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ABSTRACT

Today’s generative models thrive with large amounts of supervised data and informative reward functions characterizing the quality of the generation. They work under the assumptions that the supervised data provides knowledge to pre-train the model, and the reward function provides dense information about how to further improve the generation quality and correctness. However, in the hardest instances of important problems, two problems arise: (1) the base generative model attains a near-zero reward signal, and (2) calls to the reward oracle are expensive. This setting poses a fundamentally different learning challenge than standard reward-based post-training. To address this, we propose BaNEL (Bayesian Negative Evidence Learning), an algorithm that post-trains the model using failed attempts only, while minimizing the number of reward evaluations (NREs). Our method is based on the idea that the problem of learning regularities underlying failures can be cast as another, in-loop generative modeling problem. We then leverage this model to assess whether new data resembles previously seen failures and steer the generation away from them. We show that BaNEL can improve model performance without observing a single successful sample on several sparse-reward tasks, outperforming existing novelty-bonus approaches in success rate, while using fewer reward evaluations.

1 INTRODUCTION

Today’s generative models thrive with large amounts of supervised data and informative reward functions characterizing the quality of the generation, especially for generating language, image, video, and audio. This pipeline works well under the assumptions that 1) the supervised data provides broad enough coverage of the generation space, and 2) the reward function provides information about how to improve or focus the generation quality and correctness. Language modeling with verifiable rewards (Guo et al., 2025) works well because the base models often start with at least some positive reward signal on the task.

Challenge: Tasks with near-zero reward and expensive reward oracles. In many unsolved critical applications—including the next generation of theorem proving, algorithmic problem solving, and drug discovery, to name a few—this standard pipeline encounters two core challenges. (1) *Sparsity*: Oftentimes, the base generative model attains a near-zero reward signal. The probability of producing a positive-reward sample can be so low that the model may go through most of training without ever encountering one. (2) *High-cost reward evaluation*: Calls to the reward oracle can be expensive or risky, requiring costly simulations, computations, or even physical experiments (Korshunova et al., 2022). Hence, there is a need for **learning algorithms that can learn from exclusively negative-reward samples, while minimizing number of reward evaluations (NREs)**. This setting poses a fundamentally different learning challenge than standard reward-based post-training. Learning in such harsh conditions is crucial: failure to tackle this challenge would mean that post-training is merely limited to distribution sharpening rather than unlocking genuinely new capabilities.

The performance of such learning algorithms largely depends on their ability to recognize and generalize from a small number of failures; ideally, this ability should **scale with compute**. In deep RL, reward sparsity is often addressed by introducing novelty bonuses to encourage exploration. Two of the most popular techniques for doing so include count-based methods (Bellemare et al., 2016; Ostrovski et al., 2017) and random network distillation (Burda et al., 2019). These methods have

054 proven effective in sparse-reward Atari environments such as Montezuma’s Revenge (Ostrovski et al.,
 055 2017; Burda et al., 2019; Badia et al., 2020b;a). However, quality of the intrinsic signal does not
 056 scale with compute, and as such they must query the reward oracle frequently. On the other hand,
 057 prediction-error approaches (Schmidhuber, 2010; Pathak et al., 2017; Stadie et al., 2015) learn the
 058 dynamics of the environment; these methods can be scalable but they are inapplicable for training
 059 generative models, where the transition dynamics are known and deterministic. Recent reward-based
 060 sampling methods like GFlowNets (Bengio et al., 2021) allow for multiple parameter updates per
 061 reward evaluation, but they are unable to learn in extremely sparse environments.

062 **Our approach: Train a generative model on failures and update the policy distribution away**
 063 **from the negative samples.** The zero-reward problem can be solved in many ways, such as using
 064 positive transfer from other tasks or domains, hand-designing curricula, and/or engineering more
 065 informative and dense reward functions. We argue there will always fundamentally be tasks and
 066 settings where the base model attains an extremely sparse reward, and that even these negative
 067 samples provide useful information to learn and explore from. Motivated by other sparse reward
 068 reinforcement learning methods, we propose to use the negative samples and reweight the base
 069 distribution away from them. Specifically, we train a generative model on negative samples for
 070 multiple epochs, and use it to assess whether data is similar to previously seen failures. If a sample is
 071 similar to other zero-reward data, the algorithm rejects it before querying the expensive reward oracle.
 072 This mirrors human scientists who, based on their failures, know what is unlikely to work and thus
 073 what to try next.

074 In summary, we make the following contributions:

- 076 **1. Conceptual:** We show in Section 3 why existing leading techniques for post-training generative
 077 models and learning under sparse rewards do not apply to our extremely sparse, black-box setting,
 078 where calls to the reward oracle are costly.
- 079 **2. Algorithmic:** We present BaNEL (Bayesian Negative Evidence Learning), which offers three
 080 fundamental advantages for learning in extreme sparsity while minimizing calls to the reward
 081 oracle (Section 4). First, unlike other sparse-RL methods, it allows multiple parameter updates
 082 per each collected experience, allowing the model to learn efficiently from a handful of failures.
 083 Second, it provides a sequential exploration framework that systematically narrows the search
 084 space until finding initial successes. Third, unlike many sparse RL methods, BaNEL is based
 085 on Bayesian updates which modify the prior multiplicatively and never explicitly decrease the
 086 model’s likelihood for failed attempts, better preserving the model’s pre-trained knowledge.
- 087 **3. Evaluation:** We propose new experimental settings that enable controlled testing of exploration
 088 strategies for post-training generative models under sparse-reward conditions. We evaluate BaNEL
 089 in these sparse environments and tasks in Section 5. Our experiments suggest that BaNEL achieves
 090 a success rate on challenging problems higher than existing baselines for the same NRE budget;
 091 moreover, it enables trading off computation for success rate, in a new form of compute scaling.

092 2 PROBLEM FORMULATION: EFFICIENT LEARNING FROM SPARSE REWARDS

095 Let \mathcal{V} be the discrete token set and \mathcal{V}^* be the set of all finite strings over \mathcal{V} . Define the probability
 096 distribution of our **pre-trained generative model** as $p_\theta : \mathcal{V}^* \rightarrow [0, 1]$ satisfying $\sum_{\mathbf{x} \in \mathcal{V}^*} p_\theta(\mathbf{x}) = 1$
 097 with parameter θ . We further assume a given, binary **reward function** $r : \mathcal{V}^* \rightarrow \{0, 1\}$, where
 098 1 and 0 mean success and failure, respectively. The success rate of the model $\rho(p_\theta)$ is defined as
 099 $\rho(p_\theta) := \sum_{\mathbf{x}} p_\theta(\mathbf{x})r(\mathbf{x})$.

101 The goal of reward-based training is to further improve $\rho(p_\theta)$ without any additional supervised
 102 data. In particular, we assume that evaluating r is costly or risky—for instance, this can occur when
 103 running clinical trials in drug development, performing large-scale simulations (Korshunova et al.,
 104 2022), or other cases involving direct interaction with the real world.

106 **Problem Statement.** Consider a pre-trained p_θ with a success rate $\rho(p_\theta)$ that is so low that the
 107 model *does not encounter positive examples* during training with high probability. Our goal is to find

Method		Functionality	Low NREs
Policy Gradient	Classic	○	○
	Negative RL	○	○
Intrinsic Rewards	RND	●	○
	Count-based methods	●	○
GFlowNets		○	●
BaNEL (Ours)		●	●

Table 1: Comparison of desired properties from Section 3—functionality and low number of reward evaluations (NREs)—for key categories of learning methods. An empty circle ○ means the property is not satisfied, a filled circle ● means satisfied, and a half-filled circle ◉ means partially satisfied (e.g., a method is functional, but success rate does not increase much).

a new model p_η parameterized by η such that success rate $\rho(p_\eta) \gg \rho(p_\theta)$, while minimizing the number of calls to the reward oracle r , which we denote number of reward evaluations (NREs).

