
INTERPRETABLE CAUSAL REPRESENTATION LEARNING FOR BIOLOGICAL DATA IN THE PATHWAY SPACE

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ABSTRACT

Predicting the impact of genomic and drug perturbations in cellular function is crucial for understanding gene functions and drug effects, ultimately leading to improved therapies. To this end, Causal Representation Learning (CRL) constitutes one of the most promising approaches, as it aims to identify the latent factors that causally govern biological systems, thus facilitating the prediction of the effect of unseen perturbations. Yet, current CRL methods fail in reconciling their principled latent representations with known biological processes, leading to models that are not interpretable. To address this major issue, in this work we present **SENA**-discrepancy-VAE, a model based on the recently proposed CRL method discrepancy-VAE, that produces representations where each latent factor can be interpreted as the (linear) combination of the activity of a (learned) set of biological processes. To this extent, we present an encoder, **SENA**- δ , that efficiently compute and map biological processes' activity levels to the latent causal factors. We show that **SENA**-discrepancy-VAE achieves predictive performances on unseen combinations of interventions that are comparable with its original, non-interpretable counterpart, while inferring causal latent factors that are biologically meaningful.

1 INTRODUCTION

Causal Representation Learning (CRL) has raised in recent time as a promising approach for identifying the latent factors that *causally* govern the systems under study (Schölkopf et al., 2021; Ahuja et al., 2023). Among other disciplines, CRL have been recently applied on biological systems, providing precise testable predictions on causal factors associated with disease or treatment resistance (Zhang et al., 2024; Lopez et al., 2023). These methods usually operate on mixture of observational and interventional biological data, exploiting the distributional shift caused by the interventions with the goal of retrieving the causal latent factors and, possibly, how they mutually interact with each other. Concomitantly, Perturb-seq (Dixit et al., 2016) data have emerged as an ideal testbed for these type of analyses. This technology allows the gene expression profiling of single cells both in their unperturbed state and when one or more genes are made functionally inoperative (e.g., through CRISPR knock-outs (KO) (Gilbert et al., 2014)). While generating expression profiles for thousands of cells across a variety of experimental conditions is indeed advantageous in the CRL context, the high dimensionality of Perturb-seq data presents notable challenges for these models.

Deep learning approaches have allowed to predict transcriptional outcomes of novel (combinations of) perturbations in Perturb-seq data (Roohani et al., 2024; Cui et al., 2024; Gaudelet et al., 2024), or of known perturbations on novel cell types (Lotfollahi et al., 2019). However, to the best of our knowledge, there are only two works so far applying CRL to Perturb-seq data (Lopez et al., 2023; Zhang et al., 2024), and we argue that these models severely lack interpretability, as the reconstructed latent factors cannot be directly reconciled with known biological processes, yielding latent factors that are difficult to interpret. Attempts made so far to boost interpretability in these models include computing associations between the reconstructed latent factors and the activity of known processes (Lopez et al., 2023), or arbitrarily selecting genes as representative of each latent causal factor (Zhang et al., 2024). As also suggested in a recent review (Tejada-Lapuerta et al., 2023), using biological processes as prior knowledge *during* the reconstruction process, rather than afterwards, may indeed boost the interpretability of the resulting models.

Related work. In the context of interpretable Representational Learning (RL), recent years have seen extensive applications of variational autoencoders (VAEs) to single-cell applications (Lopez et al., 2018). Some of these approaches enable interpretable latent factors either by enforcing gene-cell correspondence during training (Choi et al., 2023), by performing pathway enrichment analysis on linear gene embeddings (Zhao et al., 2021), or by modifying the VAE architecture to mirror user-provided gene-pathway maps (Seninge et al., 2021; Gut et al., 2021; Lotfollahi et al., 2023; Niyakan et al., 2024; Ruiz-Arenas et al., 2024a). Importantly, while these latter methods apply architectural changes that are similar in spirit to the ones we propose in this work, none of them present strong theoretical guarantees for the *causal* interpretation of their embeddings.

Therefore, in this paper we show how CRL algorithms can be extended in order to employ biological processes (BPs) as prior knowledge, improving the interpretability of the resulting latent factors. We base our results on the CRL framework first introduced by Ahuja et al. (2023) and then expanded by Zhang et al. (2024). In particular, we present **SENA**-discrepancy-VAE, a CRL model based on the recently proposed discrepancy-VAE. We show that **SENA**-discrepancy-VAE yields predictive performances comparable to the ones of its original counterpart on unseen combination of perturbations, while providing a mapping between latent factors and biological processes. To this end, we modify the discrepancy-VAE’s encoder architecture (Zhang et al., 2024) and embed it with biological processes as prior knowledge. To our knowledge, this is the first effort to reconcile CRL with biological interpretability, achieving both principled identifiability and interpretability of causal latent factors in the biological pathway space.

2 PRELIMINARIES AND BACKGROUND

2.1 CASUAL REPRESENTATION LEARNING

In what follows, we use the notation of Zhang et al. (2024), where we further use upper-case to denote random variables, lower-case to denote (inferred/observed) realizations of the random variables, upper-case bold to denote matrices, and lower-case bold to denote vectors. Let’s assume that samples $x \in \mathbb{R}^n$ are generated according to a process governed by a set of latent variables $U \in \mathbb{R}^d$, where $d \ll n$. These latent variables are not required to be independent from each other. Instead, each latent factor U_i may be regulated by a subset of other latent factors, namely its parents $Pa(U_i)$, according to a structural mechanism $U_i \leftarrow s_i(Pa(U_i), Z_i)$, where Z_i is an exogenous variable independent of $Pa(U_i)$ and Z_j , $j \neq i$. The latent factors, as well as their possible regulatory relationships, are unknown.

In absence of interventions, the latent factors are sampled from the distribution \mathbb{P}_U and the measurements x are derived through a decoder function g , i.e., $u \sim \mathbb{P}_U, x \leftarrow g(u)$. Interventions are assumed to affect directly the latent variables U , rather than the observable x , and they can be either *hard* or *soft* (Pearl, 2009). In brief, *hard* intervention forcefully set the value of U_i to a specific level, effectively severing any association between U_i and its parents, while *soft* interventions solely modify the causal mechanism s_i , altering the relationship between the variable and its regulators. Thus, under intervention I , U is sampled from a new distribution $u^I \sim \mathbb{P}_{U^I}$, while the decoder function g remains unchanged, i.e., $x^I \leftarrow g(u^I)$.

In this context, the main goal of CRL is to identify a decoder function h and encoder function f such that $h \circ f(x) = x$ and $f(x) = \tilde{u}$, where \tilde{u} “reconstructs” u as accurately as possible, while h approximates g . Additionally, one may be interested in identifying the regulatory (causal) mechanisms s_i among the U_i components.

Ahuja et al. (2023) proved that u can be retrieved up to an affine linear transformation, i.e., $\tilde{u} = \mathbf{A} \cdot u + c$ (Theorem 4.4 in Ahuja et al. (2023)). This requires two pivotal assumptions: (i) each intervention must targets a single component of U , and (ii) the decoder function h is a full rank polynomial. Notably, multiple interventions can target the same latent component U_i , and, most importantly, the encoder function f is only required to be non-collapsing.

Zhang et al. (2024) further expand on this framework and reach the notable result that U can be retrieved up to permutation and scaling, i.e., $\tilde{u}_j = \mathbf{a}_i \cdot u_i + c_i$ (Theorem 2 in Zhang et al. (2024)). This result requires that the relationships $U_i \leftarrow s_i(Pa(U_i), Z_i)$ can be represented by a Directed Acyclic Graph (DAG) with specific characteristics, and holds for both *hard* and *soft* interventions.

108 Importantly, the authors proposed a VAE-based architecture, the *discrepancy-VAE*, that implements
109 their theoretical results within a deep-learning framework. Describing the details of the discrepancy-
110 VAE architecture is out of the scope of this work, however we note here a few of its characteristics
111 that are instrumental for the proposed **SENA**-discrepancy-VAE:

- 112 • The encoder f is implemented as a two-layer multilayer perceptron (MLP).
- 113 • Once trained, the model provides two additional pieces of information: (i) a deep structural
114 causal model $(\mathcal{A}, \{s_i\}_{i=1}^d)$ where the graph’s adjacency \mathcal{A} encodes the parent set of
115 each latent factor, while the matrix $\{s_i\}_{i=1}^d$ encodes the causal mechanisms (strength of
116 interactions) (Pawlowski et al., 2020); and (ii) a map between each intervention and its target
117 in the latent space, together with an estimate of the effect that the *soft* intervention has on s_i .
- 118 • The variational nature of the discrepancy-VAE allows to predict the effect of unseen double
119 perturbations, provided that each single perturbation is available during training.
- 120 • The model trains both encoder f and decoder h only on unperturbed cells, while the
121 perturbed samples are solely used for deriving the effect of the perturbations on the deep
122 structural causal model.

123 2.2 BIOLOGICAL PROCESSES OR PATHWAYS.

124 A biological process or pathway (BP) can be thought as the set of concerted biochemical reactions
125 needed to perform a specific task within the cell (Kanehisa & Goto, 2000; Ashburner et al., 2000).
126 In the context of this work, we loosely identify a BP as the genes contained within it, discarding
127 information regarding other molecules or interactions. From this point of view, BPs can be simply
128 thought as gene sets, where these gene sets can overlap or even contain one another.

129 2.3 CRL IN THE CONTEXT OF PERTURB-SEQ EXPERIMENTS.

130 In a Perturb-seq experiment, measurements x are single cell expression profiles, with each x_i
131 representing the expression of a single gene i ¹. Interventions are genetic perturbations in which one
132 or multiple genes have their functionality inhibited (through, for example, a genetic knock-out, KO
133 (Dixit et al., 2016)). In this sense, Perturb-seq perturbations represent *hard* interventions on genes:
134 once knocked out, the level of functionality of the targeted genes does not depend anymore upon the
135 other genes that usually regulate it. Two observations on genetic perturbations that are relevant for
136 our main result:

- 137 • Each perturbation likely affects several BPs at once. BPs are highly interconnected and
138 genes are usually involved in several BPs at once.
- 139 • Gene KOs (i.e., *hard* interventions) leads to *soft* interventions in BP activity. Biological
140 systems are very resilient, partly due to high level of redundancy in their regulatory circuits
141 (Reed et al., 2024). This means that following a gene KO, other genes may partly assume
142 the role of the suppressed gene, ensuring that the BP activity does not reach a halt, even if it
143 is somewhat impacted.

144 3 BIOLOGICALLY-DRIVEN CAUSAL REPRESENTATION LEARNING

145 The CRL framework discussed in section 2.1 requires the decoder h to be a polynomial function. In
146 contrast, a much wider modeling flexibility is granted for the encoder f , which must simply be a
147 non-collapsing function. Thus, *the encoder f can be built so it incorporates biological processes as
148 prior knowledge.*

149 To achieve this, we propose a two-layer, masked multilayer perceptron (MLP) encoder, which we
150 termed the **SENA- δ** (Sparse NetworkActivity) encoder (Figure 1). Let $\{\text{BP}_1, \dots, \text{BP}_K\}$ be the gene
151 sets corresponding to K BPs. Let α_k indicate the activity level of the k -th BP, summarizing to what
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153 ¹Here we will assume that these values have been normalized and scaled to the point where they can be
154 considered laying in \mathbb{R}^p .

