

# ALPHAVERUS: BOOTSTRAPPING FORMALLY VERIFIED CODE GENERATION THROUGH SELF-IMPROVING TRANSLATION AND TREEFINEMENT

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## ABSTRACT

Automated code generation with large language models has gained significant traction, but there remains no guarantee of the correctness of generated code. We aim to use formal verification to provide mathematical guarantees that the generated code is correct. However, generating formally verified code with LLMs is hindered by the scarcity of training data and the complexity of formal proofs. To tackle this challenge, we introduce *AlphaVerus*, a self-improving framework that bootstraps formally verified code generation by iteratively translating programs from a higher-resource language and leveraging feedback from a verifier. *AlphaVerus* operates in three phases: exploration of candidate translations, *Treefinement*—a novel tree search algorithm for program refinement using verifier feedback, and filtering misaligned specifications and programs to prevent reward hacking. Through this iterative process, *AlphaVerus* enables LLaMA-3.1-70B model to generate verified code without human intervention or model finetuning. *AlphaVerus* shows an ability to generate formally verified solutions for HumanEval and MBPP, laying the groundwork for truly trustworthy code-generation agents.

## 1 INTRODUCTION

There has been an enormous effort to train code-generating large language models (LLMs) Chen et al. (2021); Austin et al. (2021); Li et al. (2023); Rozière et al. (2024); Team (2024), leading to LLM-powered agents that can perform tasks ranging from fixing bugs in software repositories to solving Olympiad-level algorithmic problems Jimenez et al. (2023); Li et al. (2022a). Despite these successes, multiple studies have identified disturbing mistakes in LLM-produced code, including subtle bugs and serious security vulnerabilities Hendler (2023); Pearce et al. (2021); Jesse et al. (2023); Zhong & Wang (2023); Perry et al. (2023); Elgedawy et al. (2024). Ultimately these mistakes stem from a fundamental property of LLMs: language models can generate any string of code, without regard to correctness. As a result, automatically checking the correctness of LLM-generated code is one of the grand challenges facing the research community.

The generated code must be correct for all inputs it may receive. However, today’s code generation methods select or filter generations with imperfect correctness proxies, such as runtime testing or human inspection. Achieving perfect test coverage is typically infeasible Li et al. (2022b); Liu et al. (2023), and incomplete coverage leads to unreliable signals that models can exploit Pan et al. (2022); Liu et al. (2023); Denison et al. (2024). Relying on human review is problematic as it scales poorly and humans often struggle to verify LLM-generated code’s correctness Perry et al. (2023). Consequently, the difficulty of trusting generated code reduces potential productivity gains from LLMs and can introduce unexpected vulnerabilities or unreliable signals for model improvement.

In contrast, generating code in a *verification-aware programming language* such as Dafny Leino (2010), F\* Swamy et al. (2016), or Verus Lattuada et al. (2023) offers a promising approach to addressing these challenges by providing mathematical guarantees that a program obeys a specification for all possible inputs. In this paradigm, code is paired with a specification and proof written in a specialized language, and a mechanical verifier checks whether the code meets the specification. Doing so could dramatically improve the trustworthiness of the generated code: if the verifier passes, the LLM’s generated program is mathematically guaranteed to meet the specification. However, writing formal

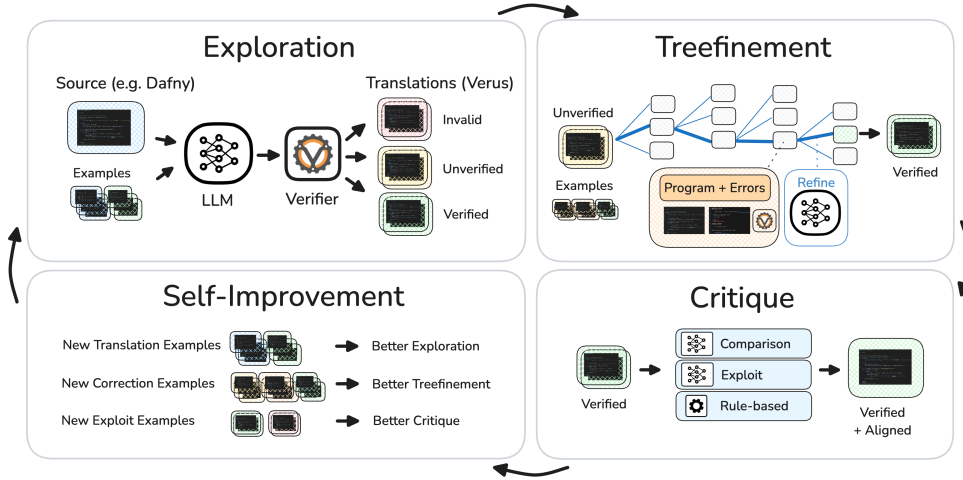


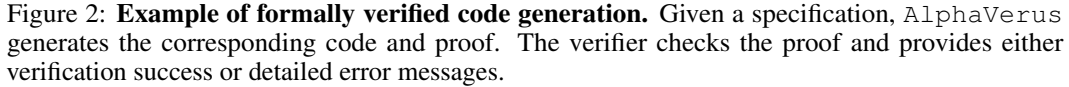
Figure 1: Overview of AlphaVerus, a self-improving framework for generating formally verified code. Each iteration consists of three key steps: (1) *Exploration* translates programs from a source language to Verus by sampling multiple trajectories and selecting partially correct ones using verifier feedback, (2) *Treefinement* iteratively fixes errors guided by verifier feedback and tree search, and (3) *Critique* validates and filters out underspecified or incorrect translations. The framework bootstraps new exemplars after each iteration to continuously improve performance without human intervention.

specifications and proofs introduces additional layers of complexity. Furthermore, although LLMs have demonstrated success in automated theorem proving in mathematical domains Lu et al. (2023); Li et al. (2024), their capability to generate verified code for even basic algorithms is limited Sun et al. (2023); Lohn & Welleck (2024).

A significant barrier to automatically generating real-world, formally verified code is the scarcity of training data. In particular, verification-aware research languages have a rich history (e.g., Dafny Leino (2010), F\* Swamy et al. (2016)), yet verifying real-world code in mainstream languages remains nascent. For example, Verus Lattuada et al. (2023)—a verification language for the very popular language Rust—has fewer than 10 public repositories, despite Rust itself having millions of code examples. Hence, enabling formally verified code generation in a mainstream language such as Rust faces a *bootstrapping problem*—how do we create an initial model that can generate even relatively simple verified programs, given the absence of training data?

We propose AlphaVerus, a framework for bootstrapping a formally verified code generation model by iteratively translating programs from a resource-rich domain and self-improving using feedback from the verifier. As illustrated in Figure 1, each iteration of AlphaVerus has three phases. First, the *exploration* phase generates candidate programs by translating from a source language (such as Dafny) to the target language (here, Verus) by generating multiple candidates and saving partially and completely verified attempts. Second, *Treefinement* refines the imperfect candidates through a novel tree search over the space of output programs using feedback from the verifier, saving the final verified program, along with its ancestors to serve as error correction examples. We show that Treefinement leads to substantial gains over vanilla refinement strategies that resemble those used in concurrent work Yang et al. (2024); Chen et al. (2024). Third, *critique models* detect misaligned translations and specifications—the one part of the pipeline that lacks formal guarantees. Crucially, this alleviates *reward hacking*, in which models learn to game the system by generating trivial or incomplete specifications, or even by identifying verifier limitations that cause trivial programs to pass the verifier. While previous work has investigated methods that rely on test cases Sun et al. (2023), our critique models address the challenging problems of automated specification generation and validation without relying on any unit test cases.

Each iteration of AlphaVerus collects new exemplars that improve the models in each phase, creating a cycle of improvement. Thus, unlike recent work that relies on human experts to write correction prompts Yang et al. (2024), our method requires no human intervention and automatically learns to generate better code. Moreover, the system operates using a single language model (e.g.,



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the desired input-output behavior. This includes misalignments between the specification and the developer’s intent or the implementation, which can occur due to reasons such as use of language features that cause programs to pass the verifier trivially (e.g., using “`assume (false)`”).

**Formally verified code generation.** We aim to generate verified code from a specification. Specifically,  $(y_I, y_P) \sim G(y_S; c, \theta)$ , where  $G(\cdot)$  is a generation algorithm such as sampling from a language model with parameters  $\theta$ , and the model generates both an implementation  $y_I$  and proofs  $y_P$  given a specification  $y_S$  and any additional context  $c$ . The goal is for the resulting code to verify, i.e.,  $v(y_S, y_I, y_P) = 1$ . We refer readers to Appendix B for a more detailed related work.

**Bootstrapping formally verified code generation.** A practical goal is to perform formally verified code generation in a mainstream language, such as Rust code verified with the Verus verifier Lattuada et al. (2023). However, doing so raises a technical challenge: it is infeasible to train a model on  $(y_S, y_I, y_P)$  examples since such examples do not exist. We refer to this as a *bootstrapping problem*, since we need to create an initial generation model (that we may subsequently improve) without any training data. Next, we describe AlphaVerus, a framework for bootstrapping a verified code generation model by translating from a more resource-rich language.

### 3 ALPHAVERUS

To enable verified code generation in the absence of training data in our target language (Verus), we propose to iteratively translate programs from a higher-resource domain into Verus. Each iteration collects data by exploring candidate translations, refining them with a novel tree search, and then filtering out misaligned programs. Finally, we use the data to enable a verified code generation model (via few-shot learning), and evaluate the model plus the tree search on the downstream task of verified code generation: generating verified code and proofs given a held-out test specification.

