BEYOND SIZE: HOW GRADIENTS SHAPE PRUNING DECISIONS IN LARGE LANGUAGE MODELS

Anonymous authors

Paper under double-blind review

Abstract

Large Language Models (LLMs) with a billion or more parameters are prime targets for network pruning, which aims to reduce a portion of the network weights without compromising performance. Prior approaches such as Weights Magnitude, SparseGPT, and Wanda, either concentrated solely on weights or integrated weights with activations for sparsity. However, they overlooked the informative gradients derived from pretrained large language models. In this paper, we present a novel sparsity-centric pruning method for pretrained LLMs, termed Gradient-based Language Model Pruner (GBLM-Pruner). GBLM-Pruner leverages the first-order term of the Taylor expansion, operating in a trainingfree manner by harnessing properly normalized gradients from a few calibration samples to determine the importance pruning score, and substantially outperforms competitive counterparts like SparseGPT and Wanda in multiple benchmarks. Intriguing, after incorporating gradients, the unstructured pruning method tends to reveal some structural patterns post-pruning, which mirrors the geometric interdependence inherent in the LLMs' parameter structure. Additionally, GBLM-Pruner functions without any subsequent retraining or weight updates to maintain its simplicity as other counterparts. Extensive evaluations on LLaMA-1 and LLaMA-2 across various language benchmarks and perplexity show that GBLM-Pruner surpasses magnitude pruning, Wanda (weights+activations) and SparseGPT (*weights+activations+weight update*) by significant margins. We further extend the proposed approach to the ViT model to demonstrate its broad applicability. Our code and models will be publicly available.

1 INTRODUCTION

Large Language Models (LLMs) like OpenAI's GPT series (Radford et al., 2018; 2019; Brown et al., 2020a; OpenAI, 2023), BERT (Devlin et al., 2018), LLaMA-1/2 (Touvron et al., 2023a;b) and others have made significant strides in recent years, leading to a paradigm shift in various domains of artificial intelligence and especially in natural language processing (OpenAI, 2023; Anil et al., 2023; Touvron et al., 2023b) and multimodal learning (Alayrac et al., 2022; Li et al., 2023). Many industries have integrated LLMs into their workflow, such as in chatbots (OpenAI, 2023), content generation (Anil et al., 2023), code completion tools (e.g., GitHub Copilot) (Chen et al., 2021), gaming narratives (Todd et al., 2023), and assistive technologies (Zdravkova et al., 2022), etc. While enjoying the powerful and capable of impressive generalization, LLMs come with a set of challenges and disadvantages. The presence of an abundance of parameters, large memory consumption, and the resultant high computational cost during inference present several concerns in real-world applications. Previous literature proposed multiple solutions to address these disadvantages, such as model distillation (Hinton et al., 2015), quantization (Jacob et al., 2018), model pruning (Han et al., 2016), hardware acceleration (Chen et al., 2020), etc.

Among them, pruning refers to the removal of certain weights or even whole neurons/layers from an LLM based on specified criteria, such as the smallest weights. A pruned model can maintain similar performance with fewer parameters, resulting in a reduction in storage and computational requirements. Inducing nonstructural sparsity in pruning is a widely embraced method aimed at minimizing the memory requirements of neural networks with only a minimal sacrifice in accuracy. Pruning methods stand out as notably simple and efficient mechanisms for model compression, serving to eliminate weights contingent on their significance. Reduced models are not only more conveniently dispatched to edge devices like mobile phones but also exhibit substantially lower energy consumption, a sizable portion of energy is expended in transferring model parameters from a device's long-term storage to its memory (Dao et al., 2022).

However, given the constraints of training-free conditions, existing solutions for pruning LLMs primarily employ either weight magnitude pruning (Han et al., 2015a; 2016) or a combination of magnitude and activation pruning (Frantar & Alistarh, 2023; Sun et al., 2023). While these methods are substantiated with empirical ablations and experiments, they are, to a degree, either too complex to use like SparseGPT by computing matrix inverses and updating weights, or heuristic and lack profound theoretical depth and justification like Wanda regarding the efficacy, especially concerning the application to the recently developed, highly advanced large language models.

In this study, we tackle the aforementioned complexity and interpretability challenges in LLM pruning methods by presenting a simple yet effective approach named GBLM-Pruner (Gradient-Based Language Model Pruner) that can be well explained in theory using the adapted optimal brain surgeon (OBS) (Hassibi et al., 1993b). This method proficiently prunes LLMs to significant levels of sparsity, eliminating the necessity to alter the residual weights. Specifically, we employ normalization of gradients across various samples to formulate an indicator matrix. This matrix can serve as activations and can either replace or supplement them. This method maintains simplicity over SparseGPT (Frantar & Alistarh, 2023) while showcasing enhanced robustness and improved interpretation than Wanda (Sun et al., 2023) on large language models compared to both *magnitude* pruning and *magnitude* + activation pruning. Furthermore, it is notable that although we employ gradients in our approach, there is no necessity for retraining or any updates to parameters.

Difference to Previous Gradient-based Methods. Although the use of gradients has been studied in the context of pruning, earlier methods (Molchanov et al., 2016b; Sanh et al., 2020a) used gradients in the context of transfer learning to obtain a pruned model that preserves the accuracy of the downstream task. This work is the first attempt to study the use of gradients for one-shot pruning of language models with billions of parameter while maintaining the zero-shot generalization capabilities of the language models to diverse downstream tasks. Additionally our proposed method does not require weight update, which makes our proposed method computationally efficient and applicable for large language models with billions of parameters like LLaMA-1-30B and LLaMA-2-70B.

We conducted extensive empirical evaluations of GBLM-Pruner on LLaMA-1 and 2 (Touvron et al., 2023a;b), among the most influential families of open-sourced LLMs. The findings crossing various language benchmarks and perplexity from our investigation highlight that GBLM-Pruner is proficient in identifying effective sparse networks directly from pretrained LLMs, eliminating the need for retraining or weight updates. Notably, GBLM-Pruner substantially surpasses magnitude pruning and the recently introduced methods designed by *weights+activations* or *weights+activations+weight update*. Our contributions in this work form a foundational basis for ensuing advancements in this domain. Furthermore, we advocate for continued exploration aimed at unraveling the complexities of sparsity within LLMs through underexplored gradients, and highlighting that this is the first attempt to understand the importance of gradient information both theoretically and empirically, and introduce a simple gradient-based solution for LLMs pruning in a training-free manner. Finally, we further extend our approach to the other domain of Vision Transformer (Dosovitskiy et al., 2020) to demonstrate its effectiveness.

2 Approach

2.1 PRIOR SOLUTIONS

Weights Magnitude. Magnitude pruning, which retains weights of significant absolute values, is the predominant approach for weight pruning. This approach usually generates an unstructured sparsity and has been employed across various architectures spanning computer vision (Han et al., 2015a; 2016) and language processing (Gale et al., 2019b). Furthermore, it has recently become integral to the lottery ticket hypothesis (Frankle & Carbin, 2018).

Weights and Activations. SparseGPT (Frantar & Alistarh, 2023) conceptualizes the problem of pruning large language models by addressing a local, layer-wise reconstruction problem. The approach for determining pruning metrics and the process for updating weights in SparseGPT draws inspiration from the Optimal Brain Surgeon (OBS) (Hassibi et al., 1993b) approach. The pruning



Figure 1: Illustration of the proposed method GBLM-Pruner. Given a weight matrix, \mathbf{W} , a gradient matrix, \mathbf{G} , and an input feature activation, \mathbf{X} , the computation of weight importance is executed through an elementwise multiplication of the magnitude of weight and the ℓ_1 or ℓ_2 norm of the gradients across multiple samples, denoted as $\|\mathbf{G}\|_p \cdot |\mathbf{W}|$, optionally, it is promotable to add the multiplication of weight and the ℓ_2 norm of input activations, denoted as $\|\mathbf{W}\| \cdot \|\mathbf{X}\|_2$.

metric employed within SparseGPT is defined as follows:

$$\mathbf{W}_{\mathrm{m}}[i,j] = \frac{|\mathbf{W}[i,j]|^2}{\mathrm{diag}\left(\mathbf{H}^{-1}\right)[j,j]} \tag{1}$$

where $\mathbf{H} = (\mathbf{X}^T \mathbf{X} + \lambda \mathbf{I})$ is the Hessian matrix, and \mathbf{H}^{-1} is the inverse Hessian matrix. \mathbf{W}_m is the pruning importance metric for a given weight \mathbf{W} , and [i, j] is the element at index i, j of the matrix.

