Latent Segment Language Models

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⁰⁰¹ Abstract

 Tokenization is a critical step in every NLP system, yet most works treat it as an isolated component separate from the models they are building. In this paper, we present a frame- work to jointly learn next-token prediction and segmentation from a sequence of characters or bytes. We evaluate our model on language modeling benchmarks in English, Chinese, and Japanese using both character and byte vocab-**ularies.** Our model consistently outperforms baselines on Chinese benchmarks with charac- ter vocabulary and shows significant improve- ments with byte vocabulary. Further latency improvements are achieved by adapting differ- ent pooling strategies while maintaining com- parable results to the best models. Our main contributions are threefold: we propose a lan- guage model that learns to segment the input sequence, conforming to the desired segmen- tation prior; we demonstrate that our model achieves shorter latency than baselines in token generation; and we show that our model can be applied to three different languages—English, Chinese, and Japanese—demonstrating its po- tential for wider NLP applications. Our source code will be released on GitHub.

028 1 Introduction

 Tokenization is a vital procedure in every natu- ral language processing (NLP) system because it impacts both computational efficiency and model performance. Tokenization refers to the process of breaking down text into smaller units, such as words or subwords, which can be processed by the model. Before the dominance of neural networks in NLP, word-based tokenization was ubiquitous [\(Mielke et al.,](#page-9-0) [2021\)](#page-9-0). However, word tokeniza- tion has a significant issue: the out-of-vocabulary (OOV) problem, where words encountered during evaluation are not present in the training vocab- ulary. A common solution to this problem is to 042 map unknown words to a special symbol <*oov*>,

which can negatively impact accuracy. To address 043 OOV issues, subword-based tokenization was intro- **044** duced, segmenting words into sequences of smaller **045** [u](#page-9-3)nits [\(Sennrich et al.,](#page-9-1) [2016;](#page-9-1) [Wu et al.,](#page-9-2) [2016;](#page-9-2) [Kudo](#page-9-3) **046** [and Richardson,](#page-9-3) [2018\)](#page-9-3). While effective in reduc- **047** ing OOV occurrences, this approach introduces a **048** separate learning process for the subword vocab- **049** ulary. Techniques like Byte Pair Encoding (BPE) **050** are commonly used, but their simple design can **051** lead to suboptimal vocabularies, potentially harm- **052** [i](#page-8-0)ng downstream task performance [\(Bostrom and](#page-8-0) **053** [Durrett,](#page-8-0) [2020\)](#page-8-0). **054**

Recent research has explored various strategies **055** for discovering linguistic units within text. Segmen- **056** tal language models [\(Sun and Deng,](#page-9-4) [2018\)](#page-9-4) model **057** the joint probability of the token sequence and its **058** segmentation, facilitating the discovery of Chinese **059** words from an unlabeled corpus. These models **060** effectively compute the marginal probability of the **061** input sequence by parameterizing the maximum **062** segment length and employing a dynamic program- **063** ming algorithm for training. Alternatively, some 064 researchers group input characters into a fixed num- **065** [b](#page-8-2)er of segments [\(Clark et al.,](#page-8-1) [2022;](#page-8-1) [Behjati and](#page-8-2) **066** [Henderson,](#page-8-2) [2023\)](#page-8-2). These methods train models to **067** aggregate sequences of character representations **068** into shorter abstract representations. However, this **069** approach limits the model to a fixed number of **070** abstract representations during training. **071**

In contrast, [Nawrot et al.](#page-9-5) [\(2023\)](#page-9-5) proposed im- **072** proving Transformer efficiency and performance **073** by pooling character representations. Their method **074** overcomes the fixed-length limitation of previous **075** works by dynamically adjusting the character pool- **076** ing based on a boundary predictor. Through bound- **077** ary prediction, their model identifies and pools **078** variable-sized segments of characters, guided by **079** either end-to-end learning or supervision from ex- **080** isting tokenizers. Despite these advancements, **081** [Nawrot et al.'](#page-9-5)s [\(2023\)](#page-9-5) architecture, like most neural **082** language models, still requires the decoder to con- **083**

 dition on the entire previous character string. This creates inefficiencies for long character sequences, as characters with minimal impact on future pre- dictions still incur attention-related computational costs. To mitigate these costs, we adapt the decoder to operate on character segments instead of the en- tire string. This method was initially proposed by [Sun and Deng](#page-9-4) [\(2018\)](#page-9-4), but their approach relied on an RNN-based encoder and imposed segment length limits. Our work demonstrates improve- ments in both latency and effectiveness by sam- pling the next character with an encoder-decoder language model.

 In this paper, we propose an encoder-decoder architecture for training auto-regressive language models. First, a causally masked encoder takes the character string as input and outputs boundaries and representations of the input. We then pool these character representations into shortened rep- resentations, as done in [\(Nawrot et al.,](#page-9-5) [2023\)](#page-9-5). The decoder then takes the characters from one pooled segment as input, using all previously pooled rep- resentations for cross-attention. This shift allows for more efficient decoding of character strings, fo- cusing the model on the most relevant segments of the input sequence.

