEVAL (Gu et al., 2024) and CODEMIND (Liu et al., 2024a) proposed the output prediction task for given inputs to evaluate LLMs for code execution reasoning. REVAL (Chen et al., 2024) took one step further 031 and evaluated LLMs using four runtime behavior prediction tasks: for given inputs and a statement in the program, predict (1) if the statement is covered during execution, (2) variable values after the 033 execution of it, (3) the next statement executed after it, and (4) final output. 034

These techniques lack the following essential features: Flow Sensitivity. Assessing LLMs for following the correct execution path from start to end is essential for code reasoning. CRUXEVAL and CODEMIND only concern output prediction without evaluating intermediate program states. REVAL 037 evaluates runtime behavior prediction of a subset of statements in programs, not all, due to computational overhead inherent in their design. It also prompts an LLM separately per individual statement without strategies to combine all predictions for the entire program. Diagnosis. None of these tech-040 niques can promptly and reliably determine (1) where the LLM's execution reasoning diverges from 041 the ground truth and results in incorrect output prediction, or (2) flags suspiciously correct output 042 predictions. The former is essential to understanding the limitations of LLMs in code reasoning and 043 improving the next generation of Code LLMs. The latter can reveal data contamination, halluci-044 nations, and reasoning shortcut cases, which are important trustworthiness concerns (Zhang et al., 2023; Shi et al.; Ding et al., 2024a). Reasoning Consistency. Prior techniques evaluate the reasoning of LLMs per single test, failing to study the consistency of reasoning across multiple tests with 046 potentially different coverage. Investigating such consistency can reveal the strength of inductive 047 reasoning in LLMs: a model that correctly reasons about execution across tests with different cov-048 erage is unlikely to succeed by chance (Strong Reasoning), and a model that correctly reasons about the execution across tests with the same coverage, but not those with difference coverage has a Weak *Reasoning* abilities. Otherwise, the reasoning of the model can be considered random. 051

We propose *Code Execution Simulation (CES)* task for assessing LLMs in code reasoning. CES
 unifies output prediction and intermediate program state predictions into one prompt. Asking LLM to predict all intermediate program states and output makes the task complex and can confuse it,



Figure 1: A motivating example showcasing CES reasoning (c) in root causing the incorrect output
 prediction by GPT-4 Turbo for HumanEval/37 problem (b), compared to REVAL reasoning (a)

especially since this requires reasoning across multiple statements considering execution flow (Allamanis et al.; Sabbatella et al., 2024; Chang et al., 2024). We alleviate the issue by (1) prompting the model to predict only essential decision point values (loop variables, loop iterables, predicates, conditions, and branches) and (2) instructing the task with adaptive in-context examples (using static analysis to adjust the in-context example based on the program). CES is *flow-sensitive* (evaluates the execution reasoning of a program as a whole), *scalable* (need to prompt model per program and test), and *diagnostic* (identifies execution simulation divergence points for incorrect output predictions AND rule out suspicious correct output predictions).

073 Extensive empirical evaluation of CES on two API-access (GPT-4 Turbo and Gemini-1.5 Pro) and 074 eleven open-access LLMs (different sizes and training strategies of DeepSeekCoder, CodeLlama, 075 MagiCoder, StarCoder2, and SemCoder) demonstrates that LLMs, in general, can follow a valid 076 reasoning process, resulting in 30.79% correct and 51.53% incorrect output predictions. However, 077 their reasoning is mostly random (55.59%) or weak (41.69%). Our experiments show slight agree-078 ment between CES and three other programming tasks that heavily rely on program understanding 079 and analysis (bug detection, localization, and repair), even for SemCoder that is pre-trained on execution data. This confirms why LLMs have yet to generalize to programming tasks, specifically in the real world and beyond benchmarks. CES also categorizes the root causes for incorrect or 081 suspiciously correct output predictions, which could be valuable to understanding the limitations of LLMs in code reasoning and designing the next generation of execution-aware Code LLMs. Our 083 artifacts are publicly available (Authors, 2024). 084

# <sup>085</sup> 2 MOTIVATING EXAMPLES

Understanding where the model's execution simulation diverges from real execution can reveal im-087 portant facts about LLMs' code understanding. Can existing code execution reasoning approaches diagnose the inception of such divergence? To answer this question, consider the example in Figure 1-b, which shows the code and corresponding test for the HumanEval/37 problem. CODEMIND, 090 REVAL, and CES unanimously show that GPT-4 Turbo cannot correctly predict the output of this 091 program for the given inputs. CODEMIND provides no additional information on where the model 092 lost the execution track, producing incorrect output prediction. REVAL selects statements 7, 9, and 10 as representative statements for code reasoning. For each statement, it individually prompts GPT-4 Turbo to predict if the statement is covered in the execution (prompt1), what are the variable values 094 after the execution (prompt2), and what is the next statement to be executed (prompt3). For the last 095 statement, it also asks the model to predict the final output value (prompt4). Figure 1-a summarizes 096 the GPT-4 Turbo response to REVAL's 10 prompts.

GPT-4 Turbo correctly predicted prompt1 for all selected statements. When it comes to loops, REVAL's ground truth values for predicting program states (prompt2) and the next statement to be executed (prompt3) consider the first loop iteration. Looking at the results of prompt2, we can see that GPT-4 Turbo correctly predicts the value of ans in the first iteration, but the predicted value for this variable in statements 9 and 10, and hence the output, are different from the ground truth values. The model's response to the next statement execution prediction (prompt3) does not match the ground truths in any statement.

This example highlights the issues of individual statement reasoning of REVAL and inconsistencies
 that prevent diagnosis of execution simulation divergence: the reasoning response corresponding to
 statement 7 (highlighted in purple) suggests that LLM terminates the loop after the first iteration
 (it predicts statement 8 to execute next). When prompting the model to predict runtime properties



Figure 2: A motivating example showcasing CES reasoning (c) in identifying suspiciously correct prediction of GPT-4 Turbo for the HumanEval/156 problem (b), compared to REVAL reasoning (a)

of statement 9, the response (highlighted in blue) suggests that LLM does iterate over the loop
 and populates ans with more items (inconsistency 1). Looking at the model's response to reasoning
 about statement 10 (highlighted in green), we can see that there is also an inconsistency in predicting
 the value of ans and predicting output, which are the same thing (inconsistency 2). Given all these
 logical inconsistencies, one cannot reliably identify execution simulation divergence using REVAL.

125 CES prompts LLMs to predict values of loop variables (e and o), loop iterable (zip (evens, 126 odds)), predicate (len (evens)>len (odds)), branch (if statement), and output (ans). Figure 1-127 c shows the response of GPT-4 Turbo to CES's prompt. We can clearly see that the model simulates 128 the execution from the program's start to the end, and predicted values, even if incorrect, are consistent throughout the program. These results suggest that the divergence from ground truth is due 129 to misprediction of the loop variable  $\circ$  at statement 6. Although the simulation correctly follows the 130 control and data flow of the program, the misprediction of  $\circ$  propagates and results in incorrect out-131 put prediction. This diagnosis is possible by prompting GPT-4 Turbo once, compared to 10 prompts 132 in REVAL that cannot provide reliable insights. 133

In addition to identifying simulation divergence, it is also important to *rule out* suspiciously correct output predictions. Such cases can happen due to data contamination (the expected output of the program for given inputs has been seen during training), hallucinations (correct prediction based on previously incorrect ones), or shortcuts (predicting the code logic based on the function name not understanding the code). As we will show in this paper, this phenomenon is common, even in the best LLMs such as GPT-4 Turbo and Gemini-1.5 Pro (§5.4).

Figure 2 demonstrates the ability of CES in detecting such case when prompting GPT-4 Turbo for 140 reasoning about HumanEval/156 problem, compared to REVAL and CODEMIND. All three ap-141 proaches show that GPT-4 Turbo correctly predicts the output for the given input. GPT-4 Turbo 142 correctly predicts prompt1, prompt2, and prompt3, thereby, REVAL marks its reasoning (incremen-143 tally) consistent. On the other hand, GPT-4 Turbo fails to correctly predict the value of loop variable 144 numbers in Line 8, starting from iteration 6. This impacts the prediction related to the inner loop, 145 resulting in incorrect prediction of values for div at the same iteration. In real execution, these in-146 correct predictions would propagate to an incorrect output prediction. However, the predicted output 147 matches the ground truth. CES marks this reasoning process invalid and discards the suspiciously 148 correct output prediction. Further manual investigation shows that even the step-by-step natural 149 language reasoning of the model for this case is incomplete (it only explains a high level of code 150 without considering program states and variable values) and incorrect (finally, it incorrectly predicts 151 the output to be "clii" rather than "clli"). Thereby, CES correctly identified and discarded it.

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# 3 CODE EXECUTION SIMULATION (CES)

154 A program  $P = \{s_i | s_i \in S_{assign} \cup S_{loop} \cup S_{condition} \cup S_{return}\}$  is a set of statements that can 155 assign a value to a variable  $(S_{assign})$ , introduce recursions in the logic  $(S_{loop})$ , cause branches in the 156 control flow ( $S_{condition}$ ), or terminate the program execution ( $S_{return}$ ). Given input(s) I to P, CES 157 evaluates LLMs in predicting properties related to  $S_{loop}$ ,  $S_{condition}$ , and  $S_{return}$  statements. These 158 statements identify the start or end of basic blocks in the program and can capture mispredictions in the assignment statements inside the block. Furthermore, asking a model to predict all intermediate 159 variable values can make the task complex, preventing proper responses to evaluate them (Liu et al., 2024b). In this section, we first define these properties (\$3.1) and explain how the CES prompts 161 models and evaluates their abilities in simulation execution (§3.2). We also define the notions of valid or invalid reasoning process (§3.3) and how this enables CES to diagnose suspiciously correct output prediction or determine where the simulation diverges from execution (§3.4).

# 165 3.1 PROGRAM PROPERTIES DEFINITIONS

166 **Definition 1. Loop Properties.** A program may contain m ( $m \ge 0$ ) loop statements. A loop 167 statement  $l_j \in S_{loop} = \{l_1, \ldots, l_m\}$  consists of two main components: loop variable  $(V_{l_j})$  and 168 loop iterable  $(I_{l_i})^1$ . The loop variable keeps track of the iterations, and the loop iterable defines 169 the values or orders of the loop variable. In the example of Figure 1-b, the statement in Line 6 170 is a loop statement. The loop variables are e and o, and the loop iterable is zip (evens, odds). For each  $l_i$  in a given program P and concerning input(s) I, CES will ask the LLM to predict the 171 values of all  $V_{l_s}$ s. The loop iterable can be a compound, i.e., consists of multiple variables or API 172 calls. As a result, CES asks the LLM to predict values of all sub-components. The rationale here 173 is to correctly identify the root cause for simulation divergence. In the example of Figure 1-b, the 174 LLM may diverge from ground truth by mispredicting the values of evens or odds, or it may fail 175 to understand the logic of zip API in Python library, mispredicting the return value of it even with 176 correct values of evens and odds. 177

Definition 2. Condition Properties. A program may 178 contain  $n \ (n \ge 0)$  conditional statements. A conditional 179 statement  $c_j \in S_{condition} = \{c_1, \ldots, c_n\}$  represents a branch  $B_{c_i}$  in the control flow, which may be taken or not 181 during execution, depending on the value of the condi-182 tional predicate  $P_{c_i}$ . A predicate can be a compound, i.e., 183 consisting of multiple sub-predicates connected by logical operators. For example, a conditional statement if (x 185 > 0 & x = y < 0 consists of two sub-predicates, x > 0186 and y < 0. Compared to related research (Chen et al., 187 2024; Tufano et al., 2023) that evaluate LLMs only for predicting the branches to be taken or not, CES performs 188 a finer-granularity assessment, asking LLMs to predict all 189 sub-predicates, the predicate, and the branch. A mispre-190 diction at each could indicate a specific limitation of LLM 191 in code reasoning: an LLM that correctly predicts sub-192 predicates but not the predicate may struggle with rea-





soning about complex logical expressions. An LLM that can correctly predict sub-predicates and
 predicate, but not the branch, struggles in understanding program construct semantics. As we will
 explain later in this section, this level of granularity also enables CES to identify invalid reasoning.

**Definition 3. Return Properties.** A program may contain k ( $k \ge 0$ ) conditional statements. A return statement  $r_j \in S_{return} = \{r_1, \ldots, r_k\}$  defines the output of the program (a value to be returned or message to be logged),  $O_{r_j}$ , once the execution terminates. A return statement can also be compound; in such cases, CES breaks it down into the sub-components and evaluates the LLM in predicting the values per each.

202 3.2 PROMPTING AND METRICS

203 Figure 3 shows the prompt template in CES. Regard-204 less of the number of loops, conditions, and return 205 statements, and whether the loops or conditions are 206 nested, CES asks the model to predict all properties 207 during the execution simulation of given inputs. This enables a flow-sensitive assessment of the models in 208 code execution simulation, showing where the simu-209 lation starts to converge from real execution. Figure 4 210 demonstrates the annotated code in the prompt used 211



Figure 4: Annotated code in CES's prompt

to evaluate GPT-4 Turbo in the illustrative example
 of Figure 1. CES leverages in-context learning (Brown et al., 2020) to introduce code execution simulation task to LLMs.

<sup>&</sup>lt;sup>1</sup>Note that loop iterable may not explicitly be specified in the loop statement, e.g., highlighted while statements in Figure 2-b only have loop variables.

