# Towards Automated Document Revision: Grammatical Error Correction, Fluency Edits, and Beyond

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### Abstract

Natural language processing (NLP) technology has rapidly improved automated grammatical error correction (GEC) tasks, and the GEC community has begun to explore *document-level* 004 revision. There are two major obstacles to going beyond automated sentence-level GEC to 007 NLP-based document-level revision support,: (1) there are few public corpora with documentlevel revisions annotated by professional editors, and (2) it is infeasible to obtain all possible references and evaluate revision quality using such references because there are infi-012 nite revision possibilities. To address these 014 challenges, this paper proposes a new document revision corpus, i.e., Text Revision of ACL papers (TETRA), in which professional editors have revised academic papers sampled 017 from the ACL anthology that contain trivial grammatical errors. This corpus enables us to focus on document-level and paragraph-level edits, e.g., edits related to coherence and consistency. In addition, we investigate reference-less and interpretable methods for meta-evaluation to detect quality improvements according to document revisions. We show the uniqueness of TETRA compared with existing document revision corpora and demonstrate that a fine-027 028 tuned pre-trained language model can discriminate the quality of documents after revision even when the difference is subtle.

### 1 Introduction

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Document revision is an important process in essay and argumentative writing. According to previous studies on argumentative writing (Flower and Hayes, 1981; Beason, 1993; Buchman et al., 2000; Seow, 2002; Allal et al., 2004), a typical writing process comprises three main stages. *Revising* is the initial editing step to plan and build the overall structure of the document at a high level, *editing* focuses on sentence-level or phrase-level expressions, and *proofreading* is performed to assess the details, e.g., spelling and grammatical errors (Figure 1, left). Although the order of the steps is not strictly determined, the typical writing process starts from a broad and high level perspective and then narrows down the scope of edits.

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In contrast to the typical human writing process, automated grammatical error correction (GEC) research in the natural language processing (NLP) field initially focused on a fine-grained scope, e.g., spelling errors (Brill and Moore, 2000; Toutanova and Moore, 2002; Islam and Inkpen, 2009) and closed-class parts of speech (such as prepositions and determiners) (Han et al., 2006; Nagata et al., 2006; Felice and Pulman, 2008). Then, the research community expanded its scope to edits at the phrase and sentence levels while considering fluency (Sakaguchi et al., 2016; Napoles et al., 2017) (Figure 1, right). However, much less work has been done on the document-level revisions due to two major challenges. First, document revisions have a broader scope (e.g., coherence and flow) than conventional GEC and fluency correction; thus, there are few publicly available corpora with such annotations by experts (e.g., professional editors). Second, it is challenging to evaluate the quality of revisions based on a limited number of references because there are many ways to revise a single document. This implies that reference-less evaluation metrics (Napoles et al., 2016b; Choshen and Abend, 2018; Islam and Magnani, 2021) are suitable to assess automated document revision models.

We consider these challenges associated with automated document revision, propose a new corpus, and exploring possibilities for transparent evaluation methods that are independent of gold standards or references. Our corpus, i.e., **Text R**evision of **A**CL papers (TETRA),<sup>1</sup> comprises documentlevel revisions of articles published at ACL-related venues. This corpus was designed based on an annotation scheme that can handle edit types beyond sentences, e.g., argument flow, in addition to

<sup>&</sup>lt;sup>1</sup>https://github.com/anonymous



Figure 1: Overview of the scope for automated document revision. Each example is taken from TETRA corpus. We focus document revision process which has been overlooked by grammatical error correction (GEC). Automated document revision extends the scope of GEC.

### conventional word-level and phrase-level edits.

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In this paper, we demonstrate that TETRA has advantages over existing corpora for document revision (Lee and Webster, 2012; Zhang et al., 2017; Kashefi et al., 2022). We also propose a simple meta-evaluation method, i.e., instance-based revision classification (IRC), that measures and compares the performance of evaluation metric candidates based on their accuracy in terms of classifying which of a given pair of snippets has been revised. The accuracy for IRC is calculated for type of edit, which provides transparent and interpretable analyses to design more effective evaluation metrics in future. Note that our contribution is not to propose a specific model or metric for automated document revision but rather present a meta-evaluation scheme to help measure the ongoing improvements of such models and metrics.

