# CPTQuant - A Novel Mixed Precision Post-Training Quantization Techniques for Large Language Models

Anonymous ACL submission

#### Abstract

Large language models have transformed the comprehension and generation of natural language tasks, but they come with substantial memory and computational requirements. Quantization techniques have emerged as a promising avenue for addressing these challenges while preserving accuracy and making energy efficient. We propose CPTQuant, a comprehensive strategy that introduces correlationbased (CMPQ), pruning-based (PMPQ), and Taylor decomposition-based (TDMPQ) mixed 013 precision techniques. CMPQ adapts the precision level based on canonical correlation analysis of different layers. PMPQ optimizes precision layer-wise based on their sensitivity to sparsity. TDMPQ modifies precision using Tay-018 lor decomposition to assess each layer's sensitivity to input perturbation. These strategies allocate higher precision to more sensitive layers while diminishing precision to robust layers. CPTOuant assesses the performance across BERT, OPT-125M, OPT-350M, OPT-1.3B, and OPT-2.7B. We demonstrate up to 4x compression and a 2x-fold increase in efficiency with minimal accuracy drop compared to Hugging Face FP16. PMPQ stands out for achieving a considerably higher model compression. Sensitivity analyses across various LLMs show that the initial and final 30% of layers exhibit higher sensitivities than the remaining layers. PMPQ demonstrates an 11% higher compression ratio than other methods for classification tasks, while TDMPQ achieves a 30% greater compression ratio for language modeling tasks.

#### 1 Introduction

040

043

Large Language Models (LLMs) like GPT, Gemini, Llama, etc., (Brown et al., 2020; Team et al., 2023; Touvron et al., 2023; Zhang et al., 2022) have demonstrated ground-breaking advancement in a variety of applications (Wu et al., 2023; Stiennon et al., 2020; Chen et al., 2023; Balija et al., 2024) in understanding and modeling natural lan-



Figure 1: Visualization of Comparision of LLMs: Parameters and GPU requirement increases by 10x.

045

047

049

051

054

057

060

061

063

064

065

067

068

069

071

guage tasks. However, achieving such exemplary performances involves training trillions of parameters, leading to larger model sizes but higher model quality (Hoffmann et al., 2022; Kaplan et al., 2020) as shown in Figure 1. For example, the GPT-4 model (Achiam et al., 2023) contains approximately 1 trillion parameters, consuming at least 2TB of memory to store and run in FP16 with 25x80 GB A100 GPUs for inference. The extensive size illustrates the model's complexity and the necessary computational resources. Fine-tuning LLMs for downstream tasks (Wei et al., 2021) adapts a pre-trained model to perform specialized tasks using additional training. By leveraging the knowledge acquired in pre-training, the fine-tuning step enables models to achieve high performance on various applications. However, fine-tuning a largescale language model with billions or even trillions of parameters (Fedus et al., 2022) is computationally intensive. Therefore, several parameters and memory-efficient fine-tuning strategies have been introduced (Houlsby et al., 2019; Kim et al., 2024) for less memory storage and task-specific parameter updates during deployment. Methods like LoRA reduce memory usage during fine-tuning; for example, GPT-4 still requires 350 GB of storage for parameters in FP16 after fine-tuning. Despite the remarkable efficacy of LLMs, the financial and

090

097

100

101

102

103

104

105

106

107

108

110

111

112

113

114

115

116

117

118 119

120

121

122

123

072

energy demands of the same pose significant challenges while scaling or deploying. Therefore, a considerable focus has been on compressing weights and activation for LLMs using techniques like pruning and quantization (Frantar and Alistarh, 2023; Santacroce et al., 2023; Ma et al., 2023; Lin et al., 2023; Frantar et al., 2022a; Kim et al., 2023).

So, quantization has emerged as a favorable method for reducing memory size, preserving accuracy, and making the model energy efficient. Moreover, the process involves storing the model parameters at a lower precision than the 32-bit or 16-bit used for training purposes. One of the effective solutions is post-training quantization (PTQ); this method significantly reduces training prerequisites and simultaneously lowers the weights to lower precisions INT8 or INT4. Post-training quantization reduces the model size and speeds up the inference time, making it feasible to deploy in resource-constrained environments. Unfortunately, post-training quantization below 8-bit often leads to substantial accuracy loss, and in some instances, even higher numerical precision may be necessary. This paper aims to overcome this limitation by effectively utilizing all the information encoded in the pre-trained model and calibration set.

To tackle the aforenoted challenges, we strive to develop an optimal quantization strategy for contemporary hardware, which typically supports 16, 8, and 4-bit data types with per-channel quantization of weights. Our approach involves a threestage pipeline that employs techniques on a small calibration set to calculate the sensitivities of different layers. This is followed by integer programming to optimize the bit-width allocation across different layers, thereby reducing overall accuracy loss. Our method adapts mixed-precision and is less susceptible to overfitting than existing approaches, achieving top-notch results for 8-bit quantization on OPT- 1.3B and BERT-base models trained on the IMDB and WikiText datasets, respectively (Maas et al., 2011; Merity et al., 2016). This paper presents several innovations in mixedprecision post-training quantization, including developing novel algorithms for dynamic precision allocation based on layer sensitivity analysis and integrating Taylor decomposition techniques for enhanced accuracy after quantization. These advancements not only reduce computational overhead but also maintain or even improve the accuracy of the models when deployed in resource-constrained environments. CPTQuant makes sure to serve large

