Adaptive Q-Network: On-the-fly Target Selection for Deep Reinforcement Learning

Théo Vincent^{1,2,*} **Fabian Wahren**^{1,2} **Jan Peters**^{1,2,3,4}

Boris Belousov¹ **Carlo D'Eramo**^{2,3,5}

¹DFKI GmbH, SAIROL ² Department of Computer Science, TU Darmstadt ³ Hessian.ai ⁴ Centre for Cognitive Science, TU Darmstadt ⁵Center for Artificial Intelligence and Data Science, University of Würzburg

Abstract

Deep Reinforcement Learning (RL) is well known for being highly sensitive to hyperparameters, requiring practitioners substantial efforts to optimize them for the problem at hand. In recent years, the field of automated Reinforcement Learning (AutoRL) has grown in popularity by trying to address this issue. However, these approaches typically hinge on additional samples to select well-performing hyperparameters, hindering sample-efficiency and practicality in RL. Furthermore, most AutoRL methods are heavily based on already existing AutoML methods, which were originally developed neglecting the additional challenges inherent to RL due to its non-stationarities. In this work, we propose a new approach for AutoRL, called Adaptive Q-Network (AdaQN), that is tailored to RL to take into account the non-stationarity of the optimization procedure without requiring additional samples. AdaQN learns several Q-functions, each one trained with different hyperparameters, which are updated online using the Q-function with the smallest approximation error as a shared target. Our selection scheme simultaneously handles different hyperparameters while coping with the non-stationarity induced by the RL optimization procedure and being orthogonal to any critic-based RL algorithm. We demonstrate that AdaQN is theoretically sound and empirically validate it in MuJoCo control problems, showing benefits in sample-efficiency, overall performance, training stability, and robustness to stochasticity.

1 Introduction

Deep Reinforcement Learning (RL) has proven effective at solving complex sequential decision problems in various domains (Mnih et al., 2015; Haarnoja et al., 2018; Silver et al., 2017). Despite their success in many fields, deep RL algorithms suffer from brittle behavior with respect to their hyperparameters (Mahmood et al., 2018; Henderson et al., 2018). For this reason, the field of automated Reinforcement Learning (AutoRL) (Parker-Holder et al., 2022) has gained popularity in recent years. AutoRL methods aim to optimize the hyperparameter selection so that RL practitioners can avoid time-consuming hyperparameter searches. AutoRL methods also seek to minimize the number of required samples to achieve reasonable performances so that RL algorithms can be used in applications where a limited number of interactions with the environment is available. AutoRL is still in its early stage of development, and most existing methods adapt techniques that have been shown to be effective for automated Machine Learning (AutoML) (Hutter et al., 2019; Falkner et al., 2018). However, RL brings additional challenges that have been overlooked till now, despite notoriously requiring special care (Igl et al., 2021). For example, due to the highly non-stationary nature of RL, there is no static selection of hyperparameters that works optimally for a given problem and

^{*}Correspondance to: theo.vincent@dfki.de

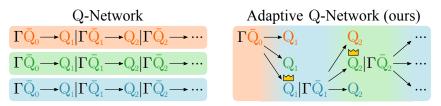


Figure 1: Left: Each line represents a training of *Q*-Network (QN) with different hyperparameters. **Right:** At the *i*th target update, Adaptive *Q*-Network (AdaQN) selects the network Q_i (highlighted with a crown) that is the closest to the previous target $\Gamma \bar{Q}_{i-1}$, where Γ is the Bellman operator.

algorithm (Mohan et al., 2023). This has led to the development of several techniques for adapting the training process online to prevent issues like local minima (Nikishin et al., 2022; Sokar et al., 2023), lack of exploration (Klink et al., 2020), and catastrophic forgetting (Kirkpatrick et al., 2017).

In this work, we introduce a novel approach for AutoRL to improve the effectiveness of learning algorithms by coping with the non-stationarities of the RL optimization procedure. Our investigation stems from the intuition that the effectiveness of each hyperparameter selection changes dynamically after each training update. Building upon this observation, we propose to *adaptively* select the best-performing hyperparameters configuration to carry out Bellman updates. To highlight this idea, we call our approach Adaptive Q-Network (AdaQN). In practice, AdaQN uses an ensemble of Qfunctions, trained with different hyperparameters, and selects the one with the smallest approximation error (Schaul et al., 2015; D'Eramo & Chalvatzaki, 2022) to be used as a shared target for each Bellman update. By doing this, our method considers several sets of hyperparameters at once each time the stationarity of the optimization problem breaks, i.e., at each target update (Figure 1). In the following, we provide theoretical motivation for our selection mechanism of AdaQN. Then, we empirically validate our approach in MuJoCo control problems, showing that AdaQN has several advantages over carrying out individual runs with static hyperparameters. Importantly, AdaQN performs better or on par with individual runs while using the same amount of samples. More interestingly, by trading off different hyperparameters configurations dynamically, AdaON not only can match the performance of the best hyperparameter selection at no cost of additional samples, but can also reach superior overall performance than any individual run.

2 Preliminaries

We consider discounted Markov decision processes (MDPs) defined as $\mathcal{M} = \langle S, A, \mathcal{P}, \mathcal{R}, \gamma \rangle$, where S and A are measurable state and action spaces, $\mathcal{P} : S \times A \to \Delta(S)^2$ is a transition kernel, $\mathcal{R} : S \times A \to \mathbb{R}$ is a reward function, and $\gamma \in [0,1)$ is a discount factor (Puterman, 1990). A policy is a function $\pi : S \to \Delta(A)$, inducing an action-value function $Q^{\pi}(s,a) \triangleq \mathbb{E}_{\pi} [\sum_{t=0}^{\infty} \gamma^t \mathcal{R}(s_t, a_t) | s_0 = s, a_0 = a]$ that gives the expected discounted cumulative return executing action a in state s, following policy π thereafter. The objective is to find an optimal policy $\pi^* = \operatorname{argmax}_{\pi} V^{\pi}(\cdot)$, where $V^{\pi}(\cdot) = \mathbb{E}_{a \sim \pi(\cdot)} [Q^{\pi}(\cdot, a)]$. Approximate value iteration (AVI) and approximate policy iteration (API) are two paradigms to tackle this problem (Sutton & Barto, 1998). While AVI aims at estimating the optimal action-value function Q^* , i.e., the action-value function of the optimal policy, API is a two-step procedure that alternates between Approximate policy π , and policy improvement, which updates the current policy by taking greedy actions on Q^{π} .

In this work, we focus on AVI and APE. Both aim to solve a Bellman equation, whose solution is Q^* for AVI and Q^{π} for APE. Those solutions are the fixed point of a Bellman operator Γ , where Γ is the optimal Bellman operator Γ^* for AVI, and the Bellman operator Γ^{π} for APE. For a Q-function Q, a state s and an action a, $\Gamma^*Q(s, a) = r + \gamma \mathbb{E}_{s' \sim \mathcal{P}(s, a)}[\max_{a'} Q(s', a')]$ and $\Gamma^{\pi}Q(s, a) = r + \gamma \mathbb{E}_{s' \sim \mathcal{P}(s, a), a' \sim \pi(s')}[Q(s', a')]$. Γ^* and Γ^{π} are γ -contraction mapping in the infinite norm. Because of this, these two methods repeatedly apply their associated Bellman operator, starting from a random Q-function. The fixed point theorem ensures that each iteration is closer to the fixed point of the respective Bellman equation. Hence, the more Bellman iterations are performed, the closer the iterated Q-function will be to the desired action-value function.

 $^{^{2}\}Delta(\mathcal{X})$ denotes the set of probability measures over a set \mathcal{X} .

In model-free RL, Γ^* and Γ^{π} are approximated by their empirical version that we note $\hat{\Gamma}$ without distinguishing between AVI and APE since the nature of $\hat{\Gamma}$ can be understood from the context. We denote Θ , the space of Q-functions parameters. In common Q-Network (QN) approaches, given a *fixed* vector of parameters $\bar{\theta} \in \Theta$, another vector of parameters θ is learned to minimize

$$\mathcal{L}_{\text{QN}}(\theta|\bar{\theta}, s, a, r, s') = (\Gamma_{r,s'}Q_{\bar{\theta}}(s, a) - Q_{\theta}(s, a))^2 \tag{1}$$

for a sample (s, a, r, s'). $Q_{\bar{\theta}}$ is usually called the target Q-function because it is used to compute the target $\hat{\Gamma}Q_{\bar{\theta}}$ while Q_{θ} is called the online Q-function. We refer to this step as the *projection step* since the Bellman iteration $\Gamma Q_{\bar{\theta}}$ is projected back into the space of Q-functions that can be represented by our function approximations. The target parameters $\bar{\theta}$ are regularly updated to the online parameters θ so that the following Bellman iterations are learned. This step is called the *target update*. We note $\bar{\theta}_i$ the target parameters after the *i*th target update. Importantly, when the projection step is carried out for non-linear function approximation, convergence to the fixed point is no longer guaranteed. Nevertheless, well-established theoretical results in AVI help relate the approximation error $\|\Gamma^* Q_{\bar{\theta}_{i-1}} - Q_{\bar{\theta}_i}\|$ at each target update *i* to the performance loss $\|Q^* - Q^{\pi_N}\|$, i.e., the distance between the last Q-function learned during the training and the optimal one Q^* .

