# UNDERSTANDING ALIGNMENT IN MULTIMODAL LLMS: A COMPREHENSIVE STUDY

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#### ABSTRACT

011 Preference alignment has become a crucial component in enhancing the performance of Large Language Models (LLMs), yet its impact in Multimodal Large 012 Language Models (MLLMs) remains comparatively underexplored. Similar to 013 language models, MLLMs for image understanding tasks encounter challenges like 014 hallucination. In MLLMs, hallucination can occur not only by stating incorrect 015 facts but also by producing responses that are inconsistent with the image content. 016 A primary objective of alignment for MLLMs is to encourage these models to 017 align responses more closely with image information. Recently, multiple works 018 have introduced preference datasets for MLLMs and examined different alignment 019 methods, including Direct Preference Optimization (DPO) and Proximal Policy Optimization (PPO). However, due to variations in datasets, base model types, 021 and alignment methods, it remains unclear which specific elements contribute most significantly to the reported improvements in these works. In this paper, we 023 independently analyze each aspect of preference alignment in MLLMs. We start by categorizing the alignment algorithms into two groups, offline (such as DPO), and online (such as online-DPO), and show that combining offline and online methods 025 can improve the performance of the model in certain scenarios. We review a variety 026 of published multimodal preference datasets and discuss how the details of their 027 construction impact model performance. Based on these insights, we introduce a 028 novel way of creating multimodal preference data called Bias-Driven Hallucination 029 Sampling (BDHS) that needs neither additional annotation nor external models, and show that it can achieve competitive performance to previously published 031 alignment work for multimodal models across a range of benchmarks. 032

1 INTRODUCTION

Recent advancements in Multimodal Large Language Models (MLLMs) have significantly improved our understanding of vision-language tasks. By integrating visual signals with Large Language Models (LLMs), these models have demonstrated enhanced capabilities in multimodal understanding, reasoning, and interaction (Liu et al., 2023c; 2024; Bai et al., 2023; McKinzie et al., 2024).

Typically, MLLMs are pre-trained on large image-text datasets to develop foundational multimodal
 knowledge and skills, then undergo post-training for conversational capabilities, instruction following,
 helpfulness, and safety. Despite rapid advancements in recent years, significant challenges persist.

A notable problem is the tendency of MLLMs to produce responses that are not factually grounded in
 the visual input, commonly referred to as hallucinations, leading to inaccuracies such as incorrect
 descriptions of non-existent visual elements (Liu et al., 2023a; Cui et al., 2023). This undermines the
 trustworthiness of MLLMs in many practical applications.

Preference alignment methods have proven effective in reducing hallucinations and generating responses more closely aligned with human preferences for LLMs (Zhao et al., 2023b; Rafailov et al., 2023; Azar et al., 2024; Guo et al., 2024; Yuan et al., 2024; Ahmadian et al., 2024; Tang et al., 2024a). These methods utilize pairwise preference data to fine-tune the model, which can be based on Reinforcement Learning from Human Feedback (RLHF) (Christiano et al., 2017; Stiennon et al., 2020), Direct Alignment from Preferences (DAP) (Rafailov et al., 2023; Azar et al., 2024; Zhao et al., 2023b), or Online Direct Alignment from Preferences (Online-DAP) (Yuan et al., 2024; Guo et al., 2024).

While alignment in LLMs has been extensively studied, alignment for MLLMs has not yet been 055 investigated to the same extent. Sun et al. (2023) and Zhou et al. (2024) aligned LLaVA 1.5 (Liu 056 et al., 2023b) using Proximal Policy Optimization (PPO) and Direct Preference Optimization (DPO), 057 respectively, while Li et al. (2023a) and Yu et al. (2023b) employed DPO and its variations to 058 align Qwen-VL (Bai et al., 2023) and Muffin (Yu et al., 2023a) models. Notably, besides different alignment strategies and often different base models, all these works also introduce novel preference datasets for alignment with various sizes, collection, and generation schemes. As a result, while each 060 of these studies offers valuable insights into alignment for MLLMs, it can sometimes be difficult to 061 strongly attribute reported improvements to the individual proposed choices. 062

In this paper, we examine each component of multimodal alignment independently. First, we categorize alignment methods into two types: offline methods, which utilize preference pairs collected prior to training (e.g., DPO), and online methods, which involve sampling from the model during policy optimization (e.g., RLHF and Online-DAP). We conduct a comprehensive study over popular online and offline alignment methods, all aligning the popular LLaVA 1.6 model (Liu et al., 2024) using a fixed data regiment and study their benefits and shortcomings. To our knowledge, this is the first time that such study is conducted with MLLMs.

Further, we study the different methods for building pairwise preferences using public datasets. We
break down the main components of preference data into three parts: prompts, chosen responses
and rejected responses (Table 1). For each of those components, we investigate how their source,
diversity, and quality can affect the resulting alignment. Additionally, we examine how the size of the
alignment dataset impacts downstream performance.

Based on our comprehensive ablations, we identify a few key desiderata in alignment strategies
for MLLMs and introduce a simple, novel preference data sampling scheme we call Bias-Driven
Hallucination Sampling (BDHS). Despite not utilizing any human annotation nor the input of any
external teacher model such as GPT4-V, we show that BDHS can achieve competitive performance
against even much larger preference datasets constructed under different regimes.

#### 2 ALIGNMENT

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Preference alignment uses pairwise preference data. Each pair is linked to a text prompt, denoted as  $x_{\text{text}}$ , and an associated image,  $x_{\text{img}}$ , together forming the input  $x = (x_{\text{img}}, x_{\text{text}})$ . The responses include a preferred one,  $y^+$ , and a non-preferred or rejected one,  $y^-$ . See Section 3 for a more thorough discussion of these components. In this section, we focus on the various ways that a preference dataset,  $\mathcal{D} = \{(x, y^+, y^-)\}_{i=1}^N$ , is used by alignment approaches.

#### 2.1 REINFORCEMENT LEARNING FROM HUMAN FEEDBACK (RLHF)

RLHF was the initial method used for alignment (Christiano et al., 2017; Stiennon et al., 2020), involving the training of a reward model (RM) from pairwise preferences and then optimizing a policy using the RM via reinforcement learning (RL). In RLHF, a reward model is initially trained on the preference pairs as described in Stiennon et al. (2020). The training of this reward model uses a straightforward cross-entropy loss, treating the binary choice – preferred or rejected – as a categorical label. The objective function for training the reward model,  $r_{\phi}$ , is as follows:

$$L_{\rm RM} = -\log\left(\sigma\left(r_{\phi}(x, y^{+}) - r_{\phi}(x, y^{-})\right)\right), \qquad (1)$$

098 where  $\sigma$  is the logistic function.

Next, the model (i.e., policy),  $\pi_{\theta}$ , is fine-tuned through RL using the trained reward model to optimize the following objective:

$$\max_{\pi_{\theta}} \mathbb{E}_{x \sim D, y \sim \pi_{\theta}(y|x)} \left[ r_{\phi}(x, y) - \beta D_{\mathrm{KL}}(\pi_{\theta}(y|x)) | \pi_{\mathrm{ref}}(y|x)) \right] \,. \tag{2}$$

An additional KL penalty term  $D_{\text{KL}}(\cdot)$  is incorporated to discourage significant deviations of  $\pi_{\theta}$  from the initial model,  $\pi_{\text{ref}}$  (Stiennon et al., 2020), and  $\beta$  is a hyperparameter which adjusts the effect of this term in the overall objective.

107 Since the RM is learned in all RL-based approaches, it remains an imperfect approximation even when trained on human preferences. Previous work has shown that if not handled carefully, over-optimizing

for the RM can hurt the performance of the aligned model (Gao et al., 2023a). This adds a layer of challenge to these methods.

Different RL algorithms apply unique strategies to optimize the RL objective (Equation 2). In Appendix C we investigate the complexities of RL-based alignment for MLLMs, examining how different algorithms affect model performance. Specifically, we evaluate the impact of using PPO (Schulman et al., 2017; Stiennon et al., 2020; Ouyang et al., 2022) and REINFORCE Leave-One-Out (RLOO) (Williams, 1992; Ahmadian et al., 2024) in comparison to other alignment methods.

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#### 2.2 DIRECT ALIGNMENT FROM PREFERENCE

118 This family of approaches directly utilizes preference data, D, to optimize the policy,  $\pi_{\theta}$ . By eliminat-119 ing the need to train a reward model, these methods significantly simplify the preference optimization 120 pipeline. Furthermore, the gradient of all objectives can be precisely computed, distinguishing these methods from traditional RLHF approaches. The most widely used objective in MLLM alignment 121 is DPO (Rafailov et al., 2023) (Equation 3). We have conducted the majority of our experiments 122 using DPO to ensure comparability with other studies in MLLM alignment. In Appendix E, we 123 also examine DPO alongside two other common offline methods, IPO (Azar et al., 2024) and SLiC 124 (Zhao et al., 2023b). For a unified derivation of common direct alignment methods refer to Tang et al. 125 (2024b). For brevity, we only recap the DPO loss function: 126

$$L_{\text{DPO}}(\pi_{\theta}; \pi_{\text{ref}}) = \mathbb{E}_{(x, y^+, y^-) \sim D} \left[ -\log \sigma \left( \beta \log \frac{\pi_{\theta}(y^+|x) \pi_{\text{ref}}(y^-|x)}{\pi_{\text{ref}}(y^+|x) \pi_{\theta}(y^-|x)} \right) \right].$$
(3)

<sup>130</sup> We will omit the dependency on  $\pi_{ref}$  from subsequent equations for simplicity.

It is important to note that most preference datasets are not derived from the model being aligned and are collected offline. Even when the data is constructed based on the model that is undergoing alignment, the samples encountered during training do not account for changes in the model over training. This leads to a distribution shift between the model that generated the data and the model being aligned, which can be considered a disadvantage of these methods.

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#### 2.3 ONLINE DIRECT ALIGNMENT FROM PREFERENCE

Recently, a new family of algorithms has been proposed for aligning LLMs. These methods do not train a separate reward model. Instead, they employ either the model that is being aligned (Yuan et al., 2024) or a different LLM (Guo et al., 2024) to obtain online feedback to create preference pairs. These pairs are then used to optimize the objective function via for example DPO. This approach eliminates the complexity of training a separate reward model while still taking advantage of online samples from the model, thereby avoiding distribution shifts.

We explore the use of LLaVA 1.6-34B (Liu et al., 2024) as annotator to generate online preference pairs, motivated by its strong performance on a multitude of multimodal benchmarks. Additionally, we investigate a hybrid approach that combines online and offline approaches. This method involves sampling from the offline preference data with a probability p,  $(y^+, y^-)$ , and sampling from the model with a probability 1 - p,  $(\tilde{y}^+, \tilde{y}^-)$ . Equation 4 details this approach.

$$L_{\text{Mixed-DPO}}(\pi_{\theta}) = \mathbb{E}_{\substack{(x,y^+,y^-) \sim D \\ (\tilde{y}^+,\tilde{y}^-) \sim \pi_{\theta}}} \left[ \alpha L_{\text{DPO}}(y^+,y^-,x;\pi_{\theta}) + (1-\alpha) L_{\text{DPO}}(\tilde{y}^+,\tilde{y}^-,x;\pi_{\theta}) \right], \quad (4)$$

where  $\alpha \sim \text{Bernoulli}(p)$ . In our experiments we use p = 0.5. This algorithm is similar to techniques used in off-policy RL methods like Q-learning (Hester et al., 2018), where a replay buffer includes samples from both the model and expert demonstrations. We found this approach particularly effective when the online and offline methods have complementary effects on the model's final performance.

