

SettleAgent: Planning-Enhanced Multi-Agent Legal Negotiation

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Abstract

Current research on LLM-based legal agents has largely focused on verdict-oriented tasks, while lacking systematic modeling of pre-trial negotiation and settlement processes in civil disputes. We propose SettleAgent, a multi-agent framework for legal negotiation and settlement, and incorporate a red-team adversarial mechanism to systematically evaluate robustness under extreme bargaining tactics. We model bargaining as a sequential game: at each round, counsel agents first generate candidate proposals, then perform forward-looking strategic exploration via tree search, and finally apply RoT (Reflection on Search Trees) to distill success/failure patterns from branching outcomes to update their memory. We also introduce SettleBench, a benchmark for legal negotiation and settlement built from publicly available civil case documents. Experiments show that SettleAgent significantly outperforms a range of LLM and agent baselines in both settlement success and outcome quality, while remaining more stable under red-team stress testing.

1 Introduction

In recent years, LLMs have shown strong capabilities in simulating scenarios and have achieved notable progress on static legal tasks such as retrieval, question answering, and judgment prediction (He et al., 2024), which has in turn spurred extensive agent-based research on simulated courts and adjudication. However, in dynamic and adversarial legal interactions, models often struggle to apply legal rules effectively and to maintain stable reasoning. Moreover, real-world civil disputes rarely end in a final judgment: a large fraction of cases are resolved before trial through negotiation, mediation, or settlement. The core of negotiation and settlement is to reach an agreement that is acceptable to both parties, legally compliant, and enforceable under legal constraints and litigation risk.

Directly relying on LLM or dialogue-based multi-agent setup for legal negotiation therefore entails systematic risks. Negotiation must converge with reference to best alternative to a negotiated agreement (BATNA) and litigation costs; without such a “shadow-of-the-law” anchor, dialogue can be easily driven by rhetoric, distorted by anchoring effects, or trapped in prolonged deadlock. Even when an agreement is reached, unconstrained generation may produce illegal, unenforceable, or coercive clauses, making “agreement” diverge from “implementability.” In addition, negotiation inherently involves information asymmetry and power imbalance, and models may amplify structural unfairness when protection for the weaker party is not made explicit. To address these issues, we propose SettleAgent, a framework for legal negotiation and settlement. First, a Clerk agent structures the case file and produces role-conditioned case briefs; an Outcome agent then constructs BATNA references. Next, during negotiation, two counsel agents generate proposals and engage in bargaining under the coordination of a Mediator agent. We further incorporate tree search for lookahead planning, and use Reflection on Search Trees (RoT) distillation to write back search experiences into a memory bank, enabling strategy evolution across rounds. Finally, a Compliance Auditor agent verifies the legality and enforceability of the agreement, triggering revisions or rollbacks when necessary. We additionally introduce a Legal Aid agent to support the weaker party, and systematically evaluate robustness via an independent Evaluation agent and red-team adversarial experiments. To enable evaluation of such capabilities, we further introduce SettleBench, a benchmark specifically designed to assess models’ performance in the dynamic, interactive setting of legal negotiation and settlement.

Our main contributions are as follows: 1. We propose SettleAgent, which, to the best of our knowledge, is the first multi-agent framework for

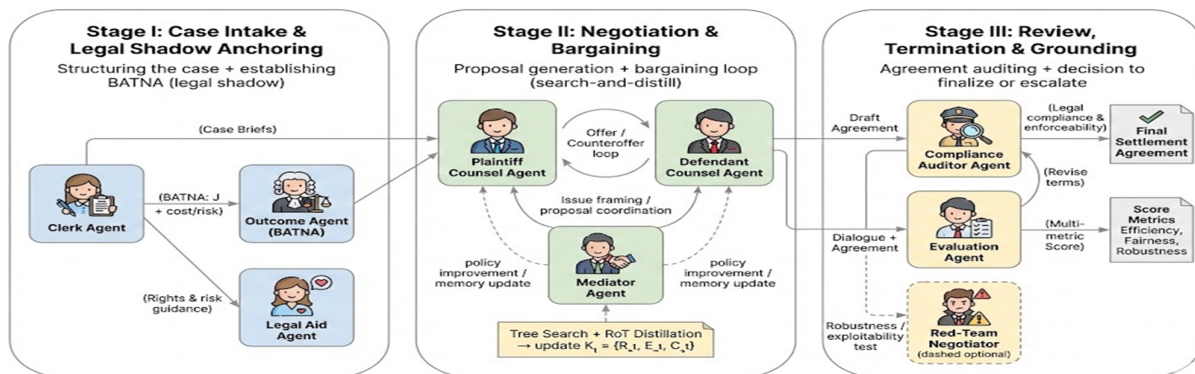


Figure 1: Overview of the SettleAgent framework. In Stage I, the Clerk agent structures the case file and produces role-specific case briefs; the Outcome agent provides an expected judgment outcome along with cost and risk references; and the Legal Aid agent offers rights explanations and risk reminders to the disadvantaged party. In Stage II, the plaintiff and defendant lawyer agents engage in an offer–counteroffer loop under the mediator’s coordination, performing look-ahead proposal exploration via tree search and using RoT distillation to write back multi-branch experience into the memory bank, thereby improving cross-round strategy consistency and robustness. In Stage III, the Compliance Audit agent reviews the drafted agreement; the Evaluation agent scores the negotiation outcome; and a red-team adversarial negotiator stress-tests the system’s robustness under extreme strategies, ultimately producing an actionable settlement agreement or a failure-to-settle outcome.

083 legal negotiation and settlement in civil disputes. 2.
084 We introduce SettleBench, the first benchmark ded-
085 icated to legal negotiation and settlement, designed
086 to evaluate model capabilities in this dynamic in-
087 teractive setting and to fill a critical gap in existing
088 legal AI evaluation for pre-trial settlement negotia-
089 tions. 3. We propose a planning-enhanced negotia-
090 tion mechanism that uses search-and-distill as the
091 core of the bargaining stage: it first performs tree-
092 search-based lookahead over multi-step proposals
093 and concession paths to filter feasible agreements;
094 it then introduces ROT to distill stable strategic
095 patterns and clause regularities from multi-branch
096 search outcomes back into the policy for continual
097 improvement, enabling counsel agents to contin-
098 uously update their memory bank without additional
099 annotation. Our framework is illustrated in Fig. 1.

100 2 Related Work

101 2.1 LLMsintheLegal Domain

102 Legal Artificial Intelligence aims to improve legal
103 practice by leveraging artificial intelligence tech-
104 niques (Surden, 2018; Katz et al., 2023). With
105 the continued development of deep learning, legal
106 NLP has advanced across a wide range of tasks,
107 including legal judgment prediction (Wu et al.,
108 2023), legal question answering (Cui et al., 2023;
109 Louis et al., 2024), legal language understanding
110 (Niklaus et al., 2023), legal case retrieval (Shao
111 et al., 2023), and legal document summarization
112 (Jain et al., 2024). In particular, the emergence of
113 large language models (LLMs) has further acceler-

ated progress in legal applications such as case
prediction, legal research, and document analy-
sis (Hamilton, 2023), and has motivated various
strategies for enhancing legal reasoning via spe-
cialized legal models. Representative examples
HanFei-7B, which emphasizes legal knowledge
representation and statutory interpretation (Chen
et al., 2025); ChatLaw-33B, which integrates a
mixture-of-experts architecture with a legal knowl-
edge graph (Cui et al., 2023); DISC-LawLLM for
intelligent legal services (Yue et al., 2023); and
DeliLaw, a dialogue-based system for efficient le-
gal inquiry handling (Xie et al., 2024).