Theorem 2.1. (*Theorem 3.4* (*Farahmand, 2011*)). Let $N \in \mathbb{N}^*$, and ρ , ν two probability measures on $S \times A$. For any sequence $(\bar{\theta}_i)_{i=0}^N \in \Theta^{N+1}$ where R_{γ} depends on the reward function and the discount factor, we have

$$\|Q^* - Q^{\pi_N}\|_{1,\rho} \le C_{N,\gamma,R_{\gamma}} + \inf_{r \in [0,1]} F(r;N,\rho,\gamma) \left(\sum_{i=1}^{N} \alpha_i^{2r} \|\Gamma^* Q_{\bar{\theta}_{i-1}} - Q_{\bar{\theta}_i}\|_{2,\nu}^2\right)^{\frac{1}{2}}$$
(2)

where $C_{N,\gamma,R_{\gamma}}$, $F(r; N, \rho, \gamma)$, and $(\alpha_i)_{i=0}^N$ do not depend on $(\bar{\theta}_i)_{i=0}^N$. π_N is a greedy policy computed from Q_{θ_N} .

For a fixed number of Bellman iterations N, we obtain, by applying Theorem 2.1 to the sequence of parameters learned during the training $(\bar{\theta}_i)_{i=0}^N$, that the performance loss is upper bounded by a term that decreases when each approximation error decreases, i.e., each projection step improves.

Automated reinforcement learning Methods for AVI or APE (Mnih et al., 2015; Dabney et al., 2018; Haarnoja et al., 2018; Bhatt et al., 2024) are highly dependent on the chosen hyperparameters (Henderson et al., 2018; Andrychowicz et al., 2020; Engstrom et al., 2019). AutoRL addresses this issue by automatizing the search for effective hyperparameters. To mitigate the burden of non-stationarity imposed by the RL setting, some approaches consider adapting the hyperparameters during the training to better adapt to situations where specific hyperparameters are only optimal for a certain period of time during the training. Considering Theorem 2.1 w.r.t. a sequence of parameters ($\bar{\theta}_i)_{i=0}^N$, we observe that the approximation errors ($\|\Gamma^*Q_{\bar{\theta}_{i-1}} - Q_{\bar{\theta}_i}\|_{2,\nu}$) $_{i=1}^N$ are the only terms of the approximation error bound on which an RL algorithm has influence. Based on this observation, we propose a new method for AutoRL that leverages Theorem 2.1 to minimize each approximation error over multiple sets of hyperparameters, hence minimizing the resulting performance loss.

3 Adaptive temporal-difference target selection

We propose a new method belonging to the AutoRL family, called Adaptive Q-Network (AdaQN), which considers K pairs of online networks trained with different sets of hyperparameters. Each online network is trained w.r.t. a shared target chosen from the set of online networks at each target update. More precisely, we consider K online vectors of parameters $(\theta^k)_{k=1}^K$ in the loss and we note $\overline{\theta}$, the parameters of the shared target network. Then, each online network parameterized by θ^k is trained to minimize its distance to $\Gamma Q_{\overline{\theta}}$. For any sample (s, a, r, s'), this results in

$$\mathcal{L}_{\text{AdaQN}}((\theta^k)_{k=1}^K | \bar{\theta}, s, a, r, s') = \sum_{k=1}^K \mathcal{L}_{\text{QN}}(\theta^k | \bar{\theta}, s, a, r, s').$$
(3)

where the target $\bar{\theta}$ is selected at each target update according to

$$\bar{\theta} \leftarrow \operatorname*{argmin}_{\theta^k, k \in \{1, \dots, K\}} \sum_{(s, a, r, s') \in \mathcal{D}} \mathcal{L}_{\text{QN}}(\theta^k | \bar{\theta}, s, a, r, s'), \tag{4}$$

where \mathcal{D} is the replay buffer, thus utilizing the network that minimizes the TD-error at the current training step to compute the target shared across the online Q-networks. In the following, we provide the theoretical justification for this choice. Key to our approach is that each vector of parameters θ^k can be trained with a different optimizer, learning rate, architecture, activation function, or any other hyperparameter that only affects its training.

3.1 Theoretical motivation

We denote $\bar{\theta}_i$ the shared target Q-function after the i^{th} target update. The above-proposed selection strategy in Equation (4) addresses the goal of minimizing the sum of approximation errors $\sum_{i=1}^{N} \|\Gamma Q_{\bar{\theta}_{i-1}} - Q_{\bar{\theta}_i}\|_{2,\nu}^2$ in Equation (2), by cleverly selecting a target Q-function from a set of diverse target Q-functions. Then, the resulting bound on the performance loss would be smaller than the bound for any individual trial on a fixed set of hyperparameters. This means that, within a single training, AdaDQN would match or outperform every individual training performed from a grid search by taking all combinations of hyperparameters individually. Given $\bar{\theta}_{i-1}$, an optimal choice for $\bar{\theta}_i$ is the index of the closest online Q-function from the target $\Gamma Q_{\bar{\theta}_{i-1}}$:

$$\bar{\theta}_{i} = \underset{\theta^{k}, k \in \{1, \dots, K\}}{\operatorname{argmin}} \| \Gamma Q_{\bar{\theta}_{i-1}} - Q_{\theta^{k}} \|_{2, \nu}^{2}, \tag{5}$$

where ν is the distribution of state-action pairs in the replay buffer. This way, the sum of approximation errors $\sum_{i=1}^{N} \|\Gamma Q_{\bar{\theta}_{i-1}} - Q_{\bar{\theta}_i}\|_{2,\nu}^2$ would be the minimal achievable sum of approximation errors with the considered set of hyperparameters since at each target update *i*, the algorithm would select the Q-function that minimizes the *i*th approximation error $\|\Gamma Q_{\bar{\theta}_{i-1}} - Q_{\bar{\theta}_i}\|_{2,\nu}^2$. Note that Equation (5) contains the true Bellman operator Γ which is not known. For this reason, our proposed selection mechanism in Equation (4) uses the empirical Bellman operator $\hat{\Gamma}$. Theorem 3.1 shows under which condition the selected index in Equation (4) is the same as the one in Equation (5). The proof, inspired by the bias-variance trade-off in supervised learning, can be found in Appendix A.

Theorem 3.1. Let $(\theta^k)_{k=1}^K \in \Theta^K$ and $\bar{\theta} \in \Theta$ be vectors of parameters representing K + 1 *Q*-functions. Let $\mathcal{D} = \{(s, a, r, s')\}$ be a set of samples. Let ν be the distribution represented by the state-action pairs present in \mathcal{D} . If, for every state-action pair in \mathcal{D} , the empirical Bellman operator is an unbiased estimate of the Bellman operator; then we have

$$\underset{k \in \{1,...,K\}}{\operatorname{argmin}} \sum_{(s,a,r,s') \in \mathcal{D}} \mathcal{L}_{QN}(\theta^k | \bar{\theta}, s, a, r, s') = \underset{k \in \{1,...,K\}}{\operatorname{argmin}} ||\Gamma Q_{\bar{\theta}} - Q_{\theta^k}||_{2,\nu}^2$$

We stress that the selection strategy presented in Equation (5) is a *sufficient* condition for AdaQN to reach a sum of approximation errors that is smaller than any sum of approximation errors that an individual trial of hyperparameters would achieve. This means that a suboptimal sequence of target Q-functions can also lead to better performance than every single trial of a grid search.

3.2 Algorithmic implementation

Multiple algorithms can be derived from our formulation. Algorithm 1 shows an adaptive version of Deep Q-Network (DQN, Mnih et al. (2015)) that we call Adaptive Deep Q-Network (AdaDQN). Similarly, Adaptive Soft Actor-Critic (AdaSAC), presented in Algorithm 2, is an adaptive version of Soft Actor-Critic (SAC, Haarnoja et al. (2018)). In SAC, the target network is updated using Polyak averaging (Lillicrap et al., 2015). Therefore, we consider K target networks $(\bar{\theta}^k)_{k=1}^K$. Each target network $\bar{\theta}^k$ is updated from its respective online network θ^k , as shown in Line 11 of Algorithm 2. However, each online network is trained w.r.t. a *shared* target chosen from a single target that comes from the set of K target networks. Similarly to the strategy presented in Equation (4), the shared target network is chosen as

$$\bar{\theta} \leftarrow \bar{\theta}^{\psi} \text{ where } \psi = \operatorname*{argmin}_{k \in \{1, \dots, K\}} \sum_{(s, a, r, s') \in \mathcal{D}} \mathcal{L}_{\text{QN}}(\theta^k | \bar{\theta}, s, a, r, s').$$
(6)

Having multiple online networks enables us to choose which network to use to sample actions in a value-based setting (see Line 3 of Algorithm 1) and which network is selected to train the actor in an actor-critic setting (see Line 13 of Algorithm 2). This choice is related to the behavioral policy,

Algorithm 1 Adaptive Deep Q-Network (AdaDQN). Modifications to DQN are marked in purple.