216 When in-context examples closely resemble the problem, performance improves significantly due 217 to the model's generalization from familiar patterns (Ye et al., 2023; Zhang et al., 2022). As a result, 218 CES constructs a pool of examples reflecting different combinations of programming constructs 219 (details in §A.1), e.g., nested loops or conditional statements. When constructing the prompt, it per-220 forms a lightweight static analysis on P to find the most relevant in-context example from the pool. It also prompts LLMs with implicit Chain-of-Thoughts (CoT) (Wei et al., 2022). After receiving 221 the response of model M for simulating the execution of program P under inputs I, CES com-222 pares the ground truth with the predicted values for properties of individual statement in  $l_i \in S_{loop}$ , 223  $c_i \in S_{condition}$ , and  $r_i \in S_{return}$  as below: 224

$$CES(M, P, I, X_{y_j}) = \left[ \sum_{w=1}^{z} \left[ M(P, I, X_{y_{j_w}}) = GT(P, I, X_{y_{j_w}}) \right] = z \right]$$
(1)

where  $X_{y_j}$  represents individual  $V_{i_j}$ ,  $I_{l_j}^{w-1}B_{c_j}$ ,  $P_{c_j}$ , and  $O_{r_j}$  properties<sup>2</sup>.  $X_{y_j}$  can be compound, consisting of multiple sub-components  $X_{y_{j_w}} = \{X_{y_{j_1}}, \dots, X_{y_{j_z}}\}$ . As a result, Equation 1 evaluates whether model's prediction and ground truth values for sub-components of property  $X_{y_j}$  match or not.  $CES(M, P, I, X_{y_j})$  is 1 only if the model correctly predicts all sub-components of  $X_{y_j}$ .

231 3.3 DETERMINING THE VALIDITY OF REASONING

The result of  $CES(M, P, I, O_{r_j})$  in Equation 1 shows whether the model can correctly predict the output of P for given inputs I. The results of  $CES(M, P, I, V_{l_j})$ ,  $CES(M, P, I, I_{l_j})$ ,  $CES(M, P, I, B_{c_j})$ , and  $CES(M, P, I, P_{c_j})$  will be used to determine if the model's reasoning process is valid or invalid. The notion of reasoning validity enables CES to detect suspiciously correct output predictions under invalid reasoning process (§3.4), identify where the simulation diverges from ground truth (valid reasoning process followed by incorrect output prediction §3.4), (3) define a new self-consistency notion with respect to code reasoning (§4).

We determine the cases where code reasoning process is *invalid*, and consider the rest as valid. With respect to the properties that CES evaluates during execution simulation, we define three main cases of invalid reasoning process, evaluated using the equations below: m+n

$$(CES(M, P, I, O_{r_j}) = 1) \land (\prod_{j=1}^{M+n} CES(M, P, I, X_{y_j}) = 0)$$
 (2)

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$$CES(M, P, I, P_{c_j}) \stackrel{j=1}{\neq} CES(M, P, I, B_{c_j})$$
(3)

$$\exists \ j \ such \ that \ (CES(M, P, I, X_{y_j}) = 1) \land (\prod_{w=1}^{\infty} [M(P, I, X_{y_{j_w}}) = GT(P, I, X_{y_{j_w}})] = 0)$$
(4)

At the highest level (represented by Equation 2), the reasoning is invalid if at least one of the inter-248 mediate predictions (Equation 1) is incorrect, but the output is correctly predicted. The illustrative 249 example in Figure 2 shows such a case, which never happens in the real execution of the program, 250 as the incorrect program state will be propagated to the output. As we will show (§5.4), such invalid 251 reasoning process is common in LLMs due to hallucination, CoT shortcuts, and potential data leakage. There are other invalid reasoning process cases, regardless of the outcome of output prediction: 253 for conditional statement, incorrect prediction of predicate and correct prediction of branch demon-254 strates invalid reasoning process (Equation 3); For compound properties, incorrect prediction of at 255 least one sub-component and correct prediction of the compound also indicates invalid reasoning 256 process (Equation 4). In the example of Figure 1, where GPT-4 Turbo mispredicts values of odds, if it correctly predicted the values of zip (evens, odds), it could be considered an invalid reasoning. 257

259 3.4 DIAGNOSIS

CES can promptly identify suspiciously correct outputs upon receiving the response from the model. To that end, it checks whether at least one of the conditions in Equations 2–4 exists in the response and marks the reasoning as invalid. For cases where the output prediction is correct for the simulation of P under I, CES marks the correct output prediction as suspiciously correct for further investigation and excludes it from correct prediction results.

For the cases where the reasoning is *valid* but the output prediction is *incorrect*, CES identifies all the program points where a misprediction occurs ( $CES(M, P, I, X_{y_j}) = 0$ ). Given that the reasoning process has been checked to be valid firsthand, the first incorrect prediction likely propagates in the execution simulation, causing the LLM to mispredict subsequent properties. Thereby, identifying

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<sup>&</sup>lt;sup>2</sup>The breakdown of the equation can be found in §A.5.



Figure 5: HumanEval/35 program (a), its corresponding control flow graph (b), and the prime path coverage of three test inputs for this program (c)

where the simulation execution starts to diverge from the real execution can reveal important information about the limitations of the models in code reasoning. CES follows a flow-sensitive static analysis on the program P, and selects the first statement with  $CES(M, P, I, X_{y_j}) = 0$ . Given that the reasoning is valid, the misprediction at this point will propagate, resulting in other intermediate incorrect properties prediction and, ultimately, incorrect output prediction. In the illustrative example of Figure 1, the misprediction of e results in subsequent incorrect output predictions.

#### <sup>35</sup> 4 The Spectrum of Code Execution Reasoning

The code that LLMs generate should be comprehensively tested using tests that cover all the execution paths in the program. Otherwise, one can falsely claim an incorrect code to be correct. Similarly, one cannot claim victory on code reasoning until the LLM can correctly simulate all the execution paths. CES introduces the spectrum of code reasoning and evaluates the models based on their reasoning consistency across different execution paths.

Figure 5 shows a program with its control flow graph. Due to the existence of a loop, the number of execution paths for this program depends on the input. To account for this issue and having a bounded number of execution paths, CES considers *prime paths* in the control flow graph (Ammann & Offutt, 2017). A prime path in a cyclic graph is a path between two arbitrary nodes that does not visit any node more than once except for the starting and ending nodes. Figure 5-c shows three tests for the program of Figure 5-a and their prime path coverage during test execution. The union of these tests covers all the prime paths, hence, critical execution sequences in the program.

Given a program P, test inputs  $I = \{i_1, \ldots, i_n\}$ , and corresponding prime path coverage of tests  $T_{cov} = \{cov_1, \ldots cov_n\} \ (0 < cov_i \le 1)$ , we define the spectrum of code reasoning as follows:

301 Definition 4. Strong Reasoning. An LLM archives a strong level of code execution reasoning on
 302 program P, if it can consistently simulate the execution of P under test inputs with *different* prime
 303 path coverage correctly.

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 $\forall (p \neq q), j \quad (cov_p \neq cov_q) \land (CES(M, P, i_p, X_{y_j}) = CES(M, P, i_q, X_{y_j}) = 1)$ (5)

**Definition 5. Weak Reasoning.** An LLM archives a weak level of code execution reasoning on program P, if it can only consistently simulate the execution of P under test inputs with the *same* prime path coverage correctly.

$$(\forall (p \neq q), j \quad (cov_p = cov_q) \land (CES(M, P, i_p, X_{y_j}) = CES(M, P, i_q, X_{y_j}) = 1)) \land (\exists (r \neq p), k \quad (cov_r \neq cov_p) \land (CES(M, P, i_r, X_{y_k}) = 0))$$

$$(6)$$

An LLM is randomly reasoning about program P, if there exists no consistency in correctly simulating the execution of test inputs regardless of their coverage.

For the example of Figure 5, GPT-4 Turbo consistently and correctly simulates the program execution across three tests, covering all the prime paths. As a result, it achieves a strong reasoning for this program. Our definition of strong reasoning does not require test inputs covering all the prime paths but only different ones. Even with such slack, we observe that even the frontier LLMs achieve strong reasoning for a handful of programs in the HumanEval benchmark (§5.3).

321 5 EVALUATION

To demonstrate the effectiveness of CES, we investigate the following research questions:

RQ1: Performance in CES. To what extent can LLMs simulate the program execution?

- RQ2: Reasoning Consistency Across Multiple Tests. To what extent can LLMs consistently simulate the same or different execution path of the same program?
- RQ3: Incorrect and Suspiciously Correct Output Predictions. At which program points are
   LLMs more likely to start diverging from the real execution? What are the potential root
   causes for incorrect and suspiciously correct output predictions?
- RQ4: Agreement Between CES and Other Programming Tasks. Is there any correlation be tween the performance of LLMs in CES and programming tasks that inherently require control- and data-flow awareness?
- 332 333 5.1 EXPERIMENTAL SETUP

334 Subject LLMs We select thirteen pre-trained or instruction-tuned models of different sizes, covering 335 both general-purpose and Code LLMs: GPT-4 Turbo (Achiam et al., 2023), Gemini-1.5 Pro (Team 336 et al., 2023), CodeLlama (Roziere et al., 2023) (Base-7b, Instruct-7b, Base-13b, Instruct-13b, 337 Instruc-34b), DeepSeekCoder (Bi et al., 2024) (Instruct-6.7b, Base-6.7b, Instruct-33b), Magicoder-338 S-6.7b (Wei et al., 2023), SemCoder-S (Ding et al., 2024b), and StarCoder2-15b (Lozhkov et al., 2024). We downloaded the open-access LLMs from HuggingFace (hug, 2024) and followed the 339 best prompting practices from their official documents to ensure proper evaluation. Our experimen-340 tal setting enforces temperature zero for all the models to ensure the reproducibility of results. For 341 other parameters, we use the default setting of each model. 342

Subject Programs We evaluate subject LLMs on the HumanEval (Chen et al., 2021), the most 343 widely used programming dataset of 164 Python programming problems. Our selection of this 344 benchmark for evaluation is two-fold: First, evaluating the most recent Code LLMs under different 345 programming tasks demonstrates a great performance on HumanEval. As we will show, such an 346 outstanding performance is not necessarily due to code understanding, and many of them involve 347 incorrect and unreasonable CoT shortcuts (§A.6); hence, it should not be considered a victory. Fur-348 thermore, HumanEval comes with extra artifacts, i.e., human-written bugs, which are required for 349 RQ4 to evaluate the agreement between CES and bug-related tasks. The programs in HumanEval 350 are mostly standalone methods but are challenging in terms of algorithmic complexity. Prior re-351 search also has shown that (Liu et al., 2024a) the mean and median cyclomatic complexity of the 352 programs in this benchmark is close to other programming benchmarks. For each problem in the Hu-353 manEval dataset, we randomly selected three tests. When sampling, we did not control for coverage to be fair for all programs and include all of them in the evaluation. 354

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# 5.2 RQ1. PERFORMANCE IN CES

Table 1 shows the detailed results of evaluating subject LLMs in code execution simulation. We break down the results into four categories of programs in the HumanEval dataset: programs with conditional statements only (CO), programs with loop statements only (LO), programs with both loops and conditional statements (LC), and programs with none of these programming constructs (Others). For the last category, the CES task becomes a simple output prediction; thereby, correct and incorrect intermediate reasoning is not applicable. When reporting the aggregated result (last four rows), we count them under *Valid Reasoning* rows, depending on the output prediction. We categorize our observations based on the validity of the reasoning as below:

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• *Valid Reasoning Process*. LLMs are more likely to simulate the execution with valid (83.32%) than invalid reasoning process (16.68%). However, they mostly yield incorrect output predictions.

 [Impact of size]: Within the family of models, LLMs with more parameters always outperform
 smaller ones on correct output prediction: the performance improves from 18.40% (CodeLlama-Instruct-7b) to 25.17% (CodeLlama-Instruct-34b) and from 31.51% (DeepSeekCoder-Instruct-6.7b) to 39.43% (DeepSeekCoder-Instruct-33b). Between the models of different sizes, bigger models outperform smaller ones, except DeepSeekCoder-Instruct-370 Gemini-1.5 Pro in correct output prediction.

[Impact of instruction-tuning]: Instruction-tuning, although slightly, improves the performance
of LLMs in code execution simulation: for CodeLlama-7b, CodeLlama-13b, and DeepSeekCoder6.7b, the instruction-tuned version outperforms the base with the margin of 1.96%, 1.65%, and
3.47%, respectively. This is because the instruction-tuned versions follow prompt instructions
better, which is important since code execution simulation is a complex task. For SemCoder (Ding et al., 2024b), fine-tuned on DeepSeekCoder-Base-6.7b with *execution data*, the improvement is

Table 1: Performance of LLMs in Code Execution Simulation (CES) task considering all the three sampled tests per each HumanEval program (total 164 programs). **CO**: programs with conditional statements, **LO**: programs with loops, **LC**: programs with both loops and conditional statements. We highlight the top three best-performing models with red  $(1^{st})$ , green  $(2^{nd})$ , and blue  $(3^{rd})$ .