127 2.2 Multi-agent forReal-World Simulation

128 LLM-based multi-agent systems have rapidly ad-
129 vanced in recent years. By coordinating multiple
130 agents, these systems enable knowledge sharing,
131 cognitive synergy, and enhanced decision-making,
132 thereby improving the efficiency and effectiveness
133 of solving complex tasks ((Talebirad and Nadiri,
134 2023). Prior work suggests that interaction mecha-
135 nisms akin to human group dynamics can substan-
136 tially strengthen system capabilities: (Park et al.,
137 2023) show that social behaviors can autonomously
138 emerge within groups of agents; and (Hong et al.)
139 further propose multi-agent paradigms that lever-
140 age natural-language communication throughout
141 the entire software development process. Multi-
142 agent cooperation has also been validated across
143 diverse application domains, such as improving lan-
144 guage understanding and generation in NLP (Tan
145 and Motani, 2024), enhancing decision-making in

human–robot interaction (Kim et al., 2024), supporting task decomposition and collaborative completion in planning and execution (Yang et al., 2024), enabling personalized learning and intelligent tutoring in education (Yin et al., 2024), and contributing to market analysis, risk assessment, and investment decision-making in finance (Nascimento et al., 2023). Although prior work has made notable progress, existing legal-domain LLM systems are still primarily evaluated on static, well-defined tasks (e.g., QA, retrieval, and judgment prediction), and thus offer limited support for pre-trial negotiation. In pre-trial negotiation, however, agents must converge to an executable agreement under the “shadow of the law” (litigation risks and costs) and strict legal constraints—posing a significant challenge for current multi-agent frameworks. To address this gap, we propose a SettleAgen for negotiation and introduce an evaluation benchmark, SettleBench, to enable systematic assessment.

3 SettleAgen: Negotiation and Settlement

3.1 Agent Design

We model legal negotiation and settlement as a multi-agent interactive environment consisting of seven core agents and two auxiliary agents. The core agents include a Clerk Agent, which organizes case materials, produces role-specific case briefs, and logs the negotiation process into structured minutes. The Clerk’s case digest and role-specific briefs are then delivered to the Plaintiff Counsel Agent and the Defendant Counsel Agent, enabling both sides to propose offers, respond to counterpart moves, and safeguard their respective interests throughout the negotiation. We further introduce an Outcome Agent that reads the case skeleton and outputs the expected litigation outcome and associated litigation cost estimates if the negotiation fails and proceeds to court, thereby constructing the BATNA (the outside option “in the shadow of the law”). A Mediator Agent is responsible for issue structuring, package coordination, and driving convergence; a Compliance Auditor Agent performs legality and enforceability checks on draft agreements and, when necessary, triggers constraint-based revisions; and a Legal Aid Agent provides rights clarification, risk warnings, and communication support for the vulnerable party. The auxiliary agents include an Evaluation Agent, which conducts standardized scoring of both outcomes and processes after the negotiation concludes, and a

Red-Team Negotiator, which replaces one party in robustness experiments to apply extreme strategies and assess the system’s exploitability resistance and safety. The design of the agent roles is illustrated in Appendix A.1.

3.2 Simulation Workflow

We model legal negotiation and settlement as a stage-wise multi-agent interactive process, consisting of the seven core agents and two auxiliary agents introduced in the previous section. To explicitly characterize the procedural structure, we introduce a stage variable $z_t \in \{\text{Prep, Barg, Verify}\}$, corresponding to Settlement Preparation, Negotiation & Bargaining, and Agreement Verification & Closure, respectively. During the negotiation over time steps t , we define the system state as $s_t = (x, G_{\leq t}, o_t, b_t, z_t, \eta_t)$, where x denotes the case skeleton (structured fields such as facts, evidence, and disputed issues), $G_{\leq t}$ is the dialogue and offer history up to round t , o_t is the current draft agreement, b_t is the outside option (BATNA) information, and η_t is a process-control indicator. At each time step, the system selects an interacting pair of agents according to the current stage and control variables, and generates an interaction outcome. Let \mathcal{A} denote the set of agents. A scheduling function $(a_i, a_j) = \sigma(s_t) \equiv \sigma(z_t, \eta_t)$ determines which agents interact at step t . The interaction outcome is formalized as $I(a_i, a_j, t) = f_{\text{interact}}(D_{a_i}(s_t), D_{a_j}(s_t))$, where $D_a(s_t)$ denotes the decision output of agent a under state s_t (e.g., an offer/counter-offer, a mediation proposal, or compliance feedback). The environment writes the interaction result back to the state via $s_{t+1} = \mathcal{T}(s_t, I(a_i, a_j, t))$, $z_{t+1} = g(z_t, s_{t+1})$, where \mathcal{T} denotes the state transition function and g controls stage transitions (moving from preparation to bargaining, from bargaining to verification, or returning to bargaining when compliance vetoes the draft). **Stage I: Settlement Preparation.** This stage consists of two steps: (i) case intake and structuring, and (ii) **BATNA anchoring** (i.e., anchoring the “shadow of the law”). The **Clerk Agent** first consolidates the case materials and generates role-specific briefs; then the **Outcome Agent** outputs the expected adjudication outcome and litigation costs if negotiation fails and proceeds to court, thereby constructing the BATNA.

Clerk Agent. The Clerk Agent’s decision function is defined as $D_{\text{clerk}}(s_t) = f_{\text{clerk}}(x, G_{\leq t}) =$

(d_t, b_t^p, b_t^d, m_t), where d_t is the unified case digest, b_t^p and b_t^d are role-specific briefs for the plaintiff and defendant counsel, respectively, and m_t denotes the negotiation minutes. The system writes $\{d_t, b_t^p, b_t^d\}$ into each counsel agent’s observable information (encoded in η_t) to support subsequent strategy generation.

Outcome Agent (Litigation Baseline). The Outcome Agent does not participate in bargaining and only produces the outside option: $D_{\text{out}}(s_t) = f_{\text{out}}(x) = (J_t, c_t^{\text{lit}}, r_t^{\text{lit}})$, where J_t denotes the expected court outcome if the case proceeds to litigation (either as an interval or an expectation), and c_t^{lit} and r_t^{lit} are the estimated litigation cost and risk, respectively. This output is written into b_t , serving as the BATNA anchor for subsequent negotiation.

Stage Scheduling and Transition. During PREP, the scheduler prioritizes executing the Clerk and Outcome agents:

$$\sigma(s_t) = \begin{cases} (\text{CLERK}, \emptyset), & \eta_t.\text{brief} = 0, \\ (\text{OUTCOME}, \emptyset), & \eta_t.\text{BATNA} = 0, \\ (\text{MEDIATOR}, \emptyset), & (\text{Initialize issues}). \end{cases} \quad (1)$$

Once both the briefs and BATNA are ready, the process enters the bargaining stage:

$$g(z_t, s_{t+1}) = \begin{cases} \text{BARG}, & z_t = \text{PREP} \wedge \eta_{t+1}.\text{brief} = 1 \\ & \wedge \eta_{t+1}.\text{BATNA} = 1, \\ z_t, & \text{otherwise.} \end{cases} \quad (2)$$

Stage II: Negotiation & Bargaining. This stage consists of a proposal-generation and bargaining loop. The plaintiff/defendant counsel agents engage in turn-based interactions around the outside option b_t and the current draft agreement o_t . The mediator provides neutral coordination, while legal aid is invoked to deliver explanations and risk warnings when a vulnerable party is triggered.