1: Initialize K online parameters $(\theta^k)_{k=1}^K$, and an empty replay buffer \mathcal{D} . Set the target parameters $\bar{\theta} \leftarrow \theta^0$ and the cumulative losses $L_k = 0$, for k = 1, ..., K. Set $\psi = 0$ the index to be selected for computing the target.

2: repeat Set $\psi^b \sim U\{1, \ldots, K\}$ w.p. ϵ_b and $\psi^b = \psi$ w.p. $1 - \epsilon_b$. Take action $a_t \sim \epsilon$ -greedy $(Q_{\theta\psi^b}(s_t, \cdot))$; Observe reward r_t , next state s_{t+1} . 3: 4: Update $\mathcal{D} \leftarrow \mathcal{D} \bigcup \{(s_t, a_t, r_t, s_{t+1})\}.$ 5: 6: every G steps Sample a mini-batch $\mathcal{B} = \{(s, a, r, s')\}$ from \mathcal{D} . 7: Compute the shared target $y \leftarrow r + \gamma \max_{a'} Q_{\bar{\theta}}(s', a')$. 8: 9: for k = 1, ..., K do Compute the loss w.r.t θ^k , $\mathcal{L}_{\text{ON}}^k = \sum_{(s,a,r,s') \in \mathcal{B}} (y - Q_{\theta^k}(s,a))^2$. 10: Update $L_k \leftarrow L_k + \mathcal{L}_{QN}^k$. Update θ^k using its *specific* optimizer and learning rate from $\nabla_{\theta^k} \mathcal{L}_{QN}^k$. 11: 12: every T steps 13: Update $\psi \leftarrow \operatorname{argmin}_k L_k$; $L_k \leftarrow 0$, for $k \in \{1, \ldots, K\}$. 14: Update the target network with $\bar{\theta} \leftarrow \theta^{\psi}$. 15:

thus, we choose the same strategy in both settings. Intuitively, the optimal choice would be to pick the best-performing network; however, this information is not available. More importantly, only exploring using the best-performing network could lead the other online networks to learn passively, see Section 4.1. This would make the performances of the other networks drop, as identified in Ostrovski et al. (2021), making them useless for the rest of the training. Inspired by ϵ -greedy policies commonly used in RL for exploration, we choose to select a random network with probability ϵ_b and to select the online network corresponding to the selected target network as a proxy for the best-performing network with probability $1 - \epsilon_b$. We use a linear decaying schedule for ϵ_b .

4 **Experiments**

We evaluate our method on lunar lander (Brockman et al., 2016) for AdaDQN, in Section 4.1. We also show experiments on the MuJoCo benchmark (Todorov et al., 2012) for AdaSAC, in Section 4.2. AdaQN automatizes the selection of hyperparameters in a very different way compared to the existing approaches in the literature (see Section 5), which makes the comparison to any autoRL method impractical. Therefore, we propose to compare AdaQN to an exhaustive grid search where both approaches have access to the same sets of hyperparameters. We report the performances of *every* single set of hyperparameters given as input to AdaQN. This allows us to see how AdaQN performs compared to the best static set of hyperparameters. Additionally, this work focuses on sample efficiency, this is why we report the performances of the agent by counting every environment interaction used for the meta-optimization process as advocated by Franke et al. (2021). For reporting the grid search results, this translates to multiplying the number of interactions of the best achieved performance by the number of individual trials. We also report the average performance over the individual runs as the performance that a random search would obtain in expectation. The remaining hyperparameters are kept unchanged. Table 1 and 2 of Appendix B reference all the hyperparameters used for the experiments. The code is based on the Stable Baselines implementation (Raffin et al., 2021). It is available in the supplementary material and will be made open source upon acceptance.

Performance metric. As recommended by Agarwal et al. (2021), we plot the interquartile mean (IQM) along with shaded regions showing pointwise 95% percentile stratified bootstrap confidence intervals. IQM is a trade-off between the mean and the median where the tail of the score distribution is removed on both sides to consider only 50% of the runs. We argue that the final score is not enough to properly compare RL algorithms since methods that show higher initial performances are better suited for real-world experiments compared to methods that only show higher performances later during training. This is why we also analyze the performances with the Area Under the Curve (AUC) that computes the integral of the IQM along the training. We also report the worst-performing seed to analyze the robustness of AdaQN w.r.t. stochasticity. The IQM is computed over 20 seeds for the Lunar Lander experiments and over 9 seeds and 6 environments for the MuJoCo experiments.

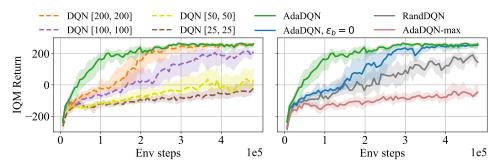


Figure 2: On-the-fly architecture selection on **Lunar Lander**. All architectures contain two hidden layers. The number of neurons in each layer is indicated in the legend. **Left**: AdaDQN yields a better AUC than every DQN run. **Right**: Ablation on the behavioral policy and on the strategy to select the target network used to compute the target. Each version of AdaDQN uses the 4 presented architectures. The strategy presented in Equation (4) outperforms the other considered strategies.

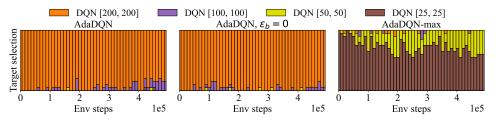


Figure 3: Bar plots of the distribution of networks selected as target networks across all seeds. Left: In most cases, AdaDQN selects the best individual architecture. Middle: AdaDQN with $\epsilon_b = 0$ also focuses on the best individual architecture. The fact that it underperforms compared to AdaDQN is coming from the behavioral policy. **Right:** AdaDQN-max is a version of AdaDQN where the minimum operator is replaced by the maximum operator for selecting the following target network.

4.1 A proof of concept

We consider 4 different architectures for AdaDQN and compare their performances to the individual runs in Figure 2 (left). The 4 architectures are composed of two hidden layers, with the number of neurons forming each layer indicated in the legend. Interestingly, AdaDQN performs better than the best individual architecture, meaning that by selecting different architectures during the training, AdaDQN better copes with the non-stationarities of the optimization procedure. Figure 3 shows the distribution of the selected target (see Line 14 of Algorithm 1) along the training across all seeds. Importantly, the selected target is not always computed from the same target network. For example, the network DQN [100, 100] is sometimes selected instead of DQN [200, 200]. This result is even more interesting because, intuitively, AdaDQN should always select the biggest network (DQN [200, 200]) since it greatly outperforms the other networks.

To better understand this, Figure 2 (right) shows the performances for AdaDQN along with a version of AdaQN where ϵ_b is equal to 0 during the training (AdaDQN $\epsilon_b = 0$). This variant of AdaQN performs similarly to the best individual run but underperforms compared to AdaDQN. Despite selecting the target networks in a similar way as AdaDQN (see Figure 3 (middle)), AdaDQN $\epsilon_b = 0$ leaves only a few online transitions to the other networks. As explained in Section 3.2, this shows the benefit of allowing each online Q-function to interact with the environment ($\epsilon_b > 0$) such that they do not learn passively. Additionally, we show a version where the target network is selected randomly from the set of online networks, calling this variant RandDQN. This version is similar to the way the target is computed in REDQ (Chen et al., 2020). While sampling uniformly from similar agents yields better performance, as shown in Chen et al. (2020), this strategy suffers when one agent is not performing well. In our case, DQN [25, 25] harms the overall performance. Finally, taking the maximum instead of the minimum to select the target (AdaDQN-max) performs as badly as the worst available agent (DQN [25, 25]). Figure 3 (bottom) shows that the worst-performing agent is almost always selected, in line with the theoretical analysis developed in Section 3.1.

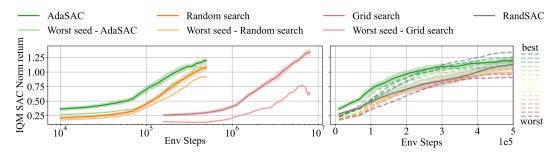


Figure 4: On-the-fly hyperparameter selection on **MuJoCo**. The 16 sets of hyperparameters are the elements of the cartesian product between the learning rates {0.0005, 0.001}, the optimizers {Adam, RMSProp}, the critic's architectures {[256, 256], [512, 512]} and the activation functions {ReLU, Sigmoid}. **Left:** AdaDQN is more sample-efficient than grid search. **Right:** AdaDQN yields a better AUC than every DQN run while having a greater final score than 13 out of 16 DQN runs. The color shading of the dashed lines is used to indicate their ranking for the AUC metric.