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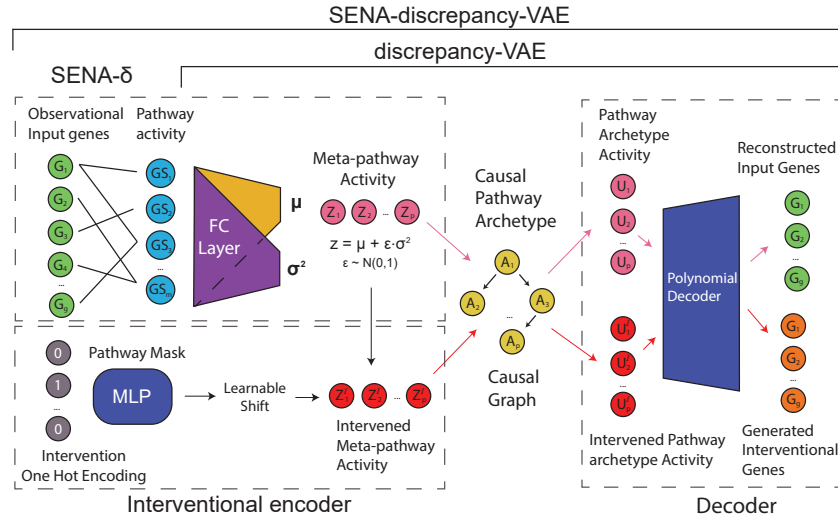


Figure 1: **Model overview.** SENA-discrepancy-VAE modifies the encoder of discrepancy-VAE to enforce a biologically-driven training through a pathway-based mask M .

extent genes within the corresponding BP are activated (i.e., undergoing transcription). Then, the first layer of the proposed encoder connects the gene expression values x with BP activity levels α :

$$\alpha = \sigma \left((W \odot M)^T \cdot x \right), \quad (1)$$

where $W \in \mathbb{R}^{n \times K}$ are the layer weights, σ is the activation function, \odot denotes element-wise multiplication, and M is a mask matrix defined as:

$$M_{i,k} = \begin{cases} 1 & \text{if gene } i \in \text{BP}_k, \\ \lambda & \text{otherwise.} \end{cases} \quad (2)$$

Each BP activity is thus defined as a linear combination of the expression values of its respective genes. Unfortunately, it is known that the knowledge of the specific genes involved in BPs is seldom complete (Kunes et al., 2024). Thus, the tunable hyper-parameter λ allows genes outside of the defined gene sets to contribute to the BP activity if enough evidence of their involvement is present within the data. To this extent, λ should be set to a value small enough to discourage irrelevant contributions. Henceforth, we refer to this layer as the **SENA** layer.

The second layer follows a VAE-type architecture where a fully connected linear layer with two heads (μ and σ^2) generates the exogenous variables Z_j as:

$$z_j \sim \mathcal{N}(\mu_j, \sigma_j^2); \quad \text{where } \mu_j = \alpha^T \delta_j^{(\mu)}, \quad \sigma_j^2 = \alpha^T \delta_j^{(\sigma)}. \quad (3)$$

Thus, the mean and standard deviation will be a linear combination of pathway activities α , weighted by the parameters $\delta_j^{(\mu)}$, $\delta_j^{(\sigma)}$, learned by the corresponding MLPs. Modelling each latent factor as a linear combination of BP activities, which we denote the meta-pathway activities Z_j , allows us to seamlessly combine the biological observation that each intervention may affects multiple BPs, with the CRL assumption (which provides identifiability guarantees) that each intervention must target only one latent factor (Figure 1). Note that modelling each latent factor as a single BP, as previously done, sets this two principle at odds with each other. We also note that each of the two layers of the **SENA- δ** encoder could be modelled as a more generic, non-linear function, simply by adding intermediate layers. We opted for a simpler architecture in order to prioritize interpretability over representational capabilities.

Most importantly, the **SENA- δ** encoder can be seamlessly plugged in the discrepancy-VAE architecture by substituting the original, fully connected, two-layer MLP encoder. This modification guides the discrepancy-VAE architecture towards a more interpretable subsets of the original solution space. We named this resulting model as the **SENA-discrepancy-VAE** (Figure 1).

216 Finally, we note that the discrepancy-VAE formulation has the latent factors U inferred as $U_i =$
217 $s_i(\text{Pa}(U_i), Z_i)$ where the Z_i s are computed by the **SENA- δ** encoder, and operate as exogenous
218 variables for the U_i s. The latter are the causal latent factors involved in the causal graph, and the input
219 for the polynomial decoder (see Fig. 1). $\text{Pa}(U_i)$ are the parents of U_i in the graph, while s_i is the
220 causal mechanism generating U_i from its parents and Z_i . Technically, the **SENA-discrepancy-VAE**
221 associates the BPs to the exogenous variables Z , meaning that the activation levels of the BPs will
222 operate as input for the causal latent factor inference, therefore infusing the latent factors with
223 increased interpretability compared to previous models (Appendix I). Thus, we refer to the causal
224 latent factors as the (causal) pathway archetypes, as they encode the underlying causal biological
225 mechanism driving the cells under study, which then can be used to infer the resulting gene expression
226 after an (unseen) perturbation.

227 4 EXPERIMENTAL SETTINGS

228 To first assess the learning capabilities of our proposed architecture, we performed several ablation
229 studies using the proposed encoder within simple autoencoder (AE) and variational-AE (VAE)
230 architectures. Our aim is to first assess whether the activity levels of the inferred (latent) BPs do
231 indeed encode biological information (e.g., relevant activity changes are registered between perturbed
232 and unperturbed data), and then to use these results to gauge the operational interval for λ . We
233 then compare the **SENA-discrepancy-VAE** against the original discrepancy-VAE, over the task of
234 predicting gene expression changes in novel combinations of perturbations, and finally analyze the
235 interpretability of learnt latent causal factors.

236 4.1 DATA

237 We employ two large-scale Perturb-seq datasets, one collected on leukemia lymphoblast cells (K562
238 cell line) (Norman et al., 2019), hereafter named the Norman2019 dataset and a second one collected
239 on acute myeloid leukemia cells (THP1 cell line), namely the Wessels2023 dataset (Wessels et al.,
240 2022). The authors of the Norman2019 study targeted 112 genes known to affect the growth of
241 the K562 cells, yielding a total of 105 single-gene perturbation and 131 double-gene perturbations.
242 The dataset underwent standard preprocessing steps for single cell data (filtering, normalization,
243 and log-transformation (Wolf et al., 2018)), leading to a total of 8,907 unperturbed cells (controls),
244 57,831 cells under the 105 single-gene perturbations, and 41,759 cells under the 131 double-gene
245 perturbations. The Wessels2023 study underwent the same preprocessing steps, ending up to include
246 424 unperturbed cells, 28 single-gene perturbations overall targeting 3036 cells, and 158 double-gene
247 perturbations targeting 17592 cells. For all datasets we considered the 5,000 most variable genes in
248 our analyses.

249 4.2 SELECTION OF BIOLOGICAL PROCESSES

250 Selecting an appropriate set of BPs is crucial for our analyses. The ideal selection should be
251 sufficiently varied so as to include all BPs active in the system under study; and at the same time, it is
252 desirable to reduce the redundancy that usually characterize large sets of BPs. Following Ruiz-Arenas
253 et al. (2024b), we considered the Gene Ontology (GO) BPs (Ashburner et al., 2000), and selected GO
254 BPs with less than 30 genes. We then discarded those with more than half of their genes in common
255 with other selected processes, as well as those with low replicability as defined in Ruiz-Arenas et al.
256 (2024b). We further refine this selection by including only those GO BPs that have at least five genes
257 represented in our input dataset, and by removing those that are ancestors of other terms within our
258 list. This multi-step selection ensures that the final BPs are (mostly) non-overlapping and cover a
259 large variety of biological processes.

260 4.3 IDENTIFYING ACTIVATED BIOLOGICAL PROCESSES

261 We exploit the architecture of the **SENA- δ** encoder to identify BPs that are activated under specific
262 perturbations. In particular, we expect that the activated BP $_k$ should have its inferred activity level
263 α_k significantly altered with respect to unperturbed controls for those perturbations targeting genes
264 within that BP. To measure this effect, we define the differential activation (DA) for BP $_k$ under
265 intervention p as $\text{DA}_k^p = |\bar{\alpha}_k^p - \bar{\alpha}_k^c|$, where $\bar{\alpha}_k^p$ and $\bar{\alpha}_k^c$ are the values of activation function for BP $_k$

270 averaged over all perturbed and control cells, respectively. Because it is a difference among mean
 271 values, a t-test or similar inferential statistic can be used for assessing its statistical significance.
 272

273 We then built two metrics for assessing to which extent the differentially activated BPs (i.e., statisti-
 274 cally significant DA_k^p values) are biologically meaningful. First, for each intervention p we define
 275 \mathcal{W}_p as the set of BPs that contain the targeted gene i : $\mathcal{W}_p = \{\text{BP}_k | M_{i,k} = 1\}$. The remaining (not
 276 affected) BPs are indicated as $\overline{\mathcal{W}}_p$. Intuitively, we would expect BPs containing the targeted gene to
 277 be the most affected by the intervention, while the other processes should only suffer indirect effects.

278 We then define the metric Hits@N, as the percentage of BPs in \mathcal{W}_p that are ranked within the first N
 279 positions in terms of DA_k^p . The parameter N is set to 100 in our analyses. Let R_k^p be the rank for BP_k
 280 under perturbation p according to DA_k^p . Then, Hits@N is defined as:

$$281 H_N^p = \frac{1}{|\mathcal{W}_p|} \sum_{k \in \mathcal{W}_p} \mathbb{I}[R_k^p \leq N]. \quad (4)$$

284 Finally, we define the differential activation ratio (DAR) for a perturbation p as:

$$285 \text{DAR}_p = \frac{|\overline{\mathcal{W}}_p| \sum_{k \in \mathcal{W}_p} DA_k^p}{|\mathcal{W}_p| \sum_{k \in \overline{\mathcal{W}}_p} DA_k^p}. \quad (5)$$

288 This ratio contrasts the average activation for BPs directly affected by the perturbation against the
 289 average of the remaining processes. Although computing this metric involves aggregating pathways
 290 with varying numbers of targeted genes (i.e., imbalanced pathways), the imposed minimum of five
 291 genes per gene set and the definition of DA as an activation ratio make this metric potentially robust
 292 to intrinsic noise within the pathways. Note that both metrics require \mathcal{W}_p and $\overline{\mathcal{W}}_p$ to contain at least
 293 one BP.
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295 5 ABLATION STUDY

297 Due to the high sparsity infused in the **SENA**- δ encoder, one cannot assume that such encoder
 298 has good reconstruction capabilities while maintaining interpretability. Moreover, we also seek to
 299 understand the reconstruction-interpretability trade-off driven by the λ parameter. Hence, in what
 300 follows we assess the **SENA**- δ encoder by employing it in an AE and VAE architectures (with MLP
 301 as decoders in both cases), and compare it with a fully-connected encoder (denoted MLP) and two
 302 ℓ_1 -regularized encoders with λ as the regularization parameter. To perform a fair evaluation, these
 303 architectures will each present two fully connected layers at the encoder, and the ℓ_1 encoders will
 304 only have the first layer regularized to imitate **SENA**'s sparsity. To this end, we used the Norman2019
 305 dataset. We evaluated the aforementioned architectures for several values of λ : $\{0, 0.1, 0.01, 10^{-3}\}$.
 306 Overall, four different aspects were assessed: data reconstruction and generative capabilities (for
 307 VAEs), and interpretability and sparsity of latent dimensions, described next.

308 **Data reconstruction and generative capabilities (for VAEs).** We evaluated the reconstruction
 309 and generative capabilities of the proposed architectures by computing the test Mean Squared Error
 310 (MSE) and Kullback–Leibler divergence (D_{KL}), respectively, where the latter is only used in the
 311 variational architectures.