Counsel Agents (Plaintiff/Defendant Counsel). The counsel decision depends on the current state and an updatable knowledge base K_t : $D_{\text{counsel}}(s_t; K_t) = f_{\text{LLM}}(s_t, K_t) = (\text{type}_t, \text{pkg}_t, u_t)$, where $\text{type}_t \in \{\text{offer}, \text{counter}, \text{accept}, \text{reject}, \text{request}\}$, pkg_t is a structured term package (e.g., monetary amount, installment schedule, behavioral commitments, dismissal/confidentiality/breach liability), and u_t is the corresponding natural-language statement. This design enables counsel agents to leverage accumulated knowledge to enhance negotiation capability.

Mediator and Legal Aid Agents. As stable roles, the mediator and legal aid decisions can be written as $D_{\text{med}}(s_t) = f_{\text{LLM}}(s_t)$, $D_{\text{aid}}(s_t) = f_{\text{LLM}}(s_t)$, which output issue structuring/compromise proposals and, for the vulnera-

ble party, rights clarification, risk warnings, and communication support, respectively. **Scheduling and Stage Transition in BARG.** During BARG, the scheduler implements turn-based offer-counter-offer interactions with optional insertions:

$$\sigma(s_t) = \begin{cases} (\text{COUNSEL}_p, \text{COUNSEL}_d), & \eta_t.\text{turn} = p, \\ (\text{COUNSEL}_d, \text{COUNSEL}_p), & \eta_t.\text{turn} = d, \\ (\text{MEDIATOR}, \text{COUNSEL}_k), & \eta_t.\text{stall} = 1, \\ (\text{LEGALAID}, \text{COUNSEL}_k), & \eta_t.\text{need_aid} = 1, \end{cases} \quad (3)$$

where $k \in \{p, d\}$ indicates which side the mediator/legal-aid intervention targets. When a candidate draft is formed (e.g., the parties reach principle-level agreement on core terms), the process enters the verification stage:

$$g(z_t, s_{t+1}) = \begin{cases} \text{VERIFY}, & z_t = \text{BARG} \wedge \\ & \eta_{t+1}.\text{draft_ready} = 1, \\ z_t, & \text{otherwise.} \end{cases} \quad (4)$$

Stage III: Agreement Verification & Closure.

This stage includes compliance/enforceability verification and termination/closure. Once a candidate agreement o_t is produced, the compliance auditor gates the legality and enforceability of clauses and triggers rollback-and-revision when necessary.

Compliance Auditor Agent. The compliance auditor’s decision function is $D_{\text{comp}}(s_t) = f_{\text{comp}}(o_t, \Omega) = (\text{flag}_t, \Delta o_t)$, where $\text{flag}_t \in \{\text{pass}, \text{warn}, \text{veto}\}$, Ω denotes the set of compliance rules/constraints, and Δo_t provides clause-level revision suggestions. When VETO is triggered, the system returns the draft to the bargaining stage for further revision; when PASS is issued and signing/confirmation is completed, the process terminates and outputs the final agreement along with structured minutes (continuously maintained by the Clerk). The corresponding stage transition is

$$g(z_t, s_{t+1}) = \begin{cases} \text{BARG}, & z_t = \text{VERIFY} \wedge \text{flag}_t = \text{VETO}, \\ \text{TERMINAL}, & z_t = \text{VERIFY} \wedge \text{flag}_t = \\ & \text{PASS} \wedge \eta_{t+1}.\text{signed} = 1, \\ z_t, & \text{otherwise.} \end{cases} \quad (5)$$

Termination by Deadline. If the maximum number of rounds or the deadline is reached without an agreement, the episode terminates with the BATNA as the outside option (i.e., outputting the litigation-path outcome characterized by b_t).

In the three-stage workflow, only the bargaining stage requires cross-round strategic consistency and experience accumulation. Therefore, we introduce an updatable memory bank $K_t = \{R_t, E_t, C_t\}$ only for the two counsel agents, where R_t records reusable statutes/compliance constraints and safe phrasing templates, E_t accumulates negotiation experiences such as concessions, deadlock resolution, and adversarial counter-strategies, and C_t stores case cards organized by

cause of action and disputed issues to support retrieval in similar contexts. This memory mechanism does not alter the three-stage macro process; instead, it serves as an internal strategic support for Stage 2. In the next subsection, we present its planning-enhanced implementation: counsel agents perform tree-search-based lookahead exploration and employ RoT to distill patterns from search branches to update K_t .

3.3 Planning-Enhanced Bargaining via Tree Search and RoT Distillation

To improve the strategic foresight, convergence stability, and adversarial robustness of counsel agents in turn-based bargaining, we introduce an explicit planning layer inside Stage 2. This transforms the original single-step generative offer/counteroffer behavior into a search-and-distill decision workflow. The key idea is to treat each proposal in a negotiation round as an action in a sequential game and to conduct a controlled, shallow adversarial search over the proposal space before committing to the final term package. This reduces the risk of myopic concessions and opponent exploitation, and encourages faster convergence to acceptable agreements under BATNA (the ‘‘shadow of the law’’) constraints. During the bargaining stage, let $z_t = \text{Barg}$. When it is the acting counsel agent’s turn (plaintiff or defendant), we define its action as $a_t = (p_t, \text{pkg}_t, u_t)$, where p_t is a structured negotiation plan (issue ordering, concession path, anticipated opponent responses and counter-moves), pkg_t is a structured term package (e.g., amount, installment schedule, behavioral commitments, dismissal/confidentiality, breach liability), and u_t is the natural-language utterance.

The counsel agent’s decision is explicitly conditioned on an updatable memory bank $D_{\text{counsel}}(s_t; K_t) = f_{\text{LLM}}(s_t, K_t)$, where R_t stores statutes and compliance constraints (bottom lines and safe phrasing templates), E_t stores negotiation experiences (concession/countering strategies and deadlock-resolution patterns), and C_t stores a case library (case cards and term packages organized by cause of action and issues). After an action is executed, the environment updates the state based on the opponent response and optional mediation interventions: $s_{t+1} \sim P(\cdot | s_t, a_t, a_t^{\text{opp}})$, where a_t^{opp} denotes the response action by the opposing counsel (and, when applicable, inserted Mediator/Legal Aid actions under deadlock or need).