Drogromo	р,	odiations	Subject LLMs												
rrograms	r r	redictions			CodeLlan	na		D	eepSeekCod	er	MagiCoder-S	SemCoder-S	StarCoder2	Gemini-1.5	GPT-4
			(Inst-7b)	(Base-7b)	(Inst-13b)	(Base-13b)	(Inst-34b)	(Inst-6.7b)	(Base-6.7b)	(Inst-33b)	(6.7b)	(6.7b)	(15b)	(Pro)	(Turbo)
	Valid	Correct Output	23.37%	20.90%	25.97%	23.38%	30.88%	38.89%	37.50%	41.67%	29.17%	47.22%	40.28%	54.17%	81.94%
CO(24)	Reasoning	Incorrect Output	42.86%	53.64%	44.16%	45.45%	50.00%	36.11%	51.39%	36.11%	52.78%	38.89%	50.00%	30.56%	8.33%
CO(24)	Invalid	Correct Output	16.88%	25.47%	22.08%	24.68%	19.12%	19.44%	11.11%	20.83%	18.06%	12.50%	6.94%	13.89%	9.72%
	Reasoning	Incorrect Output	16.88%	0.00%	7.79%	6.49%	0.00%	0.00%	0.00%	1.39%	0.00%	1.39%	2.78%	1.39%	0.00%
	Valid	Correct Output	8.11%	0.00%	40.54%	35.14%	35.29%	47.22%	38.89%	52.78%	41.67%	41.67%	40.54%	38.89%	55.56%
LO (12)	Reasoning	Incorrect Output	64.86%	62.16%	43.24%	51.35%	61.76%	36.11%	52.78%	30.56%	44.44%	47.22%	52.78%	36.11%	5.56%
	Invalid	Correct Output	27.03%	37.84%	16.22%	13.51%	22.55%	16.67%	8.33%	16.67%	13.89%	11.11%	8.33%	27.78%	38.89%
	Reasoning	Incorrect Output	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	Valid	Correct Output	3.69%	2.46%	7.37%	4.15%	6.86%	11.56%	7.11%	15.56%	11.56%	10.22%	16.00%	12.44%	36.00%
LC (75)	Reasoning	Incorrect Output	68.66%	77.72%	71.43%	64.98%	70.59%	56.89%	68.89%	55.56%	65.33%	61.33%	53.33%	43.56%	18.67%
LC (75)	Invalid	Correct Output	26.73%	19.82%	21.20%	30.41%	22.55%	30.67%	24.00%	28.44%	22.67%	28.00%	30.22%	40.44%	45.33%
	Reasoning	Incorrect Output	0.88%	0.00%	0.00%	0.44%	0.00%	0.89%	0.00%	0.44%	0.44%	0.44%	0.44%	1.78%	0.00%
Others (53)	Cor	rect Output	40.37%	37.93%	42.59%	34.19%	46.15%	52.83%	50.94%	69.18%	62.26%	62.89%	67.30%	65.41%	88.05%
Others (55)	Incor	rect Output	59.63%	62.07%	57.41%	65.81%	53.85%	47.17%	49.06%	30.82%	37.74%	37.11%	32.70%	34.59%	11.95%
	Valid	Correct Output	18.40%	16.44%	19.14%	17.49%	25.17%	31.51%	28.04%	39.43%	32.72%	34.96%	37.80%	38.21%	60.98%
Total (164)	Reasoning	Incorrect Output	61.85%	66.69%	61.11%	67.07%	61.47%	49.28%	58.75%	42.88%	53.05%	49.39%	46.14%	38.22%	14.02%
1041	Invalid	Correct Output	16.67%	16.87%	18.52%	14.20%	13.37%	18.09%	13.21%	17.28%	14.02%	15.45%	15.45%	22.56%	25.00%
	Reasoning	Incorrect Output	3.09%	0.00%	1.23%	1.23%	0.00%	1.12%	0.00%	0.41%	0.21%	0.20%	0.61%	1.01%	0.00%

6.92%. SemCoder also outperforms instruction-tuned models of the same size or even bigger, demonstrating the impact of execution-aware fine-tuning in better code reasoning<sup>3</sup>.

**[Impact of code]**: We can observe that LLMs struggle with loops (row *LO*) and complex programs with different code constructs (row *LC*), as the average correct output prediction for programs in these categories drop significantly compared to *CO* programs. Given the simplicity of programs in HumanEval compared to real-world code, this indicates LLMs may struggle more in reasoning about such complex code.

 Invalid Reasoning Process. Surprisingly, LLMs with good performance in valid reasoning process. and correct output prediction also result in more invalid reasoning. Among all the models, GPT-4 Turbo and Gemini-1.5 Pro generate more invalid reasoning cases, 25% and 23.57%, respectively. In a similar trend, the base models that previously underperformed instruction-tuned models gen-erate less suspiciously correct outputs. As we will discuss later with more details and in-depth analysis (§5.4), we speculate this is due to the interference of natural language reasoning and code reasoning. That is, instruction-tuned models that are better aligned with natural language instruc-tions may *override* code reasoning with natural language reasoning shortcuts or hallucinations. The same observation holds for SemCoder, which generates more suspiciously correct predictions compared to DeepSeekCoder-Base-6.7b. While SemCoder incorporates execution information such as coverage, orders, and program states, it uses natural language monologues for instruction tuning. As a result, it falls into the same trap as other models and hallucinates with invalid reason-ing process. We believe these results should initiate rethinking about pre-training or fine-tuning strategies for more realistic Code LLMs that can better reason about code.

#### 5.3 RQ2. REASONING CONSISTENCY ACROSS MULTIPLE TESTS



Figure 6: The reasoning strength of subject LLMs on HumanEval programs. The red line marks the percentage of subjects with at least two tests of different prime path coverage To evaluate the extent to which LLMs can reason about different execution paths of the same program, we computed the percentage of programs they can *strongly*, *weakly*, or *randomly* simulate executions. We used Equations 5–6 and divided the values by the total number of programs in HumanEval. Figure 6 shows the result of this study. Given our random threetest selection process and since some programs are simple with no branches, only 52 out of 164 programs (31.71%) had at least two tests with different prime path coverage. The red line in Figure 6 marks this max number.

These results show that  $55.59\%,\,41.69\%,$  and 2.72% of reasoning, on average across all sub-

ject LLMs, are random, weak, and strong. The notion of self-consistency among different tests is simpler than self-consistency among different tasks (Min et al., 2023; Huang et al., 2023) since

<sup>&</sup>lt;sup>3</sup>Per information in the paper, the authors have decontaminated HumanEval problems from their dataset.



Figure 7: Comparison of simulation divergence locations for top six models across Loop Only (a), Condition Only (b), and Loops and Condition (c) programs. Models' names are abbreviated as follows: CL (CodeLlama-Instruct-34b), DS (DeepSeek-Coder-Instruct-33b), Gemini (Gemini-1.5-Pro), GPT (GPT-4-Turbo), MC (MagiCoder-S), and SC (StarCoder2-15b).  $\langle V_l, I_l, P_c, B_c \rangle$  labels represent location of divergence as  $\langle loop variable, loop iterator, conditional predicate, conditional$  $branch <math>\rangle$ . *x* denotes the observation holds regardless of the values for a given variable

the task here is not changing. Yet, state-of-the-art LLMs cannot consistently simulate the same
execution paths under different inputs. These results confirm that self-consistency across multiple
tests is a vital evaluation metric and should be considered more seriously when evaluating LLM's
performance in other programming tasks.

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#### 5.4 RQ3. INCORRECT AND SUSPICIOUSLY CORRECT OUTPUT PREDICTIONS

**Incorrect Output Prediction.** CES can automatically determine where the execution simulations 452 diverge from the actual program execution. Figure 7 compares the simulation divergence locations 453 across different categories of programs for the top six best-performing models from Table 1. We 454 label the locations with quadruples  $\langle V_l, I_l, P_c, B_c \rangle$ , where the elements represent loop variable, loop 455 iterator, conditional predicate, and conditional branch, respectively (§3.1). The values of 1 and 0 456 for an element indicate whether it is correctly predicted. The elements that are not applicable per 457 program category or whose values do not impact the label are marked with an 'x.' The polygons in-458 side the spider charts are mostly non-convex and overlapping, demonstrating different behaviors of 459 the LLMs. In LO programs, mispredicting loop iterables—(1,0,x,x)—is the most common reason to 460 initiate the divergence. For CO programs, mispredicting predicates and branches simultaneously— (x,x,0,0)—results in divergence. In LC programs, LLMs tend to mispredict both loop properties— 461 (0,0,x,x), resulting in divergence from ground truth. We manually investigated these cases to un-462 derstand why the predictions differed from ground-truth values. Our investigations reveal several 463 shortcomings of the subject LLMs as follows: (1) LLMs fail to track loop iterable whose value is 464 dynamically changing inside the loop (Listing 3 (HumanEval/13, DeepSeekCoder-Instruct-33b)); 465 (2) LLMs may struggle to reason about compound properties, e.g., conditional statements with mul-466 tiple sub-predicates (Listing 4 (HumanEval/57, Gemini-1.5 Pro)); (3) LLMs tend to hallucinate on 467 branch decision making, i.e., although they correctly predict the predicate values, they mispredict if 468 the branch will be taken or not (Listing 5 (HumanEval/148, GPT-4 Turbo)); (4) Nested constructs 469 (if/loop inside another if/loop) can make it harder for LLMs to simulate program execution (List-470 ing 6 (HumanEval/73, CodeLlama-Instruct-13b), Listing 7 (HumanEval/142, MagiCoder-S), and Listing 8(HumanEval/12, DeepSeekCoder-Instruct-6.7b); (5) LLMs may struggle to reason about 471 complex arithmetic/logic operations (Listing 9 (HumanEval/47, GPT-4 Turbo)); and (6) LLMs may 472 mispredict return value of API calls (Listing 10 (HumanEval/160, Gemini-1.5 Pro)). 473

474 Suspiciously Correct Output Prediction. CES automatically detects suspiciously correct output 475 predictions. However, it cannot explain why LLMs make such mistakes. We manually investigated 476 them deeper to better understand the root causes of suspiciously correct output predictions. The 477 most common culprit is the CoT shortcut. In such cases, a monologue-style step-by-step thinking of code execution seemingly overrides the code simulation asked in CES, making up for incorrect 478 properties (loop variable, loop iterator, conditional predicate, branch) predictions and resulting in 479 correct output prediction. The examples of such cases are shown in Figure 2 (HumanEval/156) 480 and Listing 11 (HumanEval/11). In the latter, Gemini-1.5 Pro mispredicts the conditional predicate 481 inside the loop (i=j) in iterations 3, 4, and 6. In the CoT reasoning, however, it looks at the method 482 name (string\_xor), assumes that the method implements bitwise XORing, and uses this assumption 483 in the correct output prediction. 484

485 We also observed cases where simulation execution and CoT reasoning were incorrect, yet the LLM correctly predicted the output. Examples of such cases are Listing 12 (HumanEval/0), Listing 13

		CodeLlama- Instruct-34b	DeepSeek-Coder- Instruct -33b	MagiCoder-S	SemCoder-S	StarCoder2 -15b	Gemini-1.5-Pro	GPT-4-Turbo
	Bug Prediction	18.75%	28.75%	26.25%	18.13%	15.00%	92.50%	88.75%
Γ	Bug Localization	41.88%	40.63%	24.38%	44.38%	33.13%	72.50%	71.25%
	Bug Repair	42.50%	76.25%	69.38%	74.38%	60.00%	90.00%	93.13%
Γ	CES	18.13%	27.50%	20.61%	23.75%	25.00%	32.50%	55.00%
	$\kappa_{CES,BP}$	-0.06	0.05	-0.02	-0.06	-0.07	0.09	-0.02
	$\kappa_{CES,BL}$	0.02	0.18	0.03	-0.02	0.05	0.06	-0.02
	$\kappa_{CES,BR}$	-0.04	-0.03	-0.01	0.02	-0.03	-0.02	-0.01

Table 2: The performance of LLMs on Bug Prediction, Bug Localization, Bug Repair, and CES.
 Cohen's Kappa coefficients represent the pairwise agreement between CES and these tasks.

494 (HumanEval/98), and Listing 14 (HumanEval/73). In the first example, GPT-4 Turbo mispredicts 495 the inner loop variable values (idx1, elem2) as well as the conditional predicates (idx!=idx2 496 and distance < threshold). The CoT explains the code at a very high level without discussing 497 the program states and variable values. Magically, in the end, it hallucinates the return value to 498 be True. Similarly, in the second example, CodeLlama-Instruct-13b correctly predicts the output 499 despite both simulation execution and CoT being incorrect. In the first two examples, the return 500 type is boolean, making it easy for LLMs to predict output correctly with a high chance. The last example, however, returns an integer, which is harder to predict by chance. For this example, the CoT reasoning of GPT-4 Turbo shows an additional iteration over the loop. However, since this 502 additional iteration does not change the output, the return value will be predicted correctly. 503

504 5.5 RQ4. AGREEMENT BETWEEN CES AND OTHER PROGRAMMING TASKS 505

LLMs should incorporate their knowledge of programming languages and examples they have seen to solve programming tasks. Otherwise, one cannot expect them to generalize to different tasks, perform reasonably on real-world programs, or trust them. We consider three programming tasks that, outside of the LLM world, performing them require execution awareness: bug prediction, bug localization, and bug repair. Ideally, if an LLM can correctly simulate an execution path, it is more likely to detect, localize, and repair a bug in that specific execution path. Similarly, we expect that if a model cannot correctly simulate an execution path, it fails to detect, localize, or fix the bug.

To investigate these two hypotheses, we used OctoPack (Muennighoff et al., 2023), a dataset of bugs generated by humans injected into HumanEval programs. We first executed OctoPack tests and identified the failed tests on the buggy version of HumanEval programs. We then checked if those were among our three sampled tests and kept the programs and tests passing the check. We prompted the seven best-performing models in CES with the prompts in §A.2 to perform bug prediction, localization, and repair.

Table 2 illustrates the performance of models in these tasks, as well as the Cohen's Kappa (McHugh, 2012) coefficients representing the pairwise agreement between CES and these tasks. Cohen's Kappa is a statistical test that checks the agreement between the two groups in terms of the validity of the property (how well Group A's validity predicts Group B's validity). It accounts for agreement by chance, making it more reliable than a simple percentage of agreement. Its coefficient ( $\kappa$ ) takes a value between -1 and 1. The  $\kappa = 1$  shows perfect agreement;  $\kappa = 0$  indicates agreement by chance; negative values demonstrate no agreement or systematic disagreement.

