Evaluating LLMs' capability on Satisfying Lexical Constraint

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Abstract

 Lexical Constrained Generation (LCG) is a fun- damental task in text generation. Recent ad- vancement of large pretrained language models (LLMs) has enabled prompt-based controlling for LCG. Despite growing interest in assess- ing LLMs' capabilities in various aspects, there remains a lack of thorough investigation. To address this gap, we systematically analyze the performance of LLMs on satisfying lexical con- straints with prompt-based controlling, as well as their efficacy in downstream applications (such as recipe generation, table-to-text, profile writing, etc). Through extensive experimen- tation, we identified several key observations that elucidate the limitations of LLMs in LCG, including (1) position bias, where LLMs tend to satisfy constraints that appear in specific po-018 sitions within the input; (2) insensitive decod- ing parameters, which minimally impact the performance of LLMs; and (3) the inherent complexity of certain constraints (i.e. com- pound word). We conclude that there is a com- plexity bottleneck: LLMs still face significant challenges in consistently satisfying lexical constraints. Additionally, we introduce the Divide and Conquer Generation strategy, effec- tive for both white-box and black-box LLMs, significantly enhancing their performance in LCG tasks. This strategy boosts LLMs' suc-030 cess rate by 93% in the most challenging LCG task, which is 40% more than the baseline. Our analysis aims to provide valuable insights into the performance of LLMs in LCG, and our proposed strategy offers a pathway to more sophisticated and customized text generation applications.

037 1 Introduction

 Lexical Constrained Generation (LCG) is a crucial task of text generation [\(Zhang et al.,](#page-9-0) [2023a\)](#page-9-0). By enforcing the inclusion of pre-specified words in the output, LCG facilitates the generation of more faithful and relevant texts. It is helpful for various

(a) Vanilla Lexical Constrained Generation

Figure 1: Sub-figure (a) illustrates that modern LLMs struggle to consistently meet complex lexical constraints in real-world scenarios. As shown in sub-figure (b), the Divide-and-Conquer Generation strategy divides the constraints into two parts (satisfied and missed), then generates response that with the missed constraints i.e. response (2) - and merge them with the satisfied ones, enhancing the LLMs' ability to meet all specified constraints

real-world applications, such as dialogue genera- **043** tion [\(Knowles and Koehn,](#page-8-0) [2016\)](#page-8-0), table-to-text gen- **044** eration [\(Chen et al.,](#page-8-1) [2023\)](#page-8-1), and recipe generation **045** [\(H. Lee et al.,](#page-8-2) [2020\)](#page-8-2). **046**

To generating text that adheres to lexical con- **047** straint effectively, previous works either design **048** constrained decoding strategies, develop special- **049** ized models structure, or present refined mech- **050** anism [\(Sha,](#page-8-3) [2020;](#page-8-3) [Lu et al.,](#page-8-4) [2021;](#page-8-4) [Qian et al.,](#page-8-5) **051** [2022;](#page-8-5) [Meng et al.,](#page-8-6) [2022\)](#page-8-6). However, these ap- **052** proaches often come with significant drawbacks, **053**

 such as high inference times, complex implemen- tations, and unstable text quality. The recent ad- vancements in pretrained large language models (LLMs) have showcased their robust few-shot ca- pabilities [\(Brown et al.,](#page-8-7) [2020;](#page-8-7) [Ouyang et al.,](#page-8-8) [2022;](#page-8-8) [Achiam et al.,](#page-8-9) [2023\)](#page-8-9). Instruction tuning [\(Zhang](#page-9-1) [et al.,](#page-9-1) [2023b\)](#page-9-1) has further enhanced LLMs' ability to generate text that meets controllable constraints as desired by humans. These developments make prompt-based controlling an increasingly efficient [a](#page-9-2)nd practical method on tackling LCG task[\(Yang](#page-9-2) [et al.,](#page-9-2) [2022\)](#page-9-2). Notably, prompt-based controlling has shown markedly superior strength and robust- [n](#page-9-3)ess compared to earlier methods for LCG [\(Sun](#page-9-3) [et al.,](#page-9-3) [2023;](#page-9-3) [Ashok and Poczos,](#page-8-10) [2024\)](#page-8-10), which moti- vate us to ask : *With prompt-based controlling, can LLMs consistently satisfy lexical constraints when generating text?*

 Many recent works investigate in prompt-based controlling of LLMs [\(Sun et al.,](#page-9-3) [2023;](#page-9-3) [Zhang et al.,](#page-9-0) [2023a;](#page-9-0) [Ashok and Poczos,](#page-8-10) [2024\)](#page-8-10). They conclude that LLMs shown effectiveness in satisfying lexical constraints. However, their experiments have typi- cally involved relatively simple tasks with a narrow scope. This leaves a significant gap in detailed understanding of their proficiency and limitations when it comes to satisfying lexical constraints, and effectiveness in real-world applications.