language models like Opt-1.3B and Opt-2.7B using 124 only half the GPUs compared to FP16. Our pack-125 age makes large language models (LLMs) more 126 accessible by offering a comprehensive solution 127 that reduces operational costs. We anticipate that 128 CPTQuant will stimulate further research in this 129 area and can be a step toward making these models 130 available to a broader audience. Our contributions 131 are (i) CPTQuant, an innovative framework for 132 mixed precision post-quantization training that uti-133 lizes non-uniform quantization. (ii) Initially, we 134 determine the sensitivities of the model's various 135 layers using our method and assign precision levels 136 based on each layer's sensitivity. (iii) We assess the 137 framework by measuring the accuracy drop after 138 quantization. (iv) Through comprehensive exper-139 iments on different LLMs, we demonstrate that 140 our method sets a new benchmark for post-training 141 mixed precision quantization performance. 142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

172

173

# 2 Related Works

There have been many approaches in post-training quantization in the literature, but the effectiveness of PTQ has been underscored in many studies (Yao et al., 2022; Frantar et al., 2022a; Dettmers and Zettlemoyer, 2023). Moreover, the study of post-training mixed precision quantization of Large language models still needs to be explored. Consequently, developing an effective, hardwarecompatible, and ideally training-free mixed precision quantization approach for LLMs that addresses all compute-intensive operations must still be solved. In the literature, there has been significant effort in quantization during training (Courbariaux et al., 2015; Han et al., 2015; Zhou et al., 2017; Lin et al., 2023). These methods provide strategies to speed up inference through quantization and compensate for model degradation. One of the research (Leviathan et al., 2023) increases the inference time for transformers and involves an approach to handle queries with varied latency constraints effectively. Moreover, it involves a unique acceleration technique called speculative decoding for faster inference.

Post-training quantization is a more straightforward technique applied after the model is fully trained, making it easier and faster to deploy. However, in such scenarios, if quantization is not strategically implemented, it can lead to significant accuracy degradation (Frantar et al., 2022b; Krishnamoorthi, 2018; Jacob et al., 2018). In the GPTQ

study (Frantar et al., 2022a), the quantization is 174 applied exclusively to model weights, ignoring the 175 activations and leveraging the inference speedups. 176 Recent methodologies in the literature aim to bal-177 ance model performance with computational efficiency. For instance, Zeroquant implements a per-179 token quantization (Yao et al., 2022). This method, 180 designed specifically for LLMS, requires special-181 ized CUDA kernels and has primarily been tested 182 on models with up to fewer parameters. Despite 183 these efforts, maintaining performance comparable to larger models remains challenging. In another 185 approach, Gpt3.int8() (Dettmers et al., 2022) com-186 bines INT8 and FP16 to address activation outliers. 187 Though this method controls data range, it can in-188 troduce latency overheads and possibly making less efficient than using FP16 alone. To address activation outliers, the outlier suppression technique 191 (Wei et al., 2022) uses non-scaling LayerNorm and 192 token-wise clipping. These methods are effective 193 for smaller models such as BERT (Devlin et al., 194 2018) and BART (Lewis et al., 2019) but struggle to maintain accuracy in larger LLM configurations. 196

Researchers have begun exploring cost-effective 197 techniques for larger LLM models to facilitate efficient inference. SmoothQuant (Xiao et al., 2023) 199 enables 8-bit quantization for both weights and activations and significantly reduces memory usage and computational demands. The activationaware weight quantization (AWQ) (Lin et al., 2023) method selectively protects salient weights based on activation observation. Half precision (FP16) optimizes the performance of neural networks by 206 using 16-bit floating point precision, significantly 207 reducing memory usage and speeding up computation compared to full precision (FP32). Addi-209 tionally, LUT-GEMM (Park et al., 2022) introduces efficient GPU kernels tailored for specific 211 binary-coding-based quantization. Though several 212 post-training quantization schemes are available in 213 the literature, mixed-precision post-training quan-214 tization methodologies are relatively rare. Our 215 proposed approach utilizes mixed-precision post-216 training quantization and demonstrates more so-217 218 phisticated and precise strategies to quantize largelanguage models. Specifically, CPTQuant achieves 219 more than double the compression compared to previous techniques while maintaining a similar level of accuracy. 222

#### 3 Method

#### 3.1 Problem Setup

Consider a trained network M with L layers and trained weights  $W_L$ . To represent the weights in a designated integer format using b bits (e.g., int8 or float16), we use a quantization operator Q. This operator transforms the range  $[\min\{W_l\}; \max\{W_l\}]$  to the quantized interval  $[-2^{b-1}; 2^{b-1}-1]$  on the integer scale  $\mathbb{Z}$ . The quantization involves applying a scaling factor scale(s)and rounding off the scaled tensor. Let  $S_L$  be the sensitivities obtained from the CPTQuant package. The L layers of the network are categorized into three distinct groups, L1, L2, and L3, based on their respective magnitudes. Layers with the highest sensitivities are allocated 16-bit precision, those with moderate sensitivities receive 8-bit precision, and those with the lowest are assigned 4-bit precision.