With the proposed TETRA corpus and IRC, we conducted experiments to determine whether pretrained language models can function as an effective baseline metric to discriminate between original and revised snippets. Here, we compared BERT (Devlin et al., 2019) and GPT-2 (Radford 106 et al., 2019) as baseline methods with and without fine tuning. The results demonstrate that the 108 supervised method can select better snippets with 109 an accuracy of 0.85 - 0.96, which indicates the 110 feasibility of evaluation for automated document revision. 112

#### 2 Background

The GEC field, which has a multi-decade history, began with the goal of detecting and correcting targeted error types and providing feedback to English as a second language learners.<sup>2</sup> Initial GEC systems focused on only a small number of closedclass error types, e.g., articles (Han et al., 2006) and prepositions (Chodorow et al., 2007; Tetreault and Chodorow, 2008; Tetreault et al., 2010; Cahill et al., 2013; Nagata et al., 2014). The scope of GEC was then expanded to include all types of errors, including verb forms, subject-verb agreement, and word choice errors (Lee and Seneff, 2008; Tajiri et al., 2012; Rozovskaya and Roth, 2014). This line of work resulted in the establishment of shared benchmark tasks (Dale and Kilgarriff, 2011; Dale et al., 2012; Ng et al., 2013, 2014).

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Motivated by the observation that error-coded local edits do not always make the result sound natural to native speakers, the scope of GEC has been further expanded from word-level closedclass edits to phrase-level and sentence-level fluency edits (Sakaguchi et al., 2016). With this expansion, the community has proposed new benchmark datasets (Napoles et al., 2017; Bryant et al., 2019; Napoles et al., 2019; Flachs et al., 2020) and evaluation metrics (Dahlmeier and Ng, 2012;

<sup>&</sup>lt;sup>2</sup>In this paper, we focus on GEC literature after the 2000's, at which point statistical methods began to be applied widely. For the full history of GEC in the 80's and 90's, e.g., rulebased approaches, please refer to Leacock et al. (2014).

Felice and Briscoe, 2015; Napoles et al., 2015; 140 Bryant et al., 2017; Napoles et al., 2019; Gotou 141 et al., 2020) for sentence-to-sentence GEC. In addi-142 tion, GEC models with deep neural network (DNN) 143 techniques have been developed. Such models are 144 robust against word-level and phrase-level local 145 edits in a given sentence and exhibit human-parity 146 performance on some benchmark datasets (Yuan 147 and Briscoe, 2016; Ji et al., 2017; Chollampatt and 148 Ng, 2018; Ge et al., 2018; Kiyono et al., 2019; 149 Kaneko et al., 2020; Rothe et al., 2021). More re-150 cently, Yuan and Bryant (2021) extended DNN 151 models by taking a longer context (e.g., previ-152 ous sentences) and demonstrated improvements 153 in terms of sentence-level error correction (e.g., 154 correcting verb tense). 155

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In contrast to the rapid progress of grammar and fluency correction, few studies have investigated revisions for *document-level argumentative writing*, which requires more human effort to create corpora or datasets. Lee and Webster (2012) performed an initial attempt to construct a document revision corpus comprising 13,000 student writings with feedback comments from tutors in the Teaching English to Speakers of Other Languages (TESOL) program. Although the authors prepared labels for paragraph-level revisions (e.g., coherence), only 3% of all revisions were annotated as paragraphlevel revisions, 90% of the revisions were at the word-level, and 7% were at the sentence-level because the corpus comprises writing from language learners, and the vast majority of errors were simple grammar and fluency errors. This provides an important lesson, i.e., a corpus for document-level revision should be based on documents in which grammatical and fluency edits have already been addressed to some degree. In addition, due to copyright limitations, this corpus is not publicly available. However, we believe that the data source for a document-level corpus should be accessible under an open license to promote long-term communitybased open research.