 To address this gap, we present a systematic analysis of the performance of LLMs in generating text under lexical constraints, and we also evalu- ate their utility in downstream applications where adhering to specific lexicons is crucial. Through extensive experiments, we conclude that LLMs struggle to adapt to increasingly complex lexical **constraints**. There is a complexity bottleneck: As the number of keywords increases, LLMs' perfor- mance decreases dramatically. We also observed **092** that:

- **093** 1. Position Bias: The position of each constraint **094** within the prompt can substantially influence **095** the model's output.
- **096** 2. Insensitive Decoding Parameter: Decoding **097** parameters are not highly sensitive for LLMs **098** in LCG task, especially for temperature and **099** top-k.
- **100** 3. Inherent Complexity of compound words as **101** constraints: LLMs tends to break down com-**102** pound words into sub words, which can lead

to misinterpretations or alteration of the in- **103** tended meaning of the output significantly. **104**

Additionally, we introduce an effective strat- **105** egy - Divide and Conquer Generation - to en- **106** hance the ability of models to meet lexical constraints, which significantly improves performance, **108** and helps LLMs achieve more satisfying results in **109** downstream applications. Notably, the Divide and **110** Conquer Generation strategy enables LLaMA-7b **111** to improve the success rate by 93% in the most **112** challenging LCG task, which is about 40% more **113** over the baseline strategy. Our strategy is well- **114** suited for both white-box and black-box models, 115 making it an invaluable tool for a broad scope of 116 application across diverse modeling environments. **117**

Overall, our research conduct in-depth analysis **118** on LLMs in satisfying lexical constraints, identify **119** the current challenges faced by LLMs in satisfying **120** lexical constraints, and provides a viable solution **121** to these challenges, pave the way for more sophis- **122** ticated downstream applications. **123**

2 Lexical-constrained Generation **¹²⁴**

2.1 Task Setup **125**

[F](#page-9-4)ollowing previous works[\(Lin et al.,](#page-8-11) [2019;](#page-8-11) [Zhou](#page-9-4) **126** [et al.,](#page-9-4) [2023\)](#page-9-4), we refer to constraints that require the **127** generated text to include certain keywords in the **128** output as lexical constraints. We consider an input **129** prompt composed of a series of tokens, containing **130** a set of constraints $X = [x_1, \ldots, x_m]$, where x_i **131** represent a keyword that must be included. The tar- **132** get output is a coherent sentence $Y = [y_1, \dots, y_N],$ 133 with each y_i is a token. The task is to map the **134** constraint set X into an appropriate sentence Y **135** that both adheres to the prompt's requirements (e.g. **136** generate a recipe) and satisfied the defined con- **137** straints(e.g. generate sentence that contain all given **138** keywords) . **139**

Evaluation Metrics We introduce two evalua- **140** tion metrics in this study: **141**

1. Instance Success Rate $(R_{instance})$: This met- **142** ric evaluates whether each generated instance **143** satisfies all specified constraints. It is defined 144 as: **145**

$$
R_{\text{instance}}(X, Y) = \begin{cases} 1 & \text{if } X \subseteq Y, \\ 0 & \text{otherwise.} \end{cases}
$$

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2. Keyword Coverage Rate (S_{keyword}) : This 147 metric measures the proportion of input con- **148** straints included in the generated texts. It is calculated as:

$$
R_{\text{keyword}} = \frac{\text{Number of Satisfied constraints}}{\text{Total number of constraints}}
$$

 Evaluate with LLMs We have conducted tests using various language models, including LLaMA2-7b-chat, LLaMA2-13b-chat, LLaMA3- 8b-chat, GPT-3.5, and GPT-4. In these experiments, we tasked the models with generating outputs based on specific constraints. Unless stated otherwise, all experiments in this section utilized a greedy decod- ing strategy for generating responses. Prompt used in evaluation is attached to Appendix [A.](#page-9-5)

2.2 Simple Constraints

 We initiate our investigation with simple con- straints, employing the CommonGen benchmark [\(Lin et al.,](#page-8-11) [2019\)](#page-8-11) to assess how well LLMs generate coherent sentences from a given set of concepts.