Note that we are *not* necessarily trying to minimize overall computation—we want to minimize NREs, but we are willing to scale (increase) compute to make better use of reward-labeled samples.

3 EXISTING METHODS FAIL TO ADDRESS EXTREME REWARD SPARSITY

Our problem formulation requires algorithms to satisfy two properties:

1. **Functionality:** Does the algorithm improve upon the prior success rate in the extremely sparse setting, i.e., does the algorithm result in $\rho(p_\eta) \gg \rho(p_\theta)$, given enough calls to the reward oracle?
2. **Low number of reward evaluations (NRE):** Does the algorithm make efficient use of the reward oracle r , e.g., by conducting multiple iterations of learning per reward evaluation?

We consider several categories of algorithms with respect to our problem requirements. Our high-level assessment of these methods is included in Table 1, with a more in-depth explanation below. Additional related work can be found in Appendix A.

3.1 WARM-UP EXAMPLE: POLICY GRADIENT

We start with the well-known policy gradient (Williams, 1992), the most common approach for post-training generative models from reward functions. It has achieved great success in challenging real-world tasks, including code synthesis and math problem solving (Guo et al., 2025).

Classic policy gradient: zero rewards produce zero gradient Under classic policy gradient, we draw m samples $(\mathbf{x}_1, \dots, \mathbf{x}_m)$, where $\mathbf{x}_i \sim p_\theta$. If all of these samples receive zero reward, the standard REINFORCE policy gradient is zero: $\frac{1}{m} \sum_{i=1}^m r(\mathbf{x}_i) \nabla_\theta \log p_\theta(\mathbf{x}) = 0$. In this setting, policy gradient becomes brute-force random sampling until discovering the first rare success. By definition, this cannot improve success rate over $\rho(p_\theta)$. Moreover, we cannot update our model more than once per reward evaluation without resorting to other off-policy learning techniques.

Negative RL A straightforward way to enable learning is to subtract a constant baseline of 1:

$$\sum_{i=1}^m (r(\mathbf{x}_i) - 1) \nabla_\theta \log p_\theta(\mathbf{x}_i) = - \sum_{i=1}^m \nabla_\theta \log p_\theta(\mathbf{x}_i), \quad (1)$$

thereby suppressing model likelihood on poor samples. Although the expected gradient remains zero, due to the finiteness of m , this now produces nonzero empirical gradients that we can now use for training. (Zhu et al., 2025) shows that incorporating negative RL along with positive examples can be beneficial in LLM training. However, training exclusively on negative examples for an extended period breaks the model’s pre-trained knowledge, leading to catastrophic collapse and rendering the model unusable for most tasks. See Fig. 11a in appendix.

162 3.2 SPARSE RL TECHNIQUES: INTRINSIC REWARDS
163164 In response to these well-known challenges, there is a vast literature on RL under sparse rewards. For
165 our purposes, two relevant categories of algorithms can handle all-negative-reward samples in the
166 context of post-training a generative model.167
168 **Count-based methods** Count based methods introduce an exploration bonus based on state visitation
169 counts to reward novelty (Bellemare et al., 2016; Ostrovski et al., 2017). Modern pseudo-count
170 approaches (Ostrovski et al., 2017) employ a neural density model ρ to approximate state visitation.
171 Given an observation \mathbf{x} , the density model is updated once to yield a new model ρ' , and the intrinsic
172 reward is defined as some increasing function of $\log \rho'(\mathbf{x}) - \log \rho(\mathbf{x})$. Count-based methods do not
173 naturally support conducting multiple updates per reward evaluation; the density model is updated
174 only once (Bellemare et al., 2016; Ostrovski et al., 2017). Applying multiple updates would artificially
175 inflate $\log \rho'(\mathbf{x}) - \log \rho(\mathbf{x})$, producing large bonuses even for non-novel states.
176177
178 **Random Network Distillation (RND)** RND instead encourages exploration by training two
179 separate networks sharing the same architecture—a *target* network, which is randomly initialized
180 to produce an embedding of an input sample, and a *predictor* network, which is trained to reduce
181 MSE with the predictor network (Burda et al., 2019). The MSE between the target and the predictor
182 is used as a curiosity bonus; when the predictor does not match the target network, it suggests an
183 unfamiliar state, leading to a higher MSE (and exploration bonus). RND can also be used to post-train
184 LLMs (Gao et al., 2025). This method is particularly good for exploring sparse-reward regimes, but
185 like count-based methods, it does not inherently allow for multiple updates per reward evaluation;
186 doing so will decrease the MSE regardless of whether \mathbf{x} is novel or not. This can increase its NREs
187 (Section 5).188 3.3 REWARD-BASED SAMPLING: GFLOWNET
189190 GFlowNet (Bengio et al., 2021) is designed to sample from a given reward function. Unlike policy
191 gradient and most intrinsic motivation methods, it naturally supports multiple parameter updates per
192 reward evaluation. The most common training objective for GFlowNet is the Trajectory Balance loss
193 \mathcal{L}_{TB} due to Malkin et al. (2022):
194

195
$$\mathcal{L}_{TB}(\boldsymbol{\theta}, \hat{Z}) := \frac{1}{m} \sum_{i=1}^m \left(\log p_{\boldsymbol{\theta}}(\mathbf{x}_i) - \log \frac{r(\mathbf{x}_i) + \epsilon}{\hat{Z}} \right)^2 = \frac{1}{m} \sum_{i=1}^m \left(\log p_{\boldsymbol{\theta}}(\mathbf{x}_i) - \log \frac{\epsilon}{\hat{Z}} \right)^2 \quad (2)$$

196 where \hat{Z} is a free learnable parameter jointly optimized along with $\boldsymbol{\theta}$, and ϵ is a small constant to make
197 sure the loss is defined even when $r(\mathbf{x}_i) = 0$. One can fix $\boldsymbol{\theta}$ and solve for \hat{Z} to get the batch-optimal
198 \hat{Z} in a closed form, resulting in the VarGrad-fashion loss function (Richter et al., 2020):
199

200
$$\mathcal{L}_{TB_{VarGrad}}(\boldsymbol{\theta}) := \frac{1}{m} \sum_{i=1}^m \left(\log p_{\boldsymbol{\theta}}(\mathbf{x}_i) - \frac{1}{m} \sum_{i=1}^m \log p_{\boldsymbol{\theta}}(\mathbf{x}_i) \right)^2. \quad (3)$$

201

202 As shown above, the trajectory balance loss becomes the empirical variance of $\log p_{\boldsymbol{\theta}}(\mathbf{x})$ over
203 m samples, so the optimal $p_{\boldsymbol{\theta}}$ assigns an arbitrary constant mass over m samples; the remaining
204 probability mass is distributed uncontrollably. Hence, in the extremely sparse setting, GFlowNet
205 fundamentally cannot learn; the resulting detachment is shown empirically in Figure 11.
206207 4 AVOIDING FAILURES WITH BAYESIAN NEGATIVE EVIDENCE LEARNING
208209 We now present BaNEL (Bayesian Negative Evidence Learning). Our aim is to improve the policy’s
210 success rate using only reward zero experiences, without any problem-specific surrogate objectives.
211212 **Naive idea.** If our budget for evaluating r were unlimited, we could trivially achieve a perfect success
213 rate by collecting every possible mistake $R := \{\mathbf{x} \in \mathcal{V}^* \mid r(\mathbf{x}) = 0\}$ and avoiding all elements of R :
214

215
$$p_{\boldsymbol{\theta}|R^C}(\mathbf{x}) \propto p_{\boldsymbol{\theta}}(\mathbf{x}) \mathbf{1}[\mathbf{x} \notin R]. \quad (4)$$