312 **Interpretability and sparsity of latent dimensions.** We evaluated the interpretability by measuring
 313 how differentially activated (DA, see above) the affected neurons (i.e. gene sets containing the
 314 knock-out gene) are when compared to the rest of the neurons after the **SENA** layer. Hence, we
 315 compute the Hits@100 metric to measure the percentage of affected DA neurons in the top 100
 316 differentially activated gene sets. Moreover, we define the sparsity of a model as:

$$317 \frac{1}{n \cdot K} \sum_{i=1}^n \sum_{k=1}^K \mathbb{I}[|\bar{x}_i \cdot W_{i,k}| \leq 10^{-8}], \quad (6)$$

320 which measures the contribution of every input gene to each factor after the **SENA** layer, and \bar{x} refers
 321 to the mean expression across samples (cells). Reported metrics were computed on test samples.
 322

323 **Results.** Overall, enabling residual connections between genes and BPs in a fully-connected fashion
 ($\lambda = \{10^{-2}, 10^{-3}\}$) maintains biologically-meaningful latent factors (Appendix IV Fig. 8-A) while

yielding reconstruction capabilities in par with the fully-connected MLP (Appendix IV Table 4 & Fig. 9-B). The reason could be that these models present an efficient use of the model weights (Appendix IV Fig. 8-B), underscoring the relevancy of gene-BP relationships in Perturb-seq data. Interestingly, higher values of lambda ($\lambda = 0.1$) presented better reconstruction capabilities than the MLP (Appendix VII Table 4), at slightly sparser encoder (and hence, more interpretable) than the MLP (Appendix VII Fig. 8-B). On the other side, and as expected, $\lambda = 0$ presented highly interpretable latent factors at the cost of a significant drop in reconstruction capabilities. Additionally, our results show that the ℓ_1 -regularized MLPs does not perform well nor do they provide interpretable latent factors. Of note that when the analysis was perform only using the **SENA** layer, similar insights were obtained (Appendix VII Table 3 & Fig. 9-A). Finally, regarding the generative capabilities assessed on VAEs, the models based on **SENA**- δ encoder clearly outperforms other encoders on D_{KL} , with lower values of λ being the best performing ones (Appendix VII Tables 4 and 3, VAE-based column). These results were generated enforcing that every BP contains at least 5 genes. Different such thresholds modifies the number of considered BPs in the **SENA** layer (Appendix IV Fig. 10-B), slightly affecting the learning capabilities (Fig. 10-A) and performance time (Fig. 10-C).

6 LEARNING INTERPRETABLE LATENT CAUSAL FACTORS

In this section we contrasted the proposed **SENA**-discrepancy-VAE against its original counterpart, to assess the modeling and predictive capabilities of both models. This section focuses on the results obtained on the Norman2019 dataset, while Appendix V reports the results on the Wessel2023 data. For both datasets, we trained both models on the unperturbed and single-gene perturbations samples from Norman et al. (2019) (the latter are only used as a ground-truth for the MMD loss). We also benchmarked GEARS (Roohani et al., 2024), a state-of-the-art approach for multigene perturbation prediction. Double-gene perturbations were set aside for evaluation purposes. We train both models across 3 different runs with the settings proposed by the authors (Appendix F of Zhang et al. (2024)). Given the good results (in interpretability and reconstruction performance) obtained in the ablation study (Section 5), we varied the number of latent factors within $\{5, 10, 35, 70, 105\}$, and the λ for the **SENA**-discrepancy-VAE in $\{0, 0.1\}$ (Appendix VII Fig. 12 shows gradients and mask (M) distribution across several λ values).

6.1 PERFORMANCE BENCHMARKING

Table 1 reports the results of the comparison, where MMD (Max Mean Discrepancy (Gretton et al., 2012)) measures the difference between the generated and true double-perturbation distributions. We report the average MMD over all 131 double-gene perturbations. Additionally, MSE indicates the reconstruction error for control samples during training, D_{KL} is the variational loss (Kingma & Welling, 2014), and $L1 := \|A\|_1$ represents the sparsity of the deep structural causal model.

Table 1: Benchmarking **SENA**-discrepancy-VAE and discrepancy-VAE on double perturbations prediction. Values are reported as mean \pm variance computed on 5 runs with different initializations.

Encoder	Metric	Latent Dimension				
		105	70	35	10	5
Original MLP	MMD \downarrow	1.59811 \pm 0.012110	1.73486 \pm 0.012115	1.98993 \pm 0.013053	2.43440 \pm 0.030570	2.53237 \pm 0.017662
	MSE \downarrow	0.02152 \pm 0.000156	0.02298 \pm 0.000064	0.02499 \pm 0.000008	0.02699 \pm 0.000079	0.02792 \pm 0.000018
	KLD \downarrow	0.00022 \pm 0.000008	0.00021 \pm 0.000005	0.00021 \pm 0.000003	0.00024 \pm 0.000023	0.00030 \pm 0.000027
	L1 \downarrow	0.06097 \pm 0.003718	0.06934 \pm 0.002055	0.06714 \pm 0.003649	0.07201 \pm 0.009314	0.08319 \pm 0.010590
SENA- $\delta_{\lambda=0.1}$	MMD \downarrow	1.58489 \pm 0.010610	1.75332 \pm 0.004884	1.94984 \pm 0.027883	2.49881 \pm 0.056160	2.60439 \pm 0.100708
	MSE \downarrow	0.02134 \pm 0.000047	0.02300 \pm 0.000120	0.02462 \pm 0.000041	0.02688 \pm 0.000076	0.02805 \pm 0.000135
	KLD \downarrow	0.00019 \pm 0.000001	0.00019 \pm 0.000008	0.00019 \pm 0.000003	0.00020 \pm 0.000007	0.00020 \pm 0.000002
	L1 \downarrow	0.05243 \pm 0.001541	0.05323 \pm 0.003540	0.07308 \pm 0.002529	0.08589 \pm 0.010121	0.06856 \pm 0.013631
SENA- $\delta_{\lambda=0}$	MMD \downarrow	1.74588 \pm 0.003579	1.90425 \pm 0.014918	2.22812 \pm 0.015438	2.62393 \pm 0.056431	2.90044 \pm 0.174605
	MSE \downarrow	0.02312 \pm 0.000048	0.02460 \pm 0.000078	0.02634 \pm 0.000036	0.02800 \pm 0.000236	0.02879 \pm 0.000147
	KLD \downarrow	0.00018 \pm 0.000002	0.00018 \pm 0.000000	0.00019 \pm 0.000002	0.00021 \pm 0.000017	0.00022 \pm 0.000021
	L1 \downarrow	0.05418 \pm 0.001903	0.05749 \pm 0.000487	0.06730 \pm 0.003195	0.08651 \pm 0.025800	0.09486 \pm 0.038286
GEARS	MMD \downarrow	14.9420 \pm 0.233957	12.6036 \pm 0.745302	13.2590 \pm 0.559124	12.9774 \pm 0.751373	15.3099 \pm 0.191833

378 Interestingly, and despite the restrictions imposed by the **SENA- δ** encoder that could potentially
 379 decrease the **SENA-discrepancy-VAE** representational capabilities, the proposed model outperformed
 380 the MLP encoder for some latent dimensions in terms of MSE and MMD computed on unseen double
 381 perturbations for small values of λ (0.1). Moreover, setting $\lambda = 0$ allowed the **SENA-discrepancy-**
 382 **VAE** to surpass the original MLP encoder on the D_{KL} metric, while the optimal model for causal
 383 graph sparsity (L1) varied with latent dimensions. These results, which align with those of the
 384 ablation studies, highlight the potential of **SENA-discrepancy-VAE**. On the other hand, **GEARS**
 385 failed to properly model the evaluated double perturbations. Note however that **GEARS** does not
 386 provide a causal graph nor is a generative model (details in Appendix VI).

389 6.2 VISUALIZING **SENA-DISCREPANCY-VAE** LATENT FACTORS

391 We first investigated the association between perturbations and latent factor activation (Fig. 14). Both
 392 models tend to activate few latent factors. Specifically, the discrepancy-VAE model activate 8 to
 393 9 factors across all perturbations when 35 or more latent factors are included in the model. These
 394 numbers decrease to 6 and 4 when 10 and 5 latent factors are available, respectively. At the same
 395 time, more than half of the perturbations are assigned to only 1 or 2 latent factors, creating a quite
 396 unbalanced mapping. The **SENA-discrepancy-VAE** follows a similar pattern. This seems to indicate
 397 that relatively few latent factors are needed for capturing the changes induced by perturbations, while
 398 the remaining latent factors assist in representing the overall distribution of gene expression data.

399 Interpretation of the **SENA-** 400 **discrepancy-VAE** latent factors.

401 The proposed model offers the possibility of inspecting its encoder for
 402 deriving the BPs composing the latent factors. By construction,
 403 each perturbation will target a single latent factor U_i , which enable us to
 404 associate each BP to the intervention with the largest differential activation
 405 value. Only significant differential activation values are taken into
 406 account (ranked within the top 1% in absolute value, and a false discovery
 407 rate (FDR) ≤ 0.05 via two-tailed t-test with BH correction). Figure 2
 408 represents the causal graph for the latent factors associated to at least one
 409 BP for the **SENA-discrepancy-VAE** model with 105 latent dimensions
 410 and $\lambda = 0$. Ten edges with the highest coefficients in absolute value
 411 are reported for readability. Latent factors are represented as a word cloud
 412 of associated BPs (i.e., a graphical representation of the terms frequencies
 413 within the BPs names). Table 7 (Appendix VII) reports the number of
 414 perturbations and BPs assigned to each factor and Table 9-10 (Appendix VII)
 415 shows the mapping between BPs and selected latent factors. It is worth noting
 416 that the inferred causal graph is robust across latent dimensions and λ s,
 417 where most of the inferred connection are maintained (Appendix II).

425 Latent factor 15 is targeted by perturbations on the JUN gene, and is associated with the activity
 426 level of GO:0050665, “hydrogen peroxide biosynthetic process”. While JUNE is not included in
 427 GO:0050665, this gene is known to react with over-expression to oxidative stress (Vandenbroucke
 428 et al., 2008). For latent factor 69, the targeted PTPN13 gene is known to be involved in several
 429 tumors (Mcheik et al., 2020), thus it is not surprising to find it associated with a BP related to blood
 430 vessels formation. Interpretation of other factors requires careful inspection of their associated BPs
 431 (see Appendix VII Tables 9 & 10). For example, most of the BPs in latent factor 65 are associated to
 tissue development, while latent factor 12 contains several BPs related to protein activity.

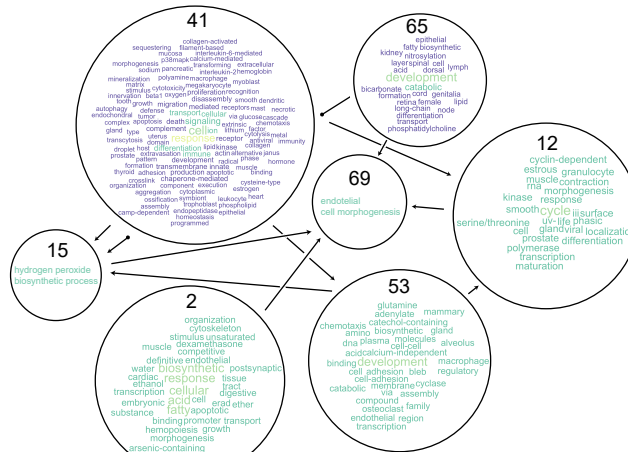


Figure 2: **SENA-discrepancy-VAE** causal graph on the Norman2019 data. Latent factors are represented by word clouds of associated BPs. Arrows indicate causal influences.

Upon inspecting the connections on the causal graph, a first important connection is the one between factor 15, “hydrogen peroxide biosynthetic process”(Appendix VII, Table 9, third latent factor) which causes factor 69, “endothelial cell morphogenesis” (Appendix VII, Table 10, last row). It is well known that hydrogen peroxide stimulates endothelial cell proliferation (Stone & Collins, 2002; Anasooya Shaji et al., 2019). Thus, our causal graph captured this regulatory relationship in a fully unsupervised, data-driven way. In turn, factor 53 causally influences factor 15, and factor 53 contains the biological process “catechol-containing compound biosynthetic process” (Appendix VII, Table 10, second element of factor 53). It is well known that H₂O₂ can be produced by the metabolism of catecholamines (Noble et al., 1994; Seregi et al., 1982). An even more direct connection exists between latent factor 69 and latent factor 2, with the latter including “negative regulation of endothelial cell apoptotic process” (Appendix VII, Table 9, second row) among its biological processes. Taken together, these findings provide evidences for the correctness of our approach and its capability of recapitulating known biological causal relationships.