525 From these results, we can see that there is, at best, a slight agreement between CES <sup>4</sup> and these 526 tasks, rejecting our mentioned hypotheses. Figure 8 (§A.6 in Appendix) demonstrates the degree of 527 overlap between CES and individual bug-related tasks across the programs. To better understand 528 the reasons for agreements and disagreements, we manually investigated instances where models (1) 529 succeeded in CES and other bug-related tasks and (2) failed in CES but succeeded in bug-related 530 tasks, respectively. Our investigation shows that frontier models, e.g., GPT-4 Turbo and Gemini-1.5 531 Pro, attempt to simulate the execution when solving bug-related tasks. In cases of agreement with 532 CES, their code execution simulation extracted from CoT is correct (Figure 9). In case of disagreement, natural language hallucinations interfere with their code execution simulation (Figure 10), or 533 their code execution simulation is incorrect, and they succeed in the task through shortcuts (Fig-534 ure 11). The results of current and prior research questions should bring the attention to the 535 following questions: Shall we settle on LLMs that incorporate pattern matching or similar 536 strategies but not inductive code reasoning when performing programming tasks? To what 537 extent can we trust such LLMs? 538

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<sup>&</sup>lt;sup>4</sup>The CES values are different from that of in Table 1, since this experiment was done on buggy programs in the OctoPack dataset.

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# A APPENDIX

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668 A.1 PROMPT DESIGN OF CES 669

Figure 14 shows the detailed prompt template used in CES, consisting of five key components: the 670 system message, adaptive in-context learning examples, instruction, question, and response. In both 671 the question and the in-context learning examples, we begin by commenting on the program us-672 ing "sub-questions," which include variables or expressions related to loop or conditional construct 673 properties, along with placeholders marked as "??". Within the in-context learning examples, we 674 also provide sample answers where the placeholders are replaced with the correct values or states. 675 The instruction specifies how the LLMs should respond to the questions: answers related to loop pre-676 dictions should be enclosed in "[STATE]" and "[/STATE]", condition-related predictions in "[CON-677 DITION]" and "[/CONDITION]", and branch predictions in "[BRANCH]" and "[/BRANCH]". In 678 the question section, we annotate the program similarly to how it is done in the in-context learning 679 examples, and we expect the LLMs to generate responses in the format outlined in the instruction.

680 With the growing capabilities of large language models (LLMs), in-context learning (Dong et al., 681 2022) has emerged as an important paradigm for natural language processing (NLP) tasks. More-682 over, research (Wu et al., 2022; Wan et al., 2023) suggests that selecting well-performing in-context 683 learning examples tailored to different inputs can enhance LLMs' ability to generate correct outputs. 684 Building on the concept of adaptively selecting in-context learning examples, we developed 11 sets 685 of examples based on program constructs and their locations: if, elif, nested if, for loop, while loop, 686 nested loop, if inside while loop, if outside while loop, if inside for loop, if outside for loop, and if inside nested loop. For each problem in the benchmark, we utilize the tree-sitter <sup>5</sup> to extract code 687 constructs and their locations, and then select the corresponding examples from our predesigned 688 in-context learning candidates. 689

Table 3: Ablation Study on Prompting Stra	tegies
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		G	PT-4-Turt	00	Ge	mini-1.5-I	Pro	DeepSe	ekCoder-I	nst-33b	Sta	rCoder2-1	15b	S	emCoder-	s
		CES	CES (-CoT)	CES (Fixed ICL)												
Valid	Correct Output	60.98%	55.49%	57.93%	38.21%	31.71%	29.88%	39.43%	36.18%	33.54%	37.80%	34.96%	35.16%	34.96%	32.52%	30.49%
Reasoning	Incorrect Output	14.02%	20.73%	17.07%	38.22%	48.17%	46.95%	42.88%	46.34%	49.39%	46.14%	50.41%	49.19%	49.39%	51.22%	53.86%
Invalid	Correct Output	25.00%	21.95%	22.56%	22.56%	18.29%	19.51%	17.28%	16.26%	15.24%	15.45%	14.02%	14.43%	15.45%	14.84%	13.82%
Reasoning	Incorrect Output	0.00%	1.83%	2.44%	1.01%	1.83%	3.66%	0.41%	1.22%	1.83%	0.61%	0.61%	1.22%	0.20%	1.42%	1.83%

To further demonstrate the effectiveness of our design choice, we repeated the experiments for the top five best-performing models with two variants of CES:

<sup>&</sup>lt;sup>5</sup>https://tree-sitter.github.io/tree-sitter/creating-parsers

702 • **CES** (-CoT): Here we remove the natural language CoT in our adaptive in-context learning (ICL) 703 examples (text between [REASONING] and [/REASONING] in Figure 14) and ask LLMs to 704 include only the annotated code and the output of code execution in the response. • CES (Fixed ICL): instead of selecting ICL examples adaptively according to the program con-706 structs and their locations, we use the fixed ICL example (shown in Listing 1) for all the programs 707 in the benchmark. 709 Table 3 shows the results of this experiment. Our most notable observations are: 710 • Comparing **CES** with **CES**(-CoT), we can see that including CoT can improve valid reasoning 711 process & correct output prediction by 4.11%. Comparing **CES** with **CES** (Fixed ICL), we find 712 that providing adaptive ICL examples instead of fixed ones can improve correct code reasoning 713 by 4.88% on average. 714 Including the CoT and applying adaptive prompting also result in more invalid reasoning cases. 715 In particular, they improve the invalid reasoning process & correct output by 2.32% and 2.04%, 716 respectively. However, the gain in invalid reasoning process & correct output is still smaller than 717 that of invalid reasoning process & correct output, which still supports our prompt design. 718 719 These observations support our prior claim about the inference of natural language reasoning, which 720 is prone to shortcuts and hallucinations, with more formal reasoning in CES. We believe that, once 721 the models replace their natural language CoT reasoning with more formal ones, including the for-722 mal CoT reasoning results in benefits without any side effects. 723 In Listing 1 and Listing 2, we show how adaptive in-context learning example improves 724 DeepSeekCoder-Inst-33b on HumanEval/132. HumanEval/132 (is\_nested) has if-else construct 725 inside the for loop, and in fixed-ICL setting (Listing 1), we present a program with for loop (ICL 726 Example 1) and another program with if statement (ICL Example 2) in the prompt and expect them 727 to teach the model to respond correctly. However, we can see that DeepSeekCoder-Inst-33b still 728 fails to track the states of conditional predicates inside the for loops and makes incorrect predictions 729 on the loop properties of the second for loop. In Listing 2, according to the program construct of 730 HumanEval/132, we include an ICL example that also has a conditional statement inside the for loop in the prompt, and it helps DeepSeekCoder-Inst-33b to generate the correct reasoning process 731 and correct output. 732 733 Listing 1: Fixed ICL Example (HumanEval/132) 734 You are given a piece of Python code and its output. You are asked to complete the 735 following task: 1. Replace the "??" in the comment with the state of a variable or the return value of a 736 method call/expression. Enclose the annotated code with [ANSWER] AND [/ANSWER] 737 2. Think step by step and print your reasoning process of the code execution. 738 3. Print the output and enclose it with [OUTPUT] and [/OUTPUT]. ## ICL Example 1 [CODE] 740 def sum\_of\_integer(N): 741  $sum_1 = 0$ for i in range(1,N+1): ## [STATE]i=??[/STATE][STATE]range(1,N+1)=??[/STATE][STATE]N+1=??[/ 742 STATE] 743 sum += i 744 return sum [/CODE] 745 [INPUT] sum of integer(5) 746 [/INPUT] 747 748 [REASONING] The function sum\_of\_integer is called with ( N = 5 ). Inside the function, a variable `sum` is initialized to 0. 749 The loop iterates from 1 through 5 (since ( N = 5 )). 750 First Iteration (i = 1): 751 'sum += i' updates 'sum' to 1 ( 0 + 1 = 1 ). 752 Second Iteration (i = 2): 'sum += i' updates 'sum' to 3 ( 1 + 2 = 3 ). 753 Third Iteration (i = 3) 754 'sum += i' updates 'sum' to 6 ( 3 + 3 = 6 ). Fourth Iteration (i = 4)755 'sum += i' updates 'sum' to 10 ( 6 + 4 = 10 ).

Fifth Iteration (i = 5)

```
756
             'sum += i' updates 'sum' to 15 ( 10 + 5 = 1 ).
757
        After the loop completes, the function returns the value of 'sum', which is now 15.
        [/REASONING]
758
        [ANSWER]
760
        def sum_of_integer(N):
            sum_1 = 0
761
             for i in range(1,N+1): ## [STATE]i=[1, 2, 3, 4, 5][/STATE][STATE]range(1,N+1)=[1, 2, 3, 4,
                  5][/STATE][STATE]N+1=[6][/STATE]
762
                sum += i
763
             return sum
        [/ANSWER]
764
765
        [OUTPUT]
766
        15
        [/OUTPUT]
767
        ## ICL Example 2
768
        [CODE]
769
        def check_integer(i)
            if i >= 1 and i <3: ## [CONDITION] (i>=1) = ??[/CONDITION][CONDITION] (i <3) = ??[/CONDITION]
770
                 ][CONDITION](i >= 1 and i <3)=??[/CONDITION][BRANCH]taken=??[/BRANCH]
771
                 return 0
             elif i < 5: ## [CONDITION] (i<5) = ??[/CONDITION][BRANCH]taken=??[/BRANCH]</pre>
772
                return 1
773
             else: ## [BRANCH]taken=??[/BRANCH]
774
                return 2
        [/CODE]
775
776
        [INPUT]
        check_integer(4)
777
        [/INPUT]
778
        [REASONING]
779
        The function check_integer is called with ( i = 4 ).
        i is 2, which doesn't satisfy ( 1 <= i < 3 ).The condition i >= 1 and i < 3 is False.
780
        The first branch is not taken.
781
        i = 2 satisfies (i<2). The condition i<5 is True, and the second branch is taken.
        Therefore, the final output of the function check_integer(2) is 1.
782
        [/REASONING]
783
        [ANSWER]
784
        def check_integer(i)
785
             if i >= 1 and i <3: ## [CONDITION](i>=1) = [True][/CONDITION][CONDITION](i <3) = [True][/
                 CONDITION] [CONDITION] (i >= 1 and i <3)=[True][/CONDITION][BRANCH]taken=[Y][/BRANCH]
786
                 return 0
787
             elif i < 5:</pre>
                 return 1
788
             else: ## [BRANCH]taken=[N][/BRANCH]
789
                 return 2
        [/ANSWER]
790
791
        [OUTPUT]
792
        [/OUTPUT]
793
        ## Question
794
        [CODE]
795
        def is_nested(string):
            opening bracket index = []
796
             closing_bracket_index = []
797
             for i in range(len(string)): ## [STATE]i=??[/STATE][STATE]range(len(string))=??[/STATE][
                 STATE]len(string)=??[/STATE]
798
                 if string[i] == '[': ## [CONDITION] (string[i] == '[')=??[/CONDITION] [BRANCH]taken=??[/
799
                     BRANCH]
                     opening_bracket_index.append(i)
800
                else: ## [BRANCH]taken=??[/BRANCH]
801
                    closing_bracket_index.append(i)
802
             closing bracket index.reverse()
            cnt = 0
803
            i = 0
             l = len(closing_bracket_index)
804
             for idx in opening_bracket_index: ## [STATE]idx=??[/STATE][STATE]opening_bracket_index
805
                 =??[/STATE]
                 if i < l and idx < closing_bracket_index[i]: ## [CONDITION](i < l and idx <</pre>
806
                     closing_bracket_index[i])=??[/CONDITION][CONDITION](i < 1)=??[/CONDITION][</pre>
807
                     CONDITION](idx < closing_bracket_index[i])=??[/CONDITION][BRANCH]taken=??[/BRANCH
808
                     cnt += 1
809
                    i += 1
            return cnt >= 2
```

```
810
        [/CODE]
811
         [INPUT]
812
         is_nested('[[]]')
813
        [/INPUT]
814
         ### Response
        [REASONING]
815
        1. The function is_nested(string) is defined. It takes one parameter, string.
        2. Inside the function, two empty lists are initialized: opening_bracket_index and
816
        closing_bracket_index. These lists will be used to store the indices of opening and closing
817
        brackets in the string.
        3. The for loop runs from 0 to the length of the string.
818
        Loop details when string='[[]]':
819
        When string[i]='[': opening_bracket_index becomes [0].
        When string[i]=']': closing_bracket_index becomes [2].
820
         4. The closing bracket index list is reversed.
821
         5. Two variables cnt and i are initialized to 0. cnt is used to count the number of nested
        brackets, and i is used to iterate over the closing bracket index list.
822
         6. The for loop iterates over the opening_bracket_index list. For each index idx in
823
        opening_bracket_index, it checks if idx is less than the current index i in
        closing_bracket_index. If it is, it increments cnt and i.
824
        7. Finally, the function returns True if ont is greater than or equal to 2, and False otherwise. This indicates whether the string contains at least two nested brackets.
825
        [/REASONING]
826
827
         [ANSWER]
        def is_nested(string):
828
             opening_bracket_index = []
829
             closing_bracket_index = []
             for i in range(len(string)): ## [STATE]i=[0, 1, 2, 3][/STATE][STATE]range(len(string))=[0,
830
                   1, 2, 3][/STATE][STATE]len(string)=[4][/STATE]
831
                 if string[i] == '[
                      ## [CONDITION] (string[i] == '[')=[False] [/CONDITION] [BRANCH]taken=[N] [/BRANCH]
832
                     opening_bracket_index.append(i)
833
                 else: ## [BRANCH]taken=[Y][/BRANCH]
                     closing_bracket_index.append(i)
834
             closing_bracket_index.reverse()
835
             cnt = 0
             i = 0
836
             l = len(closing_bracket_index)
837
             for idx in opening_bracket_index:
                  ## [STATE]idx=[0][/STATE][STATE]opening_bracket_index=[0][/STATE]
838
                 if i < l and idx < closing_bracket_index[i]:</pre>
839
                      ## [CONDITION] (i < 1 and idx < closing_bracket_index[i])=[True] [/CONDITION]</pre>
                 [CONDITION] (i < 1) = [True] [/CONDITION]
840
                 [CONDITION] (idx < closing_bracket_index[i])=[True][/CONDITION]
841
                [BRANCH]taken=[Y][/BRANCH]
                     cnt += 1
842
                     i += 1
843
             return cnt >= 2
         [/ANSWER]
844
845
         [OUTPUT]
        False
846
        [/OUTPUT]
847
848
                                Listing 2: Adapative ICL Example (HumanEval/132)
849
        You are given a piece of Python code and its output. You are asked to complete the following
850
        task:
851
        1. Replace the "??" in the comment with the state of a variable or the return value of a
        method call/expression. Enclose the annotated code with [ANSWER] AND [/ANSWER]
852
         2. Think step by step and print your reasoning process of the code execution.
853
        3. Print the output and enclose it with [OUTPUT] and [/OUTPUT].
854
         ## Adaptive ICL Example
855
         [CODE]
856
        def sum_of_integer(N):
            sum_1 = 0
for i in range(1,N+1): ## [STATE]i=??[/STATE][STATE]range(1,N+1)=??[/STATE][STATE]N+1=??[/
857
858
                 if i >= 1 and i <3: ## [CONDITION](i>=1) = ??[/CONDITION][CONDITION](i <3) = ??[/</pre>
859
                      CONDITION][CONDITION](i >= 1 and i <3)=??[/CONDITION][BRANCH]taken=??[/BRANCH]
                     sum_1 += 1
860
                 elif i < 5: ## [CONDITION] (i<5)=??[/CONDITION] [BRANCH]taken=??[/BRANCH]</pre>
861
                     sum 1+= 2
```

```
return sum_1
[/CODE]
```