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#### 3 MULTIMODAL PREFERENCE DATA

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Preference datasets have three main components: the prompts, the chosen and the rejected responses.
 Responses are usually constructed by prompting one or more MLLMs, typically excluding the model being aligned. Those responses are associated with a preference signal. There are two

Туре	Name	Size	Prompt		R	Response		Judge	Preference
			Text	Image	Chosen	-	Rejected	-	Signal
Human	LLaVA-RLHF RLHF-V	10k 5.7k†	LLaVA-Instruct-150k UniMM-Chat	COCO Various†	LLaVA 1.5 Muffin/Various†(corre	ected) N	LLaVA 1.5 Auffin/Various †	human human	ranking construction
Synthetic	VLFeedback NLF POVID	80k 63k 17k	9 datasets (LLaVA, SV LLaVA-Instruct-150k LLaVA-Instruct-150k	IT, etc.) COCO COCO	12 MLLMs (LLaVA 1 DRESS <sub>ft</sub> (refined) SFT Ground truth	1.5, GPT-4V, o SFT Ground	etc.) DRESS <sub>ft</sub> truth (corrupted)	GPT-4V GPT-4V GPT-4V	ranking construction construction

Table 1: Recently published multimodal preference datasets. † denotes the updated dataset version.



Figure 1: Overview of the BDHS method including the optional iterative variant in gray. For each re-generation, both the image attention mask and the sentence split positions are resampled. The image is taken from the LLaVA Instruct dataset.

sources: (a) datasets that rely on human annotators to compose the preference, such as LLaVA-RLHF (Sun et al., 2023) or RLHF-V Yu et al. (2023b), and (b) datasets with synthetic annotations, commonly originating from GPT-4V acting as a ranker or corrupter, such as DRESS (Chen et al., 2023), VLFeedback (Li et al., 2023a) and POVID Zhou et al. (2024). Table 1 presents those datasets.

Another way to organize those datasets is to consider the nature of the preference signal. LLaVA-RLHF and VLFeedback use ranking: responses are sampled, then ranked by humans or GPT-4V.
Other works use a construction approach: signal is obtained by correcting responses, such as RLHF-V and DRESS, or by corrupting them such as POVID. In Appendix F.1, we provide more details.

One constant, however, among those methods is the cost to build the preference – whether using a strong MLLM or humans. In the next section, we propose a method to mitigate this requirement.

#### 3.1 BIAS-DRIVEN HALLUCINATION SAMPLING (BDHS)

Hallucinations in MLLMs often express the underlying language models' inherent biases, for example towards frequently cooccuring objects or object attributes (Li et al., 2023b; Qian et al., 2024; Zhou et al., 2024). In other words, the MLLM may choose to draw from its parametric knowledge or textual context  $x_{\text{text}}$  when instead it should have more strongly considered information from the image  $x_{\text{img}}$ in question. Zhou et al. (2024) suggest to trigger inherent biases directly by presenting noisy images  $\tilde{x}_{img}$  to the model when generating the non-preferred response  $\tilde{y}^-$  via teacher-forcing (POVID-style image distortion). While this method has desirable characteristics, such as not requiring external teacher models or human annotation to construct preference pairs, as well as generating samples that are at least partially informed by the policy under alignment, it carries some notable drawbacks. Zhou et al. (2024) show that selecting too few diffusion steps can yield insufficient corruption, whereas too many diffusion steps negatively impacts the generated responses, as the model mainly identifies
 noise respective to *pixels*. In our experiments, we further found that the proposed teacher-forcing can
 introduce non-sensical responses. Further details about POVID-style image distortion are provided in
 Appendix G.1 and an example with non-sensical responses in Appendix G.7.

220 Inspired by the POVID work, we aim to address its main identified shortcomings: 1. We propose 221 to rethink the method of corrupting the signal from the input image from a pixel-based approach 222 to one that limits access in the latent space via attention masking, which we argue more directly 223 achieves the underlying motivation of triggering the inherent bias of the underlying language model. 224 2. We introduce a new reference-guided generation strategy that allows corrupted responses to remain 225 largely true to the chosen response while still introducing meaningful divergence, without introducing 226 non-sensical continuations introduced by token-based teacher forcing. 3. We use an off-the-shelf sentence embedding to verify that the generated rejected response is meaningfully distinct from 227 the original reference to focus the resulting feedback signal on hallucinations over mere stylistic 228 difference. We refer to our novel technique as Bias-Driven Hallucination Sampling (BDHS). BDHS 229 is annotation free and computationally efficient to the point that rejected responses can be generated 230 online, which we explore in Section 4.4. An overview of the method can be found in Figure 1, with 231 further details provided in the following subsections. 232

233 Let  $\tilde{x} = (x_{\text{text}}, \tilde{x}_{\text{img}}, m)$  denote the modified input with (optional noisy) image  $\tilde{x}_{\text{img}}$  and attention mask m. Suppose the MLLM encodes image  $\tilde{x}_{img}$  to k embedding vectors, each vector with dimension d. 234 Then, m is defined as a boolean mask of dimension k. We suggest to randomly sample the mask 235  $m = (m_1, m_2, \ldots, m_k)$  according to a uniform distribution  $\mathcal{U}(0, 1)$  and threshold  $\rho_{\text{th}} \in [0, 1]$  where 236 each element  $m_i = 1$  if  $\rho_i \ge \rho_{\rm th}$  for  $\rho_i \sim \mathcal{U}(0,1)$  or  $m_i = 0$  otherwise. By masking the image 237 embeddings using m, the model only pays attention to a subset of the k embedding vectors to generate 238 the response  $\tilde{y}^-$ . Where the remaining signal is not sufficient, the MLLM can only draw on its 239 parametric knowledge to answer, thus inducing hallucination. By allowing access to some part of the 240 image, we encourage more realistic hallucinations. 241

Keeping the generated corrupted response  $\tilde{y}^-$  close to the preferred one,  $y^+$ , supports the optimizer in paying more attention to the image as only the non-overlapping portion is affected by the modified input  $\tilde{x}$ . Otherwise, responses  $\tilde{y}^-$  and  $y^+$  could diverge early on or  $\tilde{y}^-$  could even represent a generic response hinting on missing image information. Instead, in order to maintain consistency in style and structure we propose a reference-guided sampling strategy, where we "diverge" and "rejoin" from  $y^+$ at random points in every sentence to form  $\tilde{y}^-$ . A formal description of the algorithm is provided in Appendix G.3.

Similar to our observation in Online-DAP, BDHS responses  $\tilde{y}^-$  can still be very similar to the ground truth  $y^+$ , especially when the pivot position is late in the sentence. To maximize learning utility of BDHS preference pairs, this is undesirable.

252 While further increasing  $\rho_{\text{th}}$  or biasing towards early pivot positions in the reference-guided generation 253 could minimize such trivial generations, this introduces additional hyperparameters and can lead to 254 less realistic dispreferred responses. Instead, we realize BDHS in an iterative fashion.

255 Once  $\tilde{y}^-$  is generated, a semantic similarity score w.r.t.  $y^+$  is computed using an off-the-shelf sentence 256 embedding model<sup>1</sup>. A new response  $\tilde{y}^-$  is sampled if the cosine similarity is above a pre-defined 257 threshold  $\epsilon_s$ . After reaching the maximum number of iterations  $N_{\text{BDHS}}$ ,  $\tilde{y}^-$  is generated according 258 to input  $\tilde{x}$  without any reference guidance. Appendix G provides the actual algorithm for BDHS 259 including similarity scoring and several examples.

This additional comparison avoids  $\tilde{y}^-$  responses that are trivial rephrasings. Moreover, measuring the number of examples that need re-generation allows intuitive tuning of the  $\rho_{\rm th}$  hyper parameter.

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4 EXPERIMENTS

In this section, we empirically evaluate different aspects of aligning MLLMs. We start by summarizing our key findings in Section 4.1. We conduct our ablations on the LLaVA 1.6-7B Vicuna model, as it is both well studied and exhibits relatively strong performance across a range of multimodal tasks (Liu et al., 2024). Notably, this model provides stronger baseline performance over the more

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<sup>&</sup>lt;sup>1</sup>We use the *all-mpnet-base-v2* sentence embedding model (Reimers & Gurevych, 2019) with  $\epsilon_s = 0.97$ .

270	Model	Alignment	Dataset	$\text{POPE} \uparrow$	$\text{MMHAL}\uparrow$	$MMHAL^v\uparrow$	$LLaV\!A^W\uparrow$	$VQA^T\uparrow$	$\mathrm{GQA}\uparrow$	$MMVet \uparrow$	$Recall^{coco}\uparrow$
271	LLaVA 1.6-7B	-	-	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
	LLaVA 1.6-13B	-	-	86.23	3.23	3.18	86.10	65.7	<u>64.8</u>	48.26	68.13
272	LLaVA 1.6-34B	-	-	87.73	3.50	3.46	88.35	69.5	67.1	53.90	71.17
070	OmniLMM-12B†	-	-	-	3.14	-	74.3	-	-	-	-
213	LLaVA 1.6-7B†	DPO	STIC	-	-	-	79.2	65.2	-	45.0	-
274	LLaVA 1.5-7B†	RLAIF-V	RLAIF-V	-	3.06	-	64.9	-	-	-	-
	OmniLMM-12B <sup>†</sup>	RLAIF-V	RLAIF-V	-	<u>3.36</u>	-	74.3	-	-	-	-
275	LLaVA 1.6-7B	DPO	POVID (Full)	88.09	3.16	3.07	78.63	64.56	64.12	40.60	73.48
076	LLaVA 1.6-7B	Online-DPO	POVID (Full)	86.49	2.88	2.94	82.61	64.88	64.31	43.26	68.45
270	LLaVA 1.6-7B	Mixed-DPO	POVID (Full)	88.03	2.83	3.10	82.75	64.93	64.47	42.80	74.53
277	LLaVA 1.6-7B	DPO	POVID (Full)	88.09	3.16	3.07	78.63	64.56	64.12	40.60	73.48
	LLaVA 1.6-7B	DPO	BDHS (POVID, 5k)	88.75	2.61	2.71	86.33	65.07	63.97	43.4	75.58
278	LLaVA 1.6-7B	DPO	Online-BDHS (POVID, 5k)	88.83	2.80	2.99	85.03	65.09	63.65	43.12	74.09
270	LLaVA 1.6-7B	DPO	$* \cup \text{POVID}(5k)$	88.38	2.82	2.81	84.01	65.42	64.30	45.46	74.00
213	LLaVA 1.6-7B	DPO	VLFeedback (Full)	81.84	2.96	2.99	90.75	62.93	62.53	43.85	66.67
280	LLaVA 1.6-7B	DPO	VLFeedbackCorrupted (5k)	87.52	3.03	3.01	88.64	65.30	64.19	42.16	70.13
	LLaVA 1.6-7B	DPO	BDHS (VLFeedback, 5k)	88.10	2.77	2.87	86.68	65.27	64.33	43.39	72.43
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Table 2: Main results. The best and second best results are shown in **bold** and <u>underlined</u>, respectively.
If a larger model outperforms all aligned 7B models, it is indicated by <u>bold and underline</u>. † denotes
results reported from referenced papers, and a dash (–) marks benchmarks that are not reported. Rows
in blue are contributions of this paper.

common choice of LLaVA 1.5-7B in the multimodal alignment literature and we generally observe 287 correspondingly smaller relative improvements from alignment. We carefully select a set of bench-288 marks to measure overall helpfulness and hallucination propensity. Our study reveals shortcomings 289 in existing benchmarks, particularly around measuring hallucinations. Please refer to Appendix B.1 290 for details. We also include an in-depth ablation study on the components we have discussed in the 291 paper, offering a clearer view of effect. We begin with equalizing the experimental conditions on 292 public preference datasets (Section 4.2). We then highlight desiderata for a high-quality preference 293 dataset (Section 4.3) and show that BDHS can be a simple and effective mechanism following such 294 best practices (Section 4.4). Additionally, we compare RL-based methods (Appendix C), Online and 295 Mixed-DPO strategies (Appendix D.3), as well as various offline approaches (Appendix E). 296

4.1 KEY COMPONENTS IN MLLM ALIGNMENT PIPELINE

299 We summarize our main findings and compare results with other SOTA models in Table 2. First, we fixed the base model (LLaVA 1.6-7B) and studied the effects of online vs. offline methods 300 using the POVID alignment data (Zhou et al., 2024). While offline DPO shows more significant 301 improvement on benchmarks that consider hallucination, such as POPE and MMHALBench-V, 302 Online-DPO enhances benchmarks evaluating the quality of answers in an open question answering 303 setup, like LLaVABench-in-the-Wild. This is intuitive, as the preference pairs in POVID 304 are specifically designed to reduce hallucinations whereas the online samples from the model may 305 provide other signals. Mixed-DPO allows to incorporate the benefits of both approaches and the 306 results show consistent improvement over both online and offline methods. 307