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110 Our main contributions are threefold:

- **111** In terms of model training, we formulate a **112** language model capable of learning to seg-**113** ment the input sequence. This model not only **114** learns to segment the sequence but also con-**115** forms to the desired segmentation prior.
- **116** Regarding model efficiency, we demonstrate 117 **that our model achieves shorter latency than 118** the baseline in token generation.
- **119** For model evaluation, we show that the pro-**120** posed model can be applied to three different **121** languages - English, Chinese, and Japanese **122** - demonstrating its potential for wider NLP **123** application.

¹²⁴ 2 Latent Segment Language Models

125 We denote a sequence of T tokens as $X =$ 126 $x_1x_2 \ldots x_T$, where each token x_t can represent **127** either a character or a UTF8 byte, depending on 128 the selected vocabulary. Let $Z = z_1 z_2 \dots z_T$ rep-**129** resent the boundaries of segments within X. The

Figure 1: The architecture of the proposed Latent Segment Language Model is illustrated here. x_1 and x_8 represent $\langle BoS \rangle$ and $\langle eos \rangle$, respectively. We have not shown \langle bos \rangle and \langle nxt \rangle for each output segment in this figure. The segmentation of this sequence is represented as 0s and 1s in the pooling block. The input to all model blocks is causally masked; this means that the i -th output is computed from all the inputs before and including the i -th input. The dashed line highlights the information used to decode the 4th segment, x_7x_8 , during inference.

boundary between consecutive tokens x_t and x_{t+1} , 131 as proposed by [Nawrot et al.](#page-9-5) [\(2023\)](#page-9-5). **132**

The tokens that make up the m -th segment are 133 denoted by $Y_m = y_{m,1}y_{m,2} \dots y_{m,L_m}$, where L_m 134 is the number of tokens in the m -th segment. Here, 135 $z_t = 1$ signifies the end of a segment at position t, 136 and $z_t = 0$ indicates no boundary between x_t and 137 x_{t+1} . 138

We define the Latent Segment Language Model **139** (LSLM) to jointly model the token sequence X and **140** the segment boundaries Z . The joint probability 141 $p(X, Z)$ is given by: 142

$$
\log p(X, Z) = \sum_{t=1}^{T} \left(\log p(x_t | X_{< t}, Z_{< t}) + \log p(z_t | X_{\leq t}, Z_{< t}) \right), \tag{1}
$$

(1) **¹⁴³**

where $X_{\leq t} = x_1 x_2 \dots x_{t-1}$ denotes the se- **144** quence of tokens generated before the t-th token, 145 and $Z_{\leq t} = z_1 z_2 \dots z_{t-1}$ denotes the sequence of 146 segment boundaries predicted before the t-th token. **147**

Each boundary prediction z_t is modeled as a discrete latent variable, drawn from a parameterizable **149** distribution: **150**

2

$$
^{151}
$$

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 $z_t \sim p(z_t;t).$

The probability distributions $p(x_t | X_{\leq t}, Z_{\leq t})$ 153 and $p(z_t | X_{\leq t}, Z_{< t})$ represent the model's predic- tions for the next token and the boundary, respec- tively. The LSLM captures dependencies between token sequences and segment boundaries, provid- ing a more nuanced understanding of the structure within the data.

159 2.1 Generative model $P(X, Z)$

160 In the event of a boundary between tokens x_t **and** x_{t-1} **, we aggregate the token representations** $h_1h_2 \tldots h_{t-1}$ into segment representations $S =$ $s_1s_2...s_m$. Here, each token is pooled into its 164 corresponding segment. The index m is defined as $m = \sum_{i=1}^{t-1} z_i$, where z_i indicates a boundary. The **interpresentation** h_t is the contextualized token repre-**sentation obtained from the token encoder** enc_{tok}:

$$
h_t = enc_{tok}(h_{t-1}, x_t).
$$

169 Next, we compute the contextualized segment rep-170 m resentation s'_m using the context encoder enc_{ctx} :

$$
s'_m = enc_{ctx}(s'_{m-1}, s_m).
$$

172 Following this, the model employs an auto-173 regressive decoder to generate the next token x_t 174 of segment $m + 1$ using the previous segment rep-175 **resentations** $s'_{0:m}$:

176
\n177
\n178
\n
$$
o_{m+1,n} = dec(s'_{0:m}, o_{m+1,n-1}, y_{m+1,n-1}),
$$
\n179
\n178
\n
$$
x_t = y_{m+1,n} = softmax(\mathbf{W}o_{m+1,n} + \mathbf{b}).
$$

179 Initially, the previous token of the segment **¹⁸⁰** ym+1,n−¹ is a starting symbol, which provides the **181** initial context for the decoder.