863

```
865
        [INPUT]
        sum_of_integer(5)
866
        [/INPUT]
867
868
        [REASONING]
        The function sum_of_integer is called with ( N = 5 ).
869
        Inside the function, a variable sum_1 is initialized to 0.
        The loop iterates from 1 through 5 (since (N = 5)).
870
        First Iteration (i = 1)
871
           - i is 1, which satisfies (1 \le i \le 3).
           - The condition i >= 1 and i < 3 is true.
872
           - Action: sum_1 += 1 results in sum_1 = 1.
873
        Second Iteration (i = 2)
           - i is 2, which still satisfies ( 1 \le 3 ).
874
           - The same condition is true.
875
           - Action: sum_1 += 1 updates sum_1 to 2 (1 + 1 = 2).
        Third Iteration (i = 3)
876
           - i is 3, which now satisfies ( 3 \le i \le 5 ).
877
           - The condition i < 5 is true (while the first condition i >= 1 and i < 3 is false).
           - Action: sum_1 += 2 updates sum_1 to 4 (2 + 2 = 4).
878
        Fourth Iteration (i = 4)
879
           - i is 4, also satisfying ( 3 <= i < 5 ).
           - The condition i < 5 is still true.
880
        - Action: sum_1 + 2 updates sum_1 to 6 (4 + 2 = 6).
Fifth Iteration (i = 5)
881
           - i is 5, now outside the previous conditions, falling into the {\tt else} block.
882
           - The else block's action: sum_1 \neq 4 updates sum_1 to 24 (6 * 4 = 24).
883
        Return Statement
           - After the loop completes, the function returns the value of sum_1, which is now 24.
884
885
        Therefore, the final output of the function sum_of_integer(5) is 24.
        [/REASONING]
886
887
        [ANSWER]
        def sum_of_integer(N):
888
            sum_1 = 0
889
            for i in range(1,N+1): ## [STATE]i=[1, 2, 3, 4, 5][/STATE][STATE]range(1,N+1)=[1, 2, 3, 4,
                 5][/STATE][STATE]N+1=[6][/STATE]
890
                if i >= 1 and i <3: ## [CONDITION](i>=1) = [True, True, True, True, True][/CONDITION][
891
                     CONDITION](i <3 )= [True, True, False, False, False][/CONDITION][CONDITION](i >3
                     1 and i <3)=[True, True, False, False, False][/CONDITION][BRANCH]taken=[Y, Y, N,
892
                     N, N][/BRANCH]
893
                    sum_1 += 1
                elif i < 5: ## [CONDITION](i<5)=[True, True, True, True, False][/CONDITION][BRANCH]
894
                     taken=[N, N, Y, Y, N][/BRANCH]
895
                    sum_1+= 2
                else: ## [BRANCH]taken=[N, N, N, N, Y][/BRANCH]
896
                        sum_1 *= 4
897
            return sum_1
        [/ANSWER]
898
899
900
        [OUTPUT]
        2.4
901
        [/OUTPUT]
902
        ## Question
903
        [CODE]
        def is_nested(string):
904
            opening_bracket_index = []
905
            closing_bracket_index = []
            for i in range(len(string)): ## [STATE]i=??[/STATE][STATE]range(len(string))=??[/STATE][
906
                STATE]len(string)=??[/STATE]
907
                if string[i] == '[': ## [CONDITION] (string[i] == '[')=??[/CONDITION] [BRANCH]taken=??[/
                     BRANCH]
908
                    opening_bracket_index.append(i)
909
                else: ## [BRANCH]taken=??[/BRANCH]
                   closing_bracket_index.append(i)
910
            closing_bracket_index.reverse()
911
            cnt = 0
            i = 0
912
            l = len(closing_bracket_index)
913
            for idx in opening_bracket_index: ## [STATE]idx=??[/STATE][STATE]opening_bracket_index
                =??[/STATE]
914
                915
                     CONDITION](idx < closing_bracket_index[i])=??[/CONDITION][BRANCH]taken=??[/BRANCH
916
917
                    cnt += 1
                    i += 1
```

```
918
             return cnt >= 2
919
        [/CODE]
920
        [INPUT]
921
         is_nested('[[]]')
922
        [/INPUT]
         ### Response
923
        [REASONING]
        The function is_nested is called with ( string = '[[]]' ).
924
        Inside the function, two empty lists are initialized: opening_bracket_index and
925
        closing bracket index.
926
        Iteration 1:
927
            -The character '[' is found at index 0, so it is appended to opening_bracket_index.
        Iteration 2:
928
            -The character '[' is found at index 1, so it is appended to opening_bracket_index.
929
        Iteration 3:
            -The character ']' is found at index 2, so it is appended to closing_bracket_index.
930
        Iteration 4:
931
             -The character ']' is found at index 3, so it is appended to closing_bracket_index.
932
        After the loop, the closing bracket index list is reversed.
933
934
        Iteration 1.
             -The index 3 is less than the length of closing\_bracket\_index (4) and is less than the
935
             element at index 0 of closing_bracket_index (2).
936
             -The condition is true, so cnt is incremented by 1 and i is incremented by 1.
        Iteration 2:
937
             -The index 2 is less than the length of closing\_bracket\_index (4) and is less than the
             element at index 1 of closing_bracket_index (3).
938
             -The condition is true, so cnt is incremented by 1 and i is incremented by 1.
939
        After the loop, cnt is 2, which is greater than or equal to 2, so the function returns True.
940
        [/REASONING]
941
         [ANSWER]
942
         def is_nested(string):
943
             opening_bracket_index = []
             closing_bracket_index = []
944
             for i in range(len(string)): ## [STATE]i=[0, 1, 2, 3][/STATE][STATE]range(len(string))
945
                 =[4][/STATE][STATE]len(string)=[4][/STATE]
                 if string[i] == '[': ## [CONDITION](string[i] == '[')=[True, True, False, False][/
946
                      CONDITION][BRANCH]taken=[Y, Y, N, N][/BRANCH]
947
                     opening_bracket_index.append(i)
                 else: ## [BRANCH]taken=[N, N, Y, Y][/BRANCH]
948
                     closing_bracket_index.append(i)
949
             closing_bracket_index.reverse()
             cnt = 0
950
             i = 0
951
             l = len(closing_bracket_index)
             for idx in opening_bracket_index: ## [STATE]idx=[0, 1][/STATE][STATE]opening_bracket_index
952
                  =[0, 1][/STATE]
953
                 if i < l and idx < closing_bracket_index[i]: ## [CONDITION](i < l and idx <
                      closing_bracket_index[i])=[True, True][/CONDITION][CONDITION](i < 1)=[True, True</pre>
954
                      ][/CONDITION][CONDITION](idx < closing_bracket_index[i])=[True, True][/CONDITION]
955
                      ][BRANCH]taken=[Y, Y][/BRANCH]
                     cnt += 1
956
                     i += 1
957
            return cnt >= 2
958
         [/ANSWER]
959
         [OUTPUT]
960
        True
961
        [/OUTPUT]
962
963
        A.2 PROMPT TEMPLATE FOR BUG PREDICTION, LOCALIZATION, AND REPAIR
964
965
         A.2.1 PROMPT TEMPLATE FOR BUG PREDICTION
966
967
        Given the following code, tests, and natural language specification, is the code buggy or not?
        First, think step by step and simulate the execution process of the test.
Then respond with "Yes" or "No". "Yes" menas the code is buggy, "No" means it is not buggy.
Enclose your response with "```response" and "```".
968
969
970
        Code:
```

```
971 from typing import List
def below_zero(operations: List[int]) -> bool:
    balance = 0
```

```
972
            for op in operations:
973
                balance += op
                if balance == 0:
974
                    return True
975
            return False
976
        Test:
977
        assert below_zero([1, -2, 2, -2, 5, -5, 4, -4]) == True
978
        The code should satisfy the following natural language specification:
979
        Write a Python function below_zero (operations: List[int]) -> bool to solve the following
        problem: You are given a list of deposit and withdrawal operations on a bank account that
980
        starts with zero balance. Your task is to detect if at any point the balance of account
981
        fallls below zero, and at that point function should return True. Otherwise it should
        return False.
982
983
        Reasoning
        [Reasoning]
984
        Now let's look at the program. The function initializes 'balance' to 0. It iterates through
985
        each operation in the operations list, adjusting balance by adding each operation (op).
986
        It checks if balance == 0 (which only detects when the balance exactly reaches zero, not when
        it goes below zero). If balance == 0, the function returns True, though we're actually
987
        interested in whether balance < 0.
        In the test case 'operation' is initialized with [1, -2, 2, -2, 5, -5, 4, -4].
988
        In the first iteration, op=1, balance=1.
989
        In the second iteration, op=-2, balance=-1.
        In the third iteration, op=2, balance=1.
990
        In the forth iteration, op=-2, balance=-1.
991
        In the fifth iteration, op=5, balance=4.
        In the sixth iteration, op=-5, balance=-1.
992
        In the seventh iteration, op=4, balance=3.
993
        In the eighth iteration, op=-4, balance=-1.
        'balance' never exactly reaches zero at a point when it first goes negative and the function
994
        will return False as the output while the expected output is True.
995
        Therefore the code is buggy.
        [/Reasoning]
996
        Response:
997
        ''' response
998
        Yes
999
        Code:
1000
        {code}
1001
        Test:
1002
        {test}
        The code should satisfy the following natural language specification:
1003
        \{nl\}
1004
1005
        A.2.2 PROMPT TEMPLATE FOR BUG LOCALIZATION
1006
        Given the following buggy code, test, and natural language specification, your task is to
        identify the buggy line.
1008
        First, think step by step and simulate the execution of the provided test.
1009
        Then print the buggy line. Enclose your response with "```response" and "```".
        Buggy Code:
1010
        from typing import List
1011
        def below_zero(operations: List[int]) -> bool:
1012
            balance = 0
            for op in operations:
1013
                balance += op
                if balance == 0:
1014
                    return True
1015
            return False
1016
        Test:
1017
        assert below_zero([1, -2, 2, -2, 5, -5, 4, -4]) == True
1018
        The code should satisfy the following natural language specification:
1019
        Write a Python function below_zero(operations: List[int]) -> bool to solve the following
1020
        problem: You are given a list of deposit and withdrawal operations on a bank account that
        starts with zero balance. Your task is to detect if at any point the balance of account
1021
        falls below zero, and at that point function should return True. Otherwise it should
        return False.
1022
1023
        Reasoning:
1024
        [Reasoning]
```

