# Safe Multi-agent Reinforcement Learning with Protection Motivation Theory

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#### Abstract

A challenging problem for implementing multi-agent reinforcement learning (MARL) in real-world applications is ensuring the safety of cooperative strategies. According to the Protection Motivation Theory (PMT), threat appraisals result in negative emotions and elicit protective behaviors, which are instrumental for coping with security threats. Drawing inspiration from the PMT, we focus on two discrete emotions-fear and regret-to evaluate threat severity and facilitate multiple agents to learn protective behaviors. These can promote cooperative decision-making with fewer safety violations. Specifically, we propose two safety guarantee methods with PMT: fear for safety guarantee (F4SG) and regret for safety guarantee (R4SG). utilizing the active inference technique to model the emotions of fear and regret separately. The threat severity evaluated by these emotions influences the state value and the executed action respectively, which avoids the potential threat of visiting certain states or taking certain actions. Experimental results demonstrate that our proposed methods are safer and more efficient than state-of-the-art baselines on challenging tasks in safe MARL benchmarks.

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#### 1 Introduction

031 In recent years, Multi-agent Reinforcement learning (MARL) has become an active research 032 field with great success in addressing various complex multi-agent decision-making tasks, 033 such as multi-robot control (Chen et al., 2024), autonomous vehicle coordination (Li et al., 034 2024), and transportation resource management (Zhang et al., 2023). Although traditional MARL methods show significant performance for completing cooperative tasks, the lack of safety guarantees limits their deployment in the real world. These methods are purely for reward maximization, completely ignoring safety violations (Gu et al., 2023). For example, 037 the autonomous vehicles controlled by MARL agents ignore the risk of collision with others 038 in order to obtaining high returns through high-speed driving; the robots controlled by MARL agents ignore irreversible harm to themselves or environmental elements in order to 040 quickly completing the required tasks.

Recently, some works offer effective learning algorithms for ensuring safety in MARL meth-042 ods: 1) The primal-dual framework-based safe MARL methods (Lu et al., 2021; Ding et al., 043 2023; Gu et al., 2023). These methods formulate the constrained optimization problem in 044 safe MARL as the min-max problem between reward and cost, and utilize a primal-dual 045 framework (Boyd et al., 2004) or its combination with trust region approach (Schulman 046 et al., 2015) to find the saddle point. 2) The shielding-based safe MARL methods (Elsayed-Aly et al., 2021; Melcer et al., 2022; Xiao et al., 2023; Melcer et al., 2024). These methods 048 extend the shielding framework developed in the single-agent setting (Alshiekh et al., 2018) 049 to the multi-agent setting, preventing multiple agents from exploring any unsafe states or actions that violate the safety specification expressed by linear temporal logic (Pnueli, 1977). 051 3) The safe MARL methods with safety layer (Sheebaelhamd et al., 2021; Shi et al., 2023). These methods add the data-driven safety layer for mapping unsafe actions to safe actions, 052 enhancing the capability of correcting unsafe behaviors. 4) Other approach for safe MARL methods (Zhu et al., 2020; Jusup et al., 2024). These methods introduce the novel prior knowledge to ensure the safety, such as gaussian processes and mean field. However, the above works ignore the great potential of utilizing human knowledge about coping with threats in guiding MARL methods to ensure safety, which can effectively achieve the goal of improving rewards while satisfying safety constraints.

058 The Protection Motivation Theory (PMT (Rogers, 1975)) originated from social psychology 059 suggests that threat appraisals result in the emotion of fear and impact protective behaviors. 060 PMT explains human security behaviors to protect themselves when perceiving threats. 061 (Ogbanufe & Pavur, 2022) further extends PMT framework and analyzes the effects of 062 discrete emotions (i.e. fear and regret) for security protection behaviors. Naturally, PMT 063 is also applicable to guide MARL methods in learning safe cooperative strategies in safety-064 critical scenarios: agents actively infer discrete emotions to evaluate the threat severity and influence the current cooperative decision-making, avoiding the potential threat of visiting 065 certain states or taking certain actions. 066

067 In this paper, we introduce PMT into the MARL to address the challenge safety. With 068 PMT, we propose two novel safe guarantee methods: fear for safety guarantee (F4SG) 069 and regret for safety guarantee (R4SG). Same as the cognitive mediational process (threat appraisal and coping appraisal) in PMT, each of our methods takes two steps to implement 071 the security protection behaviors elicited by discrete emotions. First, we utilize the active inference technique to model the emotions of fear and regret separately, mapping the state to 072 the severity of fear and the state-action to the severity of regret. Second, the state value and 073 the executed action are respectively influenced according to the threat appraisal, facilitating 074 the learning of security protection behaviors. Besides, we take Lagrange dual framework 075 combined with trust region approach to formulate the constrained optimisation problem as 076 the min-max problem, accelerating the learning process of safety cooperative strategy. 077

To summarize, our main contributions are three-fold: 1) We provide a novel perspective, 078 with the Protection Motivation Theory originated from social psychology, for safe multi-079 agent reinforcement learning, introducing the human knowledge about facing threats into MARL to ensure safety. 2) With PMT, we propose two effective safety guarantee methods-081 fear for safety guarantee (F4SG) and regret for safety guarantee (R4SG)-to facilitate mul-082 tiple agents learning safety protective cooperative behaviors. 3) Experimental results show 083 that the two safety guarantee methods outperform the state-of-the-art baselines in terms of balancing performance and constraint satisfaction in several challenging tasks on three safe 085 MARL benchmarks. 086

- 2 Preliminaries
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2.1 Problem Formulation

091 The safe MARL problem can be formulated as a constrained Markov decision process 092 (CMDP) (Gu et al., 2023). Considering a fully-cooperative setting, CMDP is described by a tuple  $\langle \mathcal{N}, \mathcal{S}, \mathcal{A}, \mathcal{T}, \mathcal{R}, \mathcal{C}, c, \gamma, \rho^0 \rangle$ , where  $\mathcal{N}$  is the set of n agents indexed by 1, 2, ..., n; S is the state space shared for all agents;  $\mathbf{A} = A^1 \times A^2 \times \ldots \times A^n$  is the joint action 094 space and  $A^i$  denotes *i*th agent's action space;  $\mathcal{T}: \mathcal{S} \times \mathcal{A} \to \mathcal{S}$  is the probabilistic transition 095 function, and at each time step t, the agents in state  $s_t \in \mathcal{S}$  select actions  $\mathbf{a}_t \in \mathcal{A}$  according 096 to their policy  $\pi^i(\mathbf{a}^i|s_t)$ , then reach the new state  $s_{t+1} \sim \mathcal{T}(s_t, \mathbf{a}_t)$ ;  $\mathcal{R} : \mathcal{S} \times \mathcal{A} \to \mathbb{R}^n$  is the reward function shared for all agents;  $\mathcal{C} = \{\mathcal{C}_k^i\}_{1 \le k \le m^i}^{i \in \mathcal{N}}$  is the set for the sets of cost functions (every agent *i* has  $m^i$  cost functions) with the form  $\mathcal{C}_k^i : \mathcal{S} \times A^i \to \mathbb{R}$ ;  $\mathbf{c} = \{c_k^i\}_{1 \le k \le m^i}^{i \in \mathcal{N}}$  is 098 099 100 the set of corresponding cost-constraining values; and  $\gamma \in [0,1)$  is the discount factor. It aims to find a joint policy  $\pi = \prod_{i=1}^{n} \pi^{i}$ , making all agents work cooperatively and safely, by solving the following constrained optimization problem: 101 102