Wanda (Sun et al., 2023) suggests assessing the significance of each individual weight by calculating the product of its magnitude and the norm of the corresponding input feature. More precisely, the score for a given weight, $\mathbf{W}[i, j]$, is determined as follows:

$$\mathbf{W}_{\mathrm{m}}[i,j] = |\mathbf{W}[i,j]| \cdot \|\mathbf{X}[:,j]\|_{2}$$

$$\tag{2}$$

where the elementwise product between the weight magnitude and the norm of input activations is performed within each row in **W**.

2.2 GRADIENTS MATTER

Gradients. According to Optimal Brain Damage (LeCun et al., 1989) and Optimal Brain Surgeon (Hassibi et al., 1993b), gradients and higher order derivatives are naturally correlated to the importance of weights for LLM pruning, which is the theoretical basis of our approach. However, they ignore the gradients in their pruning framework under the assumption that gradients of the fully trained network are small and do not provide any additional information when the higher-order terms are considered. Our work shows that gradients are still crucial and provide non-trivial information.

Previous gradient-based structured pruning methods, such as feature map pruning (Molchanov et al., 2016a), channel pruning (Yang et al., 2022), and head pruning (Michel et al., 2019) utilize the first-order Taylor approximation of the loss \mathcal{L} around activation z = 0 or weight w = 0 as the importance score, the formulation is:

$$\mathbf{W}_{\mathrm{m}} = \mathbb{E}_{\boldsymbol{x} \sim \mathbf{X}} \left| \frac{\partial \mathcal{L}(\boldsymbol{x})}{\partial \mathbf{A}} \mathbf{A} \right|$$
(3)

where **X** is the sampled data distribution and A is either activation matrix Z or weight matrix W. Most of these structured pruning methods are proposed for transfer learning to a particular task and require significant finetuning on the specific task to maintain the model performance. In contrast, our work proposes how to leverage gradient information to do unstructured and N:M semi-structured pruning without any subsequent weight update. Additionally, we illustrate the integration of activations into our pruning metric through the use of a scaling factor for best performance. Furthermore, our pruned model is task-agnostic and generalizable to any downstream task as showcased by the Zero-shot evaluation on several tasks included in the Etheuther AI Im-evaluation harness benchmark (Gao et al., 2021).

Pruning Metric. As illustrated in Algorithm 1, consider a layer in LLMs characterized by the weight **W**, possessing a shape of (d_{out}, d_{in}) . In the context of Transformer models, this laver has the gradient G, exhibiting the same shape of weight W. We propose evaluating the importance of each individual weight by normalizing the corresponding gradients across different samples and then computing the product of its magnitude with the weights. More precisely, the importance score attributed to a specific weight, $\mathbf{W}[i, j]$, is determined as follows:

$$\mathbf{W}_{\mathrm{m}}[i,j] = |\mathbf{W}[i,j]| \cdot \|\mathbf{G}[:,i,j]\|_{p} \quad (4)$$

While competitive results can be achieved with gradients solely, we can combine feature activations to get better performance, which form our final pruning metric as shown in Equation 5:

$$\mathbf{W}_{\mathrm{m}}[i,j] = |\mathbf{W}[i,j]| \cdot \left(\alpha \cdot \|\mathbf{G}[:,i,j]\|_{p} + \|\mathbf{X}[:,j]\|_{2}\right) \text{end for}$$
(5)

Algorithm 1 The GBLM-Pruner algorithm

 $\mathbf{W} \leftarrow \text{weight matrix} \in (\boldsymbol{d}_{out}, \boldsymbol{d}_{in})$ $\mathbf{X} \leftarrow \text{activation matrix} \in (\mathbf{N} \times \mathbf{L}, \mathbf{d}_{in})$ $\mathbf{G} \leftarrow \text{gradient matrix} \in (\mathbf{N}, \mathbf{d}_{out}, \mathbf{d}_{in})$ $p \leftarrow \text{sparsity ratio} \in (0, 1)$ $\mathbf{W}_{m} \leftarrow \text{pruning metric} \in (\boldsymbol{d}_{out}, \boldsymbol{d}_{in})$ $\mathbf{M} \leftarrow \text{pruning mask} \in (\boldsymbol{d}_{out}, \boldsymbol{d}_{in})$

$$\begin{array}{l} \text{for } i \in (1, d_{out}) \text{ do} \\ \text{ for } j \in (1, d_{in}) \text{ do} \\ \mathbf{W}_{m}[i, j] = (|\mathbf{W}[i, j]| \cdot \|\mathbf{G}[:, i, j]\|_{p} + \\ |\mathbf{W}[i, j]| \cdot \|\mathbf{X}[:, j]\|_{2}) \\ \text{ end for} \\ \text{end for} \end{array}$$

for $i \in (1, \boldsymbol{d}_{out})$ do $\mathbf{M}[i,:] = \text{mask of } \mathbf{p}\%$ weights with smallest

$$\mathbf{W}_{\mathbf{m}}[i,j] = |\mathbf{W}[i,j]| \cdot \left(\alpha \cdot \|\mathbf{G}[:,i,j]\|_{p} + \|\mathbf{X}[:,j]\|_{2}\right) \text{end for}$$
where α is the scaling factor used to account
$$\mathbf{W}[\mathbf{M}] = 0$$

for the small magnitude of gradients, which makes the contribution of gradient balanced to the large magnitude of activations.

Pruning Granularity. The granularity of pruning is pivotal in unstructured pruning, owing to the fact that varying granularities yield disparate pruning patterns. Previously, unstructured magnitude pruning approaches have leveraged both layer-wise and global pruning. In these methods, weights are contrasted either within the same layer or throughout the entirety of the model. Through a comprehensive study, we observe that the highest accuracy is achieved when weights are analyzed on a column-wise basis. This is because each column serves as a constituent component in output activation. This insight is consistent with the findings presented in Sun et al. (2023).

2.3 A THEORETICAL ANALYSIS

In this section, we have revisited and refined the Optimal Brain Surgeon (OBS) framework (Hassibi et al., 1993b) framework by incorporating considerations of the gradient, i.e., the first-order term in Taylor approximation. The closed-form solution of the increase in error for removing a weight from the model, given by this analysis serves as the fundamental basis for our novel gradient-based pruning metric. For the sake of simplicity, we will consider weights and gradients as one-dimensional vectors denoted by w and q respectively in our analysis.

The optimization problem for network pruning using both the first and second-order terms can be depicted in Equation 6. Here, E is the error or loss function, w is the weight vector for the neural network, and δw is the change in the weight vector. Additionally, I_m is the unit vector in weight space corresponding to the pruned weight w_m , $\mathbf{H} = \frac{\partial^2 E}{\partial w^2}$ denotes the Hessian Matrix, and the superscript \top signifies vector transpose.

$$\min_{m} \left\{ \min_{\delta \boldsymbol{w}} \left(\left(\frac{\partial \boldsymbol{E}}{\partial \boldsymbol{w}} \right)^{\top} \cdot \delta \boldsymbol{w} + \frac{1}{2} \delta \boldsymbol{w}^{\top} \cdot \mathbf{H} \cdot \delta \boldsymbol{w} \right) \left| I_{m}^{\top} \cdot \delta \boldsymbol{w} + w_{m} = 0 \right\}$$
(6)

By solving the optimization problem, we obtain the optimal change in error, δE_m , for removing weight w_m as shown in Equation 7. We have provided a detail analysis in Appendix G.

$$\delta \boldsymbol{E}_{m} = \frac{w_{m}^{2}}{2\left(\mathbf{H}^{-1}\right)_{mm}} - \frac{w_{m}\left(\boldsymbol{g}^{\top}\cdot\mathbf{H}^{-1}\cdot\boldsymbol{I}_{m}\right)}{\left(\mathbf{H}^{-1}\right)_{mm}} + \frac{\left(\boldsymbol{I}_{m}^{\top}\cdot\mathbf{H}^{-1}\cdot\boldsymbol{g}\right)^{2}}{2\left(\mathbf{H}^{-1}\right)_{mm}} - \frac{1}{2}\boldsymbol{g}^{\top}\cdot\mathbf{H}^{-1}\cdot\boldsymbol{g}$$
(7)

For the error, δE_m , since the gradients are already small, we can consider the quadratic or square term of the gradient to be insignificant. Thus, ignoring the third and fourth terms, we have:

$$\delta \boldsymbol{E}_{m} = \frac{w_{m}^{2}}{2\left(\boldsymbol{\mathrm{H}}^{-1}\right)_{mm}} - \frac{w_{m}\left(\boldsymbol{g}^{\top} \cdot \boldsymbol{\mathrm{H}}^{-1} \cdot \boldsymbol{I}_{m}\right)}{\left(\boldsymbol{\mathrm{H}}^{-1}\right)_{mm}} \tag{8}$$

To compute the Hessian matrix, we draw upon the Optimal Brain Compression method introduced in the work by Frantar & Alistarh (2022). This method optimizes Hessian computation by breaking down the global compression task into layer-specific sub-problems. This approach results in a closed-form solution for the Hessian, as expressed in Equation $\mathbf{H} = 2X^{\top}X$.