 Conversely, when there is no boundary between 183 tokens x_t and x_{t-1} , there is no need for aggrega- tion. In this scenario, the model directly generates 185 the next token x_t of segment m, considering x_{t-1} as the previous token of the segment, denoted by 187 y_{m,n−1}. In both cases, whether z_{t-1} indicates a **boundary or not, the newly generated token** x_t **is fed into the token encoder** enc_{tok} **.**

Each segment Y_m is generated in an auto- regressive manner, augmented with a *<bos>* sym-192 bol at the beginning as $y_{m,0}$ to signify the start of the segment. Furthermore, a *<nxt>* symbol is ap-**pended at the end of** Y_m **to inform the model to** transition to the next segment. The augmentation of Y^m allows the model to treat the *<nxt>* symbol **as a boundary between** y_{m,L_m} and $y_{m+1,1}$ during inference.

2.2 Parametrization of z 199

To make the boundary context-dependent, the **200** LSLM employs a causally-masked encoder that **201** tokenizes the input sequence incrementally, pro- **202** cessing one token at a time. Upon decoding a **203** token, the model appends it to a list and concur- **204** rently outputs a boundary prediction to ascertain **205** the formation of a new segment. When a token is **206** identified as an endpoint, the tokens comprising the **207** nascent segment are extracted from the list and fed **208** into the encoder. Since segments consist solely of **209** consecutive tokens, their extraction from the par- **210** tially formed X and Z is straightforward, negating 211 the need for supplementary data structure. **212**

For the complete computation of sequence prob- 213 ability, LSLM introduces a distinct initial symbol, **214** $\langle BoS \rangle$, at the beginning of sequence X to estab- 215 lish the context for ensuing segments and to distin- **216** guish it from the segment's starting symbol, *<bos>*. **217** Analogously, the symbol *<eos>* is appended to the **218** end of X. In sequence Z, an initial *ending* symbol **219** indicates that *<BoS>* inaugurates a segment. The **220** model is engineered to predict *ending* determinis- **221** tically when encountering *<eos>* during training. **222** This generation procedure is maintained until the **223** decoder produces an *<eos>* symbol in the infer- **224** ence phase. Throughout this document, T signifies **225** the length of the augmented sequences X and Z . 226

More specifically, let $Z = \{ending\}$ be the trace 227 of token types of a sequence before any token gen- **228** eration. Each element of Z can either be *ending* or **229** *non-ending*. Without loss of generality, we define **230** z_t as 1 for ending states and 0 for non-ending states. **231** Before generating token x_{t+1} , the boundary pre- 232 $\text{diction } z_t \text{ is sampled from a Bernoulli distribution}$ 233 based on the current representation \hat{h}_t : **234**

$$
z_t \sim Bernoulli(p_t), \quad p_t = \sigma(\overrightarrow{1}^{\top} FFN(h_t)).
$$
\n(2)

The FFN consists of two linear transformations **236** without bias terms with a ReLU activation in be- **237** tween [\(Vaswani et al.,](#page-9-6) [2017\)](#page-9-6), transforming from **238** h_t into $\hat{h_t}$ of the same size. In preliminary experi- 239 ments, we found the model to be more stable when **240** the FFN's output is summed in this way than trans- **241** forming it into a scalar. **242**

2.3 Pooling token representations **243**

To compute the segment representations s_m terminating at token x_t , we aggregate the representations 245 of the tokens starting from x_t backwards to include 246 the token at position $t - m$. This aggregation is 247

Figure 2: An illustration of 2-hops pooling with a sequence of 4 tokens and its Z shown at the bottom. Each representation, H , is obtained by summing the representations from the source nodes connected by solid lines.

Figure 3: The computation of the binary matrix B for dynamic pooling of token representations is adapted from [Bhati et al.](#page-8-3) [\(2021\)](#page-8-3). Instead of applying a bounded function, we allow zeros in V to be transformed to $1-V$, and the rest are replaced by zeros, as done by [Nawrot](#page-9-5) [et al.](#page-9-5) [\(2023\)](#page-9-5). *M* is the number of segments excluding the segment which contains the *<eos>* symbol.

 defined such that $z_{t-j} = 0, \forall j, (j \ge 1 \land j \le m)$,

249 and $z_{t-m-1} = 1$. In this study, we evaluate two disand $z_{t-m-1} = 1$. In this study, we evaluate two dis- tinct pooling methods tailored to different research objectives.

252 The first method, referred to as *N*-hops, is for-**253** malized as follows:

254
$$
\mathcal{H}_t^n = z_t \mathcal{H}_t^{n-1} + (1 - z_{t-1}) \mathcal{H}_{t-1}^{n-1}, \qquad (3)
$$

there $\mathcal{H}_t^0 = h_t$. Equation [3](#page-3-0) indicates that \mathcal{H}_t^{n-1}

256 is maintained when $z_t = 1$, and \mathcal{H}_{t-1}^{n-1} is merged 257 into \mathcal{H}_t^n if $z_{t-1} = 0$. To derive the segment repre-258 sentations *S*, we collate all \mathcal{H}_t^N for which $z_t = 1$ **259** and omit the others. With a high value of *N*, the 260 segment representations \mathcal{H}_t^N encapsulate the full **261** range of token representations within each segment. **262** Conversely, a lower value of *N* prioritizes captur-**263** ing only the latter token representations within a **264** segment, yielding quicker but coarser segment rep-**265** resentations.