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$$s.t. \ \mathcal{J}_k^i(\boldsymbol{\pi}) \triangleq \mathbb{E}_{s \sim \mathcal{T}, \mathbf{a} \sim \boldsymbol{\pi}} [\sum_{t=0}^{\infty} \gamma^t \mathcal{C}_k^i(s_t, \mathbf{a}_t^i)] \le c_k^i, \ \forall i = 1, 2, \dots, n; \ k = 1, 2, \dots, m^i.$$

(1)

 $\mathcal{J}(\boldsymbol{\pi}) \triangleq \mathbb{E}_{s \sim \mathcal{T}, \mathbf{a} \sim \boldsymbol{\pi}} [\sum_{t=1}^{\infty} \gamma^{t} \mathcal{R}(s_{t}, \mathbf{a}_{t})],$ 

## 108 2.2 Protection Motivation Theory

110 PMT (Rogers, 1975) is a long-studied and persuasive theory in social psychology, which suggests that threat appraisals result in the emotion of fear and individuals will respond 111 to threat appraisals via protective behavior. Thus, emotions are instrumental for coping 112 with security threats (Liang et al., 2019; Wang et al., 2023). PMT consists of three parts: 113 information sources, cognitive mediational process, and coping patterns. The information 114 source refers to an individual's cognition of various factors in the environment; The cogni-115 tive mediational process is the core part of this theory, which includes two elements: threat 116 assessment and response assessment; Coping patterns refer to the behavior of individuals 117 after undergoing the cognitive mediational process. This theory explains the reasons why 118 individuals change their behavior due to environmental and social factors through cognitive 119 mediational, and comprehensively elaborates on the process and mechanism of cognition 120 to behavior change. PMT has a wide range of applications, such as information systems, 121 health, and marketing. With the evolution of PMT, many studies consider various emotions 122 affecting protective behavior to explain individuals' protection motivation (Leary, 2007; Cho et al., 2020; Nehme & George, 2022; Ogbanufe & Pavur, 2022). According to the theoretical 123 underpinning of recently revisited PMT (Ogbanufe & Pavur, 2022), the behaviors that char-124 acterize individuals' motivation to protect themselves from a threat are elicited by discrete 125 emotions of fear and regret, which have different effects on security protection behaviors. 126 Naturally, PMT is also applicable to multi-agent systems in safety-critical scenarios and we 127 facilitate multiple agents learning safety cooperative strategy with this theory. 128

129 130 2.3 Active Inference Technique

131 Active inference technique (Friston et al., 2009) offers a useful computational framework for 132 minimizing the divergence between an unbiased model of the real world and a biased gener-133 ative model of organisms' preferences, which arises from the free energy principle (Friston, 134 2010). Given a generative model, it tends the output distribution  $q(x;\theta)$  (known as the 135 prior in Bayesian) towards the true posterior p(x|y) via minimizing the free energy  $\mathcal{F}$ :

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$$\mathcal{F} = D_{\mathrm{KL}}[q(x;\theta)||p(x,y)] \tag{2}$$

where  $D_{\mathrm{KL}}(\cdot||\cdot)$  is the Kullback-Leibler (KL) divergence. x denotes the hypotheses about the world and y denotes the observation about the world. The model parameters  $\theta$  are treated as random variables, casting the learning of the model as a process of inference.

## 3 Safe Multi-agent Reinforcement Learning with Protection Motivation Theory

This section describes our proposed safety guarantee methods with protection motivation theory, F4SG and R4SG, that elicit agents' protective behaviors via discrete emotions of fear and regret. We follow the Centralized Training and Decentralized Execution framework, where agents are trained with access to global information but make decisions based on their own local information in execution. Both F4SG and R4SG follow the cognitive mediational process revealed by PMT. We then introduce the details of implementing our safety guarantee algorithm on the representative Actor-Critic style MARL methods.

- **153** 3.1 Fear for Safety Guarantee
- 154 155 3.1.1 Threat Appraisal about Fear

Fear increases an individual's perception of threats and prompts them to take suggested
actions to reduce the fear or harm caused by such threats. The fear-based PMT suggests that
fear acting as a mediator between threat appraisals and protection motivation can influence
individuals' safety protection behaviors to avoid potential threats. The observations in
neurosciences (Herry et al., 2008; Jovasevic et al., 2015) suggest that the fear-inducing
underlying mechanism is state dependent. Thus, we propose a fear prior network (FPN)
learned via active inference that maps agents' states to their fear severity.

For the emotion of fear, it can be elicited by certain negative stimuli (LeDoux & Daw, 2018). 163 In safe MARL, the cost function serves as a negative incentive used to punish the unsafe 164 behaviors of the agent, which can be viewed as a form of negative stimulus. Furthermore, 165 the emotion of fear can also be caused by uncertainties in individual perception (Carleton 166 & Nicholas, 2016). Therefore, the FPN considers both the anticipated negative stimuli and epistemic uncertainties simultaneously. Mathematically, the fear severity inferred by FPN 167 for each agent i can be expressed as  $\tilde{f}^i = FPN(s;\zeta^i)$ . Formally, the fear severity of state s 168 is the probability value at the 1st-index of  $\tilde{f}^i$ , while the probability value at the other index 169 170 denotes the level of non-fear.