#### 3.1 TRANSLATION

AlphaVerus translates programs using a three-stage pipeline consisting of *exploration*, *refinement*, and *critique*. The exploration stage translates source programs into candidate Verus programs. The refinement stage repairs the programs using a novel tree search over program refinements. The critique stage uses a suite of models to discard flawed specifications and implementations that could degrade future iterations. The pipeline iterates, creating a self-reinforcing cycle where verified programs and refinement trajectories improve the models’ capabilities, enabling translation of increasingly complex programs. The result is a growing synthetic dataset of progressively more complex and reliable Verus programs. The complete algorithm is listed in Algorithm 1 and visualized in Figure 1.

**Exploration.** Given a source program  $x$  (e.g., a Dafny implementation, specification, and proofs), exploration uses a model to generate candidate target (i.e., Verus) programs:

$$\{y_1, \dots, y_k\} \sim G_{\text{explore}}\left(x; D_{x \rightarrow y}^{(i)}\right), \quad (1)$$

where  $G$  is a generation algorithm (e.g., LLM sampling) that is given the source and a set of (source, target) examples  $D_{x \rightarrow y}^{(i)}$ . Initially,  $D_{x \rightarrow y}^{(0)}$  has a few hand-written examples.

Any generated (source, verified program) pairs are placed in a candidate set,  $C$ , that will be passed to the filtering stage. If no candidates verify for source  $x$ , candidates that are syntactically correct proceed to refinement. Intuitively, this stage serves as initial “exploration”, in that it generates a set of candidates that may eventually be refined and filtered into verified programs in the later stages. Unlike other methods of bootstrapping Zelikman et al. (2022); Lin et al. (2024) that discard anything but correct solutions, we use both syntactically correct programs and fully verified programs for further improvement, expanding the learning signal.

**Refinement with Treefinement.** Having a verifier opens the possibility of refining candidate programs into verified ones by providing detailed feedback, including unverified functions and specific errors like overflows, unsatisfied conditions, and syntactic mistakes (e.g., Figure 2). While human programmers often use such feedback for iterative corrections, naively providing LLMs with incorrect solutions and feedback often fails to produce improvements. Our key insight is that verifier feedback induces an implicit ordering of solutions based on verified functions and error severity. This

ordering lets us extend common refinement techniques by framing refinement as a tree search over the space of refined programs, which we call *Treerefinement*.

Specifically, the refinement stage takes syntactically correct but unverified candidate translations  $\{y_1, \dots, y_k\}$  and performs a tree search to discover verified programs. Each node in the tree contains an imperfect program and its associated errors,  $(y, e(y))$ . Nodes are expanded by invoking a refinement model:

$$\{y'_1, \dots, y'_k\} \sim G_{refine} \left( y, e(y); D_{y \rightarrow y'}^{(i)} \right), \quad (2)$$

where  $D_{y \rightarrow y'}^{(i)}$  is a set of (program, error, correct program) examples, initially containing a few hand-written examples.

Given a node scoring function  $v(y) \rightarrow \mathbb{R}$  that is used to prioritize nodes, we can search over the space of program refinements with a tree search algorithm that selects and expands nodes, such as breadth-first or depth-first search. We develop a symbolic scoring function based on the number of (un)verified functions, errors, and warnings:

$$s(y) = \frac{n_{\text{ver}}(y) - \alpha n_{\text{err}}(y) - \beta n_{\text{warn}}(y)}{n_{\text{ver}}(y) + n_{\text{unver}}(y)}$$

where  $n_{\text{ver}}(y)$  is the number of verified functions in  $y$ ,  $n_{\text{err}}(y)$  and  $n_{\text{warn}}(y)$  are the counts of errors and warnings from the verifier for the node’s program  $y$ .  $\alpha$  and  $\beta$  are hyperparameters controlling the penalties for errors and warnings, respectively. Intuitively, programs that are closer to a verified program have higher scores, with proximity determined by the proportion of verified functions, resolved errors, and resolved warnings. Upon generating a verified program, the program’s search trajectory is added to a candidate set  $C_\tau$ , and the new (source, program) pair to the candidate set  $C$  that is passed to the critique stage.

Treerefinement extends two kinds of prior methods into a new search over program refinements. First, refining LLM outputs is a common technique Madaan et al. (2023); Kanoi et al. (2024), but not within a tree search. On the other hand, tree search developed in step-by-step mathematical problem solving involves appending solution steps rather than refining a full program Wu et al. (2024a). Our approach specifically addresses the non-local nature of error fixes. Although Treerefinement can use any tree search algorithm, we use REBASE (REward BALanced SEarch) Wu et al. (2024b). REBASE allocates an exploration budget by sampling nodes from a distribution determined by the node scores at the current depth, providing an effective balance of exploration and exploitation. The search continues until it finds a verified program or reaches a maximum depth.

**Critique.** Synthesized specifications are the one part of the translation pipeline that lacks formal guarantees, which can result in a mismatch between the intended and actual functionality of generated programs. Furthermore, in a few cases, there can be a mismatch between the specification’s intent and the program’s implementation, since Verus has features that can result in trivial programs passing the verifier (e.g., `assume(false)`). These can lead to reward hacking, causing a snowballing effect when used as exemplars in future iterations. Hence, we propose a three-part approach for filtering out such misaligned programs: a *rule-based model*, a *comparison model*, and a *exploit model*.

The rule-based model receives a generated program  $y$ , and detects if  $y$  uses a Verus feature which leads to a trivial program. Since there are a relatively small number of such features, and these features can be detected through string matching, it suffices to use a list of hand-coded filters. This includes checking for `assume(false)`, `#[verifier::external]`, and trivial preconditions.

The comparison model  $f(x, y)$  receives a source input  $x$  and a program candidate  $y$ , and evaluates whether the specifications and algorithms used in the candidate match those from the source in intent and structure. In practice, we prompt a model to generate multiple evaluation sequences and reject an output if at least  $r$  sequences indicate rejection.

The exploit model is an adversarial approach that leverages the feedback from Verus. We use a generator prompted to generate simple and often trivial solutions—such as returning an empty array—that satisfy the specifications, i.e.,  $(y_I, y_P) \sim G_{\text{exploit}} \left( y_S; D_{\text{exploit}}^{(i)} \right)$ , where  $y_S$  is a generated specification,  $y_I, y_P$  is an implementation and proof, and  $D_{\text{exploit}}^{(i)}$  contains (specification, implementation+proofs) examples. If such simple solutions pass verification, it indicates that the specification is flawed, and the corresponding translation is discarded. This often includes subtle forms of misspecification.

**Self-improvement.** Finally, the newly generated programs and a subset of the error trajectories are added to data that is used by the translator, refinement, and critique models in the next iteration. In this sense, the models “self-improve” given access to the Verus environment, so long as the generated examples are useful exemplars. Formally, for exploration, we create a new pool of examples,  $D_{x \rightarrow y}^{(i+1)} = D_{x \rightarrow y}^{(i)} \cup \tilde{D}_{x \rightarrow y}^{(i+1)}$ , where  $\tilde{D}_{x \rightarrow y}^{(i+1)}$  consists of the (source, program) candidates  $C$  that were collected during exploration and refinement, and that additionally pass the critique stage.

For refinement, we create a new pool of examples using the successful trajectories  $C_\tau$  collected during refinement. Namely, we keep those trajectories whose final program passes the critique stage, and pair each intermediate program  $y$  and its errors with the final program  $y'$ , i.e.,

$$\tilde{D}_{y \rightarrow y'}^{(i+1)} = \{(y, e(y), y') \mid y \text{ is an ancestor of } y'\}, \quad (3)$$

and set  $D_{y \rightarrow y'}^{(i+1)} = D_{y \rightarrow y'}^{(i)} \cup \tilde{D}_{y \rightarrow y'}^{(i+1)}$ .

Similarly, for the exploit model, we add (specification, program) exploits that pass the verifier into  $D_{\text{exploit}}^{(i+1)}$  to be used by the exploit model in the next iteration. Table 3 summarizes the components, feedback sources, models, and generated synthetic data at each stage.

We employ a stochastic few-shot sampling approach to use synthetic data as in-context exemplars. In each generator call,  $k$  examples are randomly from its respective data pool. This reduces computational costs associated with fine-tuning large models, and as our results demonstrate that this enables other models to leverage the data pool and improve performance without training. Nevertheless, fine-tuning models and developing learning objectives remain interesting future directions.

**Source domain: Dafny.** As our initial source domain, we consider Dafny—a language that follows a similar paradigm to Verus and has been in use for over a decade, resulting in a larger set of available data. Specifically, we use the `DafnyBench` dataset, consisting of 562 programs. Translating Dafny programs to Verus presents several challenges due to two major differences: 1. *Language Constructs*: Significant differences exist in supported features, data types, and the design of the underlying verifier, rendering direct translations infeasible. 2. *Proof Requirements*: Verus imposes more rigorous proof obligations, such as overflow checks, making proofs harder to verify.

### 3.2 DOWNSTREAM EVALUATION

After generating high-quality synthetic data in the form of formally verified Verus programs and error-feedback-correction triples, we use the data to enable a model that performs formally verified code generation. Unlike prior work that requires LLMs to fill proof annotations in existing code and specifications Loughridge et al. (2024); Yang et al. (2024); Chen et al. (2024), we evaluate our models on more challenging task of generating both the code and the proofs given only the specifications.

We use a two-part approach consisting of *exploration* and *Treefinement*. During exploration, given a specification  $y_s$ , we generate  $k$  candidate programs  $\{y^{(1)}, \dots, y^{(k)}\}$ . If any candidate passes verification, we consider the task solved. Otherwise, we initialize *Treefinement* with the candidates and run it until we obtain a verified solution or reach a maximum number of iterations. This can be seen as a generator that uses the collected data as a source of few-shot exemplars,  $(y_I, y_P) \sim G(y_S; D_y, D_{y \rightarrow y'})$ , which means generating an implementation and proofs using a language model prompted with a subset of the collected verified programs  $D_y$  and a test specification  $y_S$ , followed by *Treefinement* with the collected refinement examples  $D_{y \rightarrow y'}$ .