Following Optimal Brain Damage (LeCun et al., 1989), we introduce a simplifying assumption wherein we restrict our focus to the diagonal elements of the Hessian matrix. This results in $\mathbf{H} = 2 * \operatorname{diag} \left(\left\{ \| \boldsymbol{x}_j \|_2^2, 1 \le j \le n \right\} \right)$. Here \boldsymbol{x}_j is the tensor corresponding to component j of the activation tensor across samples, and the variable n represents the total number of components within the activation tensor for the respective layer. So, the first term of Equation 8 transforms into:

$$\frac{w_m^2}{2\left(\mathbf{H}^{-1}\right)_{mm}} = w_m^2 \|\boldsymbol{x}_m\|_2^2 \tag{9}$$

Since we are considering only the diagonal elements of Hessian **H**. The second term in Equation 8 transforms as follows:

$$-\frac{w_m \left(\boldsymbol{g}^\top \cdot \mathbf{H}^{-1} \cdot I_m\right)}{\left(\mathbf{H}^{-1}\right)_{mm}} = -\frac{w_m g_m \left(\mathbf{H}^{-1}\right)_{mm}}{\left(\mathbf{H}^{-1}\right)_{mm}} = w_m (-g_m)$$
(10)

Thus, the final solution for the optimization problem in Equation 6 can be expressed as:

$$\delta \boldsymbol{E}_{m} = (w_{m} \| \boldsymbol{x}_{m} \|_{2})^{2} + w_{m} (-g_{m})$$
(11)

Building upon the solution outlined in Equation 11, we conduct a series of experiments with different formulations of pruning metric in Section 3.4. Our investigation reveals that the pruning metric $(w_m \cdot ||x_m||_2 + |w_m| \cdot g_m)$ yields the most favorable results. Here g_m is the gradient magnitude obtain by either the l_1 or l_2 normalization across samples.

3 EXPERIMENTS

3.1 IMPLEMENTATION AND SETUP DETAILS

We conduct all our experiments using PyTorch (Paszke et al., 2017) for GBLM-Pruner. Experiments are performed with six models from the LLaMA-1 series (7B, 13B, 30B) (Touvron et al., 2023a) and the LLaMA-2 series (7B, 13B, 70B) (Touvron et al., 2023b). The Huggingface transformer library is used (Wolf et al., 2019) for handling models. The experiments are conducted on NVIDIA A100 GPUs with 40/80GB of memory. GBLM-Pruner requires calibration data for the computation of gradients and activations. Following previous works (Frantar et al., 2022; Frantar & Alistarh, 2023; Sun et al., 2023), we use 128 sequences with 2048-tokens randomly sampled from the first shard of the C4 (Raffel et al., 2019) training data as our calibration data. The gradients are computed with language modeling on the input sequence as the objective function. This represents the pretraining objective of the language models and remains agnostic to the downstream task the language models are used for. For scaling factor α , we use a value of 100 after careful calibration ablation, as shown in Section 3.4.

Baseline Approaches. We compare our proposed method against three baselines: (1) magnitude pruning, (2) SparseGPT (Frantar & Alistarh, 2023), and (3) Wanda (Sun et al., 2023). Following Gale et al. (2019a) and Sanh et al. (2020b), we conduct a layer-wise comparison of model weights for magnitude pruning, subsequently removing those with smaller magnitudes. For both SparseGPT¹ and Wanda², we utilize their respective code implementation to obtain the pruned models.

Evaluation. We assess the performance of the pruned models using two distinct metrics: (1) Perplexity and (2) Zero-shot Evaluation on the Harness Benchmark (Gao et al., 2021). Perplexity is a well-established metric (Dettmers & Zettlemoyer, 2022; Yao et al., 2022; Frantar & Alistarh, 2022; Sun et al., 2023; Frantar & Alistarh, 2023) and provides stable and reliable results. The Zero-shot Harness evaluation, although known to be relatively noisy, offers a more readily interpretable assessment of model performance.

¹https://github.com/IST-DASLab/sparsegpt

²https://github.com/locuslab/wanda

Sparsity and Pruning Granularity. Following recent methods (Frantar & Alistarh, 2023; Sanh et al., 2020b), GBLM-Pruner prunes the linear layers of LLMs uniformly except for the embedding layer and the final classification head. In addition to unstructured pruning, we also position GBLM-Pruner in comparison to other baselines, exploring more rigorous

Table 1: Pruning granularity for GBLM-Pruner.

Pruning Granularity	Perplexity
layer	7.45
input,1	10.16
input , 128	7.64
output,1	6.86
output,128	7.47

yet hardware-accommodating 2:4 and 4:8 semi-structured sparsity patterns. We experiment with five different pruning configurations, as shown in Table 1. Our findings indicate that the (output, 1) configuration yields the most favorable results, prompting its adoption as the standard for all our experiments.

3.2 PERPLEXITY EVALUATION

For all the methods under consideration, we report the perplexity evaluated on WikiText (Merity et al., 2016) validation data for both unstructured and semi-structured N:M sparsity pruning in Table 2. For unstructured pruning, GBLM-Pruner with ℓ_1 norm outperforms both Wanda and reconstruction-based SparseGPT significantly across both LLaMA-1 and LLaMA-2 models.

However, the N:M sparsity pruning is restrictive by definition, especially 2:4 sparsity, which imposes greater constraints and results in a noticeable decrease in perplexity compared to unstructured pruning. As shown in Table 2, we can observe SparseGPT seems to perform better than both GBLM-Pruner and Wanda in the case of 2:4 sparsity pruning. Conversely, for 4:8 sparsity pruning, GBLM-Pruner outperforms other baselines for most of models, especially for the larger models.

		L	Lama-	2	LLaMA-1		
Method	Sparsity	7B	13B	70B	7B	13B	30B
None	0	5.47	4.88	3.32	5.68	5.09	4.10
Magnitude	0.5	16.03	6.83	5.36	17.29	20.21	7.54
SparseGPT	0.5	7.00	6.03	4.25	7.22	6.19	5.32
Wanda	0.5	6.92	5.97	4.22	7.26	6.15	5.24
$GBLM-Pruner_{\ell 1}$	0.5	6.86	5.88	4.17	7.15	6.11	5.18
Magnitude	2:4	37.77	8.89	6.76	42.54	18.36	9.11
SparseGPT	2:4	10.82	8.75	5.68	10.88	9.06	7.12
Wanda	2:4	12.11	9.00	5.48	11.53	9.59	6.90
$\texttt{GBLM-Pruner}_{\ell 1}$	2:4	11.91	8.80	5.47	11.33	9.16	6.87
Magnitude	4:8	15.91	7.32	5.89	16.83	13.87	7.62
SparseGPT	4:8	8.46	7.01	4.91	8.45	7.44	6.18
Wanda	4:8	8.60	7.00	4.77	8.57	7.41	5.97
$GBLM-Pruner_{\ell 1}$	4:8	8.63	6.90	4.72	8.48	7.26	5.89

Table 2: WikiText perplexity of pruning methods for LLaMA 1 and LLaMA 2 family of models.

3.3 ZERO-SHOT TASKS

In addition to our perplexity evaluations, we further assess the performance of our method across six Zero-shot common-sense tasks included in the Eleuther AI Im-evaluation-harness benchmark (Gao et al., 2021): BoolQ (Clark et al., 2019), RTE (Wang et al., 2018), HellaSwag (Zellers et al., 2019), WinoGrande (Sakaguchi et al., 2019), ARC-easy (Clark et al., 2018), and OBQA (Mihaylov et al., 2018). As noted by earlier work (Dettmers & Zettlemoyer, 2022; Frantar & Alistarh, 2023), zero-shot evaluation on these tasks is known to be noisy but aggregate performance across multiple tasks enhances interpretability.