When using Online-DPO or Mixed-DPO strategies, we typically depend on advanced models like 308 LLaVA 1.6-34B to rank the online samples generated by the model. However, access to such models 309 is not always guaranteed. We discuss this limitation in more detail in Appendix D.2. Additionally, 310 the construction of the POVID dataset also involves using a superior model such as GPT-4V to 311 inject noise into SFT data. Our proposed BDHS method does not require additional annotators or 312 preference data, and relies exclusively on SFT data already available from the instruction tuning of 313 the base model. Despite this simplicity, it consistently outperforms the models that utilize the larger 314 POVID dataset (i.e. both offline and Mixed-DPO) in most benchmarks. Implementing BDHS in 315 an online format further closes this performance gap in MMHALBench-V, establishing BDHS as a compelling and cost-effective alternative to other more resource-intensive approaches. Combining 316 the POVID dataset with the online-BDHS approach (referred to as Online-BDHS  $\cup$  POVID), with 317 the exception of MMHALBench-V, consistently outperforms the model that uses only the POVID 318 dataset across all benchmarks. It also surpasses STIC (Deng et al., 2024) and RLAIF-V (Yu et al., 319 2024) on the reported benchmarks. We further discuss the enhanced efficacy of our approach over 320 Zhou et al. (2024) in Section 4.4. 321

While Section 4.3 provides a detailed analysis of various preference datasets, we highlight key findings from the VLFeedback dataset here, as they contribute significantly to building an effective alignment strategy. Unlike POVID, both VLFeedback and its variant, VLFeedbackCorrupted(5k),

Model	Dataset	$\text{POPE} \uparrow$	$\text{MMHAL} \uparrow$	$MMHAL^{V}\uparrow$	$LLaVA^W\uparrow$	$VQA^{T}\uparrow$	$\mathrm{GQA}\uparrow$	$MMV\!et\uparrow$	Recall <sup>coco</sup> $\uparrow$
LLaVA 1.6-7B	-	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
Public datasets									
LLaVA 1.6-7B	VLFeedback (80k)	81.84	2.96	2.99	90.55	62.93	62.54	43.85	66.67
LLaVA 1.6-7B	POVID (17k)	88.09	3.16	3.07	78.05	64.56	64.12	40.60	73.48
LLaVA 1.6-7B	RLHF-V (5.7k)	83.86	3.15	3.26	70.58	64.75	62.89	37.16	64.26
Public datasets,	randomly subsampled to 5,000	samples							
LLaVA 1.6-7B	VLFeedback (5k)	86.31	2.92	3.00	83.10	65.06	64.09	43.21	68.03
LLaVA 1.6-7B	POVID (5k)	88.18	2.93	2.93	81.89	64.90	64.34	43.39	71.80
LLaVA 1.6-7B	RLHF-V (5k)	84.39	3.25	3.35	72.09	64.85	63.35	39.72	64.68
Previously published									
Qwen-VL-Chat	VLFeedback (Li et al., 2023a)	-	3.02	-	-	-	-	49.9	-
Muffin	RLHF-V (Yu et al., 2023b)	-	(52.1↓)†	-	-	_	-	-	-
LLaVA 1.5	POVID (Zhou et al., 2024)	86.90	2.69	-	68.7	-	-	31.8	-

Table 3: Results for LLaVA 1.6-7B Vicuna (Liu et al., 2024) aligned with DPO on VLFeedback, POVID, RLHF-V. Results highlighted in gray are the results reported by the original authors. † denotes MMHALBench for which Yu et al. (2023b) strictly reported the human-corrected hallucination rate.

select the "chosen response" in the preference pairs from the top responses ranked by GPT-4V,
 selected from a pool of model-generated responses. Compared to re-using SFT data, this approach
 potentially offers an additional supervisory signal to the model, leading to enhanced performance on
 benchmarks like LLaVABench-in-the-Wild, where such aligned models even outperform the
 unaligned 13B and 34B models from the same family.

Notably, we introduce VLFeedbackCorrupted (5k), a small dataset leveraging corruption injection to
generate the "rejected response", which performs competitively to the much larger ranking-based
VLFeedback (full) dataset. These experiments demonstrate the effectiveness of two strategies in
constructing preference data: First, learning from strong (highly-ranked) responses seems to yield a
distillation-like benefit. Second, using subtle differences between "chosen" and "rejected" responses,
as opposed to just rank-based pairs (like in VLFeedback (full)), can significantly reduce hallucinations,
even in a limited data regiment.

Finally, we replace the noise injection strategy using GPT-4 with our proposed BDHS. We observe a
slight reduction of the MMHALBench-V and LLaVABench-in-the-Wild scores compared to
the GPT-4V based approach, but note that the achieved result still represents meaningful improvements
over the baseline. On all other metrics, BDHS shows comparable or even superior results, establishing
BDHS as a strong alternative to GPT-4V in this pipeline.

In the rest of this section, we conduct a comprehensive ablation study on each of the components dis cussed earlier, aiming to offer insights into the typical trade-offs encountered in alignment strategies.

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4.2 REMOVING CONFOUNDING FACTORS FOR PREVIOUSLY PUBLISHED DATASETS

We analyze RLHF-V (Yu et al., 2023b), VLFeedback (Li et al., 2023a) and POVID (Zhou et al., 2024) as they offer a fair blend between human and synthetic sources, and between constructed and ranked preference signal composition. As it is challenging to determine what are the properties that characterize a high-quality preference dataset, we first replicate alignment using the published datasets against LLaVA 1.6-7B with DPO. Additionally, we sub-sample all datasets to a consistent size of 5,000 examples to remove effect sizes. Results are reported in Table 3.

Zhou et al. (2024) have conducted a similar experiment using LLaVA 1.5, however they do not control
for dataset size. We were successful in replicating certain observations published by these authors.
POVID reaches the highest score on POPE. Zhou et al. (2024) also reports the highest MMHALBench
scores with POVID, which we were able to reproduce using LLaVA 1.6, although this is only true
when size correction is not applied. Upon normalizing for size, POVID's performance equaled that
of VLFeedback and was lower than RLHF-V.

In other domains, our experiment have shown divergent trends. While Zhou et al. (2024) demonstrated
that all preference datasets improved LLaVA 1.5 on MMVet, our findings with LLaVA 1.6 exhibited a
reverse trend: all our runs did not match up to the baseline. Interestingly, as the datasets grew larger,
we witnessed a further deviation from the baseline. We hypothesize that these preference datasets
lack the necessary information to improve MMVet over the notably stronger baseline LLaVA 1.6

introduced, which necessitates specialized knowledge (see Appendix B.1). VLFeedback, to a certain
 extent, may possess some of this knowledge thanks to its diverse prompts. By restricting dataset
 sizes, we further limit the potential alterations on the non-aligned model, as the results stay closer to
 the baseline.

Notable, VLFeedback moderately improves LLaVABench-in-the-Wild when the size restriction limit is applied. When aligning on the complete VLFeedback, the largest dataset in these experiments, we see further improvement and can achieve the highest score on that benchmark.

#### 4.3 DESIDERATA FOR PREFERENCE DATASETS

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We examine the components of a multimodal preference dataset and investigate the following options. The explored choices are further summarized in Table 4.

- **Prompts** We compared (i) a diverse prompt strategy mixing multiple datasets to (ii) prompts only from LLaVA-Instruct-150k, which was already seen during the SFT stage of the base model.
- Chosen responses We introduced 3 settings: (i) diverse responses from multiple MLLMs; (ii) LLaVA responses only, (iii) GPT-4V responses only.
- **Rejected responses** We introduced 2 settings: (i) diverse responses from multiple MLLMs, and (ii) corruption of the chosen responses.

In order to construct these preference dataset ablations cheaply and reproducibly, we leverage the size and diversity of the VLFeedback dataset (Li et al., 2023a). VLFeedback possesses several properties that makes it a good sandbox: (a) the prompts, derived from 9 datasets (LLaVA-Instruct-150k, SVIT, LLaVAR, etc.), are diverse, (b) the chosen and rejected responses are sampled from 12 MLLMs making them very diverse too  $- \sim 37\%$  responses are from GPT-4V, and  $\sim 35\%$  from the LLaVA 1.5 series, (c) finally, the large size of VLFeedback, 80,000 quadruplets of responses that can be paired together, makes it simpler to isolate specific aspects.

Datasets	P	rompts	Ch	osen Respo	onses		Rejected Responses
	diverse	LLaVA-SFT	diverse	LLaVA	GPT-4V	diverse	chosen corrupted by GPT-4
VLFeedback + corrupting strategy	$\checkmark$		$\checkmark$			√	$\checkmark$
prompts							
LLaVA prompts		$\checkmark$	✓				$\checkmark$
model responses							
GPT-4V responses only		$\checkmark$			$\checkmark$		$\checkmark$
LLaVA responses only		$\checkmark$		$\checkmark$			$\checkmark$

Table 4: Controlled settings for multimodal preference dataset exploration. We decompose the
 preference datasets into prompts, chosen and rejected responses and we then aim at identifying factors
 contributing to the dataset quality.

417 Corruption strategy Reranking is originally used to determine chosen and rejected responses
418 in VLFeedback (see Section 3). In order to remove variation introduced by the original rejected
419 responses (e.g., style change between MLLMs) and permit a tighter control on ablations, we replace
420 rejected responses from the original VLFeedback samples with corrupted versions of the preferred
421 responses. Similar to the method in (Zhou et al., 2024), we leverage GPT-4 to specifically introduce
422 realistic hallucinations, assisted by a few shots for illustration (see Appendix F.2).

Results Following Section 4.2, we apply DPO alignment on the LLaVA 1.6-7B model, and we limit all the datasets to 5,000 samples. In Table 5, we report the results of this experiment. First, we show that our corruption strategy achieves improvements over the baseline comparable in magnitude to the ranking-based preference signal in the original VLFeedback data. In some benchmarks, like MMHAL-Bench-V, we even observe improvements, while notably MMVet shows some regressions. Nevertheless, we argue that this represents a reasonable baseline to adopt for easier iteration on the following ablations. In Appendix F.3, we provide more analysis on this strategy.

430 Next, we explore the impact of novelty of the prompts used for alignment, by sampling another 5k
 431 preference data generated with the same corruption mechanism solely from prompts that are a part of
 the LLaVA SFT mixture. These are examples that the baseline model would have already been trained

Dataset	$ $ POPE $\uparrow$	$MMHAL\uparrow$	$MMHAL^v\uparrow$	$LLaV\!A^W\uparrow$	$VQA^{T}\uparrow$	$\mathrm{GQA}\uparrow$	$MMVet \uparrow$	$Recall^{coco}\uparrow$
Baseline	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
VLFeedback (5k)	86.31	2.92	3.00	83.10	65.06	64.09	43.21	68.03
+ corrupting strategy	85.59	3.39	3.33	86.65	65.20	63.87	37.98	68.66
prompts								
LLaVA prompts	87.63	2.85	2.96	86.55	65.13	64.25	41.47	70.44
model responses								
GPT-4V responses only	86.78	3.30	3.02	86.77	65.06	64.02	40.14	69.08
LLaVA responses only	87.52	3.03	3.01	88.64	65.30	64.19	42.16	70.13

Table 5: We started from VLFeedback with its diverse prompts and responses, and we then applied targeted sampling and corruption to isolate factors contributing to the dataset quality.