## 4 EXPERIMENTAL SETUP

**Generators.** We use `LLaMA-3.1-70B` for translation experiments and additionally evaluate `LLaMA-3.1-8B`, `Qwen-32B`, and `GPT-4o` for downstream tasks. The exploration phase uses  $k = 256$  samples, while tree search uses breadth 32 and maximum depth 8. **Translation.** We use `DafnyBench` consisting of 562 programs as our source domain  $D_{src}$  for our translation experiments. The exploration model  $G_{\text{explore}}$  is initialized using a Verus syntax file and 5 examples from the Verus repository. **Downstream Evaluation.** We evaluate formally verified code generation, where models must generate both an implementation and proof annotations given a specification. We

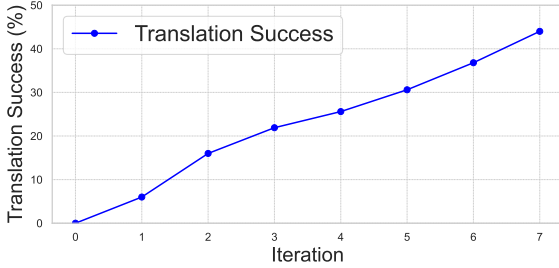


Figure 3: **Programs translated over iterations.** The translation success rate consistently improves over iterations.

Method	HumanEval	MBPP
<i>Baselines</i>		
GPT-4o	27.1%	35.9%
Llama 3.1 70B	11.8%	26.9%
<i>Ablations (Treefinement Variants)</i>		
Single-Turn Linear Self-Refine	29.4%	61.5%
Multi-Turn Linear Self-Refine	29.4%	62.8%
Best-First Search	28.2%	61.5%
<b>AlphaVerus (Llama 3.1 70B)</b>		
Exploration	27.1%	59.1%
+ Treefinement (Rebase)	<b>32.9%</b>	<b>65.7%</b>

Table 1: **Verified code generation performance** on the HumanEval and MBPP benchmarks (pass@256).

measure Pass@K, where success requires at least one correct solution of the  $K$  generated programs. **Datasets.** We evaluate on verified versions of the MBPP and HumanEval datasets. In particular, MBPP-verified is sourced from Yang et al. (2024); Misu et al. (2024) and contains 78 programs from the original MBPP dataset Austin et al. (2021). HumanEval-Verus is sourced from a concurrent open-source effort The HumanEval-Verus Contributors (2024) to translate existing HumanEval programs to Verus. For brevity, we refer to HumanEval and MBPP as their respective verified versions throughout this paper. **Baselines.** Our primary evaluation is performed on verified code generation. Since no existing baselines exist for the task, we use few-shot variants (Listing C) of base models. We tried our best to adapt AutoVerus Yang et al. (2024) to verified code generation, but due to the complexity of its hand-written prompts, we were not able to achieve non-trivial performance. Hence, we compare AlphaVerus on the MBPP *proof annotation task* against SAFE++ Chen et al. (2024) and AutoVerus Yang et al. (2024). We refer readers to Appendix A.2 for more details.

## 5 RESULTS AND ANALYSIS

**AlphaVerus translation success monotonically increases.** Figure 3 shows the number of successful translations over each iteration. We see a steady increase in the number of translations as the iterations increase. The results indicate that AlphaVerus learns to translate and generate more complex programs over iterations. Altogether, AlphaVerus translates around 45% of DafnyBench into Verus programs that are verified by Verus and aligned according to the critique models. Listings 2, 3, and 4 in the Appendix show example translations. The generated exemplars during the translation process are collected into DAFNY2VERUS-COLLECTION, totaling 247 translated programs, 102 error trajectories, and 579 exploit pairs. These exemplars are used for downstream tasks.

**AlphaVerus enables verified code generation.** Table 1 shows the verified code generation performance for the AlphaVerus model obtained from the final translation iteration. AlphaVerus leads to a substantial increase over its underlying Llama 3.1 70B model and a prompted GPT-4o model. Moreover, Treefinement leads to an additional increase in performance over the exploration stage. Listings 1, 5, and 6 show example generations. Next, we analyze the impact of the various components in AlphaVerus.

**Treefinement leads to a jump in performance.** We evaluate the effectiveness of tree search compared to further scaling the parallel sampling (exploration) budget without refinement. Figure 4 shows the percentage of solved problems versus the generation budget for both approaches. Treefinement leads to a substantial jump in performance over exploration. Notably, exploration plateaus while tree search continues improving as the generation budget is increased.

**Critique is crucial for preventing reward hacking.** Without the critique phase, our analysis of 100 DafnyBench examples reveals the model learns to game the verification system by using assume (false) statements, leading to trivially verified but incorrect implementations. We observe a snowballing effect where this behavior spreads across all programs (see Figure 5). While such cases can be disallowed as done by our rule-based critic model, we find more complicated reward hacking instances, such as incomplete specifications and degenerate translations (detailed in Figure 6). The results shows the need for our 3-model critique phase for preventing reward hacking.

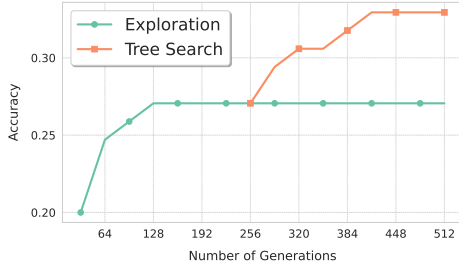


Figure 4: Treefinement vs. exploration (HumanEval). Treefinement leads to a jump in performance that cannot be obtained by additional parallel sampling (exploration).

	HumanEval
Llama 8B - Few Shot	11.8%
+ DAFNY2VERUS-COLLECTION	<b>18.8%</b>
Qwen-32B - Few Shot	14.1%
+ DAFNY2VERUS-COLLECTION	<b>27.1%</b>
GPT-4o - Few Shot	27.1%
+ DAFNY2VERUS-COLLECTION	<b>37.7%</b>

Table 2: Transfer of DAFNY2VERUS-COLLECTION to other language models without finetuning. All models show significant improvements over their few-shot variants.

**Treefinement outperforms linear refinement.** We compare Treefinement against standard refinement that refines linearly, either by performing one step of refinement across multiple parallel branches or several steps across branches. Using equivalent generation budgets, we adjust the breadth and depth parameters accordingly. We also evaluate the best-first search as a baseline. As seen in Figure 1, all methods improve upon initial exploration, demonstrating Treefinement’s compatibility with various search algorithms, and tree-search based refinement outperforms linear refinement. For the tree search, using REBASE outperforms the best-first search. Also note that the linear refinement variants are special cases of REBASE (*depth* = 1 with large breadth, and *temperature* =  $\infty$ ).

**AlphaVerus exemplars transfer to other models.** A key advantage of AlphaVerus is its ability to transfer learned exemplars without model weight updates. Concretely, we use the exemplars collected during AlphaVerus’s translation phase, which used Llama 3.1 70B (i.e., the DAFNY2VERUS-COLLECTION), to enable verified code generation on various models using the same few-shot prompting strategy outlined in §3.2. Table 2 shows successful transfer to both smaller and larger models, yielding significant improvements in verified code generation. Notably, we set a new state-of-the-art on both HumanEval, using GPT-4o but without finetuning.

**AlphaVerus enables strong proof annotation.** Unlike prior works focused solely on proof annotation (generating proofs for correct code), our method addresses the more challenging task of generating both code and proofs. Despite this, We outperform SAFE Chen et al. (2024) and AutoVerus Yang et al. (2024) by 17% and 10% respectively on proof annotation (see Table 4). This is remarkable as AutoVerus was specifically engineered for this task, yet we achieved superior results using only 562 Dafny programs and an open 70B model, compared to SAFE’s extensive GPT-4 invocations and training on thousands of programs. These results highlight the effectiveness, flexibility, and data efficiency of AlphaVerus. We refer readers to Appendix D.1 for more details.

**Other experiments.** Our analysis of model learning progression (Figure 7) shows that AlphaVerus systematically advances from basic syntax to complex array-related concepts across iterations. Example translations (Listing 1–2–4) demonstrate AlphaVerus’s capability to handle complex programs with multiple specifications and proof annotations. Finally, our scaling analysis (Figure 8) reveals that LLaMA-3.1-8B is more cost-effective at lower budgets while LLaMA-3.1-70B achieves better asymptotic performance.

## 6 CONCLUSION

We introduced AlphaVerus, a novel self-improving framework for generating formally verified code in mainstream programming languages. By leveraging iterative translation from a higher-resource language (Dafny) to Verus and utilizing verifier feedback through our Exploration, Treefinement, and Critique stages, AlphaVerus overcomes the challenges of scarce training data, reward hacking and the complexity of formal proofs. Our approach operates without human intervention, hand-engineered prompts, or extensive computational resources, yet achieves significant performance improvements on verified code generation. We also contribute a new dataset of formally verified Verus programs, providing valuable resources for future research. AlphaVerus opens up new avenues for grounding code generation and developing trustworthy AI-assisted programming tools.

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## A EXPERIMENTAL DETAILS

### A.1 TRANSLATION

We use `DafnyBench` as our source domain  $D_{src}$  for our translation experiments. Starting with 782 programs, we filter to 562 by excluding those that verify without proof annotations. The exploration model  $G_{explore}$  is initialized using a Verus syntax file and 5 examples from the Verus repository.