216 Here, $\mathbf{1}[\cdot]$ denotes the indicator function, and we define $p_{\theta|S}(\mathbf{x}) := \frac{p_{\theta}(\mathbf{x})\mathbf{1}[\mathbf{x} \in S]}{\sum_{\mathbf{x}} p_{\theta}(\mathbf{x})\mathbf{1}[\mathbf{x} \in S]}$ given a set S . We
 217 use S^C to denote the complement in \mathcal{V}^* of a set S . This approach is infeasible because the space of
 218 failures is combinatorial and we want to minimize NREs. Fortunately, in most tasks, failures exhibit
 219 underlying regularities. In such cases, a neural network can learn to recognize and generalize from
 220 these patterns, removing the need to encounter every instance. Thus, the key factor determining
 221 performance is the model’s ability to infer the failure set R from only a limited number of examples.
 222 Ideally, we want this ability to scale with compute.
 223

224 4.1 LEARNING A GENERATIVE MODEL FOR FAILED (ZERO-REWARD) ATTEMPTS

226 We cast the problem of learning regularities in failures as another, in-loop generative modeling
 227 problem. Specifically, we train a separate likelihood-based generative model p_{ϕ} (parameterized by
 228 ϕ) on m negative examples with the standard maximum likelihood objective:

$$229 \max_{\phi} \frac{1}{m} \sum_{i=1}^m \log p_{\phi}(\mathbf{x}_i).$$

231 Once well-trained, $p_{\phi}(\mathbf{x})$ can be used to assess whether a given input resembles previously observed
 232 failures; specifically, we use p_{ϕ} to define a rejection region \tilde{R} approximating R .
 233

234 For that, the rejection region \tilde{R} should contain samples that are likely for $p_{\phi}(\mathbf{x})$ so the model can
 235 avoid making similar mistakes to previously-made ones. To this end, we define \tilde{R} as follows:
 236

$$237 \tilde{R} := \left\{ \mathbf{x} : \frac{p_{\theta}(\mathbf{x})}{p_{\phi}(\mathbf{x})} < \tau \right\} \quad (5)$$

239 where τ is a (potentially data-dependent) threshold value. Note that this requires p_{θ} and p_{ϕ} to be
 240 likelihood-based generative models under which we can compute the likelihood. Using the rejection
 241 region \tilde{R} , we form a Bayesian posterior \tilde{p}_{θ} to approximate $p_{\theta|R^C}$:

$$242 \tilde{p}_{\theta|R^C}(\mathbf{x}) \propto p_{\theta}(\mathbf{x})\mathbf{1}[\mathbf{x} \notin \tilde{R}], \quad (6)$$

244 This policy filters out data points that are similar to prior failures according to \tilde{R} ; equivalently, we
 245 direct the model to sample only from \tilde{R}^C .

246 **Success rate analysis.** Recall that success rate is defined as $\rho(p) := \sum_{\mathbf{x}} p(\mathbf{x})r(\mathbf{x})$. The success rate
 247 of the posterior can be written as follows:
 248

$$249 \rho(p_{\theta|R^C}) = \sum_{\mathbf{x} \in \tilde{R}^C} p_{\theta|R^C}(\mathbf{x})r(\mathbf{x}) = \sum_{\mathbf{x} \in \tilde{R}^C} \frac{p_{\theta}(\mathbf{x} \in \tilde{R}^C|\mathbf{x})p_{\theta}(\mathbf{x})}{p_{\theta}(\tilde{R}^C)} r(\mathbf{x})$$

$$250 = \frac{1}{p_{\theta}(\tilde{R}^C)} \sum_{\mathbf{x} \in \tilde{R}^C} p_{\theta}(\mathbf{x})r(\mathbf{x})$$

$$251 = \frac{1}{1 - p_{\theta}(\tilde{R})} \left(\rho(p_{\theta}) - \sum_{\mathbf{x} \in \tilde{R}} p_{\theta}(\mathbf{x})r(\mathbf{x}) \right)$$

$$252 = \frac{\rho(p_{\theta})}{1 - p_{\theta}(\tilde{R})} - \frac{p_{\theta}(\tilde{R})}{1 - p_{\theta}(\tilde{R})} \rho(p_{\theta|R^C}),$$

260 where we abuse notation to denote $p_{\theta}(S) = \sum_{s \in S} p_{\theta}(s)$ for some set S . The above decomposition
 261 gives qualitative insights about the desired properties of \tilde{R} :
 262

- 263 • **Misclassification rate of \tilde{R} .** The posterior success rate decreases when $\rho(p_{\theta|R^C})$ increases, so we
 264 need to train p_{ϕ} well and define \tilde{R} properly so that \tilde{R} does not misclassify $r = 1$ samples and
 265 mistakenly reject them.
- 266 • **Make \tilde{R} as large as possible.** If we can drive $\rho(p_{\theta|R^C})$ close to zero, the posterior success rate is
 267 roughly $\frac{1}{1 - p_{\theta}(\tilde{R})}$ times greater than the prior and approaches 1 as \tilde{R} grows.

268
 269 Nevertheless, \tilde{R} does not need to be perfect, as $\rho(p_{\theta|R^C}) \leq \rho(p_{\theta}) \implies \rho(p_{\theta|R^C}) \geq \rho(p_{\theta})$.

Algorithm 1 Sequential Filtering (No Distillation)

```

271 1: Initialize iterations  $n$ .
272 2: Sample  $\{\mathbf{x}_j\}_{j=1}^m \sim p_{\theta}$ .
273 3: Fit failure model  $p_{\phi^0}(\mathbf{x})$  by maximizing  $\frac{1}{m} \sum_{j=1}^m \log p_{\phi^0}(\mathbf{x}_j)$ .
274 4: for  $i = 1$  to  $n - 1$  do
275 5:     Sample  $\{\mathbf{x}_j\}_{j=1}^m$  from  $p_{\theta}(\mathbf{x}) \prod_{k=0}^{i-1} \mathbf{1} \left[ \frac{p_{\theta}(\mathbf{x})}{p_{\phi^k}(\mathbf{x})} \geq \tau \right]$ 
276 6:     Evaluate  $\{r(\mathbf{x}_j)\}_{j=1}^m$ . Terminate if  $r(\mathbf{x}_j) = 1$  for any  $j$ .
277 7:     Fit failure model  $p_{\phi^i}(\mathbf{x})$  by maximizing  $\frac{1}{m} \sum_{j=1}^m \log p_{\phi^i}(\mathbf{x}_j)$ 
278 8: end for
279 9: return  $p_{\theta}(\mathbf{x}) \prod_{k=0}^{n-1} \mathbf{1} \left[ \frac{p_{\theta}(\mathbf{x})}{p_{\phi^k}(\mathbf{x})} \geq \tau \right]$ .
280
281

```

Adaptive selection of rejection region \tilde{R} As the rejection threshold τ increases, so does $p_\theta(R)$, and hence \tilde{R} rejects samples more aggressively. However, the same threshold τ could result in drastically different rejection regions \tilde{R} for different negative-sample models p_ϕ . To simplify design, we adaptively choose τ so that we accept a fixed number of m samples in each batch. To generate m samples, we first draw mf samples from the prior, for some filtering factor $f > 1$. We then sort the mf samples in descending order of likelihood ratio $\frac{p_\theta(\mathbf{x})}{p_\phi(\mathbf{x})}$, and only accept the first m samples. $f = 1$ means \tilde{R} is empty, whereas a larger f indicates that only samples that are much more likely in our prior p_θ than in our negative model p_ϕ are accepted.