To make the inferred fear severity  $\tilde{f}^i$  about state *s* incorporate both the elicited factors, the FPN is optimized by minimizing the following:

$$\operatorname{argmin}\left(D_{\mathrm{KL}}[q(\tilde{f}^{i})||p(\mathcal{C}^{i})] - \ln[p(\mathcal{C}^{i})]\right) + D_{\mathrm{KL}}[FPN(s), FPN(s_{\mathrm{D}})]$$
(3)

175 where the first term is the free energy simplified by Variational Bayes (Buckley et al., 2017) 176 and the second term is the epistemic uncertainty between the fear severity of state current 177 state s and the fear severity of state history state  $s_{\rm D}$  (stored in replay buffer D);  $p(\cdot)$  and 178  $q(\cdot)$  are the probability distributions;  $D_{\rm KL}$  is the Kullback-Leibler (KL) divergence. Due to 179 the true distribution of cost is binomial distribution, we adopt the reparameterization trick 180 technique (Jang et al., 2017) to sample from  $q(f^i)$  for generating the binomial distribution 181 about fear severity  $b(f^i)$ . Meanwhile, the second term can be expressed as the cognitive 182 error, which is replaced by the mean squared loss between  $\tilde{f}^i$  and  $\tilde{f}^i_{\rm D}$  in the implementation. 183 Therefore, the final loss function to learn the FPN is: 184

$$\mathcal{L}(\zeta) = D_{\mathrm{KL}}[b(\tilde{f}^i;\zeta)||p(\mathcal{C}^i)] + L_2(\tilde{f}^i,\tilde{f}^i_{\mathrm{D}};\zeta)$$
(4)

#### 3.1.2 Coping Response to Fear

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After modeling the threat severity of fear  $\tilde{f}^i$  at state s, it seeks to ensure the safety of MARL methods. The safe MARL problem is formulated as the following constrained optimization problem:

$$\max \mathbb{E}_{s \sim \mathcal{T}_{\boldsymbol{\pi}_{\theta}}, \mathbf{a} \sim \boldsymbol{\pi}_{\theta}} [A_{\boldsymbol{\pi}_{\theta}}^{i}(s, \mathbf{a})]$$
  
s.t.  $\mathbb{E}_{s \sim \mathcal{T}_{\boldsymbol{\pi}_{\theta}}, \mathbf{a} \sim \boldsymbol{\pi}_{\theta}} [A_{k, \boldsymbol{\pi}_{\theta}}^{i}(s, \mathbf{a})] \leq c_{k}^{i}, \ i = \{1, 2, \dots, n\}; \ k = \{1, 2, \dots, m^{i}\}$  (5)

where  $A_{\pi_{\theta}}(s, \mathbf{a})$  denotes the advantage estimate relying on rewards and  $A_{k,\pi_{\theta}}^{i}(s, \mathbf{a})$  denotes the cost advantage estimate relying on costs. According to Lagrange duality theory, the Lagrange dual problem about the Eq.(5) can be derived as:

$$\max_{\theta} \min_{\lambda} \left[ \mathbb{E}_{s \sim \mathcal{T}_{\pi_{\theta}}, \mathbf{a} \sim \pi_{\theta}} [A_{\pi_{\theta}}(s, \mathbf{a})] - \sum_{i=1}^{n} \sum_{k=1}^{m^{i}} \lambda_{k} (\mathbb{E}_{s \sim \mathcal{T}_{\pi_{\theta}}, \mathbf{a} \sim \pi_{\theta}} [A_{k, \pi_{\theta}}^{i}(s, \mathbf{a})] - c_{k}^{i}) \right]$$
(6)

202 where  $\lambda$  denotes the dual variable, and  $\lambda \geq 0$ . To guarantee satisfying constraints during 203 training theoretically and make the stable training process (Kim et al., 2023; Gu et al., 204 2023), the trust region approach is applied to the above min-max optimization problem. So 205 for agent  $i_h \in i_{1:h}$  ( $i_{1:h}$  denotes any subset of  $\mathcal{N}$ ), Eq.(6) is written as:

$$\max_{\theta^{i_{h}}} \min_{\lambda_{1:m^{i_{h}}}^{i_{h}}} \left[ \mathbb{E}_{s \sim \mathcal{T}_{\pi_{\theta_{t_{s}}}}, \mathbf{a}^{i_{1:h-1}} \sim \pi_{\theta^{i_{1:h-1}}}^{i_{1:h-1}}, \mathbf{a}^{i_{h}} \sim \pi_{\theta^{i_{h}}}^{i_{h}}} \left[ A_{\pi_{\theta_{t_{s}}}}^{i_{h}} \left( s, \mathbf{a}^{i_{1:h-1}}, \mathbf{a}^{i_{h}} \right) \right] - \sum_{k=1}^{m^{i_{h}}} \lambda_{k}^{i_{h}} \left( \mathbb{E}_{s \sim \mathcal{T}_{\pi_{\theta_{t_{s}}}}, \mathbf{a}^{i_{h}} \sim \pi_{\theta^{i_{h}}}^{i_{h}}} \left[ A_{k,\pi_{\theta_{t_{s}}}}^{i_{h}} \left( s, \mathbf{a}^{i_{h}} \right) \right] + J_{k}^{i_{h}} \left( \pi_{\theta_{t_{s}}} \right) - c_{k}^{i_{h}} \right) \right]$$
(7)

212 meanwhile satisfying  $\bar{D}_{\mathrm{KL}}(\pi_{\theta_{t_s}^{i_h}}^{i_h}, \pi_{\theta^{i_h}}^{i_h}) \triangleq \mathbb{E}_{s \sim \mathcal{T}_{\pi_{t_s}}}[D_{\mathrm{KL}}(\pi_{\theta_{t_s}^{i_h}}^{i_h}(\cdot|s), \pi_{\theta^{i_h}}^{i_h}(\cdot|s))] \leq \delta$ , which is pro-213 cessed by clip operator (Yu et al., 2022) in the implement.  $J_k^{i_h}(\pi_{\theta_{t_s}})$  is the cost objective 215 function about policy  $\pi_{\theta_{t_s}}$ , which guarantees that policy that ensures monotonic improve-216 ment property also satisfies safety constraints. =

The fear severity from the fear prior network is applied to calculate cost advantage estimates in the second term. Specifically, the cost generalized advantage estimate is written as in its definition (Schulman et al., 2016) and expanded in a telescoping sum: 

$$\hat{A}_{k,\boldsymbol{\pi}_{\theta_{t_s}}}^{i_h}(s_{t_s}, \mathbf{a}_{t_s}^{i_h}) = \lim_{L \to \infty} \sum_{l=0}^{L} \gamma^l [Q_{k,\boldsymbol{\pi}_{\theta_{t_s+l}}}^{i_h}(s_{t_s+l}, \mathbf{a}_{t_s+l}^{i_h}) - V_{k,\boldsymbol{\pi}_{\theta_{t_s+l}}}^{i_h}(s_{t_s+l})]$$

$$\lim_{L \to \infty} \sum_{l=0}^{L} \gamma^{l} \mathcal{C}_{k}^{i_{h}}(s_{t_{s}+l}, \mathbf{a}_{\boldsymbol{\pi}_{\theta_{t_{s}+l}}}^{k}) - V_{k, \boldsymbol{\pi}_{\theta_{t_{s}}}}^{i_{h}}(s_{t_{s}}) + \gamma^{L} V_{k, \boldsymbol{\pi}_{\theta_{t_{s}}}}^{i_{h}}(s_{t_{s}+l})$$

(8)

$$=\sum_{l=0}^{\infty}\gamma^{l}\mathcal{C}_{k}^{i_{h}}(s_{t_{s}+l},\mathbf{a}_{\boldsymbol{\pi}_{\boldsymbol{\theta}_{t_{s}}+l}}^{k})-V_{k,\boldsymbol{\pi}_{\boldsymbol{\theta}_{t_{s}}}}^{i_{h}}(s_{t_{s}})$$