### A.2 DATASETS

We evaluate on verified versions of the MBPP and HumanEval datasets. In particular, MBPP-verified is sourced from Yang et al. (2024); Misu et al. (2024) and contains 78 programs from the original MBPP dataset Austin et al. (2021). HumanEval-Verus is sourced from a concurrent open-source effort The HumanEval-Verus Contributors (2024) to translate existing HumanEval programs to Verus. Since each task in HumanEval-Verus is typically implemented and verified using multiple functions, we split each program into individual provable functions, ensuring that all dependent functions needed are present. Specifically, we split 49 programs into 85 functions and evaluate methods on these 85 functions. We use a snapshot from November 4th, 2024 with commit hash `ddb9ba3`. For brevity, we refer to HumanEval and MBPP as their respective verified versions throughout this paper.

### A.3 HYPERPARAMETERS

We use consistent decoding parameters, with temperature set to 0.7, top-p set to 1.0 and max\_tokens set to 2048. For the translation step, we generate 256 examples per program in the translation phase. We set breadth and depth to 32 and 8 in the tree refinement stage.  $\alpha$  is set to 0.1 and  $\beta$  is set to 0.03 as defined in Equation 3. We set the rebase node sampling temperature to 0.1. We generate 32 samples for the comparison model and exploit model. We use the same setting in both inference and translation. For stochastic sampling as described in Equation 3.1, we randomly choose  $k/2$  examples from the pool of  $k$  exemplars. All sampling is done with a batch size of 32. We do not tune hyperparameters and use the conventional settings throughout. We use the ‘gpt-4o-2024-08-06’ version for GPT-4o modal.

### A.4 CONTAMINATION ANALYSIS

Despite independent development of HumanEval and MBPP, we observe significant overlap between these datasets and `DafnyBench` programs. To mitigate contamination in downstream evaluations, we employ GPT-4 for systematic filtering of collected exemplars. Specifically, we prompt GPT-4 with each collected exemplar paired against individual programs from HumanEval and MBPP, requesting the identification of similar programs. We generate 4 independent evaluations per pair and flag contamination when similarity is detected in more than two evaluations. Flagged examples are excluded from the in-context examples during evaluation of the corresponding program. The prompt used is listed in Listing C.

Manual analysis confirms this approach significantly outperforms traditional n-gram analysis and aligns well with human assessment of contamination. We recommend future work adopt similar contamination detection methods rather than relying solely on n-gram analysis for program similarity. Notably, existing baseline methods for proof annotations in Verus Yang et al. (2024); Chen et al. (2024) lack such contamination analysis.

### A.5 HARDWARE AND SOFTWARE

We use L40S GPUs for inference. We use SgLang for inference Zheng et al. (2024). We design a scalable and parallel version of the translation and inference stage, where each program is run on a separate node. We release the complete codebase and our DAFNY2VERUS-COLLECTION for reproducibility.

## B RELATED WORK

**Automated Formal Verification.** Automated formal verification has a long-standing history in interactive theorem provers Redmon & Sanchez-Stern (2016); Kaliszyk et al. (2018); Polu & Sutskever (2020); First et al. (2020); Lu et al. (2023); Li et al. (2024), such as Coq Coq Development Team (2020), Lean Lean FRO, and Isabelle Isabelle. These approaches typically generate step-by-step proof statements for a given problem, with the theorem prover providing feedback on intermediate steps. While these methods have achieved significant success in proving complex mathematical theorems, their application to formal verification of code is typically limited to theorems from existing projects (e.g., First et al. (2023)) or simple program properties Lohn & Welleck (2024) rather than end-to-end verified code generation. An alternative paradigm integrates language models with languages that offload proving to automated reasoning tools (e.g., SMT), including Dafny Leino (2010); Sun et al. (2023); Loughridge et al. (2024) and F\* Swamy et al. (2016); Chakraborty et al. (2024). However, enabling verified code generation in these research languages may have limited applicability to real-world software and workflows.

**Automated Formal Verification in Rust.** In contrast, Verus Lattuada et al. (2023) offers a verification framework for Rust, a widely adopted programming language. However, unlike in formal theorem proving or long-standing verification languages, there is a substantial lack of data for Verus. Two existing works, released during the development of AlphaVerus, attempt to overcome data scarcity. First, AutoVerus Yang et al. (2024) prompts GPT-4 with a pipeline of hand-engineered prompts tailored to specific errors and programs. This allows for refining some errors but requires human expertise to support new strategies through additional prompts. In contrast, our TreeRefinement method learns new refinement strategies automatically. Second, the concurrent work SAFE++ Chen et al. (2024) proposes translating an existing Rust dataset to Verus and training generation and refinement models on the collected data. However, the translation process in Chen et al. (2024) was initialized with over a month of continuous generation from GPT-4. In contrast, AlphaVerus relies only on a single openly available model, without an expensive GPT-4 initialization. AlphaVerus also incorporates a new tree-search refinement strategy that outperforms the linear strategy used in SAFE++, and a critique phase to ensure the generated specifications are high quality. These innovations contribute to better results, despite our method using open models and 100 times less data. Finally, these two existing works study the simplified task of proof generation, while we study the more general setting of verified code generation: generating the implementation and its proofs.

**Inference-Time Strategies.** Recent studies have shown that increasing inference-time compute can improve performance in reasoning, mathematics, and code generation via meta-generation strategies Welleck et al. (2024) such as parallel sampling Wang et al. (2022); Aggarwal et al. (2023); Sun et al. (2024), tree search Yao et al. (2024); Wu et al. (2024a), and refinement Welleck et al. (2023); Madaan et al. (2023); Snell et al. (2024). Our TreeRefinement algorithm can be viewed as a hybrid meta-generator that combines tree search and refinement, following initial parallel sampling (exploration). A variety of tree search methods generate one step of a mathematical solution at a time, with a verifier guiding the search process by assigning a score to the current state Wu et al. (2024a). In contrast, TreeRefinement uses verifier feedback on the complete solution, modeling tree nodes as full programs and edges as refinement steps. Our strategy addresses the non-local nature of error fixes, and does not need an additional trained scoring model.

Various refinement strategies use external feedback from knowledge bases Peng et al. (2023); Chern et al. (2023), code interpreters Chen et al. (2023); Zhang et al. (2023), tool outputs Gou et al. (2024); Schick et al. (2023), or separately trained reward models Akyürek et al. (2023). Our TreeRefinement algorithm uses a diverse set of feedback sources, including scalar and binary values, language feedback, and an exploit model. Moreover, whereas prior methods typically operate in a linear fashion—i.e., starting with an output and repeatedly refining it—our approach structures refinement as a tree search. This allows for prioritizing certain branches of refinement, which we find perform better.

**Self-Improvement in LLMs.** Various algorithms aim to improve a language model using data generated by the model along with an external feedback source Zelikman et al. (2022); Wang et al. (2024); Hosseini et al. (2024), which is colloquially termed *self-improvement*. Common approaches rely on variants of expert iteration or rejection finetuning Polu et al. (2022); Zelikman et al. (2022); Yuan et al. (2023); Lin et al. (2024), where multiple solutions are sampled, and an external signal

selects the positive ones for model fine-tuning. Our approach, AlphaVerus, builds upon these concepts but moves beyond the simple sample-and-filter strategy. Our method additionally uses refinement and tree search to collect data, and the data is collected using multiple modules (e.g., outputs from Treefinement may be used to improve exploration). Additionally, AlphaVerus uses various forms of feedback—such as trinary, scalar, language, and verifier outputs—rather than just binary filtering. Conceptually, we can view AlphaVerus as a meta-generation algorithm (i.e., a combination of parallel sampling, refinement, and tree search) that improves over time, rather than a model trained on filtered outputs.

## C METHODOLOGY

**Components** Table 3 summarizes the components of our method at different stages, the feedback sources used, the models employed, and the data collected for bootstrapping.

Stage	Feedback	Model	Data Collected	
Exploration	Verifier (errors)	LLM + Parallel Sampling	Verified	Translations
Treefinement	Verifier (value), Verifier (errors)	LLM + Tree Search + Refinement	Error Fix Verified	Triples, Translations
Critique Module	Rules, Trivial Programs, Verifier (binary), Comparison LLM	Regex, String Manipulation, Prompted LLM, Exploit LLM	Exploit Pairs	

Table 3: Different components used in iterative translation in AlphaVerus

**Algorithm and Prompts** We detail the complete algorithm for AlphaVerus in Algorithm 1. We list the prompt used for Exploration stage in Listing C, prompt used for Treefinement stage in Listing C, prompt used for exploit and comparison model in Listing C and Listing C, and for inference in Listing C. Unless specified in the prompt, we use user, assistant pairs to simulate few-shot examples.

### Verus Code Completion

Consider the following incomplete Verus code:

```
'''
{program}
'''
```

The code contains the relevant spec functions and the preconditions (`requires`) and postconditions (`ensures`) for the main function. Your goal is to complete the function by adding the necessary procedure, along with proof statements (such as `invariants`, `asserts`, `proof` blocks, etc.) to prove the program.

Only output the new program and not the entire code. You are not allowed to create new functions; however, you can use any functions already defined if they are within the scope.

