Meta Learning for Natural Language Processing: A Survey

Abstract

Deep learning has been the mainstream technique in natural language processing (NLP) area. However, the techniques require many labeled data and are less generalizable across domains. Meta-learning is an arising field in machine learning studying approaches to learn better learning algorithms. Approaches aim at improving algorithms in various aspects, including data efficiency and generalizability. Efficacy of approaches has been shown in many NLP tasks, but there is no systematic survey of these approaches in NLP, which hinders more researchers from joining the field. Our goal with this survey paper is to offer researchers pointers to relevant meta-learning works in NLP and attract more attention from the NLP community to drive future innovation. This paper first introduces the general concepts of meta-learning and the common approaches. Then we summarize task construction settings and application of meta-learning for various NLP problems and review the development of meta-learning in NLP community.

1 Introduction

Recently, deep learning (DL) based natural language processing (NLP) has been one of the research mainstreams and yields significant performance improvement in many NLP problems. However, DL models are data-hungry. The downside limits such models' application to different domains, languages, countries, or styles because collecting in-genre data for model training are costly.

To address the challenges, meta-learning techniques are gaining attention. Meta-learning, or Learning to Learn, aims to learn better learning algorithms, including better parameter initialization (Finn et al., 2017), optimization strategy (Ravi and Larochelle, 2017; Andrychowicz et al., 2016), network architecture (Zoph and Le, 2017; Zoph et al., 2018; Pham et al., 2018a), distance metrics (Gao et al., 2019; Vinyals et al., 2016; Sung

et al., 2018), and beyond (Mishra et al., 2018). Meta-learning allows faster fine-tuning, converges to better performance, yields more generalizable models, and achieves outstanding results for few-shot learning in many applications. The benefits alleviate the dependency of learning algorithms on labels and make model development more scalable.

Image processing is one of the machine learning areas with abundant applications and established most of the examples in the previous survey papers of meta-learning (Huisman et al., 2021; Hospedales et al., 2021). On the other hand, there are works showing benefits of meta-learning techniques in performance and data efficiency via applying meta-learning to NLP problems. Please refer to Tables 2 and 3 in the appendix for NLP applications improved by meta-learning. Tutorial (Lee et al., 2021b) and workshop (Lee et al., 2021a) were organized at ACL 2021 to encourage communication and collaboration among NLP researchers who are interested in the techniques. However, to our best knowledge, there is no survey paper for the applications of meta-learning to NLP.

To facilitate more NLP researchers and practitioners benefiting from the advance of meta-learning and participating in the area, we provide a systematic survey of meta-learning to NLP problems in this paper. The survey paper is organized as below. a) A brief introduction of meta-learning backgrounds, general concepts, and algorithms in Section 2. b) Common settings for constructing meta-learning tasks in Section 3. c) Adaptation of general meta-learning approaches to NLP problems in Section 4. d) Meta-learning approaches for special topics including knowledge distillation and life-long learning for NLP applications in Section 5. This survey paper intends to provide researchers with pointers to recent meta-learning breakthroughs in the NLP community, help them understand the techniques, and inspire more innovation.

Table 1: Terminologies and their meanings.

Terminologies	Meaning
(NLP) Problem	a type of NLP problems like QA, POS, or MT
Model Param.	parameters of models making inference for underlying problems
Meta-param.	parameters of learning algorithms (e.g., model init, optimizers) that are shared across tasks
Support Set	a set of training examples for updating model param.
Query Set	a set of testing examples for evaluating model param.
Task	combination of one support set and one query set
Within-task Training	learning model param. with support set
Within-task Testing	using query set to evaluate model param.
Episode	one execution of within-task training and followed by one execution of within-task testing
Meta-training Tasks	tasks generated for learning meta-param.
Meta-testing Tasks	tasks generated for evaluating algorithms parameterized by meta-param.
Across-task Training	learning meta-param., which usually involves running many episodes on meta-training tasks
Across-task Testing	running an episode on each meta-testing task to evaluate algorithms parameterized by meta-param.

2 Background Knowledge for Meta Learning

The goal of machine learning (ML) is to find a function $f_\theta(x)$ parametrized by model parameters θ for inference from training data. For machine translation (MT), the input x is a sentnce, while $f_\theta(x)$ is the translation of x; for automatic speech recognitoin (ASR), x is an uttearnce, while $f_\theta(x)$ is the transcription; In DL, θ are the network paramtrs, or weights and biases of a network. To learn θ, there is a loss function $l(\theta; \mathcal{D})$, where $\mathcal{D}$ is a set of paired examples for training,

$$\mathcal{D} = \{(x_1, \hat{y}_1), (x_2, \hat{y}_2), ..., (x_K, \hat{y}_K)\}, \quad (1)$$

where x_k is function input, $\hat{y}_k$ is the ground truth, and K is the number of examples in $\mathcal{D}$. The loss function $l(\theta; \mathcal{D})$ is defined as below:

$$l(\theta; \mathcal{D}) = \sum_{k=1}^{K} d(f_\theta(x_k), \hat{y}_k). \quad (2)$$

where $d(f_\theta(x_k), \hat{y}_k)$ is the "distance" between the function output $f_\theta(x_k)$ and the ground truth $\hat{y}_k$. For classification problem, $d(., .)$ can be cross-entropy; for regression, it can be L1/L2 distance. The following optimization problem is solved to find the optimal parameter set θ^* for inference via minimizing the loss function $l(\theta; \mathcal{D})$.

$$\theta^* = \arg\min_\theta l(\theta; \mathcal{D}). \quad (3)$$

In meta-learning, what we want to learn is a learning algorithm. The learning algorithm can also be considered as a function, denoted as $F_\phi(.)$. The input of $F_\phi(.)$ is the training data, while the output of the function $F_\phi(.)$ is the learned model parameters, or θ^* in (3). The learning algorithm $F_\phi(.)$ is parameterized by *meta-parameters* ϕ, which is what

we want to learn in meta-learning. If $F_\phi(.)$ represents gradient descent for deep network, ϕ can be initial parameters, learning rate, network architecture, etc. Different meta-learning approaches focus on learning different components. For example, model-agnostic meta-learning (MAML) focuses on learning initial parameters (Finn et al., 2017), which will be further descried in Section 4.1. Learning to Compare methods like Prototypical Network (Snell et al., 2017) in Section 4.2 learn the latent representation of the inputs and their distance metrics for comparison. Network architecture search (NAS) in Section 4.3 learns the network architecture (Zoph and Le, 2017; Zoph et al., 2018; Pham et al., 2018a).