Another line of work (Zhang and Litman, 2014, 2015; Zhang et al., 2016, 2017; Kashefi et al., 2022) has developed the ArgRewrite corpus, which is a collection of 86 argumentative essays, each of which comprises three drafts (i.e., two cycles of revisions) with edit labels. In the ArgRewrite corpus (both v1 and v2), approximately one-half of all edits are annotated as surface-level corrections (i.e., conventional GEC or fluency edits), and the remaining edits are annotated as content-level document revisions. The ArgRewrite corpus has advantages over Lee and Webster (2012) in terms of the amount of document-level revisions; however, all of the essays in the ArgRewrite corpus were written on the same topic. The topic of the first version (Zhang et al., 2017) is about arguing *whether the proliferation of electronic enriches or hinders the development of interpersonal relationships*, and the topic of the second version (Kashefi et al., 2022) is about arguing *support or against self-driving cars*. Relative to developing and evaluating automated document revision models, this limitation in terms of topic diversity can cause overfitting (Mita et al., 2019). 191

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# **3** Automated Document Revision

Given a source document d that consists of paragraphs, a potentially automated editor f revises dinto  $d'(f: d \mapsto d')$ . Here, revision R is a set of edits e, and an edit e is defined as a tuple e = (src,tgt, t, c), where *src* is the source phrase before the revision, tgt is the revised phrase, t is the edit type (e.g., grammar, word choice, or consistency), and c represents (optional) rational comments about the edit. When *src* is empty  $(\emptyset)$ , this edit indicates *insertion*, and it indicates *deletion* when *tgt* is empty; otherwise, the edit is considered to be a substitution. Automated document revision includes various edit types (t), e.g., mechanics, word choice, conciseness, and coherence. This is discussed in further detail in  $\S4.4$ . Note that t does not exclude the scope of conventional (sentential and subsentential) grammatical error and fluency correction. Rationale comments (c) are a useful resource in the study of feedback generation, which has become prominent in the GEC community (Nagata, 2019; Hanawa et al., 2021; Nagata et al., 2021). Thus, automated document revision is a natural extension of sentence-level error correction to document-level error correction with a wider context. We discuss our meta-evaluation framework in §5.

# 4 The TETRA Corpus

# 4.1 Data Source

In this study, we used the ACL anthology<sup>3</sup> as the data source for the proposed TETRA for the following reasons. First, we focus on *document-level* revision rather than sentence-level revision; thus,

<sup>&</sup>lt;sup>3</sup>https://aclanthology.org

Aspects	Edit types (abr.)	Definition	Scope	%
Grammaticality	grammar, capitalization word choice, word order	edits that aimed to fix spelling/grammar mistakes	S	19.4
Fluency		edits that aimed to increase sentence fluency	S	23.7
Clarity	clarity	edits that aimed to amplify meaning for clarity	S/D	19.4
Style	style, tone	edits that aimed to adapt the style	S/D	8.0
Readability	readability	edits that aimed to improve readability	S/D	16.8
Redundancy	redundancy, conciseness	edits that aimed to reduce redundancy	S/D	7.2
Consistency	consistency, flow	edits that aimed to increase paragraph fluency	D	5.5

Table 1: Definition of edit types. S and D (in the *scope* column) indicate the sentence and the document, respectively.We highlightedit typesthat relies on beyond sentence-level context to edit.

Grammaticality	Fluency	Clarity	Style	Readability	Redundancy	Consistency

This paper presents empirical studies and closely corresponding theoretical models of a chart parser's performance while the performance of a chart parser exhaustively parsing the Penn Treebank with the Treebank's own context-free grammar (CFG)CFG grammar. We show how performance is dramatically affected by rule representation and tree transformations, but little by top-down vs. bottom-up strategies. We discuss grammatical saturation, provide an, including analysis of the strongly connected components of the phrasal nonterminals in the Treebank, and model how, as sentence length increases, regions of the grammar are unlocked, increasing the effective grammar rule size increases as regions of the grammar are unlocked, and yielding super-cubic observed time behavior in some configurations.

We expect this approach to yield the following three improvements. Taking advantage of the representation learned by the English model will lead to shorter training times compared to training from scratch. Relatedly, the model trained using transfer learning will require requires less data for an equivalent score than a German-only model. Finally, the more layers we freeze the fewer layers we will need to back-propagate through during training; thus,. Thus we expect to see a decrease in GPU memory usage since we do not have to maintain gradients for all layers.

We present the results of on a quantitative analysis of a number of publications in the NLP domain on the collectionpublishing, and availability of research data. We find that, although a wide range of publications rely on data crawled from the web, but few publications providegive details of on how potentially sensitive data was treated. In addition Additionally, we find that, while links to repositories of data are given, they often do not work, even a short time after publication. We present put together several suggestions on how to improve this situation based on publications from the NLP domain, as well as but also other research areas.