255

The computation of segment representations that **266** encapsulate the entire set of token representations **267** within each segment may lead to inefficiencies, 268 particularly as a high *N* incurs computational over- **269** head for segments that necessitate fewer hops. To **270** enhance the efficiency of pooling segment repre- **271** sentations, we introduce a method that utilizes a **272** [b](#page-8-3)inary matrix $B \in \mathbb{R}^{T \times M}$ derived from Z [\(Bhati](#page-8-3) 273 [et al.,](#page-8-3) [2021\)](#page-8-3), where *M* represents the number of **274** segments excluding those containing the *<eos>* **275** symbol. Figure [3](#page-3-1) demonstrates the computation **276** of *B*, resulting in $S = B^{\top}H$, where $H \in \mathbb{R}^{T \times D}$ 277 encompasses the token representations of the se- **278** quence X. This method is termed dynamic pooling **279** (DP) [\(Nawrot et al.,](#page-9-5) [2023\)](#page-9-5). **280**

Before these segment representations are fed **281** into the context encoder, we normalize them by **282** the number of tokens pooled per segment. Nor- **283** malization ensures that each segment representa- **284** tion reflects the average contribution of its tokens, **285** rather than being biased by segment length. While **286** the computation of segment representations is exe- **287** cuted in a single step during the model's training **288** phase, during inference, these representations are **289** calculated each time the decoder emits the *<nxt>* **290** symbol. **291**

2.4 Optimization **292**

Training the model without supervision of Z **293** requires marginalizing over Z. However, this **294** marginalization becomes computationally infeasi- **295** ble as the sequence length increases. To address **296** [t](#page-8-4)his issue, we adopt variational inference [\(Kingma](#page-8-4) **297** [and Welling,](#page-8-4) [2014\)](#page-8-4) to approximate the true poste- **298** rior $p(z|X)$ with a variational posterior distribution 299
 $q_{\phi}(z|X)$, also referred to as the inference model. 300 $q_{\phi}(z|X)$, also referred to as the inference model, 300 over segments Z This approximation involves 301 over segments Z. This approximation involves maximizing the Evidence Lower Bound (ELBO), **302** which serves to minimize the Kullback-Leibler 303 (KL) divergence between $q_{\phi}(z|X)$ and the true 304 posterior. The ELBO is employed as our objec- **305** tive function: **306**

$$
\log p(X) \ge \sum_{t} \mathbb{E}_{q_{\phi}(z_t|X_{\le t})} \left[\log p_{\theta}(x_t|X_{ 307
$$

$$
-\text{KL}(q_{\phi}(z_t|X_{\leq t})||p(z))]. \quad (4) \quad \text{308}
$$

Here, θ and ϕ represent the parameters of the **309** generative model and the inference model, respec- **310** tively. To improve training efficiency, we utilize a **311** simplified inference model that considers only the 312 prior token history, aligning with the second term of Eq. [1.](#page-1-0) Consequently, we employ the feed-forward network described in Eq. [2](#page-2-0) for the inference model. **For** $p(z)$, we use $Beta(a, b)$, the conjugate prior of the Bernoulli distribution, to express the variety of segmentation for different languages.

 To train the model, we use Gumbel-Sigmoid reparameterization [\(Geng et al.,](#page-8-5) [2020\)](#page-8-5) to sample 321 from the approximate posterior $q_{\phi}(z|X_{\leq t})$, making the model differentiable:

323
$$
\hat{z}_t = \sigma(\hat{p}_t + g' - g''),
$$
 (5)

3[2](#page-2-0)4 where \hat{p}_t is derived from Eq. 2 before sigmoid acti-325 vation, and g' and g'' are two independent Gumbel **326** noises. Given the discrete nature of boundaries, we 327 discretize the boundary z_t as follows:

328

$$
z_t = \begin{cases} 1, & \text{if } \hat{z}_t \ge 0.5\\ 0, & \text{if } \hat{z}_t < 0.5. \end{cases}
$$
 (6)

329 [W](#page-8-6)e employ the straight-through estimator [\(Bengio](#page-8-6) **330** [et al.,](#page-8-6) [2013\)](#page-8-6) to Eq. [5,](#page-4-0) enabling gradient propagation **331** through Eq. [6](#page-4-1) as if it were continuous.