Our comprehensive results for these tasks are presented in Table 3, where models are pruned to 50% unstructured sparsity. Notably, while our proposed GBLM-Pruner outperforms both Wanda and SparseGPT in terms of perplexity, a consistent trend is not observed across all the individual tasks, which aligns with existing literature (Frantar & Alistarh, 2023; Dettmers & Zettlemoyer, 2022). However, the mean accuracy across all six tasks surpasses the performance of both SparseGPT and Wanda for most of the models. This observation aligns with our findings from the perplexity evaluation, suggesting the robustness and effectiveness of our approach.

Models	Method	BoolQ	RTE	HellaSwag	WinoGrande	ARC-e	OBQA	Mean
	Dense	75.11	66.43	76.21	69.85	72.81	44.40	67.47
	Mag	54.65	54.15	60.90	59.43	54.38	35.20	53.12
LLaMA-1-7B	SparseGPT	72.87	53.07	69.77	67.88	66.46	40.60	61.77
	Wanda	71.25	54.87	70.12	66.06	65.11	39.60	61.17
	Ours	73.43	59.93	70.29	67.40	65.99	41.40	63.07
	Dense	77.98	70.40	79.07	72.77	74.75	44.80	69.96
	Mag	54.95	50.90	59.69	63.54	54.25	39.80	53.86
LLaMA-1-13B	SparseGPT	76.67	63.18	74.09	71.59	68.48	43.60	66.27
	Wanda	76.02	63.18	74.80	71.90	69.82	43.00	66.45
	Ours	76.61	63.18	74.90	71.67	70.37	43.20	66.65
	Dense	82.72	66.79	82.62	75.77	78.91	48.20	72.50
	Mag	64.25	49.82	67.29	66.61	70.71	41.20	59.98
LLaMA-1-30B	SparseGPT	82.91	55.96	79.31	74.27	77.53	46.00	69.33
	Wanda	81.71	65.34	79.91	73.56	78.11	46.40	70.84
	Ours	82.69	67.15	80.23	73.95	76.98	46.00	71.17

Table 3: Zero-Shot harness evaluation on 50% unstructured sparsity pruned models.

3.4 ABLATION STUDY

Importance of Gradient. To emphasize the role of gradient, we perform an ablation experiment as shown in Table 4, wherein we only consider the Gradient-Weight term of the GBLM-Pruner pruning metric.

Our experiments show a substantial enhancement over magnitude-based pruning when utilizing gradients solely with weights, evident in both LLaMA-2 7B and 13B models. Additionally, the performance of our metric closely aligns with that of Wanda and SparseGPT for LLaMA-2 13B model.

Table 4. Gradient-we	igni based	pruning	metric.
Method	Sparsity	7B	13B
Magnitude	0.5	16.03	6.83
SparseGPT	0.5	7.00	6.03
Wanda	0.5	6.92	5.97
$\ \mathbf{W}\ \cdot \ \mathbf{G}\ _1$ (Ours)	0.5	7.17	6.15
$\ \mathbf{W}\ \cdot \ \mathbf{G}\ _2$ (Ours)	0.5	7.09	5.96

Table 4. Can diant Waisht have damaning anothing

Pruning Metric. In Section 2.3, we revisited the OBS framework by incorporating the first order gradient which yields $\delta E_m = (w_m ||x_m||_2)^2 + w_m (-g_m)$ as the pruning metric. To start with, we experiment with different ways of estimating the gradient magnitude from the calibration samples. We evaluated three methods: gradient accumulation, ℓ_1 norm and ℓ_2 norm applied to the gradient across calibration samples. For this experiment, we only utilize the pruning metric based on gradient alone with weight for better interpretability. From our experiment, we observe that gradient accumulation yields the least favorable results as depicted in Table 5. For deeper understanding, we compared the pruning pattern of gradient accumulation with ℓ_1 and ℓ_2 norm which shows that gradient accumulation gives a noisy estimate of the gradient magnitude while ℓ_1 and ℓ_2 norm reveals more structured patterns. A comparison between gradient accumulation and ℓ_1 norm-based aggregation is shown in Figure 4. Based on this, we adopt ℓ_1 and ℓ_2 norm-based gradient estimation for subsequent analysis.

Subsequently, based on our theoretical pruning metric δE_m , we experiment with two different ways of coupling the activations and gradients as shown in Table 5. We observe that in the case of $(|\mathbf{W}| \cdot ||\mathbf{X}||_2)^2 - |\mathbf{W}| \cdot ||\mathbf{G}||_p$ the pruning metric is completely disrupted. While for $(|\mathbf{W}| \cdot ||\mathbf{X}||_2)^2 + |\mathbf{W}| \cdot ||\mathbf{G}||_p$ gradient and activations complements each other and brings out the best performance. But, upon closer examination, we observe that the square of the first activation term sig-

Table 5:	Pruning	metric on	weight,	gradient,	activation.
	<i>U</i>		<i>U</i> /	0	

Method	Sparsity	Perplexity
$ \mathbf{W} \cdot \mathbf{G}_{acc} $	0.5	119.72
$\ \mathbf{W}\ \cdot \ \mathbf{G}\ _1$	0.5	7.17
$\ \mathbf{W}\ \cdot \ \mathbf{G}\ _2^{\frac{1}{2}}$	0.5	7.09
$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 + \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _1$	0.5	6.90
$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 + \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _2$	0.5	6.88
$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 - \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _1$	0.5	9743.65
$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 - \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _2$	0.5	9377.00
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2 + \alpha \cdot \ \mathbf{W}\ \cdot \ \mathbf{G}\ _1$	0.5	6.86
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2^2 + \alpha \cdot \ \mathbf{W}\ \cdot \ \mathbf{G}\ _2^2$	0.5	6.89

nificantly outweighs the contribution of the second term involving gradients. Consequently, we remove the square factor from the first term and add a scaling factor denoted as α to the second gradient term, resulting in the formulation of our final pruning metric as $\|\mathbf{W}\| \cdot \|\mathbf{X}\|_2 + \alpha \cdot \|\mathbf{W}\| \cdot \|\mathbf{G}\|_p$. This pruning metric with ℓ_1 norm-based gradient aggregation gives the best result for unstructured pruning across all models. We also conduct experiments to calibrate the scal-





Figure 2: Sparsity variation results for a large and a small model where we compare the performance of our method against other baseline methods.

Sparsity Variation. The objective of this ablation is to assess the robustness of our method across varying sparsity. For this, we compare the perplexity of the unstructured pruned model obtained by GBLM-Pruner to that of Wanda, SparseGPT, and magnitude pruning. We consider two distinct model sizes: a smaller LLaMA-2 13B model and a larger LLaMA-1 30B model, each is subjected to different degrees of sparsity. The results are shown in Figure 2. From the figure, it is evident that GBLM-Pruner exhibits a similar trend to SparseGPT and Wanda, showing a decline in performance as sparsity increases. However, GBLM-Pruner consistently outperforms all other baseline methods across various levels of sparsity for both models.

Dependence on Calibration Sample. GBLM-Pruner uses a set of calibration samples to calculate gradients and activations for the pruning metric. To understand the robustness of the pruned model to the calibration set, we conduct two ablations:

(1) Robustness to calibration set: For this ablation, we randomly sampled 5 different calibration sample sets with 128 samples each and pruned the LLaMA-2 7B model to 0.5 sparsity using GBLM-Pruner. The resultant pruned models have perplexities: 6.86, 6.87, 6.89, 6.86, and 6.87

Table 6: Ablation of	scaling factor.
Scaling Factor, (α)	Perplexity
0.001	6.920

0.001	6.920
0.01	6.919
0.1	6.921
1	6.912
10	6.890
100	6.858
1000	6.902
10000	6.926
100000	6.952



Figure 3: Robustness to calibration samples.

respectively. The average perplexity is 6.87 which is close to our reported perplexity in Table 2.

(2) Number of samples in the calibration set: In this experiment, we want to assess the influence of the calibration set size on the performance of GBLM-Pruner. For this, we prune the LLaMA-27B model using various calibration sets with the number of samples ranging from 1 to 512. The results are reported in Figure 3. From the figure, we can observe that in contrast to SparseGPT, our method exhibits a relatively lower sensitivity to variations in the number of calibration samples.