Table 2: Examples of revision. Each edit type is highlighted respectively.

we selected documents that have as few grammati-238 cal errors (i.e., the conventional scope of GEC) as 239 240 possible. The ACL anthology comprises generally well-written peer-reviewed papers on NLP. Sec-241 ond, the ACL anthology contains a diverse range 242 of papers in terms of authors and venues, e.g., 243 conferences vs. workshops, students vs. nonstu-244 dents, native vs. nonnative English speakers, as 246 demonstrated by Bergsma et al. (2012). Finally, the license and copyright of the ACL anthology 247 are more flexible than existing datasets for similar purposes (Lee and Webster, 2012). Note that a less restricted and widely accessible corpus enables us to advance research on automated document revi-251 sion.

We selected the source documents from the ACL anthology as follows. First, we created eight  $(=2^3)$ groups based on the possible combinations of three different attributes: (1) whether the paper was published at a conference or a workshop, (2) whether the paper is affiliated with a native vs. nonnative

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English speaking country, and (3) whether the first author was a student (at the time the paper was published). We randomly sampled the papers until we obtained eight unique papers for each group (i.e., 64 papers in total). For each paper, we extracted the title, abstract, and introduction as the source document (d) of the proposed TETRA corpus. 259

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# 4.2 Annotation Scheme

The scope and granularity of edit types also has a wide variety in previous studies, and there is no standard set of labels. Thus, we define edit type categories (Table 1) based on previous literature on argumentative and discourse writing (Kneupper, 1978; Faigley and Witte, 1981; Burstein et al., 2003; Zhang et al., 2017). Table 2 shows concrete examples of each type of edit in TETRA.

To create the proposed TETRA, we selected an XML format for the following reasons. First, XML is easy to parse using standard libraries (e.g., Python ElementTree and the Java DOM parser)

	Lee and Webster (2012)	Zhang et al. (2017)	Kashefi et al. (2022)	Ours (TETRA)
# docs	3760	60	86	64
# references	1	1	1	3
% beyondGECs	3.2	49.4	52.6	56.9
Drafted by	ESL	ESL/Native	ESL/Native	ESL/Native
Revised by	Author	Author	Author	Experts
Feedback by	Non-experts	Experts	Experts	Experts
Topic diversity	$\checkmark$		_	$\checkmark$
Public availability		$\checkmark$	$\checkmark$	$\checkmark$

Table 3: Characteristics of TETRA corpus compared to existing document revision corpus. % beyondGECs shows the ratio of edits that are not covered by GEC edit types. Drafted by indicates who wrote the (first) draft, Revised by shows who revised the draft by whose feedback (*feedback by*). Topic diversity ( $\checkmark$ ) presents whether the corpus contains two or more topics, or a single topic only (no  $\checkmark$ ). Public availability ( $\checkmark$ ) shows whether the corpus is publicly available to the community.

compared to other formats that frequently require exclusive scripts. Such exclusive scripts incur higher maintenance costs to keep up with the updates of additional dependencies. Second, XML is more flexible than other formats in terms of embedding additional information, e.g., edit types, edit rationale, comments, and other meta-information. An example of our XML annotation is shown in the Appendix (Table 6).

### 4.3 Annotators

We recruited three native English speaking professional editors with years of experience editing and proofreading English academic writing. These editors independently revised all 64 documents on the Google Docs platform, and they added an edit rationale whenever appropriate. The revised documents were converted to XML format by the first two authors.<sup>4</sup> Details on how to recruit annotators and instructions for them are provided in Appendix A and **B**, respectively.

### 4.4 Statistical Analysis

The right-most column in Table 1 shows the distribution of edit types found in 16 randomly sampled papers (i.e., 25% of the proposed TETRA corpus). We found that 56.9% of the edits were related to issues beyond the sentence-level context (e.g., redundancy), which is greater than other document revision corpora (Table 3). This is simply because TETRA's source documents are academic papers that have already been proofread to some degree compared to other existing document revision corpora where language learner essays are used as the source material. In terms of the differences among

Levels	Avg	Min	Max
detection correction	0.32	0.27	0.35
	0.83	0.75	1.00

Table 4: Two levels of inter-annotator agreement: agreement on detection and correction.

the three different attributes ( $\S$  4.1), we did not find any clear trends, which indicates that the quality of papers in the ACL corpus is uniformly good across the venue and author attributes. The details are shown in the Appendix (Table 7).