 Parameter updates (θ) follow the interleaved op- timization strategy of [Li et al.](#page-9-7) [\(2020\)](#page-9-7). We update the parameters of the generative model for k steps, then update the approximate posterior parameters (ϕ) for a single step. Empirically, we find a few mini-batches are sufficient for the model to adhere to the desired segmentation prior when k is set to 1. 339 For enhanced exploration, we set $k = 3$. A hyper-**parameter,** β **, modulates the KL term's influence** within the loss function. This approach leverages the interdependence between the generative model and the variational posterior, ensuring that improve-344 ments in q_{ϕ} directly benefit the learning of p_{θ} , and conversely.

³⁴⁶ 3 Experimental Setup

347 3.1 Datasets

 We evaluate LSLM on three languages representing distinct morphological types: English (fusional), Chinese (isolating), and Japanese (agglutinative). [F](#page-9-8)or English, we use the Penn Tree Bank [\(Marcus](#page-9-8) [et al.,](#page-9-8) [1993\)](#page-9-8) with preprocessing from [Mikolov et al.](#page-9-9) [\(2011\)](#page-9-9), where only the top 10K words are retained, **and all other words are mapped to an <unk> token.** We also follow their data split for training, devel- opment, and testing. For Chinese, we use the MSR corpus as presented in the Second International Chi-nese Word Segmentation Bakeoff [\(Emerson,](#page-8-7) [2005\)](#page-8-7). We remove all whitespaces from the training set **359** and split the bottom 10% of sentences to create **360** a development set, with the remainder serving as **361** the training set. We use the testing set from MSR **362** without any modifications as our testing set. For 363 Japanese, we use the "Featured Articles" from the **364** [J](#page-9-10)apanese version of Wikipedia, processed by [Mori](#page-9-10) **365** [et al.](#page-9-10) [\(2019\)](#page-9-10). We retain the splits for training, de- **366** velopment, and testing sets as provided by them. **367**

In the English dataset, we compiled a vocab- **368** ulary from all characters and whitespace in the **369** training and development set. The '<unk>' symbol 370 in the pre-processed corpus was segmented into **371** five tokens. For both Chinese and Japanese, we **372** explored using bytes and characters for vocabulary **373** construction. For the character vocabulary, we in- **374** cluded characters appearing at least five times in **375** both training and development sets. Conversely, **376** the byte vocabulary was derived by converting text **377** strings into UTF-8 byte sequences, resulting in a **378** concise byte vocabulary of only 256 tokens. Ad- **379** ditionally, we incorporated five special tokens into **380** the vocabulary to mark the beginning and end of **381** the sequence for X and the segment sequence Y_m , 382 along with an <oov> symbol to accommodate to-
383 kens beyond the character vocabulary. **384**

3.2 Models **385**

Any model capable of sequential input processing **386** can function as the encoder and decoder within **387** our proposed LSLM framework. This criterion al- **388** lows us to leverage models with inherent temporal **389** dynamics, without restricting the architecture to a **390** specific type. We have adapted the T5 Transformer 391 [\(Raffel et al.,](#page-9-11) [2020\)](#page-9-11) as our encoder-decoder due **392** to its robustness in sequence generation tasks. We **393** modified the attention masks in both the encoder **394** and decoder to use causal masking. Detailed model **395** configuration are shown in Appendix [A.](#page-10-0) **396**

To evaluate the effectiveness of our framework in **397** sequence modeling, we compared LSLM with dy- **398** [n](#page-9-5)amic token pooling (DTP) as proposed by [Nawrot](#page-9-5) **399** [et al.](#page-9-5) [\(2023\)](#page-9-5). We re-implemented DTP to maintain **400** consistency across variables. For a fair comparison, **401** DTP was configured with the same number of lay- 402 ers and hidden dimension sizes as LSLM. We also **403** compared it to the standard Transformer (GPT-2 **404** [\(Radford et al.,](#page-9-12) [2019\)](#page-9-12)) without token shortening, **405** using 18 layers and the same hidden dimension **406** sizes as the LSLM model. A dropout rate of 0.1 407 was applied to the attention and feed-forward layers **408** for all models. **409**

 We evaluated the model on the development set at the end of each epoch, saving it if improvement was observed. The best model was then restored for evaluation on the testing set. We noted that the LSLM's loss sometimes exhibited sudden spikes during training, potentially leading the model to sub-optimal convergence. To mitigate this, we monitored LSLM's development loss and restored LSLM to the previous best model if the loss dou-bled relative to the previous best performance.

	En	Zh(byte)	Ja(byte)
GPT ₂	1.418	1.785	1.668
DTP			
$p = .4$	1.416	1.714	1.682
$p = .7$	1.379	1.722	1.648
LSLM			
DP, p=.4, β =.5	1.506	1.776	1.606
DP, p=.4, $\beta=1$	1.555	1.798	1.612
DP, p=.7, β =.5	1.363	1.748	1.626
DP, p=.7, $\beta=1$	1.390	$1.667*$	1.564*

Table 1: BPC of models trained on English (En), Chinese (Zh), and Japanese (Ja). We denote LSLM that utilize dynamic pooling as 'DP'. Each result represents the average from five different runs. Additionally, p denotes the prior probability that $z \geq 0.5$ in the beta distribution. A statistically significant improvement in BPC compared to the baselines is indicated by an asterisk (*), as determined by a paired Student's t-test $(p < 0.05)$.