3.5 VISUALIZATION OF PRUNED PATTERN

The visualization of learned pruning pattern is illustrated in Figure 4. To elaborate, on the left is the mask that is acquired by eliminating 50% of gradient from the summationaggregated gradient tensor of the first layer's key projection, on the right is the mask that is derived by discarding 50% of the gradient from the ℓ_1 -norm-aggregated gradient tensor of the same layer's key projection. Within each subfit



the ℓ_1 -norm-aggregated gradient tensor of the Figure 4: Illustration of learned pruning pattern. same layer's key projection. Within each subfigure, the x-axis represents the input dimension and

the y-axis symbolizes the output dimension. The mask derived from the summation-accumulated gradient tensor tends to be noisy, in contrast, the one obtained through the ℓ_1 norm accumulated gradient tensor appears to be more refined and distinct. After the integration of gradients, the method of unstructured pruning tends to unveil certain structural patterns following the pruning process. This reflects the inherent geometric interdependence found in the parameter structure of the LLMs, which is highly aligned with the structure of gradients.

3.6 VISION TRANSFORMERS

To assess the generalizability of our method across models with different input modalities, we conduct experiments on the ViT-B model. We compare the performance of the pruned model obtained using GBLM-Pruner with those obtained through magnitude pruning and the Wanda method. We use 4,096 random

Table 7: ViT-B model pruning.						
Sparsity	Wanda	Magnitude	Ours ℓ_1	Ours ℓ_2		
0	75.40	75.40	75.40	75.40		
0.5	64.54	59.48	64.64	64.86		
0.6	43.65	29.98	44.15	44.23		
0.7	7.92	1.88	8.89	8.02		
0.8	0.20	0.18	0.32	0.24		

samples from ImageNet-1k training set as our calibration data, and subsequently, we evaluate the pruned models on the standard ImageNet-1k classification task. The results of these evaluations are presented in Table 7. From the table, it is evident that our model outperforms both the Wanda method and magnitude pruning, particularly when dealing with higher levels of sparsity.

4 RELATED WORK

Large Language Models (LLMs) based on transformer architecture (Vaswani et al., 2017) have ushered in a transformative era in the realm of natural language processing, achieving outstanding success. Their consistent and remarkable performance spans a wide array of tasks (Brown et al., 2020b; Chung et al., 2022; Touvron et al., 2023a;b; Rozière et al., 2023; OpenAI, 2023; Anil et al., 2023). For a long time, pruning has been identified as a powerful technique for reducing the size or complexity of a model by removing unnecessary or redundant components (LeCun et al., 1989; Hassibi et al., 1993a). Pruning can be divided into structured and unstructured pruning. Structured pruning targets at removing a set of weights from a network at once such as channels or layers to reduce the model size and complexity while maintaining the network structure intact. In the realm of pruning LLMs for sparsity, several studies (Frantar & Alistarh, 2022; 2023; Sun et al., 2023) have been undertaken in this area. Our work also provides a unique angle from *gradient* along this direction.

5 CONCLUSION

We have presented a gradient-based pruning approach GBLM-Pruner for large language models (LLMs). Our approach performs in a training-free manner and applies gradient-based statistical magnitude to discern and selectively prune the model's parameters, maintaining unstructured sparsity throughout the model, thus enabling substantial reductions in model size while preserving the model's predictive accuracy. The proposed approach has surpassed all previous LLM pruning methods in terms of perplexity, zero-shot performance and interpretability, marking a pivotal advancement in the field. We also provided theoretical analyses on how gradients help identify the importance of weights in LLMs. The superior accuracy achieved by this approach not only highlights gradients' effectiveness that is supplemental to weights and activations but also establishes it as a benchmark in the realm of model pruning methods for LLMs. We hope the proposed approach could potentially facilitate the development of more efficient, scalable, and accessible language models, paving the way for new opportunities and applications across various domains leveraging *gradients*. The notable performance of this approach is indicative of the significant strides being made in optimizing LLMs and highlights the possibilities that lie ahead in the journey towards more sustainable and efficient language processing tasks.

Reproducibility Statement

To ensure the reproducibility of our work, we provided our source code as a part of our supplementary submission. Further information on our experimental setup including details of datasets used and computational requirements in Section 3.1 and the appendix.

REFERENCES

- Jean-Baptiste Alayrac, Jeff Donahue, Pauline Luc, Antoine Miech, Iain Barr, Yana Hasson, Karel Lenc, Arthur Mensch, Katherine Millican, Malcolm Reynolds, et al. Flamingo: a visual language model for few-shot learning. Advances in Neural Information Processing Systems, 35:23716– 23736, 2022. 1
- Rohan Anil, Andrew M Dai, Orhan Firat, Melvin Johnson, Dmitry Lepikhin, Alexandre Passos, Siamak Shakeri, Emanuel Taropa, Paige Bailey, Zhifeng Chen, et al. Palm 2 technical report. arXiv preprint arXiv:2305.10403, 2023. 1,9
- Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are few-shot learners. Advances in neural information processing systems, 33:1877–1901, 2020a. 1
- Tom B. Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, Sandhini Agarwal, Ariel Herbert-Voss, Gretchen Krueger, T. J. Henighan, Rewon Child, Aditya Ramesh, Daniel M. Ziegler, Jeff Wu, Clemens Winter, Christopher Hesse, Mark Chen, Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin Chess, Jack Clark, Christopher Berner, Sam McCandlish, Alec Radford, Ilya Sutskever, and Dario Amodei. Language models are few-shot learners. ArXiv, abs/2005.14165, 2020b. URL https://api.semanticscholar.org/CorpusID:218971783.9
- Mark Chen, Jerry Tworek, Heewoo Jun, Qiming Yuan, Henrique Ponde de Oliveira Pinto, Jared Kaplan, Harri Edwards, Yuri Burda, Nicholas Joseph, Greg Brockman, et al. Evaluating large language models trained on code. arXiv preprint arXiv:2107.03374, 2021.
- Yiran Chen, Yuan Xie, Linghao Song, Fan Chen, and Tianqi Tang. A survey of accelerator architectures for deep neural networks. *Engineering*, 6(3):264–274, 2020. 1
- Hyung Won Chung, Le Hou, S. Longpre, Barret Zoph, Yi Tay, William Fedus, Eric Li, Xuezhi Wang, Mostafa Dehghani, Siddhartha Brahma, Albert Webson, Shixiang Shane Gu, Zhuyun Dai, Mirac Suzgun, Xinyun Chen, Aakanksha Chowdhery, Dasha Valter, Sharan Narang, Gaurav Mishra, Adams Wei Yu, Vincent Zhao, Yanping Huang, Andrew M. Dai, Hongkun Yu, Slav Petrov, Ed Huai hsin Chi, Jeff Dean, Jacob Devlin, Adam Roberts, Denny Zhou, Quoc V. Le, and Jason Wei. Scaling instruction-finetuned language models. *ArXiv*, abs/2210.11416, 2022. URL https://api.semanticscholar.org/CorpusID:253018554. 9
- Christopher Clark, Kenton Lee, Ming-Wei Chang, Tom Kwiatkowski, Michael Collins, and Kristina Toutanova. Boolq: Exploring the surprising difficulty of natural yes/no questions. ArXiv, abs/1905.10044, 2019. URL https://api.semanticscholar.org/ CorpusID:165163607. 6, 14
- Peter Clark, Isaac Cowhey, Oren Etzioni, Tushar Khot, Ashish Sabharwal, Carissa Schoenick, and Oyvind Tafjord. Think you have solved question answering? try arc, the ai2 reasoning challenge. ArXiv, abs/1803.05457, 2018. URL https://api.semanticscholar.org/ CorpusID: 3922816. 6, 14
- Tri Dao, Dan Fu, Stefano Ermon, Atri Rudra, and Christopher Ré. Flashattention: Fast and memoryefficient exact attention with io-awareness. Advances in Neural Information Processing Systems, 35:16344–16359, 2022. 2
- Tim Dettmers and Luke Zettlemoyer. The case for 4-bit precision: k-bit inference scaling laws. In *International Conference on Machine Learning*, 2022. 5, 6, 14
- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. arXiv preprint arXiv:1810.04805, 2018. 1
- Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, et al. An image is worth 16x16 words: Transformers for image recognition at scale. *arXiv preprint arXiv:2010.11929*, 2020. 2