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on during the SFT stage, so one may argue the baseline has already been taught a desirable response 445 for. Interestingly, we observe that this shows similar improvement. We still observe comparable lift on 446 LLaVABench-in-the-Wild, and while MMHAL-Bench-V shows less dramatic improvement 447 over the baseline compared to the more diverse corruption-based sample, this may be due to more 448 verbose responses, as indicated by higher recall. POPE even improves somewhat significantly and 449 the regression in MMVet is also less pronounced. 450

Finally, we explore the impact of the construction of the accepted response in the alignment data. One 451 could argue that for responses derived from stronger model such as GPT-4V, improvements may also 452 be the result of learning from this stronger teacher model. Therefore, we conduct two experiments: 453 one, where we sample data where the preferred response comes from GPT-4V only, and one where 454 the preferred response comes from LLaVA 1.5-7B, a model generally weaker than the base model 455 under alignment in this experiment. Interestingly, we do not observe any benefit from learning from 456 GPT-4V generated responses, in fact, our results suggest that positive samples derived from LLaVA 457 1.5-7B led to a slightly stronger model post alignment.

458 These findings suggests that useful preference data can be derived cheaply, even from responses 459 from relatively weaker models, as long as one can effectively sample and identify relatively desirable 460 answers from the model as their preferred response, and introduce targeted corruption to create 461 dispeferred responses.

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#### 4.4 Ablations on BDHS

465 Section 3.1 introduces BDHS as a technique to generate corrupted responses directly using the model 466 subject to alignment. While our proposed approach is purely based on image attention masking, 467 we also evaluate a variant that consumes noisy images instead, motivated by the teacher-forced 468 POVID-style image distortion introduced in Zhou et al. (2024) (see Section G.1). In the following, 469 BDHS with attention masking ( $\rho_{th} = 0.99$  and  $N_{BDHS} = 5$ ) is denoted as BDHS<sub>attn</sub> and BDHS with 470 noisy images in the input as  $BDHS_{noise}$ . The number of additive noise steps for  $BDHS_{noise}$  is set to N = 500 similar to the image distortion in Zhou et al. (2024). 471

472 All ablations in Table 6 are based on our 5k subset of POVID as introduced in Section 4.2. POVID 473 contains LLaVA Instruct responses  $y^+$  as well as GPT-4V corrupted non-preferred responses  $y^-$ . 474 While  $y^+$  is shared between all ablations, we start with substituting  $y^-$  from external supervision 475 by the BDHS model response  $\tilde{y}^-$  and invoke standard DPO as shown in the first 3 rows after the 476 LLaVA 1.6-7B baseline results. The proposed variants consistently improve over the baseline for 477 POPE and LLaVABench-in-the-Wild. They regress on MMHALBench, however, as discussed in Section B.1, this benchmark has limitations so we mainly focus on MMHALBench-V instead 478 for which all BDHS<sub>attn</sub> variants perform comparable to the baseline while the online rollout of  $\tilde{y}^-$ 479 even improves over it. Notably, we also observe significantly higher Recallcoco, suggesting richer 480 responses. BDHS<sub>noise</sub> results in lower scores for LLaVABench-in-the-Wild while the attention 481 masking approach BDHS<sub>attn</sub> almost maintains the baseline scores. 482

483 The lower partition of Table 6 starts with plain DPO on the POVID (5k) dataset as reference and then each subsequent approach incorporates both the existing response  $y^{-}$  from external supervision as 484 well as  $\tilde{y}^-$  derived from the policy. Hereby, the two non-preferred responses are incorporated into the 485 DAP framework by averaging the losses of  $(y^+, y^-)$  and  $(y^+, \tilde{y}^-)$  according to Equation equation 5.

486	$y^-$ from external supervision	$\tilde{y}^-$ derived from policy	POPE↑	$\text{MMHAL}\uparrow$	$MMHAL^V \uparrow$	$LLaVA^{W}\uparrow$	$VQA^T\uparrow$	GQA↑	MMVet↑	$Recall^{coco}\uparrow$
487	-	-	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
488	-	BDHS <sub>noise</sub> (Offline, ours)	88.60	2.37	2.48	84.53	65.05	64.14	41.38	75.16
100	-	BDHS <sub>attn</sub> (Offline, ours)	88.75	2.61	2.71	86.33	65.07	63.97	43.4	75.58
489	-	BDHS <sub>attn</sub> (Online, ours)	88.83	2.80	2.99	85.03	65.09	63.65	43.12	74.09
490	GPT-4V (POVID)	-	88.18	2.93	2.93	81.89	64.90	64.34	43.39	71.80
-100	GPT-4V (POVID)	POVID-style image distortion	88.33	2.84	2.64	80.15	64.21	63.79	41.28	69.39
491	GPT-4V (POVID)	BDHS <sub>noise</sub> (Offline, ours)	88.58	2.76	2.45	84.36	65.31	64.26	43.95	75.05
	GPT-4V (POVID)	BDHS <sub>attn</sub> (Offline, ours)	88.56	2.85	2.72	85.35	65.39	64.11	43.26	75.05
492	GPT-4V (POVID)	BDHS <sub>attn</sub> (Online, ours)	88.38	2.82	2.81	84.01	65.42	64.30	45.46	74.00

493 Table 6: Ablation results for BDHS including baseline and reference approaches. All results based 494 on LLaVA 1.6-7B, using DPO and the POVID (5k) sample for the source of images and prompt. 495 Whenever both  $y^-$  from external supervision and  $\tilde{y}^-$  derived from policy (either online or offline) 496 are incorporated, the average loss is computed using equation 5. 497

498 Therefore, the Online-BDHS method uses Online-DPO in a considerable simplified setting compared to the full Online-DPO realization equation 4, as the formulation presented here does not depend on a 499 dedicated external annotator (see Section 2.3). 500

501 All the BDHS ablations improve significantly on LLaVABench-in-the-Wild compared to the 502 DPO baseline and POVID-style image distortion. The BDHS<sub>attn</sub> with attention masking performs significantly better on MMVet compared to  $BDHS_{noise}$ . Notably,  $BDHS_{attn}$  consistently outperforms 504 the POVID-style image distortion across all benchmarks. We follow the published implementation of Zhou et al. (2024), however, surprisingly the POVID-style image distortion performs worse compared 505 to plain DPO via POVID (5k), which differs from the LLaVA 1.5-7B alignment results in their paper. 506 Presumably, the non-sensical responses from teacher-forcing could lower the performance while 507 trading off with the existing GPT4-V preference pairs. 508

509 While online approaches with BDHS improve on certain benchmarks, we emphasize that even the offline dataset created with BDHS<sub>attn</sub> and without additional response from external supervision 510 already constitutes a cost-effective baseline that consistently performs well across all benchmarks. 511

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#### 5 **CONCLUSION AND FUTURE WORK**

515 In this study, we investigate preference alignment's role in improving MLLM performance, particularly in reducing hallucinations. We evaluate various alignment strategies, including offline, online, 516 and hybrid approaches, across different datasets. Our analysis of existing multimodal preference 517 datasets allows for directly comparing their impact on model performance, controlled for data size 518 and the base model under alignment. We further isolate individual differences between these datasets 519 via additional ablations and show that corruption-based preference data can achieve hallucination 520 reduction comparable to sampling and ranking-based approaches, and that learning from novel 521 and diverse inputs, or from responses from superior models surprisingly does not lead to further 522 improvements. Based on these insights, we present a simple preference dataset generation strategy 523 we call BDHS, which uses only existing SFT data, eliminating the need for a superior model, human 524 labelers, or other complex means of constructing preference data. Applied to LLaVA 1.6, this simple 525 methods yield significant improvements across benchmarks, demonstrating its potential.

526 This study not only enhances our understanding of preference alignment but also establishes a 527 foundation for further research into MLLM preference alignment. We identify several gaps in the 528 community's approach to aligning MLLMs. Firstly, while considerable research has been conducted 529 on various alignment methods for LLMs, including both online and offline approaches, these studies 530 are less common in the context of MLLMs. For instance, RLH(AI)F is extensively discussed in 531 LLM literature, highlighting its potential over simpler methods like DPO. Although we provide some insights into RL-based alignment for MLLMs and the evaluation of reward models, a significant gap 532 remains between LLM and MLLM research in this domain. Secondly, we emphasize the need for 533 better hallucination benchmarks to help understand model improvements. Moreover, our thorough 534 analysis of published multimodal preference data reveals insufficient coverage in existing datasets, which may contribute to the absence of significant improvements in some benchmarks. Lastly, while 536 BDHS effectively generates preference data to reduce hallucination, further research is needed to 537 address other factors causing hallucination in MLLMs, such as insufficient real-world knowledge. 538

We hope these insights inspires further research and helps the community tackle ongoing challenges in this field.

#### 540 6 **REPRODUCIBILITY STATEMENT**

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To ensure the reproducibility of our work, we have provided comprehensive implementation details in both the main text and appendix of this paper. We study the effect of preference alignment on the widely-used LLaVA 1.6 Liu et al. (2024) model, and we exclusively examined publicly available preference datasets for alignment purposes. For the alignment methods we encourage the readers to follow the TRL library (https://github.com/huggingface/trl/), making modifications only when necessary to accommodate multi-modal inputs or online versions. All prompts used for different models are included in the appendix. By adhering to these practices, we aim to facilitate easy reproduction and validation of our results by the research community.

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# A IMPLEMENTATION DETAIL

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For all offline experiments, as well as for Online-DPO and Mixed-DPO, we conducted a hyperparameter search. The parameters included learning rates of  $10^{-7}$ ,  $5 \cdot 10^{-7}$ ,  $10^{-6}$ ,  $5 \cdot 10^{-6}$ ; projection layer learning rates of  $2 \cdot 10^{-5}$ ,  $2 \cdot 10^{-6}$ ,  $2 \cdot 10^{-7}$ ; epochs of 3, 5, and 7; and batch sizes of 16, 32, and 48. We reported the best results for each method. Additionally, we set the LoRA rank and scaling factor to 128 and 256, respectively. The  $\beta$  values for DPO, IPO, and SLiC were explored at 0.05, 0.1, 0.2, 0.5 for DPO; 0.8, 0.9, 1.0 for IPO; and 0.02, 0.1, 0.2 for SLiC.

710 For RL methods (PPO and RLOO), we maintained constant base model parameters while training 711 LoRA adapters for alignment. Specifically, for RLOO, we utilized k = 4, generating four distinct 712 responses for each prompt at a temperature of 1.0. Training was conducted over two epochs with a 713 batch size of 256 and a learning rate of  $3 \cdot 10^{-4}$ . Prior to RLOO training, we calculated the mean 714 and standard deviation of rewards using the alignment dataset and normalized the rewards during 715 training to achieve zero mean and unit variance. We determined that a  $\beta$  value of 0.4 provided the best balance between rewards and the KL penalty for RLOO. Gradient clipping was also implemented 716 to cap the maximum gradient norm at 1.0. 717

For PPO specifically, we trained for 3 epochs with a learning rate of 1.41e-5 using a constant learning rate schedule. We used 1 GPU with a batch of 32. For the reward model, we used a a learning rate of 2e-5 and trained for 4000 steps. The learning rate schedule was also adjusted to be constant but with a warmup phase. The fraction value for the warmup phase is set at 0.03. Training was conducted on 8 GPUs with a batch size of 32.

For BDHS, we found that  $\rho_{th}$  values close to 1 empirically gave the strongest results in our experiments with LLaVA 1.6. Therefore final results are reported at  $\rho_{th} = 0.99$  if not otherwise stated. We argue that this is likely a result of the "AnyRes" technique used in LLaVA 1.6, which leads to significant redundancy across image tokens.

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**B** EVALUATION

#### 730 731 B.1 Evaluation Benchmarks

Benchmarks We adopt multiple benchmarks to assess the capabilities of MLLMs, centered around both measuring the models visual faithfulness, i.e. its tendency to hallucinate, as well as overall helpfulness, i.e. the overall quality of its responses. Results have been obtained using an internal fork of lm-eval-harness (Gao et al., 2023b; McKinzie et al., 2024; Li et al., 2024).