To learn meta-parameters ϕ, *meta-training tasks* $\mathcal{T}_{train}$ are required.

$$\mathcal{T}_{train} = \{\mathcal{T}_1, \mathcal{T}_2, ..., \mathcal{T}_N\}, \quad (4)$$

where $\mathcal{T}_n$ is a task, and N is the number of tasks in $\mathcal{T}_{train}$. Usually, all the tasks belong to the same NLP problem; for example, all the $\mathcal{T}_n$ are QA but from different corpora, but it is also possible that the tasks belong to various problems. Each task $\mathcal{T}_n$ includes a *support set* $\mathcal{S}_n$ and a *query set* $\mathcal{Q}_n$. Both $\mathcal{S}_n$ and $\mathcal{Q}_n$ are paired examples as $\mathcal{D}$ in (1). The support set plays the role of training data in typical ML, while the query set can be understood as the testing data in typical ML. However, to not confuse the reader, we use the terms support and query sets in the context of meta-learning instead of training and testing sets.

In meta-learning, there is a loss function $L(\phi; \mathcal{T}_{train})$, which represents how "bad" a learning algorihtm paramereized by ϕ is on $\mathcal{T}_{train}$. $L(\phi; \mathcal{T}_{train})$ is the performance over all the tasks in $\mathcal{T}_{train}$,

$$L(\phi; \mathcal{T}_{train}) = \sum_{n=1}^{N} l(\theta^n; \mathcal{Q}_n). \quad (5)$$

The definition of the function $l(.)$ above is the same as in (2). $l(\theta^n; \mathcal{Q}_n)$ for each task $\mathcal{T}_n$ is obtained as below. For each task $\mathcal{T}_n$ in $\mathcal{T}_{train}$, we use a support set $\mathcal{S}_n$ to learn a model by the learning algorihtm F_ϕ. The learned model is denoted as θ^n, where $\theta^n = F_\phi(\mathcal{S}_n)$. This procedure is equivalent to typical ML training. We called this step *within-task training*. Then θ^n is evaluated on $\mathcal{Q}_n$ to obtaing $l(\theta^n; \mathcal{Q}_n)$ in (5). We called this step *within-task testing*. One execution of within-task training and followed by one execution of within-task testing is called an *episode*.

The optimization task below is solved to learn meta-parameteres ϕ.

$$\phi^* = \arg\min_\phi L(\phi; \mathcal{T}_{train}). \tag{6}$$

If ϕ is differentiable with respect to $L(\phi; \mathcal{T}_{train})$, then we can use gradient descent to learn meta-parameters; if not, we can use reinforcement learning algorithm or evolutionary algorithm. Solving (6) is called *across-task training* in this paper, which usually involves running many episodes on meta-training tasks. To evaluate ϕ^*, we need *meta-testing tasks* $\mathcal{T}_{test}$, tasks for evaluating algorithms parameterized by meta-parameters ϕ^*[1]. We do *across-task testing* on $\mathcal{T}_{test}$, that is, running an episode on each meta-testing task to evaluate algorithms parameterized by meta-parameters ϕ^*.

In order to faciliate the reading of our paper, we summarize the most important terminologies and their meanings in Table 1 .

3 Task Construction

In this section, we discuss different settings of constructing meta-training tasks $\mathcal{T}_{train}$ and meta-testing tasks $\mathcal{T}_{test}$.

3.1 Cross-domain Transfer

A typical setting for constructing the tasks is based on domains (Yan et al., 2020; Li et al., 2020a; Park et al., 2021; Chen et al., 2020a; Hua; Wang et al., 2021b; Dingliwal et al., 2021; Qian and Yu, 2019; Qian et al., 2021; Dai et al., 2020). In this setting, all the tasks, no matter belonging to $\mathcal{T}_{train}$ or $\mathcal{T}_{test}$, are the same NLP problems. In each task $\mathcal{T}_n$, the support set $\mathcal{S}_n$ and the query set $\mathcal{Q}_n$ are from the same domain, while different tasks contain the examples from different domains. In each task, the

model is trained on the support set of a domain (usually having a small size) and evaluated on the query set in the same domain, which can be considered as *domain adaptation*. From the meta-training tasks $\mathcal{T}_{train}$, across-task training finds meta-parameters ϕ^* parameterizing the learning algorithm F_{ϕ^*}. With a sufficient number of tasks in $\mathcal{T}_{train}$, across-task training should find a suitable ϕ^* for a wide range of domains, and thus also works well on the tasks in $\mathcal{T}_{test}$ containing the domains unseen during across-task training. Hence, meta-learning can be considered as one way to improve *domain adaptation*. If the support set in each task includes only a few examples, the meta-learning has to find the meta-parameters ϕ^* that can learn from a small support set and generalize well to the query set in the same domain. Therefore, meta-learning is considered one way to achieve *few-shot learning*.