- Jonathan Frankle and Michael Carbin. The lottery ticket hypothesis: Finding sparse, trainable neural networks. *arXiv preprint arXiv:1803.03635*, 2018. 2
- Elias Frantar and Dan Alistarh. Optimal brain compression: A framework for accurate post-training quantization and pruning. *ArXiv*, abs/2208.11580, 2022. 5, 9, 14
- Elias Frantar and Dan Alistarh. Sparsegpt: Massive language models can be accurately pruned in one-shot. *ArXiv*, abs/2301.00774, 2023. 2, 5, 6, 9, 14, 15
- Elias Frantar, Saleh Ashkboos, Torsten Hoefler, and Dan Alistarh. Gptq: Accurate post-training quantization for generative pre-trained transformers. *ArXiv*, abs/2210.17323, 2022. URL https://api.semanticscholar.org/CorpusID:253237200. 5
- Trevor Gale, Erich Elsen, and Sara Hooker. The state of sparsity in deep neural networks. *ArXiv*, abs/1902.09574, 2019a. 5, 14
- Trevor Gale, Erich Elsen, and Sara Hooker. The state of sparsity in deep neural networks. *arXiv* preprint arXiv:1902.09574, 2019b. 2
- Leo Gao, Jonathan Tow, Stella Biderman, Sid Black, Anthony DiPofi, Charles Foster, Laurence Golding, Jeffrey Hsu, Kyle McDonell, Niklas Muennighoff, Jason Phang, Laria Reynolds, Eric Tang, Anish Thite, Ben Wang, Kevin Wang, and Andy Zou. A framework for few-shot language model evaluation, September 2021. URL https://doi.org/10.5281/zenodo. 5371628. 3, 5, 6, 14
- Song Han, Jeff Pool, John Tran, and William Dally. Learning both weights and connections for efficient neural network. *Advances in neural information processing systems*, 28, 2015a. 2
- Song Han, Jeff Pool, John Tran, and William J. Dally. Learning both weights and connections for efficient neural network. In *NIPS*, 2015b. 14
- Song Han, Huizi Mao, and William J Dally. Deep compression: Compressing deep neural networks with pruning, trained quantization and huffman coding. In *ICLR*, 2016. 1, 2
- Babak Hassibi, David G. Stork, and Gregory J. Wolff. Optimal brain surgeon and general network pruning. *IEEE International Conference on Neural Networks*, pp. 293–299 vol.1, 1993a. 9, 14
- Babak Hassibi, David G Stork, and Gregory J Wolff. Optimal brain surgeon and general network pruning. In *IEEE international conference on neural networks*, pp. 293–299. IEEE, 1993b. 2, 3, 4
- Geoffrey Hinton, Oriol Vinyals, and Jeff Dean. Distilling the knowledge in a neural network. *arXiv* preprint arXiv:1503.02531, 2015. 1
- Benoit Jacob, Skirmantas Kligys, Bo Chen, Menglong Zhu, Matthew Tang, Andrew Howard, Hartwig Adam, and Dmitry Kalenichenko. Quantization and training of neural networks for efficient integer-arithmetic-only inference. In *Proceedings of the IEEE conference on computer* vision and pattern recognition, pp. 2704–2713, 2018. 1
- Yann LeCun, John Denker, and Sara Solla. Optimal brain damage. Advances in neural information processing systems, 2, 1989. 3, 5, 9
- Junnan Li, Dongxu Li, Silvio Savarese, and Steven Hoi. Blip-2: Bootstrapping languageimage pre-training with frozen image encoders and large language models. *arXiv preprint arXiv:2301.12597*, 2023. 1
- Stephen Merity, Caiming Xiong, James Bradbury, and Richard Socher. Pointer sentinel mixture models. ArXiv, abs/1609.07843, 2016. URL https://api.semanticscholar.org/ CorpusID:16299141. 6, 14
- Paul Michel, Omer Levy, and Graham Neubig. Are sixteen heads really better than one? Advances in neural information processing systems, 32, 2019. 3

- Todor Mihaylov, Peter Clark, Tushar Khot, and Ashish Sabharwal. Can a suit of armor conduct electricity? a new dataset for open book question answering. In *Conference on Empirical Methods in Natural Language Processing*, 2018. URL https://api.semanticscholar.org/CorpusID:52183757. 6, 14
- Pavlo Molchanov, Stephen Tyree, Tero Karras, Timo Aila, and Jan Kautz. Pruning convolutional neural networks for resource efficient inference. arXiv: Learning, 2016a. URL https://api. semanticscholar.org/CorpusID:17240902.3
- Pavlo Molchanov, Stephen Tyree, Tero Karras, Timo Aila, and Jan Kautz. Pruning convolutional neural networks for resource efficient inference. *arXiv preprint arXiv:1611.06440*, 2016b. 2
- OpenAI. Gpt-4 technical report, 2023. 1, 9
- Adam Paszke, Sam Gross, Soumith Chintala, Gregory Chanan, Edward Yang, Zach DeVito, Zeming Lin, Alban Desmaison, Luca Antiga, and Adam Lerer. Automatic differentiation in pytorch. 2017.
 5
- Alec Radford, Karthik Narasimhan, Tim Salimans, Ilya Sutskever, et al. Improving language understanding by generative pre-training. 2018. 1
- Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever, et al. Language models are unsupervised multitask learners. *OpenAI blog*, 1(8):9, 2019.
- Colin Raffel, Noam M. Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J. Liu. Exploring the limits of transfer learning with a unified text-to-text transformer. J. Mach. Learn. Res., 21:140:1–140:67, 2019. URL https://api. semanticscholar.org/CorpusID:204838007. 5
- Baptiste Rozière, Jonas Gehring, Fabian Gloeckle, Sten Sootla, Itai Gat, Xiaoqing Tan, Yossi Adi, Jingyu Liu, Tal Remez, Jérémy Rapin, Artyom Kozhevnikov, I. Evtimov, Joanna Bitton, Manish P Bhatt, Cristian Cantón Ferrer, Aaron Grattafiori, Wenhan Xiong, Alexandre D'efossez, Jade Copet, Faisal Azhar, Hugo Touvron, Louis Martin, Nicolas Usunier, Thomas Scialom, and Gabriel Synnaeve. Code llama: Open foundation models for code. *ArXiv*, abs/2308.12950, 2023. URL https://api.semanticscholar.org/CorpusID:261100919. 9
- Sebastian Ruder. An overview of gradient descent optimization algorithms. *arXiv preprint arXiv:1609.04747*, 2016. 16
- Keisuke Sakaguchi, Ronan Le Bras, Chandra Bhagavatula, and Yejin Choi. Winogrande. Communications of the ACM, 64:99 – 106, 2019. URL https://api.semanticscholar.org/ CorpusID:198893658. 6, 14
- Victor Sanh, Thomas Wolf, and Alexander Rush. Movement pruning: Adaptive sparsity by finetuning. Advances in Neural Information Processing Systems, 33:20378–20389, 2020a. 2
- Victor Sanh, Thomas Wolf, and Alexander M. Rush. Movement pruning: Adaptive sparsity by fine-tuning. *ArXiv*, abs/2005.07683, 2020b. 5, 6, 14
- Mingjie Sun, Zhuang Liu, Anna Bair, and J. Zico Kolter. A simple and effective pruning approach for large language models. *ArXiv*, abs/2306.11695, 2023. 2, 3, 4, 5, 9, 14
- Graham Todd, Sam Earle, Muhammad Umair Nasir, Michael Cerny Green, and Julian Togelius. Level generation through large language models. In *Proceedings of the 18th International Conference on the Foundations of Digital Games*, pp. 1–8, 2023. 1
- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. Llama: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*, 2023a. 1, 2, 5, 9
- Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. Llama 2: Open foundation and fine-tuned chat models. *arXiv preprint arXiv:2307.09288*, 2023b. 1, 2, 5, 9

- Ashish Vaswani, Noam M. Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N. Gomez, Lukasz Kaiser, and Illia Polosukhin. Attention is all you need. In NIPS, 2017. URL https: //api.semanticscholar.org/CorpusID:13756489.9
- Alex Wang, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy, and Samuel R. Bowman. Glue: A multi-task benchmark and analysis platform for natural language understanding. In *BlackboxNLP@EMNLP*, 2018. URL https://api.semanticscholar.org/ CorpusID:5034059. 6, 14
- Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pierric Cistac, Tim Rault, Rémi Louf, Morgan Funtowicz, and Jamie Brew. Huggingface's transformers: State-of-the-art natural language processing. *ArXiv*, abs/1910.03771, 2019. 5
- Ziqing Yang, Yiming Cui, Xin Yao, and Shijin Wang. Gradient-based intra-attention pruning on pre-trained language models. *arXiv preprint arXiv:2212.07634*, 2022. **3**
- Zhewei Yao, Reza Yazdani Aminabadi, Minjia Zhang, Xiaoxia Wu, Conglong Li, and Yuxiong He. Zeroquant: Efficient and affordable post-training quantization for large-scale transformers. *ArXiv*, abs/2206.01861, 2022. 5, 14
- Katerina Zdravkova, Venera Krasniqi, Fisnik Dalipi, and Mexhid Ferati. Cutting-edge communication and learning assistive technologies for disabled children: An artificial intelligence perspective. Frontiers in Artificial Intelligence, pp. 240, 2022. 1
- Rowan Zellers, Ari Holtzman, Yonatan Bisk, Ali Farhadi, and Yejin Choi. Hellaswag: Can a machine really finish your sentence? In Annual Meeting of the Association for Computational Linguistics, 2019. URL https://api.semanticscholar.org/CorpusID:159041722. 6, 14