1025 Now let's look at the program. The function initializes 'balance' to 0. It iterates through each operation in the operations list, adjusting balance by adding each operation (op). It checks if balance == 0 (which only detects when the balance exactly reaches zero, not when

```
1026
        it goes below zero). If balance == 0, the function returns True, though we're actually
1027
        interested in whether balance < 0.
        In the test case 'operation' is initialized with [1, -2, 2, -2, 5, -5, 4, -4].
1028
        In the first iteration, op=1, balance=1.
1029
        In the second iteration, op=-2, balance=-1.
        In the third iteration, op=2, balance=1.
1030
        In the forth iteration, op=-2, balance=-1.
1031
        In the fifth iteration, op=5, balance=4.
        In the sixth iteration, op=-5, balance=-1.
1032
        In the seventh iteration, op=4, balance=3.
1033
        In the eighth iteration, op=-4, balance=-1.
         'balance' never exactly reaches zero at a point when it first goes negative and the function
1034
        will return False as the output while the expected output is True.
Therefore the buggy line is ' if balance == 0:', which can not catch any negative balance.
1035
        [/Reasoning]
1036
1037
        Response:
         '''response
1038
                if balance == 0:
1039
        . . .
1040
        Buggy Code:
1041
        {buggy code}
1042
        Test
         {test}
1043
        The code should satisfy the following natural language specification:
        \{nl\}
1044
1045
1046
        A.2.3 PROMPT TEMPLATE FOR BUG REPAIR
1047
        Fix bugs in below_zero.
1048
        First, think step by step and simulate the execution of the provided test.
1049
        Enclose the fixed code in ```python and ```.
1050
        Buggy Code:
1051
        def below_zero(operations: List[int]) -> bool:
1052
            balance = 0
             for op in operations:
1053
                 balance += op
                 if balance == 0:
1054
                     return True
1055
             return False
1056
        Test:
1057
        assert below_zero([1, -2, 2, -2, 5, -5, 4, -4]) == True
1058
        The fixed code should satisfy the following natural language specification:
1059
        Write a Python function below_zero (operations: List[int]) -> bool to solve the following
        problem: You are given a list of deposit and withdrawal operations on a bank account that
1060
        starts with zero balance. Your task is to detect if at any point the balance of account
1061
        fallls below zero, and at that point function should return True. Otherwise it should
1062
        return False.
1063
        Reasoning
1064
        [Reasoning]
        Now let's look at the program. The function initializes 'balance' to 0. It iterates through
1065
        each operation in the operations list, adjusting balance by adding each operation (op).
        It checks if balance == 0 (which only detects when the balance exactly reaches zero, not when it goes below zero). If balance == 0, the function returns True, though we're actually
1066
1067
        interested in whether balance < 0.
        In the test case 'operation' is initialized with [1, -2, 2, -2, 5, -5, 4, -4].
1068
        In the first iteration, op=1, balance=1.
1069
        In the second iteration, op=-2, balance=-1.
        In the third iteration, op=2, balance=1.
1070
        In the forth iteration, op=-2, balance=-1.
1071
        In the fifth iteration, op=5, balance=4.
1072
        In the sixth iteration, op=-5, balance=-1.
        In the seventh iteration, op=4, balance=3.
1073
        In the eighth iteration, op=-4, balance=-1.
        'balance' never exactly reaches zero at a point when it first goes negative and the function
1074
        will return False as the output while the expected output is True.
1075
        However, if we were correctly checking `balance < 0`, the function would catch any negative
        balance.
1076
        [/Reasoning]
1077
        Fixed Code:
1078
         '''python
1079
        def below_zero(operations: List[int]) -> bool:
```

balance = 0

```
1080
             for op in operations:
1081
                  balance += op
                  if balance < 0:
1082
                      return True
1083
             return False
         1.1.1
1084
1085
         Fix bugs in {method_name}.
         First, think step by step and simulate the execution of the provided test.
1086
         Enclose the fixed code in '''python and '''.
1087
         Buggy Code:
         {buggy code}
1089
         Test:
         {test}
1090
         The fixed code should satisfy the following natural language specification:
1091
         \{nl\}
1092
1093
         A.3 CASE STUDY
1094
1095
         This section lists the results of our manual investigation into the root causes of incorrect output
1096
         predictions and suspiciously correct output predictions. Each listing corresponds to a program in the
1097
         HumanEval dataset and a specific model that attempted to simulate its execution given the specified
1098
         inputs. We highlight the mispredictions in red and the correct predictions in green. Each listing also
1099
         includes the ground-truth values for program properties that are mispredicted.
1100
                                                Listing 3: HumanEval/13
1101
         def greatest_common_divisor(a: int, b: int) -> int:
1102
             while b: ## [STATE]b=[60, 144, 0][/STATE]
1103
                 a, b = b, a % b
1104
             return a
         greatest_common_divisor(144, 60)
1105
1106
         Ground Truth:
         b=[60, 24, 12, 0], output=12
1107
         DeepSeek-Coder-Instruct-33b
1108
         Predicted output: 60
1109
         Analysis:
1110
        First Iteration:
         - b != 0, so the loop continues; <u>a = 60, b = 144 % 60 = 144.</u>
- a and b are updated to b and a % b respectively. Now, a = 144, b = 60.
1111
1112
         Second Iteration:
         - b != 0, so the loop continues. a = 60, b = 144 % 60 = 0.
1113
          - a and b are updated to b and a  b respectively. Now, a = 0, b = 60.
        The loop terminates because b is 0.
1114
        The function returns the value of "a", which is now \underline{60}\,.
1115
1116
                                                Listing 4: HumanEval/57
1117
         def monotonic(l: list):
1118
             if l == sorted(l) or l == sorted(l, reverse=True):
1119
         ##[CONDITION](l == sorted(l))=False[/CONDITION]
         ##[CONDITION](l == sorted(l,reverse=True))=False[/CONDITION]
##[CONDITION](l == sorted(l) or l == sorted(l,reverse=True))=False[/CONDITION]
1120
1121
         ## [BRANCH]taken=[N][/BRANCH]
                  return True
1122
             return False
1123
         monotonic([4, 1, 0, -10])
1124
         Ground Truth:
1125
         (1 == sorted(1)) = False
1126
         (l == sorted(l, reverse=True)) = True
         (l == sorted(l) or l == sorted(l, reverse=True)) = True
1127
         output: True
1128
         Gemini-1.5-Pro
1129
         Predicted output: False
         Analysis:
1130
         The function 'monotonic' is called with the list "l = [4, 1, 0, -10]".
1131
         The function checks if the list is sorted in ascending order or in descending order.
        The condition '1 == sorted(l) 'checks if the list is sorted in ascending order.
The condition '1 == sorted(l, reverse=True)' checks if the list is sorted in descending order.
1132
1133
         The condition `l == sorted(l or l == sorted(l, reverse=True)` checks if the list is sorted in
              either ascending or descending order.
```

```
1134
         The condition is false because the list is not sorted in either ascending or descending order.
1135
         Therefore, the function returns False.
1136
1137
                                                Listing 5: HumanEval/148
1138
         def bf(planet1, planet2):
1139
             planet_names = ("Mercury", "Venus", "Earth", "Mars", "Jupiter", "Saturn", "Uranus", "
                  Neptune")
1140
              if planet1 not in planet_names or planet2 not in planet_names or planet1 == planet2: ## [
1141
                   CONDITION] (planet1 not in planet_names or planet2 not in planet_names or planet1 == planet2) = [False] [/CONDITION] [CONDITION] (planet1 == planet2) = [False] [/CONDITION] [
1142
                   CONDITION] (planet1 not in planet_names) = [False] [/CONDITION] [CONDITION] (planet2 not in
1143
                    planet_names) = [False] [/CONDITION]
         [BRANCH]taken=[Y][/BRANCH]
1144
                   eturn ()
1145
             planet1_index = planet_names.index(planet1)
planet2_index = planet_names.index(planet2)
1146
              if planet1_index < planet2_index: ## [CONDITION] (planet1_index < planet2_index)=[True][/</pre>
1147
                   CONDITION
1148
         [BRANCH]taken=[N][/BRANCH]
                  return (planet_names[planet1_index + 1: planet2_index])
1149
             else: ## [BRANCH]taken=[N][/BRANCH]
                  return (planet_names[planet2_index + 1 : planet1_index])
1150
         bf("Jupiter", "Neptune")
1151
         Ground Truth:
1152
         first if branch: [N]; second if branch: [Y]
1153
         output: ('Saturn', 'Uranus')
1154
         GPT-4-Turbo:
1155
         predicted output: ()
1156
         Analysis:
1157
         The function bf is called with ( planet1 = "Jupiter", planet2 = "Neptune" ).
         The first condition 'planetl_not_in_planet_names_or_planet2_not_in_planet_names_or_planet1_==
1158
         planet2' is false, since all the planets are present in the list. The second condition
1159
         'planet1_==_planet2' is also false, since planet1 and planet2 are different. The third
1160
         condition planet1 not in planet_names is false, since planet1 is present in the list.
         The fourth condition planet2 not in planet_names is also false, since planet2 is present in
1161
         the list. Therefore, the if branch is taken and the method returns (). Therefore, the final
1162
         output of the function `bf("Jupiter", "Neptune") ` is ().
1163
1164
                                                Listing 6: HumanEval/73
1165
         def smallest_change(arr):
             ans = 0
1166
              for i in range(len(arr) // 2): ## [STATE]i=[0, 1, 2, 3][/STATE]
1167
         ## [STATE]range((len(arr) // 2))=[0, 1, 2, 3][/STATE][STATE](len(arr) // 2)=4[/STATE]
if arr[i] != arr[len(arr) - i - 1]:
1168
         ## [CONDITION](arr[i] != arr[len(arr) - i - 1])=[True, True, False, False][/CONDITION]
##[BRANCH]taken=[Y, Y, Y, N][/BRANCH]
ans += 1
1169
1170
             return ans
1171
         smallest_change([1, 2, 3, 4, 3, 2, 2])
1172
         Ground Truth:
1173
         i = [0, 1, 2]
         range((len(arr) // 2)) = [0,1,2]
(len(arr) // 2) = 3
1174
1175
         output: 1
1176
         CodeLlama-Instruct-13b:
1177
         Predicted output: 2
1178
         Analysis:
         Iteration 1:
1179
             - `i` is 0, `arr[i]` is 1, and arr[len(arr) - i - 1] is 2.
- The condition `arr[i] != arr[len(arr) - i - 1]` is true, so `ans` becomes 1.
1180
         Iteration 2:
1181
             - i is 1, 'arr[i]' is 2, and 'arr[len(arr) - i - 1]' is 3. .....
1182
         Iteration 3:
             - i is 2, arr[i] is 3, and 'arr[len(arr) - i - 1]' is 2. .....
1183
         Iteration 4:
                           `arr[i]` is 4, and `arr[len(arr) - i - 1]` is 4. .....
             - `i` is 3,
1184
         Therefore, the final output of the function smallest_change([1, 2, 3, 4, 3, 2, 2]) is 2.
1185
1186
                                                Listing 7: HumanEval/142
1187
```

```
def sum_squares(lst):
```

```
1188
                      result =[]
1189
                       for i in range(len(lst)): ## [STATE]i=[0, 1, 2][/STATE][STATE]range(len(lst))=[0, 1, 2][/
                               STATE] [STATE] len (lst) = [3] [/STATE]
1190
                               if i %3 == 0: ## [CONDITION] (i %3 == 0) = [True, False, True] [/CONDITION]
1191
                [BRANCH]taken=[Y, N, Y][/BRANCH]
                                    result.append(lst[i]**2)
1192
                              elif i % 4 == 0 and i%3 != 0: ## [CONDITION](i % 4 == 0 and i%3 != 0)=[False, False,
1193
                                      False] [/CONDITION] [CONDITION] (i % 4 == 0) = [False, False, False] [/CONDITION] [
                                       CONDITION](i%3 != 0)=[False, False, False][/CONDITION][BRANCH]taken=[N, N, N][/
1194
                                       BRANCH1
1195
                                     result.append(lst[i]**3)
                              else: ## [BRANCH]taken=[N, Y, N][/BRANCH]
1196
                                     result.append(lst[i])
1197
                      return sum(result)
1198
               sum_squares([1,2,3])
1199
               Ground Truth:
1200
               (i%3) == 0: [True, False, False],
i%4 == 0 and i%3 !=0: [False, False, False]
1201
               else branch: [N, Y, Y]
1202
1203
               MagiCoder-S:
               Predicted output: 10
1204
               Analysis:
1205
               Iteration 1:
               The index 'O' is divisible by 3, so the square of the element at index 0 (1) is appended to
1206
               the result list.
1207
               Iteration 2:
               The index '1' is not divisible by 3, so the element at index 1 (2) is appended to
1208
               the result list.
1209
               Iteration 3:
               The index '2' is divisible by 3, so the square of the elementa at index 2 (3) is appended to the result list.
1210
1211
              The sum of the elements in the result list is 10.
1212
1213
                                                                                 Listing 8: HumanEval/12
1214
               def longest(strings: List[str]) -> Optional[str]:
1215
                     if not strings: ## [CONDITION] (not strings)=[False] [/CONDITION] [BRANCH] taken=[N] [/BRANCH]
1216
                              return None
                       maxlen = max(len(x) for x in strings)
1217
                       for s in strings: ## [STATE]s=['x', 'yyy'][/STATE]
STATE]strings=['x', 'yyy', 'zzzz', 'www', 'kkkk', 'abc'][/STATE]
1218
               ## [STATE]strings=['x', 'yyy',
                               if len(s) == maxlen: ## [CONDITION] (len(s) == maxlen)=[False, True] [/CONDITION]
1219
               ##[BRANCH]taken=[N, Y][/BRANCH]
1220
               longest(['x', 'yyy', 'zzzz', 'www', 'kkkk', 'abc'])
1221
               Ground Truth:
1222
               s=['x', 'yyy', 'zzzz']
output = 'zzzz'
1223
1224
               DeepSeek-Coder-Instruct-6.7b
1225
               Predicted iutput: 'yyy'
1226
               Analysis:
1227
               Iteration 1:
1228
                      -The string ^{\prime}x^{\prime} has length 1, which is not the maximum length.
1229
               Iteration 2:
               -The string 'yyy' has length 3, which is the maximum length.
-So, it returns 'yyy'.
1230
1231
               Therefore, the final output of the function longest(['x', 'yyy', 'zzzz', 'www', 'kkkk', 'abc', 'abc', 'kkkk', 'kkk', 'kk', 'k
                    ]) is 'yyy'.
1232
1233
1234
                                                                                Listing 9: HumanEval/47
1235
               def fib4(n: int):
                      results = [0, 0, 2, 0]
if n < 4: ## [CONDITION] (n < 4)=[False] [/CONDITION] [BRANCH]taken=[N] [/BRANCH]
1236
1237
                              return results[n]
1238
                       for _ in range(4, n + 1): ## [STATE]=[4, 5][/STATE][STATE]range(4, (n + 1))=[4, 5][/STATE]
1239
                               [STATE](n + 1) = [6][/STATE]
1240
                              results.append(results[-1] + results[-2] + results[-3] + results[-4])
                              results.pop(0)
1241
                      return results[-1]
```

```
fib4(5)
```