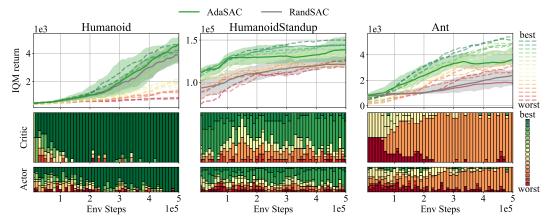


Figure 5: **Top:** Per environment return of AdaSAC and RandSAC when the 16 sets of hyperparameters, described in Figure 4, are given as input. **Bottom:** Bar plot presenting the distribution of networks selected for the critic's loss and the actor's loss along the training. AdaSAC outperforms RandSAC and most individual run by designing non-trivial hyperparameter schedules.

4.2 Continuous control: MuJoCo environments

We evaluate AdaSAC for a wider choice of hyperparameters on 6 MuJoCo environments. We consider selecting from 16 sets of hyperparameters. Those sets form the Cartesian product between the learning rates $\{0.0005, 0.001\}$, the optimizers $\{Adam, RMSProp\}$, the critic's architectures $\{[256, 256], [512, 512]\}$ and the activation functions $\{ReLU, Sigmoid\}$. This fixes K to $2^4 = 16$. The values of the hyperparameters were chosen to be representative of common choices made when tuning by hand a SAC agent. In Figure 4 (left), we compare AdaSAC with a grid search performed on the 16 set of hyperparameters. AdaSAC is an order of magnitude more sample efficient than grid search. Notably, AdaSAC's worst-performing seed performs on par with the random search approach while greatly outperforming the worst-performing seed of the grid search. In Figure 4 (right), we show AdaSAC's performance along with the individual performance of each set of hyperparameters. AdaSAC reaches the performance of vanilla SAC in less than half of the samples. We also show the performance of RandSAC, for which a random target network is selected to compute the target instead of following the strategy presented in Equation (4). For clarity, the labels of the 16 individual runs are not shown, they are available in Figure 7 of Appendix D.1.

We now analyze the proposed strategy for selecting the networks during the training of AdaSAC. The top row of Figure 5 shows the scores obtained for 3 MuJoCo environments. In the bottom row, we plot the distribution across the seeds of the target networks selected to compute the critic's loss and the actor's loss (see Line 12 and 13 of Algorithm 2). Figure 6 shows a similar plot for the 3 remaining MuJoCo environments. Overall, the selected networks are changing during the training,

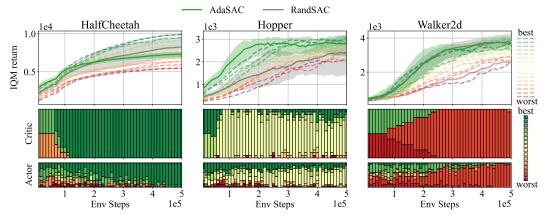


Figure 6: **Top:** Per environment return of AdaSAC and RandSAC when the 16 sets of hyperparameters, described in Figure 4, are given as input. **Bottom:** Bar plot presenting the distribution of networks selected for the critic's loss and the actor's loss along the training.

which indicates that the loss is not always minimized by the same set of hyperparameters. This supports the idea of selecting the target network based on Equation (4). Furthermore, the selected networks are not the same across the different environments. This shows that AdaSAC designs nontrivial hyperparameter schedules that cannot be handcrafted. On Humanoid, selecting the network corresponding to the best-performing agent leads to similar performances to the best-performing agents when trained individually. On HumanoidStandup, the selection strategy avoids selecting the worst-performing agents. This is why AdaSAC outperforms RandSAC, which blindly selects agents. A similar scenario happens on Ant. HalfCheetah is the only environment where RandSAC slightly outperforms AdaSAC. AdaSAC still yields a better final performance than 6 of the individual runs. Finally, on Hopper and Walker2d, AdaSAC outperforms every individual run for the AUC metric by mainly selecting hyperparameters that are *not* performing well when trained individually. The ability of AdaSAC to adapt the hyperparameters at each target update allows the proposed algorithm to better fit the targets, which yields better performances. The distribution of the selected online networks in the actor's loss, shown at the bottom of Figures 5 and 6, are chosen uniformly at the beginning since ϵ_b starts with a high value. At the end of the training, the distribution of the selected online networks is similar to the distribution of selected target networks in the critic's loss since ϵ_b is low at the end of the training.

Ablations. We evaluate AdaSAC against a grid search in 4 different scenarios to show that it is robust to variations in the hyperparameters. All the figures are shown in Appendices D.2, D.3, D.4, and D.5. We first give 4 architectures of different capacities as input. Then, we run AdaSAC with 3 different learning rates, and we also run another study where AdaSAC is given 3 different optimizers. In each case, AdaSAC matches the best-performing individual runs while successfully ignoring the worst-performing sets of hyperparameters. Finally, we evaluate AdaSAC with 3 different activation functions given as input. This time, AdaSAC outperforms 2 out of the 3 individual runs. Interestingly, RandSAC seems to suffer more in that setting by only outperforming the worst-performing individual run. This further demonstrates that the strategy presented in Equation (4) is effective.

5 Related work

We follow the clustering of AutoRL methods presented in Parker-Holder et al. (2022) to position our work in the AutoRL landscape. Contrary to AdaQN, many approaches consider optimizing the hyperparameters through multiple trials. A classic approach is to cover the search space with a grid search or a random search (Hutter et al., 2019; Bergstra & Bengio, 2012). Some other methods use Bayesian optimization to guide the search in the space of hyperparameters (Chen et al., 2018; Falkner et al., 2018; Nguyen et al., 2020; Shala et al., 2022), leveraging the information collected from individual trials. Those methods consider the problem of finding the best set of hyperparameters but do not consider changing the hyperparameters during a single trial, which would be more appropriate for handling the non-stationarities inherent to the RL problem. Evolutionary approaches have been developed for that purpose (Stanley et al., 2009; Awad et al., 2021; Jaderberg et al., 2019), where the best elements of a population of agents undergo genetic modifications during training. As an

example, in SEARL (Franke et al., 2021), a population of agents is first evaluated in the environment. Then, the best-performing agents are selected, and a mutation process is performed to form the next generation of agents. Finally, the samples collected during evaluation are taken from a shared replay buffer to train each individual agent, and the loop repeats itself. Even if selecting agents based on their performance in the environment seems reasonable, we argue that it hinders sampleefficiency since exploration in the space of hyperparameters might lead to poorly performing agents. Moreover, if poorly performing agents are evaluated, low-quality samples will then be stored in the replay buffer and used later for training, which could lead to long-term negative effects. This is why we propose to base the selection mechanism on the approximation error, which does not require additional interaction with the environment. Other methods have considered evaluating the Q-functions offline (Tang & Wiens, 2021). Most approaches consider the Bellman error $\|\Gamma Q_i - Q_i\|$ instead of the approximation error $\|\Gamma Q_{i-1} - Q_i\|$, where Q_i is the Q-function obtained after i Bellman iterations (Farahmand & Szepesvári, 2011; Zitovsky et al., 2023). However, this approach considers the empirical estimate of the Bellman error which is a biased estimate of the true Bellman error (Baird, 1995). Lee et al. (2022) propose to rely on the approximation error to choose between two different classes of functions in an algorithm called ModBE. AdaQN differs from ModBE since ModBE does not adapt the hyperparameters at each Bellman iteration but instead performs several training steps before comparing two classes of functions. We argue that the approximation error is a natural metric in our specific setting, since choosing the best-performing Q-function or the Qfunction minimizing its Bellman error would not guarantee that the bound presented in Theorem 2.1 is minimized at the end of the training or, in other words, that the future Bellman iterations would lead to well-performing agents.

Similarly to AdaQN, Meta-Gradient methods are optimizing the hyperparameters in a single training (Finn et al., 2017; Zahavy et al., 2020; Flennerhag et al., 2021). Our approach differs from Meta-Gradient methods because we do not require the hyperparameters to be differentiable. This is why we can consider different optimizers or different activation functions. Finally, the cluster of methods under the name "Blackbox Online Tuning" is closer to our approach. However, most methods in this cluster focus on the behavioral policy (Schaul et al., 2019; Badia et al., 2020) or build the hyperparameters as functions of the state of the environment (Sutton & Singh, 1994; White & White, 2016). For example, Riquelme et al. (2019) develop a method called adaptive TD that selects between the TD update or the Monte-Carlo update depending on whether the TD update belongs to a confidence interval computed from several Monte-Carlo estimates.

6 Discussion and conclusion

We have presented Adaptive Q-Network (AdaQN), a new method that selects the hyperparameters during learning by training diverse Q-networks w.r.t. a shared target, selected as the target network corresponding to the most accurate online network. We demonstrated that AdaQN is theoretically sound and we devised its algorithmic implementation. By adaptively selecting from a set of hyperparameters, AdaQN achieves strong performance against individual runs in terms of sample efficiency, final performance, and robustness.