736 LLaVABench-in-the-Wild (Liu et al., 2023b), TextVQA (Singh et al., 2019), and GQA (Hud-737 son & Manning, 2019) help measure the model helpfulness, i.e. the effectiveness at following 738 instructions and the completeness of the responses. LLaVABench-in-the-Wild expects free-739 form answers while both TextVQA and GQA require concise responses. We additionally report 740 MMVet (Yu et al., 2023c), which evaluates the knowledge and visual reasoning capabilities of the 741 MLLM. Such capabilities are not a direct target for most MLLM alignment strategies to improve. Nevertheless, MMVet offers a useful indicator for ensuring that such capabilities are not lost due to a 742 possibly too simple or not sufficiently diverse alignment regiment. 743

POPE (Li et al., 2023b) and MMHALBench (Sun et al., 2023) evaluate the visual faithfulness of a model by identifying hallucinations in model responses. For POPE, we noticed that most of our experiments would reach a seeming plateau between 86% and 88% despite improvements in the other benchmarks. We conducted an initial manual review of 100 reported losses and observed incorrect or disputable ground truth on as many as 20% of those samples (see Appendix B.2). While re-annotating those examples is beyond the scope of this work, we invite the community to consider it as many recent SOTA models exhibit such plateau<sup>2</sup>.

Additionally, we noticed unexpected results on MMHALBench, and subsequent analysis showed
 limitations in its scoring. Specifically, MMHALBench uses text-only GPT-4 to detect hallucinations
 by comparing model responses to a reference response and a short list of objects known to be in
 the image. Sometimes this leads to entirely correct model responses to be marked as hallucinations

<sup>755</sup> 

 $<sup>^{2}</sup>$ See Table 4 in McKinzie et al. (2024) where all the models reported are demonstrating a plateau on POPE.

when they included more detail than the provided references. To mitigate this issue, we introduce a straightforward derivative we call MMHALBench-V(ision), which relies on GPT-40, i.e. provides the input image as additional context to the judge, to more reliably evaluate model capabilities. Data and evaluation prompts are unchanged. We empirically found this to be more reflective of true hallucinations in a human comparison. See Appendix B.4 for our review. Throughout experiments, we mainly focus on MMHALBench-V numbers and report MMHALBench primarily for reference.

While responses that have fewer hallucinations are often also inherently more helpful, we observe that these dimensions are nevertheless distinct and optimizing for reduction in hallucination crucially does not necessarily imply a more helpful model. In fact, in some instances, we even observed an inverse relationship. For example, as discussed in (Zhu et al., 2023), a given model would be more likely to hallucinate when asked to produce longer captions than shorter ones. This implies that models could learn to hallucinate less simply by providing more concise, arguably less useful, responses, and that models that aim to provide more detailed responses may find it more difficult to remain faithful to visual context in all respects<sup>3</sup>. For this reason, we report the recall metric from Object HalBench Yu et al. (2023b), styled Recall<sup>coco</sup> in our tables. This measures how many objects known to be in an image based on CoCo annotations are mentioned in a comprehensive caption given by the model. We considered as well reporting the CHAIR (Rohrbach et al., 2018) metrics from Object HalBench (Yu et al., 2023b). However, during our experiments, we found that those measurements were not always correlated with the quality of the models evaluated (see Appendix B.3). 

B.2 POPE

We noticed the existence of an upper bound on the POPE benchmark, as most of our experiments would reach a plateau between 86% and 88% despite improvements on other benchmarks. We manually looked at the losses among 100 responses and present the results in this section.

In 20% cases, we observed that the ground truth was either incorrect or disputable. In some of those cases, it appeared that the ontology used to build POPE could potentially result in differing interpretations. For example, in certain countries, a clear distinction exists between a car and a truck, although this distinction is not as pronounced in other regions of the world<sup>4</sup>. We provided an example along the response of our aligned model<sup>5</sup> in Figure 2.



**Prompt:** Is there a tv in the image? **POPE Ground Truth:** yes **Aligned LLaVA 1.6-7B response:** no

Figure 2: Upon analysis of the losses on POPE, we noticed close to 20% of cases where the ground truth was either incorrect or disputable. This example is from POPE, which sources images from COCO (Lin et al., 2014).

Provided these examples are eliminated, we think it is plausible that performant models could potentially exceed a 90% accuracy rate on the POPE benchmark. Re-annotating those examples is beyond the scope of this work, however we would like to invite the community to consider it as

<sup>&</sup>lt;sup>3</sup>To some extent, one could argue this mirrors the tension between helpfulness and safety as reported in Touvron et al. (2023), where a highly safe model may be less helpful.

<sup>&</sup>lt;sup>4</sup>An example of such distinction between car/truck can be seen on COCO\_val2014\_000000210789.jpg where the POPE ground truth expects "no" to the prompt "Is there a car in the image?".

<sup>&</sup>lt;sup>5</sup>We used a LLaVA 1.6-7B DPO-aligned on LLaVA prompts and responses sampled from VLFeedback. See Section 4.3.

many recent SOTA models exhibit such plateau. See Table 4 in (McKinzie et al., 2024) where all the
 models reported are demonstrating such plateau on POPE.

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B.3 CHAIR AND OBJECT HALBENCH

We evaluated two widely used benchmarks in the community for measuring hallucination, focusing
on the computation of CHAIR metrics. We investigated approaches described by Rohrbach et al.
(2018), which uses COCO annotations to compute CHAIR scores, and the more recent method by Yu
et al. (2023b), named Object HalBench, which combines COCO annotations with a GPT model
to enhance the detection of hallucinated objects.

Our analysis reveals that both benchmarks are significantly noisy (Figure 3). We also found that any improvements in CHAIR scores strongly depend on the ability of these benchmarks to detect specific types of hallucinations and cannot be attributed solely to the improvement of the model.

Furthermore, it is common to report CHAIR metrics without including recall metrics. Considering the trade-off between CHAIR and recall, omitting recall does not provide a full picture of how much a model has improved in reducing hallucinations. For instance, a model that generates short and conscise responses might not produce many hallucinations, but this may be at the cost of potentially providing an unhelpful answer.

Hence, the recall metric from Rohrbach et al. (2018) proves particularly informative for comparing different models and helping with our understanding of other benchmarks. We report this metric in our evaluations, styled Recall<sup>coco</sup> in our tables.

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B.4 MMHALBENCH-VISION

The original MMHALBench benchmark (Sun et al., 2023) uses GPT-4 to judge whether model responses introduce hallucinations. In that text-only regime, MMHALBench relies on ground truth information about the pictures, such as the categories of the objects present or a human reference response to the prompt.

We evaluated manually the common wins and losses obtained on MMHALBench during our experiments and noticed that in ~20% cases we disagree with the resulting MMHALBench score<sup>6</sup>. We found cases where responses with hallucinations were considered as correct. Oppositely, we found cases where valid answers were wrongly tagged as containing hallucinations. In many cases, we saw the helpfulness to be under-estimated. See Figure 4.

This can be explained due the ground truth information being only expressed through text causing the judge model, GPT-4, to wrongly tag or miss hallucinations. To mitigate such cases, we introduced MMHALBench-Vision: we rely on the recently introduced GPT4-o to consume the image along the text ground truth information. We kept the evaluation prompt and scoring identical.

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## C RL-BASED ALIGNMENT

To evaluate RL-based alignment methods we followed the established recipe of training a reward
model on a preference dataset and then using an RL algorithm to optimize the MLLM to maximize
the reward of responses sampled from the policy. We chose PPO and RLOO due to their popularity
in the LLM literature.

**Reward Model Training and Evaluation** We analyze the utility of datasets available in the
community for reward model training by training on POVID, RLHF-V and VLFeedback preference
datasets. To evaluate such created reward models in isolation, we hold out a small validation set split
from the original dataset and report classification accuracy of the trained reward model, i.e. its ability
to differentiate the chosen from the rejected response in POVID, RLHF-V, and VLFeedback sets.
These held out validation sets are not used for reward model training.

Table 7 shows the performance of the reward models trained on different datasets across all validation sets. The model trained on VLFeedback shows the best generalization across the different datasets,

<sup>&</sup>lt;sup>6</sup>21 cases out of 96 while comparing wins and losses of two models.



Figure 3: Examples illustrating instances where the CHAIR and Objet HalBench benchmarks fail to detect hallucinations. Text highlighted in green identifies hallucinations successfully detected by the benchmarks. In contrast, text highlighted in red indicates examples where the benchmark failed to identify hallucinations. Orange indicates hallucinations that, though not targeted by these benchmarks, degrade response quality. The top example shows the benchmark proposed by Yu et al. (2023b) while the bottom example follows from (Rohrbach et al., 2018). Images are from COCO (Lin et al., 2014).

D. M. 1.1	T	Held-Out Eval Dataset					
Base Model	Irain Dataset	POVID	RLHF-V	<b>VLFeedback</b>			
		10110	REIII V	VEI COUDUCK			
LLaVA 1.5-7B	POVID	0.99	0.24	0.56			
LLaVA 1.5-7B	RLHF-V	0.12	0.86	0.52			
LLaVA 1.5-7B	POVID + RLHF-V	0.98	0.76	0.53			
LLaVA 1.5-7B	VLFeedback	0.61	0.54	0.81			
LLaVA 1.6-7B	POVID	0.99	0.34	0.59			
LLaVA 1.6-7B	POVID + RLHF-V	0.97	0.68	0.63			
LLaVA 1.6-7B	VLFeedback	0.76	0.53	0.82			

Table 7: Reward model accuracy on the held-out validation set.

as may be expected given its significantly larger size and higher diversity. In contrast, reward models trained on POVID and RLHF-V show notably poor generalization to their respective counterpart, while achieving high scores on their own held out portions. We hypothesize that the reward model may learn to recognize and prefer the respective (original) policy response before corruption (POVID) or enhancement (RLHF-V), which could explain the performance being significantly below a random choice baseline. To strengthen our hypothesis, we also combine the POVID and RLHF-V sets for reward model training and observe that both LLaVA 1.5-7B and LLaVA 1.6-7B are able to learn a more balanced objective, although even for such a combined training set we still observe limited generalization to VLFeedback.

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919	image ID: 16180306430_4dce01a047_0	<b>Prompt:</b> How many people are there in the image?					
920	Inlage ID. 10189390430_40ce91a907_0	MMHAL reference response: There are four people in the image. MMHAL categories: Girl, Human head, Human hair, Mammal, Dog, Person, Human face					
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922		<b>LLaVA 1.6-7B aligned:</b> There are four people in the image: a man,					
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924		GPT-4 response: total score=1, hallucination=1, helpfulness=1					
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927	image ID: 12392564684_ffd19b5b55_0	<b>Prompt :</b> Compare the two different views of the backpack.					
928		of the same black backpack. On the left side, it shows the inside of					
929		the bag, containing a camera, two lenses an other accessories. On the right side, it shows the outside of the bag, and there is a "I owenro"					
930		logo on it.					
931		MMHAL categories: Hiking equipment, Clothing, Backpack					
932		with two different views: the top view and the side view. In the top					
933		view, we can see ()					
934		<b>GPT-4 response:</b> total score=4, hallucination=0, helpfulness=1					
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936							

Figure 4: Example of incorrect assessments with MMHALBench. The top example shows a response incorrectly assessed as having a hallucination. The second presents a response where the model hallucinates a side view of the backpack, but that is missed by MMHALBench. In both cases, the text ground truth does not give enough information to the text-only evaluator to evaluate the response. Reproduction of MMHALBench images cannot take place here due to usage restrictions. Please refer to https://huggingface.co/datasets/Shengcao1006/MMHal-Bench.

RL Training and Evaluation We used the POVID and VLFeedback based reward models for PPO and RLOO training. Table 8 shows the scores of the best models trained via PPO and RLOO.

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947	Alignment	$Dataset_{RM}$	$Dataset_P$	POPE↑	$MMHAL\uparrow$	$MMHAL^V\uparrow$	$LLaV\!A^W\!\!\uparrow$	$VQA^T\uparrow$	$\text{GQA} \uparrow$	MMVet↑	$Recall^{coco}\uparrow$
948	Baseline DPO		_ POVID	86.40 88.09	2.95 3.16	2.75 3.07	80.85 78.05	64.85 64.56	64.23 64.12	43.94 40.60	68.13 73.48
949 950	PPO RLOO	POVID POVID	POVID POVID			P	olicy training	not stable			
951 952	PPO RLOO	VLFeedback VLFeedback	POVID POVID	87.54 87.17	3.02 2.94	3.09 2.72	80.17 78.72	63.90 63.59	64.04 63.72	40.51 42.25	67.19 64.57
JJZ											

Table 8: RL-based alignment of LLaVA 1.6-7B, DPO baseline included for reference. RL-based alignment methods use a reward model based on LLaVA 1.6-7B, Dataset<sub>*RM*</sub> refers to the dataset used to train the reward model, Dataset<sub>P</sub> to the set of images and prompts used for RL alignment.