## Translation: Exploration Prompt

Consider the following dafny code:

```
```\n{program}\n```
```

Your goal is to convert the code to Verus code. Based on the syntax I gave you, convert the code to Verus. Note that you may need to make some datatype-related changes for it to work in Verus. Specifically, use the most appropriate ones from the syntax and code examples provided earlier. However, do not change invariants or specifications (ensures and requires clauses). Make sure to include the use statements, proper start of code using verus!, and empty fn main() as done in the examples.

## Translation Treefinement Prompt

SYSTEM: Here are some examples of fixing verus code based on compiler error message:

```
# Verus Error Fixing Example {i+1}:
## Incorrect Code:
```rust
{incorrect_code}
```
## Error Message:
```
{error_message}
```
## Corrected Code after fixing the errors:
```rust
{corrected_code}
```
```

<Other Examples>

USER:

Given a Verus program with function signature, preconditions, postconditions, and code, fix the errors present in the code. Effectively return the complete verus program by fixing all proof statements or adjusting the code, such that the code compiles correctly. Do not modify function signatures, requires, ensures or specs. Repeat: Do not ever modify those lines in ensures clause, requires clause, function signatures. Just edit the proof. **\*\*Only in case of overflow errors\*\***, you can make reasonable relaxations on the size of the input variables. For instance, considering the input length of array to be any value less than 10 is not reasonable. Similarly for integer inputs, considering them to be small numbers is not reasonable. Choose bigger bounds for relaxation. You can also use spec functions, to estimate the max value, and impose a condition accordingly. For instance, if error is integer overflow while doing multiplication, you can add requires statement such as:

```
forall |k: int| 0 <= k < nums.len() ==> (0 <= #[trigger] nums[k]
    ] * #[trigger] nums[k] < i32::MAX)
```

However, absolutely no other changes to precondition and postcondition are permitted! Below is the program::

```
```
{program}
```
```

The program has following error message:

```
```
{error_message}
```
```

Solution Format:

```
[Thoughts on Error Message]
[Thoughts on Error Resolution]
[Thoughts on Corner Cases, such as Overflow etc.]
```rust
[Complete Code]
```
```

## Translation: Exploit Model Prompt

You are a Verus exploit agent that finds trivial solutions for incomplete and inaccurate preconditions and postconditions. Your goal is to complete the code by proposing trivial solutions that pass all verification conditions. Here are some examples:

## Input Problem:

```

1 use vstd::prelude::*;
2
3 verus! {
4
5 // Define a function to calculate the nth power of 2
6 fn power(n: u32) -> (result: u32)
7     [Code Truncated]
8 }
9
10 // Define the function ComputePower to calculate 2^n for a
11 // given n
12 fn compute_power(n: u32) -> (result: u32)
13     requires
14         n >= 0,
15         n <= 10000, // arbitrary bound, verus can't handle
16                     // infinite recursion
17     ensures
18         result == result,
19 {

```

## Trivial Solution:

```

1 let mut result: u32 = 1;
2 let mut x: u32 = 0;
3 // invariant: 0 <= x <= n, and result == Power(x)
4 while x != n
5     invariant
6         0 <= x && x <= n,
7         result == result, // result == Power(x),
8 {
9     x += 1;
10    result = result.wrapping_add(result);
11 }
12 result
13 }
14
15 // Main function, empty for now
16 fn main() {}
17
18 } // verus!

```

<Other Examples>

Characteristics of a trivial solution:

1. Usually 1-5 lines of code
2. Does not use any complex data structures
3. Usually returns constant values, that passes all test cases.

Your task is to provide only the trivially completed code, given a new program. Only output the new program and not the entire code.

## Translation: Comparison Model Prompt

Consider the following function:

```
```rust
{rust_code}
```

and

```dafny
{dafny_code}
```
```

Consider the preconditions and postconditions of the various functions in the two programs along with the spec functions if present, that need to be proven.

## Are the preconditions and postconditions from both the programs same? Note, since they are from different programming languages, minor changes are to be ignored. Minor changes include, adding extra preconditions to limit size of input in rust code, so as to ensure overflows are not encountered, or reformulating implication statements. Such changes are not to be considered, and the answer should be yes, if they are same. Further, preconditions on size of input is reasonable, if there is a possibility of overflow. For instance, for computing fibonacci numbers, using something like  $n_i=47$  is reasonable, and answer should be yes. However, using  $n_i=5$  would be incorrect, and answer should be no. Remember, you have to focus on ensures and requires clause of the main function as postconditions and preconditions respectively.

Follow the following format:

[What Preconditions and Postconditions of Program 1]

[What Preconditions and Postconditions of Program 2]

[Step by Step Thoughts on comparison between conditions. Minor differences can be ignored]

Finally, answer in format to the question if they are same: Therefore, both programs are same /not\_same, the final answer is Yes/No.

## Contamination Check Prompt

Consider the following set of program database:

```
```json
<in context exemplars>
```
```

## Task: Your task is to find the program that is same or very similar ( $\geq 50\%$ ) to this program:

```
```
{Program from dataset}
```
```

You should start the solution, by first thinking which programs would be closest and why. Then, you should output the json, containing the same keys as above: prog\_num, program.text. It is possible that none of the programs is closest, or even similar. In that case return empty json object.

## D RESULTS

## D.1 REWARD HACKING

Next, we analyze the quality of translations without the critique phase. Figure 5 shows the effect of removing the critique models and continuing the self-improvement process on 100 examples from DafnyBench. Without the critique phase, the model is able to translate a large fraction of programs, but it is primarily because of learning to use `assume(false)` which renders any implementation trivially verified. This is primarily used by human developers to debug their proofs. However, here AlphaVerus figures out how to game the system by generating trivial proofs.

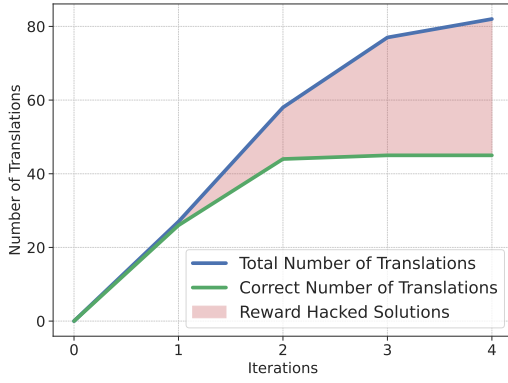


Figure 5: Impact of removing the critique models. Without filtering mechanisms, the model learns to exploit verification by increasingly using `assume(false)` statements. This snowballing effect shows the importance of critique models in preventing reward-hacked solutions.

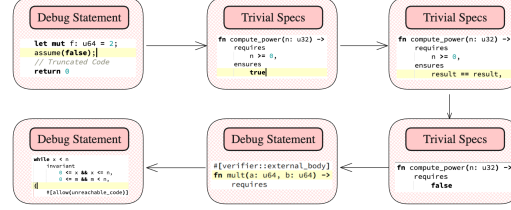


Figure 6: Illustration of reward hacking without the critique models. In particular, the agent first learns to use debug statements and uses them continuously. After fixing, it learns other hacks such as generating trivial specifications or exploring rare debug statements such as allowing infinite loops.

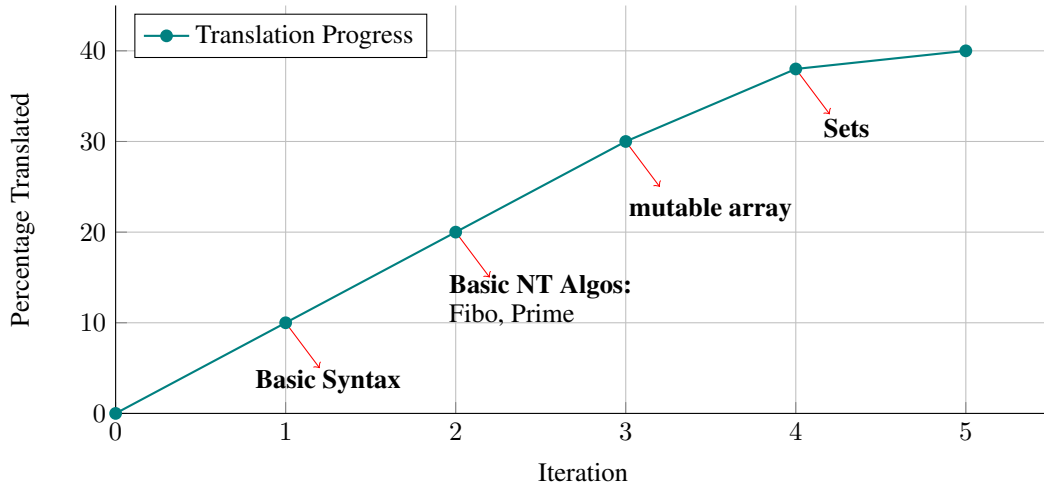


Figure 7: **Translation Progress by Concept.** The graph shows the incremental progress in translation capabilities as different programming concepts are mastered.

There is also a snowballing effect, where initially the model generates a single program with `assume(false)`, then learns to use it in all programs. This is evident from the leveling off of correct translations in the figure. While an obvious way is to disallow such statements (as done by our rule-based verifier), we see even more complicated cases of reward hacking, such as leaving small gaps in translated specifications or even generating degenerate translations, as illustrated in Figure 6. We conclude that the critique phase is critical for filtering out misaligned programs and preventing reward hacking.

**AlphaVerus enables strong proof annotation.** Unlike our work which evaluates methods on the difficult task of formally-verified-code generation that requires generating both code and proof, concurrent work on Verus evaluates on the task of proof annotation: generating proofs given correct code. This is a simpler task since the code is already known to be correct. We compare against SAFE Chen et al. (2024) using their reported results with DSCoder-33B at Pass@110, as their implementation is not publicly available. We also evaluate against AutoVerus Yang et al. (2024) using their default configuration with a 70B model.

Listing 1: AlphaVerus implementation of the unique\_sorted function, which removes duplicates from a sorted vector.