Eq.(8) reveals the importance of the cost function. Fear severity  $\tilde{f}_k^{i_h} \in [0, 1]$  replaces the cost function  $C_k^{i_h} \in \{0,1\}$  to improve the accuracy and smoothness of cost advantage estimation at state  $s_{t_s}$ . The cost advantage estimation relying on fear severity is:

$$\hat{A}_{k,\boldsymbol{\pi}_{\theta_{t_s}}}^{i_h,(F)}(s_{t_s}, \mathbf{a}_{t_s}^{i_h}) = \sum_{l=0}^{\infty} \gamma^l \tilde{f}_k^{i_h}(s_{t_s+l}) - V_{k,\boldsymbol{\pi}_{\theta_{t_s}}}^{i_h}(s_{t_s})$$
(9)

Then, we introduce the implementation process of F4SG. For additional information, please refer to A.4. The actor networks is trained to minimize the Eq.(10):

$$\mathcal{L}_{(\lambda)}^{i_{h}}(\theta^{i_{h}}) = \frac{1}{B} \sum_{b=1}^{B} \sum_{t=0}^{T_{s}} \min[\frac{\pi_{\theta^{i_{h}}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})}{\pi_{\theta_{t}^{i_{h}}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})} L_{\lambda_{k}}^{\theta^{i_{h}}}, \operatorname{clip}(\frac{\pi_{\theta^{i_{h}}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})}{\pi_{\theta_{t}^{i_{h}}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})}, 1 \pm \epsilon) L_{\lambda_{k}}^{\theta^{i_{h}}}],$$

$$(10)$$
where  $L_{\lambda_{t}}^{\theta^{i_{h}}} = \hat{A}^{i_{h}}(s_{t}, \mathbf{a}_{t}) - \sum_{k}^{m^{i_{h}}} \lambda_{k}^{i_{h}} \hat{A}_{k}^{i_{h}} (F)(s_{t}, \mathbf{a}_{t}^{i_{h}}).$ 

 $= A^{-}(s_t, \mathbf{a}_t) - \sum_{k=1}^{\infty} \lambda_k^{n} A_k^{-}(s_t, \mathbf{a}_t^{*n})$ 

The dual variable  $\lambda$  is calculated as Eq.(11):

$$\lambda_{k}^{i_{h}} \leftarrow [\lambda_{k}^{i_{h}} - \alpha_{\lambda} \frac{-1}{B} \sum_{b=1}^{B} (S_{J_{k}^{i_{h}}, c_{k}^{i_{h}}}^{i_{h}} + I_{k}^{i_{h}})]_{+}$$

$$\sum_{k} \sum_{j=1}^{B} \sum_{i_{k}, j_{k}} \hat{\chi}_{i_{k}}^{i_{k}} (\lambda_{j_{k}}) \sum_{j=1}^{T_{s}} \pi_{j_{k}}^{i_{h}} (a_{i_{k}}^{i_{h}} | o_{i_{k}}^{i_{h}}) \hat{\chi}_{i_{k}}(F) (\lambda_{j_{k}}) \hat{\chi}_{i_{k}}$$

where 
$$S_{J_k^{i_h}, c_k^{i_h}}^{i_h} = (1 - \gamma) \frac{1}{BT_s} \sum_{b=1}^{B} \sum_{t=1}^{T_s} \hat{V}_k^{i_h}(s_t) - c_k^{i_h}, I_k^{i_h} = \sum_{t=0}^{T_s} \frac{\pi_{\theta^{i_h}}^{i_h}(a_i^{i_h}|o_i^{i_h})}{\pi_{\theta_t^{i_h}}^{i_h}(a_i^{i_h}|o_i^{i_h})} \hat{A}_k^{i_h, (F)}(s_t, \mathbf{a}_t^{i_h})$$

The critic networks and cost networks are trained to minimize the Eq.(12a) and Eq.(12b)respectively:

$$\mathcal{L}^{C}(\phi) = \frac{1}{BT_{s}} \sum_{b=1}^{B} \sum_{t=0}^{T_{s}} max([V_{\phi}(s_{t}) - \hat{R}_{t}]^{2}, [\operatorname{clip}(V_{\phi}(s_{t}), V_{\phi_{\hat{t}}}(s_{t}) \pm \epsilon) - \hat{R}_{t}]^{2})$$
(12a)

$$\mathcal{L}_{k}^{C}(\phi) = \frac{1}{BT_{s}} \sum_{b=1}^{B} \sum_{t=0}^{T_{s}} max([V_{k,\phi}(s_{t}) - \hat{\mathcal{C}}_{t}]^{2}, [\operatorname{clip}(V_{k,\phi}(s_{t}), V_{k,\phi_{\tilde{t}}}(s_{t}) \pm \epsilon) - \hat{\mathcal{C}}_{k,t}]^{2})$$
(12b)

3.2 Regret for Safety Guarantee

#### 3.2.1 Threat Appraisal about Regret

Regret is a negative emotion of not performing a behavior that would positively contribute to one's personal goals. The regret-based PMT suggests that regret is important in individuals' decision-making to avoid the actual experience of meeting threats via the regret in prebehaviors. Many works (Bastani et al., 2022; Karpov & Zhang, 2024) utilize the regret theory to facilitate the learning of policy. However, these works learn no-regret policies maximizing rewards by regret theory but ignore the great potential of regret in ensuring safety. Intuitively, the regret severity for organisms is closely related to their current state
and executed action. Thus, we propose a regret prior network (RPN) learned via active
inference that maps the states and actions of agents to their regret severity.

Similar to the emotion of fear, the emotion of regret is elicited by two factors: certain negative stimuli (LeDoux & Daw, 2018) and gaps between actual and expectation (Liao et al., 2016). Consequently, the regret severity of state s and action available actions  $a^i$  can be expressed as  $\bar{r}^i = RPN(S, a^i; \psi)$ , where  $\bar{r}^i$  has the same dimension  $|A^i|$  with available actions. It represents the regret sever of each action in agent's available actions set at current state. Research (Kuhnle & Sinclair, 2011) suggests that regretting at every moment is harmful to humans, so we focus on the state-action violating safety. The regret severity  $\bar{r}^i$  is processed by combining with the cost C of environment feedback:

$$\tilde{r}^{i} = \begin{cases} \bar{r} &, \quad \mathcal{C}(s, \mathsf{a}^{i}) = 1\\ \mathbf{0}^{|A^{i}|}, \quad \mathcal{C}(s, \mathsf{a}^{i}) = 0 \end{cases}$$
(13)

To make the inferred regret severity  $\tilde{r}^i$  about state s and available actions  $a^i$  incorporate both the elicited factors, the RPN is optimized by minimizing the following:

$$\mathcal{L}(\psi) = D_{\mathrm{KL}}[q(\tilde{r}^{i};\psi)||\mathbb{I}(\mathcal{C}(s,\mathsf{a}^{i})=1) \cdot p(\mathsf{a}^{i})] + L_{2}(\tilde{r}^{i},\tilde{r}_{\mathrm{D}}^{i};\psi)$$
(14)

where  $\mathbb{I}(\cdot)$  is an indicator function and  $p(\mathbf{a}^i)$  denotes the probability distributions of agent *i*'s actions under the policy  $\pi_{\theta^i}$ .