Experiment Setting. CommonGen [\(Lin et al.,](#page-8-11) [2019\)](#page-8-11) is a constrained commonsense generation task with lexical constraints. In this experiment, we treat each concept list in CommonGen as input constraints for LLMs to generate a proper sentence. We employ the instance success rate as the evalua-tion metric.

 Evaluation Result. Figure [2](#page-2-0) presents the results of experiments.GPT-3.5 and GPT-4 demonstrate im- pressive performance, achieving average instance success rates of 91% and 95% respectively across three distinct groups of instances. Conversely, LLaMA3-8b shows a less satisfactory average with a 63% coverage rate, while LLaMA2-13b achieves only a 55% rate. LLaMA2-7b records the low- est instance coverage among the evaluated models. This result suggests that the model's size signifi- cantly influences its ability to generate text that ad- heres to specified lexical constraints. Interestingly, LLaMA3-8b outperforms LLaMA2-13b, indicat- ing that factors other than sheer model size may contribute to differences in model effectiveness.

2.3 Challenging Constraints

 To increase the complexity of the constraints, we expanded the number of concepts that need to be incorporated into the generated text.

 Experiment Setting. In this experiment, we ran- domly select concepts from the entire set of con- cepts within the CommonGen dataset to create a new, more challenging dataset. Then we repeat

Figure 2: Experiment results on instance success rate by number of concepts.

previous experiment setting to explore how well do **196** LLMs adapt to increasingly complex constraints. **197**

Evaluation Result. As shown in Figure [3,](#page-2-1) there is **198** a clear trend across all models, where the instance **199** success rate declines as the complexity of con- **200** straints (i.e. number of concepts) increases. GPT-4 201 demonstrates slightly better resilience against ris- **202** ing complexity, maintaining a relative higher cov- **203** erage rate across various groups of instances than **204** other models. In contrast, as the number of con- **205** cepts reaches 15, the performance of other models **206** drops significantly. Notably, GPT-3.5 shows a sig- **207** nificant decline in coverage rates; it drops from **208** 98% to 13% as the number of concepts increases **209** from 3 to 15. This sharp decrease eventually brings **210** its performance in line with that of smaller models, **211** such as LLaMA2-7b-chat and LLaMA2-13b-chat. **212**

Figure 3: Experiment results on instance success rate by number of keywords.

Figure 4: Experiment result on the position sensitive of LLaMA3-8b, presenting in terms of the keyword coverage rate (y-axis) for constraints placed at different positions (x-axis)

²¹³ 3 Sensitive Analysis

 To better understand the factors causing LLMs to struggle with satisfying lexical constraints, we con- ducted a sensitivity analysis to investigate from various perspectives.

218 3.1 Position Bias

219 The constraints are placed at varying positions **220** within the prompt. For example, consider the **221** prompt:

222 *Generate a sentence with the following* **223** *keywords: mountain, cat, play, jump.*

 Here, *mountain, cat, play, jump* serve as constraints. The word "mountain" is positioned earliest in the sequence, while the word "jump" appears at the end. Previous work finds [\(Wang and etc.,](#page-9-6) [2023\)](#page-9-6) in natural language understanding tasks, wherein it tends to select labels placed at earlier positions as the answer. We aim to investigate the position bias of LLMs in LCG task.

 Experiments Setting We conduct experi- ments for 6 setting (number of keywords = [3,5,7,10,15,20]). For each setting with different specified number of keywords, we randomly select 100 sets of keywords, shuffle their positions, and conduct the experiment 20 times to ensure robustness. We evaluate the average keyword coverage rate for constraint in each position.