6.3 LEVERAGING SENA-DISCREPANCY-VAE TO CAPTURE BIOLOGICALLY MEANINGFUL PATTERNS

We next evaluate the ability of the proposed encoder to maintain biologically-driven factors (see Appendix I). For this evaluation, we focus on the Norman2019 dataset, and we set the latent space dimension to 105, one for each single-gene perturbation in the dataset. We then evaluated the 37 knocked out genes that were present at the input. For each of these, we computed the DA score across all BPs (after the SENA layer) and found that those including the targeted gene reported higher DA on average (Appendix VII Fig. 13). Since each perturbation presented a different number of affected BPs, we next focused on the perturbations with the largest amount of targeted BPs (i.e., 7), and evaluated the significance (*statsannotation* package (Charlier et al., 2022)) of the DA among affected and not affected BPs (Mann-Whitney U test with BH p-value correction). Fig. 3-A shows the aforementioned analysis for the knock out genes LHX1, SPI1 and TBX3. This analysis highlighted the perturbation samples from LHX1 and TBX3 KOs as those presenting highly differentially activated BPs, validating the capacity of the proposed encoder to identify biologically-meaningful factors.

To analyze the robustness of the SENA-discrepancy-VAE’s encoder, we repeated the above analysis across several latent space dimensions, computing the DAR (Eq. 5) between those BPs containing the targeted gene and the rest. Once again, we found that almost every evaluated knock out gene reported a DAR > 1 along evaluated latent dimensions (Fig. 3-B). It is worth highlighting TMSB4X and LYL1, which reported a DAR \gg 10 consistently, indicating that the mean difference in activation of the SENA-layer neurons among perturbation and control samples for the BPs containing targeted genes was \gg 10x times greater than for the rest. This underscores the capacity of SENA-discrepancy-VAE to drive the training process while maintaining biologically-meaningful factors.

Interpretable μ and σ^2 layers. We next assessed how the above shown interpretability is propagated through the SENA-discrepancy-VAE encoder. To this end, we performed the DA analysis on the output of SENA- δ encoder (Figure 1), where we measured the contribution of affected and not affected BPs from the previous layer to every neuron j in the μ and σ^2 layers. To this end, we define the DA score for perturbation p and BP k at the j -th neuron of μ and σ^2 layers as $(DA_k^p)_j = |\delta_{kj}| \cdot |\bar{\alpha}_k^p - \bar{\alpha}_k^c|$, where superscripts p and c denote perturbed and controlled activities, respectively.

Fig. 3-C shows the DA score on μ and σ^2 layers for affected and not affected BPs, following the same significance tests performed above. Again, this highlights that the SENA-discrepancy-VAE encoder maintains biologically-meaningful the SENA- δ encoder (Fig. 1), yielding interpretable exogenous variables Z_i , which we denoted the meta-pathway activities and also contributes to this differential activation. We next analyzed the biological significance of the meta-pathway activities, since these connections are learned in a data-driven manner. For this, we measured, through a permutation test, the contribution of the level 2 GO pathways (i.e., the parental GO terms of the used BPs in the SENA layer) to each of meta-pathway activity. Interestingly, multiple meta pathways were significantly associated to few level 2 pathways, underscoring our model capabilities to learn biologically-meaningful patterns at both high (BPs) and broad (meta-pathway) granularities (Appendix III.)

Finally, we performed a differential activation score analysis on the Norman2019 dataset after training SENA-discrepancy-VAE. We selected the top 6 largest DA scores, which belonged to 5 unique KO genes and gene sets, respectively (Table 8). Fig. 4-A shows the UMAP components of all intervened

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cells (in the input gene space) across the aforementioned genes, while Fig. 4 B-F depicts those cells colored by the DA score that each cell has on the evaluated gene set (GO term). Surprisingly, from the top 6 DA scores, we found that only GO:0038065 is initially targeted by COL1A1, while the remaining gene sets are reporting specific-highlight on the cells belonging to the intervened KO without being directly targeted. For instance, the gene set GO:0006833 (Fig. 4-C) is activated by the TBX3 gene (same with GO:0010714 and CEBPA) without being explicitly encoded in the **SENA**- δ encoder. These underscore the potential of **SENA**-discrepancy-VAE to naturally learning biologically-driven patterns without specifically enforcing them.

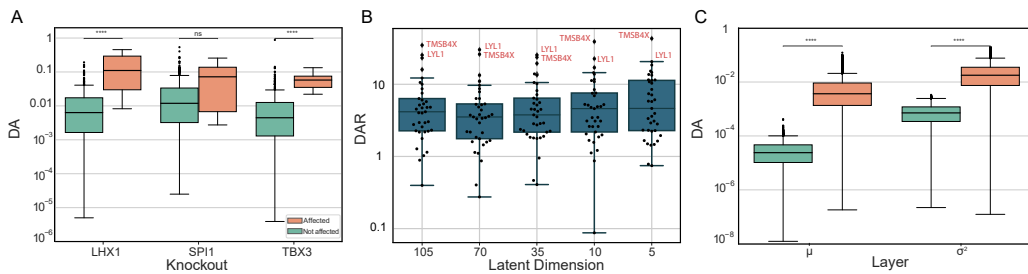


Figure 3: **SENA**, μ and σ^2 layers interpretability analysis. **A**. DA score for the three perturbations presenting the highest number of affected BPs among \mathcal{W}_a and \mathcal{W}_a BPs. **B**. DAR of the 37 analyzed perturbations when varying the latent space dimensions. For every dimension, genes with the highest DAR are highlighted in red. **C**. DA score across the evaluated perturbations at the output of the μ and σ^2 layers of the **SENA**-discrepancy-VAE among affected and not affected BPs. **ns** and ******** depicts a p-value > 0.05 and $< 10^{-4}$, respectively.

7 DISCUSSION AND CONCLUSIONS

In this work we have demonstrated how biological processes can be used as prior knowledge in the context of causal representation learning. The resulting model, **SENA**-discrepancy-VAE², is on par, or even outperforming it in specific scenarios, in terms of predictive capabilities with the original discrepancy-VAE, while at the same time producing embeddings that can be easily inspected for assessing their biological meaning.

Among the several findings reported in this study, it is striking that both models tend to assign most interventions to a small number of latent factors (see Fig. 14 for perturbation-to-latent factor associations in the Norman2019 data). Reasoning in terms of biological processes helps understanding why. The theory behind discrepancy-VAE requires that each intervention must be assigned to a single factor. Thus, this factor must represent all BPs affected by that intervention. If two (or more) interventions affect overlapping sets of biological processes, then by necessity they must be mapped to the same factor. Overall, it may be argued that assuming that each intervention targets a single latent factor does not allow CRL methods to thoroughly disentangle the interplay between BPs, perturbations and latent factors. Thus, future CRL works should attempt to overcome this assumption.

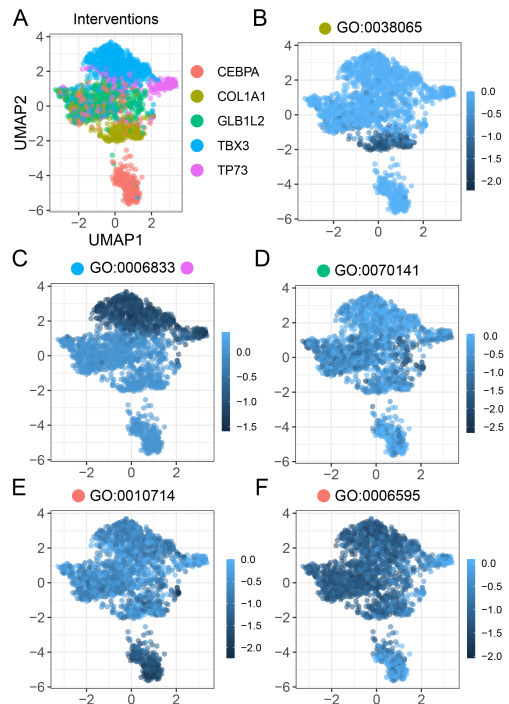


Figure 4: **DA score of 6 most significant (KO, BP) pairs**. **A**. UMAP of all intervened cells across the KO genes presenting the 6 largest DA scores. **B-F**. UMAP from **A** colored by the differential activation score on each cell across genes within each evaluated BP.

²Python package, including data and code for reproducibility: github.com/ML4BM-Lab/SENA

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APPENDIX

I INTERPRETABILITY OF LATENT FACTORS AND CAUSAL GRAPH THROUGH OUR PROPOSED SPARSE LAYER

In the variational autoencoder proposed at Zhang et al. (2024), the exogenous variable Z_j is sampled from a normal distribution, where the mean and standard deviation of this distribution is defined by the fully connected layers in their encoder. In the proposed **SENA**-discrepancy-VAE (Fig. 1), the mean and standard deviation will be a linear combination of pathway activities α , weighted by the parameters $\delta_j^{(\mu)}$, $\delta_j^{(\sigma)}$, learned by the corresponding MLPs. Thus,

$$\mu_j = \alpha^T \delta_j^{(\mu)}, \quad \sigma_j^2 = \alpha^T \delta_j^{(\sigma)} \quad (7)$$

which then define the meta-pathway activities z_j as $z_j \sim \mathcal{N}(\mu_j, \sigma_j^2)$. This allows the expectation of Z_j to be interpretable, as

$$\mathbb{E}(Z_j) = \mu_j = \alpha^T \delta_j^{(\mu)} \quad (8)$$

However, we would also like this interpretability to hold when going from the exogenous variables (the meta-pathway activities) to the causal factors U (causal pathway archetypes). The latter are defined as $U = Z^T \cdot (I - A)^{-1}$, where

$$L \triangleq (I - A)^{-1} = \sum_{l=0}^{\infty} A^l = (I + A + A^2 + \dots + A^K), \quad (9)$$

according to the Neumann series, and given that A represents the adjacency matrix of a Direct Acyclic Graph, with L being the largest path (hence, $A^k = 0$, $k = K + 1, \dots$). Here A defines the causal relationships in the latent space. Therefore, the j -th causal factor can be expressed as

$$U_j = Z^T \cdot L_j,$$

where L_j is the j th column of L , and encodes the number of path of at most length K that ends at node j in the causal graph. Hence, the expectation of the causal factor U_j is given by

$$\begin{aligned} \mathbb{E}(U_j) &= \mathbb{E}(Z^T \cdot L_j) \\ &= \mathbb{E}(Z^T) \cdot L_j \\ &= \boldsymbol{\mu} \cdot L_j \end{aligned} \quad (10)$$

$$\begin{aligned} &= \alpha^T \cdot \boldsymbol{\Delta}^{(\mu)} \cdot L_j \\ &= \alpha^T \cdot \tilde{\delta}_j^{(\mu)}, \end{aligned} \quad (11)$$

where Eq. (10) and Eq. (11) from Eq. (8), and $\boldsymbol{\Delta}^{(\mu)}$ is the (learned) linear mapping between the pathway activities α and the meta-pathway activities Z . Thus, $\tilde{\delta}_j^{(\mu)}$ (linearly) maps the causal latent factors with the pathway activity scores through the learnt causal structure L_j , providing the mechanism for the interpretation of the latent factors, termed in our work as the pathway archetype activities.

Finally, we experimentally validated, using the Norman2019 dataset, that Eq. (10) holds for both the original discrepancy-VAE (MLP), and the proposed **SENA**- δ model for both $\lambda = \{0, 0.1\}$. We used all available unperturbed cells (ctrl) and 9 randomly-chosen perturbed cells, setting the latent dimension to the number of available perturbations in the dataset, i.e. 105. To this end, we first computed $\mathbb{E}(U_j)$ for every latent dimension $j \in \{1, \dots, 105\}$ by forwarding the cells and averaging (over 10,000 realization of $Z \sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\sigma}^2)$) the obtained pathway archetype scores (i.e., the causal latent factors U s). On the other hand, we multiplied the BP activity scores (α) with the BP-to-meta-pathway mapper ($\boldsymbol{\Delta}$) and the causal mechanism (L , Eq. 9). Both computations should be equal according to Eq. (11). Fig. 5 depicts for every type of perturbation and model (MLP, **SENA**- $\delta_{\lambda=0}$ and **SENA**- $\delta_{\lambda=0.1}$) both terms of the equality, as well as the Pearson's correlation. There is a perfect correlation among these two terms, and this patterns is maintained across models and perturbations.