224

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

254

255

256

257

258

259

260

261

262

263

265

266

#### 3.1.1 Quantization

The quantization function is defined as follows:

$$Q(x) = \left\lfloor \frac{x - \min(x)}{\text{scale}} \right\rfloor + q_{\min} \qquad (1)$$

where x is the weight matrix to be quantized, scale =  $\frac{\max(x) - \min(x)}{q_{\max} - q_{\min}}$ ,  $q_{\min}$  and  $q_{\max}$  are the minimum and maximum quantization levels,  $\lfloor \cdot \rfloor$  represents rounding to the nearest integer.  $M_0$  represents the total original memory.  $M_0$  represents the total quantized memory. Final reduction percentage (FPR) and compression ratio (CR) is defined as follows:

$$FPR = 100 \times \left(1 - \frac{M_0}{M_Q}\right) \tag{2}$$

$$CR = \frac{M_Q}{M_O}$$
(3)

#### 3.1.2 Objective

Q(w) represents the quantization function applied to the weights w. L(w, D) is the loss function of the model, where D is the dataset. R(w, Q(w)) is a regularization term that measures the quantization effect, the norm of the difference between original and quantized weights.  $\lambda$  is a regularization parameter that controls the trade-off between the loss minimization and the quantization effect. The optimization problem is formulated using arg min as follows:

$$\hat{w} = \arg\min_{w} \left(A + \lambda B\right)$$
 (4)

$$A=L(Q(w),D) \quad,\quad B=R(w,Q(w)) \quad (5)$$

This formulation balances loss function minimization while maintaining perplexity and promotes significant quantization of the weights with a greater compression ratio.

#### 3.2 Correlation-based mixed precision quantization (CMPQ)

267

269

271

279

287

290

291

296

298

301

302

303

304

305

311

312

313

315

Correlation-Based Mixed Precision Quantization (CMPQ) is our first innovative approach to optimizing large language models. This technique uses canonical correlation analysis (CCA) to assess the sensitivity of each layer in a model by examining the correlation between different layers. By measuring how changes in one layer affect other layers, CMPQ can determine which layers are most sensitive to alterations and, consequently, require higher numerical precision during quantization. As explained in Algorithm 1, CMPQ first tokenizes and passes data through an LLM to extract outputs from each layer. These outputs are then analyzed using CCA to establish a correlation profile for each layer relative to others. Layers with lower correlations are highly sensitive and are assigned higher precision (16-bit) to preserve their computational integrity and minimize information loss after quantization. Conversely, layers with higher correlations are less sensitive and quantized to lower precisions (8-bit or 4-bit) without significant loss of functionality. Leveraging K-means clustering as shown in Figure 2, we categorize the sensitivity of different LLM layers into three distinct groups and assign appropriate precision levels accordingly. A detailed explanation of CCA is shown in Appendix A.

# 3.3 Pruning-based mixed precision quantization (PMPQ)

Pruning-Based Mixed Precision Quantization (PMPQ) is our second innovative approach to optimize the efficiency and performance of large language models by intelligently varying the precision of quantization across different layers based on their sensitivity to sparsity. As explained in Algorithm 2, this method begins with evaluating a baseline model's accuracy on a specific task, such as a language modeling task, using a comprehensive dataset like WikiText for benchmarks. Subsequently, the model undergoes a systematic alter-

#### Algorithm 1 CMPQ Algorithm

- 1: Load model, tokenizer, dataset  $\rightarrow$  Define quantized model, Cr, Accuracy Drop.
- 2: for each layer *i* in number of layers do
- 3: Sensitivity using CCA  $\rightarrow$  Calculate mean sensitivity, output.
- 4: end for
- 5: **for** each layer *i* **do**
- 6: Precision Sensitivities  $\rightarrow$  Quantized weights.
- 7: end for
- 8: Evaluate model accuracy pre and postquantization.



Figure 2: Layerwise sensitivities distribution using the CMPQ method.

316

317

318

319

320

321

322

323

324

325

326

327

328

330

331

332

333

334

335

337

ation where each encoder layer of an OPT model is pruned independently to a predetermined sparsity level to assess its impact on the model's accuracy. By leveraging the insights gained from sensitivity analysis as shown in Figure 3, PMPQ aims to achieve an optimal balance between model size, speed, and accuracy. The final model is then rigorously evaluated to confirm that the performance metrics, such as classification accuracy and language modeling perplexity, meet the desired standards. This method provides a path toward more scalable and efficient AI systems, particularly in environments where computational resources are at a premium. Among these three methods, PMPQ has demonstrated outstanding performance by compressing the model 4X while only experiencing a minimal accuracy drop of 0.3. PMPQ would be an excellent method to integrate with NVIDIA TensorRT-LLM for categorization tasks.