Relationship with Cross Entropy Method (CEM). When τ is chosen adaptively so that exactly m of the m_f candidates are accepted, the procedure coincides with the elite-selection step of the cross-entropy method (CEM) (De Boer et al., 2005). The key difference is that CEM ranks candidates by reward, whereas in our setting reward is always zero, so we instead use the likelihood ratio $\frac{p_\theta(\mathbf{x})}{p_\phi(\mathbf{x})}$ as a surrogate ranker. As a soft alternative, we also tried importance resampling with weights proportional to this likelihood ratio (analogous to replacing CEM’s hard cut with weights), but it did not yield consistent improvements. For simplicity, we therefore adopt the CEM-style hard cut.

4.2 COMBINING MULTIPLE FILTERS EFFICIENTLY VIA DISTILLATION

The proposal distribution can be refined online by repeating Bayesian updates as new samples arrive. In this sequential approach, rejection regions from earlier rounds can be accumulated by taking their union (i.e., $\tilde{R} \leftarrow \tilde{R} \cup \tilde{R}_{\text{new}}$ where R_{new} is the new rejection region). This yields Algorithm 1.¹

However, this algorithm is not practical because of two reasons: (1) it requires maintaining multiple negative models for filtering, and (2) since the prior rarely generates samples outside all the rejection regions, rejection sampling can become very inefficient. We handle this issue by distilling the filtered distribution into the model at each stage, leading to Algorithm 2 (main difference highlighted in blue). Algorithm 2 is theoretically equivalent to Algorithm 1, while being significantly more efficient in practice. In practice, we implement the distillation step via maximum likelihood training, reusing the same m samples to train the failure model for efficiency. This is the approach adopted in our experiments. See Fig. 1 for a visual illustration of the algorithm.

5 EXPERIMENTS

We evaluate BaNEL by constructing new sequential generation tasks with extremely sparse rewards. In Sec. 5.1, we evaluate on MNIST (LeCun et al., 1998), where we can visualize exploration. In Sec. 5.3, we test on a challenging subset of GSM8K (Cobbe et al., 2021) reasoning tasks where pretrained models fail. In these experiments, we **deliberately filter out reward one samples** to test an algorithm’s ability to learn from zero-reward observations only. We compare BaNEL (ours) to the random network distillation (Burda et al., 2019) and pseudo-count based methods (Ostrovski et al., 2017) baselines. In Appendix 5.2 we provide extra results where the attacker generates digit-addition

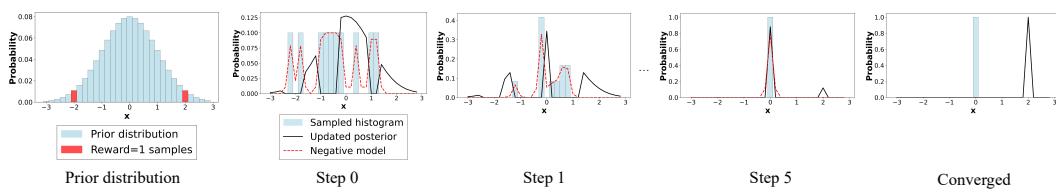
¹We omit the partition function of the unnormalized distributions to simplify notation from now on.

324 **Algorithm 2** Sequential Filtering with Distillation

```

325 1: Initialize  $p_{\theta^0}(\mathbf{x}) \leftarrow p_{\theta}$ ; iterations  $n$ 
326 2: Sample  $\{\mathbf{x}_j\}_{j=1}^m \sim p_{\theta^0}$ .
327 3: Fit failure model  $p_{\phi^0}(\mathbf{x})$  by maximizing  $\frac{1}{m} \sum_{j=1}^m \log p_{\phi^0}(\mathbf{x}_j)$ .
328 4: for  $i = 1$  to  $n - 1$  do
329 5:   Sample  $\{\mathbf{x}_j\}_{j=1}^m \sim p_{\theta^{i-1}}(\mathbf{x}) \mathbf{1} \left[ \frac{p_{\theta}(\mathbf{x})}{p_{\phi^{i-1}}(\mathbf{x})} \geq \tau \right]$ .
330 6:   Evaluate  $\{r(\mathbf{x}_j)\}_{j=1}^m$ . Terminate if  $r(\mathbf{x}_j) = 1$  for any  $j$ .
331 7:   Fit failure model  $p_{\phi^i}(\mathbf{x})$  by maximizing  $\frac{1}{m} \sum_{j=1}^m \log p_{\phi^i}(\mathbf{x}_j)$ .
332 8:   Distill the filter into the model:  $p_{\theta^i}(\mathbf{x}) \leftarrow p_{\theta^{i-1}}(\mathbf{x}) \mathbf{1} \left[ \frac{p_{\theta}(\mathbf{x})}{p_{\phi^{i-1}}(\mathbf{x})} \geq \tau \right]$ .
333 9: end for
334 10:  $\eta \leftarrow \theta^n$ 
335 11: return  $p_{\eta}$ .
336
337

```



338
339 Figure 1: Illustration of BaNEL on a 1D toy example with negative-reward samples only. The
340 procedure begins with a pre-trained proposal distribution (leftmost). Two reward-one samples (red
341 bars) are located at -2 and 2. At each iteration, the proposal distribution generates samples, which
342 are very likely to be 0-reward. These are used to train a negative model (red dashed curves). The
343 proposal and negative models are combined to form the Bayesian posterior (black curves), following
344 Eq. (6). As iterations progress, the posterior increasingly concentrates on the reward-one regions,
345 until convergence (rightmost).

353
354 problems that the target model misanswers. Appendix B.4 includes ablations that show the effect of
355 various hyperparameters and other design choices regarding the distillation step in Algorithm 2.

357 5.1 MNIST 0 \rightarrow 6

359 In this task, we pre-train autoregressive generative models on the 0-digit subset of the MNIST training
360 set, and the task is to discover 6's. Since a 0 is visually close to a 6 digit, pre-training increases
361 the success rate significantly. At the same time, a 6 can only be discovered by doing a significant
362 exploration from 0, testing the algorithm's ability to generate new knowledge.

363 To summarize our setting: Our pre-trained model p_{θ} is an autoregressive transformer trained on 0
364 digits. Our reward $r(\mathbf{x}) = 1$ if the model generates data exactly matching any element of the *target*
365 set, a set of 50,000 6-digits generated by applying random affine transformations to the MNIST
366 6-digits in the test set. This experimental setting has *extreme reward sparsity*. The base model's
367 success rate is 8e-26 (as p_{θ} is an autoregressive model, we can evaluate the exact success rate by, e.g.,
368 using `torch.logsumexp()`). We set the total NRE budget to 7500 for all methods.

370 **BaNEL's success rate scales with compute** Unlike prior sparse RL techniques, BaNEL can utilize
371 additional compute to improve its success rate, even for a fixed number of NREs. Fig. 3 shows
372 that the performance of BaNEL tends to increase as the number of epochs used to train p_{ϕ} at each
373 stage increases unlike other two methods. This indicates that while the benefit of BaNEL becomes
374 effective when additional computation is available to extract richer knowledge from failures (unlike
375 our baselines, which cannot exploit additional computation).

377 Fig. 2 shows that, in the posterior samples, digits shaped like a '0' with the right side removed—thereby
378 resembling a '6'—occur more frequently than in the prior.

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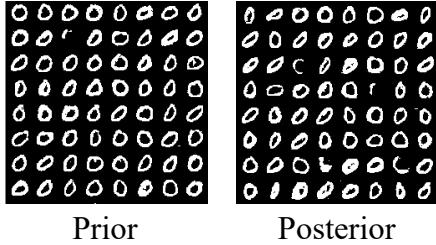


Figure 2: Prior samples (left, success rate: 8e-26) and the best posterior samples from our method (right, success rate: 5e-21).