⁴²⁰ 4 Results and Discussion

 Table [1](#page-5-0) shows the results for LSLM and baselines on English, Chinese, and Japanese with a byte vo- cabulary. Each model is evaluated using Bits Per Character (BPC), computed as follows:

$$
BPC(X) = -\frac{1}{T} \sum_{t=1}^{T} \log_2 p(x_t),
$$

 which measures the negative log likelihood of the corpus—the lower, the better. In all languages, the proposed LSLM with Dynamic Pooling (DP) achieves the lowest BPC, outperforming both GPT- 2 and DTP. This improvement is particularly note- worthy in Chinese and Japanese, languages charac- terized by the absence of explicit word boundaries. The reduction in BPC is not only consistent but also statistically significant, highlighting the robustness of LSLM in handling languages with dense charac-ter information.

Additionally, we observed negative results when **437** the model is poorly configured. The model per- **438** forms worse than GPT-2 for English and Chinese **439** when the prior is set to a low value, which is ex- 440 pected since the segments are getting longer. **441**

	LSLM	DTP	- GPT2
Zh	4.677	4.921	4.837
Ja	3.093	3.119	-3.03

Table 2: Comparison of three models using character vocabulary: LSLM, DTP, and GPT2, configured as per the best model specifications reported in the previous table. Results are averaged from 5 different runs.

Character vs Byte Vocabulary Next, we con- **442** ducted experiments using a character vocabulary to **443** assess whether LSLM generalizes across different **444** vocabularies. The results are reported in Table [2.](#page-5-1) **445** These results suggest that LSLM is capable of gen- **446** eralizing to different languages and vocabularies. A **447** thorough search of hyper-parameters could benefit **448** both LSLM and DTP, particularly for the Japanese **449** model using a character vocabulary. 450

In terms of relative improvement, using a byte **451** vocabulary grants LSLM consistent improvement **452** across two languages over the DTP baseline, com- **453** pared to using a character vocabulary. This results **454** in a 4.95% and 0.83% relative improvement for **455** Chinese and Japanese, respectively, with a charac- **456** ter vocabulary, as compared to 2.74% and 5.09% **457** relative improvement with a byte vocabulary. **458**

The improvement of the Japanese model is more **459** significant when transitioning from a character to a 460 byte vocabulary. This improvement is attributable **461** to the composition of the Japanese writing system, **462** which consists of Hiragana, Katakana, and Kanji. 463 Hiragana and Katakana together comprise a total **464** of 96 characters. Kanji, characters adapted from **465** Chinese, are more numerous, and some rare Kanji **466** suffer from the OOV issue. Byte vocabulary sig- 467 nificantly mitigates the OOV problem, particularly **468** for Japanese, by efficiently encoding rare Kanji, **469** which makes it more advantageous for Japanese **470** than for Chinese. These findings underscore the **471** effectiveness of LSLM when equipped with byte **472** vocabulary, demonstrating not only a capacity for **473** language generalization but also a notable perfor- **474** mance advantage over traditional character vocabu- **475** lary. The improvement in BPC suggests that byte **476** vocabulary could offer a more robust approach for **477** handling diverse linguistic structures. **478**

	E'n	Ch(byte)	Ja(byte)
Full model	$1.363*$	$1.667*$	1.564
Small encoder	1.442	1.772	1.572
Small decoder	1.415	1.824	1.718
Both small	1.459	1.806	1.658

Table 3: LSLM performance with small token encoder and decoder configurations compared to the full model. Asterisks (*) indicate statistically significant improvements in BPC over all variants, determined by a paired Student's t-test ($p < 0.05$).

 Effects on Sizes of Encoder/Decoder Although LSLM pools the token representations into con- text representations with shorter lengths, the token encoder and decoder still carry out computations proportional to the sequence length. To amortize the cost of operating on character/byte sequences, we can configure the token encoder and decoder with fewer parameters. For a smaller token encoder and decoder configuration, we set the number of layers to 2, the hidden dimension size to 128, and split the attention into 2 heads. In these experi- ments, residual connections are omitted when the sizes of the hidden dimensions differ. As shown in Table [3,](#page-6-0) the performance of both English and Chi- nese models deteriorates when the token encoder or decoder is under-parameterized. In contrast, the small encoder variant for the Japanese model per-forms on par with the full model.

 Observing the performance decline with smaller decoder configurations, we hypothesize this is due to the decoder's diminished capacity to utilize the encoder's contextual information effectively, com- pounded by the smaller decoder's challenges in modeling long sequences. This aligns with the dis-cussion on negative results previously highlighted.