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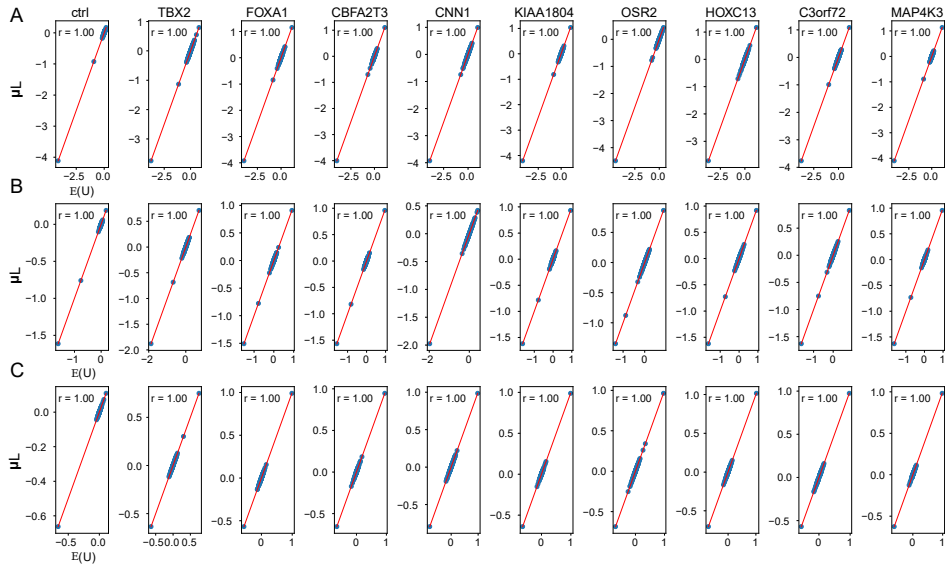


Figure 5: **Experimental validation of derived Eq. 10.** A-C. Experimental analysis of derived Eqs. 10 and 11 for the original discrepancy-VAE (MLP) architecture (A), $\text{SENA-}\delta_{\lambda=0.1}$ (B) and $\text{SENA-}\delta_{\lambda=0}$ (C), respectively. Here x-axis represent the expected latent factors U extracted by forwarding cells through the trained model for each selected cell type and y-axis represent the (linear) mapping between BP activation scores and pathway archetypes (i.e., latent causal factors).

II STUDY ON INFERRED CAUSAL GRAPH ROBUSTNESS

We evaluated how stable are the (directed) edges from the inferred **SENA**-discrepancy-VAE causal graph across various λ 's and latent dimensions (Fig. 2). To this end, we inferred the causal graph for $\lambda = \{0, 0.1, 10^{-2}, 10^{-3}\}$ and latent dimensions $\{5, 10, 35, 70, 105\}$ using the Norman2019 dataset. We then computed the edge consistency for each graph as the ratio of the frequencies of the most and least frequent sign across the evaluated λ 's. For instance, if an edge has been consistently positive across λ 's, it would present an edge consistency of 1 (e.g., Fig. 6-B, U_{12}).

When analyzing the edge consistency of the inferred causal graphs (e.g., Fig. 6 A-B, depicted for latent dimension of 5), most edges had a consistency above or equal to 75% while edge weights close to 0 presented low consistency across λ 's. Interestingly, across the different tested hyperparameters, most edges had a coefficient of variation (CV) ≥ 2 (Fig. 6 C-F), indicating perfect edge consistency. Importantly, the large majority of edges exhibited a high confidence (CV ≥ 1) (Fig. 6-H).

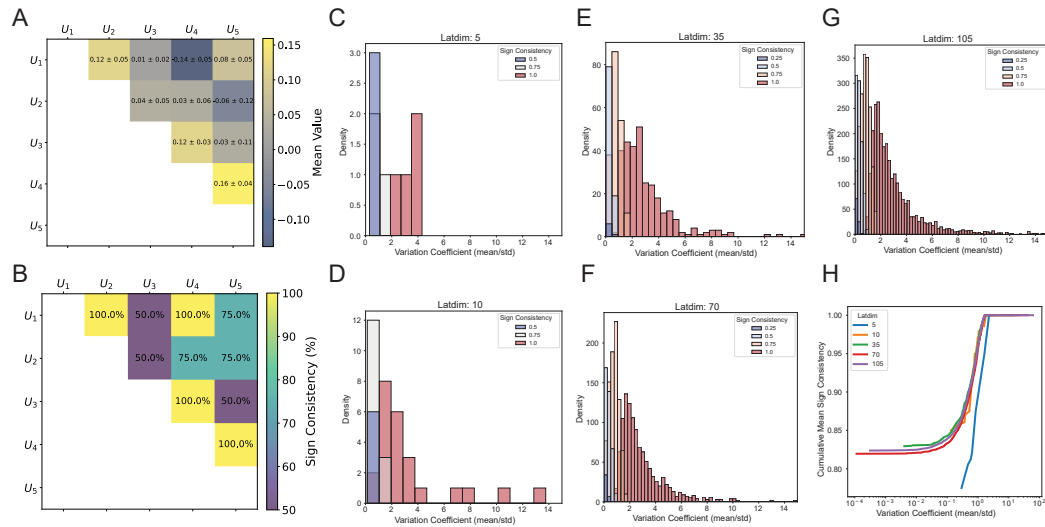


Figure 6: **Edge robustness analysis for the inferred causal graph.** **A.** Edge values (mean of the inferred graph upper triangular). Results are averaged across $\lambda = \{0, 0.1, 10^{-2}, 10^{-3}\}$. **B.** Edge consistency across the aforementioned λ 's. **C-G.** Coefficient of Variation (mean over standard deviation) histogram for every edge of the upper triangular matrix of the inferred causal graph across several runs using different latent dimensions ($\{5, 10, 35, 70, 105\}$). **H.** Cumulative distribution of the edge consistency.

III STUDY ON HIGH-LEVEL-ORDER AGGREGATION OF BIOLOGICAL PATHWAYS

This section analyzes how the connections between the BPs activity after the **SENA** layer and the exogenous variables (meta-pathways) that then define the causal latent factors (pathway archetypes) are potentially biologically-driven, despite the weights being learned in a data-driven way. To this end, we relied on the level 2 Gene Ontology pathways, that encompass most of the used lower-level BPs in our model to evaluate whether the meta-pathway activity encodes high-level biological processes. For the sake of clarity, for this section we would refer to the aforementioned level 2 pathways as L2BPs and keep using BPs to refer to the ones we model at the output of the first layer in **SENA**-discrepancy-VAE.

We selected all L2BPs containing at least 10 BPs (from our set of filtered BPs, i.e. 454 if not stated otherwise), yielding a total of 9 L2BPs with different sizes (Table 2). In order to measure the association between the inferred meta-pathways and the L2BPs, we computed the contribution that each L2BP has on each meta-pathway score and perform a permutation test to evaluate whether the association is significant. We now describe the process for computing these contributions. Be z_j the activation score of the meta-pathway j , this activation score is given by the linear combination of previous layer’s activation (BPs’ activation scores) and the learned weight matrix Δ of that layer.

Lets define $L2BP_k$ as the set of BPs within the k -th L2BP. Since the activation score for every meta-pathway j can be expressed as

$$z_j = \sum_{i \in L2BP_k} BP_i * \delta_{ij} + \sum_{i' \notin L2BP_k} BP_{i'} * \delta_{i'j} \quad \forall k \in \{1, \dots, |L2BP|\},$$

we can compute the contribution of the k -th HLP to the j -th latent factor as:

$$c_{kj} = \frac{\sum_{i \in L2BP_k} BP_i}{z_j}$$

To measure if this contribution is significant, for a given L2BP and a given meta-pathway, we permuted 1000 times the BPs (maintaining the size) and computed the Mann-Whitney one-sided test to obtain the p-value between permuted and true BPs associated to the L2BP, correcting by the number of tests performed (Bonferroni correction). These allowed us to measure if there is a statistically significant contribution of the specific L2BP (and associated BPs) to the activation score of the given meta-pathway. We performed this analysis on **SENA**- δ for $\lambda = \{0, 0.1\}$ and, for the sake of simplicity, we set the number of latent dimensions to 35.

Fig. 7 A-B shows the histogram of permuted vs true contributions for every L2BP on the first meta-pathway factor and Fig. 7-C depict the distribution of corrected p-values for every meta-pathway and L2BP, where blanks represent non-significant contributions (corrected p-value ≤ 0.05). Interestingly, there is a significant contribution for every L2BP across several meta-pathway factors, which may indicate that there are potential clusters of them encoding true high-level biological processes. Also, these results are highly similar across the evaluated λ values. Note that this analysis did not discriminate across perturbation types, hence all cells were forwarded (and averaged) through **SENA**’s model to compute the activation scores.

Table 2: Aggregation according to the Level-2 Biological processes of the Gene Ontology structure.

Level 2 GO Term	#Genesets within	Description
GO:0022414	13	Reproductive process
GO:0002376	21	Immune system process
GO:0051179	27	Localization
GO:0032501	39	Multicellular organismal process
GO:0050896	41	Response to stimulus
GO:0008152	63	Metabolic process
GO:0032502	64	Developmental process
GO:0009987	141	Cellular process
GO:0065007	193	Biological regulation

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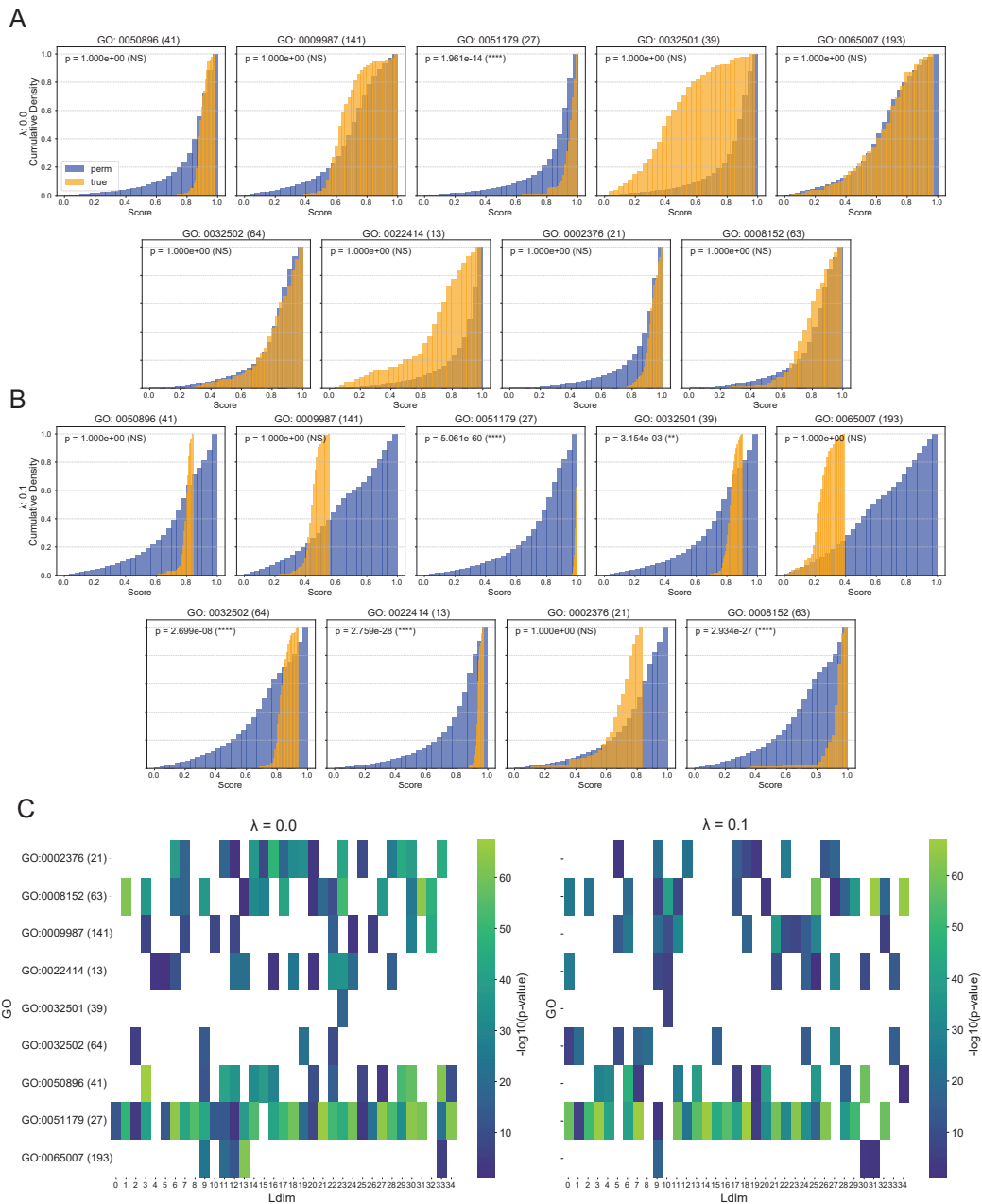


Figure 7: **Study on genesets aggregation at the latent factor level.** **A-B.** Permutation test on the latent factor contribution for level 2 genesets versus random aggregations of genesets, for $\lambda = 0$ (**A**) and $\lambda = 0.1$ (**B**). Results are shown for the first latent factor **C.** Heatmap depicting the corrected p-value for every level 2 geneset and latent factor **SENA- δ** when $\lambda = 0$ (left) and $\lambda = 0.1$ (right). Number of BPs inside every level 2 GO term are shown in brackets next to the terms' name.