APPENDIX

A BASELINES

We compare our proposed method against three pruning baselines:

- **Magnitude pruning**: Magnitude pruning (Han et al., 2015b) is a simple and scalable pruning method where the importance of LLM weights is decided based on the absolute value of their magnitude. Following Gale et al. (2019a) and Sanh et al. (2020b), we conduct a layer-wise comparison of model weights, subsequently removing those with smaller magnitudes.
- **SparseGPT**: SparseGPT (Frantar & Alistarh, 2023) is based on the second-order Optimal Brain Surgeon framework (Hassibi et al., 1993a). It optimizes the accurate Optimal Brain Surgeon framework and introduces the first accurate one-shot pruning method that works efficiently at the scale of billions of parameters.
- Wanda: Wanda (Sun et al., 2023) proposed a simple pruning metric and showed the importance of activations in addition to weight magnitude while selecting weights for pruning. Unlike previous algorithms, it does not require any weight update of the remaining weights.

B EVALUATION METRIC

Perplexity and Zero-shot Evaluation on Harness are two well-established metric for evaluating compressed models:

- **Perplexity**: Following previous work on model compression both in case of quantization (Dettmers & Zettlemoyer, 2022; Yao et al., 2022) and pruning (Frantar & Alistarh, 2022; Sun et al., 2023; Frantar & Alistarh, 2023) we used perplexity as an evaluation metric to compare the pruned models. Perplexity is a stable, robust and challenging metric that is suited for evaluating the accuracy of compression methods. We used the WikiText (Merity et al., 2016) validation set for computing perplexity.
- Zero-Shot Evaluation on Harness Benchmarks: To complement perplexity, we provided the evaluation of the pruned model on the publicly available Eleuther AI LM Harness benchmark (Gao et al., 2021) for additional interpretability. We conducted evaluations on five standard common-sense reasoning tasks, including RTE (Wang et al., 2018), HellaSwag (Zellers et al., 2019), WinoGrande (Sakaguchi et al., 2019), ARC-easy (Clark et al., 2018), OBQA (Mihaylov et al., 2018) and the BoolQ (Clark et al., 2019) reading comprehension task. Our evaluation primarily centers on assessing the pruned models' accuracy in comparison to the dense baseline, rather than emphasizing absolute numerical values.

C PRUNING GRANULARITY

Pruning Granularity plays a pivotal role even in unstructured pruning. For GBLM-Pruner, we have experimented with 5 different pruning granularity:

- Layer-wise: With layer-wise pruning, weights within same layer are compared for puning.
- (input, 1): For (input, 1), weights connected within an input channel are grouped together for comparison.
- (output, 1): Similarly in this approach, weights connected within an output channel are grouped together for comparison.
- (input, 128): This pruning granularity involves forming blocks of 128 input channels, and weights within each block are compared for pruning.
- (input, 128): Similar to (input, 128), here blocks of 128 channels are formed along the output dimension for pruning.

D LLAMA-CHAT MODELS

The LLaMA-2 series of models also includes fine-tuned chat versions. We sought to assess the generalization of our method to these chat models, specifically focusing on LLaMA-2-chat-7B and LLaMA-2-chat-13B as representative models. Similar to the pretrained LLaMA-2 series, our calibration data consisted of 128 samples, each comprising 2048 tokens from the C4 dataset. For evaluation purposes, we employed the Wiki-Text validation set.

Our approach to pruning was consistent with that applied to the pretrained LLaMA-2 models. We uniformly pruned every linear layer, except for the initial embedding layer and the final classification layer. We compare every weight of the linear layer on per output basis where pruning metric is compared within the output neuron.

The results are presented in Table 8. Examining the table, we can discern that our method consistently delivers superior performance, particularly evident in unstructured pruning. When it comes to N:M sparsity pruning, although SparseGPT achieves the lowest perplexity, our pruning metric significantly outperforms Wanda by a substantial margin.

Method	Sparsity	LLaMA-2-7B-chat	LLaMA-13B-chat
None	0	7.08	6.11
Magnitude	0.5	22.82	8.49
Sparsegpt	0.5	8.66	7.26
Wanda	0.5	8.78	7.50
GBLM-Pruner $_{\ell 2}$	0.5	8.52	7.27
GBLM-Pruner $_{\ell 1}$	0.5	8.40	7.10
Magnitude	2:4	45.95	11.14
Sparsegpt	2:4	12.19	9.37
Wanda	2:4	14.45	10.25
GBLM-Pruner $_{\ell 2}$	2:4	13.74	9.85
$GBLM-Pruner_{\ell 1}$	2:4	13.92	9.66
Magnitude	4:8	22.57	9.80
Sparsegpt	4:8	10.02	8.01
Wanda	4:8	10.86	8.56
$\texttt{GBLM-Pruner}_{\ell 2}$	4:8	10.45	8.26
GBLM-Pruner _{l1}	4:8	10.46	8.10

Table 8: WikiText validation perplexity of different pruning methods for LLaMA 2 chat models.

E OBS WEIGHT UPDATE

In this study, our objective was to assess whether the OBS (Optimal Brain Surgeon) weight update method enhances the performance of our pruned model. We implemented the OBS weight update using the efficient approach proposed by SparseGPT (Frantar & Alistarh, 2023).

The results, presented in Table 9, indicate that the OBS weight update does not lead to an improvement in the performance of our pruned model

Table 9: OBS weight update.						
Weight Update						
Method	Datasplit	no	yes			
Magnitude	Calib	18.14	12.93			
	Valid	17.29	12.55			
Wanda	Calib	7.52	7.61			
wanda	Valid	7.26	7.36			
Ours	Calib	7.54	7.64			
	Valid	7.26	7.39			

F CORRELATIONS OF WEIGHTS, ACTIVATIONS AND GRADIENTS.

This section discusses an intuitive explanation of why gradient is essential. Weights are parameters in LLMs that are learned during the training process to minimize the loss function. They are fundamental in determining the strength of the connection between two neurons and subsequently the output of the network. Gradients of the loss with respect to weights, computed using an optimization algorithm like SGD (Ruder, 2016), are central to the learning process as they guide the updates made to the weights during training. On the otherhand, activations are the outputs of the neurons, typically computed as a weighted sum of inputs passed through an activation function. The activations are intrinsically impacted by the weights thus weight augmented with activation serves as a redundant indicator of weight importance. However, gradient being the guiding signal for the learning process serves as a valuable indicator by signalling the sensitivity of the loss to weight change and thus the importance of the weight in the pruning process.

G OPTIMAL BRAIN SURGEON CONSIDERING GRADIENT

As a part of the theoretical justification for our proposed gradient-based metric, we revisited and redefined the OBS framework by incorporating considerations of the gradient information. The complete derivation of this process is meticulously presented within this section.

The Taylor Series expansion of the error with respect to weight is:

$$\delta \boldsymbol{E} = \left(\frac{\partial \boldsymbol{E}}{\partial \boldsymbol{w}}\right)^{\top} \cdot \delta \boldsymbol{w} + \frac{1}{2} \delta \boldsymbol{w}^{\top} \cdot \mathbf{H} \cdot \delta \boldsymbol{w} + \mathcal{O}(||\delta \boldsymbol{w}||^3)$$
(12)

where E is the error or loss function and w is the weight vector for the neural network. The symbol $\mathbf{H} = \frac{\partial^2 E}{\partial w^2}$ denotes the Hessian Matrix, and the superscript \top signifies vector transpose. Based on this we formulate the optimization problem for network pruning using both the first and second-order terms as depicted in Equation 13. Here, w_m is the pruned weight, δw is the change in weight magnitude for w_m and I_m is the unit vector in weight space corresponding to weight w_m .

$$\min_{q} \left\{ \min_{\delta \boldsymbol{w}} \left(\left(\frac{\partial \boldsymbol{E}}{\partial \boldsymbol{w}} \right)^{\top} \cdot \delta \boldsymbol{w} + \frac{1}{2} \delta \boldsymbol{w}^{\top} \cdot \mathbf{H} \cdot \delta \boldsymbol{w} \right) \left| \boldsymbol{I}_{m}^{\top} \cdot \delta \boldsymbol{w} + \boldsymbol{w}_{m} = 0 \right\}$$
(13)