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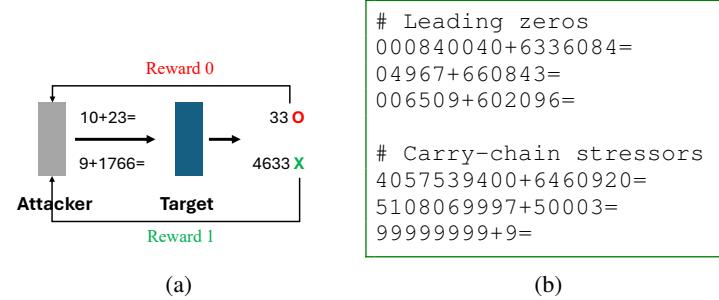


Figure 4: (a) Adversarial attack setup for Sec. 5.2; (b) examples of successful attacks found by BaNEL; (c) rule-based attack results using patterns in (b).

5.2 ADVERSARIAL ATTACK ON TOY LANGUAGE MODEL

In this task, the goal is to attack the *target model*, an autoregressive transformer trained to answer digit-addition queries (e.g., it receives $10+23=$ and must generate 33). The goal of the *attacker model*, also an autoregressive transformer trained to generate questions such as $10+23=$, is to propose syntactically valid addition queries on which the target model produces an incorrect sum. Both models use the GPT-2 architecture (we use `nanoGPT`) with a character-level tokenizer; the vocabulary comprises the ten digits $\{0, \dots, 9\}$, arithmetic symbols (e.g., $+$, $=$), and alphabetic characters. The maximum length of each operand is set to 10. We define the reward as follows:

Table 2: Best interquartile mean (IQM; mean of the middle 50%) improvement factor in success rate over the base model for BaNEL, count-based, and RND. The upper and lower values of 95% bootstrap confidence intervals are also reported. Pre-trained model’s success rate is roughly 0.0004. IQM values are computed over 100 random seeds.

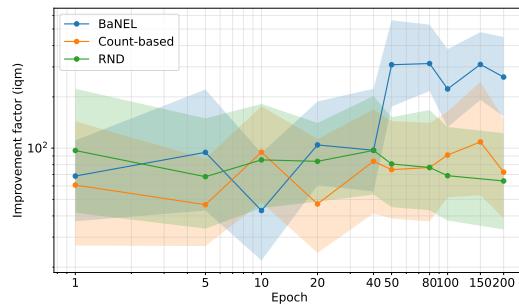


Figure 3: Compute scaling: Interquartile mean (IQM; mean of the middle 50%) improvement factor in success rate over the base model for BaNEL, count-based, and RND as a function of the number of training epochs. For BaNEL, the x-axis is the number of epochs used to train p_ϕ at each stage; for RND and count-based methods, it is the number of epochs used to train the random network and density model per rollout. IQM values are computed over 100 random seeds. Shaded regions indicate 95% bootstrap confidence intervals.

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Method	IQM	CI lower	CI upper
Ours	48.51 ×	34.74 ×	66.93 ×
Count-based	1.62×	1.56×	1.67×
RND	1.45×	1.43×	1.47×

432

433

$$r(\mathbf{x}) = \begin{cases} 1, & \text{if } \mathbf{x} \text{ is a syntactically valid arithmetic expression and the target's output is incorrect,} \\ 0, & \text{otherwise,} \end{cases}$$

436

437 and the target is evaluated using greedy decoding. Because grammatically invalid sequences receive
 438 zero reward by construction, pre-training the attacker on the same distribution of digit-addition prob-
 439 lems is necessary so that it reliably proposes syntactically valid expressions that the target can parse
 440 and attempt to answer. See Fig. 4(a) for visual explanation. Since the target is trained well, the pre-
 441 trained attacker’s empirical success rate is roughly 0.0004 (Clopper-Pearson CI: [0.00032, 0.00047];
 442 num_samples= 300,000, $\alpha = 0.05$).

443

444 **Table 2 shows that BaNEL outperforms other methods by a large margin.** In addition to increasing the
 445 raw success rate, this experiment surfaced several qualitative patterns. Fig. 4 (b) shows two examples
 446 of successful attacks. BaNEL identifies two failure modes of the target: (1) *Leading zeros*: when at
 447 least one of the input digits start with at least one zero, the output result tends to be incorrect. Note
 448 that the attacker model had never seen leading zeros during pre-training. (2) *Carry-chain stressors*
 449 refer to examples that need to carry a digit during summation. Together, these two failure classes
 450 explain a large fraction of successful attacks found by BaNEL.

451

452 Based on the insights discovered by BaNEL, we write a script to generate questions following these
 453 two patterns to attack the target model. Specifically, we generate 512 samples from each pattern, and
 454 compute the resulting success rate. Fig. 4(c) shows that the final success rate is near 1. This suggests
 455 that BaNEL can be used both to increase a numeric success rate, but it can also be useful to guide
 456 human intuition on hard problems to extract qualitative insights. See Appendix B.1 for more details
 457 on how the rule-based attacks are generated. For completeness, we provide additional results where
 458 we do not allow for leading zero attacks (Appendix B.2).

459

5.3 GSM8K-HARD

491

492 Next, we compare BaNEL with RND (following the implementation of Gao et al. (2025)), the
 493 strongest baseline on MNIST setting, on a challenging subset of GSM8K dataset (Cobbe et al., 2021).
 494 We select 6 questions from the GSM8K test split on which the Qwen 2.5 0.5B Instruct model (Team
 495 et al., 2025), RL fine-tuned with PPO on the same dataset (achieving 0.53 mean@5—average per-
 496 problem fraction correct over five attempts—on the test set), attains a success rate between 1×10^{-4}
 497 and 3×10^{-3} . This range is small enough to reflect the challenge of sparsity, yet not so small that
 498 empirical estimation of success rates becomes impractical. Specifically, we choose the following
 499 question IDs: 143, 1248, 1012, 510, 942, and 205. We then further train separate runs, one per
 500 selected question. We set the NRE budget to 7680.

501

502

503 As shown in Fig. 5, **BaNEL strictly outperforms RND on 4 problems (143, 205, 1012, and 942)**,
 504 achieving higher success rates with significantly fewer NRE. On one problem (1248), BaNEL achieves
 505 a comparable success rate while requiring roughly $6 \times$ fewer NREs, and on the remaining problem
 506 (510), RND outperforms BaNEL. These results demonstrate that BaNEL learns and generalizes more
 507 effectively than RND from failure-only feedback. Note that Fig. 5 shows the historical maximum
 508 success rate of each baseline. This is an appropriate visualization because the NREs are only an upper
 509 bound; in practice, one can always use fewer. The raw values are plotted in Fig. 9.

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6 DISCUSSION, LIMITATIONS, AND FUTURE WORK

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514 **Limitations** We observe that the success rate of our method does not increase monotonically with
 515 training. See Fig. 9 in appendix. Instead, like the RND and count-based method baselines, it peaks
 516 at an intermediate stage before declining. We attribute this behavior to two main factors. First,
 517 as the generative model shifts toward regions of higher reward, it increasingly produces samples
 518 close to high-reward examples, which leads to \hat{R} containing a greater proportion of incorrect (i.e.,
 519 reward = 1) samples. Second, errors introduced during the distillation step of the algorithm can
 520 accumulate over time. This limitation is not unique to our approach but is shared by all methods that
 521 rely on sparse rewards: the success rate cannot be reliably estimated until we discover high-reward
 522 samples, making it difficult to determine when training should be stopped. One potential remedy is
 523 to design a mechanism that gradually slows the posterior update according to a decaying schedule.