The cross-domain setting is widespread. We only provide a few examples in this subsection. In MT, each meta-training task includes the documents from a specific domain (e.g., news, laws, etc.), while each meta-testing task also contains documents from one domain but not covered by the meta-training tasks (e.g., medical records) (Li et al., 2020a). For another example, both meta-training and meta-testing tasks are DST. The meta-training tasks include hotel booking, flight ticket booking, etc., while the testing task is taxi booking (Hua; Wang et al., 2021b; Dingliwal et al., 2021). Domain has different meanings in different NLP problems. For example, in speech processing tasks, the domains can refer to accents (Winata et al., 2020b; Huang et al., 2021a) or speakers (Klejch et al., 2019; Wu et al., 2021b).

3.2 Cross-lingual Transfer

If we consider different languages as different domains, then the cross-lingual transfer can be regarded as a special case of cross-domain transfer. Suppose each task contains the examples of an NLP problem from one language, and different tasks are in different languages. In this case, across-task training finds meta-parameters ϕ^* from the languages in $\mathcal{T}_{train}$, and across-task testing evaluate the meta-parameters ϕ^* on new langauges in $\mathcal{T}_{test}$. This setting aims at finding the learning algorithm $F_{\phi^*}(.)$ that works well on the NLP problem of any language given the support set of the language. Cross-language settings have been applied to NLI and QA in X-MAML (Nooralahzadeh et al., 2020), documentation classicaiotn (van der Heijden et al., 2021), dependency parsing (Langedijk et al., 2021),

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[1]If the learning processing of ϕ also involve some hyperperparameter selection, then *meta-validation tasks* are needed, but in this paper, we ignore the discussion of meta-validation tasks for simplicity.

MT (Gu et al., 2018), and ASR (Hsu et al., 2020; Xiao et al., 2021; Winata et al., 2020a; Chen et al., 2020c).

For the meta-learning methods aiming at learning the initial parameters like MAML (will be introduced in Section 4.1), the network architecture used in all tasks must have the same network architecture. A unified network architecture across all tasks is not obvious in cross-lingual learning because the vocabularies in different tasks are different. Before multilingual pretrained models are available, unified word embeddings across languages are required. Gu et al. (2018) uses the universal lexical representation to overcome the input-output mismatch across different languages. Recently, by using multilingual pretrained models as encoders, such as M-BERT (Devlin et al., 2019) or XLM-R (Conneau et al., 2020), all languages can share the same network architecture (Nooralahzadeh et al., 2020; van der Heijden et al., 2021).

3.3 Cross-problem Training

Here the meta-training and meta-testing tasks can come from different problems. For example, the meta-training tasks include MT and NLI, while the meta-testing tasks include QA and DST. The cross-problem setting is not usual, but there are still some examples. In (Bansal et al., 2020a), the meta-training tasks are the GLUE benchmark tasks, while the meta-testing tasks are NLP problems, including entity typing, NLI, sentiment classification, and various other text classification tasks, not in the GLUE. All the meta-training and meta-testing tasks can be formulated as classification but with different classes. In (Indurthi et al., 2020), the meta-training tasks are MT and ASR, while the meta-testing task is speech translation (ST).

The intrinsic challenge in the cross-problem setting is that different NLP problems may need very different meta-parameters in learning algorithms, so it may be challenging to find unified meta-parameters on the meta-training tasks that can generalize to meta-testing tasks. In addition, the meta-learning algorithms learning initial parameters such as MAML require all the tasks to have a unified network architecture. If different problems need different network architecture, then the original MAML cannot be used in the cross-problem setting. LEOPARD (Bansal et al., 2020a) and ProtoMAML (van der Heijden et al., 2021) are the MAML variants that can be used in the classification tasks with different class numbers. Both approaches use the data of a class to generate the
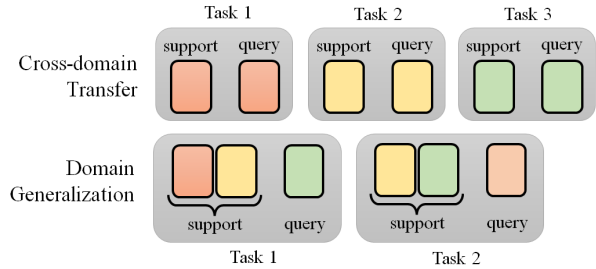

Figure 1: The task construction of cross-domain tranfer in Section 3.1 and domain generalization in Section 3.4. Different colors represents data from different domains.

class-specific head, so only the parameters of the head parameter generation model are required. The head parameter generation model is shared across all classes, so the network architecture becomes class-number agnostic. On the other hand, recently, universal models for a wide range of NLP problems have been emgered (Raffel et al., 2019; Chen et al., 2021; Ao et al., 2021). We believe the development of the universal models will intrigue the cross-problem setting in meta-learning.

3.4 Domain Generalization

Traditional supervised learning assumes that the training and testing data have the same distribution. Domain shift refers to the problem that a model performs poorly when training data and testing data have very different statistics. To solve the issue, domain adaptation uses little labeled data to adapt the model, which has been described in Section 3.1. On the other hand, *domain generalization* techniques attempt to alleviate the domain mismatch issue by producing models which by design generalize well to novel testing domains.

Meta-learning can also be used to realize domain generalization by learning an algorithm that can train from one domain but evaluate on the other. To simulate the domain generalization scenario, a set of meta-training tasks are constructed by sampling data from different domains as the support and query sets. With the meta-training tasks above, across-task training will find the meta-parameters ϕ^* that work well on the scenario where the training (support) and testing (query) examples are from different domains. Fig. 1 shows how to construct tasks for domain generalization and compare the construction with the cross-domain transfer setting. The setting has been used to improve the domain generalization for semantic parsing (Wang et al., 2021a) and language generalization[2] for sentiment classification and relevance classification (Li et al.,

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[2]if a language is considered as a domain

2020c).