We can identify some limitations of our work and suggest ways to tackle them. Our work focuses on optimizing the agent's hyperparameters; thus, the environment's hyperparameters, such as the reward or the discount factors, cannot be optimized with AdaQN. Nevertheless, an off-the-shelf AutoRL algorithm can be used in combination with AdaON to optimize those hyperparameters. To go beyond the fixed set of hyperparameters, one could consider extending our work to a population-based approach in a similar way as Franke et al. (2021) do it but, this time, a new population of online networks could be generated starting from the agents having the lowest losses w.r.t. a shared target. Moreover, since AdaQN considers multiple Q-functions in the loss, the training time and memory requirements increase. We provide an extensive study in Appendix C to show that this increase remains reasonable compared to the gain in performance and sample-efficiency. We stress that, in theory, the computations can be parallelized so that the adaptive version of an algorithm requires the same amount of time as its original algorithm. The additional "for loop" in Line 9 of Algorithm 1 and the one in Line 7 of Algorithm 2 can be run in parallel as long as enough parallel processing capacity is available, as it is common in modern GPUs. Instead of selecting one Q-function for computing the target, future works could consider a mixture of Q-functions learned with different hyperparameters as presented in Seyde et al. (2022).

7 Acknowledgments

This work was funded by the German Federal Ministry of Education and Research (BMBF) (Project: 01IS22078). This work was also funded by Hessian.ai through the project 'The Third Wave of Artificial Intelligence – 3AI' by the Ministry for Science and Arts of the state of Hessen and by the grant "Einrichtung eines Labors des Deutschen Forschungszentrum für Künstliche Intelligenz (DFKI) an der Technischen Universität Darmstadt".

References

- Agarwal, R., Schwarzer, M., Castro, P. S., Courville, A. C., and Bellemare, M. Deep reinforcement learning at the edge of the statistical precipice. In *Advances in Neural Information Processing Systems*, 2021.
- Andrychowicz, M., Raichuk, A., Stańczyk, P., Orsini, M., Girgin, S., Marinier, R., Hussenot, L., Geist, M., Pietquin, O., Michalski, M., et al. What matters for on-policy deep actor-critic methods? a large-scale study. In *International Conference on Learning Representations*, 2020.
- Awad, N., Mallik, N., and Hutter, F. Dehb: Evolutionary hyberband for scalable, robust and efficient hyperparameter optimization. In *International Joint Conference on Artificial Intelligence*, 2021.
- Badia, A. P., Piot, B., Kapturowski, S., Sprechmann, P., Vitvitskyi, A., Guo, Z. D., and Blundell, C. Agent57: Outperforming the atari human benchmark. In *International Conference on Machine Learning, ICML, 13-18 July, Virtual Event,* 2020.
- Baird, L. Residual algorithms: Reinforcement learning with function approximation. In *Machine Learning*, 1995.
- Bergstra, J. and Bengio, Y. Random search for hyper-parameter optimization. In *Journal of Machine Learning Research*, 2012.
- Bhatt, A., Palenicek, D., Belousov, B., Argus, M., Amiranashvili, A., Brox, T., and Peters, J. Crossq: Batch normalization in deep reinforcement learning for greater sample efficiency and simplicity. In *International Conference on Learning Representations*, 2024.
- Brockman, G., Cheung, V., Pettersson, L., Schneider, J., Schulman, J., Tang, J., and Zaremba, W. Openai gym. In arXiv preprint arXiv:1606.01540, 2016.
- Chen, X., Wang, C., Zhou, Z., and Ross, K. W. Randomized ensembled double q-learning: Learning fast without a model. In *International Conference on Learning Representations*, 2020.
- Chen, Y., Huang, A., Wang, Z., Antonoglou, I., Schrittwieser, J., Silver, D., and de Freitas, N. Bayesian optimization in alphago. In *arXiv preprint arXiv:1812.06855*, 2018.
- Dabney, W., Ostrovski, G., Silver, D., and Munos, R. Implicit quantile networks for distributional reinforcement learning. In *International Conference on Machine Learning*, 2018.
- D'Eramo, C. and Chalvatzaki, G. Prioritized sampling with intrinsic motivation in multi-task reinforcement learning. In *International Joint Conference on Neural Networks*, 2022.
- Engstrom, L., Ilyas, A., Santurkar, S., Tsipras, D., Janoos, F., Rudolph, L., and Madry, A. Implementation matters in deep rl: A case study on ppo and trpo. In *International Conference on Learning Representations*, 2019.
- Falkner, S., Klein, A., and Hutter, F. Bohb: Robust and efficient hyperparameter optimization at scale. In *International Conference on Machine Learning*, 2018.
- Farahmand, A.-m. Regularization in reinforcement learning. 2011.
- Farahmand, A.-m. and Szepesvári, C. Model selection in reinforcement learning. In Machine learning, 2011.
- Finn, C., Abbeel, P., and Levine, S. Model-agnostic meta-learning for fast adaptation of deep networks. In *International Conference on Machine Learning*, 2017.

- Flennerhag, S., Schroecker, Y., Zahavy, T., van Hasselt, H., Silver, D., and Singh, S. Bootstrapped meta-learning. In *International Conference on Learning Representations*, 2021.
- Franke, J. K., Koehler, G., Biedenkapp, A., and Hutter, F. Sample-efficient automated deep reinforcement learning. In *International Conference on Learning Representations*, 2021.
- Haarnoja, T., Zhou, A., Abbeel, P., and Levine, S. Soft actor-critic: Off-policy maximum entropy deep reinforcement learning with a stochastic actor. In *International Conference on Machine Learning*, 2018.
- Henderson, P., Islam, R., Bachman, P., Pineau, J., Precup, D., and Meger, D. Deep reinforcement learning that matters. In *Association for the Advancement of Artificial Intelligence*, 2018.
- Hutter, F., Kotthoff, L., and Vanschoren, J. (eds.). Automated Machine Learning Methods, Systems, Challenges. Springer, 2019.
- Igl, M., Farquhar, G., Luketina, J., Böhmer, J., and Whiteson, S. Transient non-stationarity and generalisation in deep reinforcement learning. In *International Conference on Learning Representations*, 2021.
- Jaderberg, M., Czarnecki, W. M., Dunning, I., Marris, L., Lever, G., Castañeda, A. G., Beattie, C., Rabinowitz, N. C., Morcos, A. S., Ruderman, A., Sonnerat, N., Green, T., Deason, L., Leibo, J. Z., Silver, D., Hassabis, D., Kavukcuoglu, K., and Graepel, T. Human-level performance in 3d multiplayer games with population-based reinforcement learning. In *Science*, 2019.
- Kirkpatrick, J., Pascanu, R., Rabinowitz, N., Veness, J., Desjardins, G., Rusu, A. A., Milan, K., Quan, J., Ramalho, T., Grabska-Barwinska, A., et al. Overcoming catastrophic forgetting in neural networks. In *National Academy of sciences*, 2017.
- Klink, P., D'Eramo, C., Peters, J. R., and Pajarinen, J. Self-paced deep reinforcement learning. In *Advances in Neural Information Processing Systems*, 2020.
- Lee, J. N., Tucker, G., Nachum, O., Dai, B., and Brunskill, E. Oracle inequalities for model selection in offline reinforcement learning. In *Advances in Neural Information Processing Systems*, 2022.
- Lillicrap, T. P., Hunt, J. J., Pritzel, A., Heess, N., Erez, T., Tassa, Y., Silver, D., and Wierstra, D. Continuous control with deep reinforcement learning. In arXiv preprint arXiv:1509.02971, 2015.
- Loshchilov, I. and Hutter, F. Decoupled weight decay regularization. In *International Conference on Learning Representations*, 2018.
- Mahmood, A. R., Korenkevych, D., Vasan, G., Ma, W., and Bergstra, J. Benchmarking reinforcement learning algorithms on real-world robots. In *Conference on Robot Learning*, 2018.
- Mnih, V., Kavukcuoglu, K., Silver, D., Rusu, A. A., Veness, J., Bellemare, M. G., Graves, A., Riedmiller, M., Fidjeland, A. K., Ostrovski, G., et al. Human-level control through deep reinforcement learning. In *Nature*, 2015.
- Mohan, A., Benjamins, C., Wienecke, K., Dockhorn, A., and Lindauer, M. Autorl hyperparameter landscapes. In *International Conference on Automated Machine Learning*, 2023.
- Nguyen, V., Schulze, S., and Osborne, M. A. Bayesian optimization for iterative learning. In *Advances in Neural Information Processing Systems*, 2020.
- Nikishin, E., Schwarzer, M., D'Oro, P., Bacon, P.-L., and Courville, A. The primacy bias in deep reinforcement learning. In *International Conference on Machine Learning*, 2022.
- Ostrovski, G., Castro, P. S., and Dabney, W. The difficulty of passive learning in deep reinforcement learning. In Advances in Neural Information Processing Systems, 2021.
- Parker-Holder, J., Rajan, R., Song, X., Biedenkapp, A., Miao, Y., Eimer, T., Zhang, B., Nguyen, V., Calandra, R., Faust, A., et al. Automated reinforcement learning (autorl): A survey and open problems. In *Journal of Artificial Intelligence Research*, 2022.