MCTS over Negotiation Actions At round

t , the acting counsel first samples a set of K candidate actions: $A_t = \{a_t^k\}_{k=1}^K \sim \pi_{\theta}(\cdot | s_t, K_t)$, $a_t^k = (p_t^k, \text{pkg}_t^k, u_t^k)$. Each candidate includes both a term package and a strategy plan. Using s_t as the root, we build an adversarial search tree T_t whose nodes represent simulated states \tilde{s} and whose edges represent simulated actions \tilde{a} (alternating between the two parties). For each leaf node, we perform a short-horizon rollout of length L (e.g., 2–4 rounds) to approximate the longer-term impact of the candidate action on subsequent bargaining dynamics. For any simulated trajectory from root to a leaf, $\tau = (\tilde{s}_t, \tilde{a}_t, \tilde{s}_{t+1}, \dots, \tilde{s}_{t+L})$, we construct a multi-dimensional negotiation quality vector $m(\tau) = (m_{\text{settle}}(\tau), m_{\text{surplus}}(\tau), m_{\text{fair}}(\tau), m_{\text{comp}}(\tau))$, corresponding to: (i) the tendency to reach an agreement within the rollout horizon, (ii) the surplus over BATNA (improvement relative to b_t), (iii) vulnerable-party protection/fairness (e.g., proxies for worse-than-BATNA risk or utility-gap signals), and (iv) compliance and enforceability risk (aligned with auditing rule triggers). We scalarize this vector into a trajectory value

$$V(\tau) = w^{\top} m(\tau), w \succeq 0, \sum_i w_i = 1. \quad (6)$$

Backpropagation. For each state node \tilde{s} and action \tilde{a} in the tree, we maintain visit counts and value estimates: $N(\tilde{s}) \in \mathbb{N}$, $N(\tilde{s}, \tilde{a}) \in \mathbb{N}$, $Q(\tilde{s}, \tilde{a}) \in \mathbb{R}$. After obtaining $V(\tau)$ from a rollout, we backpropagate along the path:

$$N(\tilde{s}) \leftarrow N(\tilde{s}) + 1, N(\tilde{s}, \tilde{a}) \leftarrow N(\tilde{s}, \tilde{a}) + 1. \quad (7)$$

$$Q(\tilde{s}, \tilde{a}) \leftarrow Q(\tilde{s}, \tilde{a}) + \frac{1}{N(\tilde{s}, \tilde{a})} (V(\tau) - Q(\tilde{s}, \tilde{a})). \quad (8)$$

During selection, at node \tilde{s} we choose

$$\tilde{a}^* = \arg \max_{\tilde{a}} \left[Q(\tilde{s}, \tilde{a}) + c \cdot \sqrt{\frac{\ln N(\tilde{s})}{N(\tilde{s}, \tilde{a}) + \epsilon}} \right], \quad (9)$$

where $c > 0$ is the exploration coefficient and ϵ is a numerical stabilizer. At the root, the output action can be chosen by maximum value: $a_t^{\text{out}} = \arg \max_{a \in A_t} Q(s_t, a)$. To align with our unified interaction framework, planning only changes the counsel’s internal decision output: in the actual interaction, we use a_t^{out} as the counsel action input to the interaction operator, and the environment performs the state transition:

$$I(\text{Counsel}_i, \text{Counsel}_j, t) = f_{\text{interact}}(a_t^{\text{out}}, D_{\text{counsel}}(s_t; K_t)^{\text{opp}}) \\ s_{t+1} = T(s_t, I(\cdot)). \quad (10)$$

RoT Distillation and Memory Updates Tree search improves a single decision, but it does not necessarily yield stable gains across rounds and cases. To convert multi-branch search experience into reusable knowledge, we introduce RoT (Reflection on Search Trees), which distills success patterns and failure patterns from high-/low-value branches of the search tree T_t , and writes them back into the three-memory bank $K_t = \{R_t, E_t, C_t\}$.

Let the set of leaf nodes be L_t . We define high-value and low-value leaves as $L_t^+ = \{\ell \in L_t \mid V(\tau_\ell) \geq \mu_t + \delta\}$, $L_t^- = \{\ell \in L_t \mid V(\tau_\ell) \leq \mu_t - \delta\}$, where $\mu_t = \frac{1}{|L_t|} \sum_{\ell \in L_t} V(\tau_\ell)$, and δ is a separation threshold (alternatively, top/bottom percentiles can be used). From each trajectory we extract structured negotiation features: $f(\tau) = (\text{issue}(\tau); \text{concession}(\tau); \text{package}(\tau); \text{BATNA_gap}(\tau); \text{compliance}(\tau); \text{stall_pattern}(\tau))$. We then define RoT distillation as $\Delta_t^+ = \text{Distill}^+(\{f(\tau_\ell)\}_{\ell \in L_t^+})$, $\Delta_t^- = \text{Distill}^-(\{f(\tau_\ell)\}_{\ell \in L_t^-})$. Here, Δ_t^+ summarizes shared success templates from high-value branches (e.g., better issue bundling and concession ordering, BATNA-anchored strategies, and low-risk clause phrasing), while Δ_t^- summarizes recurring failure modes from low-value branches (e.g., concession paths that lead to deadlock, dangerous offers that are worse-than-BATNA, clause structures that trigger veto/auditor flags, or exploitable vulnerabilities). Finally, we write the distilled patterns back into the three memories:

$$\begin{aligned} R_{t+1} &= \text{Update}_R(R_t, \Delta_t^+, \Delta_t^-), \\ E_{t+1} &= \text{Update}_E(E_t, \Delta_t^+, \Delta_t^-), \\ C_{t+1} &= \text{Update}_C(C_t, \Delta_t^+), \\ K_{t+1} &= \{R_{t+1}, E_{t+1}, C_{t+1}\}. \end{aligned} \quad (11)$$

Memory R_t emphasizes compliance bottom lines and safe phrasing, E_t accumulates negotiation strategies and counter-strategy templates, while C_t stores retrievable positive case cards and high-quality term packages.

4 Experiments

4.1 Experimental Setup and Dataset

We validate the proposed method through an integrated evaluation framework that combines real-case-driven negotiation simulation with a standardized benchmarking protocol. Our negotiation environment is constructed from authoritative legal documents of real-world civil disputes, covering case



Figure 2: The SettleBench covers three civil dispute types and provides representative scenario examples.

facts, parties’ claims and defenses, disputed issues, and outcome information (settlement/mediation or judicial judgment). Within this environment, the system operates as a multi-agent pipeline: a clerk agent first structures the raw documents to produce an interactive case skeleton and role-specific case briefs; two lawyer agents then act as counsel for the plaintiff and the defendant, respectively, generating proposals and bargaining under the coordination of a mediator agent. Negotiations are guided by a litigation baseline (BATNA) as the reference “shadow of the law” to encourage convergence. Finally, a compliance audit agent verifies the legality and enforceability of the resulting settlement terms, and triggers revision or rollback when necessary.