Applying sparsity in neural networks involves generating a mask based on the weight magnitudes relative to a predefined threshold, where  $w_i$  are the

#### Algorithm 2 PMPQ Algorithm

- 1: Load model, dataset.
- 2: Initialize data loader and device  $\rightarrow$  Evaluate base accuracy.
- 3: **for** each sparsity level *s* **do**
- 4: **for** each layer l in OPT model **do**
- 5: Clone model  $\rightarrow$  Apply PMPQ to layer l with sparsity s.
- 6: Evaluate model accuracy.
- 7: end for
- 8: Compute sensitivity → Base accuracy Current accuracy
- 9: Output layer *l* sensitivity.
- 10: end for

338

339

340

341

342

343

344

345

348



Figure 3: Layerwise sensitivities distribution using the PMPQ method.

layer weights. The mask and threshold is determined by:

$$mask_i = \begin{cases} 1 & \text{if } |w_i| > \text{threshold} \\ 0 & \text{otherwise} \end{cases}$$
(6)

threshold = quantile(|w|, sparsity level) (7)

Here, w is the flattened weight tensor of a layer, and the sparsity level is the quantile used to compute the threshold. The accuracy of a model is calculated as the average of correctly predicted labels over all batches:

Accuracy = 
$$\frac{1}{N} \sum_{i=1}^{N} (\hat{y}_i == y_i)$$
 (8)

where N is the total number of batches,  $\hat{y}_i$  are the predicted labels, and  $y_i$  are the true labels. The comparison results in a boolean value that's averaged over all batches.

#### 3.4 Taylor Decomposition-based Mixed Precision Quantization (TDMPQ)

353

354

355

357

358

360

361

363

365

366

367

369

370

371

372

373

374

375

377

378

379

381

382

383

384

388

389

390

391

392

394

395

396

Taylor Decomposition-based Mixed Precision Quantization (TDMPQ) is our third innovative approach that enhances the computational efficiency and performance of large language models like OPT (Open Pre-trained Transformers) through selective precision quantization as explained in Algorithm 3. This method leverages Taylor's decomposition to assess the sensitivity of each layer within the model to small perturbations in its inputs, which serves as a basis for applying mixed precision quantization strategies effectively. The primary focus is on calculating the first-order derivatives of the output concerning the inputs. By measuring how the output of each layer responds to these perturbations, we determine the sensitivity of that layer to changes in its inputs. Layers that exhibit higher sensitivity are considered crucial for maintaining the model's performance and are thus assigned higher quantization precision (e.g., 16-bit). Conversely, as shown in Figure 4, layers with lower sensitivity, demonstrating robustness to input variations, are quantized at lower precision levels (e.g., 4-bit or 8-bit), reducing the computational resources required without significantly impacting the overall accuracy. Perturbation is applied to the weights as follows:

$$W'_{\text{param}} = W_{\text{param}} + \epsilon \tag{9}$$

where  $W'_{\text{param}}$  is the perturbed weight,  $W_{\text{param}}$  is the original weight of the first parameter of the layer, and  $\epsilon$  is the perturbation vector sampled from a normal distribution with the same dimensions as  $W_{\text{param}}$ . After perturbation, the total variation (TV) in loss is calculated as:

$$\Gamma V = \sum_{\text{batch}\in\text{Dataloader}} L(\text{model}(X_{\text{batch}})) \quad (10)$$

where L represents the loss function, and  $X_{\text{batch}}$  denotes the input batch.

The sensitivity of a layer is computed using the total variation:

2

$$S_l = \frac{\text{Total Variation}}{N} \tag{11}$$

where N is the total number of samples in the dataset. After the sensitivity analysis, the original weights are restored to prevent compound modifications across multiple layers:

$$W_{\text{param}} \leftarrow W_{\text{original}}$$
 (12) 3

#### Algorithm 3 TPMPQ Algorithm

- Load model, dataset → Initialize data loader on device.
- 2: for each layer i in model do
- 3: Store original state  $\rightarrow$  Perturb first parameter.
- 4: Compute loss variation across batches  $\rightarrow$ Restore original layer state.
- 5: end for
- 6: Calculate and output normalized sensitivity for each layer.



Figure 4: Layerwise Sensitivities Distribution using the TDMPQ Method.

#### **4** Experiments Details

#### 4.1 Datasets

400

401

402

403

404

405

406

407

408

409

We evaluated our model using two large-scale datasets, WikiText (Merity et al., 2016) and Imdb (Maas et al., 2011). WikiText is a language modeling dataset with over 100 million tokens extracted from the set of verified goods and featured articles on Wikipedia. IMDB is a binary classification dataset consisting of sentiment data for movie reviews.

#### 4.2 **Baselines and Evaluation Metrics**

We compare our method with the previous state-410 of-the-art methods on WikiText and IMDb. To 411 evaluate the performance of each method (PMPQ, 412 413 CMPQ, TDMPQ), we use the three standard metrics: Compression ratio (Cr), Accuracy drop (Ad), 414 and Perplexity Drop (Pd). A higher compression 415 ratio with a lesser accuracy drop indicates better 416 performance. 417



Figure 5: Comparision of accuracy drop of different types of BERT models using CMPQ, PMPQ, TDMPQ with FP16.



Figure 6: Comparision of accuracy drop of different types of OPT models using CMPQ, PMPQ, TDMPQ with FP16.

#### 4.3 Experimental Setup and Results

Our experiments used Amazon SageMaker, leveraging instances optimized explicitly for machine learning tasks. To execute the OPT-1.3B and OPT-2.7B models, we utilized the g4dn.12xlarge instance, which provided the necessary computational power and memory to train and test our models efficiently. Amazon SageMaker enabled scalable deployment and facilitated the management of computational resources, ensuring consistent performance throughout our experiments. A detailed explanation of the hardware used and results is shown in Appendix B.