1243 Ground Truth: Output: 4 1244 1245 GPT-4-Turbo: Predicted output: 10 1246 The function fib4 is called with ( n = 5 ). 1247 Inside the function, a list results is initialized to [0, 0, 2, 0]. The condition ( n < 4 ) is not satisfied, therefore the else branch is taken. The loop iterates from 4 through 5 (since (n = 5)). 1248 1249 First Iteration  $(\_ = 4)$ : results.append(results[-1] + results[-2] + results[-3] + results[-4]) updates `results` 1250 to [0, 0, 2, 0, 4] and results.pop(0) removes the first element from `results` 1251 Second Iteration (=5): results.append(results[-1] + results[-2] + results[-3] + results[-4]) updates `results` 1252 to [0, 2, 0, 4, 10] and results.pop(0) removes the first element from `results' 1253 After the loop completes, the function returns results[-1] which is 10 as the output. 1254 1255 1256 Listing 10: HumanEval/160 1257 def do\_algebra(operator, operand): expression = str(operand[0]) 1258 1259 1260 expression += oprt + str(oprn) 1261 return eval(expression) 1262 do\_algebra(['//', '\*'], [7, 3, 4]) 1263 Ground Truth: 1264 Output: 8 1265 Gemini-1.5-Pro: 1266 Predicted output: 2 1267 Analysis: The function do\_algebra is called with (operator = ['//', '\*'], operand = [7, 3, 4]). 1268 Inside the function, a variable expression is initialized to '7' 1269 The loop iterates over the pairs of elements in operator and operand[1:]. First Iteration (oprt = '//', oprn = 3): 1270 expression += oprt + str(oprn) results in expression = '7//3'. 1271 Second Iteration (oprt = '\*', oprn = 4): expression += oprt + str(oprn) results in expression = '7//3\*4'. 1272 The function returns the result of evaluating the expression, which is 2. 1273 1274 Listing 11: HumanEval/11 1275 1276 def string\_xor(a: str, b: str) -> str: def xor(i, j): 1277 j: ##[CONDITION](i == j)=[True, False, False, False, False, False][/CONDITION] if i == 1278 [BRANCH]taken=[Y, N, N, N, N, N] [/BRANCH] 1279 else: ## [BRANCH]taken=[N, Y, Y, Y, Y, Y] [/BRANCH] 1280 return '1 1281 return ''.join(xor(x, y) for x, y in zip(a, b))
string\_xor('111000', '101010') 1282 1283 Ground Truth: 1284 (i==j) = [True, False, True, True, False, True] if branch: [Y,N,N,N,N.N] 1285 else branch: [N,Y,Y,Y,Y] 1286 output: '010010' 1287 Gemini-1.5-Pro: 1288 Predicted output: '010010' Analysis: 1289 The function string\_xor takes two binary strings a and b as input and returns a new binary 1290 string that is the result of XORing the two input strings. The function xor is a helper function that takes two characters  $i \mbox{ and } j \mbox{ as input and returns 0 or 1 values.}$ 1291 If `a` is '111000' and `b` is '101010', then the output of the `string\_xor` function will be 1292 '010010' 1293 1294 Listing 12: HumanEval/0 1295

def has\_close\_elements(numbers: List[float], threshold: float) -> bool:

1296 for idx, elem in enumerate(numbers): ## [STATE]idx=[0, 1, 2, 3, 4, 5][/STATE][STATE]elem 1297 =[1.0, 2.0, 3.9, 4.0, 5.0, 2.2][/STATE][STATE]enumerate(numbers)=[(0, 1.0), (1, 2.0), (2, 3.9), (3, 4.0), (4, 5.0), (5, 2.2)][/STATE][STATE]numbers=[1.0, 2.0, 3.9, 4.0, 1298 5.0, 2.2][/STATE] 1299 for idx2, elem2 in enumerate(numbers): ## [STATE]idx2=[0, 1, 2, 3, 4, 5][/STATE] ## [STATE]elem2=[1.0, 2.0, 3.9, 4.0, 5.0, 2.2]/STATE]
if idx != idx2: ## [CONDITION] (idx != idx2)=True[/CONDITION] 1300 1301 ##[BRANCH]taken=Y[/BRANCH] distance = abs(elem - elem2) 1302 if distance < threshold: **## [CONDITION] (distance < threshold)=True[/CONDITION]** 1303 [BRANCH]taken=Y[/BRANCH] 1304 return True 1305 return False has\_close\_elements([1.0, 2.0, 3.9, 4.0, 5.0, 2.2],0.3) 1306 1307 Ground Truth: idx2=[0,1,2,3,4,5,0,1,2,3,4,5]; elem2 = [1.0,2.0,3.9,4.0,5.0,2.2,1.0,2.0,3.9,4.0,5.0,2.2] 1308 (distance<threshold) = [false, false, false, false, false, false, false, false, false, true]</pre> 1309 output = true 1310 GPT-4-Turbo: 1311 Predicted output: True Analvsis: 1312 The function uses two nested loops to compare each element with every other element in the 1313 list: - The outer loop iterates through each element in the list, with idx as the index and elem as 1314 the element at that index. 1315 - The inner loop also iterates through each element in the list, with  $\operatorname{idx} 2$  as the index and elem2 as the element at that index. 1316 For each pair of elements (elem, elem2) where idx is not equal to  $\mathrm{id} \mathrm{x} 2$  (ensuring they are 1317 distinct elements), the function calculates the absolute difference distance between elem and elem2. If this distance is less than the threshold, the function immediately returns True, 1318 indicating that there are close elements. 1319 If no such pair is found after all comparisons, the function returns False. In this specific case, the input list is `[1.0, 2.0, 3.9, 4.0, 5.0, 2.2]` and the threshold 1320 is `0.3`. The function will return `True`. 1321 1322 Listing 13: HumanEval/98 1323 def count\_upper(s): 1324 count = 01325 for i in range(0,len(s),2): ## [STATE]i=[0, 2, 4][/STATE][STATE]range(0, len(s), 2)=[0, 2, 4][/STATE][STATE]len(s)=6[/STATE] 1326 if s[i] in "AEIOU": ## [CONDITION](s[i] in "AEIOU")=[False, True, False] 1327 ## [/CONDITION][BRANCH]taken=[N, Y, N][/BRANCH] count += 1 1328 return count 1329 count\_upper('aBCdEf') 1330 Ground truth: 1331 (s[i] in "AEIOU")=[False, False, True]; output = 1 1332 CodeLlama-Instruct-13b: 1333 Predicted output: 1 1334 Analvsis: The function count\_upper is called with ( s = 'aBCdEf' ). 1335 Inside the function, a variable count is initialized to 0. The loop iterates from 0 through 4 (since ( len(s) = 6 ) and ( step = 2 )). 1336 First Iteration (i = 0)1337 - i is 0, which is not in the string 'aBCdEf'. Second Iteration (i = 2)- `i` is 2, which is 'B', which is in the string 'aBCdEf'.
- The condition `s[i] in "AEIOU"` is true. 1339 - Action: count += 1 updates count to 1. 1340 Third Iteration (i = 4)1341 - i is 4, which is 'E', which is not in the string 'aBCdEf'. - The same condition `s[i] in "AEIOU"` is false. 1342 1343 1344 Listing 14: HumanEval/73 1345 def smallest\_change(arr): 1346 ans = 0for i in range(len(arr) // 2): ## [STATE]i=[0, 1, 2, 3][/STATE][STATE]range((len(arr) //
2))=[0, 1, 2, 3][/STATE][STATE](len(arr) // 2)=[3][/STATE] 1347 1348 if arr[i] != arr[len(arr) - i - 1]: ## [CONDITION](arr[i] != arr[len(arr) - i - 1])=[ True, False, False, False] [/CONDITION] [BRANCH] taken=[Y, N, N, N] [/BRANCH] 1349 ans += 1

```
return ans
```

1389

1390

Table 4: Comparison with REVAL and CODEMIND. *op* refers to output predition and *ic* refers to incremental consistency in (Chen et al., 2024). '-' means that the results of the model is not available in the official artifact.

	Models	CES	op(CodeMind)	op(REVAL)	ic(REVAL)			
	CodeLlama-Instruct-7B	15.85%	-	80.00%	9.50%			
	CodeLlama-base-7B	12.20%	-	77.56%	2.49%			
	CodeLlama-Instruct-13B	13.41%	75.85%	79.51%	3.36%			
	DeepSeek-Coder-Instruct-6.7B	30.49%	63.41%	-	-			
	MagiCoder-S-6.7B	23.17%	74.39%	79.24%	6.43%			
	StarCoder2-15B	34.15%	71.95%	85.37%	17.28%			
	GPT-4-Turbo	47.56%	82.93%	87.80%	42.72%			
small	est_change([1, 2, 3, 4, 3, 2, 2]	)						
Groun	d Truth:							
i = [	0,1,2]							
range	e((1en(arr) / 2)) = [0,1,2]							
outpu	it = 1							
*								
GPT-4	-Turbo:							
rieui	.ctea output. 1							
Analy	vsis:							
The f	he function smallest_change is called with the array [1, 2, 3, 4, 3, 2, 2].							
the a	array a palindrome.	cermine ci	ne minimum number	. Or changes r	equired to .			
The 1 which	ength of the array is 7, so the is the range from 0 to len(arr)	loop will	iterate over the	e first half o	f the array			
WILLOI	i is the range from o to fen(aff)	// 2 (1.	., 0 00 0).					
Itera	tion 1 $(i = 0)$ :							
_	Compare arr[0] (which is 1) with	arr[6] (1	which is 2).					
	ine, are not equal, so and is it	.oremenceu	~1 1.					
Itera	Iteration 2 (i = 1):							
-	(111)(12)(1 = 1):							
-	Compare arr[1] (which is 2) with They are equal, so any remains $r$	arr[5] (	which is 2).					
-	Compare arr[1] (which is 2) with They are equal, so ans remains u	n arr[5] (m nchanged.	which is 2).					
- Itera	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2):	n arr[5] (n nchanged.	which is 2).					
- Itera -	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2): Compare arr[2] (which is 3) with They are equal so any remains u	arr[5] (1 unchanged. arr[4] (1	which is 2). which is 3).					
- Itera - -	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2): Compare arr[2] (which is 3) with They are equal, so ans remains u	n arr[5] (n nnchanged. n arr[4] (n nnchanged.	which is 2). which is 3).					
- Itera - <u>Itera</u>	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2): Compare arr[2] (which is 3) with They are equal, so ans remains u tion 4 (i = 3):	n arr[5] (m nnchanged. n arr[4] (m nnchanged.	which is 2). which is 3).					
Itera - - Itera	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2): Compare arr[2] (which is 3) with They are equal, so ans remains u tion 4 (i = 3): Compare `arr[3]` (which is 4) with They are equal so `arrive	arr[5] (n unchanged. a arr[4] (n unchanged. 	which is 2). which is 3). ] <sup>*</sup> (which is 4).					
- Itera - - - - -	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2): Compare arr[2] (which is 3) with They are equal, so ans remains u tion 4 (i = 3): Compare `arr[3]` (which is_4) wi They are equal, so `ans` remains	a arr[5] (f inchanged. a arr[4] (f inchanged. 	which is 2). which is 3). ] <sup>•</sup> (which is 4). d.					
Itera Itera Itera After	Compare arr[1] (which is 2) with They are equal, so ans remains u tion 3 (i = 2): Compare arr[2] (which is 3) with They are equal, so ans remains u tion 4 (i = 3): Compare `arr[3]` (which is 4) wi They are equal, so `ans` remains completing the loop, the function	a arr[5] (n nchanged. a arr[4] (n nchanged. .th `arr[3 s unchanged. .on return:	which is 2). which is 3). ] <sup>•</sup> (which is 4). d. s the value of ar	ns, which is 1	. This indi			

#### A.4 COMPARISON WITH ALTERNATIVE APPROACHES

In Table 4 we compare CES with REVAL and CODEMIND. Toward a fair comparison, we select 82 programs (containing 240 statements) that are used by all three approaches. From Table 4 we can observe that:

CES achieves more pragmatic output prediction. Since CES discards suspiciously correct output predictions(§5.4), the performance of LLMs on output prediction is 41.95% and 57.19% lower than that in CODEMIND and REVAL, respectively. It is infeasible for these two approaches to identify suspiciously correct output. REVAL only works on filtered statements thus it can not reason about the program as a whole. CODEMIND is capable of reasoning about code on the program level but it only requires LLMs to generate CoT along with the output which makes it hard to automatically evaluate the quality of the reasoning process.

CES indicates higher consistency within the code reasoning tasks compared with REVAL. REVAL prompts LLMs separately and displays a very low incremental consistency. On the contrary, CES tracks the flow of the program within one prompt, and the correct output prediction with valid

reasoning process means that the model has consistent behavior in intermediate decision point prediction and output prediction. On average the consistency of CES is 187.54% higher than the *ic* (incremental consistency) of REVAL, which reveals a more realistic consistency within the code reasoning task.