 The reason why performance doesn't degrade as much for the small encoder variant of the Japanese model could be due to the fact that Japanese is an agglutinative language, where words contain multi- ple morphemes concatenated together, each adding a new layer of meaning. In contrast, for English and Chinese, a more complex inference model is needed to handle their respective linguistic com- plexities. Specifically, in English, a word can con- vey different meanings in a sentence, often through changes at the end or beginning of the word. In Chi- nese, meanings are often indicated through word order or auxiliary words. This suggests that the in- ference model, which is conditioned on the output of the token encoder, could more easily learn to

segment Japanese than English and Chinese, even **519** when it is under-parameterized. 520

	En	Zh(byte)	Ja(byte)
3hops	1.389	1.701	1.586
1hop	1.395	1.834	1.699
0hop	1.398	1.777	1.634
3 hops+ Small encoder	1.376	$1.661*$	1.581

Table 4: LSLM results for two pooling methods. Hyperparameters are configured to be the same as full model. Asterisks (*) indicate statistically significant improvements in BPC over all variants, determined by a paired Student's t-test ($p < 0.05$).

N-hops vs DP While DP pools all token repre- **521** sentations without any redundant computation, we **522** hypothesize that some of the token representations **523** can be omitted. To investigate this effect, we ex- **524** periment with *N*-hops using three *N* values (0, 1, **525** 3) and present the results in Table [4.](#page-6-1) We observe **526** that the performance degrades as *N* changes from **527** 3 to 1, which is expected since more tokens are **528** excluded from their segment. However, the perfor- **529** mance improves as *N* becomes 0. This improvement can be attributed to the attention mechanism **531** of the token encoder, allowing past tokens to con- **532** tribute to the representation of the current token. **533** This suggests that for 0-hop, the token pooling **534** is integrated into the attention computation inside **535** the token encoder, avoiding the uncertainty associ- **536** ated with pooling at *N*>0, where it's unclear when **537** tokens will be included in the pooling or remain **538** unaffected. Unlike the scenarios with *N*>0, DP and **539** 0-hop do not face this issue of uncertainty, ensuring **540** more stable training. When training with *N*-hops, **541** we also observe more instances of model collaps- **542** ing, where the model trivially predicts a boundary **543** between every token. Finally, it is noteworthy that **544** the small encoder variant with 3-hops pooling not **545** only achieves performance comparable to the full **546** model but also improves efficiency in token gener- **547** ation, reducing the latency from 212 ms to 201 ms **548** on a single V100 GPU, a 5.47% improvement. **549**

5 Related Work **⁵⁵⁰**

Segmentation Models Several notable ap- **551** proaches have emerged in recent years. [He et al.](#page-8-8) **552** [\(2020\)](#page-8-8) proposed training a machine translation **553** model where the target sentences are segmented **554** using dynamic programming encoding (DPE). **555**

 neural language model (SNLM) where the context is represented as a sequence of characters, and the generation of each segment is either character-by- character from a decoder or a single draw from a lexical memory compiled from n-grams of the training corpus. In a similar vein, [Meyer and Buys](#page-9-13) [\(2022\)](#page-9-13) de- veloped a model that can learn subword segmen- tations on four Nguni languages, comparable to SNLM. [Sun and Deng](#page-9-4) [\(2018\)](#page-9-4) introduced an ap- proach that marginalizes the segmentation of a sen- tence with each segment having a fixed maximum length. This model can discover meaningful Chi- nese words from a character sequence, given the gold segmentation data of the development set. Un- like these previous works, [Behjati and Henderson](#page-8-2) [\(2023\)](#page-8-2) proposed a variant of slot attention [\(Lo-](#page-9-14) [catello et al.,](#page-9-14) [2020\)](#page-9-14) which can learn to cluster char- acters into morpheme-like slots. Their model is trained to reconstruct the original sequence with a

 transformer decoder given the slots. Recent studies have also focused on improving the evaluation and comparison of segmentation models. For example, [Ghinassi et al.](#page-8-10) [\(2023\)](#page-8-10) high- lighted the difficulties in evaluating text segmenta- tion models and the potential biases introduced by commonly used metrics such as Pk. They provided

587 a comprehensive comparison of architectural and **588** sentence encoding strategies, offering a more ro-**589** bust set of baseline results for future developments

590 in linear text segmentation.

592 of works focuses on pooling token representa-

591 Pooling Token Representations Another series

593 tions into shorter intermediate representations to **594** reduce computations. These works usually tar-

 get character-based sequences, as the information each token carries is less dense compared to word- [b](#page-8-1)ased sequences. For example, CANINE [\(Clark](#page-8-1) [et al.,](#page-8-1) [2022\)](#page-8-1) adapts a convolution layer to reduce the number of sequence positions, then restores the shortened representations back to their original length by duplicating each representation, enabling sequence prediction and tagging tasks. CHAR- FORMER [\(Tay et al.,](#page-9-15) [2022\)](#page-9-15) proposes a gradient- based subword tokenization approach where each character representation is a weighted sum of sub-