#### 4.4 Superior Performance of our Quantization Methods Over FP16

The methods in CPTQuant consistently show lower accuracy drops compared to the FP16 method across several BERT and OPT models. This indicates CPTQuant's higher effectiveness in maintaining the model's performance post-quantization. This is crucial for applications where preserving the model's accuracy is vital, such as tasks requiring high reliability and precision. In models like OPT-1.3B, CMPQ exhibits an accuracy drop of just 0.02 compared to FP16's more significant drop of 0.4, 420

421

422

423

- 424 425 426 427 428
- 430

429

- 431 432
- 433 434 435

436

437

438

439

440

441

442



Figure 7: Comparision of the compression ratio of different types of BERT and OPT models using CMPQ, PMPQ, TDMPQ with FP16.

Model	<b>OPT 125M</b>	<b>OPT 350M</b>	OPT 1.3B
First 30% Layers	3.573	4.108	7.681
Mid 30% Layers	3.183	3.451	5.724
Remaining Layers	NaN	3.662	3.662

Table 1: Average Standard Deviation from Mean Sensitivity across different OPT Model sizes (125M, 350M, 1.3B, 2.7B), segmented by first 30%, middle 30%, and remaining layers.



Figure 8: Comparision of speed and efficiency of CMPQ, PMPQ, TDMPQ with FP16.

demonstrating CMPQ's superior ability to maintain model precision under quantization as shown in Figure 5 and Figure 6. Table 1 shows different OPT models with average standard deviation from mean sensitivity segmented by first 30%, middle 30%, and last remaining layers.

#### 4.5 Increased Compression Ratios

443

444

445

446

447

448

449

Figure 7 results show that this method maintains 450 better accuracy and provides higher compression 451 ratios than FP16. This suggests that these methods 452 are more efficient in reducing model size without 453 454 compromising much on performance. Higher compression ratios are beneficial for deploying models 455 on devices with limited storage and processing ca-456 pabilities, such as mobile devices and embedded 457 systems. TDMPQ stands out by achieving a com-458

pression ratio of 4.53 in the Opt-1.3B model on the WikiText dataset, which is significantly higher than FP16's ratio of 2.35, underscoring TDMPQ's efficiency in data reduction while preserving essential model characteristics. 459

460

461

462

463

464

465

466

467

468

469

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

486

487

488

#### 4.6 Model-Specific Quantization Suitability

Figure 8 and other results indicate that the effectiveness of a quantization method can vary significantly between different models. For example, some strategies that work well with OPT-350M might perform less effectively with OPT-2.7B. This highlights the importance of selecting a quantization method tailored to each model's specific characteristics and requirements, ensuring optimal performance and efficiency. Despite the high compression ratios, PMPQ in the OPT-2.7B model keeps the perplexity drop to a minimal five on the Wiki-Text dataset, far better than the ten observed with FP16, indicating a solid balance between compression and performance retention. The detailed comparison in Table 2 of all the model performances with our three strategies and the FP16 benchmarked model with IMDB and WikiText data summarises the efficiency of CPTQuant.

# 5 Conclusion

In this paper, we propose CPTQuant, a package of three novel mixed precision quantization techniques that surpass the constraints of existing approaches by diminishing the complexity of implementation while enhancing the model's compress-

Model	Method	IMDB		WikiText	
		Accuracy Drop	Cr	Perplexity Drop	Cr
BERT base model	CMPQ	0.03	2.18x	5	3.019x
	PMPQ	0.03	4x	4	3.21x
	TDMPQ	0.12	3.2x	8	3.644x
	FP16	0.9	3.2x	12	2x
BERT large model	CMPQ	0.0036	3.2x	2	3.055x
	PMPQ	0.1	2.9x	7	3.45x
	TDMPQ	0.0084	2.45x	6	3.7x
	FP16	0.38	2x	12	2x
BERT multilingual base model	CMPQ	0.01	3.1x	10	3.33x
	PMPQ	0.00136	2.29x	5	2.17x
	TDMPQ	0.0172	2.67x	7	3.85x
	FP16	0.345	2x	12	2x
OPT-125M	CMPQ	0.002	3.05x	6	2.91x
	PMPQ	0.00184	3.59x	6	3.89x
	TDMPQ	0.00184	3.15x	3	2.86x
	FP16	0.4	2.5x	12	2x
OPT-350M	CMPQ	0.004	2.81	7	4.33x
	PMPQ	0.002	2.60x	6	3.85x
	TDMPQ	0.002	3.25x	8	3.14x
	FP16	0.3	2.5x	10	2x
OPT-1.3B	CMPQ	0.02	2.57x	7	4.33x
	PMPQ	0.01681	2.60x	8	3.85x
	TDMPQ	0.017	4.53x	9	3.14x
	FP16	0.4	2.35x	12	2x
OPT-2.7B	CMPQ	0.0176	2.4x	6	4.25x
	PMPQ	0.014	2.43x	5	3.88x
	TDMPQ	0.015	4.55x	4	3.34x
	FP16	0.3	2.5x	10	2x

Table 2: Comparison of model performance across CMPQ, PMPQ, TDMPQ, FP16 using IMDB and WikiText dataset using accuracy drop, compression ratio, and perplexity drop.