- Puterman, M. L. Markov decision processes. *Handbooks in Operations Research and Management Science*, 1990.
- Raffin, A., Hill, A., Gleave, A., Kanervisto, A., Ernestus, M., and Dormann, N. Stable-baselines3: Reliable reinforcement learning implementations. In *Journal of Machine Learning Research*, 2021.
- Riquelme, C., Penedones, H., Vincent, D., Maennel, H., Gelly, S., Mann, T. A., Barreto, A., and Neu, G. Adaptive temporal-difference learning for policy evaluation with per-state uncertainty estimates. In Advances in Neural Information Processing Systems, 2019.
- Schaul, T., Quan, J., Antonoglou, I., and Silver, D. Prioritized experience replay. In arXiv preprint arXiv:1511.05952, 2015.
- Schaul, T., Borsa, D., Ding, D., Szepesvari, D., Ostrovski, G., Dabney, W., and Osindero, S. Adapting behaviour for learning progress. In arXiv preprint arXiv:1912.06910, 2019.
- Seyde, T., Schwarting, W., Gilitschenski, I., Wulfmeier, M., and Rus, D. Strength through diversity: Robust behavior learning via mixture policies. In *Conference on Robot Learning*, 2022.
- Shala, G., Biedenkapp, A., Hutter, F., and Grabocka, J. Gray-box gaussian processes for automated reinforcement learning. In *International Conference on Learning Representations*, 2022.
- Silver, D., Schrittwieser, J., Simonyan, K., Antonoglou, I., Huang, A., Guez, A., Hubert, T., Baker, L., Lai, M., Bolton, A., et al. Mastering the game of go without human knowledge. In *Nature*, 2017.
- Sokar, G., Agarwal, R., Castro, P. S., and Evci, U. The dormant neuron phenomenon in deep reinforcement learning. In *International Conference on Machine Learning*, 2023.
- Stanley, K. O., D'Ambrosio, D. B., and Gauci, J. A hypercube-based encoding for evolving large-scale neural networks. In *Artificial Life*, 2009.
- Sutton, R. S. and Barto, A. G. Reinforcement learning: An introduction. MIT Press, 1998.
- Sutton, R. S. and Singh, S. P. On step-size and bias in temporal-difference learning. In Yale Workshop on Adaptive and Learning Systems, 1994.
- Tang, S. and Wiens, J. Model selection for offline reinforcement learning: Practical considerations for healthcare settings. In *Machine Learning for Healthcare Conference*, 2021.
- Todorov, E., Erez, T., and Tassa, Y. Mujoco: A physics engine for model-based control. In *International Conference on Intelligent Robots and Systems*, 2012.
- White, M. and White, A. A greedy approach to adapting the trace parameter for temporal difference learning. In *International Conference on Autonomous Agents and Multiagent Systems*, 2016.
- Zahavy, T., Xu, Z., Veeriah, V., Hessel, M., Oh, J., van Hasselt, H., Silver, D., and Singh, S. A self-tuning actor-critic algorithm. In *Advances in Neural Information Processing Systems*, 2020.
- Zitovsky, J. P., De Marchi, D., Agarwal, R., and Kosorok, M. R. Revisiting bellman errors for offline model selection. In *International Conference on Machine Learning*, 2023.

A Proofs

Theorem A.1. Let $(\theta^k)_{k=1}^K \in \Theta^K$ and $\overline{\theta} \in \Theta$ be vectors of parameters representing K + 1 *Q*-functions. Let $\mathcal{D} = \{(s, a, r, s')\}$ be a set of samples. Let ν be the distribution represented by the state-action pairs present in \mathcal{D} . If, for every state-action pair in \mathcal{D} , the empirical Bellman operator is an unbiased estimate of the Bellman operator; then we have

$$\underset{k \in \{1,...,K\}}{\operatorname{argmin}} \sum_{(s,a,r,s') \in \mathcal{D}} \mathcal{L}_{\mathcal{Q}N}(\theta^k | \bar{\theta}, s, a, r, s') = \underset{k \in \{1,...,K\}}{\operatorname{argmin}} ||\Gamma Q_{\bar{\theta}} - Q_{\theta^k}||_{2,\nu}^2.$$

Proof. Let $(\theta^k)_{k=1}^K \in \Theta^K$ and $\bar{\theta} \in \Theta$ be vectors of parameters representing K + 1 Q-functions. Let $\mathcal{D} = \{(s, a, r, s')\}$ be a set of samples. Let ν be the distribution of the state-action pairs present in \mathcal{D} . We assume that for every state-action pair in \mathcal{D} , the empirical Bellman operator is an unbiased estimate of the Bellman operator. For every state-action pair (s, a) in \mathcal{D} , we define the set $\mathcal{D}_{s,a} = \{(r, s') | (s, a, r, s') \in \mathcal{D}\}$. We assume that for any $\bar{\theta} \in \Theta$, $\mathbb{E}_{(r,s') \sim \mathcal{D}_{s,a}}[\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s,a)] = \Gamma Q_{\bar{\theta}}(s,a)$. Additionally, we note M the cardinality of \mathcal{D} , $M_{s,a}$ the cardinality of $\mathcal{D}_{s,a}$ and $\hat{\mathcal{D}}$ the set of unique state-action pairs in \mathcal{D} . We take $k \in \{1, \ldots, K\}$ and write

$$\sum_{(s,a,r,s')\in\mathcal{D}} \mathcal{L}_{\text{QN}}(\theta^k|\bar{\theta}, s, a, r, s') = \sum_{(s,a,r,s')\in\mathcal{D}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s, a) - Q_{\theta^k}(s, a)\right)^2$$

$$= \sum_{(s,a,r,s')\in\mathcal{D}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s, a) - \Gamma Q_{\bar{\theta}}(s, a) + \Gamma Q_{\bar{\theta}}(s, a) - Q_{\theta^k}(s, a)\right)^2$$

$$= \sum_{(s,a,r,s')\in\mathcal{D}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s, a) - \Gamma Q_{\bar{\theta}}(s, a)\right)^2$$

$$\cdot \sum_{(s,a,r,s')\in\mathcal{D}} \left(\Gamma Q_{\bar{\theta}}(s, a) - Q_{\bar{\theta}^k}(s, a)\right)^2 + 2 \sum_{(s,a,r,s')\in\mathcal{D}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s, a) - \Gamma Q_{\bar{\theta}}(s, a)\right) \left(\Gamma Q_{\bar{\theta}}(s, a) - Q_{\theta^k}(s, a)\right).$$

The second last equation is obtained by introducing the term $\Gamma Q_{\bar{\theta}}(s, a)$ and removing it. The last equation is obtained by developing the previous squared term. Now, we study each of the three terms:

- $\sum_{(s,a,r,s')\in\mathcal{D}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s,a) \Gamma Q_{\bar{\theta}}(s,a)\right)^2$ is independent of θ^k
- $\sum_{(s,a,r,s')\in\mathcal{D}} \left(\Gamma Q_{\bar{\theta}}(s,a) Q_{\theta^k}(s,a) \right)^2$ equal to $M \times ||\Gamma Q_{\bar{\theta}} Q_{\theta^k}||_{2,\nu}^2$ by definition of ν .
- And finally,

+

$$\sum_{\substack{(s,a,r,s')\in\mathcal{D}\\(s,a)\in\mathcal{D}}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s,a) - \Gamma Q_{\bar{\theta}}(s,a)\right) \left(\Gamma Q_{\bar{\theta}}(s,a) - Q_{\theta^{k}}(s,a)\right)$$
$$= \sum_{\substack{(s,a)\in\mathcal{D}\\(r,s')\in\mathcal{D}_{s,a}}} \left[\sum_{\substack{(r,s')\in\mathcal{D}_{s,a}}} \left(\hat{\Gamma}_{r,s'}Q_{\bar{\theta}}(s,a) - \Gamma Q_{\bar{\theta}}(s,a)\right) \left(\Gamma Q_{\bar{\theta}}(s,a) - Q_{\theta^{k}}(s,a)\right)\right] = 0$$

since, for every $(s, a) \in \check{\mathcal{D}}$,

$$\sum_{(r,s')\in\mathcal{D}_{s,a}} \left(\hat{\Gamma}_{r,s'} Q_{\bar{\theta}}(s,a) - \Gamma Q_{\bar{\theta}}(s,a) \right) = M_{s,a} \mathbb{E}_{(r,s')\sim\mathcal{D}_{s,a}} [\hat{\Gamma}_{r,s'} Q_{\bar{\theta}}(s,a) - \Gamma Q_{\bar{\theta}}(s,a)] = 0,$$

the last equality holds from the assumption.

Thus, we have

(s,

$$\sum_{a,r,s')\in\mathcal{D}} \mathcal{L}_{\text{QN}}(\theta^k|\bar{\theta}, s, a, r, s') = \text{constant w.r.t } \theta^k + M \times ||\Gamma Q_{\bar{\theta}} - Q_{\theta^k}||_{2,\nu}^2$$

This is why

$$\underset{k \in \{1,\dots,K\}}{\operatorname{argmin}} \sum_{(s,a,r,s') \in \mathcal{D}} \mathcal{L}_{\text{QN}}(\theta^k | \bar{\theta}, s, a, r, s') = \underset{k \in \{1,\dots,K\}}{\operatorname{argmin}} ||\Gamma Q_{\bar{\theta}} - Q_{\theta^k}||_{2,\nu}^2.$$

B Algorithms and Hyperparameters

Algorithm 2 Adaptive Soft Actor-Critic (AdaSAC). Modifications to SAC are marked in purple.