IV ABLATION STUDIES ON NORMAN2019'S DATASET

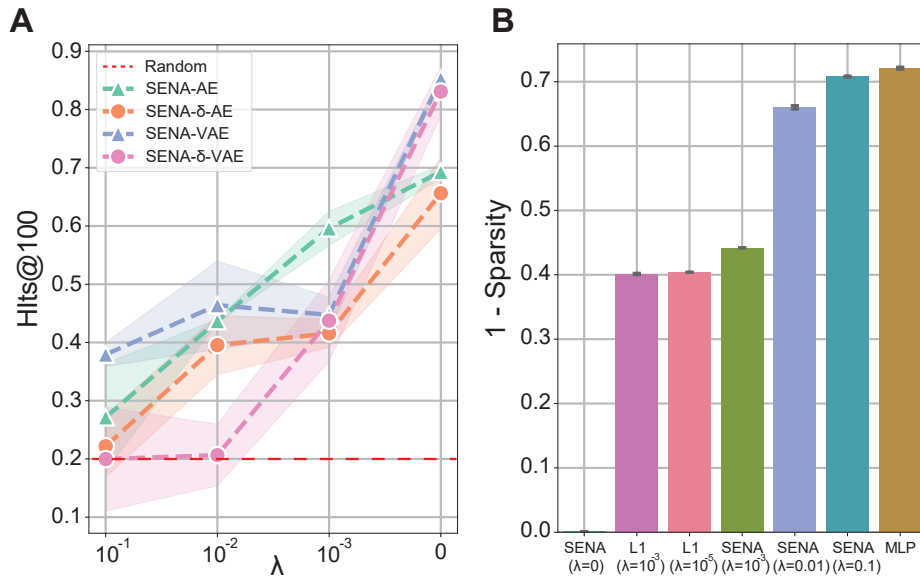


Figure 8: Ablation studies on interpretability and sparsity. **A**. Percentage of affected gene sets in the top 100 DA BPs for several SENA-based architectures and λ values. **B**. Sparsity evaluation according to Eq.6.

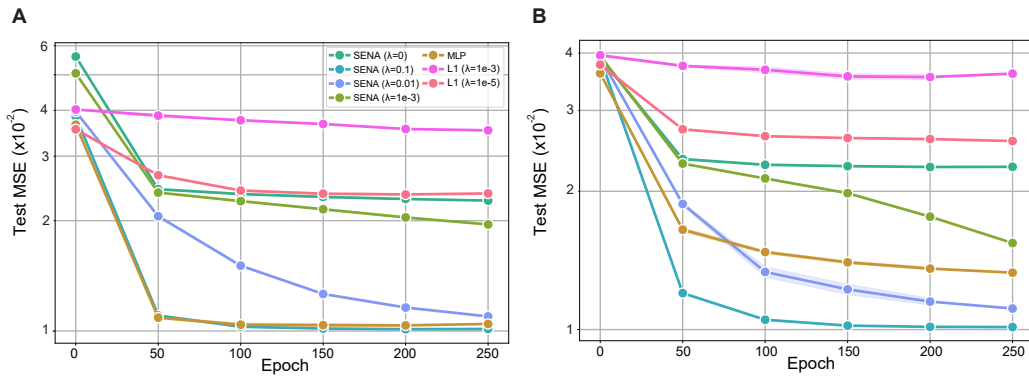


Figure 9: Ablation studies for AE-type architecture. Test MSE evaluation for AE-based architectures for SENA (A) and SENA- δ (B) encoders.

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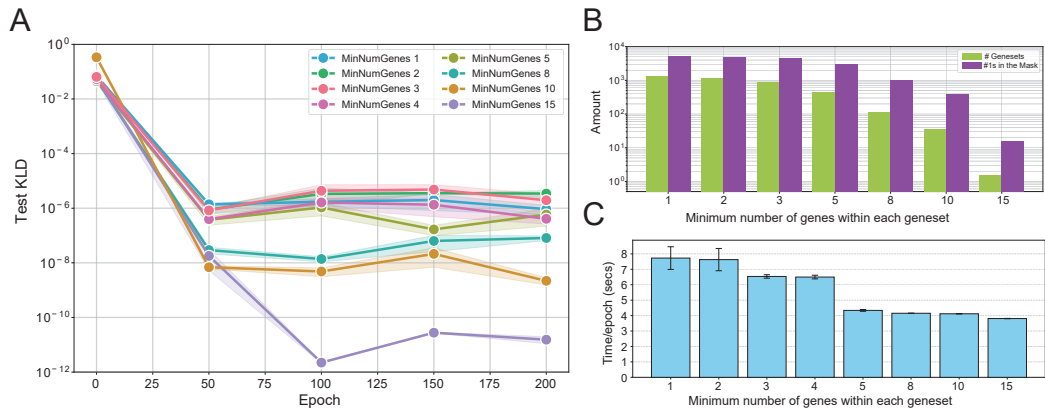


Figure 10: Ablation studies of the number of BPs used in $\text{SENA-}\delta_{\lambda=0}$. On each experiment, a minimum number of genes per BP is imposed, which reduces the total number of BPs used after the SENA layer. **A**. Test KLD as a function of the minimum number of genes within eachBP. **B**. Summary of the number of BPs and the gene-to-BP connections. **C**. Training time-per-epoch results. Results are averaged across 3 different seeds.

Table 3: AE and VAE-based evaluation for SENA across 5 seeds. Methods are sorted by sparsity.

Method	AE-based		VAE-based	
	Test MSE ($\times 10^{-2}$)	Test MSE ($\times 10^{-2}$)	Test D _{KL} ($\times 10^{-4}$)	Test D _{KL} ($\times 10^{-4}$)
$\text{SENA}_{\lambda=0}$	2.279 \pm 0.015	3.962 \pm 0.005	13.869 \pm 0.450	
$\ell_{1,\lambda=10^{-3}}$	3.526 \pm 0.000	3.954 \pm 0.021	5.016 \pm 4.120	
$\ell_{1,\lambda=10^{-5}}$	2.352 \pm 0.028	3.951 \pm 0.005	1.915 \pm 0.127	
$\text{SENA}_{\lambda=10^{-3}}$	1.936 \pm 0.023	3.967 \pm 0.023	15.211 \pm 7.351	
$\text{SENA}_{\lambda=0.01}$	1.103 \pm 0.010	3.938 \pm 0.022	15.978 \pm 9.905	
$\text{SENA}_{\lambda=0.1}$	1.009 \pm 0.004	3.951 \pm 0.009	12.492 \pm 3.054	
MLP	1.036 \pm 0.012	3.962 \pm 0.019	3.872 \pm 0.225	

Table 4: AE and VAE-based evaluation for $\text{SENA-}\delta$ across 5 seeds. Models are sorted by sparsity.

Method	AE-based		VAE-based	
	Test MSE ($\times 10^{-2}$)	Test MSE ($\times 10^{-2}$)	Test D _{KL} ($\times 10^{-4}$)	Test D _{KL} ($\times 10^{-4}$)
$\text{SENA-}\delta_{\lambda=0}$	2.252 \pm 0.011	3.951 \pm 0.012	0.007 \pm 0.009	
$\ell_{1,\lambda=10^{-3}}$	3.537 \pm 0.098	3.944 \pm 0.007	5.742 \pm 3.772	
$\ell_{1,\lambda=10^{-5}}$	2.581 \pm 0.011	3.970 \pm 0.006	5.351 \pm 6.089	
$\text{SENA-}\delta_{\lambda=10^{-3}}$	1.552 \pm 0.012	3.973 \pm 0.004	0.001 \pm 0.001	
$\text{SENA-}\delta_{\lambda=0.01}$	1.096 \pm 0.020	3.969 \pm 0.027	0.024 \pm 0.005	
$\text{SENA-}\delta_{\lambda=0.1}$	1.012 \pm 0.000	3.966 \pm 0.010	0.326 \pm 0.114	
MLP	1.350 \pm 0.029	3.954 \pm 0.029	3.816 \pm 1.331	

1080 V BENCHMARKING ON THE WESSELS DATASET

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1082 We included a second large-scale Perturb-seq dataset based on CRISPR-cas13 which aims at efficiently
1083 targeting multiple genes for combinatorial perturbations [Wessels et al. \(2022\)](#). This technique, termed
1084 CaRPool-seq, encodes multiple perturbations on a cleavable CRISPR array that is associated with a
1085 detectable barcode sequence. CaRPool-seq was applied to THP1 cells, an acute myeloid leukemia
1086 (AML) model system, to perform combinatorial perturbations of myeloid differentiation regulators
1087 and identify their impact on AML differentiation phenotypes.

1088 The perturbations include 28 single perturbations, 26 regulator genes and two negative control genes,
1089 as well as 158 double-gene perturbations. We performed standard preprocessing for single cell
1090 data (filtering, normalization, and log-transformation), yielding to a total of 424 unperturbed cells
1091 (controls), 3036 cells under the 28 single-gene perturbations, and 17592 cells with double-gene
1092 perturbations. Pseudo-bulk expression profiles are then obtained by adding gene expression of cells
1093 sharing the same perturbation. The resulting profiles are then visualized as UMAP landscape. The
1094 same procedure was performed for the Norman2019 dataset (Fig. 11 A and B). Marker genes are then
1095 obtained using the Wilcoxon test to contrast the cells from each perturbation with the remaining cells
1096 as implemented in Seurat’s `FindAllMarkers`, requiring an adjusted p-value < 0.001 and log-fold
1097 change > 2 .

1098 The Wessels2023 study focused on perturbing myeloid differentiation regulators [Wessels et al. \(2022\)](#).
1099 This resulted in all perturbations having similar effects at the transcriptomics levels, as shown in
1100 Fig. 11. While in the Norman2019 datasets cells affected by different perturbations tend to cluster
1101 separately (panel A), most of the interventions in Wessels2023 are grouped together (panel B),
1102 indicating similar profiles. Moreover, the number of genes that are differentially expressed following
1103 a perturbation is generally lower in the Wessels2023 study than in Norman2019 (Fig. 11-C), indicating
1104 that overall the perturbations in the Wessels2023 data had a more limited effect.

1105 The peculiarities of the Wessels2023 data lead to a notable results: both the **SENA**-discrepancy-
1106 VAE and the discrepancy-VAE consistently assign all single-gene perturbations to a single latent
1107 factor (figure not shown). This can be interpreted as the models recognizing that all single-gene
1108 perturbations have similar effects.