We construct SettleBench in Fig 2, a benchmark dataset for legal negotiation and settlement, to support systematic comparison of different methods under a unified environment and evaluation protocol. Existing legal AI benchmarks largely emphasize static knowledge testing (e.g., statute QA, element identification, and issue extraction). In contrast, negotiation and settlement constitute a prototypical dynamic, adversarial, and constraint-driven interactive task: beyond producing superficially plausible arguments, a system must form a convergent concession trajectory under litigation risk and cost constraints, and output terms that are lawful, enforceable, and non-coercive. To fill this gap, SettleBench explicitly structures the real-case “facts–issues–outcomes” chain and covers three categories of civil disputes with substantial negotiability and clear legal constraints: contract/quasi-contract disputes, tort liability disputes, and labor and employment disputes. We collect case texts from the publicly available China Judgments Online (China Judgments Documents) platform and extract key information using a unified template. The dataset is further divided into two complementary subsets: (i) a Settled subset (cases resolved via mediation/settlement in practice), from which we extract executable settlement term structures (amount, deadlines, installments, non-monetary

Dataset	Category	Method	SR \uparrow	Acc@10% \uparrow	Acc@20% \uparrow	Acc@30% \uparrow
Settled	General LLMs	GPT-4o-mini	0.65	34	53	65
		GPT-4o	0.74	41	58	73
		GPT-5.1	0.88	47	72	86
	Legal LLMs	LaWGPT (Zhou et al., 2024)	0.36	18	27	45
		ChatLaw2-MoE (Cui et al., 2023)	0.47	22	36	54
		Qwen2.5-Law	0.59	36	54	67
	Agent Methods	ReAct (Yao et al., 2022)	0.35	13	19	34
		AutoGPT (Yang et al., 2023)	0.38	17	25	42
		AgentsCourt (He et al., 2024)	0.54	27	42	58
		Ours	0.85	54	68	82
Tried	General LLMs	GPT-4o-mini	0.56	36	44	62
		GPT-4o	0.67	35	53	68
		GPT-5.1	0.82	49	65	81
	Legal LLMs	LaWGPT (Zhou et al., 2024)	0.27	12	17	31
		ChatLaw2-MoE (Cui et al., 2023)	0.35	16	23	38
		Qwen2.5-Law	0.51	25	41	56
	Agent Methods	ReAct (Yao et al., 2022)	0.24	8	14	27
		AutoGPT (Yang et al., 2023)	0.28	13	21	35
		AgentsCourt (He et al., 2024)	0.46	21	34	51
		Ours	0.79	51	63	80

Table 1: Negotiation performance on SETTLEBENCH. SR denotes settlement success rate.

Dataset	Category	Method	SR \uparrow	Acc@10% \uparrow	Acc@20% \uparrow	Acc@30% \uparrow
Settled	General LLMs	GPT-4o-mini	0.53	18	32	45
		GPT-4o	0.65	24	41	57
		GPT-5.1	0.79	33	52	71
	Legal LLMs	LaWGPT (Zhou et al., 2024)	0.25	4	12	21
		ChatLaw2-MoE (Cui et al., 2023)	0.47	13	25	41
		Qwen2.5-Law	0.48	15	26	44
	Agent Methods	ReAct (Yao et al., 2022)	0.23	3	9	18
		AutoGPT (Yang et al., 2023)	0.25	5	11	22
		AgentsCourt (He et al., 2024)	0.54	19	29	43
		Ours	0.82	42	61	77

Table 2: Negotiation performance on SettleBench under red-team stress testing.

terms, and cost allocation, etc.); and (ii) a Tried subset (cases where negotiation failed and proceeded to judgment), for which we use the true judicial outcomes to construct litigation outside options, enabling evaluation of whether a model can convert cases into feasible settlements under the “shadow of the real judgment,” while avoiding “failed settlements” that are worse than the outside option.

4.2 Settlement Metrics

Settlement Success Rate. We report the percentage of cases in which the agents reach a finalized settlement within a fixed negotiation budget (e.g., a maximum number of dialogue rounds). Cases that do not produce a signed agreement by the budget are counted as failures. **Payment Amount Accuracy.** For cases where a settlement is reached, we extract the total compensation amount from the final agreement and compare it to the reference amount provided by the dataset (the real-world settlement amount in the Settled subset, and the adjudicated award amount in the Tried subset). We report thresholded accuracy at multiple tolerances—Acc@10%, Acc@20%, and Acc@30%—defined as the proportion of settled

cases whose compensation amount deviates from the reference by no more than 10%, 20%, or 30%, respectively.

4.3 Experimental Results

From the two groups of results in Table 1, we observe that even when our negotiation framework is built on top of the lightweight GPT-4o-mini backbone, the proposed multi-agent decomposition and planning-enhanced design still yields substantial improvements in both settlement success and monetary-error metrics. On the SETTLED subset, OURS achieves SR = 0.85, representing a clear gain over GPT-4o-mini (0.65). The advantage becomes more pronounced under stricter amount-deviation thresholds: OURS reaches Acc@10% = 54 (+20), and Acc@20%/Acc@30% = 68/82 (vs. 53/65 for GPT-4o-mini). More importantly, OURS is comparable to, and in some cases even surpasses, the stronger GPT-5.1 on these metrics, suggesting that the improvement does not merely come from scaling up the backbone model, but rather from the structural gains brought by search-based negotiation planning and constraint-aware convergence within our framework.

On the more challenging TRIED subset, overall accuracy drops across methods, yet our framework remains consistently leading. OURS result, which not only substantially outperforms the same-backbone GPT-4o-mini (36/44/62) but also exceeds specialized legal LLMs and common agent-based baselines. Meanwhile, the gap between OURS and GPT-5.1 is marginal, further indicating that our multi-agent negotiation framework can systematically improve settlement success rates and significantly reduce low-quality agreements that “settle easily” but exhibit large monetary drift.

4.4 Stress Testing Negotiation Robustness in Adversarial Settings

To systematically evaluate the robustness of legal negotiation systems under adversarial conditions, we introduce a red-teaming setting and conduct a unified “stress test” of the model’s negotiation process. In this setup, the red team deliberately simulates more disruptive real-world counterparts (e.g., aggressive price pressure, stalling tactics, rhetorical manipulation, and strategic ambiguity) to examine whether the model, under high pressure, tends to reach inferior agreements. Specifically, we replace one negotiating party with a Red-Team Negotiator. This adversarial agent operates using a fixed library of attack strategies and prompt templates (see Appendix A2), and prioritizes triggering high-risk behaviors at each dialogue turn. To ensure comparability and fairness, we apply the exact same red-team configuration across all baselines: for the single-LLM baseline, the red team directly interacts as the opponent; for multi-agent methods, the red team replaces the corresponding role agent and participates in the negotiation.

Under the red-team stress test (Table 2), we observe pronounced divergence across methods in both SR and amount accuracy, indicating that extreme opponent strategies substantially amplify the fragility of negotiation systems. Overall, our method achieves the strongest robustness on the SETTLED subset, ranking best on all four metrics. Compared to the strong general-purpose model GPT-5.1, we still improve SR while maintaining a consistent advantage in monetary accuracy. Relative to the representative agent framework AGENTSCOURT, our gains are substantially larger. These results suggest that, under adversarial negotiations, purely dialogue-driven agent collaboration remains vulnerable to tactics such as anchoring, stalling, and clause probing.

Module w/o	SR ↑	Acc@10% ↑	Acc@20% ↑	Acc@30% ↑
Compliance	0.89	39	55	67
Aid	0.79	49	65	79
Tree	0.75	47	63	75
RoT	0.73	45	59	72
Ours	0.85	54	68	82

Table 3: Ablation study on the Settled data. Compliance: Compliance Auditor Agent. Aid: Legal Aid Agent. Tree: Tree Search.