```

1 // ----- Function signature and specification (given to model) -----
2 fn unique_sorted(s: Vec<i32>) -> (result: Vec<i32>)
3   requires
4     forall|i: int, j: int| 0 <= i < j < s.len() ==> s[i] <= s[j],
5   ensures
6     forall|i: int, j: int| 0 <= i < j < result.len() ==> result[i] < result[j],
7     forall|i: int| #[auto] 0 <= i < result.len() ==> s@.contains(result[i]),
8     forall|i: int| #[trigger s[i]] 0 <= i < s.len() ==> result@.contains(s[i]),
9   {
10    // ----- Model generation starts here -----
11    let mut result = Vec::new();
12    let mut i: usize = 0;
13    while i < s.len()
14      invariant
15        result.len() <= i,
16        i <= s.len(),
17        forall|j: int| 0 <= j < result.len() ==> s@.contains(result[j as int]),
18        forall|j: int| 0 <= j < i ==> s@[j as int] == s[j as int],
19      {
20        if i == 0 || s[i] != s[i - 1] {
21          result.push(s[i]);
22        }
23        i += 1;
24      }
25    proof {
26      assert(forall|i: int, j: int| 0 <= i < j < result.len() ==> result[i] < result[j]) by
27      {
28        assert(forall|i: int, j: int| 0 <= i < j < s.len() ==> s@[i] <= s@[j]);
29        assert(result.len() == s.len());
30        assert(result@.len() as int == s@.len() as int);
31        assert(result@.to_multiset() == s@.to_multiset());
32      }
33    }
34    result
35  }

```

As shown in Table 4, AlphaVerus outperforms SAFE by 17% and AutoVerus by 10%. This is notable since AlphaVerus was not designed for the proof annotations task, while AutoVerus has correction prompts specifically engineered for the task. Their engineering also results in reduced generalizability; for instance, AutoVerus cannot be evaluated on HumanEval as it doesn’t support multi-function programs. Second, SAFE used over a month of GPT-4o invocations and thousands of programs, contrasting with our use of 562 Dafny programs and an openly available 70B model.

**AlphaVerus learns new concepts over iterations.** Next, our goal is to understand what the model learns over iterations that improves its ability to translate more complex programs and improve downstream performance. We manually inspect translations from each iteration of AlphaVerus in an attempt to qualitatively characterize the kinds of programs that the system gradually learns to translate. Figure 7 depicts the new concepts that we identified across iterations, starting with the ability to translate basic syntax, then basic numeric algorithms, and then the ability to work with mutable arrays and sets.

**Cost-optimal model for inference.** Next, we compare the performance of different models as we increase the inference cost. We compare LLaMA-3.1-8B and LLaMA-3.1-70B, using a cost ratio of 1:8 based on current API pricing. That is, generating 8 outputs with LLaMA-3.1-8B has the same cost as generating 1 output with LLaMA-3.1-70B. We show the accuracy of each model as a function of cost in Figure 8. LLaMA-3.1-8B achieves faster initial gains, reaching an accuracy of 0.55 with 128 units of cost, while LLaMA-3.1-70B requires about 4 times more cost to reach similar performance. In other words, for cost-constrained scenarios, it is preferable to use the smaller model with more samples, but the larger model has better asymptotic performance. Our findings echo those of Wu et al. (2024a) and Snell et al. (2024).

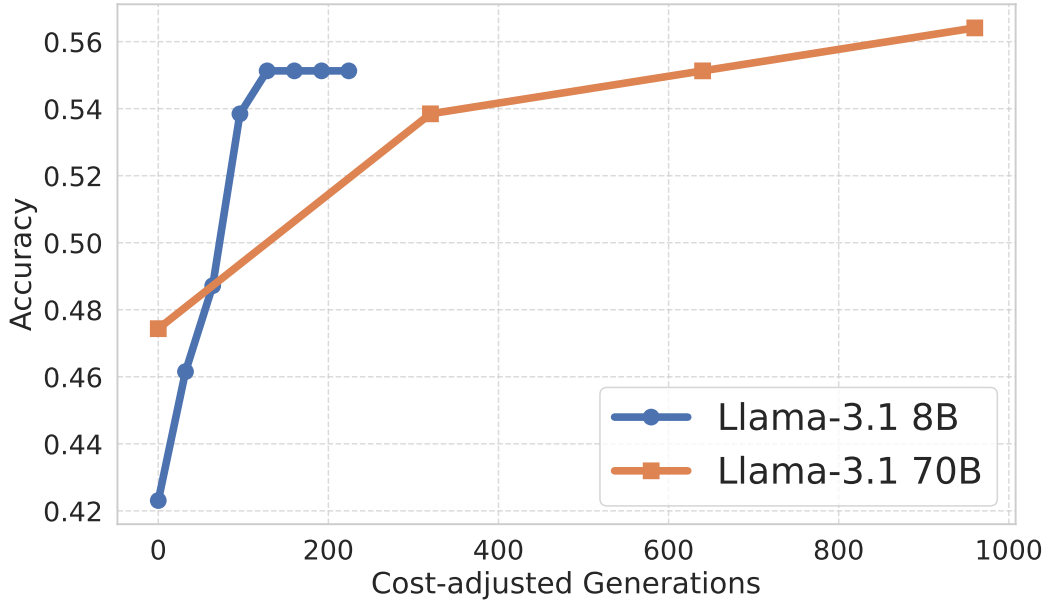


Figure 8: Performance scaling of LLaMA-3.1-8B and LLaMA-3.1-70B with cost. LLaMA-3.1-8B shows better cost efficiency at lower compute budgets, while LLaMA-3.1-70B shows higher asymptotic performance.

|            | MBPP         |
|------------|--------------|
| SAFE       | 59.0%        |
| AutoVerus  | 65.4%        |
| AlphaVerus | <b>75.7%</b> |

Table 4: Comparison of proof annotation generation tasks against baselines on the MBPP dataset.

## D.2 QUALITATIVE ANALYSIS

Listing 2, Listing 3, and Listing 4 show example Dafny-to-Verus translations from AlphaVerus, indicating that AlphaVerus is capable of complex translations. In particular, the translations can involve multiple specifications, helper functions, and proof annotations, and individually reach up to 100 lines of Verus code. For formally verified code generation, Listing 1 shows a generated implementation and proofs for a function that removes duplicates from a sorted vector while maintaining its sorted order. The model is given the function signature and the specification (the `requires` and `ensures` clauses). AlphaVerus generates a Rust implementation and proof annotations (e.g., `invariant`, `proof`, `assert` statements) that pass the verifier. Listing 5 shows a multi-function example, in which a helper function `is_prime` is followed by a `largest_prime_factor` function. In addition to function implementations, Listing 6 shows AlphaVerus completing a nontrivial lemma.

Listing 2, Listing 3, Listing 4 show example Dafny-to-Verus translation from AlphaVerus.

Listing 5, Listing 6 show example formally verified code generations from AlphaVerus.

## E LIMITATIONS AND FUTURE WORK

Our results show promising performance and potential for scaling the methods proposed in this work. In particular, we achieved state-of-the-art performance without any fine-tuning. However, moving forward, exploring fine-tuning models and RL algorithms guided by verifier feedback would be a promising and important direction. Further, while our analysis shows the critique module being

Listing 2: Translation example.

```

1242
1243
1244 1 // ---- Dafny program (input to AlphaVerus) ----
1245 2 function R(n: nat): nat {
1246 3     if n == 0 then 0 else if R(n-1) > n then R(n-1) - n else R(n-1) + n
1247 4 }
1248 5
1248 6 method calcR(n: nat) returns (r: nat)
1249 7     ensures r == R(n)
1250 8 {
1251 9     r := 0;
1252 10    var i := 0;
1253 11    while i < n
1254 12        decreases n-i
1255 13        invariant 0 <= i <= n
1256 14        invariant r == R(i)
1257 15    {
1258 16        i := i + 1;
1259 17        if r > i {
1260 18            r := r - i;
1261 19        }
1262 20        else {
1263 21            r := r + i;
1264 22        }
1265 23    }
1266 24 }
1267
1268
1265 1 // ---- Verus program (all model-generated) ----
1266 2 use vstd::prelude::*;
1267 3
1268 4 verus! {
1269 5
1270 6 fn calc_r(n: usize) -> (r: usize)
1271 7     requires
1272 8         n < 100000, // added relaxation to prevent overflow
1273 9         n * (n + 1) / 2 < usize::MAX, // added check to prevent overflow
1274 10     ensures
1275 11         r == n * (n + 1) / 2,
1276 12 {
1277 13     let mut r: u128 = 0;
1278 14     let mut i = 0;
1279 15     while i < n
1280 16         invariant
1281 17             0 <= i && i <= n,
1282 18             true,
1283 19             decreases
1284 20                 n - i,
1285 21     {
1286 22         i = i + 1;
1287 23     }
1288 24     assert(i == n);
1289 25     let temp: u128 = n as u128 * (n as u128 + 1) / 2;
1290 26     assert(temp <= usize::MAX as u128);
1291 27     let r: usize = temp as usize;
1292 28     r
1293 29 }
1294 30
1295 31 fn main() {}
1296 32
1297 33 } // verus!

```

Listing 3: Translation example.