1: Initialize the policy parameters ϕ , K online parameters $(\theta^k)_{k=1}^K$, and an empty replay buffer \mathcal{D} . For $k = 1, \ldots, K$, set the target parameters $\bar{\theta}^k \leftarrow \theta^k$ and the cumulative losses $L_k = 0$. Set $\psi_1 = 0$ and $\psi_2 = 1$ the indices to be selected for computing the target.

2: repeat

- 3: Take action $a_t \sim \pi_{\phi}(\cdot|s_t)$; Observe reward r_t , next state s_{t+1} ; $\mathcal{D} \leftarrow \mathcal{D} \bigcup \{(s_t, a_t, r_t, s_{t+1})\}$.
- 4: **for** UTD updates **do**
- 5: Sample a mini-batch $\mathcal{B} = \{(s, a, r, s')\}$ from \mathcal{D} .
- 6: Compute the *shared* target

$$y \leftarrow r + \gamma \left(\min_{k \in \{\psi_1, \psi_2\}} Q_{\bar{\theta}^k}(s', a') - \alpha \log \pi_{\phi}(a'|s') \right), \text{ where } a' \sim \pi_{\phi}(\cdot|s').$$

- 7: **for** k = 1, ..., K **do**
- 8: Compute the loss w.r.t θ^k , $\mathcal{L}_{QN}^k = \sum_{(s,a,r,s') \in \mathcal{B}} (y Q_{\theta^k}(s,a))^2$.
- 9: Update $L_k \leftarrow (1-\tau)L_k + \tau \mathcal{L}_{QN}^k$.
- 10: Update θ^k using its *specific* optimizer and learning rate from $\nabla_{\theta^k} \mathcal{L}_{QN}^k$.
- 11: Update the target networks with $\bar{\theta}^k \leftarrow \tau \theta^k + (1 \tau) \bar{\theta}^k$.
- 12: Set ψ_1 and ψ_2 to be the indexes of the 2 lowest values of L.
- 13: Set $(\psi_1^b, \psi_2^b) \sim \text{Distinct} U\{1, \dots, K\}$ w.p ϵ_b and $(\psi_1^b, \psi_2^b) = (\psi_1, \psi_2)$ w.p $1 \epsilon_b$.
- 14: Update ϕ with gradient ascent using the loss

$$\min_{k \in \{\psi_1^b, \psi_2^b\}} Q_{\theta^k}(s, a) - \alpha \log \pi_{\phi}(a|s), \ a \sim \pi_{\phi}(\cdot|s)$$

for the Lunar Lander experiments.				
Shared across all algorithms				
Discount factor γ	0.99			
Initial replay buffer size	1000			
Replay buffer size	10^{4}			
Batch Size	32			
Target update frequency T	200			
Training frequency G	1			
Activation function	ReLU			
Learning rate	3×10^{-4}			
Optimizer	Adam			
Starting ϵ	1			
Ending ϵ	0.01			
Linear decay duration of ϵ	1000			
AdaDQN				
Starting ϵ_b	1			
Ending ϵ_b	0.01			
Linear decay duration of ϵ_b	Until end			

Table 1: Summary of all hyperparameters used

Table 2:	Summary	of all	hyperparamete	ers used
for the M	luJoCo exp	perime	nts.	

Shared across all algorithms				
Discount factor γ	0.99			
Initial replay buffer size	5000			
Replay buffer size	10^{6}			
Batch Size	256			
Target update rate $ au$	0.005			
UTD	1			
Policy delay	1			
Actor's architecture	256, 256			
Actor's activation function	ReLU			
Actor's learning rate	0.001			
Actor's optimizer	Adam			
Vanilla SAC				
Critic's architecture	256, 256			
Critic's activation function	ReLU			
Critic's learning rate	0.001			
Critic's optimizer	Adam			
AdaSAC				
Starting ϵ_b	1			
Ending ϵ_b	0.01			
Linear decay duration of ϵ_b	Until end			

C Training time and memory requirements

Sets of <i>hyperparameters</i> given as input to AdaSAC	Additional GPU vRAM usage	Additional training time
16 sets of <i>hyperparameters</i> described in Figure 4	300 Mb	352%
4 <i>architectures</i> described in Figure 8	< 100 Mb	72%
3 <i>learning rates</i> described in Figure 10	< 100 Mb	43%
3 <i>optimizers</i> described in Figure 12	< 100 Mb	43%
3 activation functions described in Figure 14	< 100 Mb	43%

Table 3: Final performances, memory requirements, and training time of AdaSAC compared to the average individual run. Computations are made on an NVIDIA GeForce RTX 4090 Ti.

D Additional Experiments

D.1 On-The-Fly Hyperparameter Selection on MuJoCo

Table 4: Number of individual runs outperformed by AdaSAC and RandSAC for the Area Under the Curve (AUC) and Final Performance (FP) metrics, when the 16 sets of hyperparameters, described in Figure 4, are given as input. AdaSAC outperforms every individual run for the AUC metric. Only 3 individual runs reach a higher final performance than AdaSAC's policy. Unlike AdaSAC, RandSAC randomly selects a target at each target update, which leads to poorer performance than AdaSAC on both metrics.

		Hopper	Ant	HalfCheetah	Walker2d	Humanoid	HumanoidStandup	All
AdaSAC	AUC	16	8	7	16	12	11	16
	FP	11	7	6	15	16	11	13
RandSAC	AUC	6	1	8	16	12	4	9
	FP	7	1	10	15	11	5	12

--- SAC learning rate: 0.001, optimizer: Adam, architecture: 512, 512, activation fn: ReLU

--- SAC learning rate: 0.0005, optimizer: Adam, architecture: 256, 256, activation fn: ReLU

--- SAC learning rate: 0.001, optimizer: Adam, architecture: 512, 512, activation fn: Sigmoid

--- SAC learning rate: 0.0005, optimizer: Adam, architecture: 512, 512, activation fn: ReLU

--- SAC learning rate: 0.001, optimizer: Adam, architecture: 256, 256, activation fn: Sigmoid

--- SAC learning rate: 0.0005, optimizer: Adam, architecture: 512, 512, activation fn: Sigmoid

--- SAC learning rate: 0.0005, optimizer: RMSProp, architecture: 256, 256, activation fn: ReLU

--- SAC learning rate: 0.0005, optimizer: RMSProp, architecture: 512, 512, activation fn: ReLU

--- SAC learning rate: 0.001, optimizer: RMSProp, architecture: 512, 512, activation fn: Sigmoid

--- SAC learning rate: 0.0005, optimizer: Adam, architecture: 256, 256, activation fn: Sigmoid

--- SAC learning rate: 0.001, optimizer: RMSProp, architecture: 256, 256, activation fn: ReLU

--- vanilla SAC learning rate: 0.001, optimizer: Adam, architecture: 256, 256, activation fn: ReLU

--- SAC learning rate: 0.001, optimizer: RMSProp, architecture: 256, 256, activation fn: Sigmoid

--- SAC learning rate: 0.001, optimizer: RMSProp, architecture: 512, 512, activation fn: ReLU

--- SAC learning rate: 0.0005, optimizer: RMSProp, architecture: 512, 512, activation fn: Sigmoid

--- SAC learning rate: 0.0005, optimizer: RMSProp, architecture: 256, 256, activation fn: Sigmoid

Figure 7: Legend of Figure 4 showing the ranking by AUC of the 16 considered sets of hyperparameters described. Importantly, the ranking changes for each MuJoCo environment.

D.2 On-The-Fly Architecture Selection on MuJoCo

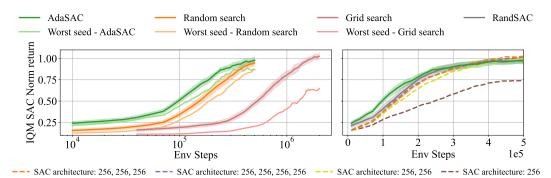


Figure 8: On-the-fly architecture selection on **MuJoCo**. All architectures contain hidden layers with 256 neurons. The architectures are indicated in the legend. **Left:** AdaSAC is more sample-efficient than grid search. **Right:** AdaSAC yields similar performances as the best-performing architectures. RandSAC and AdaSAC are on par.