Experiment Result Our findings confirm that **240** all LLMs exhibit a position bias, where keywords **241** placed at different positions in the sequence lead **242** to varying coverage rates. This bias is primarily **243** attributed to either the primacy or recency effect, **244** depending on the model. Some models, such as **245** GPT-3.5, GPT-4, and LLaMA2-13b, are more in- **246** fluenced by the primacy effect, where keywords in **247** earlier positions are more likely to be covered. Con- **248** versely, models like LLaMA2-7b and LLaMA3-8b **249** demonstrate a stronger recency effect, prioritizing **250** the most recently presented items. For instance, as **251** illustrated in Figure [4,](#page-3-0) the keyword coverage rate **252** decreases as the position increases from the first **253** to the last. Keywords placed earlier in the input **254** sequence (i.e., the prompt) are more likely to be **255** covered than those in later positions. **256**

This result highlights the position of each con- **257** straint within the prompt can substantially in- **258** fluence the model's output. There's the need for **259** careful consideration of keyword placement when **260** designing prompt for LLMs. For example, plac- **261** ing critical constraints in positions that are more **262** likely to be covered can significantly enhance the **263** effectiveness of the model in downstream tasks. **264**

3.2 Inherent Complexity of Compound Word **265**

In previous experiments on position bias, we ran- **266** domly shuffled keywords to mitigate the impact **267** of specific words on final performance. In this **268** experiment, we isolate the position bias and inves- **269** tigate the effect of different keywords on the final **270** performance. **271**

Experiments Setting From our observations in **272** previous experiments, compound words often pose **273** challenges in lexical processing. A compound **274** word is formed from two or more words that col- **275** lectively function as a single entity, such as "jel- **276** lyfish" (a combination of "jelly" and "fish") and **277** "anymore" (a combination of "any" and "more"). **278** To evaluate the inherent complexity of compound **279** words, we mixed 200 compound words with 200 **280** random words, and conducted 5-keywords setting **281** (i.e. generate a sentence with given five keywords) **282** using LLaMA-13b-chat and GPT-4. **283**

Experiment Result Our results show that 284 LLaMA-13b-chat incorrectly split 65% of com- **285** pound words and GPT4 split 42%, resulting **286** in lower keyword coverage rates for compound **287** words—35% for LLaMA-13b-chat and 58% for **288** GPT4. In contrast, coverage for non-compound **289**

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Figure 5: Comparison of decoding parameters across different models

 words was significantly higher, at 74% for LLaMA- 13b-chat and 92% for GPT4.We can conclude that compound words have high inherent complexity in LCG tasks, and it's more difficult to be cov- ered by LLMs than non-compound words. This issue could be attributed to the subword tokeniza- tion methods used by these models, which may not effectively recognize and preserve the integrity of compound words.

 The separation of compound words could not only result in unsatisfied constraints, but also lead to misinterpretations or significant alterations in the intended meaning of the output. For instance, when given the task of generating a sentence using the keywords: courthouse, build, and attract, the ex- pected outcome is a sentence related to the criminal justice system. However, LLM split 'courthouse' into 'court' and 'house'. This leads to unintended interpretations, such as generating a sentence like, "*The basketball player hosted a tournament at the court built beside his house, attracting local talent to showcase their skills*." Such a sentence com- pletely deviates from the intended context of crimi-nal justice.

314 3.3 Decoding Parameters

 We notice that LLMs are usually evaluated for LCG tasks using only default decoding parame- ters[\(Zhang et al.,](#page-9-0) [2023a\)](#page-9-0), or limited fixed decoding parameters [\(Sun et al.,](#page-9-3) [2023;](#page-9-3) [Ashok and Poczos,](#page-8-10) [2024\)](#page-8-10). We systematically varied decoding param- eters to investigate the sensitivity of decoding pa- rameters on lexical constraint generation. We aim to determine the impact of different decoding pa-rameter settings on the performance of LLMs in

LCG. 324

Experiment Setting Follow the prior practice **325** [\(Huang et al.,](#page-8-12) [2023\)](#page-8-12), we experiment with the fol- **326** lowing three variants for decoding strategy: **327**

- Temperature τ controls the sharpness of the **328** next-token distribution. We vary it from 0.05 **329** to 1 with step size 0.05. **330**
- Top-K sampling filters the K most likely next **331** words, and then the next predicted word will **332** be sampled among these K words only. We **333** vary K in {1, 2, 5, 10, 20, 50, 100, 200, 500}. **334**
- Top-p sampling [\(Holtzman et al.,](#page-8-13) [2019\)](#page-8-13) **335** chooses from the smallest possible set of **336** words whose cumulative probability exceeds **337** the probability p. We vary p from 0.05 to 1 338 with step size 0.05. **339**