#### 3.2.2 Coping Response to Regret

For the safe MARL problem, the R4SG approach also optimizes the formulation expressed 294 as Eq.(7), and most symbols explained in the F4SG approach are also applied to the R4SG 295 approach. Together, the different symbols in the R4SG approach are explained and illus-296 trated in the following. To maintain the optimal policy invariance, the regret severity does 297 not directly influence the executed actions. It forms the security inductive bias to promote 298 the actor network to learn the safe cooperative policy. For additional information, please 299 refer to A.5. Specifically, the policy with security inductive bias in the trust region-based 300 Lagrange dual problem is learned by minimizing the following loss function: 301

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$$\mathcal{L}_{(\lambda)}^{i_{h}}(\theta^{i_{h}}) = \frac{1}{B} \sum_{b=1}^{B} \sum_{t=0}^{T_{s}} \min[\frac{(\mathbf{1} - \Omega_{\omega}(\tilde{r}_{\theta^{i_{h}}}^{i_{h}}))\pi_{\theta^{i_{h}}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})}{(\mathbf{1} - \Omega_{\omega}(\tilde{r}_{\theta^{i_{h}}_{i}}^{i_{h}}))\pi_{\theta^{i_{h}}_{i}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})} L_{\lambda_{k}}^{\theta^{i_{h}}},$$

$$\operatorname{clip}(\frac{(\mathbf{1} - \Omega_{\omega}(\tilde{r}_{\theta^{i_h}}^{i_h}))\pi_{\theta^{i_h}}^{i_h}(a_i^{i_h}|o_i^{i_h})}{(\mathbf{1} - \Omega_{\omega}(\tilde{r}_{\theta^{i_h}_i}^{i_h}))\pi_{\theta^{i_h}_i}^{i_h}(a_i^{i_h}|o_i^{i_h})}, 1 \pm \epsilon)L_{\lambda_k}^{\theta^{i_h}}],$$

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where 
$$L_{\lambda_k}^{\theta^{i_h}} = \hat{A}^{i_h}(s_t, \mathbf{a}_t) - \sum_{k=1}^{m^{i_h}} \lambda_k^{i_h} \hat{A}_k^{i_h}(s_t, \mathbf{a}_t^{i_h}).$$

where  $\Omega_{\omega}(\cdot)$  denotes the mask operation based on hyperparameter  $\omega$ , which follows the fact that human only regret a finite actions among all available actions and avoids the long tail distribution problem caused by the large action space. The dual variable  $\lambda$  is similar as Eq.(11), which replaces  $I_k^{i_h}$  with Eq.(16):

$$\tilde{I}_{k}^{i_{h}} = \sum_{t=0}^{T_{s}} \frac{(1 - \tilde{r}_{\theta^{i_{h}}}^{i_{h}}) \pi_{\theta^{i_{h}}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})}{(1 - \tilde{r}_{\theta^{i_{h}}_{i}}^{i_{h}}) \pi_{\theta^{i_{h}}_{i}}^{i_{h}}(a_{i}^{i_{h}}|o_{i}^{i_{h}})} \hat{A}_{k}^{i_{h}}(s_{t}, \mathbf{a}_{t}^{i_{h}})$$
(16)

(15)

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320 where  $\hat{A}_{k,\pi_{\theta_{t_s}}}^{i_h}(s_{t_s}, \mathbf{a}_{t_s}^{i_h})$  is expressed in Eq.(8). The new dual variable  $\lambda$  is calculated as 321 Eq.(17):

$$\lambda_{k}^{i_{h}} \leftarrow [\lambda_{k}^{i_{h}} - \alpha_{\lambda} \frac{-1}{B} \sum_{b=1}^{B} (S_{J_{k}^{i_{h}}, c_{k}^{i_{h}}}^{i_{h}} + \tilde{I}_{k}^{i_{h}})]_{+}$$
(17)

### 4 Experiments

To demonstrate that the agents implemented via F4SG/R4SG can cooperatively learn to achieve high rewards while satisfying their safety constraints, we evaluate F4SG and R4SG in three complex cooperative multi-agent environments with safety-critical tasks (Gu et al., 2023): Safe Multi-Agent MuJoCo (Gu et al., 2023), Safe Multi-Agent Isaac Gym (Gu et al., 2023), and Multi-Agent Power Distribution Networks (Wang et al., 2021), as shown in Fig. A.2. All methods are trained on a Ubuntu 20.04 operation system with CPU Intel Xeon E5-2630 v3 and GPU Tesla P40 and the neural network framework is built on Pytorch (Paszke et al., 2019). All presented results are average performance over 5 random seeds. The shaded area in each figure is the standard deviation.

4.1 Baselines

F4SG and R4SG are compared with various types of state-of-the-art MARL methods used as baselines:

- Vanilla MARL. We employ Multi-agent Proximal Policy Optimization (MAPPO (Yu et al., 2022)) and Heterogeneous-agent Proximal Policy Optimization (HAPPO (Kuba et al., 2022)) as two vanilla MARL baselines, representing the classical Actor-Critic style MARL method and the trust region Actor-Critic style MARL method.
- Safe MARL. Multi-agent Constrained Policy Optimisation (MACPO (Gu et al., 2023)) and MAPPO-Lagrangian (MAPPO-L (Gu et al., 2023)) are adopted as two safe MARL baselines.
- 4.2 Safe Multi-Agent MuJoCo Environment
- 4.2.1 Experimental Settings

Safe MAMuJoCo, an extension of MAMuJoCo (Peng et al., 2021), retains in terms of
background environment, agents, physics simulator, and the reward function. It adds the
obstacles (i.e. walls) and the cost functions from (Zanger et al., 2021). For this environment,
it requires *n*-agent Ant walking though corridor cooperatively and safely. The width of the
corridor set by two walls is 10m and *n*-agent Ant receives a cost feedback if the distance
between the Ant and the wall is less than 1.8m or the Ant topples over, expressed as:

$$c_t = \begin{cases} 0, \text{ for } 0.2 \leq \mathbf{z}_{\text{torso},t+1} \leq 1.0 \text{ and } z_{rot} > -0.7\\ \text{and } ||\mathbf{x}_{\text{torso},t+1} - \mathbf{x}_{\text{wall}}||_2 \geq 1.8,\\ 1, \text{ otherwise.} \end{cases}$$

where  $\mathbf{z}_{\text{torso},t+1}$  is the ant's torso's z-coordinate,  $z_{rot}$  is the ant's rotation's z-coordinate,  $\mathbf{x}_{\text{torso},t+1}$  is the ant's torso's x-coordinate, and  $\mathbf{x}_{\text{wall}}$  is the x-coordinate of the wall.