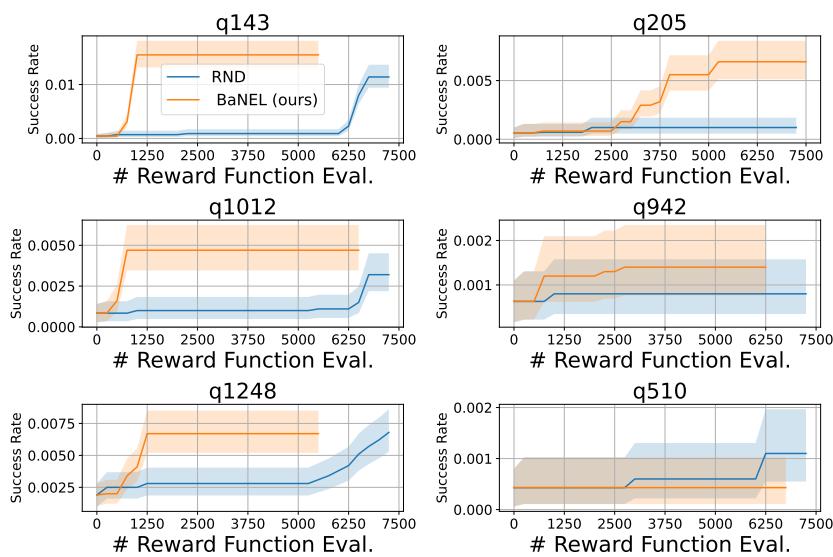


Figure 5: Cumulative best success rate of BaNEL and RND on GSM8K-Hard questions. Shaded area represents confidence intervals (Clopper-Pearson, $\alpha = 0.05$, sample_size=10000).

Such a schedule could be designed using minimal knowledge of a problem such as expected difficulty level.

Parameterizing p_ϕ Maintaining a separate model p_ϕ can be expensive for large models. As an alternative, we explored modeling the negative distribution by conditioning the policy on a negative prompt (e.g., "generate an incorrect answer"). However, we found that training such prompt-conditioned models inadvertently alters the behavior of the original policy, introducing unwanted confounding variables. As such, we avoid sharing the parameter between two models to isolate the effect of applying BaNEL’s Bayesian updates. One could leverage low-rank adaptation (LoRA) (Hu et al., 2022) to mitigate this coupling between two models, which we leave to future work.

Learning fast and slow One promising way to tackle the reward sparsity is to execute a learned learning algorithm that adapts from failures and refines its next actions. This can be more flexible and powerful than executing any hand-designed algorithms, including ours. Sequence models such as recurrent neural networks or transformers can serve as *fast learners* (Duan et al., 2016), executing learning algorithms during inference. For instance, transformers can be trained in multi-turn settings, after which they can carry out sophisticated adaptive behavior in context. However, fast learners require a slow learning algorithm to train them. In practice, this means that methods like ours can play a crucial role in providing the outer-loop optimization signal. For instance, applying our algorithm on the level of meta-trajectories to train the parameters of a fast learner is an interesting direction.

7 CONCLUSION

We present BaNEL, a method for post-training generative models in extremely sparse reward settings, where models may never encounter positive examples during training. Unlike existing exploration methods such as count-based bonus methods and random network distillation, BaNEL’s ability to recognize and generalize from failures scale with compute. Empirical results demonstrate that BaNEL achieves success rates on challenging tasks higher than competitive baselines under the same reward evaluation budget.

REPRODUCIBILITY STATEMENT

We provide detailed information to facilitate reproducibility of our results, including pseudo-code in Algorithm 2, experiment settings in Sec. 5, and additional implementation details in Appendix B.1. We plan to release our code publicly to further support reproducibility.

540 ETHICS STATEMENT
541

542 This paper raises ethical concerns similar to other papers on deep generative models. Generative
543 models can produce harmful contents, such as disinformation and violent text. Our experiment on
544 adversarial attacks against a language model (Appendix 5.2) illustrates a potential misuse scenario.
545 However, it is conducted in a controlled, toy setting that does not pose direct risk of harm.

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702 **A ADDITIONAL RELATED WORK**
703704 **A.1 HINDSIGHT RELABELING IN RL**
705706 One key component of our method is a generative model maximizing the likelihood of failed attempts.
707 Goal-conditioned RL methods such as [Andrychowicz et al. \(2017\)](#); [Rauber et al. \(2017\)](#) use a
708 conceptually similar idea where they train a model conditioned on the suboptimal goal states achieved
709 by the model. Decision Transformer ([Chen et al., 2021](#)) and RL upside down ([Schmidhuber, 2019](#))
710 condition the model on scalar reward signals. However, a crucial difference is that we do not merely
711 train a model on failed attempts but use it as a likelihood function to obtain the Bayesian posterior.
712713 **A.2 INTRINSIC REWARDS FOR LANGUAGE MODELS**
714715 Beyond the earlier literature focusing mainly on randomly initialized policies, recent works have
716 applied intrinsic rewards such as RND ([Gao et al., 2025](#)), entropy bonus ([Shen, 2025](#)), or self-
717 consistency ([Zhang et al., 2025](#)) to pre-trained LLMs. However, they did not consider extremely
718 sparse settings.
719720 **A.3 BAYESIAN OPTIMIZATION WITH DATA PRIOR**
721722 Bayesian Optimization (BO) ([Garnett, 2023](#)) shares the goal of maximizing some utility function
723 defined with respect to the reward function while minimizing the number of function evaluations.
724 Although the standard BO formulation does not incorporate the generative prior $p_\theta(\mathbf{x})$ (which is
725 different from the function prior used in standard BO) as ours, a few recent works ([Hvarfner et al.,
726 2022](#); [Souza et al., 2021](#)) suggest incorporating the data prior into BO.
727728 The belief update in BO relies on *discriminative models* $Pr(r | \mathbf{x})$ given observations so far, which
729 is typically modeled as Gaussian Processes or Bayesian Neural Networks ([Garnett, 2023](#)). In
730 contrast, our method uses *generative models* as the likelihood function, so we can use autoregressive
731 transformers, which have been shown to scale extremely well.
732733 **A.4 DATA-DRIVEN BLACK-BOX OPTIMIZATION**
734735 Recent works on data-driven black-box optimization ([Krishnamoorthy et al., 2023](#); [Li et al., 2024](#))
736 assume access to a large corpus of unlabeled data together with a small set of reward-labeled samples.
737 The typical goal is to optimize a black-box objective by leveraging these offline datasets. A common
738 approach is to train a reward-conditional generative model and then synthesize high-reward candidates
739 by conditioning on desired reward levels. In contrast, we study the online setting, where the model
740 must interleave acquiring new data and updating itself. Moreover, we focus on an extreme regime of
741 sparsity, where the data contain no positive-reward examples, so a reward-conditioned model cannot
742 be meaningfully conditioned on unseen positive reward values. [Lin et al. \(2022\)](#) trains conditional
743 GANs in an online setting, where a classifier is trained on labeled data and its confidence scores are
744 used to guide exploration. However, in the regime where all observed rewards are zero, the classifier
745 cannot be trained meaningfully, and thus its confidence scores provide no useful guidance.
746747 **B ADDITIONAL EXPERIMENTS**
748749 **B.1 IMPLEMENTATION DETAILS**
750751 In this section, we provide the detailed settings used in Sec. 5. The distillation step of Algorithm 2
752 is carried out using maximum likelihood estimation over m samples, with m is 250 for MNIST,
753 adversarial attack experiments, and 256 for GSM8K. We set NRE budget to 30 rounds of exploration,
754 which is equivalent to 7500 and 7680 for MNIST and GSM8K, respectively. Since the sample size
755 is typically insufficient to fully capture the support of the target distribution, the learned model can
collapse to a limited subset of modes. To mitigate this issue, at the beginning of each round of
BaNEL, we reset the generator’s parameters to those of the base model before conducting distillation
step, thereby preserving mode coverage.
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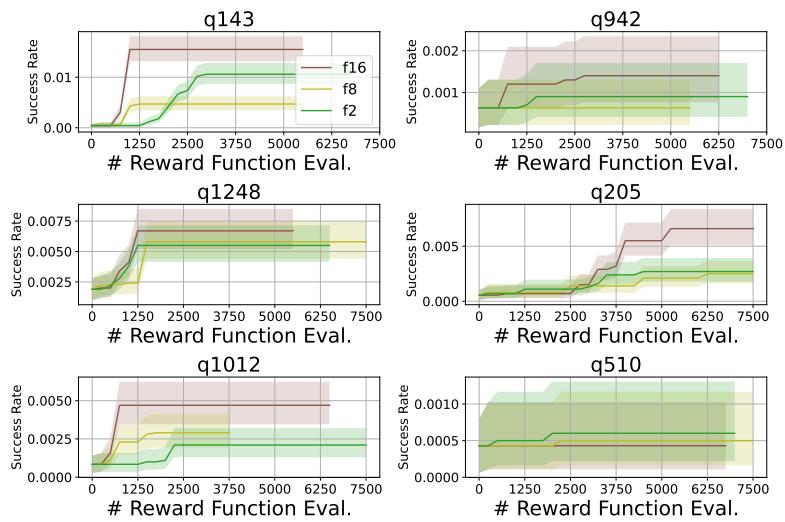