The Lagrangian formulation of the optimization problem is:

$$\mathcal{L} = \boldsymbol{g}^{\top} \cdot \delta \boldsymbol{w} + \frac{1}{2} \delta \boldsymbol{w}^{\top} \cdot \mathbf{H} \cdot \delta \boldsymbol{w} + \lambda \left(\boldsymbol{I}_{m}^{\top} \cdot \delta \boldsymbol{w} + \boldsymbol{w}_{m} \right)$$
(14)

Now, differentiating Equation 14 w.r.t λ

$$I_m^\top \cdot \delta \boldsymbol{w} + w_m = 0 \tag{15}$$

Differentiating w.r.t δw

$$g + \mathbf{H} \cdot \delta \boldsymbol{w} + \lambda I_m = 0$$

$$\Rightarrow \delta \boldsymbol{w} = -\mathbf{H}^{-1} \cdot (\lambda I_m + \boldsymbol{g})$$
(16)

From 15 and 16, we have

$$I_{m}^{\top} \left(-\mathbf{H}^{-1} \cdot (\lambda I_{m} + \boldsymbol{g})\right) + w_{m} = 0$$

$$\Rightarrow -\lambda \left(\boldsymbol{H}^{-1}\right)_{mm} - I_{m}^{\top} \cdot \mathbf{H}^{-1} \cdot \boldsymbol{g} + w_{m} = 0$$

$$\Rightarrow \lambda = \frac{w_{m} - I_{m}^{\top} \cdot \mathbf{H}^{-1} \cdot \boldsymbol{g}}{\left(\mathbf{H}^{-1}\right)_{qq}}$$
(17)

From 16 and 17, we get the optimal weight change δw as:

$$\delta \boldsymbol{w} = -\mathbf{H}^{-1} \cdot \left(\frac{w_m - I_m^\top \cdot \mathbf{H}^{-1} \cdot \boldsymbol{g}}{\left(\mathbf{H}^{-1}\right)_{mm}} \cdot I_m + \boldsymbol{g} \right)$$

$$= -\frac{w_m}{\left(\mathbf{H}^{-1}\right)_{mm}} \mathbf{H}^{-1} \cdot I_m + \frac{I_m^\top \cdot \mathbf{H}^{-1} \cdot \boldsymbol{g}}{\left(\mathbf{H}^{-1}\right)_{mm}} \mathbf{H}^{-1} \cdot I_m - \mathbf{H}^{-1} \cdot \boldsymbol{g}$$
(18)

The increase in error on changing weight w_m by δw is:

$$\delta \boldsymbol{E}_m = \boldsymbol{g}^\top \cdot \delta \boldsymbol{w} + \frac{1}{2} \delta \boldsymbol{w}^\top \cdot \mathbf{H} \cdot \delta \boldsymbol{w}$$
(19)

Substituting the optimal value of δw in Equation 19 gives:

$$\delta \boldsymbol{E}_{m} = \frac{w_{m}^{2}}{2\left(\mathbf{H}^{-1}\right)_{mm}} - \frac{w_{m}\left(\boldsymbol{g}^{\top}\cdot\mathbf{H}^{-1}\cdot\boldsymbol{I}_{m}\right)}{\left(\mathbf{H}^{-1}\right)_{mm}} + \frac{\left(\boldsymbol{I}_{q}^{\top}\cdot\mathbf{H}^{-1}\cdot\boldsymbol{g}\right)^{2}}{2\left(\mathbf{H}^{-1}\right)_{mm}} - \frac{1}{2}\boldsymbol{g}^{\top}\cdot\mathbf{H}^{-1}\cdot\boldsymbol{g}$$
(20)

H DIFFERENT PRUNING METRIC

In the ablation Section 3.4, we present an analysis of our pruning metric. Table 10 enumerates all the pruning metrics we explored and serves as a comprehensive consolidation of our study.

		10010 10.1	running mourie.		
Method	Sparsity	Perplexity	Method	Sparsity	Perplexity
$ \mathbf{W} \cdot \mathbf{G}_{acc} $	0.5	119.72	$(\mathbf{W} \cdot \mathbf{X} _2)^2 + \alpha \cdot \mathbf{W} \cdot \mathbf{G}_{acc}$	0.5	7.04
$\ \mathbf{W}\ \cdot \ \mathbf{G}\ _1$	0.5	7.17	$(\mathbf{W} \cdot \mathbf{X} _2)^2 + \alpha \cdot \mathbf{W} \cdot \mathbf{G} _1$	0.5	180490.19
$\ \mathbf{W}\ \cdot \ \mathbf{G}\ _2$	0.5	7.09	$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 + \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _2^2$	0.5	91781.49
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2 \cdot \mathbf{G}_{acc} $	0.5	69.59	$(\mathbf{W} \cdot \mathbf{X} _2)^2 - \alpha \cdot \mathbf{W} \cdot \mathbf{G}_{acc}$	0.5	7.14
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2 \cdot \ \mathbf{G}\ _1$	0.5	7.31	$(\mathbf{W} \cdot \mathbf{X} _2)^2 - \alpha \cdot \mathbf{W} \cdot \mathbf{G} _1$	0.5	246846.28
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2^2 \cdot \ \mathbf{G}\ _2^2$	0.5	7.31	$(\mathbf{W} \cdot \mathbf{X} _2)^2 - \alpha \cdot \mathbf{W} \cdot \mathbf{G} _2$	0.5	283620.75
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _{2}^{2} + \alpha \cdot \ \mathbf{W}\ \cdot \mathbf{G}_{acc} $	0.5	6.92	$(\mathbf{W} \cdot \mathbf{X} _2)^2 + \alpha \cdot \mathbf{W} \cdot \mathbf{G}_{acc} $	0.5	6.91
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _{2}^{2} + \alpha \cdot \ \mathbf{W}\ \cdot \ \mathbf{G}\ _{1}$	0.5	6.86	$(\mathbf{W} \cdot \ \mathbf{X}\ _{2})^{2} + \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _{1}$	0.5	6.90
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _{2}^{-} + \alpha \cdot \ \mathbf{W}\ \cdot \ \mathbf{G}\ _{2}^{-}$	0.5	6.89	$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 + \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _2$	0.5	6.88
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2^2 - \alpha \cdot \ \mathbf{W}\ \cdot \mathbf{G}_{acc} $	0.5	6.92	$(\mathbf{W} \cdot \mathbf{X} _2)^2 - \alpha \cdot \mathbf{W} \cdot \mathbf{G}_{acc} $	0.5	6.94
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2^2$ - $\alpha \cdot \ \mathbf{W}\ \cdot \ \mathbf{G}\ _1$	0.5	1180.67	$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 - \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _1$	0.5	9743.65
$\ \mathbf{W}\ \cdot \ \mathbf{X}\ _2^2$ - $\alpha \cdot \ \mathbf{W}\ \cdot \ \mathbf{G}\ _2^2$	0.5	7.10	$(\mathbf{W} \cdot \ \mathbf{X}\ _2)^2 - \alpha \cdot \mathbf{W} \cdot \ \mathbf{G}\ _2^2$	0.5	9377.00

Table 10: Pruning metric.

I ZERO-SHORT HARNESS EVALUATION ON LLAMA-2 MODELS

We have also conducted Zero-shot Harness evaluation on the LLaMA-2 series of model and the results are reported in Table 11.

Table 11: Zero-Shot harness evaluation on 50% unstructured sparsity pruned models.								
Models	Method	BoolQ	RTE	HellaSwag	WinoGrande	ARC-e	OBQA	Mean
LLaMA-2-13B	Dense	80.55	65.34	79.38	72.22	77.44	45.20	70.02
	Mag	57.65	55.96	73.02	65.35	67.17	40.80	59.99
	SparseGPT	81.25	62.82	75.34	70.48	71.34	44.00	67.54
	Wanda	81.07	60.65	76.08	71.67	71.63	44.60	67.62
	Ours	80.89	60.65	76.03	71.82	72.26	44.80	67.74
LLaMA-2-70B	Dense	83.70	67.87	83.80	77.98	80.98	48.80	73.86
	Mag	71.11	60.65	79.31	73.56	74.71	44.20	67.25
	SparseGPT	85.26	70.76	81.43	78.30	79.84	48.40	74.00
	Wanda	83.27	71.84	81.49	77.35	78.62	47.60	73.36
	Ours	83.73	71.48	81.64	77.11	78.28	47.40	73.27

Table 11: Zero-Shot harness evaluation on 50% unstructured sparsity pruned models