#### 4.2.2 Results

Fig. 1 shows the training curves of reward and cost for our proposed methods and baselines on 2-agent and 4-agent ants walking through the corridor task. According to Fig. 1, we can find that the reward performance of both F4SG and R4SG is better than other safe MARL baselines and the performance of R4SG is better than of F4SG. Particularly, the reward performance of F4SG is close to the highest reward of unsafe HAPPO on Ant  $2 \times 4$  task, and achieves the highest reward which is better than unsafe HAPPO on Ant  $4 \times 2$  task. At the same time, R4SG violates the second fewer and the least safety constraints. The experiments reveal that both F4SG and R4SG quickly learn to satisfy safety constraints and achieve effective performance improvement.



Figure 1: Performance comparisons on walking through the corridor in Safe MAMuJoCo.

4.3 Safe Multi-Agent Isaac Gym Environment

#### 4.3.1 Experimental Settings

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Safe MAIG is developed on top of Isaac Gym (Makoviychuk et al., 2021) and provides many hands' tasks benchmark. ShadowHandOver 2 × 6 is a classical two-hands cooperative task. It requires two hands of fixed positions achieving the following goals: The goal of first hand with an object is finding a way to hand the item over to the second hand, and the goal of the second hand is learning how to grasp the item that is from the first hand. Hands receive a cost feedback while one finger on the first hand has safety constraints, expressed as:

$$c_t = \begin{cases} 1.0, & \text{for } |\mathbf{F}_{a4,t+1}| \ge 0.1, \\ 0, & \text{otherwise.} \end{cases}$$

where  $\mathbf{F}_{a4,t+1}$  is the first hand's fourth fingers's motion degree.

#### 4.3.2 Results

Fig. 2 shows the training curves of reward and cost for our proposed methods and baselines
on ShadowHandOver 2×6 task. According to Fig. 2, we can find that although the safe
baseline MAPPO-L and F4SG both violate the lowest security constraints, F4SG achieves
the highest reward performance. The reward performance of R4SG is similar to unsafe
baselines MAPPO and HAPPO, as well as safe baseline MAPPO-L, and is superior to
another safe baseline MACPO. Although R4SG's violation of security constraints is slightly
higher than MAPPO-L, it is less than other compared baselines.



Figure 2: Performance comparisons on ShadowHandOver 2×6 task in Safe MAIG.

4.4 Multi-Agent Power Distribution Networks Environment

451 4.4.1 Experimental Settings

The MAPDN environment serves as a suitable framework for distributed active voltage control, readily accommodating the application of MARL methods. The 33-/141-bus network scenarios of MAPDN environment are emploied to compare the performance. In 33-bus network, there are 4 regions with 6 agents. In 141-bus network, there are 9 regions with 22 agents. The agents (photovoltaics, PVs) in the power distribution network has the potential for voltage fluctuations exceeding the power grid standards. The distributed active voltage control task (Shi et al., 2023; Qu et al., 2024) need agents to control the voltage within a safety range around a stationary value, while the reactive power generation is as less as possible. Thus, the following two key evaluation metrics are emploied to assess the performance:

- *Controllable Rate* (CR). It calculates the ratio of the time steps in each episode where all buses' voltages is under control within the safety range from 0.95 per unit to 1.05 per unit (reference voltage is 1.0 per unit).
- *Power Loss* (PL). It calculates the average of the total power loss for entire power network per time step in each episode.

#### 4.4.2 Results

Fig. 3 shows the training curves of CR and PL for our proposed methods and baselines on two network scenarios. According to Fig. 3, we can find that R4SG has the highest CR and lowest PL in both scenarios. Meanwhile, the CR and QL performances of F4SG are better than comparison baselines. This reveal that our proposed methods can adaptively scale with a larger number of agents. The performances of unsafe MARL baselines HAPPO and MAPPO in both scenarios are poor. The performances of safe baseline MAPPO-L are close to the performances of F4SG and the performances of another safe baseline MACPO are poor among the safe baselines.

4.5 Ablation Study

This section discusses the performance of the method combining fear and regret (Mixed)
and we choose the 2 × 4 Ant walking through corridor task in Safe Multi-Agent MuJoCo environment to make the report. According to Fig. 4, we can see that the reward performance of the Mixed method is worse than that of F4SG and R4SG despite having similar cost performance. That is because considering both fear and regret makes the learned policy too pessimistic, which influences the belief of actual decision-making.



Figure 3: Performance comparisons on 33-/141-bus scenarios in the MAPDN environment.



Figure 4: The Results of ablation methods on  $2 \times 4$  Ant task in Safe MAMuJoCo.

#### 5Conclusion and Future Work

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533 In this paper, we propose two novel safety guarantee methods, fear for safety guarantee 534 (F4SG) and regret for safety guarantee (R4SG), to learn cooperative and safe strategies. 535 These methods are inspired by the Protection Motivation Theory from social psychology, 536 which offers a useful theoretical underpinning for guiding the learning of protective behav-537 iors. The experimental results demonstrate the F4SG and R4SG achieve advantages in the 538 balance between performance improvement and safety constraint satisfaction compared with state-of-the-art baselines. In the future, we will explore the possibility of extending safety 539 MARL methods to offline problems to ensure safety implementation.

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# 702 A Appendix

# 704 A.1 Related Work

Recently, safety has been increasing concerned in the MARL domain and some safety guarantee algorithms have been studied for MARL methods.

The primal-dual framework-based safe MARL methods. Safe Dec-PG (Lu et al., 2021) is 709 the first decentralized policy gradient algorithm for safe MARL, which leverages the min-710 max saddle-point formulation to find the  $\epsilon$ -first-order stationary points. UCB-CSAPO (Ding 711 et al., 2023) focuses on the online safe MARL and formulates it as the generalized Lagrangian 712 policy optimization problem, solved by the developed upper confidence reinforcement learn-713 ing algorithm. The work (Gu et al., 2023) proposes two safe multi-agent policy gradient 714 algorithms, MACPO and MAPPO-Lagrangian, for multi-robot control. MACPO leverages 715 the multi-agent trust region method and MAPPO-Lagrangian uses Lagrangian multipliers 716 to simplify the repetitive computation in MACPO, which achieves the goal of improving reward while satisfying safety constraints. MA-DELC (Qu et al., 2024) is a MARL method 717 extended from the primal-dual optimization RL method, addressing the challenge of guar-718 anteeing safety constraints in the active voltage control problem. 719