ibility with minimal reduction in perplexity. We 489 demonstrate that CPTQuant outperforms existing 490 state-of-the-art post-training quantization methods 491 in accuracy and computational efficiency. The 492 PMPQ method achieves an 11% higher compres-493 sion ratio than other methods in grouping tasks, 494 whereas TDMPQ attains a 30% more excellent 495 compression ratio in language modeling tasks. Ad-496 ditionally, we provide CMPQ, PMPQ, and TDMPQ 497 for convolution and transformer versions, respec-498 tively, to demonstrate the scheme's satisfactory 499 architecture generality. The larger model (OPT-1.3B) consistently shows higher standard devia-501 tions from the mean sensitivity than the smaller 502 models (OPT-125M and OPT-350M) across all seg-503 ments. This suggests that larger models may have 504 layers with more varied sensitivities, and this is 505

due to more complex or diverse representations learned by larger models or potentially more specialized layers that react differently depending on the specific function they serve in the model. From the analysis, we consider prioritizing CMPQ and PMPQ for broader use across various NLP models. Considering their generally lower error rates and competitive performance metrics, further optimizations might be necessary for TDMPQ, particularly in handling complex models like Llama-7B and OPT-2.7B. 506

507

508

509

510

511

512

513

514

515

516

517

## Acknowledgments

We thank all the reviewers and mentors who pro-<br/>vided valuable insights into our work. We also518sincerely thank Bilge Acun (Meta) for giving feed-<br/>back on our methods and their scope for varied520

621

622

623

LLM applications. We thank Dr. Song Han for the 522 helpful discussions at ASPLOS. We are grateful to Dr. Debashis Sahoo for constructive feedback on 524 an early draft of this paper.

#### Limitations

Our experiments were limited to publicly available datasets. Testing our current methods on 528 large-scale language modeling datasets will pro-529 vide valuable insights. Due to computational chal-530 lenges, we couldn't test our strategies on largescale LLM models like Llama 2 7B, 13B, and 70B. In our future work, we plan to extend this work to 533 large vision models like VILA-2.7B and language models like Llama-3 and Gemini 1.5 and further 535 aim to implement targeted fine-tuning stages post-536 quantization. This will enable the model to adjust 537 effectively to the modified head configurations by employing strategies such as differential learning rates on underperforming data segments. Then, the model can better adapt to these changes. These 541 fine-tuning enhancements are designed to mitigate 542 any potential accuracy declines resulting from the quantization of the heads, thereby enhancing the model's overall performance. 545

# **Ethical Impact**

546

547

551

554

561

562

565

566

568

569

We have used publicly available datasets to assess the performance of each strategy proposed in this 548 research across different open-source pre-trained 549 550 LLM models. Our research benchmarked various parameter sizes of the LLM model (from small to large) with Hugging Face FP16. Through this comprehensive study, we could generalize our strategies and compare accuracy drop and compression ratio. 555 CPTQuant addresses the environmental impact of large language models involving compute-intensive 556 tasks. The proposed methodologies will help make LLMs energy efficient while preserving accuracy and making such large models to deploy efficiently to resource-constrained environments. 560

## References

- Josh Achiam, Steven Adler, Sandhini Agarwal, Lama Ahmad, Ilge Akkaya, Florencia Leoni Aleman, Diogo Almeida, Janko Altenschmidt, Sam Altman, Shyamal Anadkat, et al. 2023. Gpt-4 technical report. arXiv preprint arXiv:2303.08774.
- Sree Bhargavi Balija, Amitash Nanda, and Debashis Sahoo. 2024. Building communication efficient asynchronous peer-to-peer federated llms with blockchain.

In Proceedings of the AAAI Symposium Series, volume 3, pages 288-292.

- Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. 2020. Language models are few-shot learners. Advances in neural information processing systems, 33:1877-1901.
- Siyuan Chen, Mengyue Wu, Kenny Q Zhu, Kunyao Lan, Zhiling Zhang, and Lyuchun Cui. 2023. Llmempowered chatbots for psychiatrist and patient simulation: application and evaluation. arXiv preprint arXiv:2305.13614.
- Matthieu Courbariaux, Yoshua Bengio, and Jean-Pierre David. 2015. Binaryconnect: Training deep neural networks with binary weights during propagations. Advances in neural information processing systems, 28
- Tim Dettmers, Mike Lewis, Younes Belkada, and Luke Zettlemoyer. 2022. Gpt3. int8 (): 8-bit matrix multiplication for transformers at scale. Advances in Neural Information Processing Systems, 35:30318-30332.
- Tim Dettmers and Luke Zettlemoyer. 2023. The case for 4-bit precision: k-bit inference scaling laws. In International Conference on Machine Learning, pages 7750-7774. PMLR.
- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. 2018. Bert: Pre-training of deep bidirectional transformers for language understanding. arXiv preprint arXiv:1810.04805.
- William Fedus, Barret Zoph, and Noam Shazeer. 2022. Switch transformers: Scaling to trillion parameter models with simple and efficient sparsity. Journal of Machine Learning Research, 23(120):1–39.
- Elias Frantar and Dan Alistarh. 2023. Sparsegpt: Massive language models can be accurately pruned in oneshot.(2023). URL https://arxiv. org/abs/2301.00774.
- Elias Frantar, Saleh Ashkboos, Torsten Hoefler, and Dan Alistarh. 2022a. Gptq: Accurate post-training quantization for generative pre-trained transformers. arXiv preprint arXiv:2210.17323.
- Elias Frantar, Saleh Ashkboos, Torsten Hoefler, and Dan Alistarh. 2022b. Optg: Accurate quantization for generative pre-trained transformers. In The Eleventh International Conference on Learning Representations.
- Song Han, Huizi Mao, and William J Dally. 2015. Deep compression: Compressing deep neural networks with pruning, trained quantization and huffman coding. arXiv preprint arXiv:1510.00149.
- Jordan Hoffmann, Sebastian Borgeaud, Arthur Mensch, Elena Buchatskaya, Trevor Cai, Eliza Rutherford, Diego de Las Casas, Lisa Anne Hendricks,