3.5 Task Augmentation

In meta-learning, it is critical to have a large number of diverse tasks in the meta-training tasks $\mathcal{T}_{train}$ to find a set of meta-parameters ϕ^* that can generalize well to the meta-testing tasks. However, considering the setting in the previous subsections, different tasks contain examples in various domains, language, or even NLP problems, so a large and diverse $\mathcal{T}_{train}$ are often not available. In typical ML, data augmentation comes in handy when data is lacking. In meta-learning, augmenting tasks is similarly understood as data augmentation in ML. Data augmentation becomes task augmentation because the "training examples" in meta-learning are a collection of tasks. Task augmentation approaches in meta-learning can be categorized into two main directions: a) Inventing more tasks (without human labeling efforts) to increase the number and diversity of the meta-training tasks $\mathcal{T}_{train}$. b) Splitting training data from one single dataset into homogenous partitions that allow applying meta-learning techniques and therefore improve the performance. NLP-specific methods have been proposed in both categories.

Inventing more tasks The main question is how to construct a massive amount of tasks efficiently. There is already some general task augmentation approahces proposed for general meta-learning (Yao et al., 2021a; Ni et al., 2021; Rajendran et al., 2020; Yao et al., 2021b). Here we only focus on NLP-specific approaches. Inspired from the self-supervised learning, Bansal et al. (2020b) generates a large number of cloze tasks, which can be considered as multi-class classification tasks but obtained without labeling effort, to augment the meta-training tasks. The self-supervised generated tasks improve the performance on the meta-testing tasks which are classification problems.

Generating tasks from a monolithic corpus Many tasks can be constructed with one monolithic corpus (Huang et al. (2018); Guo et al. (2019); Murty et al. (2021); Li et al. (2020b); Pasunuru and Bansal (2020); Wang et al. (2020a); Jiang et al. (2019); MacLaughlin et al. (2020); Wu et al. (2019); Chien and Lieow (2019); Xu et al. (2021a)). First, the training set of the corpus is split into support partition, $\mathcal{D}_s$, and query partition, $\mathcal{D}_q$. Two subsets of examples are sampled from $\mathcal{D}_s$ and $\mathcal{D}_q$ as the support set, $\mathcal{S}$, and query set, $\mathcal{Q}$, respectively. In each episode, model parameters θ are updated with

$\mathcal{S}$, and then the losses are computed with the updated model and $\mathcal{Q}$. The meta-parameters ϕ are then updated based on the losses, as the meta-learning framework introduced in Section 2. The test set of the corpus is used to build $\mathcal{T}_{test}$ for evaluation. As compared to constructing $\mathcal{T}_{train}$ from multiple relevant corpora, which are often not available, building $\mathcal{T}_{train}$ with one corpus makes meta-learning methodology more applicable. Besides, results obtained from one corpus are more comparable with existing NLP studies. However, only using a single data stream makes the resulting models less generalizable to various attributes such as domains and languages.

How to sample the data points to form a task[3] is the key in such category. In NAS research in Section 4.3, the support and query sets are usually randomly sampled. Learning to Compare in Section 4.2 splits the data points of different classes in different tasks based on some predefined criteria. There are some NLP-specific ways to construct the tasks. In (Huang et al., 2018), a relevance function is designed to sample the support set $\mathcal{S}$ based on its relevance to the query set $\mathcal{Q}$. In (Guo et al., 2019), a retrieval model is used to retrieve the support set $\mathcal{S}$ from the whole dataset. DReCa (Murty et al., 2021) applies clustering on BERT representations to create tasks.

4 Meta-Learning for NLP Tasks

This section shows the most popular meta-learning methods for NLP and how they fit into NLP tasks. Due to space limitations, only the major trends are mentioned. Please refer to Table 2 and 3 in the appendix for a complete survey.

4.1 Learning to Initialize

In typical DL, gradient descent is widely used to solve (3). Gradient descent starts from a set of initial parameters θ^0, and then the parameters θ are updated iteratively according to the directions of the gradient. There is a series of meta-learning approaches targeting at learning the initial parameters θ^0. In these learn-to-init approaches, the meta-parameters ϕ to be learned are the initial parameters θ^0 for gradient descent, or $\phi = \theta^0$. MAML (Finn et al., 2017) and its first-order approximation, FO-MAML (Finn et al., 2017), Reptile (Nichol et al., 2018), etc., are the representative approaches of learn-to-init. We surveyed a large number of papers

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[3]If a corpus includes data from different domains, and we sample the data in the same domain to create a task, then the setting here becomes cross-domain in Section 3.1.

using MAML-based approaches to NLP applications in the last three years and summarized them in Table 4 in the appendix.

Learning to Initialize v.s. Self-supervised Learning The learn-to-init approaches aim at learning a set of good initial parameters. On the other hand, self-supervised approaches like BERT also have the same target. There is a natural question: are they complementary? Based on the survey, it is common to use the self-supervised models to "initialize" the meta-parameters ϕ in learn-to-init approaches. To find the optimal ϕ^* in (5), gradient descent is used as well, and thus the "initial parameters for initial parameters", or ϕ^0 is required. A self-supervised model usually serves the role of ϕ^0, and the learn-to-init approaches further update ϕ^0 to find ϕ^*.

Learn-to-init and self-supervised learning are complementary. The self-supervised objectives are different from the objective of the target NLP problem, so there is a "learning gap". On the other hand, learn-to-init approaches learn to achieve good performance on the query sets of the meta-training tasks, so it directly optimizes the objective of the NLP problems. The benefit of self-supervised learning is that it does not require labeled data, while labeling is still needed to prepare the examples in meta-training tasks.