Figure 6: Cumulative best success rate across different filter factors f .

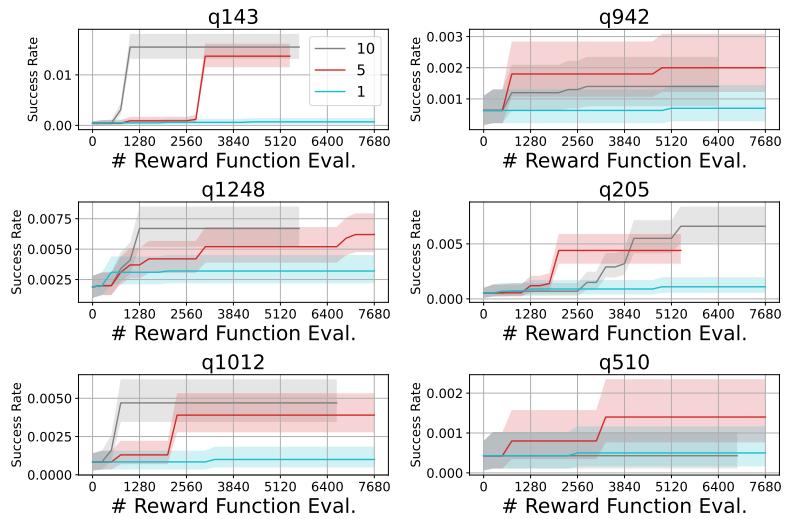


Figure 7: Cumulative best success rate for different numbers of training epochs for p_θ .

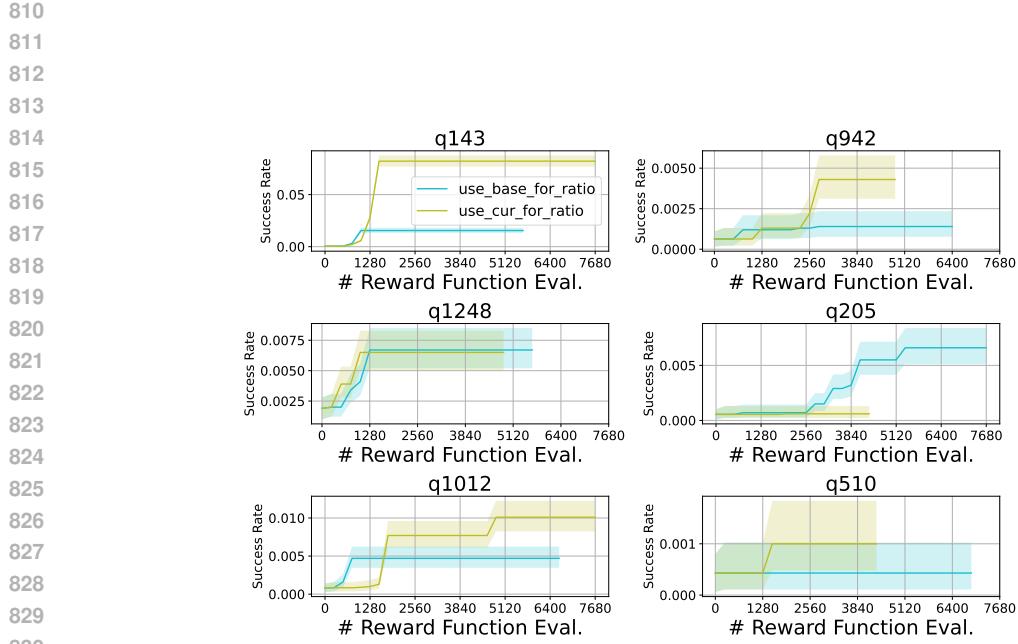


Figure 8: Cumulative best success rate when using the base model p_θ versus the updated model $p_\theta^{(i=1)}$ for the likelihood ratio.

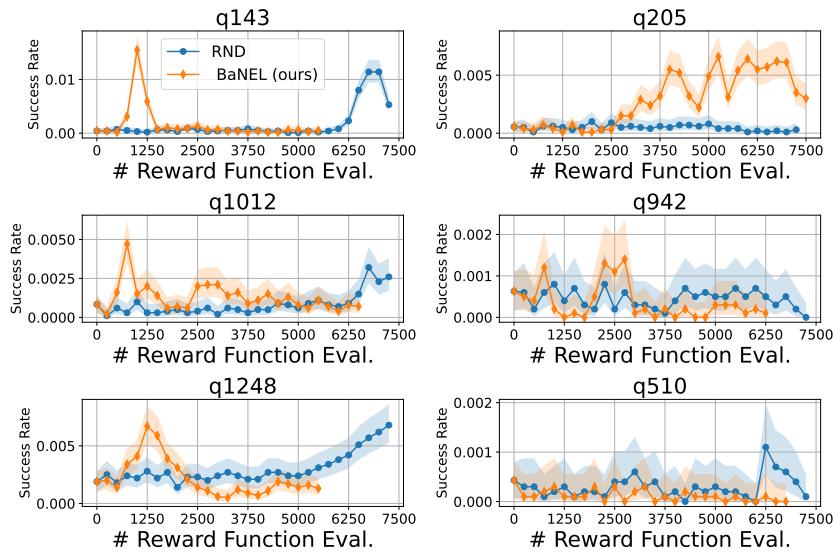
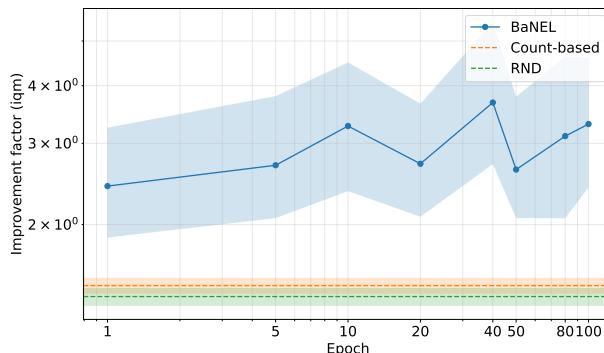


Figure 9: Success rate of BaNEL and RND on GSM8K-Hard questions. Results correspond to Fig. 5.

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877 Figure 10: Results on the adversarial attack scenario: IQM improvement factor in success rate over
878 the base model of BaNEL as a function of the number of epochs to train p_ϕ . Results of Count-based
879 and RND are provided in horizontal lines. IQM values are computed over 100 random seeds. Shaded
880 regions indicate 95% bootstrap confidence intervals. Leading zeros are not allowed (Sec. B.2).