Mirroring the observed lack in generalization in our reward model experiments, we found that using POVID-based reward model resulted in collapse of responses during the RL training. Only the use of the reward model trained on the much larger VLFeedback dataset allowed for stable RL training without model collapse. We hypothesize that besides the larger size, VLFeedback may be more aligned with the downstream objective of the reward model due to its construction by ranking sampled model responses, compared to POVID, which aims to produce minimally different preference pairs. Nevertheless, even the stronger VLFeedback-based reward model did not allow us to reliably outperform a much simpler DPO baseline<sup>7</sup>. 

These observations indicate that reward model training with subsequent RL alignment could perhaps require more carefully curated data, e.g., with more focus on diversity, than direct alignment methods where both POVID and VLFeedback individually achieve strong improvements. In addition to inherently stronger reward models, perhaps basing them on more powerful base models, it also suggests that the approach introduced in the concurrent work of Yu et al. (2024), which introduces a 

We also found that models achieving higher reward during RL training, did not perform better than models with lower reward and less KL divergence, i.e., models with higher  $\beta$  parameter performed better on the benchmarks. None of the RL algorithms clearly outperformed the others.

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973 symbolic reward formulation based on scores from a VQA model verifying statements made by the policy may be a promising avenue for future research.
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Another interesting observation is that the RL aligned models show similar evaluation trends as the 975 DAP aligned models, where both use POVID prompts and images for the training of the policy. For 976 example, compared to the base model they show some improvement in POPE, and MMHalBench 977 (both variants), with some regressions in LLaVABench-in-the-Wild, TextVQA, GQA, and 978 MMVet. These trends are distinct to what is seen when using direct preference alignment on 979 VLFeedback data as shown in Table 3. This is remarkable as the RL aligned models do of course not 980 use the chosen and rejected responses present in the POVID dataset, instead getting their feedback 981 signal entirely from the reward model which is trained on VLFeedback data. We observe a similar 982 trend in Section D.3, where in a purely online setting, the choice of input prompts and images significantly impacts alignment results. 983

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#### D ONLINE DPO

D.1 ANNOTATOR IN ONLINE-DPO

989 Table 9 shows the prompt we used to obtain online feedback from the annotator. We conducted 990 multiple experiments with different prompts. In one setup, similar to the approach taken by 991 Guo et al. (2024) with the rewards model, we included the ground truth response as an additional 992 signal for the annotator to evaluate both responses. We did not observe any significant change in either the evaluation metrics or the final performance of the aligned model. This may be due to 993 the fact that most of the open-source MLLMs we used in this study still lack the ability to follow 994 instructions effectively, especially when the instructions contain multiple components or detailed 995 steps. 996

We also examined the potential bias of the annotator model in choosing "Response 1" or "Response 2" and found no noticeable bias. Figure 5 shows an example of an annotation made by LLaVA 1.6-34B model.

The most important part of this task is to choose a response that contains less hallucination. Everything in the answer should be based on the contents of the image. You are given an image, a question, and two responses. If the context is about something practical, a helpful response is a concise response, and not one with irrelevant questions and comments. You are an expert annotator, and you rate the answer with less hallucination means every object or attribute of the object, like color and relationship, is described accurately and as it appears in the image. If something is ambiguous in the image, the answer should avoid including any details that are not clearly visible in the image. In your response, you should generate an answer where you indicate whether Response 1 or Response 2 is better and explain the reason.

Table 9: The Prompt Used for the Annotator.

1016 D.2 How does a stronger annotator affect the performance of aligned model?

Annotator Evaluation We used LLaVA 1.6-

34B as the annotator. To verify its capability to accurately judge different responses, we
evaluated it on the sample held-out part of
three datasets we used for evaluating the reward
model in Section C. Results are summarized in
Table 10. For further details on the prompts
used and qualitative examples of the annotator's
outputs, please refer to Appendix D.1.

Dataset	LLaVA 1.6-7B	LLaVA 1.6-34B
VLFeedback (eval)	79.10	90.91
RLHF-V (eval)	81.88	93.90
POVID (eval)	92.96	98.55

Table 10: Performance of the annotators on different preference datasets.

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Response 1: There is a person in the pool,	a young man
enjoying his time surfing the small waves.	

Response 2: This is not a swimming pool but the ocean, and on the ocean, there is a person. A young man is enjoying his time surfing.

Annotator: Based on the image content, Response 2 is better and more helpful. The image shows a person surfing in the ocean, not a swimming pool. The surfer is riding a wave, and there are no other people visible in the image. The description accurately reflects the content of the image and does not include any hallucinations or irrelevant details.



1044 Table 11 presents a comparison of the effects of Online-DPO with two different annotators. 1045

While using Online-DPO with LLaVA 1.6-7B as the judge can enhance the overall performance of 1046 the model, the stronger annotator seems to provide more consistent improvements across various 1047 benchmarks. 1048

1049 Concurrent to us, Yu et al. (2024) proposed an annotation approach that segments the annotation process into easier sub-tasks, with each task being individually scored. These scores are then 1050 aggregated to form an overall score that rates the responses. This method can potentially enable 1051 weaker models to still provide strong supervision signals during the alignment process. Moreover, 1052 exploring the use of stronger base models and diverse datasets, both in terms of size and variety, 1053 could further enhance the effectiveness of the online approach. We leave the detailed investigation of 1054 these aspects for future work. 1055

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)	Model	Dataset	Annotator	$\text{POPE} \uparrow$	$MMHAL\uparrow$	$MMHAL^V \uparrow$	$LLaV\!A^W \uparrow$	$VQA^T\uparrow$	$\mathrm{GQA}\uparrow$	$MMVet \uparrow$	$Recall^{coco}\uparrow$
)	LLaVA 1.6-7B	-	-	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
)	LLaVA 1.6-7B LLaVA 1.6-7B	POVID POVID	LLaVA 1.6-7B LLaVA 1.6-34B	86.54 86.49	2.52 2.88	2.72 2.94	81.55 82.61	64.93 64.88	64.18 64.31	40.73 43.26	67.40 68.45

Table 11: Comparison of Online-DPO with a strong annotator (i.e., LLaVA 1.6-34B) and a weak 1062 annotator (i.e., LLaVA 1.6-7B). 1063

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#### D.3 **ONLINE-DPO & MIXED-DPO** 1068

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1070 We apply Online-DPO and Mixed-DPO to both the POVID and the RLHF-V dataset. The results 1071 are summarized in Table 12. Consistent with our observations on the POVID dataset, applying Mixed-DPO – which combines elements of DPO and Online-DPO – typically results in a moderating 1072 effect on performance outcomes. The results often span a range slightly broader than the highest 1073 and lowest performances achieved by DPO and Online-DPO. This variability is attributed to the 1074 probabilistic nature of the online sampling in Online-DPO. 1075

On the RLHF-V dataset, where Online-DPO consistently outperforms DPO across all benchmarks, the moderating effect of Mixed-DPO proves not beneficial, as the offline DPO component contributes 1077 minimally to the overall model performance. Nevertheless, Mixed-DPO remains a valuable strategy in 1078 scenarios where, as observed in the experiments on the POVID dataset, offline and Online-DPO show 1079 complementary improvements, leveraging the strengths of both to optimize overall performance.

80	Alignment	Dataset	$POPE \uparrow$	$MMHAL\uparrow$	$MMHAL^V \uparrow$	$LLaV\!A^W \uparrow$	$VQA^T\uparrow$	$\mathrm{GQA}\uparrow$	$MMVet \uparrow$	$Recall^{coco}\uparrow$
51	_	-	86.41	3.06	2.71	78.96	64.22	64.22	43.94	68.13
32	DPO	POVID	88.09	3.16	3.07	78.63	64.56	64.12	40.60	73.48
83	Online-DPO	POVID	86.49	2.88	2.94	82.61	64.88	64.31	43.26	68.45
84	Mixed-DPO	POVID	88.03	2.83	3.10	82.75	64.93	64.47	42.80	74.53
95	DPO	RLHF-V	83.86	3.15	3.26	70.58	64.75	62.89	37.16	64.26
05	Online-DPO	RLHF-V	85.40	3.10	3.27	79.66	64.94	64.05	41.01	68.13
86	Mixed-DPO	RLHF-V	85.57	2.94	3.16	78.46	65.06	64.10	41.10	67.82

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### Table 12: The effect of Mixed-DPO, using LLaVA 1.6-7B as the base model.

## E COMPARISON OF DIFFERENT OFFLINE ALIGNMENT METHODS

While we conducted most of our experiments using DPO for comparability with other works in the community, we also ran a few experiments to investigate whether other popular offline methods could improve the results. Results are summarized in Table 13.

Our results indicate that both IPO and SLiC, similar to DPO, boost the model's performance across most hallucination benchmarks. Additionally, these methods demonstrate improvements in more open question-answering benchmarks. We anticipate that Online-IPO and Online-SLiC will yield enhancements over their offline counterparts — similar to the improvements observed with Online-DPO over DPO — as examined in Guo et al. (2024). However, this study is beyond the scope of this paper and is left for future work. Primarily, we aim to highlight the importance of considering different alignment objectives, emphasizing that the choice between offline objectives in different setups can impact the effect of the alignment pipeline.

Alignment	Dataset	$\text{POPE} \uparrow$	$MMHAL\uparrow$	$MMHAL^V \uparrow$	$LLaV\!A^W\!\!\uparrow$	$\mathbf{V}\mathbf{Q}\mathbf{A}^{T}\uparrow$	$\text{GQA} \uparrow$	$MMVet \uparrow$	$Recall^{coco}\uparrow$
-	-	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
DPO	POVID	88.09	3.16	3.07	78.63	64.56	64.12	40.60	73.48
IPO	POVID	87.62	3.11	3.11	82.34	65.09	64.47	43.99	69.81
SliC	POVID	88.28	3.17	3.15	81.99	64.59	64.11	41.51	74.32

Table 13: Comparison of different offline alignment methods based on LLaVA 1.6-7B.

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## 1113 F PREFERENCE DATA

1115 F.1 STATE OF THE ART PREFERENCE DATASETS

This section presents recently published multimodal preference datasets. We categorize those contributions into two categories according to their annotation source:

Human annotations In LLaVA-RLHF (Sun et al., 2023), authors collect human preferences by asking crowdworkers to prioritize responses that minimize hallucinations. Using this process, the authors built a 10k preferences dataset. The prompts are from LLaVA-Instruct-150k (Liu et al., 2023c), while responses are sampled from LLaVA base model. As is common for many other preferences datasets, the source of the images is COCO (Lin et al., 2014).

In RLHF-V, Yu et al. (2023b) collect human preferences at the segment level by asking annotators
to correct mistakes in model responses. Used in conjunction with a token-weighted DPO training,
authors reported a reduced hallucinations level. Prompts and images are originally from the UniMMChat SFT dataset introduced by Yu et al. (2023a), and responses sent for annotation are sampled from
Muffin (Yu et al., 2023a).

Synthetic annotations In DRESS (Chen et al., 2023), authors introduce NLF, a 63k pairwise
preference dataset built from LLaVA-Instruct-150k images and prompts. Authors leverage GPT-4 to
provide critique and refinement on the responses of their in-house DRESS model. In VLFeedback (Li
et al., 2023a), authors sample responses from a pool of 12 multimodal MLLMs — including GPT-4V,
the LLaVA 1.5 series models and Qwen-VL-Chat— on a pool of prompts datasets (LLaVA-Instruct-150k, SVIT (Zhao et al., 2023a) (Visual Genome images), LLaVAR (Zhang et al., 2023) (LAION-5B

images)). This synthetic approach scales up both the number of examples generated and the diversity of the responses. GPT-4V is used to select the best responses.