We evaluated all models under different decoding **340** parameters in 10-keywords LCG task (i.e. generate **341** sentence with given 10 keywords). Specifically, 342 we only vary temperature and top-p parameters for **343** GPT-3.5 and GPT-4, as we did not have control **344** over the top-k settings. **345**

Experiment Results Figure [5](#page-4-0) presents the aver- **346** age keyword coverage rate for 150 instances, each **347** containing 10 keywords (see Appendix [B](#page-10-0) for more **348** detail). For LLaMA2-7b-chat and LLaMA2-13b- **349** chat, there appears to be no significant effect from **350** variations in temperature and top-k settings, and **351** the differences observed with various top-p settings **352** are within a narrow 4% range, suggesting a low **353** sensitivity to the top-p parameter. While GPT-4 **354**

Model	Recipe Generation			Table to Text				Profile Writing		
	$n = 5$	$n = 10$	$n = 15$	$n=5$	$n = 10$	$n = 15$		$n=5$	$n = 10$	
LLaMA2-7b-chat	90%	21%	5%	87%	21%	21%		69%	28%	
LLaMA2-13b-chat	89%	27%	17%	84%	45%	39%		73%	42%	
$GPT-3.5$	90%	42%	54%	97%	80%	77%		90%	72%	
$GPT-4$	100%	80%	45%	100%	87%	91%		97%	96%	
$LLaMA2-7b$ -chat (DnC-5)	98%	99%	98%	100%	100%	99%		100%	99%	
$LLaMA2-13b$ -chat (DnC-5)	100%	96%	94%	100%	100%	100%		100%	97%	
$GPT-3.5$ (DnC-5)	100%	100%	100%	100%	100%	100%		100%	100%	

Table 1: Results for LLMs' performance in real-word LCG task. The best results are highlighted in boldface, and the second-best results are underlined

355 demonstrates more variability under different set-**356** tings, the difference between the highest and lowest **357** scores remains confined to 4%.

 This minimal variance suggests that the de- coding parameters are not highly sensitive for LLMs in LCG task, especially for temperature and top-k.

³⁶² 4 Real-world applications

 We have also evaluated the performance of LLMs in real-world applications to understand their prac- tical effectiveness. In this section, we demonstrate three use cases: Recipe generation, table-to-text, and profile writing. We use the best decoding pa- rameter configuration (*Top-p* = 0.9) identified in previous section for all following experiments. Ex- ample prompt and response for each application are attached to Appendix [A.](#page-9-5)

372 4.1 Recipe Generation

 The task is to generate a complete recipe given ingredients. LLMs need to create a coherent and structured set of cooking instructions that makes practical and culinary sense, and cover all provided keywords.

 Experiment Setting. We randomly selected 100 food ingredients from the USDA National Nutrient [D](#page-9-7)atabase [\(US Department of Agriculture, Agricul-](#page-9-7) [tural Research Service,](#page-9-7) [2016\)](#page-9-7) and grouped them into sets with varying numbers of ingredients (n = [5, 10,15]). Each group comprises ingredients ver- satile enough to be applicable to multiple recipes, guaranteeing the existence of at least one valid recipe for the given combination of ingredients. LLMs is then prompted in 3-shot fashion to gen- erate recipe with given set of ingredients, where ingredients are keywords that are expected to be contained in the generated recipe. Each generated

recipe is evaluate based on the instance success **391 rate.** 392

Evaluation Result. Table [1](#page-5-0) presents the results of **393** the experiment. When tasked with recipe genera- **394** tion, we observed that LLMs typically outline their **395** plan in the initial sentence, such as "*Lemon Garlic* **396** *Pasta is quick to prepare, making it perfect for a* 397 *weeknight dinner yet elegant enough for entertain-* **398** *ing guests.*", and "*To create Chicken and Mushroom* **399** *Risotto, follow these steps*". **These introductory** 400 statements act as a double-edged sword. **401**

On the positive side, these introductory state- **402** ments establish the scope for subsequent content **403** generation, facilitating the model's ability to in- **404** corporate relevant keywords effectively. In the 5- **405** keyword setting, the instance success rate for the **406** LLaMA2 models increases by approximately 30% compared to Experiment [2.3,](#page-2-2) where LLMs were **408** tasked solely with text generation under keyword **409** constraints. 410