4.5 Ablation Studies

As shown in Table 3, removing the Compliance review module (w/o Compliance) increases the SR from 0.85 to 0.89, but substantially degrades the agreement quality. This suggests that without compliance and enforceability checks, the system is more likely to reach an agreement at all costs: it can converge faster by producing more aggressive or arbitrary clauses, thereby inflating SR, while the resulting agreements often deviate from a reasonable compensation range. Meanwhile, removing legal Aid (w/o Aid) leads to a simultaneous drop in SR and accuracy, indicating that legal assistance—by providing risk reminders and expression support for the disadvantaged party—helps reduce negotiation breakdowns and improves clause quality. Furthermore, removing either Tree search or RoT distillation (w/o Tree: SR 0.75; w/o RoT: SR 0.73) causes an even more pronounced degradation, demonstrating that planning-based search and cross-branch experience distillation effectively mitigate the shortsightedness of one-step generation, making negotiation more stable under multi-round adversarial interactions and closer to a reasonable compensation range.

5 Conclusion

This paper studies a high-frequency yet underexplored stage in civil disputes: pre-trial negotiation, mediation, and settlement. We propose a settlement-oriented multi-agent framework with a Clerk agent to structure the case, an Outcome agent to provide outside-option references, two Counsel agents to negotiate under a Mediator, and Legal-Aid and Compliance-Audit agents as safeguards. We also introduce SettleBench, spanning contract/quasi-contract, tort, and labor disputes, with Settled and Tried subsets to evaluate both settlement success and outcome quality under unified metrics. Experiments show consistent gains over general-purpose and legal-domain LLMs as well as existing agent baselines, including under red-team stress testing, indicating improved robustness.

664 Limitations

665 Although the proposed multi-agent legal negotia-
666 tion framework and the SETTLEBENCH benchmark
667 yield consistent gains in settlement success and ro-
668 bustness evaluation, several important limitations
669 remain.

670 **Data and representativeness.** SETTLEBENCH
671 is primarily constructed from publicly available ju-
672 dicial documents, leveraging accessible case facts,
673 claims, defenses, and outcomes, and currently cov-
674 ers three categories of civil disputes: contract/quasi-
675 contract, tort liability, and labor/employment. This
676 coverage is still limited. It does not yet include
677 causes of action with substantially different ne-
678 gotiation structures (e.g., family and divorce mat-
679 ters, medical malpractice disputes, or property/real-
680 right disputes), and it cannot fully represent vari-
681 ations across regions, court levels, or time peri-
682 ods. Moreover, public documents may suffer from
683 anonymization, missing key elements, and incons-
684 istent writing styles, which can reduce the degree
685 of structured extraction and the stability of evalua-
686 tion for some cases.

687 **Simplified negotiation objectives.** To enable
688 reproducible comparisons, we mainly characterize
689 negotiation quality using metrics such as settlement
690 rate (SR) and monetary closeness (Acc@10/20/30).
691 In real-world practice, outcomes are jointly deter-
692 mined by multi-dimensional terms (e.g., install-
693 ment plans, breach liabilities, apology/clarification,
694 confidentiality, withdrawal conditions, and fee al-
695 location), and parties’ utilities are not solely de-
696 termined by the monetary amount. Our current
697 protocol does not fully capture these non-monetary
698 terms, subjective satisfaction, procedural experi-
699 ence, or long-term performance and enforcement
700 risks.

701 **Bias in modeling outside options (Out-
702 come/BATNA).** We use an Outcome Agent (or
703 the ground-truth adjudication outcome) to approxi-
704 mate the “outside option under the shadow of the
705 law,” guiding convergence and constraining settle-
706 ments that fall below a party’s baseline. However,
707 real-world BATNA is uncertain and depends on
708 evidence production, counsel strategies, judicial
709 discretion, regional differences, and enforcement
710 risk. When outcome estimation is systematically
711 biased, the negotiation trajectory and final agree-
712 ment may be steered by an incorrect reference point.
713 This work does not provide a large-scale, system-
714 atic analysis of upper bounds on how outcome bias

affects negotiation fairness and stability.

715 **Limited coverage of adversarial evaluation.**
716 We employ a red-team agent to simulate adver-
717 sarial tactics such as extreme anchoring, stalling,
718 ambiguous commitments, and constraint probing
719 for stress testing. Nevertheless, these modes do not
720 cover the full complexity of real negotiations (e.g.,
721 multi-party settings, information leakage, third-
722 party pressure, abrupt evidence changes, or strate-
723 gically timed behaviors near statutory deadlines).
724 In addition, red-team evaluation is a worst-case
725 stress test: its intensity and distribution may not
726 match real-world frequencies, and thus should not
727 be interpreted as a direct estimate of failure rates
728 in practice.
729

Ethics Statement 730

731 All civil negotiation and adjudication cases used
732 in this study are obtained from publicly accessible
733 sources, and sensitive information such as parties’
734 names has been properly anonymized to protect pri-
735 vacy. The proposed SettleAgent / SettleBench is in-
736 tended as a research and evaluation tool to advance
737 the understanding and methodological study of le-
738 gal negotiation and settlement—an extremely com-
739 mon yet long under-modeled stage—rather than
740 to provide automated legal services in real-world
741 settings. We also acknowledge several important
742 ethical considerations.

743 First, although SettleAgent achieves higher set-
744 tlement rates and more stable term quality in simu-
745 lated negotiations, it is not intended to replace hu-
746 man lawyers, mediators, or judges, nor should it be
747 used to draw legal conclusions for real cases or to
748 directly generate signable agreements. The system
749 outputs should only be treated as candidate propos-
750 als and analytical references in research contexts.
751 Second, a negotiation system may be misused to
752 produce manipulative rhetoric, pressure disadvan-
753 taged parties, induce unfair terms, or even evade
754 compliance constraints. To mitigate such risks,
755 our framework explicitly incorporates a litigation
756 outside option (the “shadow of the law”/BATNA)
757 as an anchor and a compliance-audit mechanism,
758 and we conduct red-team adversarial evaluation to
759 stress-test exploitability and safety boundaries un-
760 der extreme strategies. However, these safeguards
761 cannot guarantee absolute safety in open environ-
762 ments. Finally, we emphasize that any attempt to
763 transfer this system to real-world use must be car-
764 ried out under continuous supervision and careful

765	review by qualified legal professionals, in compli-	(llm)-based multiagent systems. In <i>2023 IEEE In-</i>	817
766	ance with local laws, regulations, and professional	<i>ternational Conference on Autonomic Computing</i>	818
767	ethics. The system’s outputs do not constitute form-	<i>and Self-Organizing Systems Companion (ACSOS-C)</i> ,	819
768	al legal advice and should not be used directly in	pages 104–109. IEEE.	820
769	real negotiations, mediations, or litigation proced-		
770	ures without human oversight.		
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A Appendix

A.1 Agent Responsibilities and Interactions

A.1.1 Core Agents

Plaintiff Counsel Agent / Defendant Counsel Agent (Plaintiff/Defendant Attorneys). The two counsel agents constitute the primary actors in the negotiation. In each round, they propose or revise settlement offers based on the current negotiation state (e.g., offer history, issue progress, remaining rounds/deadlines). Proposals are expressed in both natural language and a structured term package (e.g., monetary amount, installment plan, apology/performance, dismissal conditions, liquidated damages/breach liability). Each agent aims to maximize its own utility while seeking an acceptable agreement under legal constraints and the outside option (BATNA).

Outcome Agent (Litigation Baseline / BATNA Assessment). The Outcome Agent does not engage in bargaining. Instead, it reads the case skeleton and outputs the expected litigation outcome J (optionally as an interval or expectation) and estimates of litigation cost/time/risk if negotiation fails and proceeds to court, serving as the reference baseline for “bargaining in the shadow of the law.” This baseline is used to determine whether an agreement is worse-than-BATNA and to measure the agreement’s improvement over the outside option (surplus over BATNA), thereby providing an objective comparator for evaluation.