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In document-level revision, it is not straightforward to compute inter-annotator agreement due to the diversity of potential revisions and the broad scope of applicable edits. Thus, we measured two levels of inter-annotator agreement, i.e., (1) agreement on detection and (2) agreement on correction. The first measurement computes how frequently edit spans overlap (i.e., agree) among annotators, and the second measurement computes how frequently edit type labels (e.g., clarity) match when two or more annotators detect the same (or overlapped) span. Table 4 shows the results.

The result demonstrate that the expert annotators agreed on the direction of editing when they decided an issue was in a certain span (the agreement rate on *correction* was approximately 0.8); however, the experts disagreed on where to consider an issue (the agreement rate on detection was approximately 0.3), which is a unique characteristic of automated document revision that differs from traditional GECs.

#### 5 **Proposed Meta-evaluation Framework**

In addition to creating a corpus for automated document revision, it is essential to establish evaluation

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<sup>&</sup>lt;sup>4</sup>While converting, we made minor corrections and remapping edit types only as required.



Figure 2: Overview of meta-evaluation framework. We introduce document revision corpus (TETRA) and propose instance-based revision classification (IRC) to measure (meta-evaluate) the quality improvement of documents.

metrics that can measure a document's quality im-341 provement (and possibly deterioration) relative to 342 the applied revisions. A typical scenario for eval-343 uating text generation is to compute the textual similarity between the hypothesis and references, 345 as in machine translation (BLEU (Papineni et al., 2002)) and summarization (ROUGE (Lin, 2004)). 347 However, it is infeasible to elicit all possible gold references for document revision because there are infinite ways to edit a document. In addition, it is difficult to measure the quality of a revision auto-351 matically based on an absolute metric because a single document will contain a variety of edits based on many aspects of evaluation (Table 1). Thus, it is more straightforward to consider a *relative* metric, where a pair of documents is subject to a binary classification choosing the revised one.

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Such a pairwise comparison has been proven effective as a meta-evaluation method in cases where absolute evaluation is difficult (Guzmán et al., 2015; Christiano et al., 2017). Also note that document revision contains multiple edits; thus, the binary prediction process cannot identify which edit(s) contributed the improvement or the degree of improvement. To address these concerns, we propose Instance-based revision classification (IRC), where a pair of snippets that contain a *single* edit is given, and we compare the (reference-less) metrics according to the accuracy of the binary prediction (i.e., which of the snippets is revision). By focusing on comparing 'single edit' differences, we can obtain transparent and interpretable measures for each type of edit (e.g., which edit type is more challenging to revise than other types). This is expected to enable us to investigate more effective evaluation metrics in future. In fact, recent studies have demonstrated that such rubric-based interpretable evaluation correlates better with human judgments than single overall scoring techniques (Kasai et al.,

2021a,b). An overview of the proposed IRC is shown in Figure 2.

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### 6 Experiment

In this section, we demonstrate how well existing large-scale pretrained language models perform under the proposed IRC framework as baseline (reference-less) metrics.

### 6.1 Data split

We divided TETRA into a training set (75%; 48 papers) and a test set (25%; 16 papers) to avoid paper overlap, and we converted the test data into pairs of snippets containing a single edit for IRC framework. Here, when multiple edit types were assigned, each edit type was extracted independently as a single edit snippet pair. When creating a pair of snippets, we extracted the entire paragraph as the context. In total, we extracted 1,368 snippet pairs for IRC meta-evaluation.

### 6.2 **Baseline metrics**

In this experiment, we compared BERT (Devlin et al., 2019) and GPT-2 (Radford et al., 2019) as supervised and unsupervised settings to classify the original and single edit revision snippets. We used the PyTorch implementation for these Transformer models (Wolf et al., 2020).

**BERT** We adopted BERT (with fine tuning) as a supervised evaluation metric. Here, we converted the training set into a balanced positive/negative example by randomly swapping the order of snippet pairs in one-half of the training set. Specifically, we concatenated the pair with a special [SEP] token. The hyperparameters used to train the model are shown in Appendix E (Table 8).