1109 In terms of predictive capabilities (Table 5), we observe that MMD performances on the double
1110 perturbations are comparable only when considering higher values of the λ parameter. This indicates
1111 that for this dataset, introducing interpretability in addition to representational capabilities is more
1112 difficult, possibly due to the peculiarities of the performed experiments.

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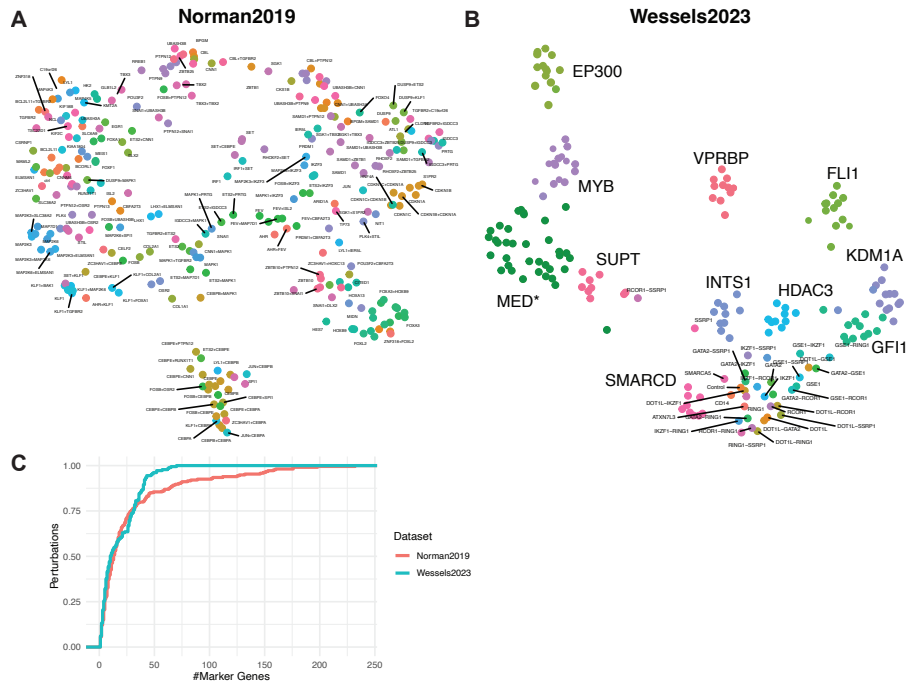


Figure 11: **Comparison of Norman and Wessels datasets.** **A.** UMAP representation of single-gene and combinatorial perturbations captured in the Norman2019 dataset. Each point represents the pseudo-bulk expression profile of a genetic perturbation. **B.** UMAP representation of the Wessels2023 dataset similar to A. Perturbations which share one gene and form clear clusters are shown in the same color to enhance clarity, while the remaining perturbations are shown individually. **C.** Cumulative density distribution of perturbations by their respective number of marker genes (differentially expressed genes with $p_{adj} < 0.001$, average LFC > 2) per perturbation in the Norman2019 and Wessels2023 dataset.

Table 5: Performance comparison between SENA-discrepancy-VAE and discrepancy-VAE on the Wessels2023 dataset across different lambda values and latent factors for double perturbation samples. Note that KLD and L1 losses are not dependent on the samples, but computed after the training process is finished.

Encoder	Metric	Latent Dimension		
		50	28	14
Original MLP	MMD↓	0.1810 ± 0.0001898	0.1768 ± 0.0001898	0.1886 ± 0.0001225
	MSE↓	0.0767 ± 0.0000031	0.0840 ± 0.0000031	0.0934 ± 0.0000005
	KLD↓	0.0137 ± 0.0000011	0.0142 ± 0.0000011	0.0150 ± 0.0000002
	L1↓	0.0054 ± 0.0000001	0.0028 ± 0.0000001	0.0016 ± 0.00000004
SENA- $\delta_{\lambda=0.5}$	MMD↓	0.2285 ± 0.0011976	0.1904 ± 0.0001348	0.1753 ± 0.0000720
	MSE↓	0.0934 ± 0.0000011	0.0849 ± 0.0000108	0.0782 ± 0.0000003
	KLD↓	0.0130 ± 0.0000002	0.0135 ± 0.0000025	0.0107 ± 0.0000003
	L1↓	0.0013 ± 0.0000001	0.0021 ± 0.0000018	0.0052 ± 0.0000002
SENA- $\delta_{\lambda=0.1}$	MMD↓	0.3637 ± 0.0024426	0.4267 ± 0.0027825	0.4049 ± 0.0038001
	MSE↓	0.1002 ± 0.0000042	0.1081 ± 0.0000056	0.1086 ± 0.0000008
	KLD↓	0.0040 ± 0.0000001	0.0049 ± 0.0000001	0.0066 ± 0.0000015
	L1↓	0.0090 ± 0.0000021	0.0026 ± 0.0000001	0.0013 ± 0.00000001
SENA- $\delta_{\lambda=0}$	MMD↓	0.5675 ± 0.0031239	0.6156 ± 0.0041942	0.5725 ± 0.0096761
	MSE↓	0.1108 ± 0.0000037	0.1068 ± 0.0000076	0.1131 ± 0.0000011
	KLD↓	0.0019 ± 0.00000004	0.0025 ± 0.0000001	0.0027 ± 0.0000001
	L1↓	0.0120 ± 0.0000014	0.0040 ± 0.0000010	0.0014 ± 0.0000001

VI EVALUATING GEARS ON PREDICTING UNSEEN DOUBLE PERTURBATIONS

We trained GEARS, following the authors’ recommendations, for 20 epochs on all single-gene perturbations from the Norman2019 dataset, and predicted the same double-perturbations we used to evaluate **SENA**-discrepancy-VAE and the original discrepancy-VAE. We repeated this experiment with 5 different initializations.

We then computed the MMD and MSE between the predicted and the true double-perturbations gene expression profiles by forwarding randomly-selected unperturbed cells (control) and averaged the metrics across perturbations. We show the MMD in the main benchmarking (Table 1) and in this section’s Table 6 to compare against MSE. When analyzing these results, we found that the MSE on double perturbations exhibited scores 10 times larger than the one reported during training for validation folds (0.00368 ± 0.000363 across different latent dimension sizes for one seed), which suggest a potential lack of generalization. Moreover, MMD also showed significantly larger scores compared to **SENA** or the standard discrepancy-VAE. This may be justified by the fact that the MMD is not used as a loss function in GEARS, hence true and predicted distributions may differ significantly when MSE is large enough. Finally, in order to have a baseline within GEARS, we computed the MSE and MMD between the true expression of double perturbations and true expression of unperturbed cells, yielding an average value of MMD = 14.39 and MSE = 0.085.

Overall, these results highlight that **i)** the hidden dimension size of GEARS is largely dependent of the prediction performance. **ii)** GEARS outperforms the defined baseline in terms of MSE for some range of latent dimensions. **iii)** GEARS fails to capture the underlying distribution of double perturbations, possibly due to the lack of a distribution-distance loss function during training.

Table 6: MMD and MSE of GEARS on Norman2019’s dataset for double perturbation prediction across several latent dimensions

Metric	Latent Dimension				
	105	70	35	10	5
MMD↓	14.9420 ± 0.233957	12.6036 ± 0.745302	13.2590 ± 0.559124	12.9774 ± 0.751373	15.3099 ± 0.191833
MSE↓	0.0986 ± 0.009157	0.0446 ± 0.018703	0.0562 ± 0.015065	0.0533 ± 0.019782	0.1131 ± 0.008568

VII ADDITIONAL MATERIALS ON NORMAN2019 DATASET

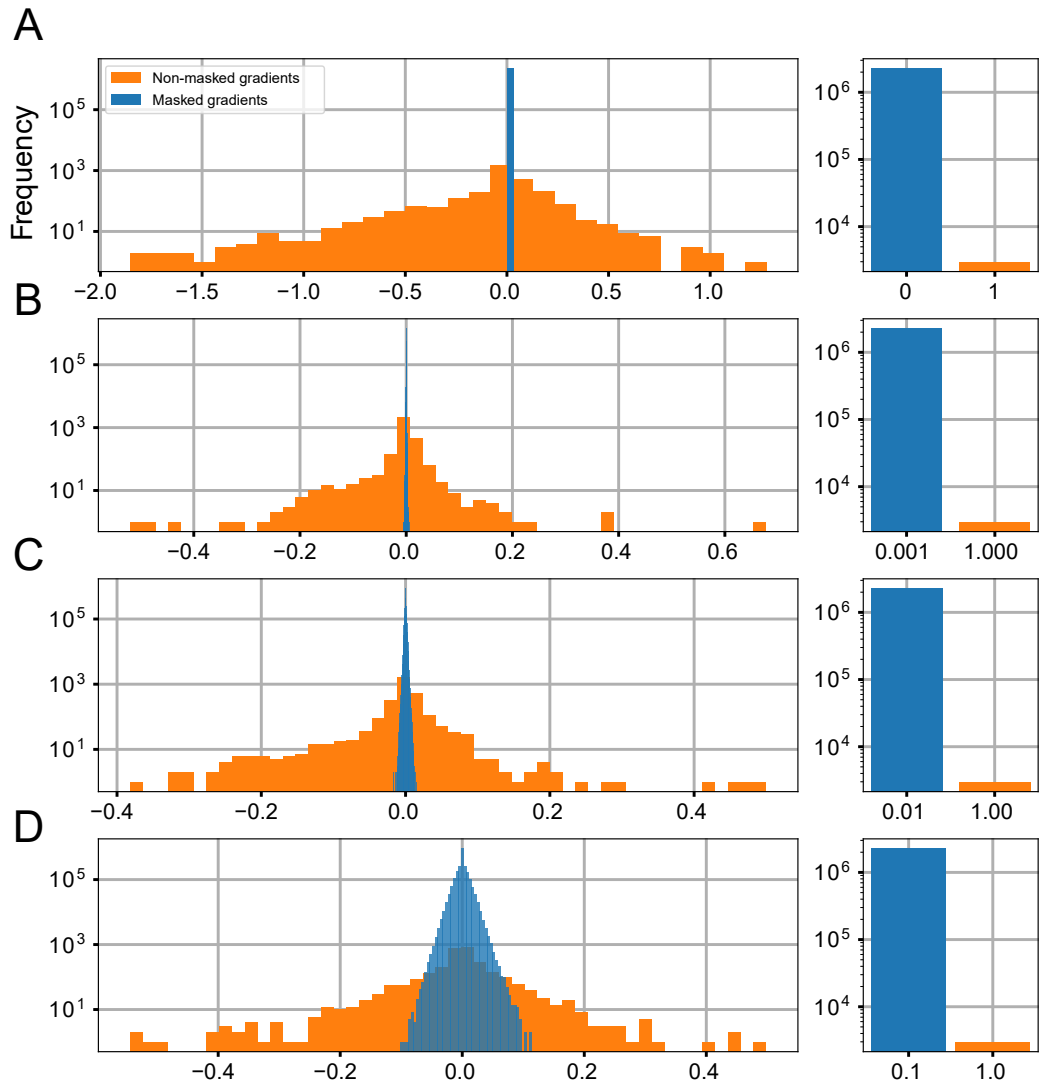


Figure 12: **SENA layer analysis.** Masked and non-masked gradients for **SENA**-discrepancy-VAE at the output of the **SENA** layer, for λ values of 0 (A), 10^{-3} (B), 0.01 (C) and 0.1 (D). Barplot showing matrix M values is depicted next to each histogram.