Learning to Initialize v.s. Multi-task Learning Multi-task learning is another way to initialize model parameters, which usually serves as the baseline of learn-to-init in the literature. In multi-task learning, people put all the labeled data from the meta-training tasks together to train a model. That is, all the support sets $\mathcal{S}_n$ and query sets $\mathcal{Q}_n$ in the meta-training tasks $\mathcal{T}_{train}$ are put together as a training set $\mathcal{D}$, and the loss (3) is optimized to find a parameter θ^*. Then θ^* is used as initial parameters for the meta-testing tasks.

Both multi-task learning and meta-learning leverage the examples in the meta-training tasks, but with different training criteria. Learn-to-init finds the initial parameters suitable to be updated by updating the model on the support sets and then evaluating it on the query sets. In contrast, multi-task learning does not consider that the initial parameters would be further updated at all during training. Therefore, in terms of performance, learn-to-init is usually shown to be better than multi-task learning (Chen et al., 2020a; Dou et al., 2019). On the other hand, in terms of training speed, meta-learning, which optimizes (5), is more computationally intensive than multi-task learning optimizing (3).

Three-stage Initialization Since learn-to-init, multi-task, self-supervised learning all have their pros and cons, they can be integrated to draw on the strong points of each other. A common way to integrate the three approaches is "three-stage initialization" as below. a) First, initialize a model by self-supervised learning, which leverages unlabeled data. Its objective is usually not directly related to the target NLP problem. b) Then, multi-task learning is used to fine-tune the self-supervised model. The objective of multi-task learning is the target NLP problem but does not consider the update procedure in gradient descent. c) Finally, learn-to-init, which finds the initial parameters suitable for update, is used to fine-tune the multi-task model.

Learn-to-init is chosen to be the last stage because its training objective is closest to the target of looking for good initial parameters, but it is the most computationally intensive method, and thus it is only used to change the model a little bit. The three-stage initialization has been tested in several works (Langedijk et al., 2021; Nooralahzadeh et al., 2020; Wu et al., 2021b; van der Heijden et al., 2021), but it does not always improve the performance (Wu et al., 2021b; van der Heijden et al., 2021).

4.2 Learning to Compare

Learning to Compare methods are widely applied to NLP tasks. Among many others, we find applications of Learning to Compare methods in text classification (Yu et al., 2018; Tan et al., 2019; Geng et al., 2019; Sun et al., 2019b; Geng et al.), sequence labeling (Hou et al., 2020; Oguz and Vu), semantic relation classification (Ye and Ling, 2019; Chen et al., 2019a; Gao et al., 2019; Ren et al.), knowledge completion (Xiong et al.; Wang et al.; Zhang et al.; Sheng et al.) and speech recognition (Lux and Vu, 2021) tasks.

Most of the proposed methods are based on Matching Network (Vinyals et al., 2016), Prototypical Network (Snell et al., 2017) and Relation Network (Sung et al., 2018), and extend these architectures in two aspects: a) how to embed text input in a vector space with/without context information, and b) how to compute the distance/similarity/relation between two inputs in this space. Since these questions have had deep roots in the computation linguistics research for many years (Schütze, 1992; Manning and Schutze, 1999), Learning to Compare methods is one of the most important methods among other meta-learning methods in the context of NLP despite their simplicity.

4.3 Neural Network Architecture Search

Neural network architecture search (NAS) is another common meta-learning technique applied to NLP including language modeling (WikiText-103 (Merity et al., 2017), PTB (Mikolov et al., 2010)), NER (CoNLL-2003 (Sang and De Meulder, 2003)), TC (GLUE (Wang et al., 2019)), and MT (WMT'14 (Bojar et al., 2014)). As discussed in Section 3.5, these techniques are often trained/evaluated with a single, matched dataset, which is different from other meta-learning approaches.

Moreover, in contrast to conventional NAS methods that focus on learning the topology in an individual recurrent or convolutional cell, NAS methods have to be redesigned in order to make the search space suitable for NLP problems, where contextual information often plays an important role. Jiang et al. (2019) pioneers the application of NAS to NLP tasks beyond language modeling (NER in this case), and improves differentiable NAS by redesigning its search space for natural language processing. Li et al. (2020b) extends the search space of NAS to cover more RNN architectures and allow the exploring of intra- and inter-token connection to increase the expressibility of searched networks. Pasunuru and Bansal (2020) proposes Flexible and Expressive Neural Architecture Search (FENAS) to expand the search space of Efficient Neural Architecture Search (ENAS, Pham et al. (2018b)) with more functions (e.g., skip-based tanh, ReLU) and atomic-level operations (e.g., addition, subtraction, element-wise multiplication). As the popularity of Transformer architecture grows in NLP area, researchers also apply NAS to discover better topology for Transformer. Wang et al. (2020a) introduces Hardware-Aware Transformers (HAT) to search Transformer architecture optimized for inference speed and memory footprint in different hardware platforms. As Transformer is heavy in computation, weight-sharing technique is adopted to make architecture search more efficient.

4.4 Meta-learning for Data Selection

Multi-linguality, multi-task, and multi-label see many impacts on NLP problems due to the diversity of human languages. To learn models with balanced performance over attributes (e.g., languages, tasks, labels), a common approach is to weight the training examples for data selection to learn models with balanced performance over the attributes, and it is a natural assumption that meta-learning techniques derive more generalizable weighting than manually tuned hyperparameters. For example, Wu et al.