Johannes Welbl, Aidan Clark, et al. 2022. Training compute-optimal large language models. *arXiv preprint arXiv:2203.15556*.

625

627

629

631

639

642

644

647

651

655

658

670

671

672

673

676

- Neil Houlsby, Andrei Giurgiu, Stanislaw Jastrzebski, Bruna Morrone, Quentin De Laroussilhe, Andrea Gesmundo, Mona Attariyan, and Sylvain Gelly. 2019. Parameter-efficient transfer learning for nlp. In *International conference on machine learning*, pages 2790–2799. PMLR.
- Benoit Jacob, Skirmantas Kligys, Bo Chen, Menglong Zhu, Matthew Tang, Andrew Howard, Hartwig Adam, and Dmitry Kalenichenko. 2018. Quantization and training of neural networks for efficient integer-arithmetic-only inference. In *Proceedings of the IEEE conference on computer vision and pattern recognition*, pages 2704–2713.
  - Jared Kaplan, Sam McCandlish, Tom Henighan, Tom B Brown, Benjamin Chess, Rewon Child, Scott Gray, Alec Radford, Jeffrey Wu, and Dario Amodei. 2020. Scaling laws for neural language models. *arXiv preprint arXiv:2001.08361*.
  - Jeonghoon Kim, Jung Hyun Lee, Sungdong Kim, Joonsuk Park, Kang Min Yoo, Se Jung Kwon, and Dongsoo Lee. 2024. Memory-efficient fine-tuning of compressed large language models via sub-4-bit integer quantization. *Advances in Neural Information Processing Systems*, 36.
  - Sehoon Kim, Coleman Hooper, Amir Gholami, Zhen Dong, Xiuyu Li, Sheng Shen, Michael W Mahoney, and Kurt Keutzer. 2023. Squeezellm: Dense-and-sparse quantization. *arXiv preprint arXiv:2306.07629*.
  - Raghuraman Krishnamoorthi. 2018. Quantizing deep convolutional networks for efficient inference: A whitepaper. *arXiv preprint arXiv:1806.08342*.
  - Yaniv Leviathan, Matan Kalman, and Yossi Matias. 2023. Fast inference from transformers via speculative decoding. In *International Conference on Machine Learning*, pages 19274–19286. PMLR.
  - Mike Lewis, Yinhan Liu, Naman Goyal, Marjan Ghazvininejad, Abdelrahman Mohamed, Omer Levy, Ves Stoyanov, and Luke Zettlemoyer. 2019. Bart: Denoising sequence-to-sequence pre-training for natural language generation, translation, and comprehension. *arXiv preprint arXiv:1910.13461*.
  - Ji Lin, Jiaming Tang, Haotian Tang, Shang Yang, Wei-Ming Chen, Wei-Chen Wang, Guangxuan Xiao, Xingyu Dang, Chuang Gan, and Song Han. 2023. Smoothquant. *arXiv preprint arXiv:2306.00978*.
- Xinyin Ma, Gongfan Fang, and Xinchao Wang. 2023. Llm-pruner: On the structural pruning of large language models. *Advances in neural information processing systems*, 36:21702–21720.

Andrew L. Maas, Raymond E. Daly, Peter T. Pham, Dan Huang, Andrew Y. Ng, and Christopher Potts.
2011. Learning word vectors for sentiment analysis. In Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies, pages 142–150, Portland, Oregon, USA. Association for Computational Linguistics. 677