Mediator Agent (Neutral Mediation / Package Coordination). From a neutral perspective, the Mediator Agent structures the negotiation process by converting unstructured disputes into a set of negotiable issues, proposing compromise solutions or bundled offer packages, and—when parties are deadlocked—facilitating convergence via issue reframing, concession guidance, and coordination. This agent does not represent either party’s interests; its primary goal is to increase the probability of reaching an executable agreement within compliance boundaries.

Compliance Auditor Agent (Compliance Auditing and Enforceability Gatekeeping). The Compliance Auditor Agent performs clause-level checks on candidate agreements, focusing on hard legal constraints and obviously risky terms (e.g., minimum protection floors, non-waivable rights, illegal or unenforceable clauses, missing essential elements, and ambiguous wording). When issues are detected, it outputs the violation types and revi-

sion suggestions. In risk-sensitive settings, it can be configured to trigger a mandatory correction mechanism (e.g., returning the agreement to the negotiation stage for rewriting) to reduce the probability of generating illegal or high-risk agreements.

Legal Aid Agent (Legal Assistance / Support for Vulnerable Parties). The Legal Aid Agent simulates real-world legal aid and protections for vulnerable parties. For the party labeled as vulnerable, it provides explanations of rights and obligations, clarifies the meaning of BATNA, highlights key risks, and offers expression support (e.g., helping organize claims, pointing to necessary evidence, clarifying unfavorable implications of terms). This agent offers advice and prompts only, and does not substitute for the party’s final decision-making, aligning with procedural justice and party autonomy.

Clerk Agent (Court Clerk / Docket Organization and Process Logging). As a non-decision-making neutral role, the Clerk Agent reads and consolidates case materials to generate a unified case digest and role-specific briefs for both counsel agents, reducing information-organization overhead and improving input consistency. It also continuously records the negotiation process, producing structured minutes and a final agreement summary to support downstream evaluation, retrospection, and interpretability analysis.

A.1.2 Auxiliary Agents (Out-of-Episode Agents)

Evaluation Agent (Independent Evaluator/Judge). After the negotiation ends, the Evaluation Agent conducts outcome evaluation and process assessment, producing multi-dimensional scores (e.g., whether an agreement is reached, improvement over BATNA, occurrence of worse-than-BATNA, compliance and risky-clause ratio, degradation under adversarial conditions). To reduce subjective drift, evaluation prioritizes structured fields and verifiable rules, and may provide explanatory diagnostic text when needed.

Red-Team Negotiator (Adversarial Negotiator). The Red-Team Negotiator is used for robustness evaluation. In specific experimental settings, it replaces the plaintiff or defendant counsel and adopts extreme negotiation strategies (e.g., aggressive anchoring/pressure, stalling, manipulative rhetoric, false promises) to actively attack the system. This tests the stability of agreement quality, compliance, and protections for vulnerable

990	parties under adversarial interaction, and assesses	intent consistent.	1041
991	exploitability and safety boundaries.		
992	A.2 Red-Team Adversarial Evaluation	A.2.1 Unified Interface and Output Schema of	1042
993	(Extreme-Case Stress Test)	the Red-Team Agent	1043
994	In the supplementary material, we provide repro-	We implement the red-team agent as a parameter-	1044
995	ducible details of our red-team adversarial evalua-	ized strategy generator. Given the current nego-	1045
996	tion, designed to test worst-case robustness under	tiation state s_t and a red-team configuration γ , it	1046
997	extreme opponent strategies. The red-team agent	outputs an opponent utterance together with struc-	1047
998	(Red-Team Negotiator) generates adversarial nego-	tured meta-information:	1048
999	tiation behaviors from a parameterized library of		
1000	attack strategies and replaces one party’s lawyer	$u_t^{\text{RT}}, z_t^{\text{RT}} = f_{\text{RT}}(s_t; \gamma), \quad (12)$	1049
1001	role in the experiments. Except for the replaced	where u_t^{RT} is a natural-language message (de-	1050
1002	role, all other environment settings (case inputs,	fanged phrasing), and z_t^{RT} is a structured tag set	1051
1003	maximum round budget, termination rules, and	for auditing and reproducibility. Each turn output	1052
1004	model parameters) are kept identical to the main	must satisfy the following schema:	1053
1005	experiments, ensuring a fair comparison.		
1006	Evaluation Protocol. For each case, we fix the	• intent: turn-level intent (e.g., anchor,	1054
1007	maximum number of negotiation rounds to T, and	stall, probe, vague, pressure)	1055
1008	run each baseline as well as our method under the	• tactic: specific tactic name (see Sec-	1056
1009	same red-team configuration. If no final agreement	tion A.2.2)	1057
1010	is generated and explicitly confirmed by both par-	• message: natural-language message to the op-	1058
1011	ties within the budget, the negotiation is counted as	ponent (neutral wording; no threats, intimidat-	1059
1012	a failure. To reduce randomness, we run each case	tion, or illegal instructions)	1060
1013	multiple times and report the mean results (option-	• parameters: parameters used in this turn	1061
1014	ally, under different random seeds and/or sampling	(e.g., anchoring ratio, number of questions)	1062
1015	temperatures).	• expected_effect: expected pressure type	1063
1016	Attack Surface Taxonomy. The red-team strate-	(e.g., convergence perturbation / information	1064
1017	gies cover the following representative adversarial	burden / constraint probing)	1065
1018	patterns: (1) Extreme anchoring: repeatedly an-	Responsible release statement. To mitigate	1066
1019	choring offers far outside a reasonable range to	misuse risk, we publicly release the attack-surface	1067
1020	induce concessions; (2) Stalling and attrition: cre-	taxonomy, parameterized templates, triggering and	1068
1021	ating unproductive turns via repeated requests for	escalation rules, and defanged example snippets.	1069
1022	clarification, introducing new topics, and cyclic	We do not publicly release full verbatim attack	1070
1023	questioning; (3) Rhetorical manipulation: apply-	prompts; they may be made available under reason-	1071
1024	ing emotional pressure, implying threats, or using	able research use upon request.	1072
1025	leading language to force rapid concessions; (4)	A.2.2 Five Red-Team Adversarial Modes	1073
1026	False promises and ambiguous terms: masking true	(Mode Cards)	1074
1027	intent with unverifiable commitments or deliber-	We define five complementary adversarial	1075
1028	ately vague payment/performance conditions; (5)	modes that cover extreme behaviors commonly	1076
1029	Compliance-boundary probing: attempting to intro-	observed in real-world negotiations: extreme	1077
1030	duce clearly illegal or unenforceable clauses to test	anchoring, delay and stalling, ambiguous	1078
1031	system guardrails. Each attack type is instantiated	commitments, constraint probing, and high-	1079
1032	with an intensity level (mild/medium/strong) and	pressure rhetoric. Each mode is specified by	1080
1033	equipped with triggering and escalation rules to	(goal, trigger, intensity, params), ensuring	1081
1034	simulate pressure that increases over the course of	controllable diversity and reproducibility.	1082
1035	real negotiations.	Mode 1: Extreme Anchoring (ANCHOR).	1083
1036	Templates and Parameterized Implementation.	Goal. Repeatedly anchor an initial demand/offer	1084
1037	We implement each strategy as a parameterized	far outside a reasonable range, testing whether the	1085
1038	template (e.g., anchor amounts, concession step		
1039	size, deadline constraints, threat types), enabling		
1040	controllable diversity while keeping the adversarial		