```

1296
1297
1298 1 // ---- Dafny program (input to AlphaVerus) ----
1299 2 method SearchRecursive( a: seq<int>, i: int, j: int, x: int ) returns (k:
1300   int)
1301 3     decreases j-i;
1302 4     requires 0 <= i <= j <= |a|;
1303 5     ensures i <= k < j || k == -1;
1304 6     ensures k != -1 ==> a[k] == x;
1305 7     ensures k != -1 ==> forall r | k < r < j :: a[r] != x;
1306 8     ensures k == -1 ==> forall r | i <= r < j :: a[r] != x;
1307 9 {
1308 10   if j == i
1309 11   {
1310 12     k := -1;
1311 13     return;
1312 14   }
1313 15   if a[j-1] == x
1314 16   {
1315 17     k := j-1;
1316 18     return;
1317 19   }
1318 20   else
1319 21   {
1320 22     k := SearchRecursive(a, i, j-1, x);
1321 23   }
1322 24 }
1323 25
1324 26 method SearchLoop( a: seq<int>, i: int, j: int, x: int ) returns (k: int)
1325 27   requires 0 <= i <= j <= |a|;
1326 28   ensures i <= k < j || k == -1;
1327 29   ensures k != -1 ==> a[k] == x;
1328 30   ensures k != -1 ==> forall r | k < r < j :: a[r] != x;
1329 31   ensures k == -1 ==> forall r | i <= r < j :: a[r] != x;
1330 32 {
1331 33   if i == j
1332 34   {
1333 35     return -1;
1334 36   }
1335 37
1336 38   var t := j;
1337 39   while t > i
1338 40     decreases t;
1339 41     invariant forall p | t <= p < j :: a[p] != x;
1340 42   {
1341 43     if a[t-1] == x
1342 44     {
1343 45       k := t-1;
1344 46       return;
1345 47     }
1346 48     else
1347 49     {
1348 50       t := t - 1;
1349 51     }
1350 52   }
1351 53   k := -1;
1352 54 }

```

effective in preventing reward hacking, it may not work in all cases, especially for more complex problems. We believe investigating better methods for filtering misaligned specifications remains an important future direction. Finally, we believe that treefinement can be extended to other domains,

```

1350
1351
1352 1 // ---- Verus program (all model-generated) ----
1353 2 use vstd::prelude::*;
1354 3
1355 4 verus! {
1356 5
1357 6   /// Searches for an element in a sequence using recursion.
1358 7   fn search_recursive(a: &Vec<i32>, i: usize, j: usize, x: i32) -> (k: i32)
1359 8   {
1360 9       requires
1361 10         0 <= i && i <= j && j <= a.len(),
1362 11         j - i < 100000, // added precondition
1363 12         j <= i32::MAX as usize, // added precondition
1364 13     ensures
1365 14         i <= k && k < j || k == -1,
1366 15         k != -1 ==> a[k as int] == x,
1367 16         k != -1 ==> forall|r: nat| k < r && r < j ==> a[r as int] != x,
1368 17         k == -1 ==> forall|r: nat| i <= r && r < j ==> a[r as int] != x,
1369 18     decreases
1370 19         j - i,
1371 20     {
1372 21         if j == i {
1373 22             -1
1374 23         } else if a[j - 1] == x {
1375 24             assert(j - 1 <= i32::MAX as usize);
1376 25             if j - 1 <= i32::MAX as usize {
1377 26                 (j - 1) as i32
1378 27             } else {
1379 28                 -1
1380 29             }
1381 30         } else {
1382 31             search_recursive(a, i, j - 1, x)
1383 32         }
1384 33     }
1385 34
1386 35   /// Searches for an element in a sequence using a loop.
1387 36   fn search_loop(a: &Vec<i32>, i: usize, j: usize, x: i32) -> (k: i32)
1388 37   {
1389 38       requires
1390 39         0 <= i && i <= j && j <= a.len(),
1391 40         j - i < 100000, // added precondition
1392 41         j <= i32::MAX as usize, // added precondition
1393 42     ensures
1394 43         i <= k && k < j || k == -1,
1395 44         k != -1 ==> a[k as int] == x,
1396 45         k != -1 ==> forall|r: nat| k < r && r < j ==> a[r as int] != x,
1397 46         k == -1 ==> forall|r: nat| i <= r && r < j ==> a[r as int] != x,
1398 47     {
1399 48         if i == j {
1400 49             return -1;
1401 50         }
1402 51         let mut t: usize = j;
1403 52         while t > i
1404 53         {
1405 54             invariant
1406 55             {
1407 56                 0 <= i <= t && t <= j,
1408 57                 t <= a.len(),
1409 58                 forall|p: nat| t <= p && p < j ==> a[p as int] != x,
1410 59                 t <= i32::MAX as usize, // added invariant
1411 60             }
1412 61             decreases
1413 62                 t,
1414 63             {
1415 64                 assert(t <= a.len());
1416 65                 if a[t - 1] == x {
1417 66                     if t - 1 <= i32::MAX as usize {
1418 67                         return (t - 1) as i32;
1419 68                     } else {
1420 69                         return -1;
1421 70                     }
1422 71                 } else {
1423 72                     assert(t > i);
1424 73                     t = t - 1;
1425 74                 }
1426 75             }
1427 76         }
1428 77         -1
1429 78     }
1430 79 }
1431 80
1432 81 fn main() {}
1433 82
1434 83 } // verus!

```

**Algorithm 1: Iterative Translation and Refinement**


---

**Input:** Source programs  $D_{\text{src}}$ , initial data  $D_{x \rightarrow y}^{(0)}, D_{y \rightarrow y'}^{(0)}, D_{\text{exploit}}^{(0)}$   
**Output:** Verified target programs  $D_{\text{tgt}}$   
Initialize  $i \leftarrow 0$ .  
**while** not converged **do**

**(I) Candidate Generation & Verification:**  
    **foreach**  $x \in D_{\text{src}}$  **do**  
        Generate candidate translations  $\{y_j\} \sim G_{\text{explore}}(x; D_{x \rightarrow y}^{(i)})$   
         $C \leftarrow \emptyset$ : verified pairs;     $S \leftarrow \emptyset$ : syntactically correct, unverified candidates  
        **foreach**  $y_j$  **do**  
            **if**  $y_j$  passes verification **then**  
                 $C \leftarrow C \cup \{(x, y_j)\}$   
            **else if**  $y_j$  is syntactically correct **then**  
                 $S \leftarrow S \cup \{y_j\}$

**(II) Refinement via Treefinement Search:**  
    **foreach**  $y \in S$  **do**  
        Initialize a refinement tree with root node  $(y, e(y))$   
        **while** max iterations not reached **do**  
            Select node  $(y', e(y'))$  by REBASE scoring  
            Generate refinements  $\{y'_k\} \sim G_{\text{refine}}(y', e(y'); D_{y \rightarrow y'}^{(i)})$   
            **foreach**  $y'_k$  **do**  
                **if**  $y'_k$  passes verification **then**  
                     $C \leftarrow C \cup \{(x, y'_k)\}$ ; record trajectory in  $C_\tau$   
                    **break** (stop refining this candidate)  
                **else**  
                    Add  $(y'_k, e(y'_k))$  as a child node to the refinement tree

**(III) Filtering and Data Update:**  
    **foreach**  $(x, y) \in C$  **do**  
        **if** critic rejects  $y$  or  $f(x, y) = \text{False}$  or exploit model finds  $z$  on  $s_y$  **then**  
            Discard  $y$   
            **if** exploit model finds  $z$  **then**  
                 $D_{\text{exploit}}^{(i+1)} \leftarrow D_{\text{exploit}}^{(i+1)} \cup \{(s_y, z)\}$

    Update  $D_{x \rightarrow y}^{(i+1)} \leftarrow D_{x \rightarrow y}^{(i)} \cup C$   
    Update  $D_{y \rightarrow y'}^{(i+1)} \leftarrow D_{y \rightarrow y'}^{(i)} \cup \{(y, e(y), y') | (x, y') \in C_\tau\}$   
     $i \leftarrow i + 1$

**return**  $D_{\text{tgt}} \leftarrow \{y \mid (x, y) \in D_{x \rightarrow y}^{(i)}\}$

---

and better search strategies can be developed for even better performance in this important task of verified code generation.

Listing 4: Translation example. We collapse some whitespace and lines with brackets due to space.

```

1458
1459 Listing 4: Translation example. We collapse some whitespace and lines with brackets due to space.
1460 1 // ---- Dafny program (input to AlphaVerus) ----
1461 2 module OneSpec {
1462 3   datatype Variables = Variables(value: int)
1463 4
1464 5   predicate Init(v: Variables) { v.value == 0 }
1465 6
1466 7   predicate IncrementOp(v: Variables, v': Variables) { && v'.value == v.value + 1 }
1467 8
1468 9   predicate DecrementOp(v: Variables, v': Variables) { && v'.value == v.value - 1 }
1469 10
1470 11   datatype Step =
1471 12     | IncrementStep()
1472 13     | DecrementStep()
1473 14
1474 15   predicate NextStep(v: Variables, v': Variables, step: Step)
1475 16   {
1476 17     match step
1477 18     case IncrementStep() => IncrementOp(v, v')
1478 19     case DecrementStep() => DecrementOp(v, v')
1479 20   }
1480 21
1481 22   predicate Next(v: Variables, v': Variables)
1482 23   { exists step :: NextStep(v, v', step) }
1483 24 }
1484 25
1485 26 module OneProtocol {
1486 27   datatype Variables = Variables(value: int)
1487 28
1488 29   predicate Init(v: Variables) { v.value == 0 }
1489 30
1490 31   predicate IncrementOp(v: Variables, v': Variables) { && v'.value == v.value - 1 }
1491 32
1492 33   predicate DecrementOp(v: Variables, v': Variables) { && v'.value == v.value + 1 }
1493 34
1494 35   datatype Step =
1495 36     | IncrementStep()
1496 37     | DecrementStep()
1497 38
1498 39   predicate NextStep(v: Variables, v': Variables, step: Step)
1499 40   {
1500 41     match step
1501 42     case IncrementStep() => IncrementOp(v, v')
1502 43     case DecrementStep() => DecrementOp(v, v')
1503 44   }
1504 45
1505 46   predicate Next(v: Variables, v': Variables)
1506 47   { exists step :: NextStep(v, v', step) }
1507 48 }
1508 49
1509 50 module RefinementProof {
1510 51   import OneSpec
1511 52   import opened OneProtocol
1512 53
1513 54   function Abstraction(v: Variables) : OneSpec.Variables {
1514 55     OneSpec.Variables(v.value)
1515 56   }
1516 57
1517 58   lemma RefinementInit(v: Variables)
1518 59   requires Init(v)
1519 60   ensures OneSpec.Init(Abstraction(v)) { }
1520 61
1521 62   lemma RefinementNext(v: Variables, v': Variables)
1522 63   requires Next(v, v')
1523 64   ensures OneSpec.Next(Abstraction(v), Abstraction(v'))
1524 65   {
1525 66     var step :| NextStep(v, v', step);
1526 67     match step {
1527 68     case IncrementStep() => {
1528 69       assert OneSpec.NextStep(Abstraction(v), Abstraction(v'), OneSpec.DecrementStep
1529 70       ());
1530 71     }
1531 72     case DecrementStep() => {
1532 73       assert OneSpec.NextStep(Abstraction(v), Abstraction(v'), OneSpec.IncrementStep
1533 74       ());
1534 75     }
1535 76   }
1536 77 }

```