678

679

681

682

683

685

686

687

688

689

690

691

692

693

694

695

696

697

698

699

700

701

702

703

704

705

706

708

710

711

712

713

714

715

717

718

719

720

721

722

723

724

725

726

727

728

729

730

731

732

733

- Stephen Merity, Caiming Xiong, James Bradbury, and Richard Socher. 2016. Pointer sentinel mixture models. *Preprint*, arXiv:1609.07843.
- Gunho Park, Baeseong Park, Minsub Kim, Sungjae Lee, Jeonghoon Kim, Beomseok Kwon, Se Jung Kwon, Byeongwook Kim, Youngjoo Lee, and Dongsoo Lee. 2022. Lut-gemm: Quantized matrix multiplication based on luts for efficient inference in largescale generative language models. *arXiv preprint arXiv:2206.09557*.
- Michael Santacroce, Zixin Wen, Yelong Shen, and Yuanzhi Li. 2023. What matters in the structured pruning of generative language models? *arXiv preprint arXiv:2302.03773*.
- Nisan Stiennon, Long Ouyang, Jeffrey Wu, Daniel Ziegler, Ryan Lowe, Chelsea Voss, Alec Radford, Dario Amodei, and Paul F Christiano. 2020. Learning to summarize with human feedback. *Advances in Neural Information Processing Systems*, 33:3008– 3021.
- Gemini Team, Rohan Anil, Sebastian Borgeaud, Yonghui Wu, Jean-Baptiste Alayrac, Jiahui Yu, Radu Soricut, Johan Schalkwyk, Andrew M Dai, Anja Hauth, et al. 2023. Gemini: a family of highly capable multimodal models. *arXiv preprint arXiv:2312.11805*.
- Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. 2023. Llama 2: Open foundation and fine-tuned chat models. *arXiv preprint arXiv:2307.09288*.
- Jason Wei, Maarten Bosma, Vincent Y Zhao, Kelvin Guu, Adams Wei Yu, Brian Lester, Nan Du, Andrew M Dai, and Quoc V Le. 2021. Finetuned language models are zero-shot learners. *arXiv preprint arXiv:2109.01652*.
- Xiuying Wei, Yunchen Zhang, Xiangguo Zhang, Ruihao Gong, Shanghang Zhang, Qi Zhang, Fengwei Yu, and Xianglong Liu. 2022. Outlier suppression: Pushing the limit of low-bit transformer language models. *Advances in Neural Information Processing Systems*, 35:17402–17414.
- Qingyun Wu, Gagan Bansal, Jieyu Zhang, Yiran Wu, Shaokun Zhang, Erkang Zhu, Beibin Li, Li Jiang, Xiaoyun Zhang, and Chi Wang. 2023. Autogen: Enabling next-gen llm applications via multiagent conversation framework. *arXiv preprint arXiv:2308.08155*.

- Guangxuan Xiao, Ji Lin, Mickael Seznec, Hao Wu, Julien Demouth, and Song Han. 2023. Smoothquant: Accurate and efficient post-training quantization for large language models. In *International Conference on Machine Learning*, pages 38087–38099. PMLR.
  - Zhewei Yao, Reza Yazdani Aminabadi, Minjia Zhang, Xiaoxia Wu, Conglong Li, and Yuxiong He. 2022.
     Zeroquant: Efficient and affordable post-training quantization for large-scale transformers. *Advances in Neural Information Processing Systems*, 35:27168– 27183.
- Susan Zhang, Stephen Roller, Naman Goyal, Mikel Artetxe, Moya Chen, Shuohui Chen, Christopher Dewan, Mona Diab, Xian Li, Xi Victoria Lin, et al. 2022. Opt: Open pre-trained transformer language models. *arXiv preprint arXiv:2205.01068*.
- Aojun Zhou, Anbang Yao, Yiwen Guo, Lin Xu, and Yurong Chen. 2017. Incremental network quantization: Towards lossless cnns with low-precision weights. *arXiv preprint arXiv:1702.03044*.

#### Appendix

734

735

738

739

740 741

743

745 746

747

750

757

761

762

770

771

772

773

774

777

#### A Methods

#### A.1 Canonical Correlation Analysis (CCA)

Canonical Correlation Analysis (CCA) solves a specific optimization problem to identify linear combinations of features from different layers outputs that are maximally correlated. The correlation coefficient obtained through this method is crucial for understanding the sensitivity or dependency of one layer's outputs on another. This insight is particularly valuable for exploring the internal dynamics of neural networks, offering a deeper look at how different layers interact and influence each other's behavior.

Find  $\mathbf{w}_X$  and  $\mathbf{w}_Y$  to maximize  $\operatorname{corr}(\mathbf{X}\mathbf{w}_X, \mathbf{Y}\mathbf{w}_Y)$ , where:

- X and Y are the feature matrices from two different layers,
- **w**<sub>X</sub> and **w**<sub>Y</sub> are the weight vectors to be found,
- $corr(\cdot, \cdot)$  denotes the correlation function.

Maximize:

where:

$$\mathbf{w}_X^{\top} \mathbf{C}_{XY} \mathbf{w}_Y \tag{13}$$

Subject to:

$$\mathbf{w}_X^{\top} \mathbf{C}_{XX} \mathbf{w}_X = 1 \text{ and } \mathbf{w}_Y^{\top} \mathbf{C}_{YY} \mathbf{w}_Y = 1$$
(14)

779



Figure 9: Accuracy Drop, Compression ratio, and Perplexity drop for IMDB and WikiText data across all models.

- $C_{XY}$  is the covariance matrix between X and Y,
- C<sub>XX</sub> and C<sub>YY</sub> are the covariance matrices of X and Y respectively.

#### **B** Experimental Settings and Results

For models like BERT, we used 4 Nvidia GeForce GTX 1080 graphics cards. We also used the Py-Torch accelerator package for parallel processing using 4-GPU while training and inference. For large models like OPT, we used Amazon Sage-Maker g4dn.12xlarge instance. It has 48 vCPUs, 192.0 Memory (GiB), Intel Xeon Family, a Clock Speed of 2.5 GHz, 4 GPUs, and 64 GB Video Memory. We spent around 200 USD on AWS usage for our entire research work. Figure 9 shows the detailed results with different metrics.

- ′80 ′81
- 782
- 783

784

- 786
- 787
- 789
- 791

793

794

795

792