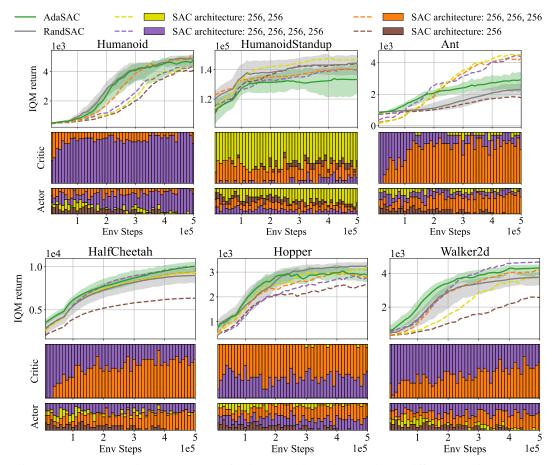


Figure 9: **Top:** Per environment return of AdaSAC and RandSAC when 4 different architectures are given as input. **Bottom:** Bar plot presenting the distribution of networks selected for the critic's loss and the actor's loss along the training. AdaSAC effectively ignores the worst-performing architecture (256) while actively selecting the best-performing architecture. Interestingly, in HumanoidStandup, despite not performing well, AdaSAC selects the architecture 256, 256 which is the best-performing architecture.

D.3 On-The-Fly Learning Rate Selection on MuJoCo

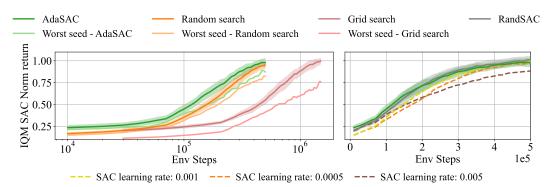


Figure 10: On-the-fly learning rate selection on **MuJoCo**. 3 different learning rates are given to AdaSAC and RandSAC as input. **Left:** AdaSAC is more sample-efficient than grid search. **Right:** AdaSAC yields performances slightly above the best-performing learning rate. RandSAC and AdaSAC are on par.

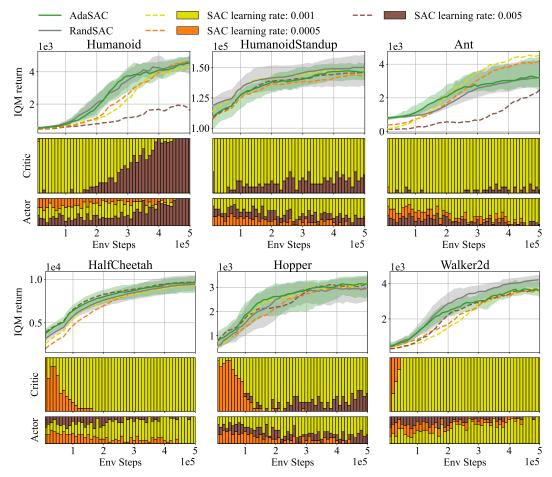


Figure 11: **Top:** Per environment return of AdaSAC and RandSAC when 3 different learning rates are given as input. **Bottom:** Bar plot presenting the distribution of networks selected for the critic's loss and the actor's loss along the training. AdaSAC creates a custom learning rate schedule for each environment and each seed. Interestingly, this schedule seems to choose a low learning rate at the beginning of the training before increasing it later during the training.

D.4 On-The-Fly Optimizer Selection on MuJoCo

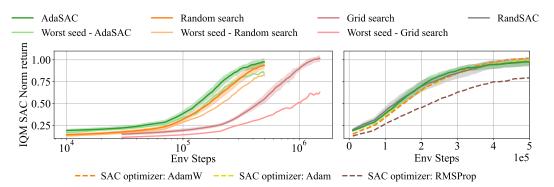


Figure 12: On-the-fly optimizer selection on **MuJoCo**. 3 different optimizers are given to AdaSAC and RandSAC as input. **Left:** AdaSAC is more sample-efficient than grid search. **Right:** AdaSAC yields similar performances as the best-performing optimizer (AdamW, Loshchilov & Hutter (2018)). RandSAC and AdaSAC are on par.

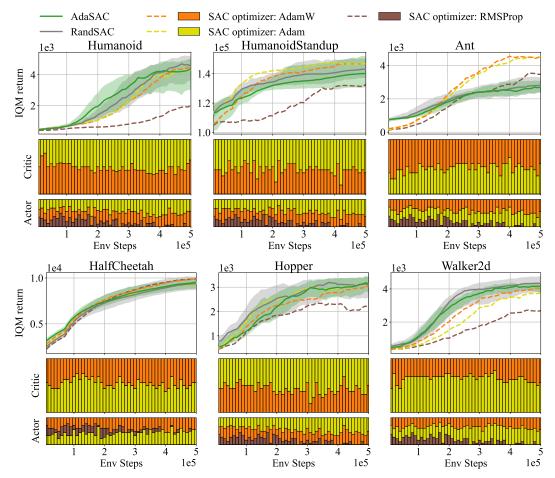


Figure 13: **Top:** Per environment return of AdaSAC and RandSAC when 3 different optimizers are given as input. **Bottom:** Bar plot presenting the distribution of networks selected for the critic's loss and the actor's loss along the training. AdaSAC effectively ignores the RMSProp, which performs poorly in most environments.

D.5 On-The-Fly Activation Function Selection on MuJoCo

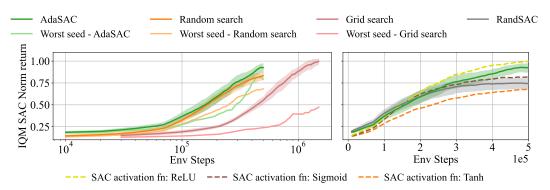


Figure 14: On-the-fly activation function selection on **MuJoCo**. 3 different activation functions are given to AdaSAC and RandSAC as input. **Left:** AdaSAC is more sample-efficient than grid search. **Right:** AdaSAC performs slightly below the best-performing activation function. In this setting, RandSAC suffers from the fact that a network with Tanh activation functions is selected as the target network one-third of the time.

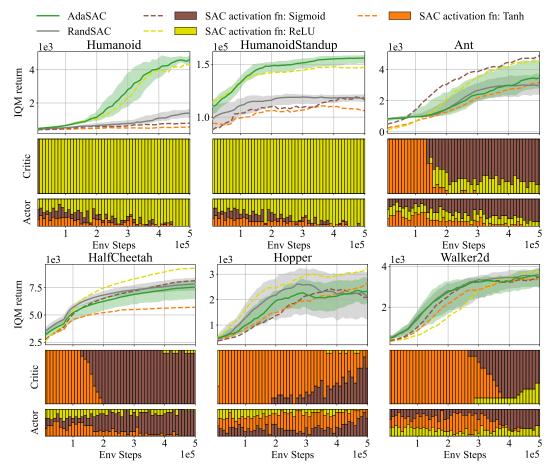


Figure 15: **Top:** Per environment return of AdaSAC and RandSAC when 3 different activation functions are given as input. **Bottom:** Bar plot presenting the distribution of networks selected for the critic's loss and the actor's loss along the training. Remarkably, AdaSAC selects the Sigmoid activation function in environments where this activation function seems beneficial (Ant, HalfCheetah, and Walker2d), while it does not select the Sigmoid activation function in environments where the individual run performs poorly (Humanoid and HumanoidStandup).

EWRL Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes]

Justification: We introduce our approach, Adative *Q*-network, in Section 3. Then, we provide a theoretical motivation in Section 3.1. Finally, we empirically validated our approach in MuJoCo control problems in Section 4.2.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [Yes]

Justification: In Section 6, we discuss and quantify the major limitations of our approach. Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [Yes]

Justification: The paper contains Theorem 3.1 which is proven in Appendix A. Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: Pseudocodes for AdaDQN and AdaSAC are available in Section 3.2 and B. Tables of hyperparameters are presented in Table 1 for the Lunar Lander experiments and in Table 2 for the MuJoCo experiments.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general. releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While EWRL does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
- (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
- (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
- (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.
- 5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: We include our code in our submission and will release it publicly upon acceptance. The code is commented, the dependencies required for the code to run are indicated.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the EWRL code and data submission guidelines (https://nips.cc/ public/guides/CodeSubmissionPolicy) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so "No" is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the EWRL code and data submission guidelines (https://nips.cc/public/guides/CodeSubmissionPolicy) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyperparameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: The experiment settings are described in Sections 4. Tables of hyperparameters are presented in Table 1 for the Lunar Lander experiments and in Table 2 for the MuJoCo experiments.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: As described in Section 4, we use the metrics recommended by Agarwal et al. (2021) for reporting our results.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.

- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: In Table 3, we report the additional training time and memory requirements of the proposed approach compared to the baselines.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the EWRL Code of Ethics https://ewrl.cc/public/EthicsGuidelines?

Answer: [Yes]

Justification: We confirm that the research conducted in the paper conforms with the EWRL Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the EWRL Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [NA]

Justification: This paper presents work whose goal is to advance the field of Machine Learning. There are many potential societal consequences of our work, none which we feel must be specifically highlighted here.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: The paper poses no such risks.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: All the creators or original owners of assets have been properly credited through the citations.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.

- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New Assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [Yes]

Justification: The code released with our submission is properly documented and come with the MIT License.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and Research with Human Subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [Yes]

Justification: The paper does not involve crowdsourcing nor research with human subjects. Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the EWRL Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: The paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

• The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.

- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the EWRL Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.