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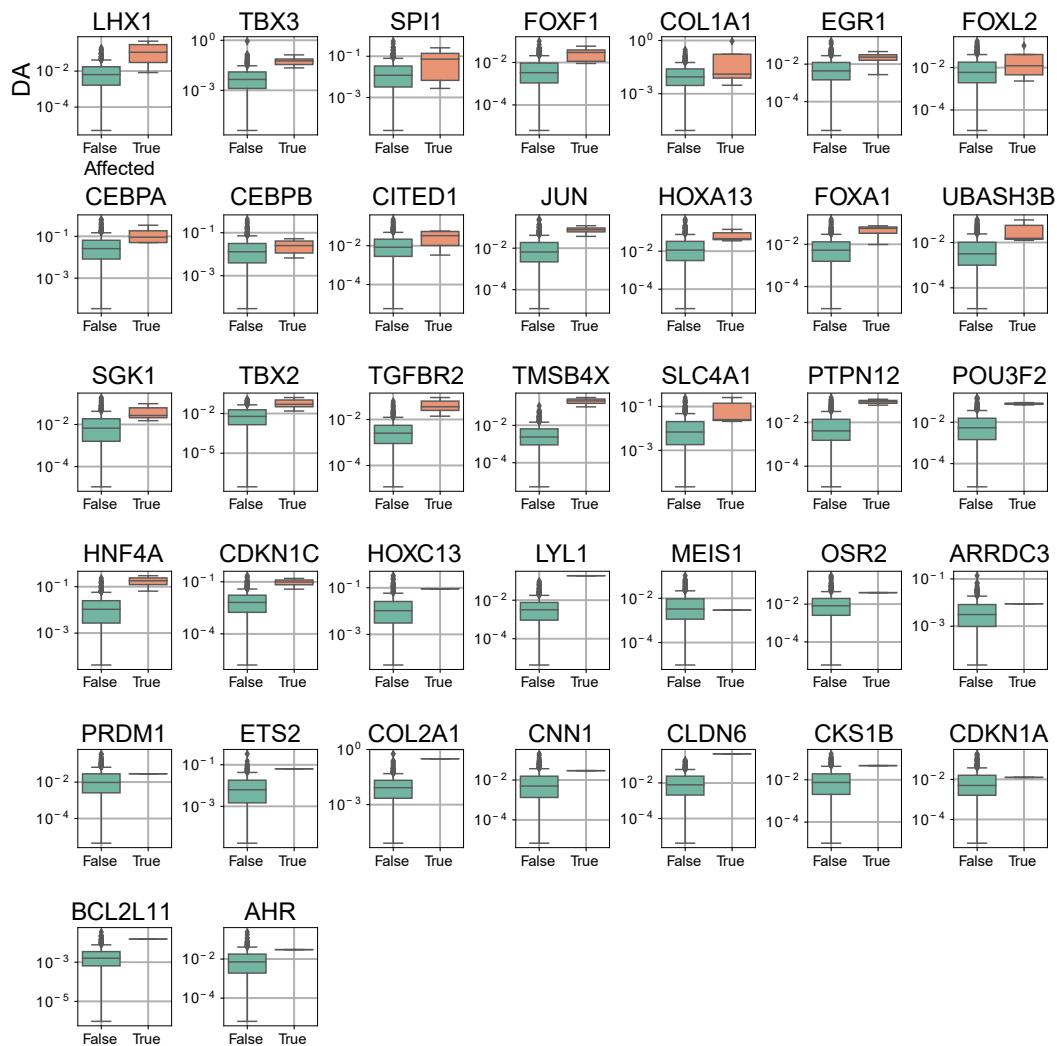
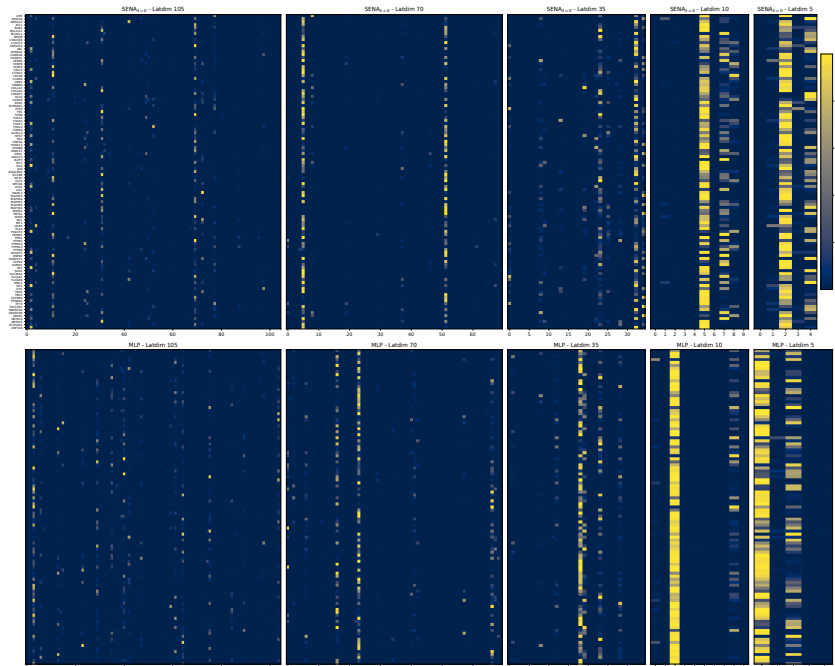


Figure 13: **Differential Activation Scores analysis.** DA score analysis for targeted and non-affected BPs along the 37 single-gene perturbations present in the input gene expression matrix.

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Figure 14: **Mapping between latent factors and perturbations genes.** Mapping distribution of knocked out genes and latent factors, from SENA-discrepancy-VAE ($\lambda = 0$) and discrepancy-VAE (MLP encoder) for several values of latent dimensions $\{105, 70, 35, 10, 5\}$. We generated this mapping from the interventional encoder according to $h = \text{Softmax}(\text{Linear}(\text{LeakyReLU}(\text{Linear}(c))) \times \text{temp})$, where c is the one-hot encoding vector for each perturbation and temp was set to 100 as recommended for inference in the original manuscript.

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Table 7: **Causal graph (Fig. 2) details.** Each row lists a latent factor, the number of targeted perturbations, and associated biological processes within it.

Latent Factor	Targeting Perturbations	Biological Processes
41	41	57
65	6	10
2	18	14
53	25	10
69	1	1
15	1	1
12	9	10

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Table 8: **Top 6 (knockout, gene set) pairs according to DA score. Fig. 4 details).** DA scores for selected genes and associated GO terms.

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Gene	GO Term	DA Score
COL1A1	GO:0038065	0.92
TBX3	GO:0006833	0.88
GLB1L2	GO:0070141	0.71
TP73	GO:0006833	0.69
CEBPA	GO:0010714	0.65
CEBPA	GO:0006595	0.60

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Table 9: Mapping between BP and latent factors.

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Latent Factor	GO ID	GO Term
2	GO:2000352	negative regulation of endothelial cell apoptotic process
2	GO:0010944	negative regulation of transcription by competitive promoter binding
2	GO:1904292	regulation of ERAD pathway
2	GO:0060216	definitive hemopoiesis
2	GO:0048557	embryonic digestive tract morphogenesis
2	GO:0071549	cellular response to dexamethasone stimulus
2	GO:0055023	positive regulation of cardiac muscle tissue growth
2	GO:0071243	cellular response to arsenic-containing substance
2	GO:0006067	ethanol metabolic process
2	GO:0099188	postsynaptic cytoskeleton organization
2	GO:0006833	water transport
2	GO:0045723	positive regulation of fatty acid biosynthetic process
2	GO:2001279	regulation of unsaturated fatty acid biosynthetic process
2	GO:0018904	ether metabolic process
12	GO:0070141	response to UV-A
12	GO:0060512	prostate gland morphogenesis
12	GO:1903902	positive regulation of viral life cycle
12	GO:0014821	phasic smooth muscle contraction
12	GO:0006359	regulation of transcription by RNA polymerase III
12	GO:0030852	regulation of granulocyte differentiation
12	GO:0044849	estrous cycle
12	GO:0045737	positive regulation of cyclin-dependent protein serine/threonine kinase activity
12	GO:1903319	positive regulation of protein maturation
12	GO:2000010	positive regulation of protein localization to cell surface
15	GO:0050665	hydrogen peroxide biosynthetic process
41	GO:0010226	response to lithium ion
41	GO:0002693	positive regulation of cellular extravasation
41	GO:0045654	positive regulation of megakaryocyte differentiation
41	GO:0060065	uterus development
41	GO:1902042	negative regulation of extrinsic apoptotic signaling pathway via death domain receptors
41	GO:0000305	response to oxygen radical
41	GO:0001915	negative regulation of T cell mediated cytotoxicity
41	GO:0002281	macrophage activation involved in immune response
41	GO:0002357	defense response to tumor cell
41	GO:0006595	polyamine metabolic process
41	GO:0006921	cellular component disassembly involved in execution phase of apoptosis
41	GO:0006957	complement activation, alternative pathway
41	GO:0010714	positive regulation of collagen metabolic process
41	GO:0010829	negative regulation of glucose transmembrane transport
41	GO:0014912	negative regulation of smooth muscle cell migration
41	GO:0019835	cytolysis
41	GO:0030449	regulation of complement activation
41	GO:0032703	negative regulation of interleukin-2 production
41	GO:0032905	transforming growth factor beta1 production
41	GO:0033005	positive regulation of mast cell activation
41	GO:0039532	negative regulation of cytoplasmic pattern recognition receptor signaling pathway
41	GO:0044342	type B pancreatic cell proliferation
41	GO:0044406	adhesion of symbiont to host
41	GO:0045056	transcytosis
41	GO:0045663	positive regulation of myoblast differentiation
41	GO:0050849	negative regulation of calcium-mediated signaling
41	GO:0051764	actin crosslink formation
41	GO:0061450	trophoblast cell migration
41	GO:0070102	interleukin-6-mediated signaling pathway
41	GO:0070486	leukocyte aggregation
41	GO:0071391	cellular response to estrogen stimulus

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Table 10: Mapping between BP and latent factors (Cont.).

Latent Factor	GO ID	GO Term
41	GO:0097202	activation of cysteine-type endopeptidase activity
41	GO:0099515	actin filament-based transport
41	GO:0140374	antiviral innate immune response
41	GO:1900120	regulation of receptor binding
41	GO:1900745	positive regulation of p38MAPK cascade
41	GO:1902307	positive regulation of sodium ion transmembrane transport
41	GO:2000508	regulation of dendritic cell chemotaxis
41	GO:0020027	hemoglobin metabolic process
41	GO:0002227	innate immune response in mucosa
41	GO:0034505	tooth mineralization
41	GO:0038065	collagen-activated signaling pathway
41	GO:0001958	endochondral ossification
41	GO:0034389	lipid droplet organization
41	GO:0010715	regulation of extracellular matrix disassembly
41	GO:0051131	chaperone-mediated protein complex assembly
41	GO:0060384	innervation
41	GO:0061684	chaperone-mediated autophagy
41	GO:0055091	phospholipid homeostasis
41	GO:0060742	epithelial cell differentiation involved in prostate gland development
41	GO:0097066	response to thyroid hormone
41	GO:0042976	activation of Janus kinase activity
41	GO:0061323	cell proliferation involved in heart morphogenesis
41	GO:0002713	negative regulation of B cell mediated immunity
41	GO:0051238	sequestering of metal ion
41	GO:0062098	regulation of programmed necrotic cell death
41	GO:2000479	regulation of cAMP-dependent protein kinase activity
53	GO:0016338	calcium-independent cell-cell adhesion via plasma membrane cell-adhesion molecules
53	GO:0009713	catechol-containing compound biosynthetic process
53	GO:0032060	bleb assembly
53	GO:0036035	osteoclast development
53	GO:0007190	activation of adenylate cyclase activity
53	GO:0009065	glutamine family amino acid catabolic process
53	GO:0035767	endothelial cell chemotaxis
53	GO:2000678	negative regulation of transcription regulatory region DNA binding
53	GO:0043032	positive regulation of macrophage activation
53	GO:0060749	mammary gland alveolus development
65	GO:0021516	dorsal spinal cord development
65	GO:0017014	protein nitrosylation
65	GO:0010842	retina layer formation
65	GO:0030540	female genitalia development
65	GO:0042759	long-chain fatty acid biosynthetic process
65	GO:2000696	regulation of epithelial cell differentiation involved in kidney development
65	GO:0015701	bicarbonate transport
65	GO:0034638	phosphatidylcholine catabolic process
65	GO:0048535	lymph node development
65	GO:0050995	negative regulation of lipid catabolic process
69	GO:0001886	endothelial cell morphogenesis