GPT-2We adopted GPT-2 as an unsupervised413baseline metric. Here, we compared the per-token414



Figure 3: Meta-evaluation result (Accuracy).

perplexities of the two inputs (i.e., the original and 415 the single-edited revision), and we used them to per-416 form binary prediction. Technically, we expected 417 that the revised documents would show lower per-418 token perplexity (and vice versa). 419

#### 6.3 Results

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The overall results are shown in Figure 3. As can be seen, the proposed IRC framework enabled us to evaluate the accuracy of each metric in terms of each aspect (i.e., edit type) while analyzing their 424 strengths and weaknesses. We also found that the supervised metric (i.e., BERT) could perform clas-426 sification at 0.79 - 0.90 accuracy, which indicates 428 that this supervised metric based on pretrained neural language models is an effective baseline metric 429 430 to discriminate between the original and revised snippets even when the difference is subtle. However, we found that the unsupervised baseline metric (i.e., GPT-2) performed slightly better than the chance-level only on grammaticality, fluency, and clarity but not on consistency, readability, and style.

#### Analysis 7

#### 7.1 Is IRC framework reliable?

The experimental results discussed in §6 demon-438 strated that the supervised metric can discriminate 439 the original and revision snippets with reasonably 440 high accuracy. However, the following question 441 should be considered. Is the high accuracy derived 442 from actually detecting the quality improvement 443 provided by the revision or annotation artifacts 444 (spurious correlation) by commonly used words 445 and phrases by expert annotators? 446

To investigate this question, we evaluated the performance of the same supervised metric (BERT) used in §6 by applying corruption methods to TETRA in order to artificially degrade the quality of the source documents. If the same supervised metric fine-tuned on the source and the (improved) revision can still select the original document over the degraded document, we can conclude that the metric actually distinguishes the quality of the document rather than spurious features.

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#### 7.1.1 **Corruption Methods**

Automatic Error Generation (AEG) Injecting grammatical errors as data augmentation has been studied actively to improve GEC. In this study, we used a back-translation model, which is the most commonly model used in GEC among AEG methods (Xie et al., 2018; Kiyono et al., 2019; Koyama et al., 2021), to deteriorate the original documents in terms of grammaticality and fluency.

Here, a reverse model that generates an ungrammatical sentence from a given grammatical sentence was trained in the back-translation model. To construct the reverse model, we followed the general settings identified in previous studies (Kiyono et al., 2019; Koyama et al., 2021). The details of the experimental settings for the AEG model are described in the Appendix F.

**Sentence Shuffling** As shown in Figure 1, the document revision process involves reordering sentences to improve the *flow* and *consistency* of argumentation. In this analytical experiment, after applying the AEG model, we further shuffled sentences with the same ratio as the *consistency* edit type (5% of the documents; refer to Table 1) to degrade the document relative to the sentence order.

### 7.1.2 Results

The binary classification accuracy obtained by the supervised metric (BERT) used in the experiment  $(\S6)$  on the original vs. (degrading) corruption scenario was 0.96. We found that BERT can successfully select the original document over the degraded document. It should be noted that this is a simulation experiment with artificial errors and there are deviations from a realistic setting, but it suggests that the supervised baseline has the potential to learn to discriminate documents relative to quality rather than spurious features in the experts' annotations.

Outputs	ERRANT	GLEU
original (no editing)	0.0 (0.0)	70.6 (1.5)
human performance	24.5 (5.7)	71.4 (1.0)

Table 5: Evaluation results with GEC's metrics.Values in parentheses indicate standard deviations.

### 7.2 Do existing GEC metrics not work?

In §5, we hypothesized that common referencebased GEC metrics cannot evaluate document revisions accurately. To verify this hypothesis, we evaluated the gold revisions of human experts in TETRA using existing GEC metrics, and we analyzed whether they actually work for the revision of documents.

### 7.2.1 Examined metrics

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We used ERRANT (Bryant et al., 2017) and GLEU (Napoles et al., 2015, 2016a), which are widely used in GEC, as the examined metrics.