(2019) add another gradient update step wrapping the conventional classifier update for training meta-parameters that controls the weight when aggregating losses from different labels to update classifier's parameters. In addition to gradient update, meta-learned weights are also applied directly to training examples for data selection to address the issue of noisy labeling. Shu et al. propose a technique to jointly learn a classifier and a weighting function, where a conventional gradient update for the classifier and a meta-learning update for the weighting is performed alternatively. The function weights examples to mitigate model overfitting towards biased training data caused by corrupted labels or class imbalance. Zheng et al. (2021) apply a similar framework but extend the weighting with a label correction model. Both techniques show improvement over SOTA in text classification with biased training data.

Additionally, as the progress in the research of pre-training and transfer learning, there is a trend of leveraging datasets in multiple languages, domains, or tasks to jointly pre-train models to learn transferable knowledge. A meta-learned data selector can also help in this scenario by choosing examples that benefit model training and transferability. For instance, Wang et al. (2020b) investigate the common challenge of imbalanced training examples across languages in multilingual MT, which is conventionally addressed by tuning hyperparameters manually to up-sample languages with less resources. The authors propose Differentiable Data Selection (DDS) to parameterize the sampling strategies. DDS is trained with episodes and REINFORCE algorithm to optimize parameters of sampler and MT models in an alternating way for the MT models to converge with better performance across languages. Pham et al. (2021) formulate data sampling for multilingual MT as a problem of back-translation to generate examples of parallel utterances from unlabeled corpora in target language. The back-translation is jointly trained with MT models to improve translation result through better distribution of training examples and data augmentation. Tarunesh et al. (2021) further study knowledge transferring across tasks and languages. The authors combine Reptile and DDS to meta-learn samplers with six different languages (en, hi, es, de, fr, and zh) and five different tasks (QA, NLI, paraphrase identification, POS tagging, and NER) and demonstrate competitive performance on XTREME multilingual benchmark dataset (Hu et al., 2020).

5 Meta-learning beyond Accuracy

In the previous sections, meta-learning is used to obtain better evaluation metrics for NLP applications. This section illustrates how meta-learning can improve NLP applications from more aspects beyond performance.

5.1 Learn to Knowledge Distillation

Knowledge distillation method was proposed in (Hinton et al., 2015). The main goal is to transfer knowledge from a so-called teacher model, e.g., a vast neural network trained with a lot of training data, to a more compact student model, e.g., a neural network with much less trainable parameters. The main weaknesses of this method are as follows: a) the number of teacher models is fixed to one that could limit the power of the transferring process; b) the teacher model is not optimized for the transferring process and c) the teacher model is not aware of the student model during the transferring process. Meta-learning methods can be applied to partially fix these issues. The high-level idea is to increase the number of teacher models and the number of student models and consider each pair of a teacher model and a student model as a task in the meta-learning framework. By doing so, we can train a meta teacher model that works better than a single teacher model (Pan et al., 2020), and we can optimize the transferring process and force the teacher model to be aware of the student model (Zhou et al., 2021).

5.2 Learn to Life-long learning

This subsection discusses how to use meta-learning to improve *lifelong learning* (LLL) (Chen and Liu, 2018). The real world is changing and evolving from time to time, and therefore machines naturally need to update and adapt to the new data they receive. However, when a trained deep neural network is adapted to a new dataset with a different distribution, it often loses the knowledge previously acquired and performs the previous seen data worse than before. This phenomenon is called *catastrophic forgetting* (McCloskey and Cohen, 1989). There is a wide range of LLL approaches aiming for solving catastrophic forgetting (Parisi et al., 2019). Among them, the following directions apply meta-learning: [4]

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[4]On the other hand, in meta-learning, usually, we assume stationary task distribution. Can we do meta-learning with evident distributional shift or when tasks arrive sequentially? There is also research along the direction (Yap et al., 2021; Finn et al., 2019), but out of the scope of this review paper.

Meta-learning for Regularization-based LLL methods Regularization-based LLL methods aim to consolidate essential parameters in a model when adapting models with new data (Kirkpatrick et al., 2017; Schwarz et al., 2018; Zenke et al., 2017; Aljundi et al., 2018; Ehret et al., 2021). Meta-learning targets "how to consolidate" and has some successful examples in NLP applications. KnowledgeEditor (dec) learns the parameter update strategies that can learn the new data and simultaneously retain the same predictions on the old data. KnowledgeEditor has been applied to fact-checking and QA. Editable Training (Sinitsin et al., 2020) employs learn-to-init approaches to find the set of initial parameters, ensuring that new knowledge can be learned after updates without harming the performance of old data. Editable Training empirically demonstrates the effectiveness on MT.

Meta-learning for Data-based LLL Methods The basic idea of data-based methods is to store a limited number of previously seen training examples in memory and then use them for empirical replay, that is, training on seen examples to recover knowledge learned (de Masson d'Autume et al., 2019; Sun et al., 2019a; Sprechmann et al., 2018) or to derive optimization constraints (Lopez-Paz and Ranzato, 2017; Li and Hoiem, 2017; Saha and Roy, 2021). A hurdle for data-based approaches is the need to store an unrealistically large number of training examples in memory to achieve good performance. To achieve sample efficiency, Wang et al. (2020c); Wu et al. (2021a); Obamuyide and Vlachos (2019) uses meta-learning to learn a better adaptation algorithm that recovers the knowledge learned with a limited amount of previously seen data. Experiments on text classification and QA benchmarks validate the effectiveness of the framework, achieving state-of-the-art performance using only 1% of the memory size (Wang et al., 2020c).

6 Conclusion

This paper investigates how meta-learning is used in NLP applications. We review the task construction settings (Section 3), the commonly used methods including learning to initialize, learning to compare and neural architecture search (Section 4), and highlight research directions that go beyond improving performance (Section 5). We hope this paper will encourage more researchers in the NLP community to work on meta-learning.