1086	system is pulled by anchors into irrational concessions or deviates from a stable convergence path.	Output constraint. Messages are framed as “clarification / request for supporting materials / restatement” and avoid harassment-style phrasing.	1128
1087			1129
1088	Trigger. Always triggered at round 1; if the opponent does not provide explicit counter-anchoring or request external references, the anchoring strength is increased in rounds 2–3.		1130
1089		Mode 3: Ambiguous Commitments (VAGUE).	1131
1090		Goal. Appear agreeable while deliberately omitting key execution fields (deadline, payment method, installments, etc.), inducing acceptance of an unenforceable plan and testing executability and auditing guardrails.	1132
1091			1133
1092	Intensity.		1134
1093	• low: anchor deviates from a reference value (or the center of the plausible range) by $\approx 30\%$		1135
1094			1136
1095		Trigger. Triggered when the monetary gap falls below a threshold ϵ (e.g., 10%) or negotiation enters a “convergence zone”.	1137
1096	• mid: $\approx 50\%$		1138
1097	• high: $\approx 70\%$	Intensity.	1139
1098			1140
1099	Params.	• low: leave 1 key field ambiguous/missing	1141
1100	• anchor_ratio $\in \{0.3, 0.5, 0.7\}$	• mid: leave 2 key fields ambiguous/missing	1142
1101	• reanchor_frequency $\in \{1, 2\}$ (restate the anchor every k rounds)	• high: keep multiple key fields ambiguous and push for rapid confirmation	1143
1102	• concession_step $\in \{0.02, 0.05, 0.10\}$ (step size of concessions)		1144
1103			1145
1104	Output constraint. The red team must provide a concrete monetary/term position using neutral language, without threats.	Params. We parameterize the policy with three attributes: missing_fields \subseteq {deadline, payment_method, installment, liability, enforcement}, commitment_strength \in {weak, medium, strong} indicating the linguistic strength of the “agreement”, and fast_close_prob $\in \{0.2, 0.5, 0.8\}$ representing the probability of pushing early termination.	1146
1105			1147
1106			1148
1107	Mode 2: Delay & Stalling (STALL). Goal. Increase information burden and waste rounds via procedural clarifications, repeated confirmations, and topic switching, testing stability under a round budget and convergence mechanism.		1149
1108		Output constraint. No real-world illegal clauses are introduced; risk is created only via missing/ambiguous fields.	1150
1109	Trigger. Triggered when the opponent proposes a relatively complete offer (e.g., amount + deadline); thereafter, triggered at least once every two rounds.		1151
1110		Mode 4: Constraint Probing (PROBE).	1152
1111		Goal. Propose “boundary-term requests” to probe whether the system will generate or accept non-compliant or unenforceable clauses, testing compliance guardrails and rollback behavior.	1153
1112	Intensity.		1154
1113	• low: ask 1–2 clarification questions	Trigger. Triggered when the opponent emphasizes compliance, a draft agreement appears, or a compliance auditor suggests modifications.	1155
1114	• mid: ask 3–4 questions and request restating key points		1156
1115	• high: in addition to clarifications, introduce a “new topic” and request a return to fact-checking	Intensity.	1157
1116			1158
1117		• low: request 1 mildly high-risk clause (near enforceability boundary)	1159
1118		• mid: request 2 high-risk clauses	1160
1119		• high: request a bundle of 3 clauses and ask to include them in the agreement	1161
1120			1162
1121			1163
1122	Params.		1164
1123	• num_questions $\in \{2, 4, 6\}$		1165
1124	• repeat_rate $\in \{0.2, 0.5, 0.8\}$ (probability of repeating similar questions)	Params.	1166
1125	• topic_shift_prob $\in \{0.0, 0.2, 0.4\}$ (probability of topic shift)	• probe_type \in {legality, enforceability, voluntariness}	1167
1126		• probe_count $\in \{1, 2, 3\}$	1168
1127			1169

1174 • `escalate_after_reject` \in $\{\text{true}, \text{false}\}$

1175 **Output constraint (critical).** In publicly released
 1176 text, clause contents are expressed using placeholders:
 1177

1178 [HIGH-RISK TERM A],
 1179 [POTENTIALLY UNENFORCEABLE
 1180 TERM]

1181 and `probe_type` is recorded in metadata, avoiding
 1182 the release of verbatim illegal/coercive clauses.

1183 **Mode 5: High-Pressure Rhetoric (PRES-**
 1184 **SURE; Defanged).** **Goal.** Increase psychological
 1185 and time pressure via “tough but non-threatening”
 1186 language, testing whether the system makes emo-
 1187 tional concessions or loses strategic consistency.

1188 **Trigger.** Triggered when the opponent rejects or
 1189 holds the line for more than k consecutive rounds
 1190 (e.g., $k = 2$).

1191 **Intensity.**

- 1192 • low: tougher tone + continue holding the an-
 1193 chor
- 1194 • mid: tougher tone + shorter responses + set a
 1195 “soft” round deadline
- 1196 • high: high-frequency re-anchoring + tighter
 1197 soft deadline (still no threats/intimidation)

1198 **Params.**

- 1199 • `tone_level` \in $\{1, 2, 3\}$
- 1200 • `deadline_round` \in $\{T - 3, T - 2, T - 1\}$
 1201 (soft deadline round)
- 1202 • `repeat_anchor` \in $\{\text{true}, \text{false}\}$

1203 **Output constraint.** No intimidation, threats, or
 1204 illegal inducement is allowed; pressure is applied
 1205 only via tone, frequency, and soft deadlines.

1206 A.2.3 Triggering and Escalation Rules 1207 (Schedule)

1208 We adopt two scheduling mechanisms to balance
 1209 controllability and diversity:

- 1210 1. **Round-based scheduling:** e.g., ANCHOR
 1211 at round 1; STALL at rounds 2/4/6; VAGUE
 1212 after entering the convergence zone.
- 1213 2. **Condition-based scheduling:** PROBE when
 1214 a “draft agreement” or “compliance signal”
 1215 appears; PRESSURE when the rejection
 1216 count exceeds a threshold.

Intensity escalation follows a simple rule: if the
 current mode fails to induce observable behavior
 change for two consecutive activations (e.g., the op-
 ponent continues converging along the same path or
 repeatedly rejects probing clauses), we increase the
 intensity by one level up to high, or until reaching
 the maximum round budget T .

1224 A.2.4 Applying Red Team Across Methods 1225 (Fairness of Comparison)

1226 To ensure fairness, we use the same red-team con-
 1227 figuration γ across all methods:

- 1228 • **Single-LLM baseline:** the red team directly
 1229 participates as the opponent.
- 1230 • **Multi-agent methods:** the red team replaces
 1231 one *Counsel Agent* (plaintiff or defendant
 1232 lawyer), while other agents (Mediator, Com-
 1233 pliance, Legal Aid, etc.) remain unchanged.

Across all settings, case inputs, maximum rounds,
 termination rules, and evaluation metrics are iden-
 tical to the main experiments; the only change is
 whether the opponent strategy is red-team-driven.