720 The shielding-based safe MARL methods. The work (Elsayed-Aly et al., 2021) develops two 721 shielding frameworks, centralized shielding and factored shielding, to ensure multiple agents satisfy the safety specification expressed by linear temporal logic. The work (Melcer et al., 722 2022) discusses the strong assumptions in previous shielded MARL methods and presents a 723 decentralized shielding algorithm for the decomposition of a centralized shield. And then, 724 the work (Melcer et al., 2024) extends this decentralized shielding algorithm to the shielding 725 method without any assumptions, which is applicable to environments with general partial 726 observability. MBDS (Xiao et al., 2023) synthesizes distributive shields by an approximate 727 world model and allows shields without prior knowledge to dynamically split, merge, and 728 recompute based on agents' states. 729

The safe MARL methods with safety layer. Safe MADDPG (Sheebaelhamd et al., 2021) extends the idea of linearizing the single-step transition dynamics to the MADDPG framework and adds a safety layer to ensure safety. The work(Shi et al., 2023) proposes two MARL algorithms with a centralized data-driven safety layer, ACPL-MADDPG and ACS-MADDPG, to ensure the power system satisfies the security.

735 Other approach for safe MARL methods. Multi Safe Q-Agent (Zhu et al., 2020) utilizes 736 a Gaussian Processes-based approach to estimate safety and uncertainty, enabling decen-737 tralized safe navigation for multiple different agents. SAFE-M<sup>3</sup>-UCRL (Jusup et al., 2024) 738 is the first safe model-based mean-field MARL algorithm to solve the vehicle reposition-739 ing problem, ensuring pessimistic constraints satisfaction with high probability via using 740 epistemic uncertainty and log-barrier approach.

However, the above works ignore the great potential of utilizing human knowledge about
coping with threats in guiding MARL methods to ensure safety. Thus, we leverage the
theoretical underpinnings of the Protection Motivation Theory, revealing the individuals'
protection behavior motivations when perceiving threats, to develop safety guarantee approaches for MARL methods.

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- A.2 The Visualization of the Environments

Fig. 5 visualizes the 2- / 4- agent ant walking through the corridor task in the Safe Multi-Agent MuJoCo environment, the ShadowHandOver 2×6 task in Safe Multi-Agent Isaac Gym environment, and the 33-bus network scenario in Multi-Agent Power Distribution Networks.

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754 A.3 The Exact Results of Each Experiment

Table1-3 show the exact results in Safe MAMUJoCo, Safe MAIG, and MAPDN.



Table 1: The exact results for our methods and compared baselines in Safe MAMuJoCo.

Mathada	2-agent Ants $(2 \times 4)$		4-agent Ants $(4 \times 2)$	
Methous	Average Reward $\uparrow$	Average Cost $\downarrow$	Average Reward $\uparrow$	Average Cost $\downarrow$
MAPPO	$2317.59 \pm 449.14$	$219.15\pm26.17$	$2109.74 \pm 240.95$	$243.44\pm26.80$
HAPPO	$3552.75 \pm 55.62$	$173.61\pm22.17$	$3554.51 \pm 110.54$	$124.45\pm16.67$
MACPO	$1220.23 \pm 206.52$	$4.56\pm0.78$	$1468.33 \pm 440.38$	$5.88 \pm 0.04$
MAPPO-L	$2706.03 \pm 46.01$	$2.05\pm0.69$	$2821.06 \pm 237.31$	$3.21\pm0.70$
F4SG (Our)	$2880.68 \pm 106.21$	$4.02 \pm 1.46$	$3254.05 \pm 106.58$	$2.28 \pm 1.57$
R4SG (Our)	$3409.12 \pm 181.29$	$2.54 \pm 1.14$	$3616.66 \pm 123.74$	$2.11\pm0.85$

Table 2: The exact results for our methods and compared baselines in Safe MAIG.

Methods	Average Reward $\uparrow$	Average Cost $\downarrow$
MAPPO	$19.01 \pm 1.44$	$62.79 {\pm} 1.02$
HAPPO	$20.08 \pm 2.14$	$64.66 {\pm} 0.03$
MACPO	$9.26 \pm 4.44$	$2.37{\pm}0.04$
MAPPO-L	$20.99 \pm 1.96$	$0.41{\pm}0.03$
F4SG (Our)	$23.21\pm0.89$	$0.41{\pm}0.03$
R4SG (Our)	$20.03 \pm 1.79$	$1.99{\pm}0.18$

Table 3: The exact results for our methods and compared baselines in MAPDN.

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803	Methods	33-bus scenario		141-bus scenario	
804	Mictilous	Control Ratio (%) $\uparrow$	Power Loss $\downarrow$	Control Ratio (%) $\uparrow$	Power Loss $\downarrow$
805	MAPPO	$70.67\pm0.68$	$0.329 \pm 0.006$	$79.51 \pm 5.65$	$1.63\pm0.02$
806	HAPPO	$68.84 \pm 1.46$	$0.328 \pm 0.002$	$82.34 \pm 0.10$	$1.62\pm0.10$
207	MACPO	$86.73 \pm 0.96$	$0.063 \pm 0.002$	$93.28 \pm 0.88$	$1.31\pm0.04$
007	MAPPO-L	$92.98 \pm 1.01$	$0.063 \pm 0.009$	$93.68 \pm 2.31$	$1.15\pm0.70$
808	F4SG (Our)	$94.02\pm0.21$	$0.060\pm0.003$	$95.05 \pm 0.58$	$1.14 \pm 1.57$
809	R4SG (Our)	$94.96 \pm 0.19$	$0.055\pm0.002$	$96.28 \pm 0.47$	$1.05\pm0.85$

A.4 Algorithm of Fear for Safety Guarantee (F4SG)

Algorithm 1 gives an overall picture of the F4SG method. Exact details of the method canbe found in the code.