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A Appendx

Table 2: An organization of works on meta-learning in NLP. The **Application** column lists the applications that are performed in corresponding papers. We use the following abbreviations. **QA**: Question Answering. **MT**: Machine Translation. **TC**: Text Classification (including Natural Langauge Inference). **IE**: Information Extraction (including Relation Classificaiton and Knowledge Graph Completion). **WE**: Word Ebedding **TAG**: Sequence Tagging. **PAR**: Parsing. **DST**: Dialgoue State Tracking. **DG**: Dialgoue Generation (including Natural Language Generation). **MG**: Multimodal Grounding. **ASR**: Automatic Speech Recognition. **SS**: Source Separation. **KS**: Keyword Spotting. **VC**: Voice Cloning. **SED**: Sound Event Detection. The **Method** column lists the involving meta-learning methods. **INIT** is learning to initialize; **COM** is learning to compare; **NAS** is network architecture search; **OPT** is learning to optimize; **ALG** is learning the learning algorithm; **SEL** is learning to select data. **Task construction** column lists the way each work is built for training meta-parameters. Please refer to Section 3 for the description about task construction.

Work	Method	Application	Task construction
(Dou et al., 2019)	INIT	TC	Cross-problem
(Bansal et al., 2020a)	INIT	TC	Cross-problem
(Holla et al., 2020)	INIT	TC	A task includes sentences containing the same word with different senses.
(Zhou et al., 2021)	INIT	TC	Knowledge Distallation
(Pan et al., 2020)	COM	TC	Knowledge Distallation
(van der Heijden et al., 2021)	INIT	TC	Cross-lingual
(Bansal et al., 2020b)	INIT	TC	Cross-problem (some tasks are generated in an self-supervised way)
(Murty et al., 2021)	INIT	TC	Cross-problem
(Wang et al., 2021b)	INIT	TC, DST	Cross-domain
(Yu et al., 2018)	COM	TC	Cross-domain
(Tan et al., 2019)	COM	TC	Cross-domain
(Geng et al., 2019)	COM	TC	Cross-domain
(Sun et al., 2019b)	COM	TC	The tasks are seperated by class labels.
(Geng et al.)	COM	TC	The tasks are seperated by class labels.
(Li et al., 2020c)	COM	TC	Domain Generalization
(Wu et al., 2019)	OPT	TC	Monolithic
(Pasunuru and Bansal, 2020)	NAS	TC	Monolithic
(Pasunuru and Bansal, 2019)	NAS	TC	Monolithic
(Xu et al., 2021b)	OPT	TC	Domain Generalization
(Zheng et al., 2021)	SEL	TC	Monolithic
(Wu et al., 2020)	INIT	TAG	Cross-lingual
(Xia et al., 2021)	INIT	TC, TAG	Cross-lingual
(Hou et al., 2020)	COM	TAG	Cross-domain
(Oguz and Vu)	COM	TAG	The tasks are seperated by class labels.
(Li et al., 2020b)	NAS	DG	Monolithic
(Jiang et al., 2019)	NAS	TAG	Monolithic
(Oba)	INIT	IE	Each task includes the examples for a relation.
(Bose et al., 2020)	INIT	IE	Each task is a graph.
(Lv et al., 2019)	INIT	IE	Each task includes the examples for a relation.
(Chen et al., 2019a)	COM	IE	Each task includes the examples for a relation.
(Gao et al., 2019)	COM	IE	Each task includes the examples for a relation.
(Ren et al.)	COM	IE	Each task includes the examples for a relation.
(Xiong et al.)	COM	IE	Each task includes the examples for a relation.
(Wang et al.)	INIT	IE	Each task includes the examples for a relation.
(Zhang et al.)	COM	IE	Each task includes the examples for a relation.
(Sheng et al.)	COM	IE	Each task includes the examples for a relation.
(Hu et al., 2019)	INIT	WE	Each task includes the context of a word.
(Sun et al., 2018)	COM	WE	Each task includes the context of a word.
(M'hamdi et al., 2021)	INIT	QA, TAG	Cross-lingual, Domain Generalization
(Nooralahzadeh et al., 2020)	INIT	QA, TC	Cross-lingual
(Yan et al., 2020)	INIT	QA	Cross-domain
(Gu et al., 2018)	INIT	MT	Cross-lingual
(Indurthi et al., 2020)	INIT	MT	Cross-problem
(Li et al., 2020a)	INIT	MT	Cross-domain
(Park et al., 2021)	INIT	MT	Cross-domain
(Wang et al., 2020b)	SEL	MT	Monolithic

Table 3: Continue of Table 2. Pham et al. (2021) learns a backtranslation model for data augmentation, so it is considered as SEL.