881
882 On MNIST, to obtain our best result, p_θ and p_ϕ are trained for 15 and 150 epochs per round,
883 respectively. For adversarial attack experiments, p_θ and p_ϕ are trained for 10 and 100 epochs per
884 round, respectively. On GSM8K, p_θ and p_ϕ are trained for 10 and 5 epochs per round, respectively.
885 The filter factor f is set to $f = 2$ for MNIST, $f = 1.032$ for adversarial attack, and $f = 16$ for
886 GSM8K-hard.

887 When data have variable lengths, computing $\frac{p_\theta(\mathbf{x})}{p_{\phi,k}(\mathbf{x})}$ and ranking samples within a batch can introduce
888 length bias. To mitigate this, in practice we normalize log-likelihoods by length and compute
889 $\frac{p_\theta(\mathbf{x})^{1/l(\mathbf{x})}}{p_{\phi,k}(\mathbf{x})^{1/l(\mathbf{x})}}$, where $l(\mathbf{x})$ is the length of \mathbf{x} . For Qwen 0.5B model, we use the maximum response
890 length of 512.

893 **Baselines.** For the count-based baseline, we use the same architecture for p_θ and the density
894 model ρ , both initialized with the same pre-trained weights. We adopt the same decay schedule and
895 exploration bonus as in [Ostrovski et al. \(2017\)](#). To improve performance, we additionally apply KL
896 regularization between the current and initial policy. We find that a coefficient of 0.05 works the best
897 for both MNIST and adversarial attack experiments. For the RND baseline on MNIST, we follow
898 the setup of [Burda et al. \(2019\)](#), with the modification that larger models for both the predictor and
899 target yield better performance. Specifically, we use a 4-layer fully connected network with hidden
900 dimension 1024. We regularize with a KL penalty of strength 0.01. For the adversarial attack and
901 GSM8K, we adopt the implementation of [Gao et al. \(2025\)](#). We find that training does not improve
902 success rates without KL regularization. For the adversarial attack experiment, we find that a penalty
903 coefficient of 0.5 works the best for the experiments in Sec. 5.2. For Sec. B.2, 0.01 works the best.
904 For GSM8K, we find that a penalty coefficient of 0.05 works well.

905 **Rule-based attack for Sec. 5.2.** For carry chain attack, we generate 10-digit addition problems
906 by first sampling the least significant digit pair whose sum is at least 10 to initiate a carry. The
907 remaining digit pairs are sampled to sum exactly to 9 (except for the most significant digit), which
908 propagates the carry when combined with the incoming carry-in of 1. For leading zero attack, we
909 prepend leading zeros with random length to one or both operands of randomly generated addition
910 problems while respecting the 10-digit length constraint.

912 B.2 ADDITIONAL RESULTS FOR ADVERSARIAL ATTACK EXPERIMENT

913
914 Leading zeros are one of two failure modes of the target model discovered by BaNEL in Sec. 5.2. To
915 ensure that BaNEL’s performance gain is not simply due to its ability to discover leading zeros, here
916 we modify the definition of r such that it gives 0 for strings with leading zeros (i.e., leading zeros are
917 now syntactically invalid). Fig. 10 shows the compute scaling result for this setup. Similarly to Fig. 3,
BaNEL consistently outperforms other two baselines regardless of the number of epochs used.

918 Table 3: Wall-clock runtime (in seconds) for BaNEL, pseudo-count, and RND in the MNIST
 919 experiment.

	Ours	Count-based	RND
	952	395	393

925 B.3 RUNTIME COMPARISON ON MNIST

927 In Table 3, we compare the runtime of RND, count-based, and BaNEL with a single NVIDIA H100
 928 GPU. BaNEL uses 150 epochs for p_ϕ , which incurs additional cost.

930 B.4 ABLATION STUDIES FOR GSM8K-HARD

932 This section presents experiments for some important design choices of BaNEL.

934 **Filter factor f** Fig. 6 shows the effect of the filter factor f . We find that $f = 16$ performs best on
 935 this dataset, although all values improve the success rate over the base model for most questions.

937 **Number of epochs** In Fig. 7, we sweep over values 1, 5, 10 for the number of epochs when training
 938 p_θ at each round, and observe that 10 yields the strongest results.

939 **Computing likelihood ratio with the current proposal** Algorithm. 2 requires maintaining three
 940 models: the current generator $p_{\theta^{i-1}}$, the negative model $p_{\phi^{i-1}}$, and the base model p_θ , which can
 941 be computationally costly. However, notice that $p_{\theta^{i-1}}(\mathbf{x}) \propto p_\theta(\mathbf{x})$ for $\mathbf{x} \in \text{supp}(p_{\theta^{i-1}})$ if the
 942 distillation is performed optimally. Hence, we can use $\frac{p_{\theta^{i-1}}(\mathbf{x})}{p_{\phi^{i-1}}(\mathbf{x})}$ instead of $\frac{p_\theta(\mathbf{x})}{p_{\phi^{i-1}}(\mathbf{x})}$ to rank samples,
 943 as this does not change the relative ordering. Doing so eliminates the need to store the base model,
 944 reducing space complexity. As shown in Figure 8, the results are mixed. We use the base model for
 945 the likelihood ratio in Sec. 5.

947 **High-temperature sampling** A straightforward way to encourage exploration is to increase the
 948 sampling temperature. We tested this by applying temperatures of 1.1 and 1.2 to the base model on
 949 question 942. While this substantially increased the joint entropy, the resulting success rates were
 950 only 0.0005 and 0.0006, respectively, based on 10,000 samples. For comparison, the base model’s
 951 success rate confidence interval (Clopper–Pearson, $\alpha = 0.05$, $n = 10,000$) is [0.00016, 0.0011].
 952 Thus, higher temperatures did not yield a statistically significant improvement. This suggests that
 953 reward sparsity cannot be overcome simply by injecting randomness through higher temperature;
 954 instead, systematic elimination of failed attempts is required.

956 **Success rate trends** Fig. 9 shows that the success rates of BaNEL often peak and then decline.
 957 RND exhibits similar behavior for problems 143, 1012, and 510. For the remaining problems, RND
 958 either fails to improve the success rate at all or exhausts the NRE budget before reaching its peak.

960 B.5 COMPARISON OF NEGATIVE-RL, GFLOWNET, AND BANEL

962 Figure 11 presents the training dynamics of Negative-RL, GFlowNet, and BaNEL. Starting from
 963 a prior model pretrained on MNIST 0-digits, we observe that training of both Negative-RL and
 964 GFlowNet collapses, indicating that these methods are not suitable in our extremely sparse reward
 965 setting.

967 C THE USE OF LARGE LANGUAGE MODELS

969 LLMs were employed to improve the clarity of several sentences.

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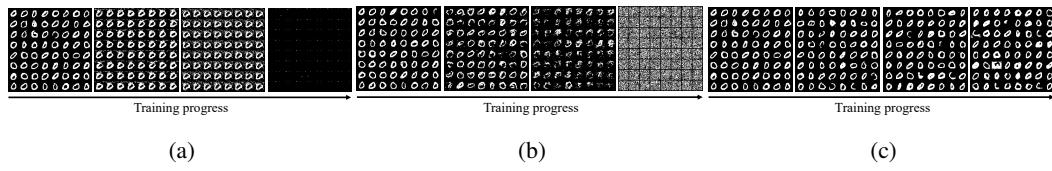


Figure 11: Results of post-training an autoregressive transformer trained on MNIST 0-digits: (a) Negative RL (Eq. (1)); (b) GFlowNets (Eq. (3)); (c) BaNEL (Ours). Both negative RL and GFlowNets result in severe detachment from p_θ , rendering the model unusable for most tasks.

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