In POVID, Zhou et al. (2024) generate dispreferences from a ground truth dataset directly, removing the need for ranking responses. Specifically, 17k examples are selected randomly from the LLaVA-Instruct-150k dataset, with the original answers assumed to be a preferred response, while the dispreferred response is derived by prompting GPT-4V to introduce mistakes in the preferred response.

Preference signal Transversally to the source of annotations, we consider how the preference signal is composed. LLaVA-RLHF (Sun et al., 2023) and VLFeedback Li et al. (2023a) use ranking: responses are sampled, then ranked by humans or GPT-4V. Other works use a construction approach where the signal is obtained by correcting responses, such as RLHF-V (Yu et al., 2023b) and DRESS (Chen et al., 2023), or by corrupting the responses such as POVID (Zhou et al., 2024).

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# F.2 DATASET PROMPT CORRUPTION

1148 We leverage GPT-4 to corrupt chosen responses with realistic and plausible hallucinations. We call 1149 *realistic hallucinations* those instances where a human, just by looking at the corrupted response, 1150 is unable to recognize it without having to refer back to the image. We have remarked this was 1151 an important distinction: the more obvious the corruptions are, the poorer the performance of the resulting policy is. We launched side experiments where we employed a less skilled LLM corrupter 1152 and incorporated obvious tags<sup>8</sup> into the responses. In both scenarios, we noticed a drop in performance 1153 as the corruption gets less realistic and readily 'hackable' by the policy under alignment. The prompt 1154 used to corrupt the chosen responses is reproduced in Table 14. 1155

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- 1157 F.3 DATASET SIZE ABLATION WITH THE CORRUPTING STRATEGY

We conducted a dataset size ablation on the application of our corrupting strategy on VLFeedback (Figure 6). We evaluated 7 checkpoints between 100 and 5,000 training samples, our maximum in this data regime (Section 4.3). We provide the baseline results with a dashed line. While POVID shows the best result on Recall<sup>coco</sup>, our simple corruption strategy applied outperforms other datasets on both LLavaBench-in-the-Wild and MMHALBench hallucination rate, while being on par on the MMHALBench helpfulness rate with VLFeedback vanilla.

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# 1165 G BIAS-DRIVEN HALLUCINATION SAMPLING

This section provides additional details and supplemental results for the proposed bias-driven halluci nation sampling (BDHS) approach.

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## G.1 RELATED WORK

As part of the POVID work, Zhou et al. (2024) suggests to trigger inherent hallucination patterns 1172 directly by presenting noisy images  $\tilde{x}_{img}$  to the model when generating the non-preferred response 1173  $\tilde{y}^-$ . Hereby, each generated token t in  $\tilde{y}^-$  is conditioned on the prior tokens from the preferred 1174 response  $y_{<t}^+$ , i.e.,  $\pi_{\theta}(\tilde{y}_t^-|\tilde{x}, y_{<t}^+)$  with modified input  $\tilde{x} = (x_{\text{text}}, \tilde{x_{\text{img}}})$  (teacher-forcing). The tilde 1175 notation emphasizes that the response is driven by the model with restricted access to the image.  $\tilde{x}_{img}$ 1176 is created through a diffusion process that incrementally adds Gaussian noise to the image  $x_{img}$  for a 1177 predefined number of steps N, which is set to 500 by default (see Section G.2 for implementation 1178 details). 1179

The online response  $\tilde{y}^-$  is combined with existing preference pairs  $(y^+, y^-)$  by averaging pairwise losses<sup>9</sup>, i.e.,

$$L_{\text{Avg-DPO}}(\pi_{\theta}) = \mathbb{E}_{\substack{(x,y^{+}) \sim D\\ \tilde{y}^{-} \sim \pi_{\theta}(\tilde{x}_{\text{img}}, y^{+})}} \left[ \gamma L_{\text{DPO}}(y^{+}, y^{-}, x; \pi_{\theta}) + (1 - \gamma) L_{\text{DPO}}(y^{+}, \tilde{y}^{-}, x; \pi_{\theta}) \right], \quad (5)$$

 <sup>&</sup>lt;sup>8</sup>An example of obvious rejected response marked with a tag: *There are only two examples of plates that are visible in the image. This response is factually incorrect.*

<sup>&</sup>lt;sup>9</sup>The loss presented here refers to the version in the public source code of POVID (Zhou et al., 2024) which averages the individual DPO losses, opposed to the paper (Zhou et al., 2024) that combines the non-preferred responses by a weighted sum of their log-probabilities.

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	You are provided with a prompt and a response to that prompt. You task is to corrupt the response with realistic hallucinations.
1	Hallucinations can be including objects/persons/animals not present in the original response,
L	color shapes etc.) or their spatial relationships. Those hallucinations have to be realistic
L	
	In other words, your task is to change the provided response in a way that could be correct
L	given the prompt, but is not. A person only seeing the corrupted response shall not be able to identify it
	as being incorrect, so your change must be a plausible (although again incorrect). Output only the updated corrupted response nothing else
	updated, corrupted response, nothing else.
L	Here are a few examples:
	### Example 1
	prompt: what is the title of the book mentioned in the image? response: The title of the book in the image is "Picture This: A Callery of Eusible Appliqué Projecte for
	Quilting and Framing" by Jean Wells & Marina Anderson.
	corrupted response: The title of the book in the image is "Sewing Masters: A Collection of Innovative
	Embroidery Designs for Quilting and Framing" by Marvin Wells & Vincent Anderson.
	### Example 2
	prompt: You are a driving assistant. Based on current image, what is the best action to take when you
	are driving on the road? A. Slow down B. Turn around C. Stop the car D. Turn Left E. Keep driving.
	Please give reasons and the answer
	response: The best action to take when driving on the road, based on the current image, would be: E.
	Reasons for this action:
	1. The traffic light visible in the image is green, indicating that it is legal and safe to proceed.
	2. The speed limit sign shows "60" which means the car, currently at 20 km/h, is well below the
	maximum speed limit and can safely continue and even increase speed if necessary.
	3. The road ahead is clear of any immediate hazards or obstructions that would require stopping or
	corrupted response: The best action to take when driving on the road, based on the current image
	would be: C. Stop the car.
	Reasons for this action:
	1. Although the traffic light on the left in the image is green, the one on your side is red, indicating it is
	2. The speed limit sign shows "60" which means the car currently at 20 km/h is well below the
	maximum speed limit and can safely stop before the intersection.
	3. The intersection up ahead indicates the presence of crossing cars, requiring a stop.
	### Example 3
	prompt: {original prompt}
	response: {original_response}
	corrupted response:
	Table 14: Prompt used to corrupt datasets with GPT-4.
	<b>:4</b> 0 f
W	$\gamma = 0.5.$
Ζ	Chou et al. (2024) suggests that the proposed teacher-forcing strategy can help to yield samples
tl	hat exhibit corruption only in few, key tokens most informed by the visual content, thus focusing
tl	ne feedback signal for alignment. However, since the method operates token by token, we found
tl	hat this can introduce non-sensical responses, e.g., only corrupting some parts of multi-token noun
p t1	mases. Such constructions would presumably already achieve a low generation probability, limiting a learning signal for the DPO based alignment
u	ie iearning signal for the DrO-based anglinent.

Concurrent to our BDHS work, (Yu et al., 2024) also emphasizes the significance of generating model
 samples with minimal differences. While their insights on annotation strategy are interesting, their
 proposed "Deconfounded Candidate Response Generation" approach appears similar to common

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Figure 6: Impact of the preference dataset size. Our corrupting strategy outperforms other datasets on LLaVA-in-the-Wild and MMHALBench hallucination rate. It is on par on the MMHALBench helpfulness rate against vanilla VLFeedback. Finally, POVID reports the highest Recall<sup>coco</sup>. The dashed lines are the scores for the LLaVA 1.6-7B baseline.

sampling techniques using higher temperatures in online pipelines, which do not necessarily create
pairs of minimal differences. In another concurrent work, Deng et al. (2024) proposes generating
"rejected responses" through image corruption. Despite the conceptual resemblance, we find that
both using an attention mask and SFT-guided corruption are crucial in our final BDHS design (see
Section 4.4).

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## 1292 G.2 ADDING NOISE TO IMAGES

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1294 This section describes how to gradually add noise to images through a diffusion process. The 1295 derivation follows the public implementation of POVID-style image distortion (Zhou et al., 2024) to enable the proper reproduction of their results. 1296 Let  $x_{img}(k)$  denote the image after applying noise k-times with  $x_{img}(0)$  referring to the original image 1297 and  $\mathcal{N}(0,1)$  represent the normal distribution. Then the forward noise process is defined as: 1298

$$x_{\rm img}(k) = \sqrt{1 - \beta_k} x_{\rm img}(k-1) + \sqrt{\beta_k} \epsilon \quad \text{with } \epsilon \sim \mathcal{N}(0,1).$$
(6)

1300 Hereby,  $\beta_k$  denotes a time-variant parameter which is set to  $\beta_k = \sigma(-6 + \frac{12k}{1000}) \cdot (0.5 \cdot 10^{-2} - 10^{-2})$  $10^{-5}$ ) +  $10^{-5}$  to gradually increase noise between k = 0 and k = 1000 (refer to Figure 7). 1302



Figure 7: Schedule of diffusion parameter  $\beta_k$ .

1312 The recursive equation equation 6 can be reformulated to apply k steps of noise at once. Setting 1313  $\alpha_k = 1 - \beta_k$  and  $\bar{\alpha}_N = \prod_{k=1}^N \alpha_k$ , the following equation applies N steps of noise to image  $x_{img}(0)$ : 1314

$$\tilde{x}_{\rm img}(N) = \sqrt{\bar{\alpha}_N} x_{\rm img}(0) + \sqrt{1 - \bar{\alpha}_N} \epsilon \quad \text{with } \epsilon \sim \mathcal{N}(0, 1).$$
(7)

The default for N in Zhou et al. (2024) is N = 500. 1317

#### 1318 G.3 BDHS: REFERENCE-GUIDED GENERATION 1319

1320 This section provides more details about the reference-guided generation as summarized in Section 3.1. 1321 We assume that the preferred response  $y^+$  can be split into k = 1, 2, ..., S sentences with  $y_k^+$  denoting 1322 the k-th sentence of  $y^+$ . Each sentence is decomposed into two parts  $y_{k,1}^+$  and  $y_{k,2}^+$ , respectively, at 1323 a randomly sampled position, i.e.,  $y_k^+ = (y_{k,1}^+, y_{k,2}^+)$ . The model  $\pi_\theta$  is then invoked to generate a 1324 corresponding corrupted sentence  $\tilde{y}_k^- = (y_{k,1}^+, \tilde{y}_{k,2}^-)$  whereas 1325

$$\tilde{y}_{k,2}^- \sim \pi_\theta(\cdot | \tilde{x}, y_{\leq k}^+, y_{k,1}^+) \,.$$
(8)

1328 Note that this is an abuse of notation for better readability, as  $\tilde{y}_{k,2}^-$  denotes the full response sampled from multiple model invocations until the first full stop or end of sequence token. Every sentence 1330 is based on the full ground truth from the previous sentence  $y_{< k}^+$  and not the previously generated 1331 output  $\tilde{y}_{< k}^-$  to improve consistency. Finally, the full BDHS response is given by concatenation of the 1332 individual sentences, i.e.  $\tilde{y}^- = (\tilde{y}_1^-, \tilde{y}_2^-, \dots, \tilde{y}_S^-)$ . Note, the partitioning into sentences is a design 1333 decision to keep the non-overlapping portion between  $y^+$  and  $\tilde{y}^-$  reasonably small and to improve 1334 consistency when switching forth and back between responses. In the implementation, the generation 1335 of responses for several sentences and preference pairs can be highly parallelized as equation 8 does 1336 not depend on any previously generated output for all k.