606 word representations, obtained by mean pooling

 DPE is learned by marginalizing out different segmentations of the target sentence, given the BPE dictionary and source sentence. Similarly, [Kawakami et al.](#page-8-9) [\(2019\)](#page-8-9) proposed a segmental over the character embeddings with various stride **607** sizes. **608**

The most recent work closely related to ours **609** was conducted by [Nawrot et al.](#page-9-5) [\(2023\)](#page-9-5). Similar to **610** our approach, they employ two encoders in their **611** model: one for processing token representations **612** and another for contextualized representations. The **613** primary architectural difference between our model **614** and theirs is that we do not upsample representa- **615** tions to the original length. Moreover, they aug- **616** ment their training process with an auxiliary loss to 617 prevent the trivial solution of predicting each token **618** as a boundary. **619**

6 Conclusion 620

We proposed a language model capable of segment- **621** ing a sequence of tokens and pooling the tokens **622** within each segment to enhance performance in 623 terms of latency and model perplexity. Specifically, **624** our model employs token pooling using either a **625** fine-grained method, DP, or a more coarse-grained **626** but faster method, N-hops. Experiments conducted **627** on language modeling benchmarks in English, Chi- **628** nese, and Japanese demonstrate the effectiveness **629** of our proposed model in predicting the next to- **630** ken. Furthermore, we evaluated a variant of the **631** model with fewer parameters in the encoder and **632** found that it can achieve model perplexity com- **633** parable to the best-performing model when com- **634** bined with N-hops pooling, additionally offering **635** the benefit of reduced latency between token gen- **636** erations. Our model also shows its effectiveness in **637** handling diverse vocabularies. In experiments with **638** Chinese and Japanese characters as the vocabulary, **639** our model outperforms the DTP baseline. **640**

In summary, our experiments demonstrate the **641** ability of LSLM to segment sequences effectively, **642** resulting in lower perplexity and improved com- **643** putational efficiency. These findings enhance our **644** understanding of segment language models, under- **645** scoring the importance of incorporating a strong **646** inductive bias within the inference model. **647**

Future work could explore segmentation through **648** decision trees, which presents a promising avenue **649** for allowing the model to uncover morphological **650** structures more efficiently and potentially mitigate **651** issues of model collapsing. Additionally, expand- **652** ing the model to other languages and domains **653** could provide deeper insights into its generaliz- **654** ability and applicability. **655**

⁶⁵⁶ 7 Limitations

 There are several limitations to LSLM that warrant discussion. First, training takes more time and memory than GPT-2 due to the employment of an encoder-decoder architecture. Specifically, the decoder component initiates a new text generation process for each segment, and gradients need to be back-propagated from every segment during training. This results in higher computational costs and memory usage.

 Second, LSLM introduces several new hyperpa- rameters, which can be challenging to tune. Poorly configured LSLMs can result in model collaps- ing, as discussed in the results section. This hy- perparameter sensitivity requires extensive experi- mentation and fine-tuning, which can be resource- intensive. Future work could explore automated hyperparameter optimization techniques to miti-gate this issue.

 Third, it is unclear whether LSLMs scale well with larger model parameters or data sizes. Recent advancements in language models have demon- strated emergent abilities by scaling both data and parameters significantly. However, our experi- ments have been conducted only with small-scale data and parameters. We have not yet evaluated the performance of LSLM with large-scale datasets or larger model configurations. Future research should investigate the scalability of LSLM by ex-perimenting with larger datasets and model sizes.

 Additionally, we have not considered fine-tuning the LSLMs for downstream tasks, which is an im- portant step in aligning the models with human needs. Fine-tuning could potentially improve the model's performance on specific applications, such as sentiment analysis or machine translation. Evalu- ating LSLM's performance on various downstream tasks would provide a more comprehensive under-standing of its practical utility and effectiveness.

 In summary, while LSLM shows promise in im- proving token segmentation and pooling, address- ing these limitations is crucial for advancing its applicability and performance in real-world scenar- ios. Future research should focus on optimizing the training process, exploring scalability, and fine-tuning the model for specific downstream tasks.

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A Model Hyper-parameters

 In all experiments except the ablation study, we employed a 14-layer Transformer encoder. Four layers function as the character encoder, while the remaining 10 layers serve as the context encoder, processing the pooled representations. The decoder is a 4-layer Transformer operating on segmented 861 sequences Y_m . It has access to all previous segment **for representations** $s'_{0:m-1}$ for cross-attention compu- tation. Unless specified otherwise, the hidden di- mension of each Transformer layer is 512, and the intermediate feed-forward dimension is 2048. Attention is split into eight heads in the context en- coder and four heads in both the character encoder and decoder.

 Models were trained for 125,000 steps using the AdamW optimizer with a batch size of 64, a learning rate of 3e-4, 10,000 warm-up updates, and weight decay of 1e-4. Training data was divided into equal-length sequences, disregarding sentence boundaries, with chunk sizes of 150 for English and 256 for Chinese and Japanese.