```

1512 1 // ---- Verus program (all model-generated) ----
1513 2 #[allow(unused_imports)]
1514 3 use builtin::*;
1515 4 #[allow(unused_imports)]
1516 5 use builtin_macros::*;
1517 6
1518 7 verus! {
1519 8   struct Variables {
1520 9     value: int,
1521 10  }
1522 11 spec fn init(v: Variables) -> bool { v.value == 0 }
1523 12 spec fn increment_op(v: Variables, v_prime: Variables) -> bool { v_prime.value == v.value + 1
1524 13   }
1525 14 spec fn decrement_op(v: Variables, v_prime: Variables) -> bool { v_prime.value == v.value - 1
1526 15   }
1527 16
1528 17 enum Step {
1529 18   Increment,
1530 19   Decrement,
1531 20 }
1532 21 spec fn next_step(v: Variables, v_prime: Variables, step: Step) -> bool {
1533 22   match step {
1534 23     Step::Increment => increment_op(v, v_prime),
1535 24     Step::Decrement => decrement_op(v, v_prime),
1536 25   }
1537 26 }
1538 27 spec fn next(v: Variables, v_prime: Variables) -> bool {
1539 28   exists|step: Step| next_step(v, v_prime, step)
1540 29 }
1541 30
1542 31 struct VariablesProtocol { value: int, }
1543 32
1544 33 spec fn init_protocol(v: VariablesProtocol) -> bool { v.value == 0 }
1545 34 spec fn increment_op_protocol(v: VariablesProtocol, v_prime: VariablesProtocol) -> bool {
1546 35   v_prime.value == v.value - 1 }
1547 36 spec fn decrement_op_protocol(v: VariablesProtocol, v_prime: VariablesProtocol) -> bool {
1548 37   v_prime.value == v.value + 1 }
1549 38
1550 39 enum StepProtocol {
1551 40   Increment,
1552 41   Decrement,
1553 42 }
1554 43
1555 44 spec fn next_step_protocol(v: VariablesProtocol, v_prime: VariablesProtocol, step:
1556 45   StepProtocol) -> bool {
1557 46   match step {
1558 47     StepProtocol::Increment => increment_op_protocol(v, v_prime),
1559 48     StepProtocol::Decrement => decrement_op_protocol(v, v_prime),
1560 49   }
1561 50 }
1562 51 spec fn next_protocol(v: VariablesProtocol, v_prime: VariablesProtocol) -> bool {
1563 52   exists|step: StepProtocol| next_step_protocol(v, v_prime, step)
1564 53 }
1565 54 spec fn abstraction(v: VariablesProtocol) -> Variables {
1566 55   Variables { value: v.value }
1567 56 }
1568 57
1569 58 proof fn refinement_init(v: VariablesProtocol)
1570 59   requires
1571 60     init_protocol(v),
1572 61   ensures
1573 62     init(abstraction(v)),
1574 63   { assert(true); }
1575 64
1576 65 proof fn refinement_next(v: VariablesProtocol, v_prime: VariablesProtocol)
1577 66   requires
1578 67     next_protocol(v, v_prime),
1579 68   ensures
1580 69     next(abstraction(v), abstraction(v_prime)),
1581 70   {
1582 71     assert(abstraction(v_prime).value == abstraction(v).value + 1 || abstraction(v_prime).
1583 72       value == abstraction(v).value - 1);
1584 73     assert(exists|step: Step| next_step(abstraction(v), abstraction(v_prime), step)) by {
1585 74       if abstraction(v_prime).value == abstraction(v).value + 1 {
1586 75         assert(next_step(abstraction(v), abstraction(v_prime), Step::Increment));
1587 76       } else {
1588 77         assert(next_step(abstraction(v), abstraction(v_prime), Step::Decrement));
1589 78       }
1590 79     }
1591 80     assert(next(abstraction(v), abstraction(v_prime)));
1592 81   }
1593 82
1594 83 fn main() {}
1595 84 } // verus!

```

Listing 5: Multi-function example. First, we have AlphaVerus complete the helper function `is_prime` given its specification and preceding code. Then we have AlphaVerus complete `largest_prime_factor`.

```

1566
1567 Listing 5: Multi-function example. First, we have AlphaVerus complete the helper function
1568 is_prime given its specification and preceding code. Then we have AlphaVerus complete
1569 largest_prime_factor.
1570 1 spec fn spec_prime_helper(num: int, limit: int) -> bool {
1571 2     forall|j: int| 2 <= j < limit ==> ([trigger] (num % j)) != 0
1572 3 }
1573 4
1574 5 spec fn spec_prime(num: int) -> bool {
1575 6     spec_prime_helper(num, num)
1576 7 }
1577 8
1578 9 fn is_prime(num: u32) -> (result: bool)
1579 10     requires
1580 11         num >= 2,
1581 12     ensures
1582 13         result <==> spec_prime(num as int),
1583 14 { // ----- Model generation 1 starts here -----
1584 15     let mut i = 2;
1585 16     let mut result = true;
1586 17     while i < num
1587 18         invariant
1588 19             2 <= i <= num,
1589 20             result <==> spec_prime_helper(num as int, i as int),
1590 21     {
1591 22         if num % i == 0 {
1592 23             result = false;
1593 24         }
1594 25         i += 1;
1595 26     }
1596 27     result
1597 28 }
1598 29
1599 30 fn largest_prime_factor(n: u32) -> (largest: u32)
1600 31     requires
1601 32         n >= 2,
1602 33     ensures
1603 34         1 <= largest <= n,
1604 35         spec_prime(largest as int),
1605 36         n % largest == 0,
1606 37         forall|p| 0 <= p < n && spec_prime(p) && n as int % p == 0 ==> p
1607 38             <= largest,
1608 39 { // ----- Model generation 2 starts here -----
1609 40     let mut largest = 1;
1610 41     let mut i = 2;
1611 42     while i < n
1612 43         invariant
1613 44             2 <= i <= n,
1614 45             1 <= largest <= i,
1615 46             spec_prime(largest as int),
1616 47             i <= largest ==> spec_prime(i as int),
1617 48             largest <= n,
1618 49             n % largest == 0,
1619 50             forall|p| 0 <= p < i && spec_prime(p) && n as int % p == 0
1620 51                 ==> p <= largest,
1621 52     {
1622 53         if is_prime(i) && n % i == 0 {
1623 54             largest = i;
1624 55         }
1625 56         i += 1;
1626 57     }
1627 58     assert(1 <= largest && largest <= n);
1628 59     assert(spec_prime(largest as int));
1629 60     assert(n % largest == 0);
1630 61     assert(forall|p| 0 <= p < n && spec_prime(p) && n as int % p == 0 ==>
        largest
    )

```

Listing 6: Lemma example. AlphaVerus completes the lemma lemma\_step\_subrange.

```

1
2 proof fn lemma_step_subrange(substring: Seq<char>, string: Seq<char>)
3   requires
4     substring.len() > 0,
5     string.len() >= substring.len(),
6   ensures
7     (substring[0] == string.subrange(0, substring.len() as int)[0] &&
8      (substring.skip(1)
9      ~= string.skip(1).subrange(0, substring.skip(1).len() as int
10      ))) ==> (substring
11      ~= string.subrange(0, substring.len() as int)),
12   decreases substring.len(),
13 { // ----- Model generation starts here -----
14   let m = substring.len();
15   let n = string.len();
16   assert(m > 0);
17   assert(m <= n);
18   let h = string.subrange(0, m as int);
19   assert(h.len() == m);
20   assert(substring.len() == h.len());
21   if substring[0] == h[0] {
22     let k = substring.skip(1).len();
23     assert(k == h.skip(1).len());
24     let g = string.skip(1).subrange(0, k as int);
25     assert(g.len() == k);
26     assert(k == substring.len() - 1);
27     assert(k == h.len() - 1);
28     if m > 1 {
29       if substring.skip(1) ~= g {
30         assert(substring[0] == h[0]);
31         assert(substring.len() == g.len() + 1);
32         assert(substring.len() == h.len());
33         assert(forall|i: nat| 0 <= i && i < m ==> substring[i as
34         int] == h[i as int]) by {
35           assert(substring[0] == h[0]);
36           assert(substring.skip(1) ~= g);
37           assert(forall|i: nat| 1 <= i && i < m ==> substring[i
38           as int] == g[i as int - 1]);
39           assert(substring ~= h);
40         }
41         assert(substring ~= h);
42       } else {
43         assert(!(substring ~= h));
44       }
45     } else {
46       assert(substring ~= h);
47     }
48   } else {
49     assert(!(substring ~= h));
50   }
51 }

```