**ERRANT** ERRANT is an improved version of the previously standard Max Match ( $M^2$ ) Scorer metric (Dahlmeier and Ng, 2012). Similar to the  $M^2$  Scorer, ERRANT is performed based on the Max Match method, which identifies the maximum match using an edit lattice when matching edits between systems and references; however, the method of edit extraction in ERRANT differs from that used in the  $M^2$  Scorer.

**GLEU** GLEU is a variant of BLEU (Papineni et al., 2002), which is *de facto* evaluation metric in machine translation, for GEC. GLEU is computed by subtracting the number of n-grams that appear in the input but not in the reference from the number of n-grams that match in the system output and reference. This metric is more highly correlated with human judgment than the M<sup>2</sup> Scorer (Napoles et al., 2016b).

### 7.2.2 Results

Table 5 shows the evaluation results when the orig-526 inal documents (original) and gold revisions by experts (human performance) were regarded as the 528 system outputs. Here, three gold revisions by the human experts were assigned to TETRA; thus, the 530 values represent the average of the three. The evaluation results obtained with ERRANT demonstrate 532 that even human performance has a low value of 533 24.5 points (out of 100), which implies that it has 534 issues evaluating document revisions. ERRANT evaluates systems based on the extent to which

the edit span suggested by systems matches the gold edit span included in the given references. However, in document revisions that require crosssentence editing or more dynamic editing, ER-RANT may have difficulty extracting accurate edit spans and matching them with the references. 537

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In contrast, GLEU may appear to work as an evaluation metric because it gives higher scores to human performance. However, GLEU also has issues because its evaluation score for original documents, i.e., outputs without editing, is comparable to that of the human experts. The GLEU score was computed based on the n-gram agreement ratio in the three sentences (documents in our case), i.e., the input, the system output, and the reference. In document revision, a task with low agreement rates (§4.4), GLEU, which performs documentby-document matching, tends to overestimate the unedited output.

# 8 Limitations

The first limitation of this study is its scalability of the annotation. TETRA consists of *documents* revised by experts and is therefore expensive to scale up in its nature. This limitation could be mitigated by the choice of source data, i.e., there is room to replace experts with crowd workers by selecting source data that do not require expertise (e.g., general essays). We also reiterate that this work does not aim at proposing specific models and evaluation metrics for automated document revision. Instead, we present a meta-evaluation scheme as a first step to develop such models and metrics with more transparency.

# 9 Conclusion

In this paper, we have proposed a new automated document revision task, and we have also proposed the TETRA corpus and the IRC meta-evaluation method, which facilitates interpretable analysis to support designing more effective evaluation metrics without reference. Our experimental results demonstrate that a fine-tuned pretrained language model can discriminate the quality of documents even when there is only a single edit, which indicates the feasibility of automated document revision evaluation. We hope that the proposed TETRA and IRC will encourage the community to further study automated document revision models and metrics beyond sentence-level error corrections.

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**Ethics Statement** 

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# A Recruitment procedure for annotators

#### 1006 We recruited professional editors who are native speakers of English and have domain ex-1007 pertise in academic writing, directly via Upwork 1008 (https://www.upwork.com/), a freelance 1009 marketplace, through interviews and screening tests 1010 1011 to ensure the quality of the annotators. We paid market rates to them. Instead of using the ser-1012 vices of an English proofreading company, which 1013 tends to be uncontrollable in terms of annotator 1014 quality, we directly hired annotators and provided 1015 1016 them with feedback to control the annotation quality, which contributed to further improving the 1017 dataset's quality. We will extend the description of 1018 this annotation process in the camera ready. 1019

### **B** Instructions for annotators

1021The full text of the instructions to the annotators is1022reported below.

**Summary** You will be proofreading and editing the abstracts and the introduction sections of scientific papers published at NLP (Natural Language Processing) conferences and workshops. Please make edits to improve the quality of the papers, along with your comments mentioning what aspect of the paper the edit is intended to improve, without changing the meaning of the content (information contained in the paper).