5	Alg	orithm 1 Fear for Safety Guarantee (F4SG)
6	Init	ialize: parameters $\{\theta_0^i\}_{i \in \mathcal{N}}$ for actor networks, parameters $\{\zeta_{k,0}^i\}_{1 \leq k \leq m^i}^{i \in \mathcal{N}}$ for fear prior
7 8	net	works, parameters $\{\phi_0^i\}_{i\in\mathcal{N}}$ for critic networks, parameters $\{\phi_{k,0}^i\}_{1\leq k\leq m^i}^{i\in\mathcal{N}}$ for cost net-
9	$\operatorname{Set}_{n, \epsilon}$	batch size $B$ , learning rates $\alpha_{\theta}$ and $\alpha_{\lambda}$ , experience replay buffer $D$ , number of: agents prisodes $T_e$ , steps per episode $T_s$ , PPO epochs $E_{ppo}$ .
1 2 3 4	1: 2: 3:	for $\hat{t} = 0, 1, \dots, T_e - 1$ do Collect a set of trajectories by running the joint policy $\pi_{\theta_{\hat{t}}}$ Collect a set of fear severity in trajectories by running the FPN
5	4:	Store transitions $\{(o_t^*, a_t^*, o_{t+1}^*, r_t, f_t^*)_{t \in T_s}\}$ into $D$
6	5:	Calculate advantages estimate $A(s, \mathbf{a})$ based on critic network with GAE
7	6:	Calculate cost advantages estimate $A_k^{i,(r)}(s, \mathbf{a}^i)$ for all agents and costs based on fear
3	7	prior networks and cost networks with GAE // Eq.(9)
	7:	Draw a random permutation of agents $i_{1:n}$
	8:	Set $L^{s_1}(s, \mathbf{a}) = A(s, \mathbf{a})$ in Eq.(10)
	9:	In $i_h = i_1, i_2, \dots, i_n$ do Initialize a policy parameter $0^{i_h}$ and dual variables $\lambda^{i_h}$ $0 \forall h$
	10:	initialize a poincy parameter $\theta^{\mu} = \theta_{t}^{\mu}$ and dual variables $\lambda_{k}^{\mu} = 0, \forall k = 1, \emptyset, k = 1, \emptyset, k = 1,$
	11.	$1, 2, \dots, m^{\circ}$
	11: 19:	for $e = 1, 2, E$ do
	12.	A dem undete $\theta^{i_h}$ on $\ell^{i_h}$ ( $\theta^{i_h}$ ) with dete $d^{i_h}$ //Fq (10)
	10.	Adam update $V \sim \text{On } \mathcal{L}_{(\lambda_k^{i_h})}(V \sim)$ with data $u \sim 1/\text{Eq.}(10)$
	14:	Adam update $\zeta$ on $\mathcal{L}_k^{i_h}(\zeta)$ with data $d^{i_h}$ //Eq.(4)
	15:	for $k = 1, 2,, m^{i_h}$ do
	16:	Update temporarily the dual variable $\lambda_k^{i_h}$
	17:	end for
	18:	end for
	19:	Update the actor network parameter $\theta_{\hat{t}+1}^{\imath_h} = \theta^{\imath_h}$
	20:	end for
	21:	Adam update $\phi$ on $\mathcal{L}^{C}(\phi)$ and $\phi_{k}$ on $\mathcal{L}^{C}_{k}(\phi_{k})$ // Eq.(12a, 12b)
	22:	end for

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A.5 Algorithm of Regret for Safety Guarantee (R4SG)

Algorithm 2 gives an overall picture of the R4SG method. Exact details of the method can be found in the code.

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A.6 Computational Complexity

The computational complexity of both F4SG and R4SG is  $\mathcal{O}(TNEMP)$ , where T denotes 855 the number of steps, N denotes the number of agents, E denotes the number of PPO-epoch, 856 M denotes the number of constraints, P denotes the max number of parameters in {actor 857 network, and fear/regret prior network}. Due to the  $P \propto |S| \times 2$  in fear prior network and the 858  $P \propto |S| \times |A|$  in regret prior network, the wall-clock times that methods train an episode are 859 different. For example, the average wall-clock time for training F4SG and R4SG on 2-agent 860 ant walking through the corridor task in Safe MAMuJoCo is approximately 23.5 s/episode 861 and approximately 25.2 s/episode respectively. Fig. 6 shows the steps of our methods and 862 baselines that complete an episode on Ant  $2 \times 4$  task.

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875	Algorithm 2 Regret for Safety Guarantee (B4SG)
876	Initialize: parameters $\{\theta_i^i\}_{i \in \mathcal{N}}$ for actor networks, parameters $\{\psi_i^i\}_{i \in \mathcal{N}}$ for regret prior
8//	initialize parameters $\{i_0\}_{i \in \mathcal{N}}$ for actor networks, parameters $\{\psi_{k,0}\}_{1 \leq k \leq m^i}$ for regret pro-
878	networks, parameters $\{\varphi_0\}_{i \in \mathcal{N}}$ for critic networks, parameters $\{\varphi_{k,0}\}_{1 \leq k \leq m^i}$ for cost net-
879	WORKS
880 881	Set: batch size B, learning rates $\alpha_{\theta}$ and $\alpha_{\lambda}$ , experience replay buffer D, number of: agents $n$ , episodes $T_e$ , steps per episode $T_s$ , PPO epochs $E_{ppo}$ .
882	1: for $\hat{t} = 0, 1, \dots, T_c - 1$ do
883	2: Collect a set of trajectories by running the joint policy $\pi_{\theta_1}$
884	3: Collect a set of regret severity in trajectories by running the RPN
885	4: Process the regret severity close to human emotion using Eq.(13)
886	5: Store transitions $\{(o_t^i, a_t^i, o_{t+1}^i, r_t, \tilde{r}_t^{i,k})_{t\in T}^{i\in\mathcal{N}, k\in m^i}\}$ into D
887	6: Calculate advantages estimate $\hat{A}(s, \mathbf{a})$ based on critic network with GAE
888	7: Calculate cost advantages estimate $\hat{A}_{i}^{i}(s, \mathbf{a}^{i})$ for all agents and costs based on cost
889	networks with GAE
890	8: Draw a random permutation of agents $i_{1:n}$
891	9: Set $L^{i_1}(s, \mathbf{a}) = \hat{A}(s, \mathbf{a})$ in Eq.(15)
892	10: for $i_h = i_1, i_2, \dots, i_n$ do
893	11: Initialize a policy parameter $\theta^{i_h} = \theta^{i_h}_{\uparrow}$ and dual variables $\lambda_{i_h}^{i_h} = 0, \forall k =$
894	$1.2  m^i$
895	12: Sample data $d^{i_h}$ from $D$
896	13: for $e = 1, 2,, E_{nne}$ do
897	14: Adam update $\theta^{i_h}$ on $\mathcal{L}^{i_h}$ . $(\theta^{i_h})$ with data $d^{i_h}$ //Eq.(15)
898	15. Adam undete $a_{i}$ on $C^{ih}(a_{k})$ with data $d^{ih}$ //Fq (14)
899	15: Adam update $\psi$ on $\mathcal{L}_k(\psi)$ with data $u = //Eq.(14)$
900	10: 10: $K = 1, 2, \dots, M^{n}$ do
901	17: Update temporarily the dual variable $\lambda_k^{*}$
902	10: end for
903	19. End for 20. Undate the actor network parameter $\theta^{i_h} - \theta^{i_h}$
904	20. Optimize the actor network parameter $v_{\hat{t}+1} = 0$
905	21: end for 22: Adam update $\phi$ on $C^{C}(\phi)$ and $\phi_{1}$ on $C^{C}(\phi_{1})$ // Eq.(12a, 12b)
906	22. Addin update $\varphi$ on $\mathcal{L}_{-}(\varphi)$ and $\varphi_{k}$ on $\mathcal{L}_{k}(\varphi_{k}) / /$ Eq.(12a, 12b) 23. end for
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