On the negative side, these introductory state- **411** ments can detract from the final generation out- **412** come if they are not accurate. If there are a large **413** number of keywords, LLMs tend to include only **414** a few in the first sentence, leading to the omission **415** of the remaining keywords. As the number of key- **416** words increases, there is a noticeable decline in 417 performance across all models. For example, the **418** instance success rate for LLaMA2-13b decreases **419** from 89% to 17% as the number of constraints **420** increases from 5 to 100. **421**

4.2 Table to Text 422

Following previous work [\(Chen et al.,](#page-8-1) [2023\)](#page-8-1), table- **423** to-text task takes a table as input, and formulate **424** a table as a sequence of records. We evaluate the **425** effectiveness of LLMs in presenting the essential **426** information from the structured data in a narrative **427** form. **428**

 Experiment Setting. WIKIBIO [\(Lebret et al.,](#page-8-14) [2016\)](#page-8-14) is a dataset contain of 728,321 tables data from English Wikipedia. We processed the WIK- IBIO dataset by extracting keywords from each table's column headers as ground truth, and catego- rizing the tables into groups based on the number of keywords identified. For each group, 150 sam- ples are randomly selected. Next, we construct instances from each group based on number of key- words needed. LLMs is then prompted in 3-shot fashion to summarize the content of these tables in a short paragraph, and each generated summary is evaluated based on the instance success rate.

 Evaluation Result. As shown in table [1,](#page-5-0)GPT-4 demonstrates the strongest performance, achieving 100% accuracy with 5 keywords setting, and main- taining high instance success rate with larger num-446 ber of keywords $(87\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and } 91\% \text{ for } n = 10 \text{ and }$ 15). However, other models, such as LLaMA2-7b- chat and LLaMA2-13b-chat, show notable declines in accuracy as the sample size increases, with sig- nificant drops from 87% to 21% and from 84% to 39%. This result indicates that LLMs struggle in satisfying more nuanced and complex constraints.

453 4.3 Profile Writing

 Profile writing provides a quick overview of the client's basic information, significantly impacting decision-making and enhancing operational effec- tiveness. For instance, in healthcare, profiles sum- marize patient histories to guide treatment plans; in finance, they help assess client risk and customize financial services; and in the legal field, detailed client profiles are crucial for informed case man- agement. This process can be viewed as a lexical constraint generation task, where the client's in- formation acts as the constraint, and the resulting profile paragraph serves as the output.

 Experiment Setting. This task is aimed to gener- ate a profile contain all specific features of a client. We obtained data consists of various attributes of clients to assessing risk score, such as age, employ- ment details, education, housing level, etc. In our experiment, we extract individual client informa- tion from this dataset, and prompt LLMs to gener- ate a detailed profile graph contain all information. Evaluation Result. Table [1](#page-5-0) presents the results of the experiment. Similar to previous experiments, GPT-4 demonstrates the highest consistency and robustness among the models, scoring 97% with n = 5 and 96% with n = 10, showing only a slight de-crease in performance with an increase in number

Algorithm 1 Divide and Conquer Generation

14: return output

of constraints. Other models show more signifi- **480** cant drops in performance, denoting the need of **481** improvement strategy. **482**

5 Divide and Conquer Generation **⁴⁸³**

As demonstrated in previous experiments, LLMs 484 face significant challenges in satisfying increas- **485** ingly complex constraints. To address these dif- **486** ficulties, we propose a simple and effective strat- **487** egy—Divide and Conquer Generation (DnC) —to **488** improve LLMs' performance in Language Con- **489** straint Generation (LCG), which suitble for both **490** white-box and black-box models. **491**

5.1 Method **492**

From our observation, we found LLMs struggle 493 with complex tasks that encompass a large amount **494** of keywords. In contrast, they exhibit a high suc- **495** cess rate when dealing with simpler tasks involving **496** a smaller number of keywords, which motivate us **497** to break down the complex task to several simple **498** tasks in divide and conquer fashion. **499**