### 1032 About the papers

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- These papers are randomly chosen from a pool of papers published at recent NLP conferences and workshops.
- These papers are written by a diverse set of authors, including native and non-native speakers of English at various stages of their careers (students, researchers, faculty members, etc.).
- These papers went through peer reviews and were accepted at conferences and workshops

### Edits

• Make edits to the papers in order to improve their quality without changing the information contained in the papers. For each edit, mention what aspect of the paper the edit is intended to improve. These aspects include, but are not limited to: Mechanics, punctuation, grammar, spelling, word order, word usage, organization, development, cohesiveness, co-<br/>herence, clarity, content, consistency, voice.1050Feel free to use your own tags/words to de-<br/>scribe the purpose of your edit1052

- Refrain from making single edits that improve more than one aspect of the paper at the same time. Make two or more separate, overlapping edits in the same place if you need to improve multiple aspects.
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- Feel free to be creative and make changes that span over multiple sentences or ones that rearrange sentences or even paragraphs if necessary. You are encouraged to rewrite the sentences and paragraphs if local edits aren't enough to improve the quality.

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- Since these papers are already peer-reviewed, 1065 we expect fewer low-level edits related to punctuation, spelling, and grammar, although 1067 make sure to correct such errors if you do encounter them. 1069
- Focus instead on types of edits that improve higher-level aspects of the paper (such as organization, development, cohesiveness, coherence, clarity, content, voice, etc.)
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### **C** Example of XML annotation

See Table 6.

# **D** Aspect distribution

See Table 7.

# **E** Hyper-parameters settings

See Table 8.

### **F** Experimental settings for AEG

We adopted the "Transformer (big)" set-1081 tings (Vaswani et al., 2017) using the implementa-1082 tion in the fairseq toolkit (Ott et al., 2019) as a 1083 GEC model. In addition, we used the BEA-2019 1084 workshop official dataset (Bryant et al., 2019) as 1085 the training and validation data. For preprocessing, 1086 we tokenized the training data using the spaCy to-1087 kenizer<sup>5</sup>. Then, we removed sentence pairs where 1088 both sentences where identical or both longer than 1089 80 tokens. Finally, we acquired subwords from 1090 the target sentence via the byte-pair-encoding 1091

<sup>5</sup>https://spacy.io/

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     Native">
2 <abstract>
_3 <text>In this paper, (...) extracted sense inventory. The</text>
4 <edit type="conciseness" crr="induction and disambiguation steps" comments="
     conciseness - just tightening it up a little bit.">induction step and the
     disambiguation step</edit>
5 <text>are based on the same principle: (...) topical dimensions</text>
6 <edit type="readability" crr=". In" comments="readability - this sentence is getting
      a bit long, so splitting it in two here.">; in</edit>
7 <text>a similar vein, ...</text>
8 . . .
9 </abstract>
10 <introduction>
11 <text>Word sense induction (...) </text>
12 <text>\n\n Word sense disambiguation (...)</text>
13 <edit type="punctuation" crr="" comments="punctuation - comma is not appropriate.">,
     </edit>
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15 </introduction>
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Table 6: Example of XML an	notation. For brevity.	we omitted a p	art of the text with '	· , ,
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	Stu	dent	Nor	n-student	Na	ative	Non	-native	Co	onf.	v	VS
Aspects	#	%	#	%	#	%	#	%	#	%	#	%
Grammaticality	79	19.5	106	21.5	60	16.5	125	21.3	110	22.7	75	16.2
Fluency	115	25.2	110	22.4	74	20.4	151	25.8	99	20.4	126	27
Clarity	100	21.9	84	17.1	88	24.2	96	16.4	84	17.3	100	21.6
Style	39	8.5	37	7.5	29	8.0	47	8.0	46	9.5	30	6.5
Readability	74	16.2	85	17.3	75	20.7	84	14.3	92	19.0	67	14.4
Redundancy	32	7.0	36	7.3	22	6.1	46	7.8	25	5.2	43	9.3
Consistency	18	3.9	34	6.9	15	4.1	37	6.3	29	6.0	23	5.0

Table 7: Distributions of revision aspects by writer's attributes.

Configurations	Values
Model Architecture	bert-base-uncased
Optimizer	Adam (Kingma and Ba,
	2015)
Learning Rate	2e-5
Number of Epochs	10
Batch Size	32

Table 8: Hyper-parameters settings

(BPE) (Sennrich et al., 2016) algorithm. We used the subword-nmt implementation<sup>6</sup> and then applied BPE to split both source and target texts. The number of merge operations was set to 8,000.

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<sup>&</sup>lt;sup>6</sup>https://github.com/rsennrich/ subword-nmt