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#### 1410 A.5 BREAKDOWN OF THE EQUATION 1

1412After receiving the response of model M for simulating the execution of program P under inputs I,1413CES compares the ground truth with the predicted values for properties of individual statement in1414 $l_j \in S_{loop}, c_j \in S_{condition}$ , and  $r_j \in S_{return}$  as below:

$$\forall l_{j} \in S_{loop} = \{l_{1}, \dots, l_{m}\}$$

$$CES(M, P, I, I_{l_{j}}) = \left[ \sum_{w=1}^{z} \left[ M(P, I, I_{l_{j_{w}}}) = GT(P, I, I_{l_{j_{w}}}) \right] = z \right]$$
(7)

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1424 1425  $\forall c_{j} \in S_{condition} = \{c_{1}, \dots, c_{n}\}$  $CES(M, P, I, P_{c_{j}}) = \llbracket \sum_{w=1}^{z} \llbracket M(P, I, P_{c_{j_{w}}}) = GT(P, I, P_{c_{j_{w}}}) \rrbracket = z \rrbracket$ (8)

(9)

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These three equations will be aggregated into Equation 1 for all the statements evaluated for code execution simulation.

 $\forall r_j \in S_{return} = \{r_1, \ldots, r_k\}$ 

 $CES(M,P,I,O_{r_j}) = \llbracket \sum_{w=1}^{z} \llbracket M(P,I,O_{r_{j_w}}) = GT(P,I,O_{r_{j_w}}) \rrbracket = z \rrbracket$ 

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#### 1437 A.6 IN-DEPTH ANALYSIS ON RQ 4 1438

The Venn diagrams of Figure 8 visualize the success cases per seven best-performing LLMs on CES,
Bug Prediction, Bug Localization, and Bug Repair. To better understand the reasons for agreements and disagreements, we manually investigated instances where models (1) succeeded in CES and other bug-related tasks (instances inside the CES diagram) and (2) failed in CES but succeeded in bug-related tasks (instances outside of CES diagram), respectively. When we compare CES with any bug-related task, we can see that there are some unique problems in which the models can only produce correct predictions on CES or the bug-related task. This finding is consistent with the conclusion from the Kappa coefficients in Table 2.

1446 GPT-4 Turbo and Gemini-1.5 Pro can succeed in all four tasks on the 33.75% and 19.38% problems 1447 in the benchmark, respectively. However, such cases could be very rare in less advanced LLMs. 1448 DeepSeekCoder-Inst-33b can consistently produce correct predictions on only 3% of the problems, 1449 and such cases do not exist in CodeLlama-Inst-13b. By investigating the CoT reasoning of models 1450 in bug-related tasks, we observed that GPT-4 Turbo and Gemini-1.5 Pro simulate the program exe-1451 cution in their CoT, and use that to judge whether there is a bug in the code, localize the bug, and 1452 repair the bug. Figure 9 presents such an example from Gemini-1.5 Pro. In this Figure 9-a, elif 1453 1%3 != 0 can not handle the case where the index is a multiple of 4 and not a multiple of 3, which 1454 is specified in the natural language description in Figure 9-b. From Figures 9-c to 9-f, we can see that Gemini-1.5 Pro can correctly simulate the execution process of the test case. It determines that 1455 the program is buggy by predicting a different output (36) from the expected output (6). This exam-1456 ple shows that LLMs that are more successful in bug-related tasks indeed consider code execution 1457 simulation in their reasoning process.



Figure 8: Correct predictions that representative LLMs make on CES, Bug Repair, Bug Localization, and Bug Prediction.

1480 Figure 10 presents another example where Gemini-1.5 Pro makes the correct prediction in 1481 CES but fails in all three bug-related tasks. The bug of HumanEval/70 is in line 2, where 1482 switch is mistakenly initialized into False instead of True. As a result, given the input 1483 strange\_sort\_list ([1, 2, 3, 4]), the buggy code returns [4, 1, 3, 2] instead of [1, 4, 2, 3]. 1484 Gemini-1.5 Pro correctly reasons about the loop property and the output of the buggy code (Fig-1485 ure 10-c) in CES. For the bug prediction (Figure 10-d), although it tries to simulate the execution 1486 of the given input, the simulation is incorrect. As a result, it concludes that the code is not buggy. 1487 Concerning bug localization (Figure 10-e) and bug repair (Figure 10-f), Gemini-1.5 Pro can successfully simulate the execution in its CoT. However, it hallucinates when localizing and repairing the 1488 bug, resulting in an incorrect performance. We believe that with a more formal reasoning approach 1489 concerning code execution that can be promptly validated, such as CES, LLMs will not fall into 1490 the trap of natural language hallucinations. As a result, when capable of performing code execution 1491 simulation, they can succeed in bug prediction, localization, and repair. 1492

LLMs also could successfully predict, localize, and repair the bug while failing in the CES. Fig-1493 ure 11 shows such an example from the performance of GPT-4 Turbo on HumanEval/6 concerning 1494 different tasks. The buggy code is shown in Figure 11-a and the test input (' (() (()) ((())) ))') 1495 is expected to return [4]. Due to the bug, however, the code returns [3]. From Figure 11-c, we can 1496 see that GPT-4 Turbo diverges from the ground truth execution from the beginning (prediction of 1497 loop variable c in the 7th iteration) and propagates the mistake through the execution, resulting in 1498 incorrect output prediction. From Figures 11-d to 11-f, we can see that GPT-4 Turbo is capable of 1499 predicting, localizing, and fixing the bug; however, the correct predictions are based on the incorrect 1500 understanding of the provided test case: in the CoT reasoning, it claims that the output of the test 1501 case matches the expected one on the buggy code. Despite the other examples, we also see that the 1502 code execution simulation in the CoT is either performed at a very high level or not at all. This 1503 example indicates that LLMs may neglect the test information or even incorrectly reason about the code execution, solely relying on the natural language specification to derive the results by chance, 1504 which can affect their trustworthiness. 1505

From the examples above, we observe that LLMs are capable of following the step-by-step format to reason the execution process of the code when performing bug-related tasks, regardless of the correctness of the natural language CoT. However, CoT shortcuts and hallucinations may prevent LLMs from correctly performing code-related tasks. Therefore, we believe that combining a more systematic way, like CES, to represent the reasoning process in the pre-training / finetuning stage can improve LLMs on both code reasoning tasks and programming tasks that require awareness on the execution.



Figure 9: An example showcasing Gemini-1.5 Pro making correct predictions on CES (c), Bug Prediction (d), Bug Localization (e), and Bug Repair (f) for HumanEval/142.



Figure 10: An example showcasing Gemini-1.5 Pro makes correct prediction on CES (c) but fails on Bug Prediction (d), Bug Localization (e), and Bug Repair (f) for HumanEval/70.

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1566	Bucey Code	NI Decemintion	(Es		
1567	Buggy Code	NE Description	CES		
1568	<ol> <li>def parse_paren_group(s):</li> <li>depth = 0</li> </ol>	Write a Python function	- Reasoning about <u>loop properties</u> : s = ['(()(())((())))']		
1569	<pre>4. max_depth = 0 5. for c in s:</pre>	-> List[int]` to solve the following	$\mathbf{c} = \begin{bmatrix} \mathbf{c}_1(\mathbf{c}_1), \mathbf{c}_2(\mathbf{c}_1), \mathbf{c}_3(\mathbf{c}_1, \mathbf{c}_2), \mathbf{c}_3(\mathbf{c}_1), \mathbf{c}_1(\mathbf{c}_2, \mathbf{c}_3), \mathbf{c}_3(\mathbf{c}_3, \mathbf{c}$		
1570	<pre>6. if c == '(': 7. depth += 1 8</pre>	problem: Input to this function is a string	- Reasoning about <u>conditional properties</u> : c == '(' = [ True, True, False, True, True, False, True, True, True, False, False, False, False ] ([ True, True, False, True,		
1571	9. else:	parentheses separated by spaces.	True, False, False, True, True, True, False, False, False, False]) if branch taken = [Y, Y, N, Y, Y, N, Y, Y, N, N, N, N, N]([Y, Y, N,		
1572	<pre>10. max_depth -= 1 11. return max_depth 12. return [parse_paren_group(x) for x</pre>	For each of the group, output the in deepest level of nesting of parentheses.	Y, Y, N, N, Y, Y, N, N, N, N, N]) else branch taken = [N, N, Y, N, N, Y, N, N, Y, Y, Y, Y, Y]([N, N, Y, N, N, Y, Y, N, N, N, Y, Y, Y, Y])		
1573	<pre>paren_string.split(' ') if x] Input: parse nested parens('(()(())((())</pre>		- Reasoning about output: output = [4]([3])		
1574	Output: [3]([4])				
1575	(a) Due Des distism	(b) Bus Lasslinstian	(C) Buo Depair		
1570	Bug Prediction	Bug Localization	Bug Repair		
1577 1578 1579 1580 1581 1582 1583 1584 1585 1586 1587 1588 1588 1589	<ol> <li>The function' parse_nested_parens' takes a string 'paren_string' and splits it into groups based on spaces.</li> <li>For each group, it calls 'parse_paren_group' to determine the maximum depth of nesting.</li> <li>In 'parse_paren_group', 'depth' tracks the current depth of nesting, and 'max_depth' tracks the maximum depth encountered.</li> <li>For each character in the string: - If it's' ('depth' is incorreented and 'max_depth' is updated if 'depth' exceeds 'max_depth'.</li> <li>If it's' ('depth' is incorreenty decremented.</li> <li>This is a mistake; it should be 'depth' that is decremented.</li> <li>The decrement should be applied to 'depth' to correctly track exiting a level of nesting, not to 'max_depth'. 'max_depth' should only capture the highest value of 'depth' reached during the parsing of the group.</li> <li>Given the test, 'assert parse_nested_parens( '(U(())((())))) == [4], input string does not contain spaces, so it's treated as a single group. The output of the test case is 4, which matches the expected output. However, the logic error in decrementing 'max_depth' instead of 'depth' could lead to incorrect events in one action of the input string</li> </ol>	CoT Reasoning: The function 'parse_nested_parens' is designed to parse groups of nested parentheses and determine the maximum depth of nesting for each group. The function first defines a helper function 'parse_paren_group' which calculates the maximum depth of a single group of parentheses. The main function splits the input string 'paren_string' by spaces to handle multiple groups and uses a list comprehension to apply' parse_paren_group' to each group. In the helper function 'parse_paren_group' to each group. In the helper function 'parse_paren_group', the variable 'depth' tracks the current depth of nesting, and 'max_depth' tracks the maximum depth encountered. For each character in the string: I fithe character is '(,' depth' is incremented. However, the line that decrements 'max_depth' instead of 'depth' should only be updated to record higher values, not decremented. In the test case 'parse_nested_parens('(()(0))(())))'). the function correctly dentify that the deepest level of nesting is 4. However, due to the bug, it might not compute this correctly in other cases.	CoT Reasoning: The function 'parse_nested_parents' is intended to strain genarated by spaces. However, the provided strain genarated by spaces. However, the provided strain genarated by spaces. However, the provided schemas a few issues:       		
1590	Bug Prediction: buggy				
1591	(d)	(e)	(f)		

Figure 11: An example showcasing incorrect CES reasoning (c) by GPT-4 Turbo for HumanEval/6 problem (a), and correct Bug Prediction (d), Bug Localization (e), and Bug Repair (f) from GPT-4 Turbo for the same code. The specification for the functionality of HumanEval/6 is shown in (b).

![](_page_29_Figure_3.jpeg)

Figure 12: Number of correct/incorrect predictions on loop variables, loop iterables, conditional predicates (a), and return values(b) with different types. Impact of integer values (c) and loop length on the performances of LLMs.

![](_page_29_Figure_5.jpeg)

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#### 1615 A.7 IMPACT OF VARIABLE TYPES 1616

Figure 12, presents the number of correct and incorrect predictions on variables per different types.
Here we collect all the variables that are predicted in CES, including loop variables, loop iterables, conditional predicates as well as return values, and categorize them into seven most common types in the programs: String, Int, Float, Boolean, List, Tuple, and Dict.

![](_page_30_Figure_1.jpeg)

Figure 13: Comparison between the valid reasoning correct output prediction of representative LLMs.

From Figures 12-a and 12-b, we can see that predicting values of the float types is more challenging
than other variable types for LLMs: the top five best-performing LLMs can only correctly predict
16.46% of them. We speculate that float is always associated with precise arithmetic computation,
which has been proven to be one of the weaknesses of LLMs (Satpute et al., 2024). List is also very
difficult for LLMs since it requires additional memory and recursion to understand every item inside
it correctly. On average, LLMs can predict the value of 45.41% of variables in the type of list, which
is lower than other primitive types (e.g., String, Int, and Boolean).

In Figures 12-c and 12-d, we further investigate how the absolute value of integers and the length of the lists impact the prediction of LLMs. Here we put all the ints and lists into different bins  $([0-2], [3-5], [6-8], [9-11], [12-+\infty])$  according to their values/lengths. We observe a similar trend in all models, that the percentage of correct predictions decreases as the integer value or list length grows, which indicates their negative impact on the code execution simulation performance of the LLMs.

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A.8 COMPARISON BETWEEN THE VALID REASONING CORRECT OUTPUT PREDICTION OF REPRESENTATIVE MODELS.

In Figure 13, we compare the valid reasoning process & correct output (also shown in Table 1)
achieved by different models. From Figure 13-c, we can see that GPT-4 Turbo has 34 unique valid
reasoning process & correct output compared to other models in the total 75 LC programs (programs
with both loops and conditional statements, which shows its superiority in code execution reasoning.
Similar trend can be found in LO programs (Figure 13-a), CO Programs (Figure 13-b) and Other
(Figure 13-d).

![](_page_31_Figure_1.jpeg)

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Figure 14: The prompt template used in our study.