Work	Method	Application	Task construction
(Pham et al., 2021)	SEL	MT	Monolithic
(Guo et al., 2019)	INIT	PAR	Monolithic
(Huang et al., 2018)	INIT	PAR	Monolithic
(Langedijk et al., 2021)	INIT	PAR	Cross-lingual
(Chen et al., 2020a)	INIT	PAR	Cross-domain
(Wang et al., 2021a)	INIT	PAR	Domain Generalization
(Qian and Yu, 2019)	INIT	DG	Cross-domain
(Madotto et al., 2019)	INIT	DG	Cross-domain (each domain is one type of persona)
(Mi et al., 2019)	INIT	DG	Cross-domain
(Hua)	INIT	DST	Cross-domain
(Dingliwal et al., 2021)	INIT	DST	Cross-domain
(Huang et al., 2020)	INIT	DST	Cross-domain
(Dai et al., 2020)	INIT	DG	Cross-domain
(Qian et al., 2021)	INIT	DG	Cross-domain
(Chien and Lieow, 2019)	OPT	DG	Monolithic
(Hsu et al., 2020)	INIT	ASR	Cross-lingual
(Klejch et al., 2019)	INIT	ASR	Cross-domain (each domain refers to a speaker)
(Winata et al., 2020a)	INIT	ASR	Cross-lingual
(Winata et al., 2020b)	INIT	ASR	Cross-domain (each domain refers to a accent)
(Xiao et al., 2021)	INIT	ASR	Cross-lingual
(Klejch et al., 2018)	OPT	ASR	Cross-domain (each domain refers to a speaker)
(Chen et al., 2020c)	NAS	ASR	Cross-lingual
(Baruwa et al., 2019)	NAS	ASR	Monolithic
(Wu et al., 2021b)	INIT	SS	Cross-domain (each domain refers to a speaker)
(Huang et al., 2021a)	INIT	SS	Cross-domain(each domain refers to a accent)
(Chen et al., 2020b)	INIT	KS	The tasks are separated by keyword sets.
(Parnami and Lee, 2020)	COM	KS	The tasks are separated by keyword sets.
(Huh et al., 2021)	COM	KS	The tasks are separated by keyword sets.
(Mazzawi et al., 2019)	NAS	KS	Monolithic
(Lux and Vu, 2021)	COM	KS	The tasks are separated by keyword sets.
(Serrà et al., 2019)	ALG	VC	Cross-domain (each domain refers to a speaker)
(Chen et al., 2019b)	ALG	VC	Cross-domain (each domain refers to a speaker)
(Huang et al., 2021b)	INIT	VC	Cross-domain (each domain refers to a speaker)
(Tarunesh et al., 2021)	INIT, SEL	QA, TC, TAG	Cross-lingual, Cross-problem
(Eloff et al., 2019)	COM	MG	Monolithic
(Surís et al., 2019)	ALG	MG	Each task contains multiple examples of text-image pairs.
(Xu et al., 2021a)	COM	MG	Each task contains an image and a word set.
(dec)	OPT	TC, QA	Life-long learning
(Sinitsin et al., 2020)	INIT	MT	Life-long learning
(Wang et al., 2020c)	INIT	TC, QA	Life-long learning
(Wu et al., 2021a)	INIT	IE	Life-long learning
(Obamuyide and Vlachos, 2019)	INIT	IE	Life-long learning

Table 4: Summary of learn-to-init variants. This table contains the following information. (1) **Method**: There are many variants in the learn-to-init family. The most representative one is MAML. Typical MAML (Finn et al., 2017) has large computation intensity, so the first-order approximations like FOMAML (Finn et al., 2017) and Reptile (Nichol et al., 2018) are widely used. DG-MAML (Li et al., 2018) is for domain generalization. Typical learn-to-init assumes that all the tasks use the same network architecture, but LEOPARD (Bansal et al., 2020a) and Proto(FO)MAML (Triantafillou et al., 2020) are proposed to overcome the limitation. (2) **How to Initialize the Initialization**: Learn-to-init approaches aim at learning the initial parameters. But where does the initialization of MAML come from? We found that using self-supervised pre-training as initialization is common. The table specifies the pre-trained models used to initialize the learn-to-init methods. '-' means the initial parameters are learned from random initialization or cannot tell based on the descriptions in the papers.

Work	Method	How to Initailize the Initailization
(Bansal et al., 2020a)	LEOPARD	BERT
(Li et al., 2020a)	MAML	Word Embedding
(Park et al., 2021)	MAML	XLM
(Gu et al., 2018)	FOMAML	Word Embedding
(Langedijk et al., 2021)	FOMAML	mBERT
(Chen et al., 2020a)	Reptile	BART
(Hua)	MAML	BERT
(Wang et al., 2021b)	Propose a new method based on Reptile	Word Embedding
(Dingliwal et al., 2021)	Reptile	RoBERTa
(Qian and Yu, 2019)	MAML	Word Embedding
(Qian et al., 2021)	MAML	Word Embedding
(Madotto et al., 2019)	MAML	Word Embedding
(Dai et al., 2020)	MAML	-
(Hsu et al., 2020)	FOMAML	Multilingual ASR
(Xiao et al., 2021)	MAML/FOMAML/Reptile	-
(Winata et al., 2020b)	MAML	Pretrain by Supervised Learning
(Klejch et al., 2019)	FOMAML	-
(Huang et al., 2021a)	MAML/FOMAML	-
(Indurthi et al., 2020)	FOMAML	-
(Winata et al., 2020a)	FOMAML	-
(Wu et al., 2021b)	MAML	Pretrain by Multi-task Learning
(Ke et al., 2021)	MAML	BERT
(Xia et al., 2021)	MetaXL	mBERT/XLM-R
(Dou et al., 2019)	MAML/FOMAML/Reptile	BERT
(Oba)	FOMAML	Word Embedding
(Lv et al., 2019)	MAML	-
(Holla et al., 2020)	FOMAML/Proto(FO)MAML	Word Embedding/ELMo/BERT
(Huang et al., 2020)	MAML	Word Embedding
(Mi et al., 2019)	MAML	-
(Wang et al., 2021a)	DG-MAML	BERT
(Conklin et al., 2021)	DG-MAML	-
(M'hamdi et al., 2021)	MAML	mBERT
(Nooralahzadeh et al., 2020)	MAML	BERT/mBERT/XLM-R
(Garcia et al., 2021)	MAML	mBERT
(van der Heijden et al., 2021)	FOMAML/Reptile/Proto(FO)MAML	XLM-R
(Bansal et al., 2020b)	LEOPARD	BERT
(Murty et al., 2021)	FOMAML	BERT
(Hua et al., 2020)	Reptile	-
(Yan et al., 2020)	MAML	BERT/RoBERTa
(Wang et al.)	Reptile	-
(Bose et al., 2020)	Meta-Graph	-