Algorithm [1](#page-6-0) illustrates DnC strategy. Recall that **500** the task is to generate a natural sentence containing **501** the token sequence $Y = [y_1, y_2, \dots, y_N]$ using a 502 specified set of N keywords $X = [x_1, x_2, \dots, x_N],$ 503 such that $X \subseteq Y$. Our strategy iteratively gener- 504 ates sentences while addressing the missing key- **505** words $X \setminus Y = \{x \in X \mid x \notin Y\}$ from each 506 generation iteration, then merge these sentences **507** into a cohesive final output. Figure [1](#page-0-0) contains de- **508** tailed example of the process of our strategy. We **509** repeat this process until all constraints are satisfied, **510** or exceed the max allowed number of iteration K. **511**

512 5.2 Evaluation

 Rejection Sampling (RJ) is a Monte Carlo algo- rithm to sample data from a sophisticated distribu- [t](#page-8-15)ion with the help of a proxy distribution [\(Robert](#page-8-15) [and Casella,](#page-8-15) [2004\)](#page-8-15). This method can assist with black-box models, where texts that do not meet certain criteria are discarded, and the sampling pro- cess is iteratively repeated. We choose rejection sampling as the baseline method, and evaluate the DnC strategy.

 We repeat the 15-keyword generation experi- ment with LLaMA2-7b-chat and GPT-3.5, using both RJ and DnC strategy under varying maximum number of iterations K allowed. Figure [6](#page-7-0) demon- strate the result, where y-axis is the error rate in satisfying all lexical constraints (i.e. 1 minus the 528 instance success rate). At $K = 0$, the models gener- ate in a vanilla setting, without employing any spe- cific strategies. From the result, we can observe that while the RJ strategy manages to reduce the error rate, it does not lead to significant improvements. In the contrast, DnC help both model achieve a near-perfect performance (error rate close to 0%) 535 with $K = 4$. With the help of DnC, LLaMA2-7b- chat model decrease error rate from approximately 96% to 3%, demonstrating the effectiveness of the DnC approach.

 Furthermore, we revisited application tasks intro- duced in [4.](#page-5-1) Table [1](#page-5-0) compares the instance success rates for each approach. From the result, with the implementation of the DnC strategy, all models achieve near-perfect performance (instance cov- erage rates approaching 100%). Specifically, the LLaMA2-7b-chat model records an average im- provement of 61% across all tasks with the help of DnC strategy. Notably, GPT-3.5 (DNC-5) achieves a 100% instance success rate for all tasks.

⁵⁴⁹ 6 Related Work

 LLMs Evaluation With recent advancements in Large Language Models (LLMs), there is increas- ing interest in evaluating controllable text genera- tion tasks. [Sun et al.](#page-9-3) conducted evaluations of these tasks and discovered that LLMs often struggle to meet fine-grained constraints. However, their anal- ysis of lexical constraint generation was limited to relatively simple constraints in a narrow context. Our work expands on this by conducting a more comprehensive and in-depth analysis of lexical con- straint generation, providing deeper insights into the capabilities and limitations of LLMs in this

Figure 6: Comparison experiment of Rejection sampling (RJ) and Divide-and-Conquer Generation (DnC). x-axis is the max number of iteration allowed, and y-axis is the error rate of each approach in satisfying all lexical constraints.

area. Additionally, they have not propose solution **562** but we did. 563

Lexical Constrained Generation There are **564** many works trying to improve lexical constrained **565** generation. We roughly categorize these studies: **566** (1) proposing decoding strategy: Grid Beam Search **567** tweaked the beam search algorithm to meet lexical **568** constraints by increasing the weights for the con- **569** [s](#page-8-16)traint lexicons during the beam search [\(Hokamp](#page-8-16) 570 [and Liu,](#page-8-16) [2017\)](#page-8-16). (2) specialized model structure: In- **571** sNET is an expressive insertion-based text genera- **572** [t](#page-8-17)or with efficient training and flexible decoding [\(Lu](#page-8-17) **573** [et al.,](#page-8-17) [2022\)](#page-8-17). However, they are not suitable for **574** recent pre-trained LLMs due to the black-box na- **575** ture. There are only a few studies focus on the **576** prompt-based approach [\(Iso,](#page-8-18) [2022\)](#page-8-18), and they failed **577** to show effectiveness in real-world applications **578** with modern LLMs. 579

7 Conclusion **⁵⁸⁰**

We conduct in-depth systematically analysis on 581 LLMs in satisfying lexical constraints, and identify **582** the current challenges faced by LLMs in satisfy- **583** ing lexical constraints, including (1) position bias, **584** where LLMs tend to satisfy constraints that appear 585 in specific positions within the input; (2) the inher- **586** ent complexity of compound words; and (3) insensi- **587** tive decoding parameters, which minimally impact **588** the performance of LLMs in LCG. Additionally, **589** we provide a effective solution to these challenges, **590** namely DnC generation, paving the way for more **591** sophisticated downstream applications. **592**