1337 For question answering tasks, several ground truth responses  $y^+$  consist of only one or few words 1338 and often start with yes or no. In these cases  $\tilde{y}_{k,2}^-$  can often easily inferred from  $y_{k,1}^+$  even without 1339 image access at all and therefore we extend the previous strategy by a simple heuristic: whenever 1340  $y_{k,1}^+$  starts with a yes or no it is substituted by its counterpart with a probability of 50%. 1341

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#### G.4 BDHS: ENSURING SEMANTICALLY MEANINGFUL DIFFERENCES

1344 Section 3.1 describes an iterative technique for BDHS that evaluates similarity scores between the 1345 generated response  $\tilde{y}^-$  and the ground truth  $y^+$ . If both responses are identified as similar according to the sentence embeddings model, a new BDHS response is sampled until a maximum number of iterations  $N_{\text{BDHS}}$  is reached. The last iteration waives the ground truth reference and generates a full 1347 response which is then taken as  $\tilde{y}^-$  regardless of the similarity score. Figure 8 shows the number 1348 of non-similar responses, i.e. ensuring  $\epsilon_s < 0.97$ , over the number of BDHS iterations for the full 1349 POVID (5k) dataset. As expected all BDHS variants result in a larger number of non-similar responses



Figure 8: Number of resolved similar responses for BDHS generation based on POVID (5k). Parameters are  $\epsilon_s = 0.97$  and  $N_{\text{BDHS}} = 5$ .

compared to the model response without image attention blocking or noisy images. Running BDHS 1367 with a single iteration already results in more than 74% semantically different responses. After four iterations, BDHS variants with restricted image access differ in over  $90\,\%$  while the last iteration is 1368 guidance free and only depends on the sampled attention mask resp. noise. Interestingly, in iteration 5, 1369  $BDHS_{attn}$ ,  $\rho_{th}=1$  corresponds to guidance-free response generation with fully blocked image tokens 1370 which still results in 7% similar responses w.r.t. the SFT ground truth. Probable reasons for this 1371 saturation are either that the correct answer is easy to guess even without access to the image, or that 1372 the answer is memorized from the training data. Note that prompts and images in POVID (5k) are 1373 extracted from LLaVA Instruct which served as training data for fine-tuning LLaVA-1.6. 1374

BDHS with noisy images in the input and N = 500 diffusion steps results in more than 99% semantically different responses after five iterations, surpassing the score for the fully blocked response. This is misleading, as although the responses are indeed semantically different, they mostly mention that the prompt cannot be evaluated due to blurry and noisy images. Essentially, the noise adds an additional bias towards noise/pixel-referring responses instead of inducing only the desired inherent bias which would saturate at approx. 93% (response with fully masked image tokens).

After three iterations, the score of  $BDHS_{attn}$ ,  $\rho_{th}=0.99$  reaches the one from the fully blocked response which is hypothetically implied due to increased diversity by subsampling a distinct attention mask.

- <sup>1383</sup> Section G.7 presents several examples with actual responses.
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G.5 BDHS ALGORITHM

1387 The general overview of BDHS is provided in Figure 1. This section introduces the corresponding algorithm which is listed in Algorithm 1. This version includes both, noisy images for BDHS<sub>noise</sub> and 1388 attention masking for BDHS<sub>attn</sub> (refer to the comments in Algorithm 1). We add a straightforward 1389 heuristic to swap yes and no words whenever they occur in the beginning of a sentence. For this 1390 purpose line 12 introduce a regular expression which matches any yes or no at the beginning of each 1391 sentence and optionally skips any preceding newline or whitespace characters. This expression can 1392 be extended to further use-cases if desired. We choose to generate the full response without any SFT 1393 ground truth guidance in the very last iteration whenever  $N_{\text{BDHS}} > 1$  to minimize similarity (refer to 1394 line 5).

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- G.6 ADDITIONAL ABLATIONS

1398Additional BDHS ablations, especially regarding different hyperparameter choices are shown in1399Table 15. We also evaluate SFT guidance-free generation only with attention masking active. The1400corresponding benchmark results are listed in the first two rows. All subsequent rows evaluate1401the full BDHS approach including SFT guidance. We include ablations that rely on noisy images1402rather than attention masking, following the diffusion process described in G.2. Table 16 presents1403results for larger BDHS datasets, in particular for full POVID ( $\approx$ 17k samples) and VLFeedback with1404GPT-4V responses ( $\approx$ 34k samples, refer to Section 4.3 for details about the dataset modification).

	BDHS iterations N <sub>BDH</sub>	<sub>IS</sub> , simila	rity thresho	old $\epsilon_{\rm s}$					
1:	for $i = 1, 2,, N_{BDF}$	<sub>IS</sub> do				·			
2:	$m \leftarrow \text{Sample imag}$	ge attenti	on mask w	th $\rho_{\rm th}$ accord	ding to equal	10n ??	$\triangleright \rho_{\text{th}}$	> 0 only	tor BDHS <sub>attn</sub>
3:	$x_{img} \leftarrow AddNoise$	$e(N, x_{img})$	) via equat	ion /			$\triangleright N >$	> 0 only for	or BDHS <sub>noise</sub>
4:	$x \leftarrow (x_{\text{text}}, x_{\text{img}}, m)$	$i = N_{}$	then						
5. 6.	$u^- \leftarrow \text{Generat}$	$\iota = IVBD$	HS then del respons	e via π <sub>o</sub> (.] α	•)				
7:	return $u^-$		der respons		·)				
۶. 8.	$S \leftarrow \text{Split } u^+ \text{ into}$	S senten	ices						
9. 9.	$u_{v}^{-} \leftarrow \emptyset$	5 senten	1005					Initialize	empty string
10·	for each $u^+$ in S of	do					r r	⊳ F	Parallelizable
11:	$\xi \leftarrow \xi \sim \mathcal{U}(0, \xi)$	1)			$\triangleright \mathcal{U}(0,1) d$	enotes th	e unifor	m distribu	tion in [0, 1]
12:	if $u_1^+$ matches	r"^[\s]*(	Yes ves No	<i>no</i> )" and <i>E</i> 2	> 0.5 <b>then</b>	$\triangleright r''$ .	" denote	es a regula	r expression
13:	$u_{i}^{+} \leftarrow Swa$	in corresi	nonding Yes	ves by Noli	no and vice v	/ersa			<b>F</b>
14:	$y_{l_1}^+ \leftarrow \text{Sample}$	e random	n position ir	$y_{l_{1}}^{+}$ and ret	urn first subs	tring			
15:	$u_{k,1}^{-} \leftarrow \text{Compl}$	ete sente	ence via equ	ation 8 until	l full stop or	<eos></eos>			
16:	$u_{L}^{-} \leftarrow (u_{L}^{+}, u_{L}^{+})$	$(\overline{1}, 0)$	1		1	⊳ Conc	atenate	strings to	full sentence
17:	$u^- \leftarrow (u^-, u^-)$	к,2) -)					⊳ Appe	end to ove	rall response
18.	$\phi \leftarrow Compute sim$	, ilarity sc	ore hetwee	$n u^{-}$ and $u^{+}$	in [0, 1]		⊳ ∐se	e sentence	embeddings
10. 19·	$\phi \leftarrow \text{compare sin}$ if $\phi < \epsilon$ , then	manty sc		ii y and y	$\operatorname{III}\left[0,1\right]$		1030	2 sentence	embeddings
20:	break				⊳ Sema	ntically d	ifferent	according	to threshold
21·	return $y^-$				r Senna	unearly a		acconting	to un conord
	return y								
	$\tilde{y}^-$ derived from policy	POPE↑	MMHAL <sup>↑</sup>	$MMHAL^V \uparrow$	LLaVA <sup>W</sup> ↑	$VQA^T \uparrow$	GQA↑	MMVet↑	Recall <sup>coco</sup> ↑

1420	y derived from policy	FOL	MININAL	MININAL	LLavA	VQA	GQA	wilvi vet	Recall
1429	– (Baseline)	86.40	2.95	2.75	80.85	64.85	64.23	43.94	68.13
1430	– (Plain DPO)	88.18	<u>2.93</u>	2.93	81.89	64.90	64.34	43.39	71.80
1431	Attention Masking, $\rho_{\rm th} = 0.98$	88.61	2.25	2.25	82.25	64.92	64.04	42.75	77.46
1/122	Attention Masking, $\rho_{\rm th} = 0.99$	88.70	2.52	2.51	86.08	65.07	64.06	42.02	77.04
1432	$BDHS_{attn}, \rho_{th} = 0.98$	88.80	2.56	2.68	86.54	65.02	64.03	43.03	76.10
1433	$BDHS_{attn}, \rho_{th} = 0.99$	88.75	2.61	2.71	86.33	65.07	63.97	43.39	75.58
1434	$BDHS_{attn}, \rho_{th} = 1.00$	88.70	2.63	2.80	84.15	65.18	63.93	43.12	75.37
1435	$BDHS_{noise}, N = 100$	88.50	2.58	2.48	82.46	64.96	64.34	40.14	75.47
1 100	$BDHS_{noise}, N = 200$	88.55	2.49	2.38	83.43	65.10	64.24	38.76	74.53
1436	$BDHS_{noise}, N = 300$	88.59	2.43	2.45	85.16	65.11	64.18	40.69	76.10
1437	$BDHS_{noise}, N = 400$	88.66	2.39	2.42	83.72	65.09	64.29	40.41	75.16
1407	$BDHS_{noise}, N = 500$	88.59	2.36	2.49	84.53	65.05	64.14	41.38	75.16
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Table 15: Additional ablation results for Offline-BDHS. All results are based on LLaVA 1.6-7B, 1440 using DPO and the POVID (5k) sample for the source of images and prompts. and prompt.

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1443 For the majority of benchmarks, the variants with BDHS non-preferred responses improve over the non-BDHS datasets, especially for LLaVA<sup>W</sup> and MMVet. 1444

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1446 G.7 ADDITIONAL EXAMPLES 1447

1448 This section presents further examples of responses generated from LLaVA instruct prompts and images. The different variants of BDHS are introduced in Section 3.1. 1449

1450 Figure 9 presents generated responses for a selected example defined by image, prompt and SFT 1451 ground truth from the LLaVA Instruct dataset. This example should particularly demonstrate the 1452 difference between attention masking and noisy images. BDHS with attention masking  $(N_{\text{BDHS}} = 5)$ 1453 is referred to as as BDHS<sub>attn</sub> and BDHS with noisy images in the input as BDHS<sub>noise</sub>. For  $\rho_{th} = 0$ 1454 attention masking is disabled but still guided along the ground truth response. The model is able to 1455 properly identify the parking meter in the image. With increased attention masking the model starts to hallucinate as desired. Even with fully masked image embeddings the model still hallucinates, while 1456 for BDHS<sub>noise</sub> the generated responses tend to refer to the blurriness of the images. The example 1457 includes responses for the teacher-forced POVID-style image distortion as described in Section G.1.

1458	Dataset	$POPE \uparrow$	$\text{MMHAL} \uparrow$	$MMHAL^V \uparrow$	$LLaVA^W\uparrow$	$VQA^T\uparrow$	$\mathrm{GQA}\uparrow$	$MMVet \uparrow$	$Recall^{coco}\uparrow$
1459	POVID (17k)	88.09	3.16	3.07	78.63	64.56	64.12	40.60	73.48
1460	BDHS (POVID, 17k)	89.09	2.90	2.91	80.49	65.26	64.34	43.35	71.07
1461	VLFeedback (GPT-4V resp., 34k)	86.59	3.05	2.93	82.91	65.02	63.86	37.62	69.60
1461	BDHS (VLFeedback, GPT-4V resp., 34k)	86.72	3.10	2.73	88.82	65.23	63.87	42.16	72.11
1462									

Table 16: Additional results for BDHS with  $\rho_{th} = 0.99$  (DPO) for larger datasets, i.e. full POVID (17k) and a 34k VLFeedback variant with GPT-4V responses as described in Section 4.3.



Figure 9: Example of generated responses for different hyperparameters and approaches. The image, prompt and SFT ground truth are taken from LLaVA Instruct. For guided generation, actual model completions are shown in bold face. 

Due to the token-based, teacher-forced predictions, the generated responses often are non-sensical and inconsistent which worsens for higher noise levels. 

Further examples are shown in Figure 10. 



Figure 10: Examples of generated responses from BHDS ablations and POVID-style image distortion.
The image, prompt and SFT ground truth are taken from LLaVA-Instruct-150k, which sources them
from CoCo (Lin et al., 2014).