⁵⁹³ Limitation

 Our work is not without limitations. First, our ex- periments are prompt-based, requiring extensive prompt engineering effort. While we selected the best-performing prompts available, there remains the possibility that more effective prompts could further enhance the reported result. Second, au- tomatic evaluations have inherent imperfections. Third, the proposed Divide and Conquer (DnC) strategy increases the number of API calls, result- ing in higher costs. Additionally, due to the nature of the DnC approach, the coherence of the merged results may be compromised. We leave the evalua-tion and further refinement of this to future work.

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⁷³¹ A Appendix: Prompts

732 Prompt and example response for lexical con-**733** strained generation, and downstream applications.

734 A.1 Example of Lexical Constrained **735** generation

736 Prompt Generate a sentence using the following **737** keywords: cat, leaves, sunny, leaps, energy

738 Response Generate a sentence using the follow-**739** ing keywords: cat, leaves, sunny, leaps, energy

740 A.2 Example of Recipe Generation

741 Prompt Generate a recipe using the following **742** ingredients: milk, beef, rice.

Response The recipe for Creamy Beef and Rice **743** Casserole: Begin by cooking 1 cup of rice accord- **744** ing to package instructions and set aside to cool. **745** Add 1 pound of ground beef to the skillet, break- **746** ing it apart with a spatula. Cook until the beef is **747** thoroughly browned and no pink remains. Drain **748** any excess fat and set aside.In a large casserole **749** dish, combine the cooked rice, browned beef, and **750** 2 cups of milk, mixing well. Stir in 1 cup of shred- **751** ded cheddar cheese, season with salt and freshly **752** ground black pepper to taste, and add a dash of pa- **753** prika for a smoky flavor, if desired. Dot the top of **754** the mixture with small pieces of butter to enrich the **755** flavor.Preheat your oven to 350°F (175°C). Cover **756** the casserole dish with aluminum foil and bake for **757** 25 minutes. Remove the foil and continue baking **758** for an additional 5-10 minutes, or until the top is **759** bubbly and golden brown. Remove from the oven **760** and let it sit for a few minutes to settle **761**

A.3 Example of Table-to-text **762**

Prompt Generate a short paragraph to summa- **763** rize the table content: **764**

765

766

767

Response Walter extra is a german award- **768** winning aerobatic pilot, chief aircraft designer and $\frac{769}{ }$ founder of extra flugzeugbau (extra aircraft con- **770** struction)), a manufacturer of aerobatic aircraft . **771** extra was trained as a mechanical engineer. Walter **772** extra has designed a series of performance aircraft **773** which include unlimited aerobatic aircraft and tur- 774 boprop transports. **775**

A.4 **Example of Profile Writting 776**

Prompt Write a profiling paragraph contains all $\frac{777}{ }$ features of the client: Name: Ben Smith; Age: 29; **778** Gender: Male; Race: White; Marriage: Single; 779 FICO:740; Education: Bachelor; Occupation: En- **780** gineer; Location: Chicago; Housing: Rent. **781**

Response Ben Smith is a 29-year-old white male, **782** currently single, residing in Chicago. He holds **783** a Bachelor's degree and works as an engineer, a **784** profession that demands high technical proficiency **785** and strong problem-solving skills. Ben rents his housing, which could suggest flexibility in his liv- ing arrangements or a preference for less perma- nent commitments in terms of residency. With a FICO score of 740, Ben demonstrates strong finan- cial responsibility, indicating good management of credit and other financial obligations. This profile suggests that Ben is both stable in his career and adaptable in his personal life.

B Appendix: Decoding Hyperparameters

 We visualize the instance success rate for each in- stance under different decoding hyperparameters. Heatmaps are attached as following.

Figure 7: Heatmap of keyword coverage rate per instance for LLaMA2-7b model.

Figure 8: Heatmap of keyword coverage rate per instance for LLaMA2-13b model.

Figure 9: Heatmap of keyword coverage rate per instance for GPT model.

Figure 10: Heatmap of keyword coverage rate per instance for GPT-4 model.